

1 **SQuIRE: Software for Quantifying Interspersed Repeat Elements**

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22 **Abstract:**

23 Transposable elements are interspersed repeat sequences that make up much of the human
24 genome. Conventional approaches to RNA-seq analysis often exclude these sequences, fail to
25 optimally adjudicate read alignments, or align reads to interspersed repeat consensus sequences
26 without considering these transcripts in their genomic contexts. As a result, repetitive sequence
27 contributions to transcriptomes are not well understood. Here, we present Software for
28 Quantifying Interspersed Repeat Expression (SQuIRE), an RNA-seq analysis pipeline that
29 integrates repeat and genome annotation (RepeatMasker), read alignment (STAR), gene
30 expression (StringTie) and differential expression (DESeq2). SQuIRE uniquely provides a locus-
31 specific picture of interspersed repeat-encoded RNA expression. SQuIRE can be downloaded at
32 (github.com/wyang17/SQuIRE).

33 **Introduction**

34 Transposable elements (TEs) are self-propagating mobile genetic elements. Their insertions have
35 resulted in a complex distribution of interspersed repeats comprising almost half of the human genome
36 (Lander et al. 2001; Kazazian 2004). They propagated through either DNA ('transposons') or RNA
37 intermediates ('retrotransposons')(Huang et al. 2012; Burns and Boeke 2012). Retrotransposons are
38 further classified into Orders based on the presence of long terminal repeats (LTR retrotransposons) or
39 whether they were long or short interspersed elements (LINEs and SINEs)(Wicker et al. 2007). Although
40 most TEs have lost the capacity for generating new insertions over their evolutionary history and are now
41 fixed in the human population, a subset of younger subfamilies from the LINE-1 superfamily (i.e., L1PA1
42 or L1HS) (Beck et al. 2011), the SINE *Alu* superfamily (e.g., *AluYa5*, *AluYa8*, *AluYb8*, *AluYb9*)
43 (Deininger 2011), and composite SVA (SINE-variable number tandem repeat (VNTR)-*Alu*) elements
44 (Hancks et al. 2010) remain retrotranspositionally active and generate new polymorphic insertions
45 (Stewart et al. 2011; Abecasis et al. 2012).

46 Due to the repetitive nature of TEs, short-read RNA sequences that originate from one locus can
47 ambiguously align to multiple copies of the same subfamily dispersed throughout the genome. This
48 problem is most significant for younger TEs; older elements have accumulated nucleotide substitutions
49 over millions of years that can differentiate them and give rise to uniquely aligning TE reads (Giordano et
50 al. 2007). Because of these barriers, conventional RNA-seq analyses of TEs have either discarded multi-
51 mapping alignments (Chuong et al. 2013) or combined TE expression to the subfamily level (Criscione et
52 al. 2014; Jin et al. 2015; Lerat et al. 2016). Other groups have studied active LINE-1s using tailored
53 pipelines, leveraging internal sequence variation and 3' transcription extensions into unique sequence
54 (Philippe et al. 2016; Deininger et al. 2017; Scott et al. 2016). However, these targeted approaches are
55 unable to provide a comprehensive picture of TE expression.

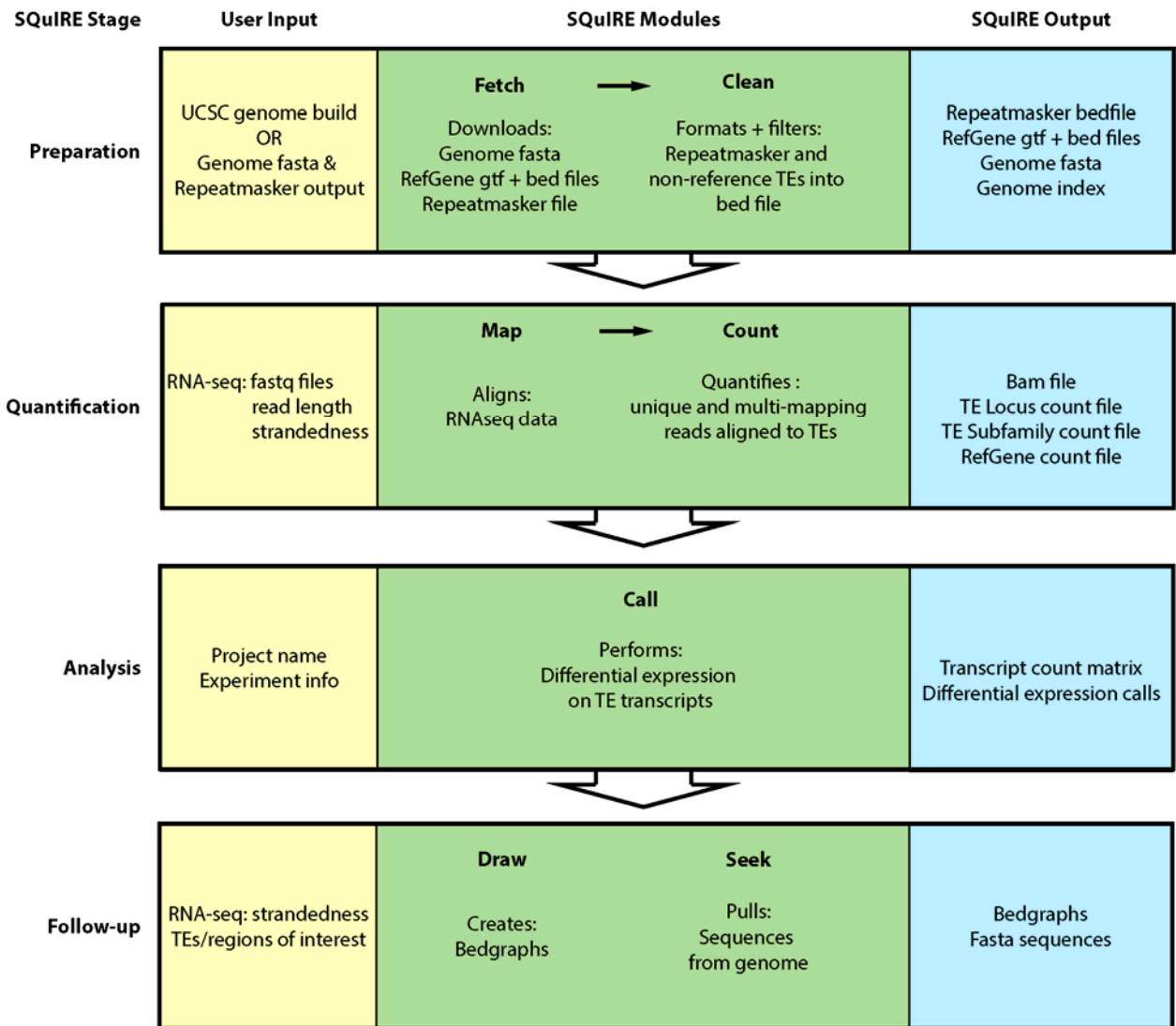
56 To analyze global TE expression in conventional RNA-seq experiments, we have developed
57 the Software for Quantifying Interspersed Repeat Elements (SQuIRE). SQuIRE is the first RNA-seq
58 analysis pipeline available to date that quantifies TE expression at the locus level. In addition to RNA-seq
59 providing expression estimations at the TE locus level, SQuIRE quantifies expression at the subfamily
60 level and performs differential expression analyses on TEs and genes. We benchmark our pipeline using
61 both simulated and experimental datasets and compare its performance against other software pipelines
62 designed to quantify TE expression (Criscione et al. 2014; Jin et al. 2015; Lerat et al. 2016). SQuIRE
63 provides a suite of tools to ensure the pipeline is user-friendly, reproducible, and broadly applicable.

64 **Results**

65 **SQuIRE Overview**

66 SQuIRE provides a suite of tools for analyzing transposable element (TE) expression in RNA-seq
67 data (Fig. 1). SQuIRE's tools can be organized into four stages: 1) *Preparation*, 2) *Quantification*, 3)
68 *Analysis* and 4) *Follow-up*. In the *Preparation* stage, **Fetch** downloads requisite annotation files for any
69 species with assembled genomes available on University of California Santa Cruz (UCSC) Genome

70 Browser (Kent et al. 2002). These annotation files include RefSeq (Pruitt et al. 2014) gene information in
71 BED and GTF format, and RepeatMasker (Smit, AFA, Hubley, R & Green) TE information in a custom
72 format. **Fetch** also creates an index for the aligner STAR (Dobin et al. 2013) from chromosome FASTA
73 files. **Clean** reformats TE annotation information from RepeatMasker into a BED file for downstream
74 analyses. The tools in the *Preparation* stage only need to be run once per genome build. Because there are
75 multiple RNA-seq aligners that can produce different results for TE expression estimation, the
76 *Quantification* stage includes the alignment step **Map** to ensure reproducibility. **Map** aligns RNA-seq
77 data using the STAR aligner with parameters tailored to TEs that allow for multi-mapping reads and
78 discordant alignments. It produces a BAM file. **Count** quantifies TE expression using a SQuIRE-specific
79 algorithm that incorporates both unique and multi-mapping reads. It outputs read counts and fragments
80 per kilobase transcript per million reads (fpkm) for each TE locus, and aggregates TE counts and fpkm for
81 TE subfamilies into a separate file. **Count** also quantifies annotated RefSeq gene expression with the
82 transcript assembler StringTie (Pertea et al. 2015) to output annotated gene expression as fpkm in a GTF
83 file, and as counts in a count table file. In the *Analysis* stage, **Call** performs differential expression
84 analysis for TEs and RefSeq genes with the Bioconductor package DESeq2 (Love et al. 2014; Huber et al.
85 2015). To allow users to visualize alignments to TEs of interest visualized by the Integrative Genomics
86 Viewer (IGV)(Robinson et al. 2011) or UCSC Genome Browser, the *Follow-up* stage tool **Draw** creates
87 bedgraphs for each sample. **Seek** retrieves sequences for genomic coordinates supplied by the user in
88 FASTA format.



89
90

Figure 1. Schematic overview of SQIRe pipeline.

91 **Count Algorithm**

92 SQuIRE's **Count** algorithm addresses a fundamental issue with quantifying reads mapping to TEs:
93 shared sequence identity between TEs from the same subfamily and even superfamily. When a read
94 fragment originating from these non-unique regions is aligned back to the genome, the read may
95 ambiguously map to multiple loci ("multi-mapped reads"). This is not a major problem for older elements
96 that have acquired relatively many nucleotide substitutions, and thus give rise to primarily uniquely
97 aligning reads ("unique reads"). However, TEs from recent genomic insertions that have high sequence
98 similarity to other loci may have few distinguishing nucleotides. Among elements of approximately the
99 same age, relatively shorter TEs also have fewer sequences unique to a locus. Thus, discarding or
100 misattributing multi-mapped reads can result in underestimation of TE expression.

101 Previous TE RNA-seq analysis pipelines have been able to quantify TE expression at subfamily-level
102 resolution. The software RepEnrich (Criscione et al. 2014) "rescued" multi-mapping reads by re-aligning
103 them to repetitive element pseudogenome assemblies of TE loci and assigning a fractional value inversely
104 proportional to the number of subfamilies to which each read aligned. These multi-mapped fractions were
105 combined with counts of unique reads aligned to each subfamily. This approach was an advance in that it
106 used information from multi-mapped reads. However, this method results in assigning fractions that are
107 proportional to the number of subfamilies that share the multi-mapped read's sequence, rather than each
108 subfamily's approximate expression level. Tetranscripts (Jin et al. 2015) expanded on this rescue method
109 by assigning an initial fractional value inversely proportional to the number of TE loci (not subfamilies)
110 to which each read aligned. This initial fractional value was then used in an expectation-maximization
111 (EM) algorithm, which iteratively re-distributes fractions of a multi-mapping read among loci (E-step) in
112 proportion to their relative multi-mapped read abundance estimated from a previous step (M-step). The
113 total of multi-mapped reads and unique reads for each loci are then summed by subfamily. However, in
114 excluding unique reads from the EM algorithm, Tetranscripts does not incorporate empirical high-
115 confidence data to infer TE expression levels from unique TE alignments. Furthermore, in calculating the

116 relative expression level of multi-mapped reads, Tetranscripts normalizes read counts based on annotated
117 coordinates from RepeatMasker. This underestimates TE expression levels for transcripts shorter than the
118 annotated genomic length. Tetranscripts then sums the unique and multi-mapping counts for each
119 subfamily.

120 In order to accurately quantify TE RNA expression at locus resolution, **Count** builds on these
121 previous methods by leveraging unique read alignments to each TE to assign fractions of multi-mapping
122 reads (Fig. 2). First, **Count** identifies reads that map to TEs (by at least 50% of the read length) and labels
123 them as “unique reads” or “multi-mapped reads.” Second, **Count** assigns fractions of a read to each TE as
124 a function of the probability that the TE gave rise to that read. Uniquely aligning reads are considered
125 certain (e.g., probability = 100%, count = 1). **Count** initially assigns fractions of multi-mapping reads to
126 TEs in proportion to their relative expression as indicated by unique read alignments. In doing so, **Count**
127 also considers that TEs have varying uniquely alignable sequence lengths. To mitigate bias against the n
128 number of TEs without uniquely aligning reads, these TEs receive fractions inversely proportional to the
129 number of loci (N) to which each read aligned. Then **Count** assigns the remainder $(1 - \frac{n}{N})$ to the TEs
130 with unique reads. To account for TEs that have fewer unique counts due to having less unique sequence,
131 **Count** normalizes each unique count (C_U) to the number of individual unique read start positions, or each
132 TE’s uniquely alignable length (L_U). Among all TEs to which a multi-mapping read aligned, the TEs with
133 unique reads ($s \in T$) are compared with each other. A fraction of a read is assigned to each TE in
134 proportion to the contribution of the normalized unique count ($\frac{C_U}{L_U}$) to the combined normalized unique
135 count of all of the TEs being compared ($\sum_{s \in T} \frac{C_S}{L_S}$). (Equation 1). The sum of unique counts and multi-
136 mapped read fractions for each TE provides an initial estimate of TE read abundance based on empirically
137 obtained unique read counts and uniquely alignable sequence.

138
$$f_{TE}^r = \frac{\frac{C_U}{L_U}}{\sum_{s \in T} \frac{C_S}{L_S}} \times (1 - \frac{n}{N}) \quad \text{Equation 1}$$

139 Multi-mapping read assignment to TEs without unique reads is thus initially based on the numbers of
140 valid alignments for each read. **Count** next refines this initial assignment by redistributing multi-mapping
141 read fractions in proportion to estimated TE expression. To estimate expression, **Count** uses the a TE's
142 total read count ($C_{TE} = \text{unique read counts} + \text{multi-mapped fractions from the previous step}$) normalized
143 by the effective transcript length (l_{TE}): $\frac{C_{TE}}{l_{TE}}$. The effective transcript length l_{TE} is calculated as the
144 estimated transcript length L_{TE} subtracted by the average fragment length aligned to that TE + 1 ($l_{TE} =$
145 $L_{TE} - l_{avg} + 1$), as described previously (Li et al. 2010). All of the TEs to which a multi-mapping read
146 aligned ($s \in T$) are compared with each other. A fraction of a read is assigned to each TE in proportion
147 to the relative normalized total count ($\frac{C_{TE}}{l_{TE}}$) compared to the combined normalized total count of all of the
148 TEs being compared ($\sum_{s \in T} \frac{T_s}{l_s}$), as shown in Equation 2. **Count** assumes this value is proportional to the
149 probability that the TE gave rise to the multi-mapping read, and assigns that fraction of a read count to the
150 TE. Because TEs with a count fraction of less than 1 have a low probability of giving rise to any read,
151 those TEs are assigned a count fraction of 0.

152
$$f_{TE}^r = \frac{\frac{C_{TE}}{l_{TE}}}{\sum_{s \in T} \frac{T_s}{l_s}} \quad \text{Equation 2}$$

153 After the total counts (unique and multi-mapped) of each TE are re-calculated, multi-mapped reads
154 can be re-assigned in subsequent iterations of expectation (assigning multi-mapped read fractions to TEs)
155 and maximization (summation of unique and multi-mapped fraction counts). These iterations can be
156 repeated until a given iteration number set by the user or until the TE counts converge (“auto”, when all
157 of the TEs with ≥ 10 counts change by $< 1\%$). An example of **Count** output is provided in Supplemental
158 Table S1. Further details of the **Count** algorithm are in Supplemental Methods.

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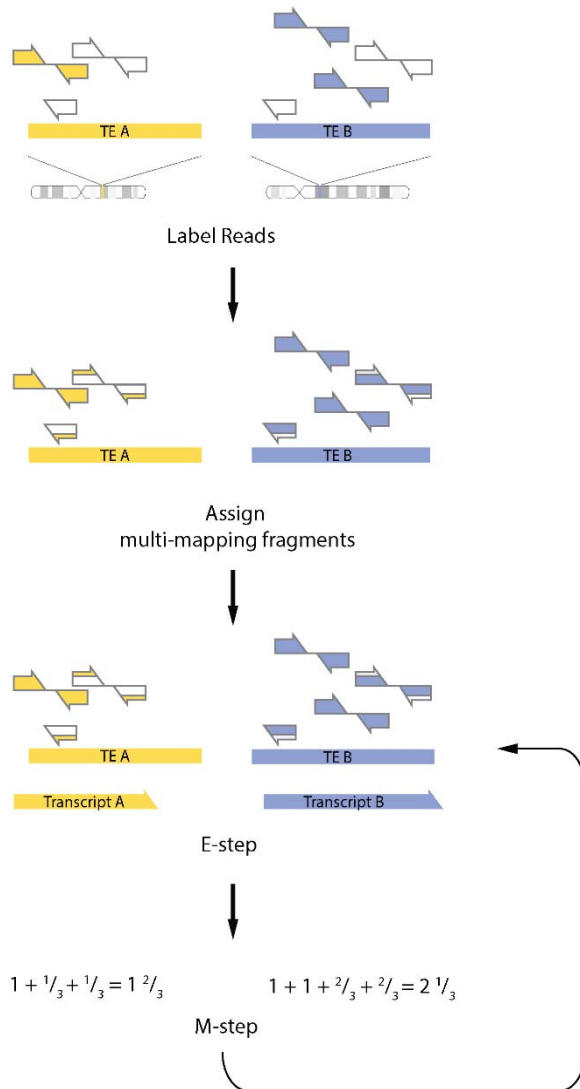


Figure 2. Schematic representation of the SQUIRE **Count** algorithm. First, **Count** labels reads as unique (filled arrows) or multi-mapping (empty arrows). Second, **Count** assigns fractions of multi-mapping reads in proportion to the normalized unique read expression of each TE. The partially filled arrows reflect the proportion of the read assigned to the TE of the corresponding color. Then, **Count** runs an Expectation-Maximization loop that estimates transcript length and reassigns multi-mapping reads for each TE (E-step), then re-estimates total read counts (M-step) until convergence.

160 **Assessing Count Accuracy in simulated data**

161 To test the performance of **Count**, we simulated RNA-seq data from 100,000 randomly selected TEs
162 from the human GRCh38/hg38 (hg38) RepeatMasker annotation (see Methods). TEs were simulated with
163 read coverages of ranging from 2-4000X and simulated counts ranging from 2-4588. We first evaluated
164 accuracy by how closely SQuIRE **Count** output corresponded to the simulated read counts (i.e., %
165 Observed/Expected). However, using this calculation is not meaningful for TEs with low simulated
166 counts: a TE with 0 counts gives an infinite value, and a reported count of 1 for a TE with 2 simulated
167 reads gives a low 50% Observed/Expected. Thus, we were primarily interested in ‘expressed’ simulated
168 TEs, considering only the 99,567 TEs with at least 10 simulated reads. Second, we evaluated SQuIRE by
169 how often it correctly detected simulated TE expression (i.e., true positives) or misreported unexpressed
170 TEs (i.e., false positives).

171 To test how well SQuIRE performed leveraging only uniquely aligning read information, we first
172 evaluated the % Observed/Expected of TE counts with 0 E-M iterations. We found that SQuIRE
173 accurately assigned read counts to most TEs, with a mean % Observed/Expected of 98.79%
174 (Supplemental Fig. S1). We predicted that this accuracy would be lower for TEs with less uniquely
175 alignable sequence. Indeed, SQuIRE was less accurate for elements with less than 10% divergence (mean
176 of 77.35 % Observed/Expected). The most frequently retrotranspositionally active TEs (i.e., *AluYa5*,
177 *AluYa8*, *AluYb8*, *AluYb9*, and L1HS) had counts ranging from 48-70% Observed/Expected, with a range
178 of 79-92% Observed/Expected at the subfamily level (Supplemental Table S2). This illustrates that even
179 without the EM-algorithm, SQuIRE is sensitive for highly homologous subfamilies at the subfamily level.

180 Given the low recovery of simulated counts for younger elements when relying solely on uniquely
181 aligning reads, we next evaluated how much adding the EM-algorithm improved **Count’s** performance.
182 We anticipated that the counts for most TEs would not change, but that younger elements with less
183 divergence would have improved recovery of simulated reads. Indeed, the overall % Observed/Expected
184 counts of TE loci increased only slightly by 0.14% to a total of 98.93%. However, the change in %

185 Observed/Expected of TEs was much greater for the most homologous active elements, improving by
186 20.47% for young *Alu* elements and by 21.1% for L1HS loci (Fig. 3). At the subfamily level, the %
187 Observed/Expected of active TEs was improved by 8.1% for young *Alu* elements and by 2.2% for L1HS
188 (Supplemental Table S2). Using updated transcript information in the EM-algorithm is thus particularly
189 useful for TE biologists interested in younger elements that have previously been problematic to quantify
190 by RNA-seq.

191 We also wanted to evaluate SQuIRE's ability to distinguish whether a TE is expressed or not. To
192 examine how well **Count** detected expressed TEs, we calculated the true positive rate (TPR) as the
193 percentage of TEs with at least 10 simulated reads that SQuIRE also reported to have ≥ 10 counts.
194 Conversely, we evaluated how often SQuIRE falsely reports TE expression by calculating the positive
195 predictive value (PPV) as the percentage of TEs with ≥ 10 reported counts that were in fact simulated to
196 have ≥ 10 reads. The true negative rate, or how often SQuIRE correctly reports that a TE is *not* expressed,
197 is less informative for evaluating TE estimation accuracy because the number of TEs in the hg38 genome
198 is so high (>4 million TEs) that the true negative value would outweigh the false positive value (Saito and
199 Rehmsmeier 2015). Overall, SQuIRE had both a high TPR of 98.5% and high PPV of 99.4%. These
200 values were lower for frequently retrotranspositionally active *Alus* (TPR=68.75-83.33%, PPV= 64.29-
201 100%) and L1HS (TPR=100%, PPV=62.86%) using only unique reads for TE expression estimation
202 (Supplemental Table S3). However, using the EM algorithm improved the TPR for *Alus* (TPR=85.22%-
203 100%) by reducing false negative reports and the PPV for L1HS (PPV=78.57%) by reducing false
204 positives.

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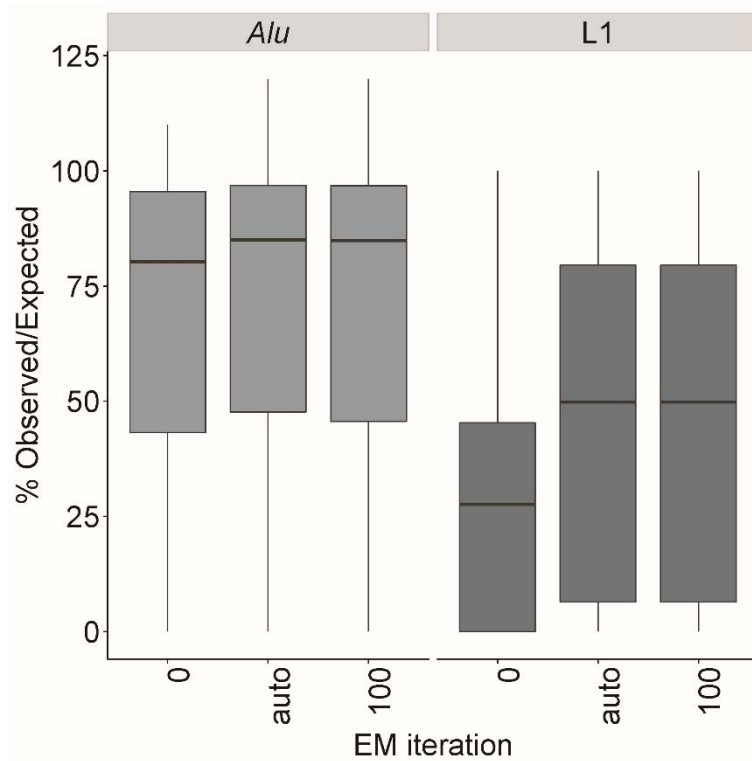


Figure 3. Running EM iterations improves the % Observed/Expected for SQuIRE **Count** for the frequently retrotranspositionally active *Alu* (*AluYa5*, *AluYa8*, *AluYb8*, *AluYb9*) and L1 (L1HS) subfamilies compared to no EM iterations ($i=0$), and does not degrade with increasing iterations ($i=100$). By default ($i="auto"$), SQuIRE **Count** continues the EM-algorithm until each TE with more than 10 reported read counts changes by less than 1%.

207 **Endogenous LINE-1 detection with Count**

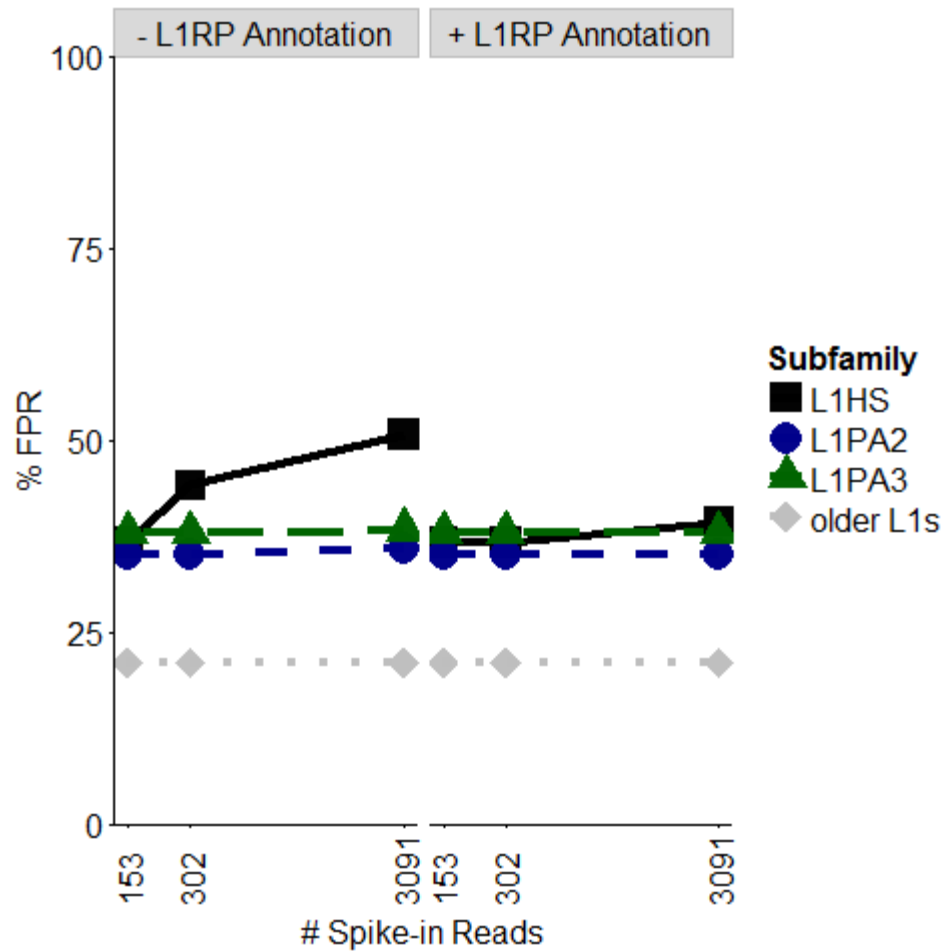
208 To assess **Count**'s ability to detect endogenous LINE-1 expression using biological data, we
209 evaluated the expression level of L1 at loci previously characterized by other methods. Because L1s often
210 become 5' truncated upon insertion (Perepelitsa-Belancio and Deininger 2003), Deininger et al.
211 performed 5' rapid amplification of cDNA ends (RACE) on cytoplasmic HEK293 RNA to enrich for full-
212 length L1 RNA. They also performed RNA-seq on polyA-selected cytoplasmic HEK293 RNA to identify
213 L1 loci that have downstream polyadenylation signal. We filtered their findings for L1 loci that had > 5
214 mapped RNA-seq reads from both 5'RACE and poly-A selected RNA libraries (Deininger et al. 2017) to
215 compare with SQUIRE. We then examined the expression reported by SQUIRE at these 33 loci in paired-
216 end, total RNA from HEK293T cells (GSE113960). We found that 31 (93.4%) had > 10 SQUIRE read
217 counts, confirming their expression (Supplemental Table S4). This suggests that **Count** can detect L1
218 expression in RNA-seq libraries that are not enriched for L1 loci.

219 Only a subset of the L1s evaluated by Deininger et al. belonged to L1HS, the youngest family of L1s.
220 Because L1HS loci can be retrotranspositionally active, they can generate insertions that are
221 polymorphic or novel compared to the the reference human RepeatMasker annotation. Reads from TE
222 insertions that are not present in the RepeatMasker annotation can be misattributed to unexpressed, fixed
223 TEs, which can result in "false positive" reports of expression at silent loci. To test how this affects
224 **Count**, we transfected HEK293T cells with an empty pCEP4 plasmid or with a plasmid containing L1RP,
225 an L1HS with known retrotransposition activity (Schwahn et al. 1998; Kimberland et al. 1999). The
226 transfection of L1RP resulted in increased L1HS-aligning reads (254,681 reads) compared to L1HS loci
227 in L1RP-negative cells (2,671 reads) (Supplemental Fig. S2). The differences in L1HS expression in
228 L1RP-transfected cells was higher than what we would expect from endogenous, polymorphic insertions
229 based on previous estimates of polymorphic and fixed L1HS expression in HEK293T cells using unique
230 reads within 1kb downstream of L1HS loci (Philippe et al. 2016). Because Philippe et al. suggested that
231 polymorphic L1HS insertions were transcribed at levels similar to fixed full-length L1HS loci, we sought

232 to mimic polymorphic L1HS expression levels more consistent with previously reported levels. To
233 determine comparable fixed L1HS expression levels in our control HEK293T RNA-seq data, we
234 examined the **Count** output at loci with reported expression by Phillippe et al. (145 read counts). We then
235 downsampled the L1RP-aligning reads from L1RP transfected HEK293T cells to a similar number (153
236 reads). To simulate a range of polymorphic L1HS expression levels, we also downsampled RNA-seq
237 reads that aligned to the L1RP plasmid to 2X and 20X the fixed active L1HS expression level (302 and
238 3,091 reads). For these downsampled reads, we identified their other, off-target alignments to the
239 reference genome. To control for potential biological effects of L1RP transfection on TE counts, we
240 ‘spiked in’ these downsampled reads from L1RP-transfected cells into RNA-seq data from HEK293T
241 cells transfected with an empty pCEP4 plasmid. We then calculated the number of false positive L1 loci
242 that became ‘expressed’ with > 10 counts after the *in silico* spike-in. We focused on the 3 youngest L1
243 subfamilies that share the greatest homology with the L1RP sequence (i.e., L1HS or L1PA1, L1PA2, and
244 L1PA3) (Smit et al. 1995; Boissinot et al. 2000; Lee et al. 2007) and compared their false positive rates to
245 older L1 loci (Fig. 4). When the alignments of 153 reads were spiked in, we found that the false positive
246 rate (FPR) of the youngest L1 subfamilies were comparable to each other, ranging from 34-38%.
247 However, as the spiked in alignments increased to 302 and 3091 reads, the FPR increased for L1HS to
248 50.68% but not the other subfamilies. This indicates that polymorphic L1HS expression primarily affects
249 the alignments to L1HS loci, and not the loci of closely related subfamilies.

250 L1-mapping methods (Upton et al. 2015; Rodić et al. 2015; Iskow et al. 2010; Ewing et al. 2010) and
251 TE insertion detection software for whole genome sequencing (Gardner et al. 2017; Lee et al. 2012;
252 Keane et al. 2013; Stewart et al. 2011; Sudmant et al. 2015; Ewing et al. 2011) can identify locations of
253 non-reference TE insertions. Validating these insertions by PCR and Sanger sequencing can provide not
254 only unique sequence flanking the insertion but potentially also the TE sequence. Users can input a
255 custom table to **SQUIRE Map** and **Clean** (Supplemental Table S5) to add non-reference TEs and their
256 flanking sequence to the alignment index and RepeatMasker BED file. We evaluated how incorporating

257 the non-reference table containing information about the L1RP plasmid affected the FPR in HEK293T
258 cell data. We found that the FPR for L1HS only increased from 36.67% with 153 reads spiked in to
259 39.34% with 3091 reads spiked in. Thus, adding L1RP information improved **Count's** accuracy at higher
260 L1RP *in silico* expression levels.



261

Figure 4. False positive rate (FPR) of L1 loci expression in HEK293T cells when spiking in L1RP-aligning reads. False positive expression is implicated a locus that previously had <10 reads has ≥ 10 reads after spike-in. % FPR is the percentage of loci with false positive loci relative to the total number of loci with ≥ 10 SQuIRE read counts. The number of spike-in reads (153, 302, 3091) represents 1X, 2X and 20X predicted endogenous polymorphic L1HS expression levels based on findings from Phillippe et al. 2016. The FPR is robust for older L1 subfamilies with increased spike-in reads. The addition of L1RP annotation in a non-reference table reduces the change in false positive rate for L1HS after increasing spike-in reads.

262 **Comparison to other software**

263 Currently published TE analysis software include RepEnrich, TEtranscripts, and TETools
264 (Criscione et al. 2014; Jin et al. 2015; Lerat et al. 2016). We used the simulated hg38 TE data described
265 above to compare the recovery of simulated reads to the correct subfamily among TE quantification
266 software (% Observed/Expected). For mapping, we ran each software's recommended aligner: STAR
267 (used by SQuIRE and TEtranscripts), Bowtie 2 (used by TETools), and Bowtie 1 (used by RepEnrich).
268 We found that SQuIRE ($99.86\% \pm 1.46\%$), TETools ($100.14 \pm 2.21\%$), and TEtranscripts ($95.89 \pm$
269 16.41%) had comparable % Observed/Expected rates (Supplemental Fig. S3). In contrast, RepEnrich
270 ($108.77 \pm 40.67\%$) was less accurate in terms of % Observed/Expected. This is likely attributable to
271 RepEnrich's recommended use of Bowtie 1, which discards discordant reads and limits the number of
272 attempts to align both paired-end mates to repetitive regions. To support this, we compared how often
273 each aligner mapped a uniquely aligning simulated read to the correct location. We indeed found that
274 Bowtie 1 failed to report unique reads more often in a paired-end library compared to single-end
275 (Supplemental Table S6).

276 To compare SQuIRE to other TE analysis tools with biological data, we ran each pipeline on
277 publically available adult C57Bl/6 mouse tissue RNA-seq data (Brawand et al. 2011) using
278 GRCm38/mm10 (mm10) TE annotation. We compared the expression of subfamilies in testis compared
279 to pooled data from brain, heart, kidney, and liver tissues. To independently evaluate the fold-changes of
280 TE RNA between testis and somatic tissues, we also used our previously published adult C57Bl/6 mouse
281 Nanostring results (Gnanakkan et al. 2013). Unlike RNA-seq analysis, which infers transcript levels by
282 counting reads, Nanostring uses uniquely mapping probes to capture and count RNA molecules. We
283 compared the Nanostring \log_2 fold changes (\log_2FC) of TE subfamily expression in testis and pooled
284 somatic tissue to the \log_2FC values found by SQuIRE, RepEnrich, TEtranscripts, and TETools
285 (Supplemental Fig. S4). We first looked at how often the direction of fold change corresponded between
286 each tool and Nanostring. For the 16 subfamilies queried, SQuIRE and TETools shared the same direction

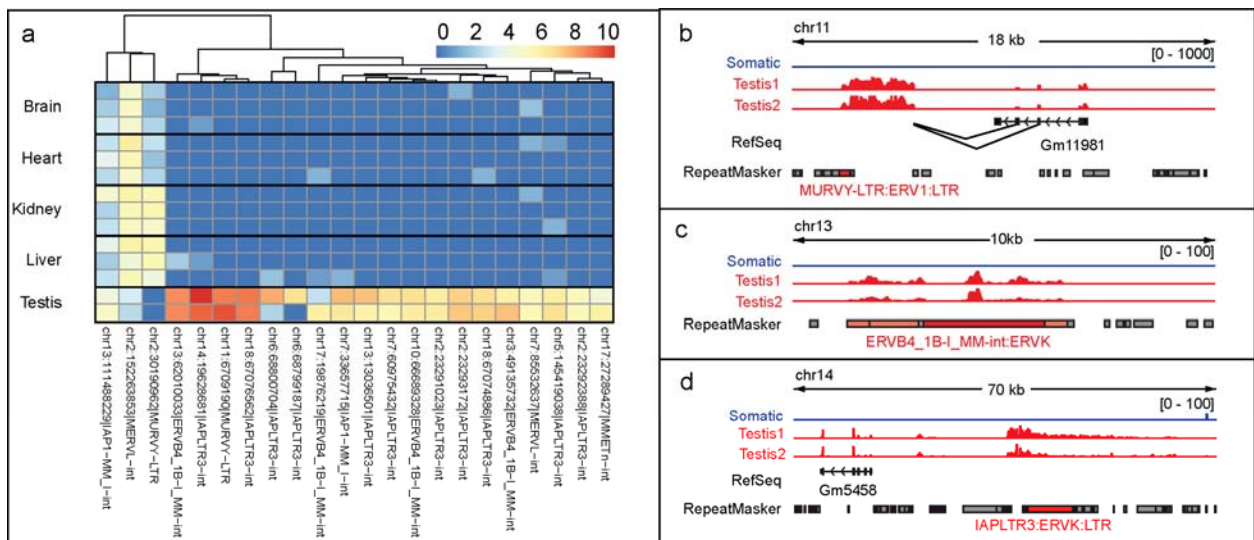
287 of fold change as Nanostring more often than the other tools (SQuIRE: 12, TETools: 12, TETranscripts: 9,
288 RepEnrich: 8). Moreover, compared to TETools, SQuIRE reported log₂FC values closer to the expected
289 values from Nanostring (mean absolute differences in log₂FC from Nanostring– SQuIRE: 0.965,
290 TETools: 1.34, TETranscripts: 1.16, RepEnrich: 1.11).

291 With SQuIRE, we can closely examine the mouse RNA-seq data at the locus level. For the 16
292 subfamilies analyzed by Nanostring and the TE analysis tools, we found that the reported subfamily-level
293 expression could be attributed to fewer than 7% of each subfamily's loci (Supplemental Fig. S5). This
294 suggests that regulation of TE transcription is not necessarily shared across all TEs from the same
295 subfamily. On the other hand, whereas the other subfamilies studied by Nanostring have only 1-4
296 significantly differentially expressed loci (log₂FC >1, padj < 0.05), the IAPLTR3 subfamily has 11 loci
297 that are all differentially expressed in testis compared to somatic tissues (Fig. 5A). To test whether this
298 was an enrichment relative to the representation of IAPLTR3 in the mouse genome, we performed a
299 Fisher's exact test and found that IAPLTR3 loci were 10-fold more likely than expected to be
300 differentially expressed in testis (OR: 10.56, 95%CI: 5.25-18.97, padj < 1.61 e-08). This suggests that a
301 subset of TE locus expression may still be impacted by subfamily-specific regulation.

302 To further investigate the interplay between genomic context and TE subfamily, we identified the
303 closest genes to each differentially expressed locus and clustered the loci by their expression levels, as
304 shown in Figure 5A. We found a cluster of 3 loci exhibiting broad expression across somatic tissues from
305 the IAP1, MERVL, and MURVY LTR retrotransposon subfamilies. When we examined the genomic
306 context of these 3 loci, we found that all were located within genes with known broad tissue expression
307 (*Gpbp1*, *Csnk2a1*, *Kyat1*, respectively) (Yue et al. 2014), with examples shown in Supplemental Figure
308 S6. Another locus from the MURVY subfamily is in a cluster of TEs exhibiting high testis-restricted
309 expression. In examining the transcript overlapping the MURVY locus, we see that the transcript initiates
310 outside of the locus and find that the transcript is an alternative splicing isoform with splice donors from
311 the third and fourth exons of a gene ~5kb away (Fig. 5B). The gene, *Gm11981*, is a long noncoding RNA

312 (lncRNA) known to exhibit testis-restricted expression (Yue et al. 2014). The different MURVY-
313 containing transcripts illustrate how the relationship between TE expression and neighboring transcription
314 can vary across loci from the same subfamily. We also examined ERVB4-1B and IAPLTR3, the two LTR
315 retrotransposon subfamilies that exhibited the highest fold change by Nanostring. These subfamilies were
316 represented in the high-expressing, tissue-restricted loci cluster (Fig. 5A). While the transcription of the
317 ERVB4-1B locus on chr13 did not extend beyond annotations for that subfamily (Fig. 5C), the IAPLTR3
318 loci on chr14 (Fig. 5D) and chr18 are part of longer transcripts that initiate outside of the annotated TE.
319 Unlike the MURVY locus on chr11, there is no evidence of splicing into the IAPLTR and ERVB4-1B
320 loci. Thus, TEs from different subfamilies may be subject to different mechanisms of transcriptional
321 regulation as evidenced by expression within different transcript structures. Altogether, this stresses the
322 utility of using SQUIRE to analyze TE transcription at the locus level.

323



324
325

Figure 5. Differentially expressed TE loci belonging to subfamilies previously analyzed by Nanostring a. The X-axis represents replicates of somatic and testis tissue samples from adult C57Bl/6 mouse. The Y-axis represents differentially expressed TE loci. The heatmap colors represent the log₂ of total read counts +1 for each TE locus. b-d. Examples of intergenic TE loci differentially expressed in testis compared to somatic tissues. Tracks from brain, heart, kidney and liver replicates were collapsed into a single track. The scales of count expression are shown in brackets. The RefSeq track represents annotated genes. The RepeatMasker track represents transposable elements annotated in the reference genome. Transposable elements colored in red belong to the subfamily indicated; dark red indicates that that RepeatMasker entry meets significant differential expression thresholds (log₂FC > 2, padj < 0.05).

326 **Benchmarking for SQuIRE's Memory Usage and Running Time**

327 To benchmark SQuIRE's memory usage and running time for RNA-seq data of different
328 sequencing depths, we subset the high-depth (mean 263 million reads across 8 lanes) HEK293T cell line
329 RNA-seq data into 1, 2, and 3-lane libraries with a mean sequencing depth of 32, 65, and 98 million
330 reads. We evaluated the speed and memory performance of each *Quantification* and *Analysis* stage tool
331 for each sequencing depth (Fig. 6) using 8 parallel threads and 64 Gb of available memory. We found that
332 sequencing depth had the greatest effect on **Count**, taking 8.6 hours to complete the 3-lane library
333 compared to 2.4 hours for the 1 lane library. The other tools took much less time and were less affected
334 by sequencing depth. **Map** took 1-2 hours for the different libraries. **Call** running time was also
335 independent of library size, but it was greater when including all TE counts (10 minutes) compared to
336 subfamily counts (2 minutes). We found that the memory usage of each tool was largely independent of
337 sequencing depth, taking between 39-40Gb of Memory for **Map**, 30-32Gb for **Count**, and 7-8Gb for
338 **Call**.

339 **Implementation**

340 Our efforts at making SQuIRE easy to use has resulted in a simple installation process in which the
341 user can copy and paste lines of code to install all prerequisite software and set up SQuIRE (Table 1). In
342 addition, SQuIRE is the only program that downloads reference annotation for assembled genomes
343 available on UCSC, allowing it to be easily adaptable to a variety of species. For genomes from non-
344 model organisms or organism strains with high divergence from the reference annotation, SQuIRE can
345 also use RepeatMasker software output for even wider compatibility. To ensure that the pipeline is
346 streamlined and that the outputs are reproducible, SQuIRE also implements alignment and differential
347 expression for the user. In making SQuIRE as user-friendly as possible, we intend to improve the
348 reproducibility of bioinformatics in the TE field.

349

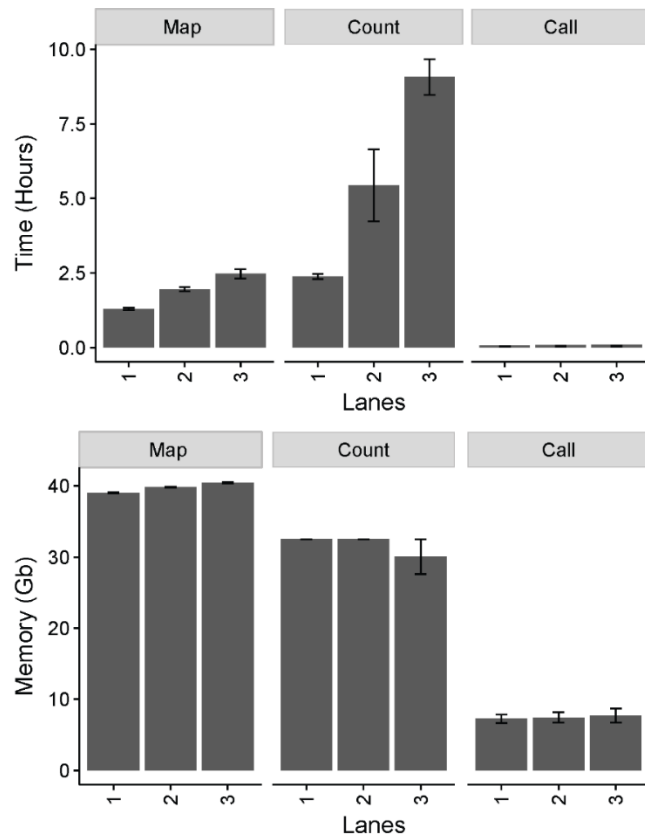


Figure 6. Usage data for the main modules of SQuIRE. Time (Hours) and Memory for SQuIRE **Count**, **Map** and **Call**. Mean library sizes for RNA seq data were 1 lane= 32,912,528 reads, 2 lanes= 65,573,850 reads, 3 lanes= 98,757,439 reads.

	SQuIRE	RepEnrich	Tetranscripts	TETools
Provides Locus-level TE RNA quantification	YES	--	--	--
Provides TE transcript information	YES	--	--	--
Copy-and-paste installation	YES	--	--	--
Provides prerequisite annotation files for any species	YES	--	--	--
Can incorporate non-reference TEs	YES	--	--	YES
Performs alignment	YES – uses STAR	Recommends Bowtie 1	Recommends STAR	YES – uses Bowtie 1 or Bowtie 2
Uses genome for alignment	YES	YES - Genome + TE pseudogenome	YES	--
Provides gene expression quantification	YES	--	YES	--
Performs differential expression	YES	--	YES	YES

350

Table 1. Feature comparison of RNA-seq Analysis tools for TEs.

351 **Discussion**

352 We have developed Software for Quantifying Interspersed Repeat Expression (SQuIRE) to
353 characterize TE expression using RNA-seq data. TEs are highly repeated in the genome, which can pose
354 challenges for mapping reads unambiguously to specific transcribed loci. SQuIRE is the first RNA-seq
355 analysis software that provides locus-specific TE expression quantification while also outputting
356 subfamily-level expression estimates (Table 1). Our approach uses unambiguously mapping reads and an
357 Expectation-Maximization algorithm to estimate levels of TE transcripts. SQuIRE additionally provides
358 information on the structure of each TE transcript, which can be shorter or longer, sense or antisense
359 compared to the annotated repeat. We have shown that SQuIRE can correctly attribute a high percentage
360 of reads originating from TEs using simulated data. Although this percentage is lower for frequently
361 retrotranspositionally active, less divergent TEs (e.g., *AluYa5*, *AluYa8*, *AluYb8*, *AluYb9*, *L1HS*), we
362 found that implementation of an Expectation-Maximization (EM) algorithm (Jin et al. 2015; Li and
363 Dewey 2011) improves accuracy and lowers both false positive and false negative estimations of whether
364 a TE is expressed. This finding also holds in biological settings, where SQuIRE is able to correctly
365 identify instances of full-length L1 expression in total RNA RNA-seq data from cell lines wherein
366 previous studies had identified these loci using a combination of 5'RACE and 3' primer extension
367 methods (Deininger et al. 2017). This confirms that SQuIRE can detect the expression of TEs in the
368 reference genome that have in the past been problematic for global TE RNA expression analysis.

369 The ongoing activity of TEs also results in a significant number of mobile element insertion variants
370 (MEI) (Beck et al. 2010; Sudmant et al. 2015; Stewart et al. 2011). Numerous commonly occurring
371 structural variants owed to retrotransposition are missing in reference genome assemblies. SQuIRE
372 provides users with two options to query transcription of these repeats. First, it can detect their
373 transcription at the subfamily level. We have shown that SQuIRE can detect expression of L1HS elements
374 when we express an ectopic sequence. It maintains a low false positive rate of misattributing these reads
375 to endogenous L1HS loci. Thus, SQuIRE can be useful for detecting altered regulation of young TE

376 subfamilies even when specific loci that are expressed are unknown. Secondly, SQuIRE can use
377 sequences of known, non-reference TE insertion polymorphisms to detect locus-specific expression when
378 these are available. For example, in the human genome, L1HS element sites and sequences can be
379 obtained by targeted TE insertion mapping (Upton et al. 2015; Rodić et al. 2015; Iskow et al. 2010;
380 Ewing et al. 2010) or whole genome sequencing (Gardner et al. 2017; Lee et al. 2012; Keane et al. 2013;
381 Ewing et al. 2011). Polymorphic TE insertions have been reported to databases such as euL1db (Mir et al.
382 2015), dbRIP (Wang et al. 2006) and 1000 Genomes Project (Sudmant et al. 2015). If the polymorphic
383 insertions have been verified and sequenced in the user's samples, SQuIRE is capable of incorporating
384 user-provided, non-reference TE sequence to estimate TE expression at these loci. This may be a useful
385 feature for understanding functional consequences of these insertion variants (Payer et al. 2017).

386 The SQuIRE algorithm builds on strategies used by previous TE analysis software (Criscione et al.
387 2014; Jin et al. 2015; Lerat et al. 2016). Here, we show that SQuIRE provides additional features and
388 improves on the accuracy of these methods, as assessed using both simulated reads and orthogonal
389 approaches to measure \log_2 fold changes in mouse tissue comparisons. Our findings suggest that
390 important biologic insights can be gained by examining TE transcription at the locus level.

391 To date, locus-specific studies of TE expression and activity have mostly focused on identifying
392 transcriptionally and retrotranspositionally active L1s in the human genome (Deininger et al. 2017;
393 Philippe et al. 2016; Scott et al. 2016; Brouha et al. 2003; Beck et al. 2010; Tubio et al. 2014; Pitkänen et
394 al. 2014). In applying SQuIRE to study locus-specific TE expression genome-wide in mouse tissues, we
395 can see that this paradigm is not unique to L1s or humans. It seems a very limited subset of TE loci are
396 transcribed with complex patterns of tissue-specific expression. Furthermore, we found that the tissue
397 expression patterns of TE loci were driven by a variety of transcriptome contexts: broadly expressed
398 mRNA transcripts, testis-specific lncRNA and authentic TE 'unit' transcripts. How these TEs affect
399 genome regulation remains an open question. Prior to SQuIRE, the inability to map TE expression limited
400 genome-wide analysis of TEs to the effects of *cis*-acting elements on transcriptional (Faulkner et al.

401 2009; Kalitsis and Saffery 2009; Le et al. 2015; Xie et al. 2013) and post-transcriptional (Stower 2013;
402 Sorek et al. 2002; Ecco et al. 2016; Athanasiadis et al. 2004) regulation. Further, the effects of
403 neighboring genes on TE transcription are not well-understood. In providing locus-level TE transcript
404 estimations, SQuIRE can enable studies that dissect the regulatory impacts of TE and gene expression.

405 **Methods**

406 **Implementation of STAR aligner in Map**

407 **Map** uses parameters tailored to the alignment of TEs. By default STAR only reports reads that map
408 concordantly and to 10 or fewer locations. **Map** retains more reads mapped to TEs by reporting reads that
409 map to 100 or fewer locations (`--outFilterMultimapNmax 100 --winAnchorMultimapNmax 100`). For
410 paired-end reads, **Map** also reports paired reads that map discordantly (`--chimSegmentMin`
411 `<read_length>`) and single reads with unmapped mates (`--outFilterScoreMinOverLread 0.4 --`
412 `outFilterMatchNminOverLread 0.4`). **Map** can incorporate the non-reference TE sequences and generate a
413 FASTA file that STAR adds to the genome index with the option “`--genomeFastaFiles <fasta>`”. To
414 provide splicing information to the tools in the *Analysis Stage*, **Map** also uses the UCSC RefSeq gene
415 annotation and assesses reads overlapping splice junctions with the options “`--sjdbGTFfile <gtf> --`
416 `sjdbOverhang <read_length -1> --twopassMode Basic`”. **Map** produces a sorted BAM file that includes
417 intron and splicing information for downstream transcriptome assembly analysis.

418 **Implementation of StringTie in Count**

419 **Count** runs StringTie (Pertea et al. 2015) using these default settings guided by RefSeq gtf obtained
420 from UCSC with **Fetch**. **Count** uses the “-e” StringTie option to quantify expression only to annotated
421 transcripts without assembly of novel transcripts. We convert the fpkm values to counts by multiplying
422 the per-exon coverage by exon length normalized by read length.

423 **DESeq2 Implementation in Call**

424 **Call** incorporates the Bioconductor package DESeq2 (Love et al. 2014; Huber et al. 2015) with its
425 suggested parameters. Users input the sample names and experimental design (ie which samples are
426 treatment or control), which **Call** uses to find **Count** data and create a count matrix for annotated RefSeq
427 genes, StringTie transcripts and TEs. **Call** outputs differential expression tables and generates MA-plots,
428 data quality assessment plots, and volcano plots.

429 **STAR implementation in Draw**

430 To visualize the distribution of reads across the TE, **Draw** runs **STAR** (Dobin et al. 2013) with the
431 parameters “-runMode input AlignmentsFromBAM -outWigType bedGraph” to provide visualization of
432 read alignments. It will output bedgraphs of all reads (“multi”) and only uniquely (“unique”) aligning
433 reads. **Draw** also compresses the bedgraphs into bigwig format for IGV (Robinson et al. 2011) and UCSC
434 Genome Browser (Rosenbloom et al. 2014) viewing. If the RNA-seq data is stranded it will output unique
435 and multi bedgraphs for each strand.

436 **RNA-seq simulation**

437 We randomly selected 100,000 TEs from the hg38 Repeatmasker annotation downloaded by **Fetch**.
438 We limited our list of potential TEs to those included in TEtranscripts (Jin et al. 2015) and RepEnrich
439 (Criscione et al. 2014) to enable comparisons between these different programs. Using the selected TE
440 coordinates we generated a BED file using **Clean** and obtained Fasta sequences using **Seek**. From these
441 TE sequences, we used the Polyester package from Bioconductor (R version 3.4.1, Huber et al. 2015)
442 (Huber et al. 2015) to simulate 100bp, paired-end, stranded RNA-seq reads with normally distributed
443 fragment lengths around a mean of 250bp. We simulated a uniformly distributed sequencing error rate of
444 0.5%. TEs were simulated with a mean read coverage of 20X, with 250 TEs deviating from that mean
445 between 2-100 fold.

446 **HEK293T Cell Culture, Transfection and Sequencing**

447 Tet-On HEK293TLD (293T) cells (Taylor et al. 2013) were grown at 37C, 5% CO₂ in DMEM with
448 10% Tet-Free FBS (Takara, Mountain View, CA) and passaged every 3-5 days as needed.

449 LINE expression constructs were cloned into the pCEP4 backbone (Thermo Fisher Scientific,
450 Waltham, MA) modified to confer puromycin resistance. Plasmids encoded either LIRP (MT302) or had
451 no insert (Taylor et al. 2013). For transfection, 300,000 293T cells were plated in 2 mL volume. 24 hours
452 later, cells were transfected using a cocktail of 2 ug plasmid DNA and 6 uL Fugene HD (Promega), and

453 puromycin was added 24 hours later for a total of 3 days of selection. 500,000 cells were then plated in 3
454 wells each, and doxycycline was added 2 hours later (final concentration of 1 ug/ml) to induce L1
455 expression. RNA was collected after 72 hours of L1 expression using the Zymo Quick-RNA MiniPrep kit
456 (Zymo Research, Tustin, CA). The RNA libraries of transfected 293T cells were prepared using the
457 Illumina TruSeq Stranded Total Library Prep Kit with Ribo-Zero Gold (San Diego, CA) to provide
458 stranded, ribosomal RNA depleted RNA. The libraries were sequenced on an Illumina HiSeq 2500, using
459 6 samples per lane across 8 lanes with paired-end 100bp reads. We generated a mean of 263,127,067
460 paired reads per sample. The raw sequencing data were deposited to the NCBI Genome Expression
461 Omnibus (GEO) with accession number GSE113960.

462 **HEK293T Cell RNA-seq Analysis and *In Silico* Spike-in Experiment**

463 For detection of fixed L1 expression identified by Deininger et al. by 5'RACE and poly-A selected
464 RNA sequencing in HEK293 cells, we ran SQuIRE **Map**, **Count**, and **Call** on HEK293T cell samples
465 transfected with empty L1RP vector (DA5 and DA6). To determine the effect of L1RP transfection on the
466 false positive rate of L1 RNA estimation, we ran **Map** and **Count** on HEK293T cells transfected with
467 L1RP and vector. To simulate the effect of polymorphic TE expression on typical RNA-seq samples, we
468 downsampled a transfected (DA1) and control (DA5) sample to a single lane per sample (average 32
469 million reads). To identify L1RP aligning reads in the L1RP-transfected cell, we used SAMtools (Li et al.
470 2009) to identify reads that align to the chromosome construct provided by the non-reference table
471 (Supplemental Table S5). To downsample the L1RP-aligning reads, we used the SAMtools “-s
472 $\langle INT.FRAC \rangle$ ” option with 0.01, 1.001, and 3.0004 as inputs. The integer before the decimal indicates
473 the seed value and the number after the decimal indicates the fraction of total alignments desired for
474 subsampling. We then identified all alignments to the genome sharing the same Read IDs as the down-
475 sampled L1RP-aligning reads. We used SAMtools merge to combine the alignments of L1RP-aligning
476 reads with the BAM file of the HEK293T cell sample transfected with empty vector (DA5).

477 **TE RNA-seq tool Comparison**

478 Adult C57BL/6 mouse RNA-seq data were obtained from GEO with accession number GSE30352.

479 All pipelines were run on a server with a maximum of 128 GB memory available and 8 threads (-p
480 setting).

481 RepEnrich (Criscione et al. 2014)– We obtained the hg38 annotation for RepeatMasker from the
482 RepEnrich GitHub website. For the mm10 annotation, we obtained the mm10.fa.out.gz RepeatMasker
483 (Smit, AFA, Hubley, R & Green) annotation from the RepeatMasker website. We ran the setup for
484 RepEnrich following instructions from the website for each genome build. We then mapped the data to
485 the genome using Bowtie 1 (Langmead et al. 2009) according to RepEnrich’s instructions to generate
486 separate uniquely mapping sam and multi-mapping read .fastq files. These were then used for the
487 RepEnrich software with the “-pairedend TRUE” parameter for simulated human data, and “-pairedend
488 FALSE” for mouse data.

489 TETools (Lerat et al. 2016)– We generated rosette files for hg38 and mm10 for TETools by taking
490 the Repeatmasker annotation from **Clean** for the first column and the repeat taxonomy for the second
491 column (subfamily:family:superfamily). We used the BED file from **Clean** with **Seek** to obtain TE
492 FASTA sequences for generation of a pseudogenome for TETools. TETools was run with the “-bowtie2”,
493 “-RNApair” and “-insert 250” parameters for simulated human data and “-bowtie2”, “-insert 76” for
494 mouse data.

495 Tetranscripts (Jin et al. 2015) –We obtained hg38 and mm10 GTF annotation from the Tetranscripts
496 website. We aligned the data to the genome with STAR using “--winAnchorMultimapNmax 100”, “--
497 outFilterMultimapNmax 100” parameters for multi-mapping. We then ran Tetranscripts with the “--mode
498 multi” setting to utilize its expectation-maximization algorithm for assigning multi-reads for the resulting
499 SAM file. Since Tetranscripts analyzes TE and gene expression together, we used refGene annotation
500 obtained by SQuIRE **Fetch** for the required gtf file. We used the parameters “--format SAM”, “--mode
501 multi”, “--stranded yes” for simulated human data, and “--format SAM”, “--mode multi”, “--stranded no”
502 for mouse data.

503 **Aligner Comparison**

504 We ran the aligners Bowtie1 (Langmead et al. 2009), Bowtie2 (Langmead and Salzberg 2012), and
505 STAR (Dobin et al. 2013) on the simulated TE RNA-seq data described above. We set each aligner to
506 output a maximum of 2 valid alignments to quickly identify uniquely aligning reads with the parameter “-
507 m2” for Bowtie 1, “-k2” for Bowtie 2, and “--outSAMmultNmax 2” for STAR. We also ran STAR with
508 the parameters “--outFilterScoreMinOverLread 0.4 --outFilterMatchNminOverLread 0.4 --
509 chimSegmentMin 100” to allow for discordant alignments, which STAR excludes by default. Bowtie2
510 reports discordant alignments by default, while Bowtie 1 can only report paired alignments. We used
511 BEDTools (Quinlan and Hall 2010) to intersect the BAM outputs to RepeatMasker annotation to identify
512 the TEs to which the aligners mapped the reads. Reads that only appeared once as “uniquely aligning”.
513 We assessed whether the mapped TE matched the templating TE for the simulated read to determine if
514 the uniquely aligning reads mapped to the correct location.

515 **Data Access**

516 The raw sequencing data and SQuIRE Count output for HEK293T cell transfection were deposited to
517 the NCBI Genome Expression Omnibus with accession number GSE113960. SQuIRE was written in
518 Python2 and is available at the website <https://github.com/wyang17/SQuIRE> and PyPI. It was developed
519 for UNIX environments. We provide step-by-step instructions on our README to install the correct
520 versions of all software. These instructions include using the package manager Conda (conda.io) to
521 download the correct versions of prerequisite software for SQuIRE (e.g., Python, R (R Development Core
522 Team 2011), STAR, BEDTools, StringTie, SAMtools (Li et al. 2009), UCSC tools and Bioconductor
523 packages. The README also instructs users how to create a non-reference table with the exogenous or
524 polymorphic TE sequences and coordinates that they would like to add to the reference genome. Bash
525 scripts to run each tool in the SQuIRE pipeline are also included. Users can fill in crucial experiment
526 information (raw data, read length, paired, strandedness, genome build, sample name and experimental

527 design) into the “arguments.sh” file, which the other scripts reference to run each step with the correct
528 parameters.

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540 HEK293T cells, and suggestions for analysis and manuscript; C.N.P. contributed to debugging SQuIRE
541 and development of README for SQuIRE website; L.M.P. & K.H.B. jointly contributed to overall study
542 design, data interpretation and manuscript.

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