

1 **Synthesis of oxyfunctionalized NSAID metabolites by microbial biocatalysts**

2 Jan M. Klenk ^a, Lisa Kontny ^{a*}, Bernd A. Nebel ^a, Bernhard Hauer ^{a#}

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4 ^aInstitute of Biochemistry and Technical Biochemistry, University of Stuttgart,
5 Allmandring 31, 70569 Stuttgart, Germany

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7 Running Head: NSAID oxyfunctionalization by microbial biocatalysts

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9 #Address correspondence to Bernhard Hauer, bernhard.hauer@itb.uni-stuttgart.de; phone:
10 +49 711 685 63193; fax: +49 711 685 63196.

11 *Present address: Lisa Kontny, Marabu GmbH, Tamm, Germany.

12

13 Abbreviations: GC, gas chromatography; HPLC, high-performance liquid
14 chromatography; MS, mass spectrometry; NMR, nuclear magnetic resonance; NSAID,
15 non-steroidal anti-inflammatory drug; PEG, potato-extract-glucose; P450, cytochrome
16 P450 monooxygenase; P450 BM3, cytochrome P450 from *Bacillus megaterium*; P450
17 RhF, cytochrome P450 from *Rhodococcus* sp. strain NCIMB 9784.

18 **Abstract**

19 The synthesis of valuable metabolites and degradation intermediates of drugs, like non-
20 steroidal anti-inflammatory drugs (NSAIDs), are substantially for toxicological and
21 environmental studies, but efficient synthesis strategies and the metabolite availability are
22 still challenging aspects. To overcome these bottlenecks filamentous fungi as microbial
23 biocatalysts were applied. Different NSAIDs like diclofenac, ibuprofen, naproxen and
24 mefenamic acid could be oxyfunctionalized to produce human metabolites in isolated
25 yields of up to 99% using 1 g L⁻¹ of substrate. Thereby the biotransformations using
26 *Beauveria bassiana*, *Clitocybe nebularis* or *Mucor hiemalis* surpass previous reported
27 chemical, microbial and P450-based routes in terms of efficiency. In addition to different
28 hydroxylated compounds of diclofenac, a novel metabolite, 3',4'-dihydroxydiclofenac, has
29 been catalyzed by *B. bassiana* and the responsible P450s were identified by proteome
30 analysis. The applied filamentous fungi present an interesting alternative, microbial
31 biocatalysts platform for the production of valuable oxyfunctionalized drug metabolites.

32

33 **Importance**

34 The occurrence of pharmaceutically active compounds, such as diclofenac and its
35 metabolites, in the environment, in particular in aquatic systems, is of increasing concern
36 because of the increased application of drugs. Standards of putative metabolites are
37 therefore necessary for environmental studies. Moreover, pharmaceutical research and
38 development requires assessment of the bioavailability, toxicity and metabolic fate of
39 potential new drugs to ensure its safety for users and the environment. Since most of the
40 reactions in the early pharmacokinetics of drugs are oxyfunctionalizations catalysed by

41 P450s, oxyfunctionalized metabolites are of major interest. However, to assess these
42 metabolites chemical synthesis often suffer from multistep reactions, toxic substances,
43 polluting conditions and achieve only low regioselectivity. Biocatalysis can contribute to
44 this by using microbial cell factories. The significance of our research is to complement or
45 even exceed synthetic methods for the production of oxyfunctionalized drug metabolites.

46

47 Keywords: Biotransformation; Filamentous fungi; Microbial biocatalysts; NSAIDs;
48 Oxyfunctionalized metabolites

49

50 **Introduction**

51 Next to chemical processes, biotechnology routes can play a significant role for the access
52 to pharmaceutically active substances and their metabolites. Metabolites and degradation
53 intermediates can have major environmental implications, which can lead to unwanted side
54 reactions in nature and mammals. Due to the fact that numerous drug metabolites are
55 detected in higher amounts in different habitats, thus detailed environmental and
56 toxicological studies are necessary. These findings induced that the FDA (Food and Drug
57 Administration) issued guidelines for metabolites in drug testing, setting a limit of 10% in
58 2008.

59 Approximately 80% of all reactions in the early pharmacokinetics of drugs (phase I
60 reactions) are P450-catalyzed (1, 2). Therefore, oxyfunctionalized metabolites are often
61 major degradation intermediates of potential toxic endogenous and exogenous compounds
62 in mammals and nature. For further approval of new drugs, knowledge about potential
63 metabolism intermediates and their availability for toxicological studies are obligatory (3–

64 5). As a very present example, a molecule like diclofenac (**1**), a widespread non-steroidal
65 anti-inflammatory drug (NSAID), and its already known hydroxylated degradation
66 metabolites 4'- and 5-hydroxydiclofenac (**2** and **3**) pose an environmental problem due to
67 their low degradability (6, 7). Thereby, the request for particular drug metabolites increases
68 simultaneously with the number of newly developed drugs, to study pharmacokinetic and
69 toxic effects caused by metabolism (8, 9). Due to limited availability and often time as well
70 as cost elaborating chemical synthesis routes, the value of drug metabolites can reach up
71 to several hundred dollars per milligram. As mentioned above, the chemical synthesis of
72 human metabolites such as 4'- and 5-hydroxydiclofenac (**2** and **3**) for toxicological studies
73 is tedious and has only been achieved in low yields linked with high by-product formation
74 (10–12). To complement synthetic methods, microbial processes with heterologous
75 expressed P450s and wild-type organisms have emerged as an valuable alternative to
76 chemical syntheses (13, 14).

77 Most of the previous studies focused on heterologous expressed human P450s which can
78 produce the corresponding metabolites detectable in the human body (15). One example is
79 CYP2C9 that was recombinant produced in different hosts and finally used for the
80 production of 468 mg L⁻¹ of 4'-hydroxydiclofenac (**2**) (14, 16). Furthermore, the low
81 activities and selectivities of the wild type P450 BM3 were improved by directed evolution
82 resulting in variants which led to a significantly enhanced oxidation capability of NSAIDs
83 (17–20). In this context we recently published the scale-up of the self-sufficient P450 RhF
84 (21). The enzyme was heterologous expressed and implemented as whole cell system,
85 whereby 5-hydroxydiclofenac (**3**) was produced exclusively in high titers of up to
86 357 mg L⁻¹. However, high yields with NSAIDs are rather the exception, because often the

87 product yields obtained with P450s or microorganisms are in the lower milligram range
88 (17, 22–26). Therefore, the biotechnological synthesis of such oxyfunctionalized
89 compounds for environmental and toxicological studies remains expandable.

90 Based on our previous hydroxylation experiment studies with diclofenac (**1**) as substrate,
91 we further extended the biocatalyst platform for NSAID oxyfunctionalizations by a
92 spectrum of available biocatalysts. In this work, we intended to identify new microbial
93 biocatalysts able to catalyze NSAID metabolites and degradation intermediates in high
94 yields, to provide those molecules for further drug development and toxicological studies.

95

96 **Materials and Methods**

97 *Materials*

98 The chemicals and media used in this study were obtained from Fluka (Buchs,
99 Switzerland), Sigma-Aldrich (St. Louis, Missouri, USA) Alfa-Aesar (Ward Hill,
100 Massachusetts, USA) and Carl-Roth (Karlsruhe, Germany) in highest available purity
101 degrees. The KOD HS polymerase was from Novagene Inc. (Madison, Wisconsin, USA).

102

103 *Isolation of genomic DNA from eukaryotes*

104 For the isolation of genomic DNA from eukaryotes, precultures of the various filamentous
105 fungi were cultured on PEG broth (potato-extract-glucose broth) as medium for about
106 3 days at 27°C and 180 rpm. An initial cell lysis was achieved by centrifugation of the
107 cultures, freezing at -80°C for 10 min and crushing the frozen mycelia with a mortar.
108 Subsequently, the samples were isolated according to the manufacturer's instructions using

109 the ZR Fungal/Bacterial DNA Microprep™ kit (Zymo Research Corp., Irvine, California,
110 USA). The elution of the DNA was carried out with 25 µL ddH₂O.

111

112 *Polymerase chain reaction (PCR) for the amplification of DNA fragments*

113 The PCR was used to selectively amplify DNA fragments of genomic DNA based on the
114 primers ITS4 (5'-TCCTCCGCTTATTGATATGC-3') and ITS5
115 (5'-GGAAGTAAAAGTCGTAACAAGG-3') or LR0R
116 (5'-ACCCGCTGAACTTAAGC-3') and LR5 (5'-TCCTGAGGGAACTTCG-3') as
117 described by Schoch and coworkers in 2012 (27). For the elongation KOD HS Polymerase
118 was used in 50 µL total volume using the components and programs as shown in TABLE
119 S1 and S2.

120 The success of the PCR reactions was controlled by an agarose gel and the DNA fragments
121 were then directly isolated (Figure S1). Therefore, the DNA fragments were visualized
122 under UV light (366 nm) and cut out of the agarose gel with a scalpel, weighed and treated
123 with the Zymoclean Gel DNA Recovery Kit (Zymo Research Corp., Irvine, California,
124 USA) according to the manufacturer's instructions. The isolated DNA was subsequently
125 eluted with 20 µL ddH₂O. For the analysis of the DNA samples, a volume of 20 µL and a
126 concentration of 50 - 70 ng µL⁻¹ were used. The sequencing was done by GATC Biotech
127 AG (Konstanz, Germany) using the primers of the PCR amplification.

128

129 *Proteome analysis of Beauveria bassiana*

130 For the cell disruption of *Beauveria bassiana*, liquid cultures were centrifuged and the
131 mycelia subsequently washed with ddH₂O over a filter paper and dried. In a Petri dish, the

132 samples were lyophilized (Alpha 2-4 LD plus, Martin Christ Gefriertrocknungsanlagen
133 GmbH, Osterode am Harz, Germany), to rub this later as a first partial disruption with the
134 mortar. 35-50 mg of powder was mixed with an equivalent volume of glass beads (0.1-
135 0.25 mm) and 1 mL urea buffer (28) (25 mM Tris/HCl pH 6.8, 9 M urea, 1% SDS, 1 mM
136 EDTA, direct before use 0.7 M DTT). Subsequently, the cell disruption samples were
137 heated to 95°C for 2 min, shaken for 1 min by vortexing and heated again at 95°C for
138 1 min. After separation of the glass beads by short centrifugation, the DNA was sheared
139 by sonication (Branson Sonifier 250 equipped with a microtip: 1/8 "diameter, Danbury,
140 Connecticut, USA, pulse: output 2, duty cycle: 35%) for 30 s.

141 A 3-day PEG broth preculture was used to inoculate 100 mL PEG broth with 1/75 volume
142 of preculture. The biotransformations were started after 24 h incubation with the addition
143 of 0.5 g L⁻¹ of the substrates or glucose as a reference. The reactions were stopped after
144 first products were detected (48 h for (*R*)-2-phenoxypropionic acid and 68 h for diclofenac
145 (**1**)). The cells were then lysed as described above and the proteins of the samples analyzed
146 and diluted as a whole cell suspension on a 10% and a 15% SDS-polyacrylamide gel.

147 The proteome analysis was carried out at the mass spectrometry service unit in Hohenheim
148 (Germany, group of Dr. Pfannstiel) using an ACQUITY nano-UPLC system (Waters
149 GmbH, Milford, USA) directly coupled to a LTQ-Orbitrap XL hybrid mass spectrometer
150 (Thermo Fisher Scientific, Bremen, Germany) with following minor changes as described
151 previously (29). Tryptic digests were separated on a 25 cm x 75 μm x 1.7 μm BEH 130 C₁₈
152 reversed phase column (Waters GmbH) with gradient elution performed from 1% ACN to
153 50% ACN in 0.1% formic acid within 120 min. Identification of the proteins was based on
154 a global NCBI database search applying the MASCOT search algorithm. The mass

155 spectrometry proteomics data have been deposited to the ProteomeXchange Consortium
156 via the PRIDE (30) partner repository with the dataset identifier PXD009664 and
157 10.6019/PXD009664.

158

159 *Preparative biotransformations*

160 The filamentous fungi applied in this work were cultured in PEG broth, in which a better
161 growth could be achieved in comparison to sabouraud medium. On PEG-agar, the hyphae
162 were transferred once a month and incubated at 27°C for several days (*M. hiemalis*: 3 days,
163 *B. bassiana*: 4 days, *C. nebularis*: 5 days). *B. bassiana* used in this work was modified by
164 UV radiation to allow yeast-like growth in liquid culture (31). 50 mL of PEG broth was
165 once inoculated with a loop tip, whereas *M. hiemalis* and *C. nebularis* were inoculated
166 several times with hyphae to slightly disperse and therefore minimize lumpy mycelial
167 growth. The fungi were cultured for 4 days at 27°C and 180 rpm and then transferred to
168 50 – 400 ml main cultures which were inoculated with 1/75 volume (*B. bassiana*) or with
169 the filtered mycelia (*M. hiemalis* and *C. nebularis*) of the preculture. After 24 h of
170 incubation, the biotransformations were started with 1 g L⁻¹ substrate (50 g L⁻¹ stock
171 solution in DMSO) or in the case of diclofenac (**1**) with 0.6 g L⁻¹ for 72 h or 144 h,
172 respectively. At regular intervals, 500 µL samples were taken, centrifuged and the
173 supernatant was used for analysis by GC-FID, HPLC-DAD or LC-MS. The
174 biotransformations were carried out in biological duplicates starting from different agar
175 plates.

176

177

178 *HPLC and LC-MS analytic*

179 Diclofenac (**1**) and its metabolites were analyzed by HPLC and LC-MS as described
180 elsewhere (21).

181

182 *GC analytic*

183 Naproxen (**6**), ibuprofen (**8**) and mefenamic acid (**12**) were analyzed by gas
184 chromatography. For this purpose, the samples (500 μL) were centrifuged and 250 μL of
185 the supernatant initially acidified by 10 μL HCl prior extraction with the same volume of
186 MTBE. The organic phase was then evaporated on a Genevac EZ-2 Plus Evaporator
187 (Ipswich, UK) and resuspended in a mix of 50% MTBE and 50% BSTFA + TCMS (99: 1).
188 The derivatization of the samples (150 μL) was carried out in GC vials at 70°C for 30 min.
189 GC analysis was performed on a Shimadzu GC-2010 equipped with an AOC-20i
190 autoinjector (Shimadzu, Nakagyo-ku, Japan). The samples were injected with a split of 20
191 (1 μL injection volume, injector temperature 250°C, carrier gas H_2 , 30 cm s^{-1}) and
192 separated by a DB-5 column (30 m x 0.25 mm x 0.25 μm , Agilent Technologies, Santa
193 Clara, California, USA). The analytes were detected by a flame ionization detector (FID,
194 detector temperature 330°C). For **6** and **12** the column temperature was maintained at
195 150°C for 1 min, increased to 280°C at a rate of 10°C min^{-1} and held for 1 min, raised to
196 320°C at a rate of 65°C min^{-1} and held for 3 min. For **8** the column temperature was
197 maintained at 90°C for 1 min, increased to 280°C at a rate of 12°C min^{-1} and held for 1 min,
198 raised to 320°C at a rate of 65°C min^{-1} and held for 3 min.

199 For initial product identification, the samples were run on GC-2010 GC-MS system
200 equipped with Shimadzu GCMS-QP2010 detector and AOC-5000 autoinjector (Shimadzu,

201 Nakagyo-ku, Japan) and helium as carrier gas (linear velocity 30 cm s⁻¹). The analytes were
202 separated on a DB-5 column and measured with identical temperature programs for
203 GC-FID analysis. For the recording of the mass spectra, an ionization (EI, Electron
204 Ionization) of 70 eV, an interface temperature of 250°C and an ion source temperature of
205 200°C was used. The detection of the mass fragments was finally carried out in the scan
206 mode from 40 to 600 m/z.

207

208 *Product purification and identification*

209 For product purification, the supernatant of the duplicates (2 x 50 mL or 2 x 400 mL) from
210 preparative biotransformations were extracted twice with the identical volume of MTBE.
211 In the case of biotransformations with substrate **6**, **8** and **12**, the supernatant was
212 additionally acidified with HCl and afterwards extracted with MTBE. The organic phases
213 were combined, evaporated to dryness and the residues were dissolved in 10 mL of
214 acetonitrile and stored at 4°C for further use. The batches were finally purified by reverse
215 phase semi-preparative HPLC on an Agilent 1200 System (St. Clara, California, USA)
216 equipped with a G1311A quaternary pump, a HIP AS G1367B autosampler (1200 µL
217 loop), a G1315D DAD detector and an analytical G1364C fraction collector. The
218 separation of the analytes (injection volume: 1000 µL) was ensured using a Trentec
219 Reprosil® 100-5 C18 column (250 × 20 mm, 5 µm) from Dr. Maisch GmbH (Ammerbuch-
220 Entringen, Germany). The mobile phases A and B were composed of water containing
221 0.1% formic acid and acetonitrile, respectively. Elution was done in a gradient mode at a
222 flow rate of 5 mL min⁻¹ and a column temperature of 20°C using following program:
223 85% A (0 min), 20% A (63 min), 20% A (67 min), 85% A (67.01 min), 85% A (83 min).

224 The elution of substrates and products were followed spectrophotometrically at a
225 wavelength of 272 nm for **1**, 232 nm for **6**, 224 nm for **8** and 275 nm for **12**. Identical
226 product fractions were combined, the solvent mixture of acetonitrile and water removed on
227 a rotary evaporator and completely dried by a nitrogen gas flow. The remaining solid
228 products were finally dissolved in *d*-methanol or *d*-DMSO and analyzed by ¹H- and ¹³C-
229 NMR to clarify the chemical structures. The purity of all samples were determined by GC
230 or HPLC.

231

232 *NMR measurements*

233 For the characterization of the purified products, NMR spectroscopy was used. ¹H-,
234 ¹H-COSY- and ¹³C-NMR spectra were recorded using a Bruker Avance 500 spectrometer
235 at 500.15 and 125.76 MHz, respectively, or a Bruker Ascend 700 TM spectrometer at 700.36
236 and 176.10 MHz respectively (both Bruker, Billerica, Massachusetts, USA). The chemical
237 shift δ was measured in ppm (parts per million) and referred to TMS (tetramethylsilane)
238 $\delta = 0$ ppm as a standard. Unless otherwise stated, 10 mg of the product was used for NMR
239 analysis. The NMR spectra are given in the supplemental material (Figures S2-S9).

240 5-Hydroxydiclofenac: ¹H-NMR (500 MHz, CD₃OD): δ (ppm) 3.71 (s, 2H; C-7), 6.37 (d, *J*
241 = 8.8 Hz, 1H; C-3), 6.55 (dd, *J* = 8.8, 2.5 Hz, 1H; C-4), 6.72 (d, *J* = 2.5 Hz, 1H; C-6), 6.96
242 (t, *J* = 7.8 Hz, 1H; C-4'), 7.34 (d, *J* = 7.8 Hz 2H; C-3' and 5').

243 ¹³C-NMR (126 MHz, CD₃OD): δ (ppm) 39.2 (C7), 115.3 (ArCH-4), 118.3 (ArCH-6), 121.9
244 (ArCH-3), 124.1 (ArCH-4'), 129.2 (ArC-2), 129.3 (ArC-1'), 130.1 (2ArCH-3' and 5'),
245 136.4 (ArC-1), 140.5 (2CCl), 154.1 (C-OH), 175.8 (COOH).

246 5-Hydroxyquinoneimine: $^1\text{H-NMR}$ (500 MHz, $(\text{CD}_3)_2\text{SO}$): δ (ppm) 3.69 (s, 2H; C-7), 6.59
247 (dd, $J = 10.1, 2.5$ Hz, 1H; C-4), 6.74 (d, $J = 10.1$ Hz, 1H; C-3), 6.80 (d, $J = 2.5$ Hz, 1H; C-
248 6), 7.25 (t, $J = 8.2$ Hz, 1H; C-4'), 7.58 (d, $J = 7.6$ Hz, 2H; C-3' and 5').
249 $^{13}\text{C-NMR}$ (126 MHz, $(\text{CD}_3)_2\text{SO}$): δ (ppm) 36.3 (C7), 123.1 (ArC), 126.6 (ArC), 128.6
250 (ArC), 129.1 (ArC), 133.1 (ArC), 133.5 (ArC), 143.4 (ArC-1'), 145.1 (ArC-1), 160.4 (ArC-
251 2), 170.6 (COOH), 186.9 (C=O).
252 4'-Hydroxydiclofenac: $^1\text{H-NMR}$ (500 MHz, CD_3OD): δ (ppm) 3.7 (s, 2H, C-7), 6.26 (d, 1H,
253 $J = 8.0$ Hz, C-3), 6.8 (t, 1H, $J = 7.4$, C-5), 6.88 (s, 2H, C-3' and C-5'), 7.02 (t, 1H, $J = 7.5$,
254 C-4), 7.17 (d, 1H, $J = 7.4$, C-6).
255 $^1\text{H-COSY}$ (500 MHz, CD_3OD): (7.17) δ (ppm) 3.7, 6.8, (7.02) δ (ppm) 6.26, 6.8.
256 $^{13}\text{C-NMR}$ (126 MHz, CD_3OD): δ (ppm) 39.2 (C7'), 116.4 (ArC), 116.9 (2ArC), 121.3
257 (ArC), 124.2 (ArC), 128.9 (ArC), 130.5 (ArC), 131.9 (2ArC), 133.7 (ArC), 145.5 (ArC),
258 156.3 (ArC-4'), 175.9 (COOH).
259 3',4'-Dihydroxydiclofenac: $^1\text{H NMR}$ (700 MHz, CD_3OD): δ (ppm) 3.72 (s, 2H, C-7), 6.29
260 (d, 1H, $J = 8.1$ Hz, C-3), 6.80 (t, 1H, $J = 7.6$, C-5), 6.86 (s, 1H, C-5'), 7.02 (t, 1H, $J = 7.9$
261 Hz, C-4), 7.17 (d, 1H, 3.5 Hz, C-6).
262 6-*o*-Desmethylnaproxen: $^1\text{H-NMR}$ (500 MHz, CD_3OD): δ (ppm) 1.50 (d, 3H, $J = 7.0$ Hz,
263 C-3), 3.81 (q, 1H, $J = 7.2$ Hz, C-2), 7.03 - 7.09 (m, 2H, C-8 and C-10) 7.35 (d, 1H, $J = 8.5$
264 Hz, C-11), 7.59 (d, 1H, $J = 8.4$ Hz, C-13), 7.64 (s, 1H, C-5), 7.67 (d, 1H, $J = 8.8$ Hz, C-6).
265 $^{13}\text{C-NMR}$ (126 MHz, CD_3OD): δ (ppm) 15.4 (C7), 113.2 (ArC), 114.5 (ArC), 117.9 (ArC),
266 127.3 (ArC), 127.5 (ArC), 128.7 (ArC), 133.3 (ArC), 134.9 (ArC), 135.1 (ArC), 135.2
267 (ArC), 141.6 (ArC), 150.2 (ArC), 172.1 (COOH).

268 2-Hydroxyibuprofen: $^1\text{H-NMR}$ (500 MHz, CD_3OD): δ (ppm) 1.16 (s, 6H, C-12 and C-13),
269 1.43 (d, 3H, $J = 7.2$ Hz, C-3), 2.72 (s, 2H, C-10), 3.68 (q, 1H, $J = 7.2$ Hz, C-2), 7.18 (d,
270 2H, $J = 8.0$ Hz, C-5 and C-9), 7.22 (d, 2H, $J = 7.9$ Hz, C-6 and C-8).

271 $^{13}\text{C-NMR}$ (126 MHz, CD_3OD): δ (ppm) 19.1 (C3), 29.2 (C12 and C13), 46.4 (C2), 50.3
272 (C10), 71.8 (C11), 128.0 (2x ArC), 131.9 (2 x ArC), 138.5 and 140.3 (C4 and C7), 178.6
273 (COOH).

274 1-Hydroxyibuprofen: $^1\text{H-NMR}$ (700 MHz, $(\text{CD}_3)_2\text{SO}$): δ (ppm) 0.73 (d, 3H, $J = 6.8$ Hz, C-
275 12) 0.85 (d, 3H, $J = 6.7$ Hz, C-13), 1.32 (d, 3H, $J = 7.1$ Hz, C-3), 1.77 (oct, 1H, $J = 16.4$
276 Hz, C-11), 3.58 (q, 1H, $J = 7.2$ Hz, C-2), 4.19 (d, 1H, $J = 6.2$, C-10), 7.19 (d, 2H, $J = 8.5$
277 Hz, C-5 and C-9), 7.21 (d, 2H, $J = 8.6$ Hz, C-6 and C-8).

278 $^{13}\text{C-NMR}$ (176 MHz, $(\text{CD}_3)_2\text{SO}$): δ (ppm) 17.4 (C3), 18.2 and 18.5 (C12 and C13), 34.3
279 (C11), 44.4 (C2), 76.8 (C10), 125.9 and 126.1 (4 x ArC), 139.6 and 142.6 (C4 and C7),
280 175.3 (COOH).

281 1,2-Dihydroxyibuprofen: $^1\text{H-NMR}$ (500 MHz, CD_3OD): δ (ppm) 1.12 (s, 6H, C-12 and C-
282 13), 1.43 (d, 3H, $J = 3.4$ Hz, C-3), 3.69 (q, 1H, $J = 7.2$ Hz, C-2), 4.43 (s, 1H, C-10), 7.26
283 (d, 2H, $J = 7.8$ Hz, C-5 and C-9), 7.34 (d, 2H, $J = 8.0$ Hz, C-6 and C-8).

284 $^{13}\text{C-NMR}$ (126 MHz, CD_3OD): δ (ppm) 19.1 (C3), 25.3 and 26.0 (C12 and C13), 46.7
285 (C2), 74.0 (C11), 81.6 (C10), 127.8 (2 x ArC), 129.2 (2 x ArC), 141.7 and 141.8 (C4 and
286 C7). COOH group is barely visible.

287 3'-Hydroxymethylmefenamic acid: $^1\text{H-NMR}$ (500 MHz, $(\text{CD}_3)_2\text{SO}$): δ (ppm) 2.11 (s, 3H,
288 C-8'), 4.53 (s, 2H, C-7'), 6.68 – 6.73 (m, 2H, C-2 and C-4), 7.18 – 7.25 (m, 3H, C-4', 5'
289 and 6'), 7.31 (t, 1H, $J = 7.5$ Hz, C-3), 7.89 (d, 1H, $J = 7.5$ Hz, C-5).

290 ^{13}C -NMR (126 MHz, $(\text{CD}_3)_2\text{SO}$): δ (ppm) 12.4 ($\text{C}8'$), 61.3 ($\text{C}7'$), 111.6 (ArC), 112.9
291 (ArC), 116.3 (2ArC-3 and 4'), 122.6 (ArC), 123.5 (ArC), 125.9 (ArC), 129.9 (ArC), 131.6
292 (ArC), 134.0 (ArC), 138.2 (ArC), 141.8 (ArC), 170.0 (COOH).

293 3'-Carboxymefenamic acid: ^1H -NMR (500 MHz, CD_3OD): δ (ppm) 2.43 (s, 3H, C-8'),
294 6.71 (t, 1H, $J = 7.5$ Hz, C-4), 6.75 (d, 1H, $J = 4.3$ Hz, C-2), 7.28 (q, 2H, $J = 7.3$ Hz, C-3,
295 and C-5'), 7.46 (d, 1H, $J = 7.8$ Hz, C-4'), 7.62 (d, 1H, $J = 7.8$ Hz, C-6'), 7.98 (d, 1H, $J =$
296 8.0 Hz, C-5).

297 ^{13}C -NMR (126 MHz, CD_3OD): δ (ppm) 15.4 ($\text{C}8'$), 113.2 (ArC), 114.5 (ArC), 117.9
298 (ArC), 127.3 (ArC), 127.5 (ArC), 128.7 (ArC), 133.3 (ArC), 134.9 (ArC), 135.1 (ArC),
299 135.2 (ArC), 141.6 (ArC), 150.2 (ArC), 172.1 (2 x COOH).

300

301 **Results and Discussion**

302 *Identification of microbial biocatalysts for NSAID oxyfunctionalizations*

303 In addition to the already published organism *Beauveria bassiana*, which is able to perform
304 one of the most effective hydroxylation processes in biocatalysis, the production of (*R*)-2-
305 (4-hydroxyphenoxy)propionic acid and a variety of other hydroxylation reactions (31, 32),
306 we identified two other filamentous fungi as further novel NSAID accepting biocatalysts.
307 One of these strains was isolated from soil samples close to Ludwigshafen (Germany)
308 during previous diclofenac (**1**) degradation experiments (33). The third filamentous fungus
309 was isolated by coincident as a contamination strain out of a 5-hydroxydiclofenac (**3**)
310 standard, diluted in M9 minimal medium, after 1.5 years of aerobic incubation. Both fungi
311 were assigned by the universal biomarkers LSU (28S nuclear ribosomal large subunit
312 rRNA gene) and ITS (internal transcribed spacer). Sequence matching with the fungal

313 barcoding and the NCBI database revealed that one strain was > 99% consistent with the
314 S1 organism *Clitocybe nebularis* (confirmed by LSU and ITS biomarkers). For the other
315 organism, the LSU biomarker found a > 98% similarity with the S1 organism
316 *Mucor hiemalis*.

317 *Biotransformations with diclofenac*

318 The fungi were cultivated in 400 mL PGE broth and the biotransformations were done in
319 duplicates with a starting concentration of 0.6 g L⁻¹ diclofenac (**1**). The reaction was
320 monitored over time by HPLC-DAD and stopped after 144 h (Figure 1). All products were
321 purified by semi-preparative HPLC for further detailed structure identification by ¹H and
322 ¹³C NMR. Similar to the distribution of products in humans, the 4'-position (**2**) was
323 favoured in the biotransformations with the fungi, which was also observed with P450s
324 and microorganisms in previous studies (Scheme 1, Table 1). In comparison to
325 *C. nebularis* which is able to catalyze a mixture of the metabolites 4'-hydroxydiclofenac
326 (**2**, 60%), 5-hydroxydiclofenac (**3**, 30%) and the corresponding quinoneimine (**4**, 10%)
327 with 50.6% isolated yield, *M. hiemalis* selectively oxyfunctionalized diclofenac (**1**)
328 yielding 61.7% of 4'-hydroxydiclofenac (**2**) after purification. High isolated yields of
329 55.3% were additionally achieved using *B. bassiana*. Next to the major product
330 4'-hydroxydiclofenac (**2**, 90%), a 3',4'-dihydroxydiclofenac (**5**) metabolite was identified
331 that has not been characterized and published yet. In studies concerning the fungi
332 *Epicoccum nigrum* and *Cunninghamella elegans*, preparative biotransformations were
333 performed resulting in product titers of 16 and 57 mg L⁻¹, respectively (34, 35). In contrast,
334 the filamentous fungi identified in the present work were able to obtain significantly higher
335 values comparable with biotransformations using P450 RhF and P450 BM3 (Table 1).

336 *Proteome analysis of B. bassiana*

337 A common question that is often raised as a negative element for the use of microbial cell
338 factories is which enzymes are involved in the detected biotransformation. In this respect,
339 we aimed to identify 450s, which are responsible for the oxyfunctionalization of diclofenac
340 (**1**) and (*R*)-2-phenoxypropionic acid in *B. bassiana* using omics studies. As a proof of
341 concept, we analyzed biotransformation samples whether we can identify differences by a
342 proteome analysis depending on the substrate. Therefore, samples of biotransformations
343 with the corresponding substrates and glucose as negative control were processed to obtain
344 the organisms proteome. By applying the proteome analysis clear evidence of P450s
345 (implied by the number of detected and assigned fragments) which were upregulated with
346 the specific substrates, were achieved drastically reducing the high number of 83 putative
347 P450s in *B. bassiana* (36). With diclofenac (**1**) as substrate two P450s, CYP548A5 and
348 CYP51F1, were exclusively expressed compared to the samples exposed with the other
349 substrates (Table 2). In case of (*R*)-2-phenoxypropionic acid as substrate, CYP52A10
350 seems to be strongly upregulated while CYP684A2 was induced exclusively. In
351 eukaryotes, only one bifunctional NADPH-reductase serves as electron delivering partner
352 that was also found in all samples. In this work, the proteome analysis has thus proved to
353 be a targeted method for identifying involved proteins in eukaryotes that can subsequently
354 lead to valuable new heterologous expressed biocatalysts.

355 *NSAID biotransformations applying the identified microbial biocatalysts*

356 To investigate the potential of the microbial biocatalysts further we increased the NSAID
357 substrate scope of the fungi strains to oxyfunctionalize naproxen (**6**), ibuprofen (**8**) and
358 mefenamic acid (**12**). In some cases, high titers and full conversion towards the investigated

359 NSAIDs were achieved. For example, *M. hiemalis* demethylated naproxen (**6**) to the human
360 metabolite 6-*o*-desmethylnaproxen (**7**) with an isolated yield of over 99% using 1 g L⁻¹ of
361 substrate (Scheme 2). In comparison the *in vitro* biotransformations with P450 BM3
362 variants and the strain *A. niger* showed much lower selectivity and isolated yield (Table 1)
363 (19, 20, 37).

364 Furthermore, *M. hiemalis* almost completely hydroxylated 1 g L⁻¹ ibuprofen (**8**) to the
365 metabolites 2-hydroxyibuprofen (**9**, 90%) 1-hydroxyibuprofen (**10**, 2%), and the double
366 hydroxylated secondary product 1,2-dihydroxyibuprofen (**11**, 8%) (Scheme 2). The highest
367 product titer so far was achieved by a P450 BM3 variant *in vitro* with 0.4 g L⁻¹ of
368 2-hydroxyibuprofen (**9**) (Table 1) (17, 20, 38). Microorganisms such as
369 *Trametes versicolor* and *Nigospora sphaerica* or the P450s CYP2C9, CYP267A1 and B1
370 had significantly lower product yields (> 30 g L⁻¹) (25, 39–41). Using the anthranilic acid
371 derivative mefenamic acid (**12**) as substrate, the highest activity was achieved by
372 *B. bassiana* with an isolated yield of 48% at 1 g L⁻¹ substrate concentration (Scheme 2).
373 Next to the 3'-hydroxymethylmefenamic acid (**13**) as main product (68%), the product
374 3'-carboxymefenamic acid (**14**) was catalyzed. In humans, **12** is mainly converted to **13** by
375 CYP2C9 which undergoes further oxidation to the carboxy metabolite **14** (42). An evolved
376 P450 BM3 variant generated besides 3'-hydroxymethylmefenamic acid (**13**) the other
377 human P450 catalyzed metabolites 4'- and 5-hydroxymefenamic acid (**20** and **21**) which
378 are hydroxylated at the aromatic rings (18, 43). In this case, *in vitro* product titers of
379 216 mg L⁻¹ were below those obtained with *B. bassiana* (Table 1). In degradation
380 experiments, the wild-type organism *Phaenerochaete sordida* (white-rot fungus) also

381 produced different mefenamic acid metabolites with significantly lower yields of less than
382 3 mg L⁻¹ (26).

383 Overall, the filamentous fungi of the present study achieved high product titers in the
384 biotransformations with the NSAIDs. Applying a semi-preparative HPLC purification
385 method, all NSAID metabolites could be isolated in high yields and high purities.
386 Moreover, reproducible product titers were obtained at 50 mL or 400 mL scale exhibiting
387 the possibility to further increase the yields by improving the cultivation and
388 biotransformation procedure. One drawback of filamentous fungi as catalyst for
389 biotransformations is their mycelial growth. This particular cell shape minimizes the
390 possible surface to the medium and thus the mass transfer of substrate solubilized in the
391 medium and the cells. Especially cells located inside the mycelia may therefore be unable
392 to participate in biocatalysis, but against our expectation, the clump-forming organism
393 *M. hiemalis* has achieved the highest yields so far.

394 Compared to already published biotechnological processes the total process time with the
395 filamentous fungi of 4 days or 7 days for diclofenac (**1**), respectively, were in accordance
396 with most of the studies (Table 1 and 2). However, a simple cultivation and
397 biotransformation setup using fungi with less steps are main benefits, besides the achieved
398 high product yields. In detail, compared to P450-based reactions, less requirements on the
399 process were necessary since issues associated with an often complex P450 system like
400 biocatalyst expression, stability or cofactor dependency are negligible.

401

402 In conclusion, the results of the present work demonstrate the potential of microbial
403 biocatalysts for NSAID oxyfunctionalizations. Often, low activity, difficult handling, or

404 high by-product formation are illustrated as criteria against the use of microorganisms (40).
405 In contrast, the microorganisms identified in this work are easy to cultivate, inexpensive
406 and are able to produce high valued metabolites in promising yields. The applied and
407 identified filamentous fungi serve with their versatile metabolism as an interesting platform
408 for novel cell factories to produce valuable NSAID metabolites in an effective and cheap
409 way or can help to develop new strategies in drug design.

410

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418

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580

581 **Table legends**

582

583 TAB 1

584 Summary of biotechnological processes to produce NSAID metabolites.

585 4'-hydroxydiclofenac (**2**); 5-hydroxydiclofenac (**3**); 5-hydroxyquinoneimine (**4**);

586 3',4'-dihydroxydiclofenac (**5**); 4',5-dihydroxydiclofenac (**15**); 6-*o*-desmethylnaproxen (**7**);

587 2-hydroxyibuprofen (**9**); 1-hydroxyibuprofen (**10**); 1,2-dihydroxyibuprofen (**11**);

588 3'-hydroxymethylmefenamic acid (**13**); 3'-carboxymefenamic acid (**14**); 2-Acetyl-6-
589 methoxynaproxen (**16**); 7-hydroxynaproxen (**17**); 3',5-dihydroxymefenamic acid (**18**);
590 3',6'-dihydroxymefenamic acid (**19**); 4'-hydroxymefenamic acid (**20**);
591 5-hydroxymefenamic acid (**21**).

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Biocatalyst	Product(s)	Process time ^{a)} / Scale	Product titer	Reference
Diclofenac (1)				
<i>E. nigrum</i>	2 (> 90%)	2 d / 20 L (Reactor)	16 mg L ^{-1 b)}	(34)
CYP267B1 (<i>E. coli</i>)	2	3 d / 50 mL	24 mg L ⁻¹	(40)
CYP105D7 (<i>E. coli</i>)	2	1.5 d / 0.5 mL	44 mg L ⁻¹	(22)
<i>C. elegans</i>	2	18 d / 45 mL	57 mg L ^{-1 b)}	(35)
<i>B. bassiana</i>				
<i>C. nebularis</i>	2, 3, 4, 5	7 d / 400 mL	320 – 390 mg L ^{-1 b)}	This study
<i>M. hiemalis</i>				
CYP2C9 (<i>S. pombe</i>)	2	6 d / 1000 mL	468 mg L ^{-1 b)}	(14)
P450 BM3 variants ^{c)}	2 (42%), 3 (58%)	-	512 mg L ^{-1 b)}	(19)
P450 RhF (<i>E. coli</i>)	3	2 d / 800 mL (Reactor)	357/ max. 580 mg L ⁻¹	(21)
<i>Actinoplanes</i> sp.	2 (72%), 3 (18%), 15 (10%)	5 d / 100 mL	848 mg L ^{-1 b)}	(44)
CYP116B64 (<i>E. coli</i>)	3	2 d / 100 mL	1600 mg L ⁻¹	(45)
Naproxen (6)				
P450 BM3 variants ^{c)}	7 (66%), 16 (34%)	-	Max. 144 mg L ^{-1 b)}	(19)(20)
<i>A. niger</i>	7 (73%), 17 (27%)	2.5 d / 200 mL	220 mg L ^{-1 b)}	(37)
<i>M. hiemalis</i>	7	4 d / 50 mL	980 mg L ^{-1 b)}	This study
Ibuprofen (8)				
Microorganisms and heterologous expressed P450s	9, 10, 11	-	< 30 mg L ^{-1 b)}	(41)(39) (40)(25)
P450 BM3 variants ^{c)}	9	-	400 mg L ^{-1 b)}	(20)
<i>M. hiemalis</i>	9 (90%), 10 (2%), 11 (8%)	4 d / 50 mL	990 mg L ^{-1 b)}	This study
Mefenamic acid (12)				
<i>P. sordida</i>	13 (67%), 14 (13%), 18 (17%), 19 (3%)	7 d / 200 mL	> 3 mg L ^{-1 b)}	(26)
P450 BM3 variants ^{c)}	13 (40%), 20 (55%), 21 (5%)	-	216 mg L ^{-1 b)}	(18)(43)
<i>B. bassiana</i>	13 (68%), 14 (32%)	4 d / 50 mL	520 mg L ^{-1 b)}	This study

^{a)} The duration of the process includes cultivation of the main culture, expression (recombinant produced P450s) and subsequent biotransformation in days (d).

^{b)} The product titer was calculated from isolated yields.

^{c)} Purified enzyme (*in vitro*).

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604 TAB 2

605 Results of the proteome analysis of the biotransformations with *Beauveria bassiana* carried
606 out with different substrates. The numbers stand for the quantity of clearly attributable
607 peptide fragments to a protein. A higher number contributes to a higher probability that the
608 protein is actually present in the sample. A sample with glucose as substrate served as a
609 reference.

	Glucose	Diclofenac (1)	(<i>R</i>)-2- phenoxypropionic acid
NADPH-CYP- Reductase	14	9	10
CYP52A10	2	0	11
CYP52A13	5	0	0
CYP548A5	0	5	0
CYP51F1	0	1	0
CYP684A2	0	0	2

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620 **Figure legends**

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622 FIG 1

623 Diclofenac (**1**) biotransformations with the filamentous fungi *Beauveria bassiana*,
624 *Clitocybe nebularis* and *Mucor hiemalis*. The reactions were carried out in 400 mL of PEG
625 broth with 0.6 g L⁻¹ substrate for 144 h at 27°C and 180 rpm. **Red**: negative control without
626 cells; **Magenta**: standard of 4'-hydroxydiclofenac (**2**), 5-hydroxydiclofenac (**3**) and
627 5-hydroxyquinoneimine (**4**); **Green**: *Beauveria bassiana*; **Blue**: *Mucor hiemalis*; **Ocher**:
628 *Clitocybe nebularis*. The retention times for the different metabolites are: 11.9 min (**5**);
629 13.1 min (**4**); 13.3 min (**2** and **3**); 15.5 min (**1**).

630

631 SCHEME 1

632 Diclofenac metabolites (**2 – 5**) synthesized by different filamentous fungi as biocatalyst
633 using 0.6 g L⁻¹ substrate. The isolated yields after extraction and purification *via* semi-
634 preparative HPLC and the product distribution in brackets are given. The metabolites
635 4'-hydroxydiclofenac (**2**), 5-hydroxydiclofenac (**3**), 5-hydroxyquinoneimine (**4**),
636 3',4'-dihydroxydiclofenac (**5**) were identified by NMR. ^{a)}Not yet observed diclofenac
637 metabolite.

638

639 SCHEME 2

640 NSAID-metabolites synthesized by different filamentous fungi as biocatalyst using 1 g L⁻¹
641 substrate. The isolated yields after extraction and purification *via* semi-preparative HPLC
642 and the product distribution in brackets are given. The metabolites 6-*o*-desmethylnaproxen

643 (7), 2-hydroxyibuprofen (9), 1-hydroxyibuprofen (10), 1,2-dihydroxyibuprofen (11),
644 3'-hydroxymethylmefenamic acid (13) and 3'-carboxymefenamic acid (14) were identified
645 by NMR. ^a)Products were not purified; hence no isolated yield and exact products are given.
646





