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| 4 | Comparison of the 3-D patterns of the parasympathetic nervous system in the lung |
| 5 | at late developmental stages between mouse and chicken |
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| 29 | muscle cells |
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1 Highlights

- $\mathbf{2}$
- 3 3-D patterns of parasympathetic nerves are visualized in mouse and chicken lungs.
- Comparison of these patterns reveals three prominent similarities between mouse and
- 5 chicken:
- 6 (1) VAChT-positive postganglionic fibers and ganglia are widely distributed in the
- 7 lung.
- 8 (2) Gas exchange units are devoid of parasympathetic nerves.
- 9 (3) Parasympathetic nerves are in close association with smooth muscle cells.
- 10

1 Abstract

 $\mathbf{2}$ Although the basic schema of the body plan is similar among different species 3 of amniotes (mammals, birds, and reptiles), the lung is an exception. Here, anatomy and 4 physiology are considerably different, particularly between mammals and birds. In $\mathbf{5}$ mammals, inhaled and exhaled airs mix in the airways, whereas in birds the inspired air 6 flows unidirectionally without mixing with the expired air. This bird-specific respiration 7 system is enabled by the complex tubular structures called parabronchi where gas 8 exchange takes place, and also by the bellow-like air sacs appended to the main part of 9 the lung. That the lung is predominantly governed by the parasympathetic nervous 10 system has been shown mostly by physiological studies in mammals. However, how the 11 parasympathetic nervous system in the lung is established during late development has 12largely been unexplored both in mammals and birds. In this study, by combining 13immunocytochemistry, the tissue-clearing CUBIC method, and ink-injection to airways, 14we have visualized the 3-D distribution patterns of parasympathetic nerves and ganglia 15in the lung at late developmental stages of mice and chickens. These patterns were 16further compared between these species, and three prominent similarities emerged: (1) 17parasympathetic postganglionic fibers and ganglia are widely distributed in the lung 18 covering the proximal and distal portions, (2) the gas exchange units, alveoli in mice 19 and parabronchi in chickens, are devoid of parasympathetic nerves, (3) parasympathetic 20nerves are in close association with smooth muscle cells, particularly at the base of the 21gas exchange units. These observations suggest that despite gross differences in 22anatomy, the basic mechanisms underlying parasympathetic control of smooth muscles 23and gas exchange might be conserved between mammals and birds.

1 Introduction

 $\mathbf{2}$ It is increasingly appreciated that the molecular and cellular mechanisms 3 underlying early development are largely shared among different species in vertebrates. 4 This also holds true for the mechanisms of organogenesis and their physiology, which $\mathbf{5}$ are particularly highly shared among amniotes (mammals, birds, reptiles). However, 6 there are some exceptions, and the lung is one such example. Although the extensively 7 branched airways in the lung offer a platform for gas exchange between O₂ and CO₂ in 8 mammals and birds, the anatomical structures of the lung are considerably different 9 between them (Schachner et al., 2013; Lambertz et al., 2015; Cieri and Farmer, 2016). 10 Unlike in mammals, where inhaled and exhaled gases mix in the lungs, in birds, the air 11 flows unidirectionally at inspiration and expiration. This provides birds with higher 12efficiencies of gas exchange than mammals (Barnas et al., 1978; Banzett et al., 1991; 13Brown et al., 1997; Boggs et al., 1998; Nasu, 2005; Cevik-demirkan et al., 2006; Reese et al., 2006; West et al., 2007; Maina, 2008; Plummer and Goller, 2008; Makanya and 1415Djonov, 2009; Maina, 2015, 2017). This high efficiency of gas exchange, together with 16the avian-specific bellow-like air sacs appended to the lung, enables birds to fly at high 17altitudes and/or long distances. Such remarkable differences between avian and 18 mammalian lungs have long attracted investigators in many biological fields including 19 developmental biology and locomotive biology. However, besides anatomy and some 20physiology (Boggs et al., 1998), comparative studies of the lung differences at the 21cellular neurological level remains poorly explored.

22Like other organs in the body, the lung physiology is governed by the autonomic nervous system, which is composed of sympathetic- and parasympathetic 2324neurons (McCorry, 2007; Wehrwein et al., 2016; Karemaker, 2017). Previous studies, 25predominantly in mammals, reveal that the parasympathetic nervous system is particularly important in lung physiology, whereas the sympathetic nervous system 2627plays less important roles (Mazzone and Canning, 2013). It has been proposed that the 28parasympathetic control of the airway constriction (bronchoconstriction) is mediated by 29acetylcholine and smooth muscles (Sparrow et al., 1994; Sparrow et al., 1995). In 30 pathological conditions such as asthma, a common disease in the lung, a denervation of 31 parasympathetic-containing nerves ameliorates hyper-reactivity caused by increased 32constrictive tone (Balogh et al., 1957; Lewis et al., 2006; Liu et al., 2014). Thus, 33 parasympathetic nervous system plays important roles in the contraction of bronchial

1 tubes both in normal and pathological conditions.

 $\mathbf{2}$ The initiation of this parasympathetic innervation during development has 3 been studied. In mice, immunostaining analyses in histological sections and 4 whole-mounted specimens showed that parasympathetic neurons start to innervate the $\mathbf{5}$ lung by embryonic day 12.5 (E12.5), where they are in close association of growing 6 bronchial structures (Lath et al., 2012). In chickens, precursors of parasympathetic 7 ganglia originating from vagal region-derived neural crest cells reach the lung buds by 8 E5 (Burns and Delalande, 2005). However, it remained unknown how the global 9 innervation patterns of the parasympathetic neurons in the lung are established at late 10 stages during development both in chickens and mice, since the branches and their 11 patterning become progressively thick and complex, hampering 3-D visualization of 12airways and nerves by conventional methods.

13In this study, we investigated the distribution patterns of the parasympathetic nervous system in whole-mounted lung specimens with a particular focus on late 1415embryonic and newborn stages, and we compared the patterns between mice and 16chickens. Two technical breakthroughs were undertaken: one was to employ the 17advanced tissue clearing method (Susaki et al., 2014; Tainaka et al., 2014; Susaki et al., 18 2015), which allows high-resolution 3-D visualization of the intricate innervation 19 patterns in the lung. The other was immunocytochemistry using recently raised antibody 20against chicken vesicular acetylcholine transporter (VAChT) protein, which distinguishes parasympathetic neurons from sympathetic postganglionic neurons 2122(Watanabe et al., 2017).

23In both species, parasympathetic nerves are in close association with smooth 24muscles along the bronchial tubes, and they innervate widely in the lung to the 25periphery. Importantly, the gas exchange tissues, alveoli in mice and atria (air 26capillaries) in chickens, are devoid of the parasympathetic innervation. Thus, despite 27considerable differences in anatomy, mice and chickens appear to employ similar 28mechanisms for the neurophysiology of gas exchange. Some of the data photographs 29shown in the Figures are images extracted from 3-D movies, 9 of which are appended as 30 Supplementary Materials.

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1 Materials and Methods

2 **Experimental animals**

Jcl:ICR (ICR) strain (CLEA Japan, Inc., Tokyo, Japan) mice and fertilized eggs of the Hypeco nera chicken strain were purchased from Shimizu Laboratory Supplies Co., Ltd. (Kyoto, Japan) and Shiroyama poultry farm (Kanagawa, Japan), respectively.

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Visualization of lung airways by highlighter ink

Following the dissection of the lung, commercially available highlighter ink
(Takase et al., 2013) was injected into the trachea, followed by fixation in 4% (w/v)
paraformaldehyde (PFA)/phosphate buffered saline (PBS: 0.14 M NaCl, 2.7 mM KCl,
10 mM Na₂HPO₄-12H₂O, 1.8 mM KH₂PO₄).

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14 **Tissue clearing by CUBIC**

15Tissue clearing was basically performed according to (Susaki et al., 2014; 16Tainaka et al., 2014; Susaki et al., 2015) with following modifications: fixed 17specimens were immersed in CUBIC-reagent 1 and CUBIC-reagent 2 consecutively, 18 followed by whole-mount immunostaining. The specimens were immersed again in 19 CUBIC-reagent 2 because this process declined an excess amount of staining (noise) 20with specific signals retained. When combined with ink injection, this was implemented 21after the immunostaining, and the following CUBIC-reagent 2 treatment was shorter 22than 30 minutes.

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$24 \qquad {\rm Immunohistochemistry\ with\ the\ mouse\ lung}$

Two kinds of anti-VAChT antibodies were used to stain mouse tissues: one was purchased from Synaptic System (139105, 1:500), and the other from Abcam (ab62140, 1:200), for which two different fixation protocols were employed: the fixation in PFA and in the solution of dimethylsulfoxide (DMSO): methanol, respectively.

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31 Staining with PFA-fixed specimens

Lungs were fixed in 4 % PFA/PBS at 4 °C with gentle shaking overnight, and washed in PBS three times for 1 hour each. For the staining for VAChT and Tuj1,

300-400 µm sections of lung lobes were obtained using a micro-slicer (SUPER MICRO 1 $\mathbf{2}$ SLICER ZERO 1, DOSAKA EM). Following the CUBIC-tissue clearing, the slices 3 were treated with 0.3 % H₂O₂ in PBS for 1 hour and washed in 0.1 % Triton X-100 in 4 PBS three times for 1 hour. After pre-blocking with blocking solution (0.5 % BR/PBST; $\mathbf{5}$ 0.5 % Blocking reagent [BR; Roche, 11096176001]/PBST [0.5 % TritonX-100]) twice 6 for 1 hour each, the slices were incubated for 48 hrs at 4 °C with primary antibodies, 7anti-VAChT (1:500, Synaptic System, 139105) and anti-Tuj1 (1:300, R&D systems, 8 MAB1195), in 0.5 % BR/PBST. The slices were washed 5 times for 1 hour each in 9 0.5 % BR/PBST at room temperature, and incubated with secondary antibodies 10 conjugated with anti-mouse IgG-Alexa 488 and anti-rabbit IgG-Alexa 568 (1:500, 11 Molecular probes) for 48 hrs periods at 4 °C. After washing 5 times in 0.1 % (v/v) Tween 20 in PBS, the slices were reacted with 1 µg/ml DAPI (Nacalai Tesque, 121311034-56) in PBST (0.1 % Tween 20), and washed in PBST (0.1 % Tween 20) three 14times for 30 minutes each.

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16 Staining with DMSO:methanol-fixed specimens

17Lungs were fixed in DMSO:methanol (1:4) at 4 °C with gentle shaking for 18 overnight. Fixed lungs were then incubated in 30 % H₂O₂:DMSO:methanol (1:1:4) for 8 19 hours at room temperature with gentle rocking, and stored at -20 °C in 100 % methanol. 20Whole mount immunohistochemistry was performed as previously described (Metzger 21et al., 2008) with the following modifications: specimens were incubated with 22anti-VAChT (1:200, Abcam, ab62140) diluted in 0.5 % BR/PBST for 2 or 3 nights at 234 °C, and subsequently with secondary antibodies conjugated with anti-rabbit 24IgG-Alexa 488, anti-mouse IgG-Alexa 647 (1:500, Molecular Probes), and AmpliStainTM anti-Goat 1-Step HRP (1:500, Stereospecific Detection Technologies, 25AS-G1-HRP) overnight at 4 °C. The specimens were reacted with 1:200 dilution of 2627Cy3-tyramid (Perkin-Elmer, FP1170) in 1× Amplification diluent (Perkin-Elmer, 28FP1135) for 1 hour at room temperature, and washed three times in TNT (0.1 M 29Tris-HCl [pH7.5], 150 mM NaCl, 0.1 % Tween 20) to terminate the reaction. 30 Anti-PGP9.5 (1:200, Invitrogen, 38-1000) and anti-Tuj1 (1:300, R&D systems, MAB1195) were also used for tissues fixed in DMSO:methanol. 31

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1 Immunohistochemistry with chicken embryos

 $\mathbf{2}$ Lungs fixed in 4 % PFA/PBS overnight were washed in 0.1 % Triton X-100 3 in PBS (PBST) three times for 5 minutes each, and processed to the CUBIC-tissue 4 clearing. Subsequently, the specimens were treated with 0.3 % H₂O₂ in methanol for 1 $\mathbf{5}$ hour and washed in PBST three times for 5 minutes each. After 1 hour of pre-blocking 6 with 1 % BR in PBST, the specimens were incubated overnight at 4 °C with primary 7 antibodies in 1 % BR/PBST, washed three times in PBST and incubated with 1:1000 8 dilution of anti-mouse or anti-rabbit peroxidase polymer (Dako), and with 1:400 9 dilution of anti-mouse IgG-Alexa 488-conjugated antibody (Molecular Probes) with 10 DAPI for 30 minutes. After washing six times in TNT, the lungs were reacted with 11 1:200 dilution of Cy3-tyramid in 1× Amplification diluent for 5 minutes at room 12temperature. The reaction was terminated by washing three times in TNT. The primary 13antibodies were mouse monoclonal- and rabbit polyclonal antibodies against chicken VAChT (1:200, Watanabe et al., 2017), mouse anti-acetylated tubulin (1:200, 1415SIGMA-ALDRICH, T6793), and mouse anti-aSMA (1:300, SIGMA-ALDRICH, 16A5228).

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18 Immunohistochemistry with frozen sections

19 Frozen sections (80 µm for mice, 40 µm for chickens) of PFA-fixed tissues 20were prepared using a cryostat (MICROM, HM500 OM). The sections were washed in 21PBST (0.1 % Triton X-100) three times for 30 minutes, treated with 0.3 % H₂O₂ in PBS 22for 30 minutes, rinsed in PBST three times for 30 minutes, and permeabilized with 231.5 % TritonX-100 for 10 minutes. The sections were washed in PBTD (0.15 % 24TritonX-100, 5 % DMSO in PBS), and blocked with 0.5 % BR in PBTD for 1 hour at 25room temperature. They were subsequently incubated with primary antibodies, 26anti-VAChT (1:400, Synaptic System, 139105), anti-Tuj1 (1:300, R&D systems, 27MAB1195), anti-αSMA (1:300, SIGMA-ALDRICH, A5228), and anti-Tyrosine 28hydroxylase (TH) (1:200 or 1:400, Pel-Freez, P40101-150; 1:200, Millipore, MAB318) 29for overnight at 4 °C, washed in PBST three times for 30 minutes, and pre-blocked with the 0.5 % BR in PBTD for 1 hour. They were incubated with the secondary antibodies 30 conjugated with anti-mouse or rabbit IgG-Alexa 488 and anti-rabbit or mouse 31 32IgG-Alexa 568 (1:500, donkey; Molecular Probes) for overnight at 4 °C. For TH 33 staining with chicken embryos, the sections were reacted with 1:200 dilution of 1 Cy3-tyramid in 1× Amplification diluent for 5 minutes at room temperature after

- 2 washing six times in TNT. The reaction was terminated by washing three times in TNT.
- 3 They were washed in 0.1 % Tween 20 in PBS three times for 30 minutes, stained with 1
- μg/ml DAPI in 0.1 % Tween 20 in PBS for 10 minutes at room temperature, and
 mounted with Fluoromount (Diagnostic BioSystems, K 024).
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7 Histology

Hematoxilyn-Eosin (HE) staining: frozen sections of 10 μm were stained in
Mayer's Hematoxylin (Muto Pure Chemicals CO., LTD, 3000-2) for 10 seconds, and
washed in running tap water. Following a replacement of ethanol solution, the sections
were stained in Eosin Alcohol Solution (Wako, 050-06041) for 5 minutes. After
dehydration in an ethanol series, the sections were cleared in xylene and mounted.

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14 Microscopy

15 Images of ink-injected lungs were obtained using Leica MZ 10F. The 16 immuno-stained tissues and sections were imaged with the Nikon A1R confocal 17 microscope, or by Zeiss Imager Z1 microscope with ApoTome.

18

19 <u>Results</u>

20 Overview of the lung anatomy in mice, and visualization of airways by ink 21 injection

In the lung of mice, the trachea undergoes progressive branching that produces numerous terminal bronchioles (Fig. 1A). The tip of each bronchiole is a dead-end with alveoli, where gas exchange takes place. The lung volume substantially changes during respiration.

26In this study, we examined the distribution patterns of parasympathetic 27neurons in the developing lung in relation to the morphogenetic patterns of airway 28branching at relatively late developmental stages from E16.5 through the birth. Since 29the airway branches are very complex at these stages, the overall structures of the 30 airways, including their peripheral bronchioles, were visualized by injecting fluorescent 31 ink, reported to be useful to visualize embryo-wide vascular network (Takase et al., 32 2013). The pulmonary branching pattern started to become elaborate by E16.5, and this elaboration progressed through the birth. Embryos just before birth (P0^{before}) and after 33

birth (P0^{after}) were siblings of the same mother, which were harvested when the first and
second pups were delivered. Increased volume of the lung at P0^{after} compared to P0^{before}
was evident due to the first breath after delivery (Fig. 1B).

4

5 Overview of the lung anatomy in chickens, and visualization of airways by ink 6 injection and tissue clearing

7 In the lung of chickens, the trachea branches into a pair of primary bronchi, 8 which connects the secondary bronchi, posterior/dorsal (hereafter called "posterior") 9 and anterior/ventral (hereafter called "anterior") bronchi (Brown et al., 1997; Cieri and 10 Farmer, 2016). Between the posterior and anterior bronchi, numerous air tubes, called 11 parabronchi, are arranged in parallel with each other, where gas exchange takes place. 12Five air sacs composed of 3 anterior and 2 posterior are appended to each of the right 13and left lobes of the lung (Fig. 1C; hereafter the diagram of the chicken lung displays only two air sacs for simplicity) (Kitazawa et al., 1976; Sakiyama et al., 2000; Maina, 14152003). After the primary bronchus, the inspired air flows into the posterior air sacs, 16from which the air goes anteriorly through parabronchi into anterior bronchi and/or 17anterior air sacs. The CO₂-rich air finally returns to the trachea and is expired from the 18 body. The main part of the lung filled with parabronchi does not change in volume 19 during respiration, whereas air sacs serve as bellows that expand and compress 20dynamically.

21In ink-injected and tissue-cleared lungs, the primary bronchi, the posterior and 22anterior bronchi, and the parabronchi were visualized. During the preparation of 23specimens, the air sacs, which were extremely thin and fragile, were removed from the 24main part of the lung. In Fig. 1D, the connecting positions of air sacs are depicted. 25Although the very complex structures of parabronchi were not easily recognized in low magnification (Fig. 1D), the fine structures of air capillaries/atria within each 2627parabronchus were successfully visualized by this method in higher magnification as 28explained in details below (for example Fig. 5). The complexity in the parabronchial 29structures was already seen at E16, and its overall structure was basically maintained 30 until hatching (E21).

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Global distribution patterns of VAChT-positive nerves and ganglia in the lung of mouse late embryos

3 It has previously been reported that parasympathetic nerves start to innervate 4 the developing bronchi in the lung of mouse embryos by E12.5 (Lath et al., 2012), when $\mathbf{5}$ elaborate arborization is not yet obvious. To understand the global distribution of 6 parasympathetic nerves in relation to the late bronchial patterns, the lung of E16-17 7 mouse embryos was stained in whole-mount with the anti-VAChT antibody 8 (commercially available, see Materials and Methods), followed by tissue clearing by the 9 CUBIC method. Since the VAChT-staining yielded some background signals, 10 specimens were co-stained with the pan-neural marker Tuj1, which recognizes neuronal 11 class III β -Tubulin.

12Around the primary bronchus just after the first branching of the trachea at 13E16, VAChT-positive ganglia, recognized as a cohort of neuronal cells, were densely 14located. The size of these ganglia was relatively large up to 194 µm in diameter (Fig. 152A-C, F, G). Although VAChT-staining does not distinguish between presynaptic 16(vagal) neurons and post-synaptic (neural crest-derived) neurons, these large ganglia are 17presumably the sites where the pre- and post-ganglionic neurons synapse. 18 VAChT-positive nerve fibers were also densely located around the thick airways, and 19 these fibers extended peripherally in a progressively scarce manner along bronchial 20tubes seen at E16 (Fig. 2A and D). Some neurons/ganglia were VAChT- and 21Tuil-double positive, whereas Tuil-single positive neurons, presumably sensory or 22sympathetic neurons, were also observed (Fig. 2D, see also below for sympathetic 23neurons).

24At the periphery, large ganglia were not found. Instead, small ganglia of 2510 µm to 30 µm in diameter were localized along VAChT-positive nerves (Fig. 2E-G). 26These small ganglia were explicitly visualized by staining with anti-protein gene 27product 9.5 (PGP9.5) antibody, which preferentially stains cell bodies of neurons (Fig. 282E) (Sparrow et al., 1999; Tollet et al., 2001). Both the sparse and small ganglia along 29the peripherally extending nerves and the dense and large ganglia around the primary 30 bronchus were observed in postnatal day 1 (P1) pups, the latest stage examined in this 31 study (Suppl. Fig. 1A, B). This is the first demonstration of the small parasympathetic 32ganglia at the periphery of the lung at late stages of mouse development.

1 Global distribution patterns of VAChT-positive nerves and ganglia in the lung of

2 chicken late embryos

3 Because of the highly complicated anatomy of the chicken lung at late stages, 4 we combined three methods to clarify the relative positions of VAChT-positive nerves $\mathbf{5}$ and airways to visualize the distribution pattern of parasympathetic nerves in the 6 whole-mount chicken lung: one was immunocytochemistry using the recently raised 7antibody against the chicken VAChT protein (Watanabe et al., 2017), second was 8 CUBIC tissue-clearing method, and the third was delineation of airways by injecting 9 highlighter ink, although the ink rapidly diffused in a CUBIC solution (see Materials 10 and Methods). These combined techniques allowed us to locate with high resolution the 11 parasympathetic nerves and ganglia in regard to the positions of airways.

12As shown in Fig. 3A-D and also in movies 1-3, in the lung of E16 chicken embryos, VAChT-positive ganglia were located at branching points from secondary 1314(anterior and posterior) bronchi to parabronchi. We also found VAChT-positive ganglia 15at transition points between primary and secondary bronchi (Fig. 3A, E). Essentially, a 16single ganglion was present at each branching point (Fig. 3, A-D). Similarly to the 17mouse, relatively large (57 µm to 171 µm in diameter) and small (5 µm to 105 µm) 18 ganglia were seen in proximal and distal regions in the lung, respectively (Fig. 3D, E, 19 G). VAChT-positive nerves were observed both outside and inside parabronchi. The 20nerves within the parabronchi were a mesh-like structure with no accompanying ganglia 21(Fig. 3A-C), which is shown in more details below (see also Fig 5). More proximally 22toward the branching point of primary bronchus to the trachea, thicker bundles of 23VAChT-positive nerves were observed, which we presume to be presynaptic vagal 24nerves (Fig. 3A, F). In the air sacs, VAChT-positive nerves were sparsely present with 25no recognizable ganglia (Suppl. Fig. 2).

26

Association of postsynaptic parasympathetic nerves with smooth muscle cells at the terminal bronchioles in the mouse lung

We further explored possible associations of the postsynaptic parasympathetic nerves with smooth muscles around the gas-exchanging alveoli in mice. We had initially presumed there would be a change in the patterns of VAChT-positive neurons upon the birth due to the first breath. However, the innervation patterns were essentially the same between P0^{before} and P0^{after}, except for dilated bronchioles in P0^{after} (Fig. 4A-F, Movies 4, 5). Accordingly, subsequent examinations were performed either at P0^{before} or
 P0^{after}.

3 It is known that smooth muscle cells around terminal bronchioles are 4 circumferentially arrayed, whereas those around alveoli are irregularly distributed (Branchfield et al., 2016). We exploited this difference as a hallmark to distinguish $\mathbf{5}$ 6 between alveoli and terminal bronchioles in transverse views at the periphery of the 7 lung. By staining with anti-VAChT and anti- α SMA antibodies, we used confocal 8 microscopy to examine transverse sections of these terminal bronchioles. As seen in a 9 single focal plane and in the Z-stack of 11 µm, although closely associated with smooth 10 muscle cells, VAChT-positive nerves were not circumferentially arrayed around a 11 terminal bronchiole (Fig. 4 G, H, Movie 6). Some nerves projected in a direction 12parallel with the axis of the terminal bronchiole, where the nerve threaded the 13circumferential arrays of smooth muscle cells (Fig. 4I, J, Movie 7). Importantly, alveoli 14were devoid of VAChT-positive neurons (Fig. 4K, Movie 8).

15

Association of postsynaptic parasympathetic nerves with smooth muscle cells in the parabronchi of the chicken lung

In chickens, gas exchange takes place in the parabronchi, where each parabronchus harbors a plethora of laterally protruding structures called atrium/atria or air capillary/capillaries. Ink injection into the airways successfully visualized a 3-D array of atria in a single parabronchus (Fig. 5A, C, E). After atria, the air goes further into air capillaries, which were not stained by the ink injection.

23Parabronchi of the lung at E16 through E21 were stained with anti-VAChT-24and anti-aSMA antibodies, tissue-cleared by CUBIC, and subjected to confocal 25microscopy. Both parasympathetic nerves and smooth muscle cells were distributed in a 26mesh-like pattern lining the parabronchial tubes, and such structures became 27progressively obvious through E21 (Fig. 5B, D, F-K, Movie 9). Notably, only the base 28(proximal-most portion) of each atrium was surrounded by VAChT and αSMA signals 29with no innervation into the gas exchange unit, which is consistent with the observation 30 in mice (see above). Whereas smooth muscle cells were present around alveoli in mice, 31 these cells were not observed around protruding atria or air capillaries in the chicken 32lung (see also Discussion).

1 Sympathetic nerves in peripheral bronchi

 $\mathbf{2}$ Lastly, we compared innervation patterns of parasympathetic nerves with those 3 of sympathetic nerves in late developing lung. It has been reported in early mouse 4 embryos that the sympathetic nerves are located near the trachea (Lath et al, 2012), and $\mathbf{5}$ we indeed observed Tyrosine hydroxylase (TH)-positive postsynaptic sympathetic 6 nerves in primary and secondary bronchi in the mouse lung at P0. Double staining in 7 sections with antibodies for TH and VAChT revealed that TH-positive or 8 VAChT-positive neurons were either associated with each other, or present separately 9 (Suppl. Fig. 3A-D). Of note, TH-positive neurons were also observed in peripheral 10 regions around terminal bronchioles, although their innervation was less evident than 11 that of parasympathetic neurons (Suppl. Fig. 3E, F, H), and some bronchioles did not 12harbor TH-positive nerves (data not shown). There observations are consistent with that 13parasympathetic nerves dominate the lung function. Like parasympathetic neurons, 14TH-positive nerves were not found around alveoli (Suppl. Fig. 3E, H). Intriguingly, 15Tuil-positive nerves were observed in the alveoli regions, which we presume to be 16sensory neurons (Suppl. Fig. 3G). In chicken, Tuj1-positive nerves were sparsely 17located in between parabronchial tubes, showing a different pattern from that of 18 parasympathetic nerves (Suppl. Fig. 3I, J, K).

19

20 **Discussion**

21We have demonstrated the 3-D innervation patterns of parasympathetic nerves 22and ganglia in the lungs of late developing- and newborn stages in mice and chicken 23embryos. The whole-mount immunostaining with anti-VAChT antibodies (Watanabe et 24al., 2017), the recently developed CUBIC method for tissue clearing (Susaki et al., 252014; Tainaka et al., 2014; Susaki et al., 2015), and ink-injection into airways (Takase 26et al., 2013) have enabled us to visualize the global structures of the parasympathetic 27nerves for the first time in the thick and highly elaborate lung. The parasympathetic 28nerves are in close association with smooth muscle cells particularly at the periphery of 29the lung where gas exchange units reside. Our description in the mouse lung at late 30 stages is largely consistent with previous reports using early embryos at E12.5 that 31 nerves innervate to the periphery of the developing lung along branching airways (Lath 32et al., 2012). Importantly, most of our findings obtained with the chicken lung are novel 33 since antibodies to visualize VAChT-positive nerves in this species were not available

until very recently (Watanabe et al., 2017). Furthermore, by comparing these 1 innervation patterns between mice and chickens, remarkable similarities have emerged $\mathbf{2}$ 3 despite the gross difference in anatomy of the lung. The similarities include: (1) 4 parasympathetic ganglia and nerves, presumably postganglionic fibers, are widely $\mathbf{5}$ distributed covering the proximal and distal portions of the lung; (2) the gas exchange 6 units, alveoli in mice and parabronchi in chickens, are devoid of parasympathetic 7 nerves; and (3) parasympathetic nerves are in close association with smooth muscle 8 cells, particularly at the base of the gas exchange units.

9

Parasympathetic ganglia and nerves are widely distributed in the lung covering proximal and distal portions

12In both mice and chickens, VAChT-positive nerves are distributed widely in 13the lung. Postganglionic fibers extend to the periphery along branching bronchi. Two 14different sizes of parasympathetic ganglia are found in a region-specific manner as 15summarized in Fig. 6: large ganglia reside around the first branching point near the end 16of trachea, whereas small ganglia are widely distributed at the periphery. Peripheral 17ganglia have previously been reported in mouse, pig and human embryos, although 18 those studies did not show a region-specific localization of different size of ganglia 19 (Sparrow et al., 1999; Weichselbaum and Sparrow, 1999; Tollet et al., 2001). The large 20ganglia might offer a place where vagal neurons synapse to postganglionic neural 21crest-derived neurons, whereas the function of small ganglia remains undetermined.

Chicken-specific distribution of ganglia should also be noted: they are found only in the main part of the lung, but not in the air sacs (Fig. 6). And the ganglia are positioned at every branching point, but not at non-branching points, e.g. within parabronchi.

26

The gas exchange units, alveoli in mice and atria/air capillaries in chickens, are devoid of parasympathetic nerves

A most striking similarity in the parasympathetic innervations in the lung between mice and chickens is that the nerves do not invade into the gas exchange units, alveoli in mice and atria/air capillaries in chickens. This highlights the possibility that the gas exchange in amniotes takes place without their own constriction.

33 The parasympathetic innervations end at the boundary between a terminal

bronchiole and alveoli in mice, and at the proximal base of each atrium in chickens. In
chickens, we have succeeded in whole-mount visualization of complex arrays of atria in
each bronchus, which are negative for VAChT staining (Figs. 5, 6). It is known that
these atria are further connected laterally to highly intricate structures of air capillaries
that also serve as a gas exchange unit (Brown et al., 1997; Makanya and Djonov, 2009;
not shown in Fig. 6).

We have also demonstrated that TH-positive sympathetic nerves are absent in the gas exchange unit in both species. In mice, the TH-positive sympathetic nerves are sparsely located along terminal bronchi, showing that the dominance by the parasympathetic innervation in the lung is already established before birth.

11

12 Parasympathetic nerves are in close association with smooth muscle cells

13In both mice and chickens, close associations between parasympathetic nerves 14and smooth muscle cells have been found. As discussed above, parasympathetic fibers 15extend until the boundary between terminal bronchi/parabronchi and gas exchange units, 16and these innervations are accompanied by smooth muscle cells. Remarkably, in 17chickens, the patterns of parasympathetic fibers and smooth muscle cells are almost 18 identical at the base of each atrium in the parabronchus (Fig. 6). During late 19 embryogenesis, the innervation of parasympathetic fibers slightly precedes that of 20smooth muscle cells, suggestive of neuronal signals influencing smooth muscle cells 21(Fig. 6, Movie 9).

22In mice, unlike chickens, the array of parasympathetic fibers in the terminal 23bronchioles is distinct from that of smooth muscle cells: whereas smooth muscle cells 24are circumferentially arrayed around the terminal bronchiole, the innervating fibers do not follow these patterns, and some nerves run perpendicularly to the smooth muscle 2526cells (Fig. 4I, K, Fig. 6, Movies 7, 8). It has previously been reported that smooth 27muscle cells are also present around alveoli, but in an irregular manner, and this 28irregularity can be used as a hallmark to distinguish alveoli from terminal bronchioles 29among numerous and intricate tubular structures in the lung (Branchfield et al., 2016; 30 Fig. 6). We have also used these criteria to locate the alveoli in α SMA-stained 31 specimens (Fig. 4K, Movie 8). The irregularly arrayed smooth muscle cells around 32alveoli are not innervated by parasympathetic fibers, suggesting that these smooth 33 muscle cells are not for constrictive regulation, but rather for physical support of the

alveoli, which must be highly fragile during respiration. Collectively, it is likely that the
fine-tuning of constrictive tone at the periphery of the lung is governed by coordinated
functions of parasympathetic nerves and smooth muscles at the base of, but not within,
the gas exchange units in both mammals and birds.

 $\mathbf{5}$ In mammals, it has previously been proposed that the parasympathetic fibers 6 might regulate the acetylcholine-mediated constriction of smooth muscles (Sparrow et 7 al., 1994; Sparrow et al., 1995). This notion was brought about by several separate 8 studies. Lath et al. (2012) reported that VAChT-positive fibers were distributed along E 9 cadherin-positive bronchioles in the embryonic lung at E12.5 and E14.5. Other studies 10 demonstrated that nerve fibers whose identity was undetermined were in close 11 association with smooth muscles in human and mouse fetal lungs (Sparrow et al., 1999; 12Tollet et al., 2001; Burns et al., 2008), and also that acetylcholine invoked 13bronchocontriction of a pig fetal lung in vitro (Sparrow et al., 1994; Sparrow et al., 14 1995). In the current study, we have conducted, for the first time, a direct comparison 15between VAChT-positive nerves and smooth muscle cells in the 16bronchioles/parabronchi and gas exchange units at late embryonic to postnatal stages 17both in mice and chickens.

18

19 Others

20In mice, the basic organization of the lung and innervation patterns of 21parasympathetic nerves/ganglia do not significantly change after E16, and notably, 22these patterns (except for the dilation of the airways) are retained after the first breath at 23birth (Figs. 2, 4, 6, Suppl. Fig. 1). In contrast, in chickens, the patterns of 24parasympathetic innervation and smooth muscle cells in the parabronchi are 25progressively established, and they are not yet complete at hatching (Figs. 3, 5, 6). It is 26conceivable that in mammals, a quick switch to the pulmonary respiration system upon 27the birth might necessitate the precocious establishment of the parasympathetic 28innervation in the lung. In contrast, a hatching chick slowly starts respiration when they 29are still inside the shell, and it takes about 24 hours to complete the pulmonary 30 respiration system (Thompson, 2007).

Lastly, the comparative descriptions in this study have raised the possibility that like the mouse lung, neuroendocrine cells in the chicken lung are governed by parasympathetic nerves/ganglia. It has previously been shown in mice that clusters of

1 neuroendocrine cells, called neuroepithelial bodies, positioned at branching points of $\mathbf{2}$ bronchioles are innervated by VAChT-positive neurons (Domnik and Cutz, 2011; 3 Noguchi et al., 2015). Neuroendocrine cells have also been observed in the lung of 4 quails by electronic microscopy (Klika et al., 1998), and they are located at the $\mathbf{5}$ "entrance into the parabronchial vestibule", which corresponds to the transition points 6 from a secondary bronchus to parabronchus. We have demonstrated in this study that at 7 these transition points, VAChT-positive ganglia are preferentially located. Thus, it is 8 conceivable that neuroendocrine cells in the lung governed by parasympathetic nervous 9 system exert similar physiological functions between mammals and birds. 10 11 Acknowledgements 12We thank Dr. Scott F. Gilbert for helpful discussion and careful reading of the 13manuscript. This work was supported by JSPS KAKENHI: Grant-in-Aid for Scientific 14Research (B), SPIRITS (Kyoto University), and AMED (JP17gm0610015). 1516References 17Balogh G., Dimitrov-Szokodi D., Husveti A., 1957. Lung Denervation in the Therapy 18 of Intractable Bronchial Asthma. J. Thoracic Surg. 33 (2), 166-184. 19 Banzett, R.B., Nations, C.S., Wang, N., Fredberg, J.J., Butler, J.P., 1991. Pressure 20profiles show features essential to aerodynamic valving in geese. Respir. 21Physiol. 84 (3), 295-309. 22Barnas, G.M., Mather, F.B., Fedde, M.R., 1978. Response of Avian Intrapulmonary 23Smooth Muscle to Changes in Carbon Dioxide Concentration. Poultry Sci. 2457 (5), 1400-1407. 25Boggs, D.F., Butler, P.J., Wallace, S.E., 1998. Differential air sac pressures in diving 26tufted ducks aythya fuligula. J. Exp. Biol. 201 (Pt 18), 2665-2668. 27Branchfield, K., Li, R., Lungova, V., Verheyden, J.M., McCulley, D., Sun, X., 2016. A 28three-dimensional study of alveologenesis in mouse lung. Dev. Biol. 409 (2), 29429-441. 30 Brown, R.E., Brain, J.D., Wang, N., 1997. The Avian Respiratory System: A Unique Model for Studies of Respiratory Toxicosis and for Monitoring Air 31 32Quality. Environ Health Perspect. 105 (2), 188-200. 33 Burns, A.J., Delalande, J.M., 2005. Neural crest cell origin for intrinsic ganglia of

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1 Figure legends

2 Figure 1

Gross anatomy and visualization of airways by ink injection in the developing lung of mice and chickens. (A, B) Mouse lungs. (C, D) Chicken lungs. (A, C) Schematic diagram showing gross anatomy and airflows. (B, D) Branching patterns in developing lungs visualized by highlighter ink injection at the stages indicated. Solid lines enclose different lobes in (B), and indicate the positions of connecting points of the air sacs (D). Upper panels are bright fields, and lower panels are images captured by a GFP-filter. Scale bars: 1 mm in (B), 2 mm in (D). n=3 per each experiment.

10

11 **Figure 2**

Global distribution patterns of VAChT-positive nerves and ganglia in the 1213mouse lung. (A) Diagram displaying the positions of the images (B-E), and a summary 14of the distribution patterns of VAChT-positive nerves and ganglia. (B-D) Confocal 15projection images of a mouse lung lobe at E16, staining for VAChT (red), Tuj1 (green), 16and DAPI (blue). (B, C) VAChT signals in the primary bronchial tube near the trachea 17(B), and a ganglion was magnified in (C). (D) VAChT-positive nerves at the periphery. 18 (E) Nerves at the periphery of the lung at E17 stained for VAChT (red), PGP9.5 (cyan), 19 and DAPI (blue). n=3 per each experiment. (F) Site-specific size of VAChT-positive ganglia in the mouse lung at E18. n=4. (G) Confocal projection images of large and 2021small ganglia stained for VAChT (red) and DAPI (blue). White arrows and arrowheads 22indicate VAChT-positive ganglia and nerves, respectively. Green arrowhead indicates 23Tuj1-single positive nerves. Dashed lines indicate margins of lung and trachea. Scale 24bars: 500 µm in (B), 50 µm in (C), 100 µm in (D, E). 10 µm in (G). Confocal images 25were obtained at 3.20 µm intervals in (B-E), 0.57 µm intervals in (G), for Z-projections 26as indicated.

27

28

29 Figure 3

Global distribution patterns of VAChT-positive nerves and ganglia in the chicken lung at E16. (A) Diagram displaying the positions of the images (B-F), and a summary of the distribution patterns of VAChT-positive nerves and ganglia. (B-F) Confocal projection images of chicken lung at E16, stained for VAChT (red) and DAPI 1 (blue). (B) A representative image of the movie 1 showing VAChT and DAPI signals in $\mathbf{2}$ parabronchi. The boxed area is magnified in (C). White dotted lines encircle each 3 bronchus. (C-F) Arrows indicate VAChT-positive ganglia. Arrowheads show mesh-like 4 patterns of nerves in the parabronchi. Airways were visualized by ink injection (cvan). $\mathbf{5}$ n=4 per each experiment. (G) Site-specific size of VAChT-positive ganglia in the 6 chicken lung at E18. n=2. Confocal images were obtained at 1.0 µm intervals in (B-D), 7 10 µm intervals in (E-F), for Z-projections as indicated. Scale bars: 300 µm (B, C, E, F), 8 40 µm (D).

9

10 Figure 4

11 Innervation patterns of parasympathetic postganglionic nerves and smooth 12muscle cells in terminal bronchioles in pre- and postnatal mouse lungs. (A, B) 13Hematoxilyn-Eosin (HE) staining of transverse sections of the right caudal lobe at P0^{before} and P0^{after}. The alveolar pore size became greater after the first breath. (C-F) 14 15Confocal projection images with staining for VAChT (red), Tuj1 (green), and DAPI 16(blue) of the right middle lobe. The patterns of VAChT-positive nerves remained 17unchanged after birth. Arrowheads indicate VAChT-positive nerves. Confocal images 18 were obtained at 2.8 µm intervals for Z-projections as indicated. (G-K) Staining forVAChT (red), αSMA (green), and DAPI (blue) of the mouse right caudal lobe at 19 P0^{before} and P0^{after}. (G-H) Transverse sections of a terminal bronchi-containing region. 20Confocal images of a single focal plane (G) and Z-stack (H). (I, J) Longitudinal view of 2122terminal bronchi. (J) is a magnified view of the square in (I). (K) Lumens of alveoli (*) 23and terminal bronchi can be distinguished by different patterns of aSMA-positive 24smooth muscles (also see text). Arrowheads indicate VAChT-positive nerves. Asterisks 25are alveoli. n=3 per each experiment. Confocal images were obtained at 0.15 µm 26intervals. Scale bars: 90 µm (A, B), 500 µm (C, E); 50 µm (D, F, G-I, K), 10 µm (J).

27

Example 28 Figure 5

Innervation patterns of parasympathetic postganglionic nerves and smooth muscle cells in parabronchi of the chicken lung at late stages. (A-F) Projection images of parabronchial tubes from E16 to E21. (A, C, E) Longitudinal view of a parabronchus with protruding air tubes visualized by ink injection. (B, D, F) Longitudinal views of a parabronchus stained for VAChT (red) and αSMA (green). (G-I) Transverse views of a parabronchus at E21, stained for VAChT (red) and α SMA (green). (I) Magnified images of (H). (J, K) Longitudinal views of a parabronchus at E21. Nerves (VAChT) and airway (ink) are visualized. Three representative images are selected from the Movie 9. n=5 (A-F, H), n=4 (K). Confocal images were obtained at 1.0 µm intervals for Z-projections as indicated. Scale bars: 100 µm (A-K).

6

7 Figure 6

8 Comparison of the distribution patterns of parasympathetic nerves and smooth 9 muscle cells in the lung between mouse (alveoli) and chicken (parabronchi). Three 10 prominent similarities have emerged: (1) parasympathetic postganglionic fibers and 11 ganglia are widely distributed in the lung covering the proximal and distal portions, 12 (2) the gas exchange units, alveoli in mice and parabronchi in chickens, are devoid of 13 parasympathetic nerves. (3) parasympathetic nerves are in close association with 14 smooth muscle cells, particularly at the base of the gas exchange units. See also the text.

15

16 Supplementary Figure 1

Global distribution patterns of parasympathetic nerves and ganglia in the postnatal mouse lung at P1. (A) Confocal projection images of primary bronchus of the left lobe, stained for VAChT (red), Tuj1 (green), and DAPI (blue). (B) Confocal projection images of bronchial tubes of the left lobe, stained for VAChT (red), PGP9.5 (cyan), and DAPI (blue). Arrows indicate VAChT-positive ganglia. n=3 (A), n=2 (B). Scale bars: 100 µm. Confocal images were obtained at 3.2 µm intervals for Z-projections as indicated.

24

25 Supplementary Figure 2

Air sacs are poorly innervated and devoid of ganglia. (A) An anterior air sac
at E21 was stained for VAChT (red) and acetylated tubulin (green). n=2. Arrowheads
indicate VAChT-positive nerves. Scale bar: 300 μm.

29

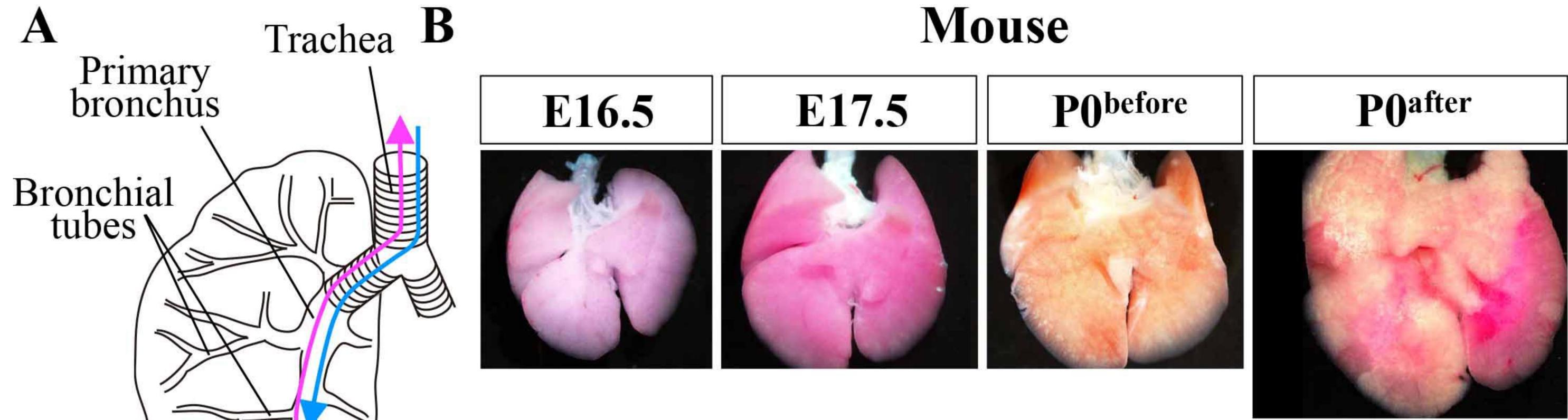
30 Supplementary Figure 3

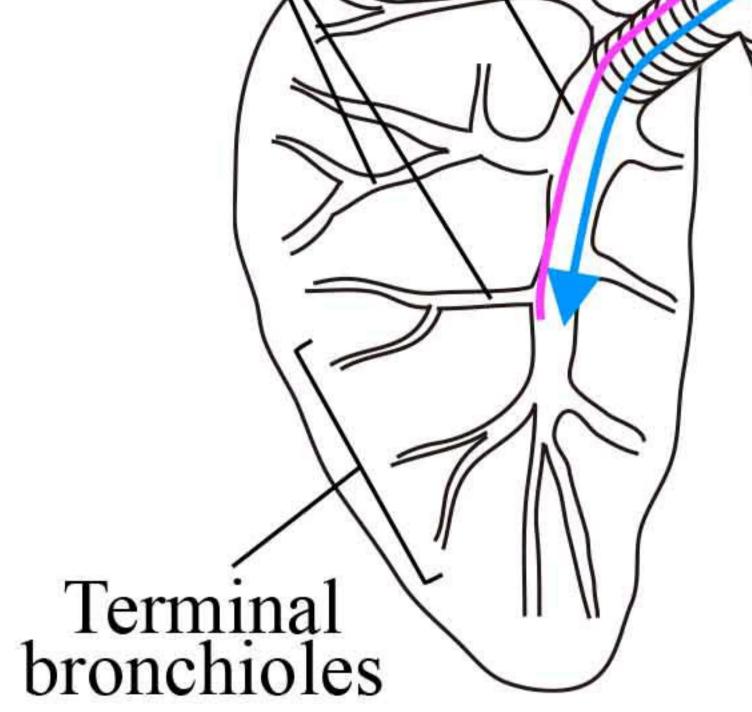
31 Distribution patterns of sympathetic nerves in postnatal lungs of mouse and 32 chicken. (A-H) TH-staining of the mouse lung at P0 (A-G) and a diagram in the 33 periphery (H). (A-D) Confocal projection images in the primary or secondary bronchi,

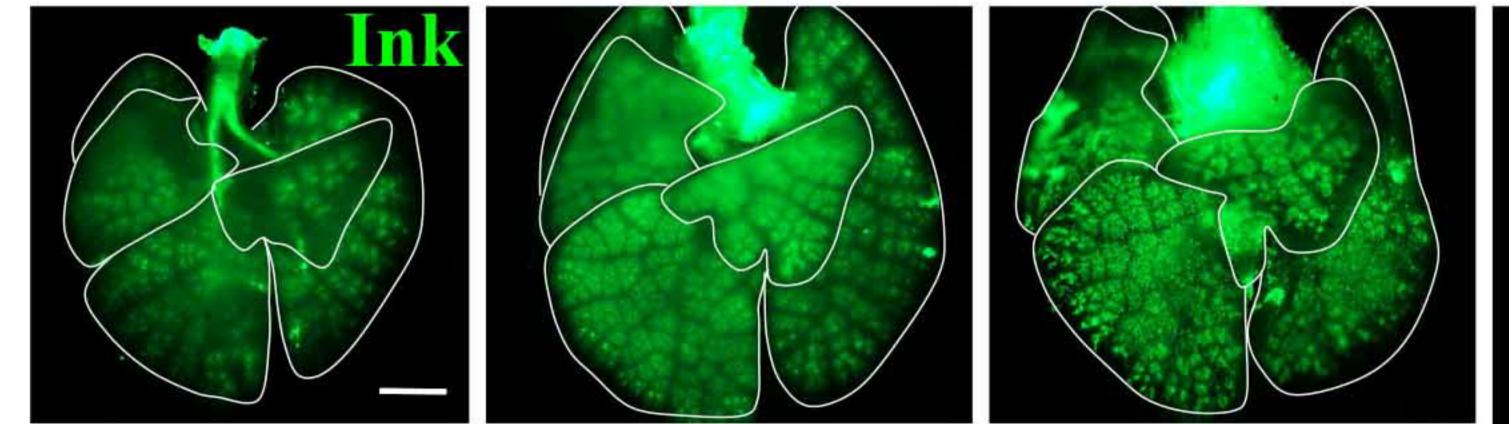
stained for TH (green)/Tuj1 (red)/DAPI (blue) (A), and TH (green)/VAChT (red)/DAPI 1 $\mathbf{2}$ (blue) (B-D). (E-G) Confocal projection images in the peripheral region, stained for TH 3 (green)/aSMA (red) (E), TH (green)/VAChT (red)/DAPI (blue) (F), and TH 4 (green)/Tuil (red)/DAPI (blue) (G). (I-K) TH-staining of chicken lung at E21, and a $\mathbf{5}$ diagram in a transverse view (K). A transverse section of parabronchi, stained for TH 6 (green)/DAPI (blue) (I) and Tuj1 (red)/DAPI (blue) (J). Arrowheads in B-D and F 7 indicate TH-positive nerves. TH-positive nerves shown by white arrowheads were 8 closely located near VAChT-positive nerves, and TH-positive nerves shown by green 9 ones were present singly. Asterisks are alveoli. n=3 (A-G), n=2 (I, J). Confocal images 10 were obtained at 0.57 µm intervals in (A, G), 0.40 µm intervals in (B-D, F), 0.50 µm 11 intervals in (E), 2.92 μ m intervals in (I, J), for Z-projections as indicated. Scale bars: 50 12μm (A, B, E, F, G), 25 μm (C, D), 100 μm (I, J). 1314Movie 1 15Chicken lung at E16, and data source for Fig. 3B. Parabronchi were 16co-stained for VAChT (red) and DAPI (blue). Scale bar: 300 µm. 1718 Movie 2 19 Chicken lung at E16, and data source for Fig. 3D. A ganglion located at the 20transition point from anterior bronchus to parabronchus was co-stained for VAChT 21(red) and DAPI (blue). Scale bar: 40 µm. 2223Movie 3 24Chicken lung at E16, and data source for Fig. 3E. The transition point from 25the primary to secondary bronchi was co-stained for VAChT (red) and DAPI (blue). 26Scale bar: 300µm. 2728Movie 4 Mouse lung at P0^{before}, and data source for Fig. 4C. Terminal bronchioles in 2930 the right middle lobe were co-stained for VAChT (red) Tuj1 (green), and DAPI (blue). 31 W x H x D= 251 μ m x 251 μ m x 62 μ m. 3233 Movie 5

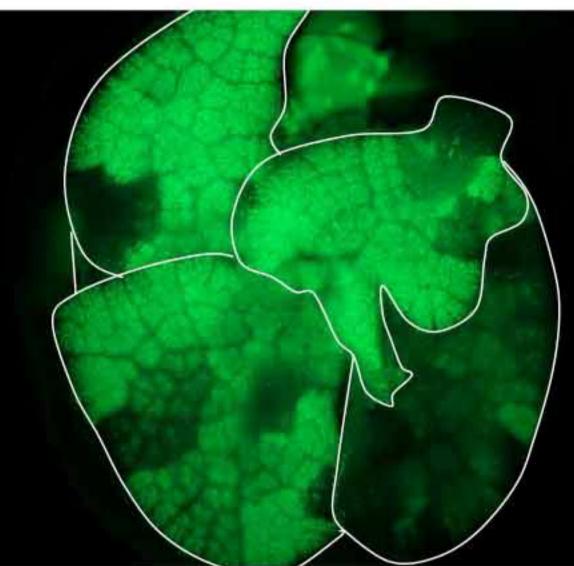
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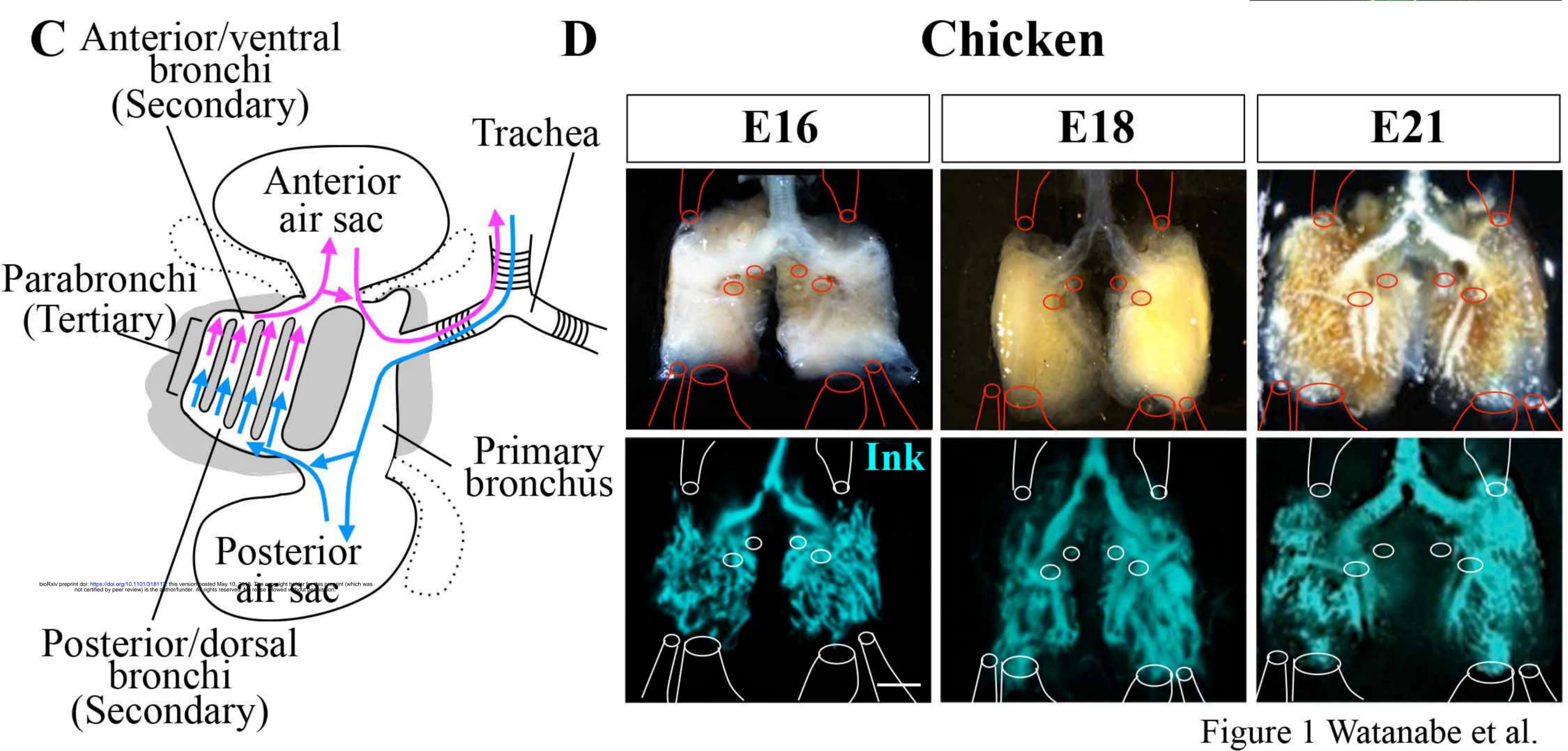
Mouse lung at P0^{after}, and data source for Fig. 4D. Terminal bronchioles in the 1 $\mathbf{2}$ right middle lobe were co-stained for VAChT (red), Tuj1 (green), and DAPI (blue). W x 3 H x D= 251 μm x 251 μm x 45 μm. 4 Movie 6 $\mathbf{5}$ Mouse lung at P0^{before}, and data source for Fig. 4H. Transverse view of a 6 7terminal bronchiole in the right caudal lobe co-stained for VAChT (red) and aSMA 8 (green). W x H x D = $125 \mu m x 125 \mu m x 11 \mu m$. 9 10 Movie 7 Mouse lung at P0^{before}, and data source for Fig. 4J. Longitudinal view of 11 12terminal bronchi in the right caudal lobe co-stained for VAChT (red) and aSMA (green). 13W x H x D = $60 \mu m x 60 \mu m x 9 \mu m$. 1415Movie 8 Mouse lung at P0^{after}, and data source for Fig. 4K. A periphery area of the 1617right caudal lobe containing alveoli and terminal bronchioles were stained for VAChT 18 (red) and α SMA (green). W x H x D = 660 μ m x 212 μ m x 17 μ m. 1920Movie 9 21Chicken lung at E21, and data source for Fig. 5K. Longitudinal view of an 22ink-injected parabronchus stained for VAChT (red). Regularly arrayed atria protruding 23from the parabronchus can be seen. Scale bar: 100 µm.

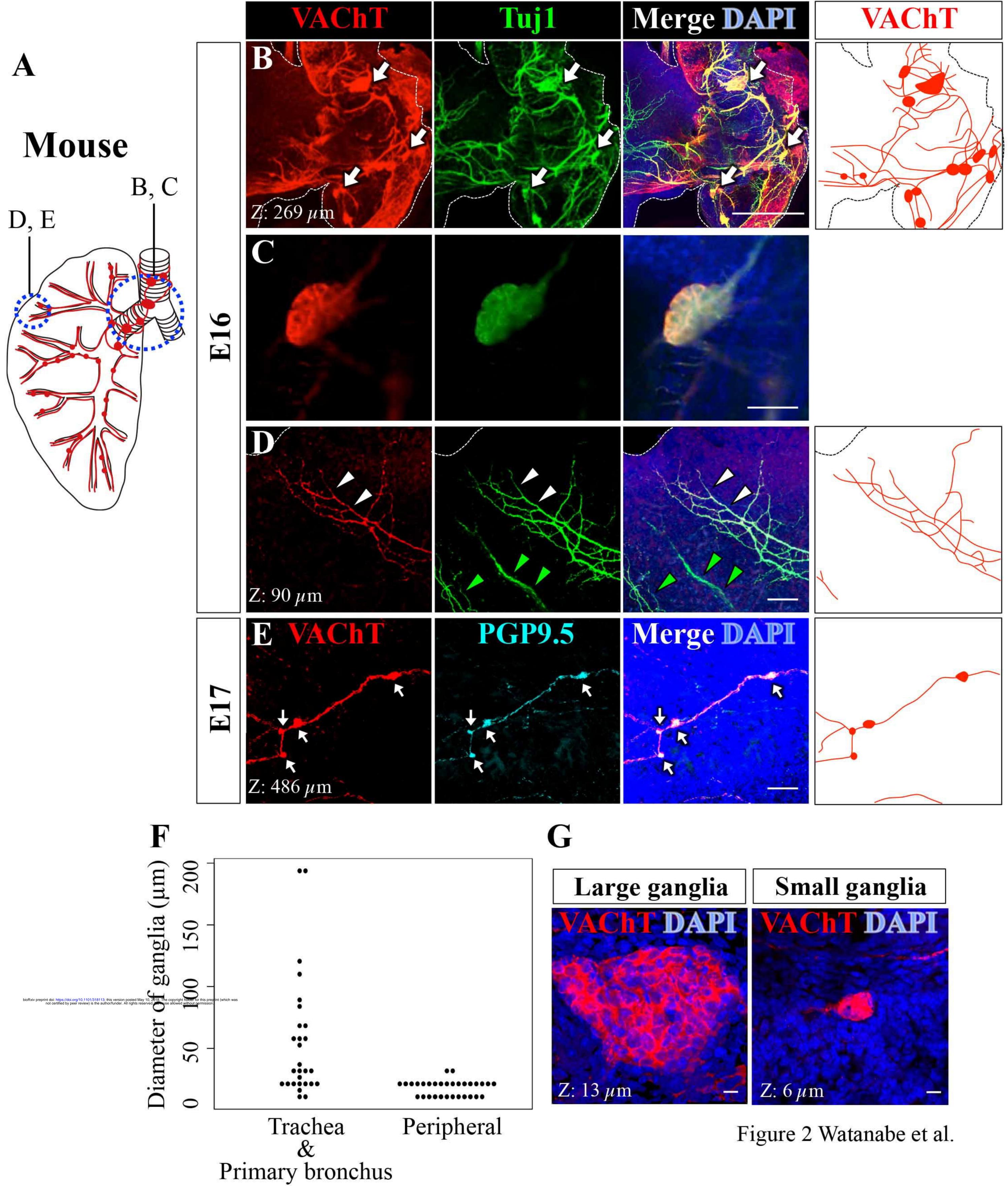


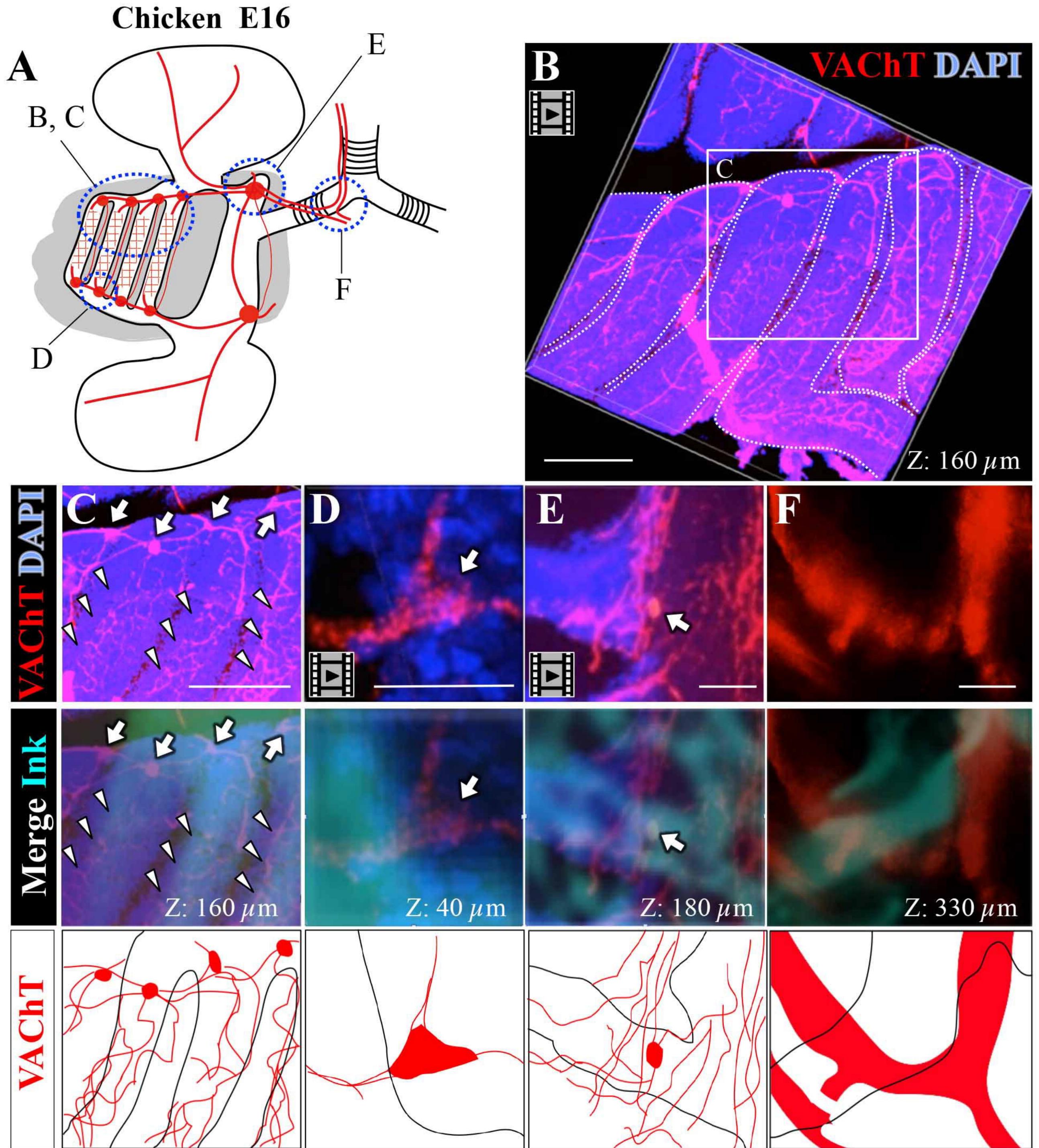


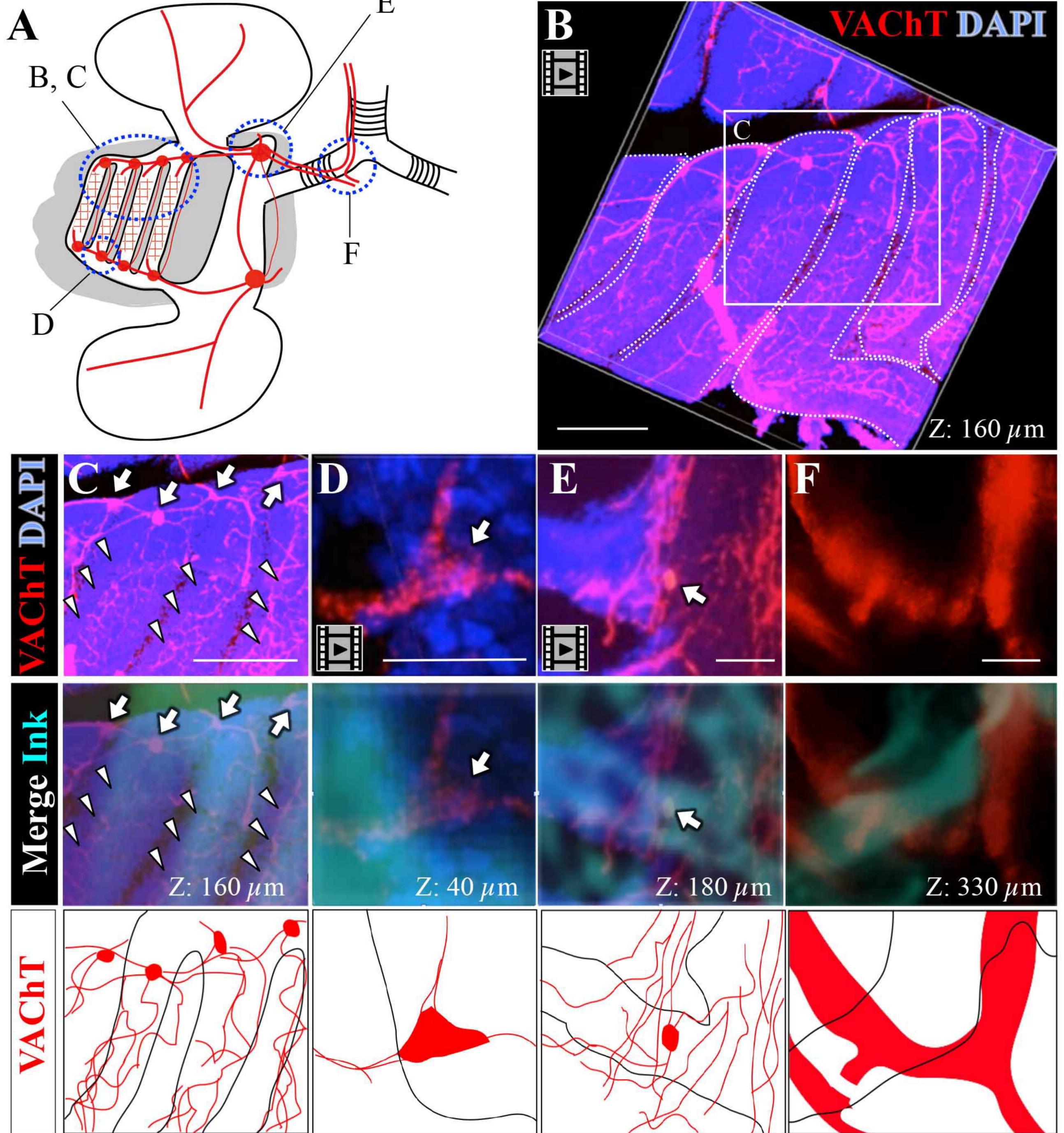




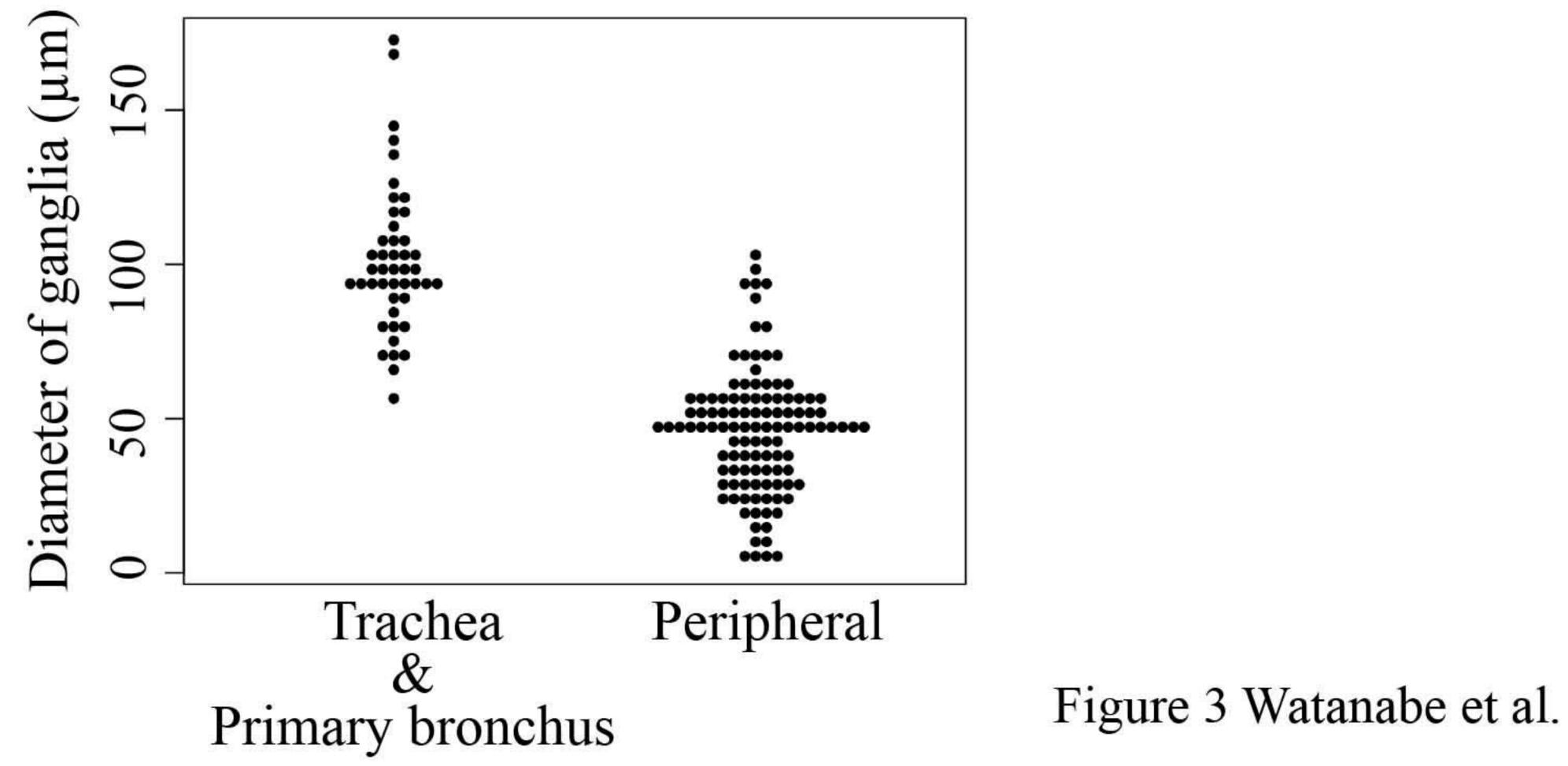




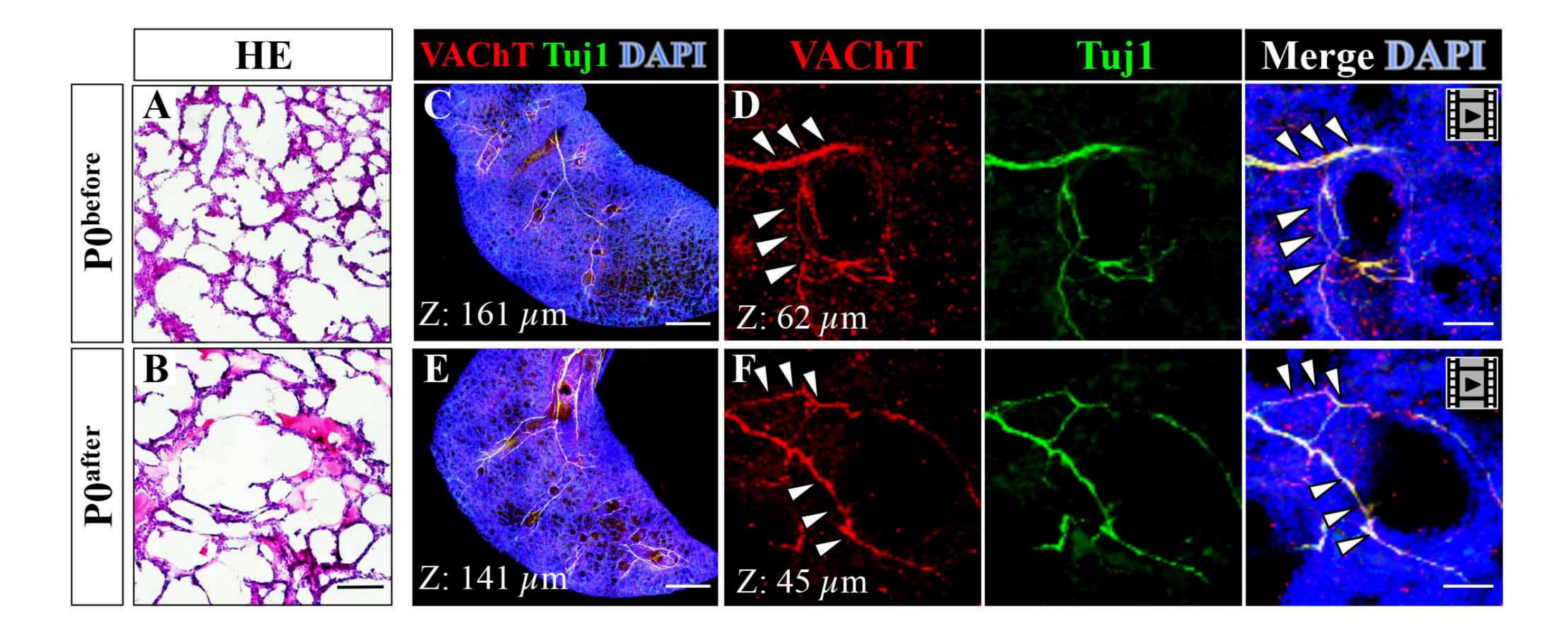


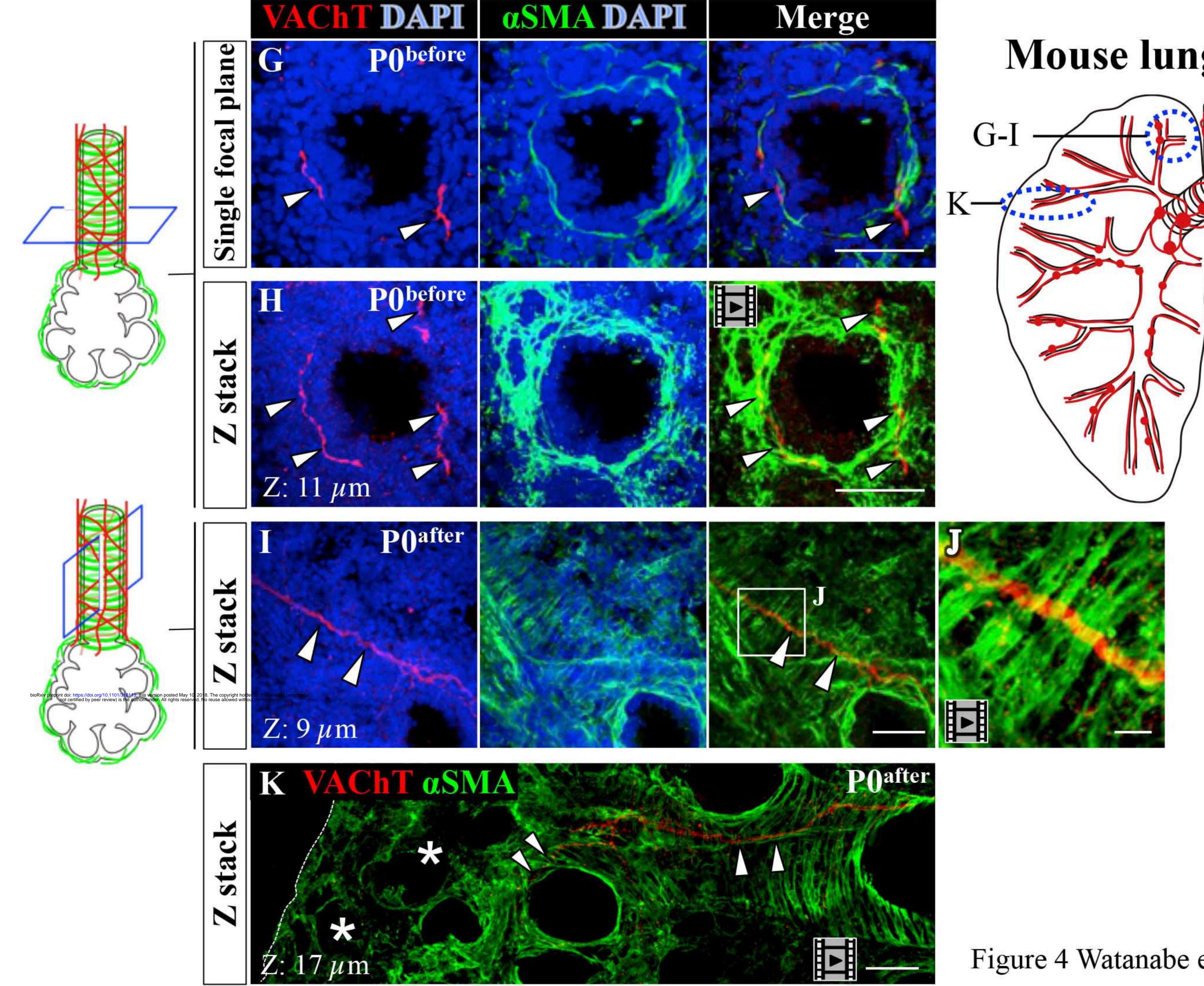


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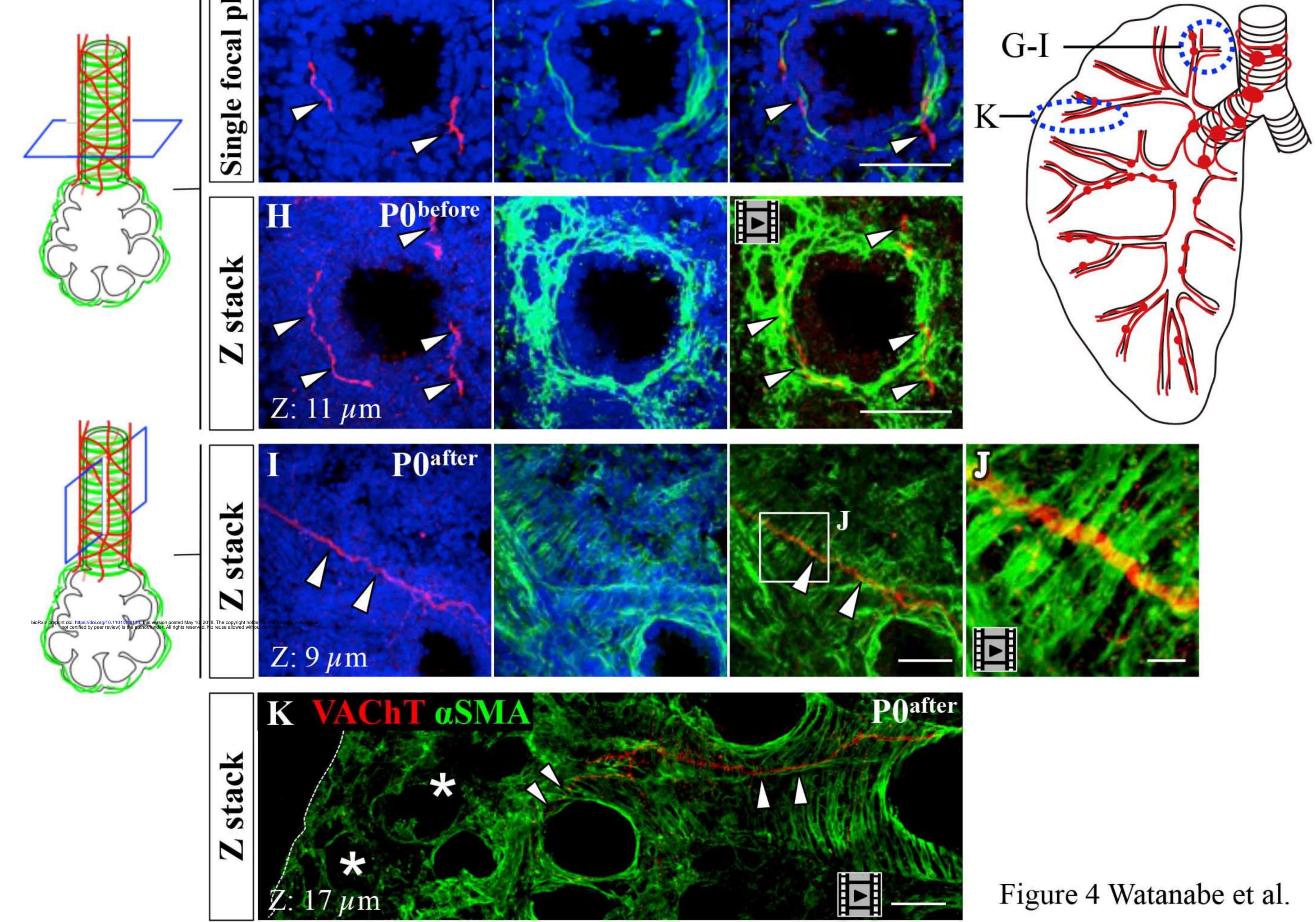


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Mouse lung







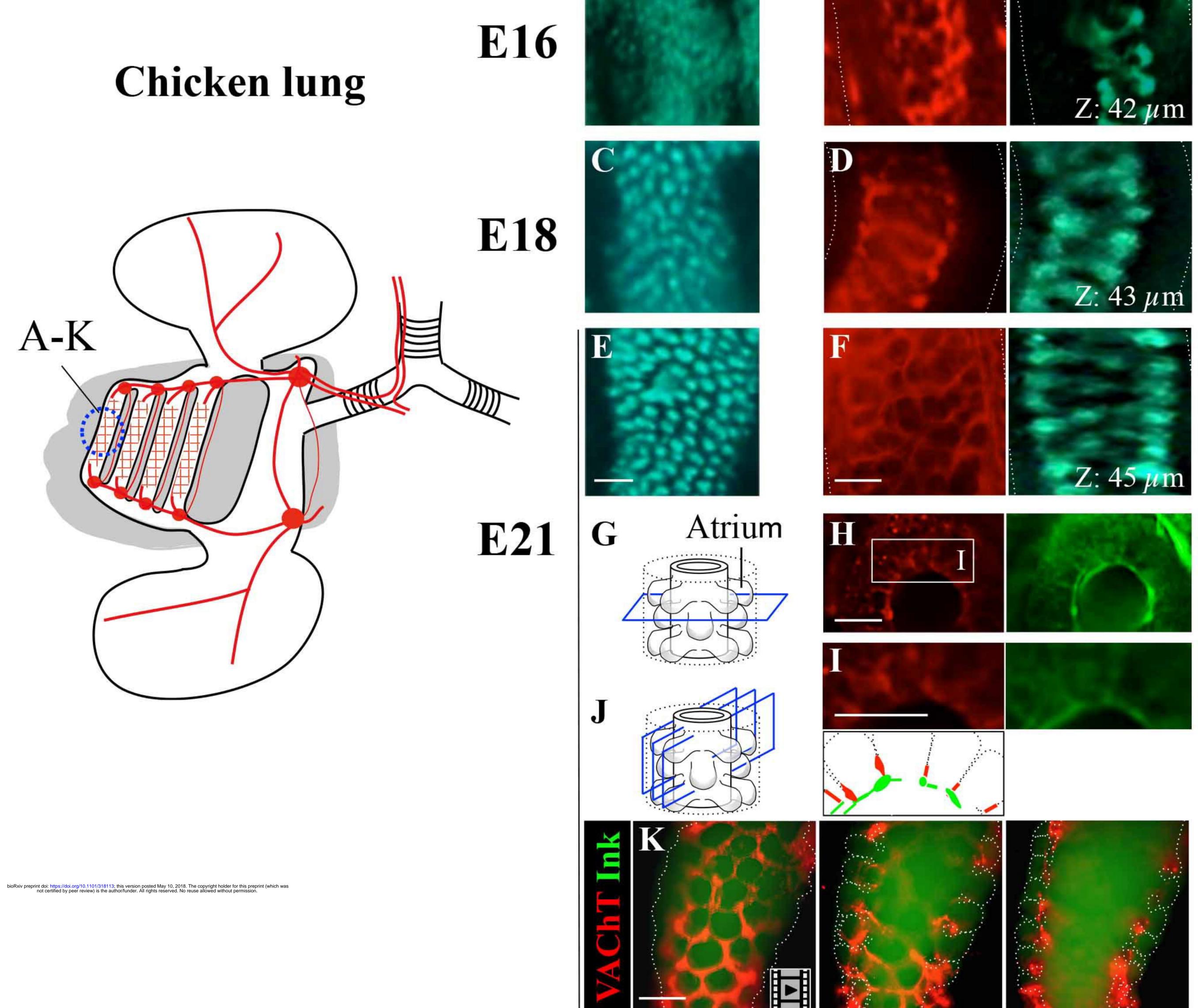
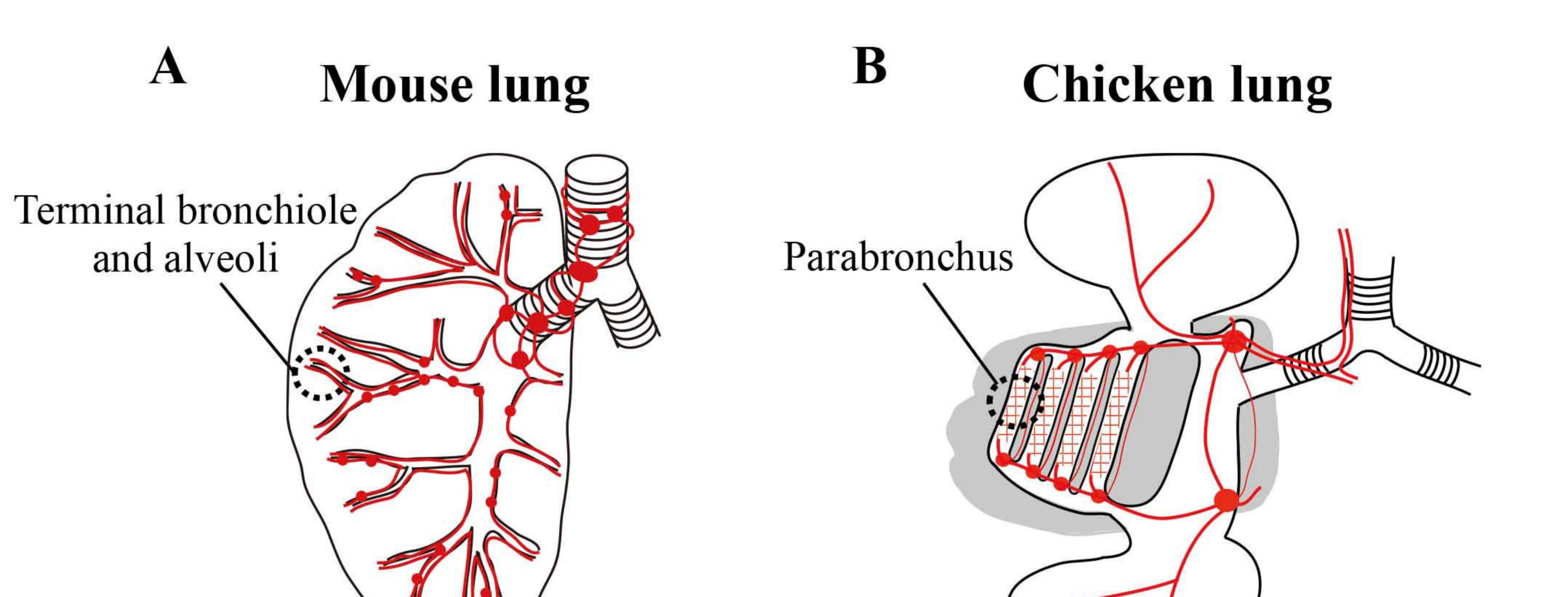
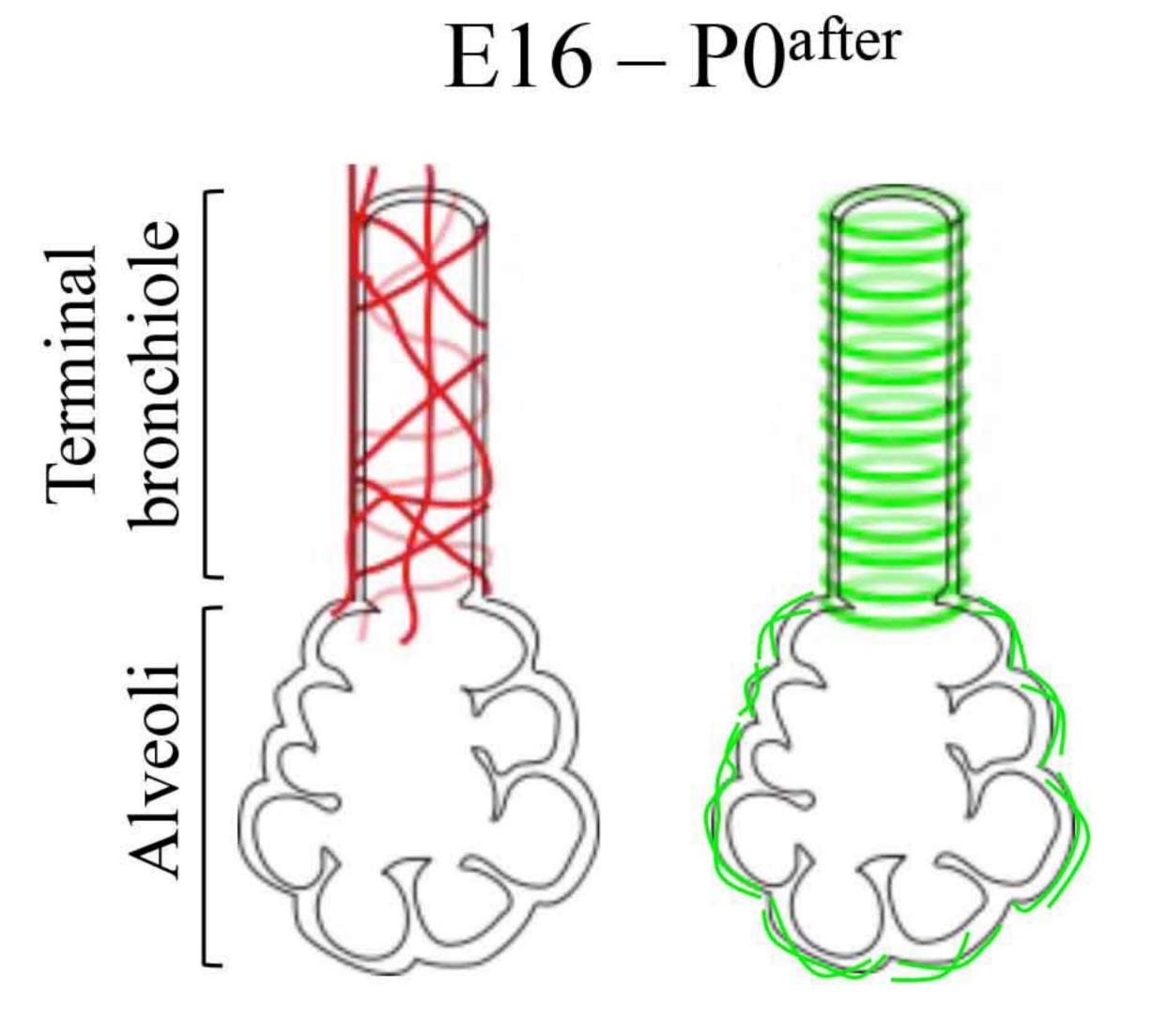
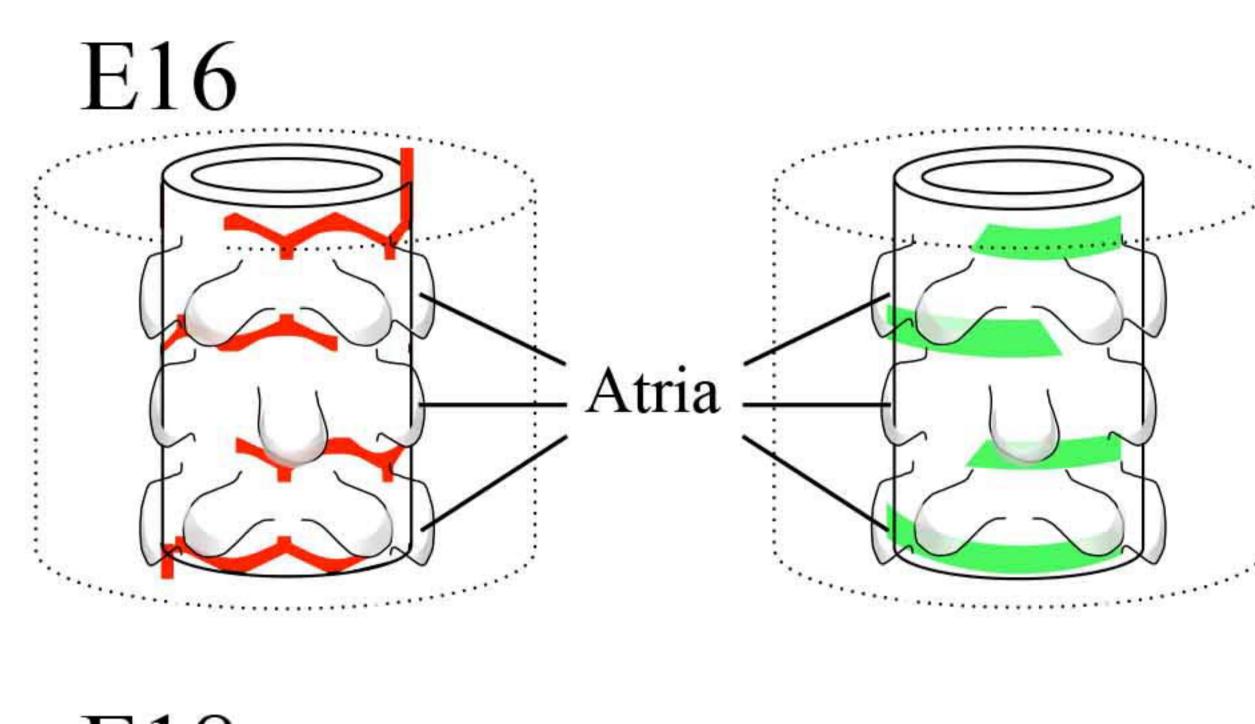


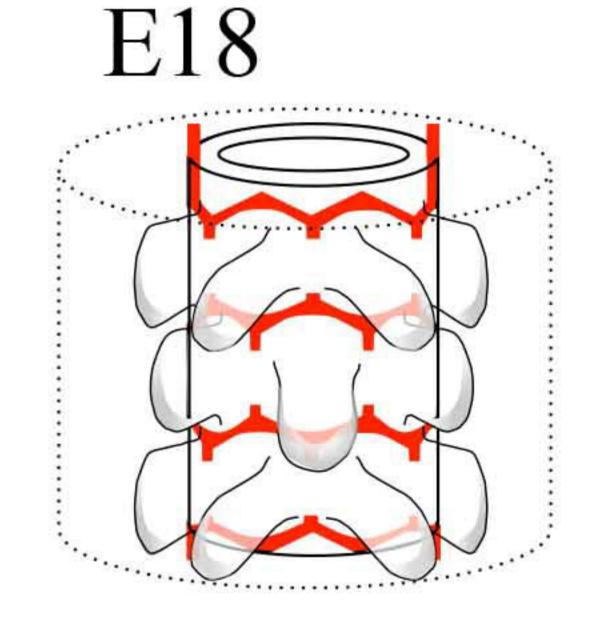
Figure 5 Watanabe et al.

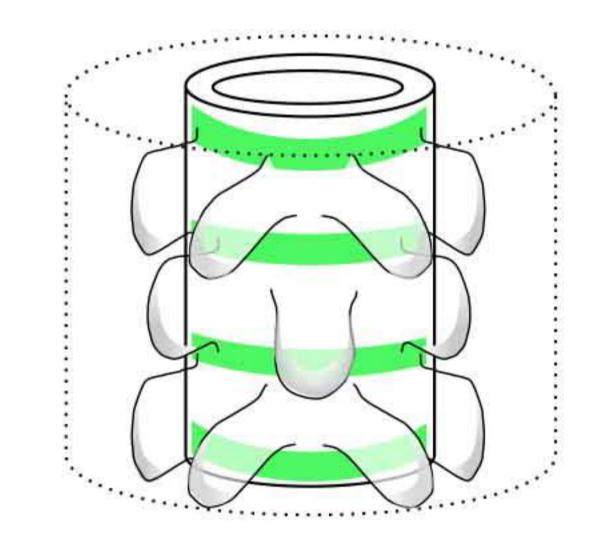


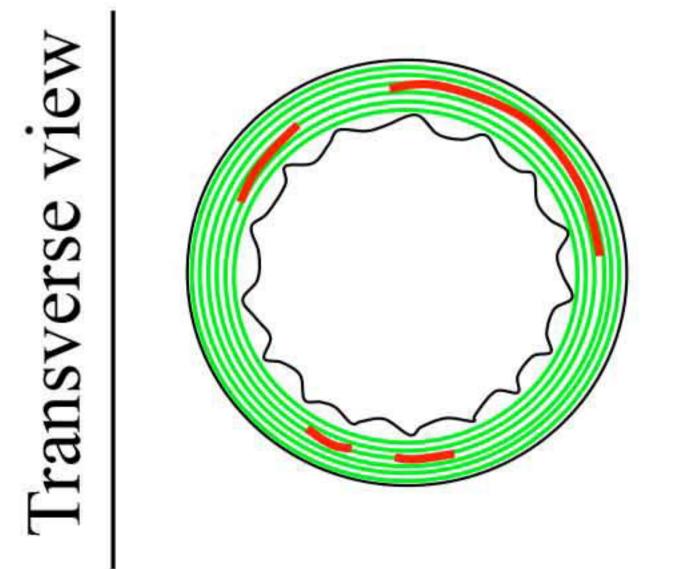


Parabronchus



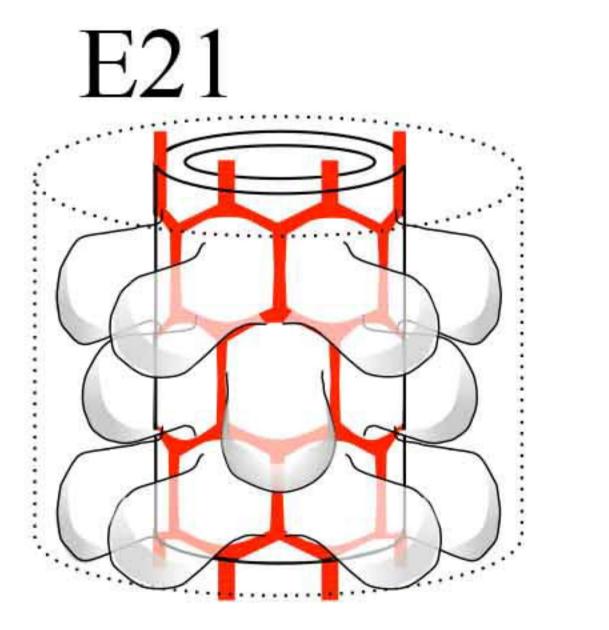


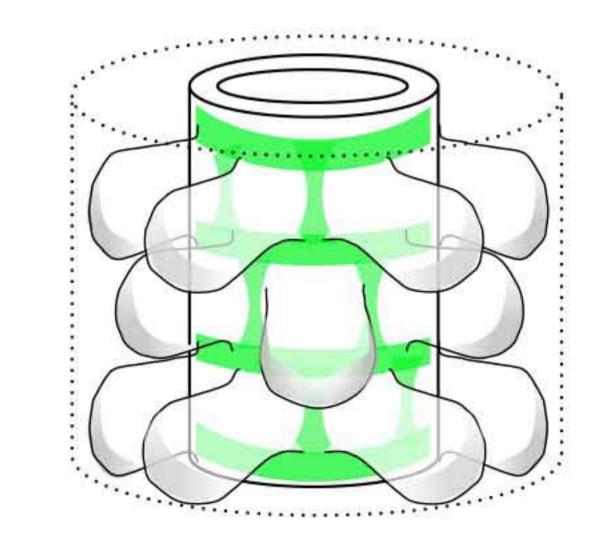




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Parasympathetic ganglion
VAChT+ nerve
Smooth muscle





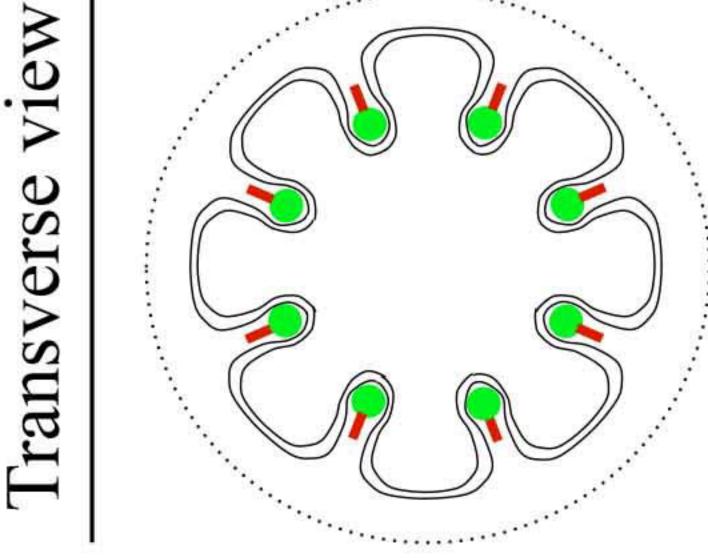


Figure 6 Watanabe et al.