DOT1L suppresses nuclear RNAi originating from enhancer elements in *Caenorhabditis elegans*

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Summary

Methylation of histone H3 lysine 79 (H3K79) by DOT1L is associated with actively transcribed genes. We have previously demonstrated that DOT-1.1, the *Caenorhabditis elegans* DOT1L homologue, cooperates with the chromatin-binding protein ZFP-1 (homologue of the mammalian AF10) to negatively modulate transcription of highly and widely expressed target genes. Also, *zfp-1* deficiency has been associated with reduced RNA interference (RNAi) response. In addition, in MLL-rearranged leukemia, H3K79 methylation at homeobox genes was shown to antagonize repressive chromatin marks. Here, we connect these various manifestations of DOT1L function. We demonstrate that DOT1L suppresses transcription at enhancers, thereby preventing double-stranded RNA (dsRNA) formation and ectopic histone H3 lysine 9 (H3K9) methylation. Moreover, we show that lethality of *dot-1.1* mutant worms is suppressed by mutations in the RNAi pathway, suggesting ectopic activation of endogenous RNAi in the absence of DOT1L. Since DOT1L is conserved and similarly prevents H3K9 methylation in *C. elegans* and

21 mammals, our observations point to a possible link between nuclear RNAi and H3K9

22 methylation in higher organisms.

Introduction

Screens for chromatin-binding factors involved in RNAi in *C. elegans* have identified the

zinc finger protein ZFP-1 as a putative mediator of dsRNA-induced silencing in the

nucleus (Dudley et al., 2002; Grishok et al., 2005; Kim et al., 2005). Interestingly, its

mammalian homologue, AF10, is a frequent fusion partner of MLL in chimeric proteins

causing leukemia (Meyer et al., 2018), and its interaction with H3K79 methyltransferase

DOT1L is critical for DOT1L recruitment to the *HOXA9* and *MEIS* genes and their

subsequent hyperactivation (Okada et al., 2005). In the attempt to identify proteins

interacting with ZFP-1 that could explain its role in RNAi, we have previously identified a

C. elegans homologue of DOT1L, which we named DOT-1.1, as the major ZFP-1

binding partner (Cecere et al., 2013).

Although DOT1L is the only H3K79 methyltransferase in mammals and H3K79

methylation is present on all actively transcribed genes, inhibition of DOT1L

methyltransferase activity does not result in dramatic changes in gene expression in

cultured cells (Zhu et al., 2018). In addition, expression of specific genes, such as

HOXA9 and *MEIS*, is strongly dependent on DOT1L, especially in leukemias induced by

MLL-fusion proteins (Okada et al., 2005). The importance of H3K79 methylation in

antagonizing heterochromatin formation at the *HOXA* cluster has recently been

established (Chen et al., 2015), resembling pivotal studies in yeast showing that Dot1

prevents the spreading of factors associated with heterochromatin into active regions

(Katan-Khaykovich and Struhl, 2005; Ng et al., 2002). Importantly, knockout of DOT1L

in mice results in embryonic lethality, underscoring its important developmental function.

In *Drosophila*, both DOT1L (*Grappa*) and AF10 (*Alhambra*) mutants showed

developmental defects (Mohan et al., 2010; Shanower et al., 2005). In *C. elegans*, we

observed specific developmental abnormalities in a *zfp-1* loss-of-function mutant, such

as defects in neuronal migration (Kennedy and Grishok, 2014), and implicated ZFP-1 in

lifespan control (Mansisidor et al., 2011). Whether or not the anti-silencing effect of

DOT1L contributes to its developmental role is presently not known.

We previously established that, in *C. elegans*, ZFP-1 and DOT-1.1 co-localize to

promoters of highly and widely expressed genes and negatively modulate their

expression during development (Cecere et al., 2013). This mechanism is likely

conserved and applicable to the majority of ubiquitously expressed genes in other

systems. Here, we demonstrate the role of the ZFP-1/DOT-1.1 complex in enhancer

regulation. Known epigenetic signatures typical of enhancers include histone H3 lysine

27 acetylation (H3K27ac) and histone H3 lysine 4 monomethylation (H3K4me1) on

active enhancers, whereas high level of H3K27me3 and absence of H3K27ac are found

on enhancers poised for later tissue-specific expression (Bonn et al., 2012). Enhancer

elements are themselves transcription units, generating non-coding enhancer RNAs

(eRNAs) (Li et al., 2016), and, most recently, regulation of enhancer transcription was

shown to be very similar to that of protein-coding genes (Henriques et al., 2018).

Indeed, we show that DOT-1.1 negatively modulates enhancer RNA transcription,

similarly to the negative modulation of active genes described earlier (Cecere et al.,

2013). Moreover, we find an antagonistic relationship between H3K79 methylation and

H3K9 methylation at enhancers, a mechanism consistent with studies in leukemic cells

(Chen et al., 2015).   Finally, we demonstrate that suppression of anti-sense

transcription by ZFP-1/DOT-1.1 prevents dsRNA formation and induction of nuclear

RNAi via the Dicer/RDE-4/RDE-1 pathway, which likely promotes H3K9 methylation at

enhancers in the absence of DOT-1.1. The elevation of endogenous dsRNA level is

most likely responsible for the apparent deficiency of *zfp-1* mutant worms in responding

to exogenous dsRNA. Based on these compelling observations, we propose that

negative modulation of bidirectional transcription at enhancers by DOT1L does not allow

dsRNA levels to reach the threshold required for inducing heterochromatin formation.

Results

ZFP-1 and DOT-1.1 Are Enriched at Enhancers

Recently, the *C. elegans* genome was organized into 20 domains of different structure

and activity based on chromatin modification signatures (Evans et al., 2016). We

intersected coordinates of such domains with ZFP-1 ChIP-seq (third larval stage, L3)

and DOT-1.1 ChIP-chip (embryo) peaks to interrogate where the ZFP-1/DOT-1.1

complex is most dominant in the genome. More than 40% of promoter regions (domains

1 and 8 in embryos and domain 1 at L3) are enriched in ZFP-1/DOT-1.1 (Figure 1A), in

line with our previous observations of promoter-proximal binding of the complex (Cecere

et al., 2013). More interestingly, similar levels of enrichment are observed for domains

corresponding to predicted intronic (domain 8 at L3) and intergenic enhancers (domain

9 in both embryos and L3 animals) (Figures 1A). These domains have chromatin

signatures characteristic of enhancers, such as H3K4me1 and H3K27ac. In addition,

both promoters and enhancers are often devoid of nucleosomes and hence amenable

to be identified by techniques assessing open chromatin regions. A recent study has

identified such regions in *C. elegans* embryos and L3 animals by ATAC-seq. In this

study, approximately 5000 distal non-coding regions displayed dynamic changes in

chromatin accessibility between the two developmental stages. Also, several of these

putative enhancers revealed enhancer activity in transgenic reporter assays (Daugherty

et al., 2017). Interestingly, overlap analysis shows that both intragenic and distal ATAC-

seq peaks are enriched in DOT-1.1 and ZFP-1 in embryos and L3 animals, respectively

(Figure 1B). These observations raise the exciting possibility that, in addition to the

previously disclosed role of ZFP-1/DOT-1.1 in the negative modulation of transcription

via promoter-proximal binding, this complex may also control transcription through

enhancers.

The ZFP-1/DOT-1.1 Complex Regulates Distal and Intragenic Enhancer
Transcription

In light of the observation that enhancer elements in *C. elegans* are enriched in ZFP-

1/DOT-1.1, we have hypothesized that this complex could control enhancer

transcription. Distal enhancers often produce non-coding transcripts (eRNAs) which are

typically unstable and not represented in the steady-state transcript population (Li et al.,

2016). This technical limitation may be circumvented by using techniques designed to

assess nascent transcription, such as the global run-on sequencing (GRO-seq) method

(Hah et al., 2013; Lam et al., 2013). GRO-seq data previously obtained in our lab were

instrumental in providing insight into the modulatory role of ZFP-1/DOT-1.1 in

transcription of genes targeted by the complex at promoter-proximal regions (Cecere et

al., 2013). We re-analyzed our published data to gain a comprehensive understanding

of the effect of ZFP-1/DOT1L on the regulatory genomic regions and chromatin domains

revealed by recent studies (Daugherty et al., 2017; Evans et al., 2016). We quantified

GRO-seq coverage at distal enhancers, defined by chromatin signatures (Evans et al.,

2016), in wild-type (WT) and *zfp-1* mutant (*zfp-1(ok554)*) L3 animals. In this mutant,

ZFP-1 is C-terminally truncated and does not interact with DOT-1.1, leading to

decreased abundance of DOT-1.1 at chromatin (Cecere et al., 2013). Interestingly, both

intragenic and distal eRNAs were globally increased in *zfp-1* mutant animals in

comparison with WT larvae (Figure 1C). Analysis of GRO-seq data at distal ATAC-seq

peaks also revealed a global increase in transcription *zfp-1* mutant animals compared

with WT larvae (Figure 1D). These observations indicate that, in addition to negatively

modulating target gene transcription via promoter-proximal binding, the ZFP-1/DOT-1.1

complex also regulates production of RNAs derived from both intragenic and distal

enhancers.

Genes with Enhancer Signature Bound by ZFP-1/DOT-1.1 Display Bidirectional

Transcription upon Loss of ZFP-1

Intragenic enhancers have been suggested to interfere with host gene transcription

(Cinghu et al., 2017). In light of the elevation of intragenic enhancer transcription

observed in the *zfp-1* mutant, we have analyzed cumulative GRO-seq coverage along

gene bodies. Genes bound by ZFP-1/DOT-1.1 at the promoter were not considered,

since we have previously observed that these show increased GRO-seq coverage in

the *zfp-1* mutant compared with WT (Cecere et al., 2013). The remaining genes in the

assembly were called either bound or not bound by ZFP-1 at the coding region. Both

sense transcription and anti-sense transcription were analyzed. Interestingly,

transcriptional changes in the *zfp-1* mutant compared with WT at target genes

depended on the presence of enhancer chromatin signature. Genes targeted by ZFP-1

and lacking enhancer signature displayed an overall decrease in sense transcription

(Figure 2A, top panel) and no significant change in anti-sense transcription (Figure 2A,

middle panel). However, those with enhancer signature showed a significant increase in

anti-sense transcription (Figure 2A, top panel), whereas sense transcription did not

change significantly (Figure 2A, middle panel).

We then compared changes in sense and anti-sense transcription assessed by GRO-

seq with mRNA expression assessed by microarray and, interestingly, genes targeted

by ZFP-1 at the coding region did not display an overall change in mRNA levels

between *zfp-1* mutant worms and WT animals (Figure 2A, bottom panel). These

observations suggest a role for the ZFP-1/DOT-1.1 complex in preventing anti-sense

transcription at target genes with intragenic enhancer signatures.

Pervasive bidirectional transcriptional may result in double-stranded RNA (dsRNA)

formation from complementary base pairing of sense and anti-sense transcripts (Piatek

et al., 2016). We hypothesized that the increase in anti-sense transcription observed in

the *zfp-1* mutant could result in formation of dsRNA. To address this hypothesis, we

looked directly at the amount of dsRNA in *zfp-1* mutant worms by immunostaining with a

dsRNA-specific antibody previously employed to visualize dsRNA *in vivo* (Kaneko et al.,

2011; Saldi et al., 2014). In agreement with our hypothesis, we have observed an

accumulation of nuclear dsRNA foci in *zfp-1* mutant worms, when compared with WT

(Figure 2B).

Lethality of *dot-1.1* Knockout Is Suppressed by Dicer/RDE-4/RDE-1 Pathway

Mutations

Our attempts to generate *dot-1.1* knockout mutants in the WT background by

CRISPR/Cas9 technology were not successful. Motivated by the implication of DOT1L

in apoptotic cell death (Feng et al., 2010; Nguyen et al., 2011), we have resorted to the

ced-3(n1286) mutant strain, which is defective in the pro-apoptotic caspase CED-3

(homologue of mammalian caspase-3) (Xue et al., 1996), and have successfully

generated a viable *dot-1.1* null mutant in this mutant background. Upon outcrossing the

ced-3(n1286) allele, we found that a few surviving adult *dot-1.1* null animals produced

only developmentally arrested embryos and larvae. The arrested larvae displayed

numerous vacuoles typically seen in worms undergoing necrotic cell death (Xu et al.,

2001). Notably, the most pronounced effect was seen in the intestinal cells that do not

normally undergo programmed cell death (Figure 3B). Therefore, DOT-1.1 does not

simply suppress the initiation of programmed cell death in specific cells that are

destined to die.

We then hypothesized that the *dot-1.1(-)* lethality phenotype could be related to the

increase in dsRNA observed in *zfp-1* mutant worms. In *C. elegans* and other organisms,

exogenous dsRNA is subject to processing by the Dicer complex, which cleaves it into

small interfering RNAs (siRNAs) (Grishok, 2005). In *C. elegans*, the first discovered

*R*NAi-*de*ficient mutants included *rde-1* and *rde-4* (Tabara et al., 1999). The product of

the *rde-1* gene is the Argonaute protein RDE-1, which binds primary siRNAs produced

by Dicer cleavage (Yigit et al., 2006), and the RDE-4 protein binds long dsRNA and

assists Dicer in the processing reaction (Parker, 2006; Tabara et al., 2002). The RDE-4

and RDE-1 proteins are also important for the anti-viral response (Wilkins et al., 2005)

and thought to have a limited role in endogenous RNAi. To determine if the RDE-

4/RDE-1 pathway responds to the ectopic dsRNA accumulation seen in the *zfp-1* and

dot-1.1 mutants, we crossed the double *dot-1.1; ced-3* mutant strain with null mutants

for *rde-4* and *rde-1*. Excitingly, the double *dot-1.1(-); rde-4(-)* and *dot-1.1(-); rde-1(-)*

mutant worms were homozygous viable and did not require the presence of the *ced-3*

mutant allele for the viability. In a control experiment, we crossed the double *dot-1.1;*

ced-3 mutant strain with a mutant unrelated to the Dicer/RDE-4/RDE-1 pathway and the

lethality of *dot-1.1(-)* was not rescued (Figure 3C). These clear genetic results indicate

that the lethality phenotype associated with *dot-1.1* deletion is due to generation of

ectopic small RNAs from excessive nuclear dsRNA.

Excessive DsRNA Resulting from Loss of ZFP-1/DOT-1.1 Competes for the Dicer

Complex

Genetic analyzes of RNAi in *C. elegans* initially identified genes regulating silencing in

response to exogenous dsRNA (exo-dsRNA), some of which were later found to

participate in endogenous RNAi as well (Grishok, 2005; Lee, 2006). Importantly, other

mutants in the endogenous RNAi ERI (Enhancer RNAi) pathway were found in screens

selecting for the increased response to exo-dsRNA (Duchaine et al., 2006; Kennedy et

al., 2004; Simmer et al., 2002; Yigit et al., 2006). In the ERI pathway, the RNAi-initiating

dsRNA is generated by the RNA-dependent RNA polymerase RRF-3 at the select

endogenous loci, mostly representing duplicated genes (Fischer et al., 2013; Gent et al.,

2010). This dsRNA is subject to Dicer processing and results in the generation of

primary siRNAs bound by the ERGO-1 Argonaute (Vasale et al., 2010; Yigit et al.,

2006). The ERI-1 protein and RRF-3 both interact with Dicer and act upstream of

ERGO-1 in the ERI pathway. Thus, the ERI/ERGO-1 and the exo-RNAi/RDE-1

pathways compete for the Dicer complex. We hypothesized that, upon loss of DOT-1.1

or ZFP-1, another pool of endogenous dsRNA (in addition to the RRF-3-produced) is

competing with exo-RNAi, and efficiency of the latter is reduced as a result of this

competition. To test this hypothesis, we used the *elt-2::*GFP*/lacZ* transgenic strain

(Fukushige et al., 1999), which contains a repetitive transgenic array prone to silencing

through the Dicer/RDE-4/RDE-1 pathway (Grishok et al., 2005). This transgene is

exclusively expressed in the nuclei of intestinal cells and shows partial silencing at 16°C

in the WT background and complete silencing in ERI pathway mutants (Grishok et al.,

2005), such as *eri-1(mg366)* (Figure 4A). On the contrary, in *zfp-1(ok554)* (not shown)

or *dot-1.1(gk520244)* partial loss-of-function mutant backgrounds, it is readily apparent

that transgenic expression is increased compared to WT (Figure 4A). Importantly, the

level of GFP expression in the double mutant *dot-1.1; eri-1* remains low, similar to that

of *eri-1* alone (Figure 4A). This result indicates that the effect of *dot-1.1* mutation (i.e.

transgene de-silencing) is suppressed by the loss of ERI-1 working together with Dicer.

In other words: DOT-1.1 acts upstream of ERI-1/Dicer. This result is not consistent with

the long-standing belief that ZFP-1 induces RNAi in the nucleus in response to siRNAs

produced by Dicer. However, it completely supports our hypothesis about the

mechanism of the apparent RNAi-promoting function of ZFP-1 and DOT-1.1. In

summary, our results demonstrate that, upon loss of ZFP-1/DOT-1.1, in addition to the

dsRNA generated through the ERI pathway and the dsRNA produced from transgenes,

the dsRNA produced from endogenous loci enters in the competition for the Dicer

complex (Figure 4B). Our data strongly suggest that this competition occurs in the

nucleus.

228   Loss of DOT-1.1 Results in Ectopic Heterochromatin at Distal and Intragenic

229   Enhancers

DOT1L activity has been shown to restrict the spreading of factors associated with

heterochromatin in yeast and leukemia cell lines (Chen et al., 2015; Katan-Khaykovich

and Struhl, 2005; Ng et al., 2002). On the other hand, siRNAs direct Argonaute proteins

to complementary nascent transcripts to initiate H3K9 methylation, resulting in

heterochromatin formation (Grewal and Elgin, 2007; Ni et al., 2014). We reasoned that

these two distinct phenomena could be put together in the context of the regulation of

enhancer transcription by ZFP-1/DOT-1.1. Interestingly, histone H3 lysine 9

dimethylation (H3K9me2), a hallmark of heterochromatin, is increased in both intragenic

and distal enhancers in *dot-1.1; ced-3* animals compared to *ced-3* animals (Figures 5A

and 5B). In addition, genes displaying chromatin enhancer signatures and ZFP-1/DOT-

1.1 binding have increased H3K9me2 in *dot-1.1; ced-3* animals (Figure 5C). These

observations show that DOT1L activity prevents the encroachment of heterochromatin

to enhancer elements.

Discussion

In the past few years, genomic and transcriptomic data from high-throughput

sequencing studies have been shedding light on the importance of non-coding genomic

regulatory regions, including enhancers. These are typically demarcated by certain

characteristics, such as prevalence of the H3K4me1 and H3K27ac marks and open

chromatin configuration, and these features have been extensively used to predict their

coordinates in a variety of organisms. Importantly, exciting new observations point to

the remarkable similarity between enhancers and promoters in terms of chromatin

architecture and transcriptional profile, challenging traditional binary views of enhancers

and promoters (Henriques et al., 2018; Mikhaylichenko et al., 2018; Rennie et al.,

2017). As these notions become dimmer, an interesting view gaining momentum is that

some enhancers can act as weak promoters, while, conversely, bidirectional promoters

often act as strong enhancers. This plasticity of enhancer and promoter activities is

manifested in the levels and directionality of transcription (Mikhaylichenko et al., 2018).

In the face of this shifting paradigm, it is reasonable to speculate that the commonalities

between enhancers and promoters may extend to how their transcriptional output is

controlled by the epigenetic machinery of the cell, including molecular writers such as

histone methyltransferases and histone acetyltransferases. We had previously

demonstrated that ZFP-1/DOT-1.1 exert a negative modulatory role on transcription of

genes with promoter-proximal binding of the complex (Cecere et al., 2013). These are

mostly highly and widely expressed genes not subject to spatiotemporal regulation.

Here, we present tantalizing evidence that the DOT1L complex also modulates

enhancer-directed transcription in a mechanism that likely partakes on the regulation of

genes subject to tissue and time-specific control of expression, ensuring the fidelity of

developmental programs. Of note, DOT1L has been implicated in processes as diverse

as embryonic and postnatal hematopoiesis, proliferation of mouse embryonic stem

cells, induced and natural reprogramming, cardiac development and chondrogenesis

(McLean et al., 2014), and the regulation of these processes is greatly contributed by

enhancer activity. Therefore, it is possible that DOT1L assists promoter-enhancer

cooperativity at key developmental transitions. Also, perturbation of enhancer activity is

increasingly being recognized as an important player in malignant transformation (Sur

and Taipale, 2016). Recently, chimeric oncoproteins MLL-AF9 and MLL-AF4 were

found to bind specific subsets of non-overlapping active distal enhancers in acute

myeloid leukemia cell lines. Interestingly, in this study, MLL-AF9-bound enhancers

displayed higher levels of H3K79me2 than enhancers bound by the MLL protein alone

(Prange et al., 2017). This observation can be explained by the fact that AF9, similarly

to AF10, is a frequent binding partner of DOT1L and drives its localization to chromatin

(Kuntimaddi et al., 2015). Therefore, it is possible that, in MLL-driven leukemias,

inappropriate recruitment of DOT1L to distal regulatory elements perturbs their

transcriptional output. In addition, homeobox loci with an indisputable role in malignant

transformation, such as *HOXA9* and *MEIS*, display both coding and non-coding

transcription, including anti-sense transcripts (Popovic et al., 2008; Sessa et al., 2006),

and DOT1L activity may play a yet unappreciated role in the control of such transcripts.

In fact, our observations suggest that DOT1L controls anti-sense transcription,

particularly at genes characterized by presence of enhancer signature. Interestingly, a

sequence conserved in vertebrate *Hox* gene introns was reported to function as an

enhancer element in *Drosophila* (Haerry and Gehring, 1996; Keegan et al., 1997). In

addition, the c-*myc* (Nepveu and Marcu, 1986) and N-*myc* (Krystal et al., 1990)

oncogenic loci display anti-sense transcription, and exciting new findings point to the

implication of DOT1L in cancers underlain by c-Myc and N-Myc activation, particularly

breast cancer (Cho et al., 2015) and neuroblastoma (Wong et al., 2017). Also, the

contribution of anti-sense transcription to malignant transformation has been gaining

attention in the past few years (Balbin et al., 2015; Wenric et al., 2017). Therefore, the

enticing implications of our observations on the *C. elegans* DOT1L homologue call for

the role of anti-sense and non-coding transcription in cancer to be appreciated in the

context of DOT1L activity.

Our analysis of GRO-seq data revealed an increase in anti-sense transcription upon

loss of ZFP-1/DOT-1.1, particularly at genes with enhancer signatures, implying

overlapping bidirectional transcription and formation of sense-antisense dsRNA

structures. This inference was reinforced by the clear observation of dsRNA foci in the

nucleus of *zfp-1* mutant worms, indicating accumulation of dsRNA. Formation of dsRNA

is a common feature of multiple gene suppression phenomena known collectively as

RNAi, which may be endogenous or exogenous. Importantly, production of both

endogenous (endo-siRNAs) and exogenous (exo-siRNAs) small interfering RNAs

requires the activity of DCR-1/Dicer. Our observations demonstrate that endogenous

dsRNA resulting from loss of ZFP-1/DOT-1.1 competes with exogenously introduced

dsRNA for DCR-1/RDE-4/RDE-1-mediated processing into small RNAs. This

competition can explain the implication of ZFP-1 in RNAi (Dudley et al., 2002; Grishok

et al., 2005; Kim et al., 2005). Also, lethality of *dot-1.1* deletion is suppressed by

Dicer/RDE-4/RDE-1 pathway mutations, showing that endogenous small RNA

generation is involved in the lethality phenotype. It is well known that exo-dsRNA

induces apoptosis in a variety of mammalian systems (Estornes et al., 2012; Kalai et al.,

2002; Yamane et al., 2006). Our observations uncover an interesting phenomenon

whereby ectopically formed endogenous dsRNA, and not exo-dsRNA, causes cell

death. To the best of our knowledge, this finding is unprecedented and lays ground for

further research.

A further implication of our observations lies at the crosstalk between H3K79

methylation and H3K9 methylation. Knowledge of this crosstalk was pioneered by work

in yeast (Katan-Khaykovich and Struhl, 2005; Ng et al., 2002) and later placed in the

context of MLL-driven leukemias (Chen et al., 2015). We have observed that H3K9me2,

a hallmark of heterochromatin, is elevated at enhancer regions upon loss of ZFP-

1/DOT-1.1. Therefore, DOT1L may prevent the encroachment of heterochromatin to

enhancers.

In summary, the compelling observations disclosed here bring together various aspects

of DOT1L function (Figure 6). In addition to controlling transcription through promoters,

as previously described (Cecere et al., 2013), DOT1L activity controls enhancer-

directed transcription. This finding may attest to the novel concept of the fluidity of

enhancer/promoter states. Furthermore, we have linked DOT1L-mediated

transcriptional control to dsRNA formation and small RNA generation, as well as to

heterochromatin formation, specifically at enhancer regions.

Experimental Procedures

Strains

Strains were maintained at 20°C under standard conditions (Brenner, 1974). Bristol N2

was the WT strain used. The following mutant strains were obtained from the

Caenorhabditis Genetics Center (CGC): VC40220, containing *dot-1.1(gk520244)* I,

RB774 – *zfp-1(ok554)* III, WM49 – *rde-4(ne301)* III, VC20787, containing *mml-*

1(gk402844) III, GR1373 – *eri-1(mg366)* IV, MT3002 – *ced-3(n1286)* IV, WM27 – *rde-*

1(ne219) V. The RB and VC strains were provided by the *C. elegans* Gene Knockout

Project at the Oklahoma Medical Research Foundation and by the *C. elegans* Reverse

Genetics Core Facility at the University of British Columbia, respectively, which were

part of the International *C. elegans* Gene Knockout Consortium. Mutations of interest

were outcrossed four times and the following derivative strains were obtained: AGK760

– *mml-1(gk402844)* III, AGK768 – *dot-1.1(gk520244)* I. The *rtIs11 (elt-2P::GFP/LacZ)* X

transgenic strain was a gift from Dr. Anne Hart. The *dot-1.1* null mutant (strain

COP1302 – *dot-1.1 [knu339 - (pNU1092 - KO loxP::hygR::loxP)]* I; *ced-3 (n1286)* IV), in

which exons one to four in the *dot1.1* gene locus were deleted, was generated using a

proprietary protocol by Knudra Transgenics. The following derivative strains were

produced in this study:

AGK779 – *dot-1.1(gk520244)* I; *rtIs11 (elt-2P::GFP/LacZ)* X

AGK780 – *eri-1(mg366)* IV; *rtIs11 (elt-2P::GFP/LacZ)* X

AGK781 – *dot-1.1(gk520244)* I; *eri-1(mg366)* IV; *rtIs11 (elt-2P::GFP/LacZ)* X

AGK782 – *dot-1.1 [knu339 - (pNU1092 - KO loxP::hygR::loxP)]* I; *rde-4(ne301)* III

AGK783 – *dot-1.1 [knu339 - (pNU1092 - KO loxP::hygR::loxP)]* I; *rde-1(ne219)* V

Microscopy and Immunofluorescence

The *elt-2P::GFP/LacZ* transgenic strains were grown at 16°C for at least two

generations without starvation before imaging. Live animals were mounted on 2%

agarose pads and examined using the Zeiss AxioImager Z1 instrument. The same

exposure times were used for capturing images and comparing GFP expression in

different strains.

For immunofluorescence, young adult worms were handpicked and placed in M9

solution supplemented with 0.01% Tween and 25 mM sodium azide on a slide. Worms

were dissected using scalpel and fixed with 2% paraformaldehyde for 1 h at room

temperature and post-fixed in ice-cold methanol for 5 minutes. Control worms were

treated with RNase A prior to fixation at 37°C for 30 minutes to confirm the antibody

specificity, and then washed 3 times with M9. After fixation, worms were transferred to

glass tubes and blocked with 1% bovin serum albumin (BSA) in M9 supplemented with

0.05% Tween-20. Blocked samples were then incubated with the J2 antibody (1:1000)

(a generous gift of Dr. Elke Mühlberger, National Emerging Infectious Diseases

Laboratories) overnight at 4°C, washed 3 times in washing buffer (BSA 0.25%, Tween-

20 0.05% in M9) and then incubated with secondary fluorophore-conjugated (AF 568)

antibody (1:500) for 2 h in the dark. After 3 washes, the samples were transferred to a

slide and mounted with DAPI-containing solution (Invitrogen, Prolong Diamond Antifade

Mountant with DAPI). The images were taken at 63X on a Zeiss AxioImager Z1

instrument and processed with ImageJ (version 1.51k).

Chromatin Immunoprecipitation and Library Preparation

Hypochlorite-synchronized L1 stage WT or mutant animals were grown for 36 hours

post-hatching at 20ºC on standard NGM plates with OP-50 *E. coli*. Synchronized L3

larvae were then washed off the plates using isotonic M9 solution. Fixation was

performed for 30 min at 20°C in crosslinking solution (2% paraformaldehyde in M9

buffer), and excess formaldehyde was quenched with 0.1 M Tris-HCl pH 7.5. The pellet

was then washed twice with M9 buffer at 4°C. The worm pellet was resuspended in 1

mL ice-cold RIPA buffer (10 mM Tris-HCl pH 8.0, 0.1% SDS, 1% Triton X-100, 0.1%

sodium deoxycholate, 1 mM EDTA, 0.15 M NaCl) supplemented with Halt™ Protease

Inhibitor Cocktail (Thermo Fisher Scientific). The suspension was then transferred to a

Covaris milliTUBE 1 mL with AFA® fiber and shearing was performed using the

following settings in SonoLab7: Peak Incident Power (PIP) = 240W; Duty Factor = 20%;

Cycles per burst (cpb) = 200; time = 480 seconds. After shearing, the crude extract was

spun for 10 min at 10,000 x *g* and the supernatant was collected. Protein concentration

was determined by the Bradford method. Extract corresponding to 1 mg protein was

diluted to 500 µl with ice-cold RIPA buffer supplemented with Halt™ Protease Inhibitor

Cocktail (Thermo Fisher Scientific). Antibody specific for H3K9me2 (Abcam, ab1220, 5

µg) was added and, after incubation at 4°C for 1 h, 50 µl of Dynabeads™ Protein G

suspension (Thermo Fisher Scientific) were added and the mixture was incubated at

4°C for 1 h on rotation. Beads were recovered with a Dynal® Magnetic Particle

Concentrator (Invitrogen) and washed at 4°C with: 0.5 mL buffer TSE-150 (0.1% SDS,

1% Triton X-100, 2 mM EDTA, 20 mM Tris-HCl pH 8.1, 150 mM NaCl), 2 X 0.5 mL

buffer TSE-500 (0.1% SDS, 1% Triton X-100, 2 mM EDTA, 20 mM Tris-HCl pH 8.1, 500

mM NaCl), 0.5 mL buffer TSE-1M (0.1% SDS, 1% Triton X-100, 2 mM EDTA, 20 mM

Tris-HCl pH 8.1, 1 M NaCl), 0.5 mL buffer LiCl (250 mM LiCl, 1% NP40, 1%

deoxycholate, 1 mM EDTA, 10 mM Tris-HCl pH 8.1), 2 X 0.5 mL buffer TE (10 mM Tris

pH 8.0, 1 mM EDTA). Antibody-bound chromatin was recovered in 2 X 0.2 mL elution

buffer (1% SDS, 0.1 M NaHCO3) by shaking at room temperature for 15 min. Elution

buffer was added to input samples (5%) to a total volume of 0.4 mL. Input and ChIP

chromatin samples were subsequently processed in parallel. After 20 µl of 5 M NaCl

was added to each sample, cross-links were reversed overnight at 65°C. 10 µl of 0.5 M

EDTA, 20 µl of 1 M Tris-HCl pH 6.5 and 0.5 µl of 20 mg/mL Proteinase K (Thermo

Fisher Scientific) were added and each sample was incubated for 1 h at 42°C. DNA was

recovered using the QIAquick PCR Purification Kit (Qiagen) in accordance with the

manufacturer's instructions. The immunoprecipitated DNA was either quantified by

qPCR to calculate the percentage of immunoprecipitation relative to the input, or

subjected to library preparation and high-throughput sequencing. Immunoprecipitated

DNA and input libraries were prepared using TruSeq ChIP Library Preparation kit

following the manufacturer's instructions (Illumina) and checked for concentration and

quality on DNA chips with a Bioanalyzer (Agilent). 75-bp single read sequences were

generated on the NextSeq 500 sequencer according to manufacturer's instructions

(Illumina).

RNA Extraction and Expression Profiling

Synchronized L3 larvae were washed off the plates using isotonic M9 solution. RNA

was isolated in triplicate for each mutant strain using TRIzol (Invitrogen) followed by

miRNeasy mini column (Qiagen). RNA integrity was confirmed using a 2100

Bioanalyzer (Agilent Technologies). Samples were labeled and hybridized to Affymetrix

GeneChip™ *C. elegans* Gene 1.0 ST arrays. Raw Affymetrix CEL files were normalized

to produce gene-level expression values using the Robust Multiarray Average (RMA)

(Irizarry et al., 2012) in Affymetrix Expression Console (version 1.4.1.46). The default

probesets defined by Affymetrix were used to assess array quality using the Area Under

the [Receiver Operating Characteristics] Curve (AUC) metric. Principal Component

Analysis (PCA) was performed using the *prcomp* R function with expression values that

had been normalized across all samples to a mean of zero and a standard deviation of

one. Differential expression was assessed using the moderated (empirical Bayesian)

ANOVA and *t* test implemented in the *limma* package (version 3.14.4) (i.e., creating

simple linear models with *lmFit*, followed by empirical Bayesian adjustment with

eBayes). Correction for multiple hypothesis testing was accomplished using the

Benjamini-Hochberg false discovery rate (FDR) (Benjamini and Hochberg, 1995). All

microarray analyses were performed using the R environment for statistical computing

(version 2.15.1).

Analysis of Chromatin Domains and ATAC-Seq Peaks

Coordinates of chromatin domains were obtained from Evans et al., 2016. DOT-1.1

ChIP-chip and ZFP-1 ChIP-seq peak coordinates were obtained from modENCODE

(modENCODE_2970 and modENCODE_6213, respectively). A chromatin domain

region was called bound by DOT-1.1 or by ZFP-1 if the center base pair of at least one

peak was located within the coordinates of the region. Putative enhancer regions were

obtained by combining coordinates of domains 8, 9 and 10. Enhancer domains at least

1500 bp distal to any annotated transcription start site or transcription termination site

were considered distal enhancer domains. Enhancer domains intersecting coordinates

of genes < 15kb by at least 50 bp were considered intragenic enhancer domains.

ATAC-seq peak coordinates (Daugherty et al., 2017) were downloaded from the NCBI

GEO database (GSE89608). Distal and intragenic ATAC-seq peaks were obtained as

for enhancer domains. Intersections of genomic intervals were performed in R using the

valr package (A. Riemondy et al., 2017).

Analysis of GRO-Seq Data

GRO-seq data was obtained from the NCBI GEO database (GSE47132) and the reads

were mapped to the *C. elegans* genome (ce10 assembly) using ChIPdig, a software

application to analyze ChIP-seq data (Esse and Grishok, 2017). Then, reads matching

ribosomal RNA loci were removed, as described before (Cecere et al., 2013). Read

counting in regions (either genes, regions or genomic bins) was performed with

package GenomicAlignments (Lawrence et al., 2013); only reads with mapping quality

20 or higher were included in subsequent analyses. Regions without reads across the

sample set were removed. Counts were then normalized using the TMM method, which

takes RNA composition bias into account (Robinson and Oshlack, 2010), using the

edgeR package (Robinson et al., 2010). Coverage was expressed as RPKM (reads per

kilobase per million mapped) and log2-transformed.

Analysis of ChIP-Seq Data

Analysis of ChIP-seq data was performed as described for the analysis of GRO-seq

data, with the exception that, after normalization of read counts, coverage was

expressed as the log2-transformed ratio of the RPKM value in the immunoprecipitated

DNA sample divided by the RPKM value in the non-immunoprecipitated (input) DNA.

Only regions in which the normalized count value in the immunoprecipitated DNA

sample was higher than that in the corresponding input DNA in at least one sample in

the set were considered.

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Figures Legends

Figure 1. ZFP-1/DOT-1.1 Negatively Modulate Enhancer-Directed Transcription

(A) Overlap analysis showing that promoters and enhancer chromatin domains are

enriched in ZFP-1/DOT-1.1. DOT-1.1 ChIP-chip and ZFP-1 ChIP-seq peak coordinates

were obtained from modENCODE (modENCODE_2970 and modENCODE_6213,

respectively). Chromatin domain coordinates were obtained by hidden Markov models

(Evans et al., 2016). Data are presented as percentage of regions enriched in DOT-1.1

or ZFP-1 in each of the 20 chromatin domains described for embryos and for third larval

stage animals, respectively. A chromatin domain region was called bound by DOT-1.1

or ZFP-1 if the center base pair of at least one peak was located within the coordinates

of the region. Domains 1 and 8 at the embryonic stage, as well as domain 1 at the third

larval stage (L3), were annotated as promoter regions. Domain 8 at L3 and domain 9 in

both embryos and L3 correspond to predicted intronic and intergenic enhancers,

respectively (Evans et al., 2016).

(B) Intragenic and distal ATAC-seq peaks in embryos and L3 animals are enriched in

DOT-1.1 and ZFP-1, respectively. *C. elegans* gene coordinates (WS220/ce10) were

extracted from the UCSC genome browser and intersected with ATAC-seq peak

coordinates (Daugherty et al., 2017). Peaks at least 1500 bp distal to any annotated

transcription start site or transcription termination site were considered distal ATAC-seq

peaks. Peaks intersecting coordinates of genes < 15kb by at least 50 bp were

considered intragenic ATAC-seq peaks.

(C) Transcription originating from intragenic and distal enhancers predicted by

chromatin modification signatures (Evans et al., 2016) is elevated in *zfp-1* mutant

animals compared with WT larvae. GRO-seq coverage was normalized using 250 bp

bins spanning the genome. The P-value was determined by Mann-Whitney U test

(enhancers versus genomic bins).

(D) Transcription originating from distal enhancers corresponding to open chromatin

regions (Daugherty et al., 2017) is elevated in *zfp-1* mutant animals compared with WT

larvae. GRO-seq coverage normalization and statistical tests were performed as in (C).

Figure 2. ZFP-1/DOT-1.1 Prevent Double-Stranded RNA Formation at Target

Genes with Intragenic Enhancers

(A) Anti-sense transcription at ZFP-1 target genes with intragenic enhancer signature is

increased in *zfp-1* mutant animals compared with WT larvae, whereas sense

transcription does not change. The corresponding steady-state mRNA population does

not overall change significantly between *zfp-1* mutant worms and WT animals. ** and ***

denote P-values < 0.05 and < 0.001, respectively, as determined by Mann-Whitney U

test (each category of genes versus all genes).

(B) Double-stranded RNA (dsRNA) accumulates in *zfp-1* mutant animals. Fixed,

isolated intestinal tissue was probed with anti-dsRNA antibody (J2) recognizing

stretches of 40 bp or more in a sequence-independent manner. Stained inclusions (red)

were detected in intestinal nuclei (blue, DAPI counterstain) and were increased in *zfp-1*

mutant animals compared with WT larvae. Scale bar: 50 μm.

Figure 3. Lethality of *dot-1.1* Knockout Is Suppressed by Dicer/RDE-4/RDE-1

Pathway Mutations

(A) The *dot-1.1; ced-3* mutant displays global loss of H3K79me2 as assessed by

Western blotting, in agreement with the function of DOT-1.1 in catalyzing H3K79

methylation.

(B) Outcrossing the *ced-3(n1286)* allele from the *dot-1.1; ced-3* mutant results in

arrested development, cell death. Note the numerous vacuoles typical of necrotic cell

death in *C. elegans*.

(C) Mutations in *rde-4* and *rde-1*, both affecting primary siRNA production and function,

rescue the lethality phenotype associated with knockout of the *dot-1.1* locus.

Figure 4. Ectopic Endogenous DsRNA Formed upon Loss of ZFP-1/DOT-1.1

Titrates the Dicer Complex away from Exogenous dsRNA

(A) In *dot-1.1(gk520244)* partial loss-of-function mutant worms, expression of the *elt-*

*2::*GFP*/lacZ* transgene is increased compared with WT animals, indicating reduced

efficiency of silencing of exogenously introduced dsRNA. The level of GFP expression

in *dot-1.1; eri-1* mutant worms is similar to that observed in *eri-1* mutant worms,

showing that DOT-1.1 acts upstream of ERI-1/Dicer. Scale bar: 200 μm.

(B) Different pools of dsRNA compete for the Dicer/RDE-4/RDE-1 pathway, including

ectopic dsRNA produced endogenously. The latter is exacerbated upon loss of ZFP-

1/DOT-1.1.

Figure 5. Distal and Intragenic Enhancers Show Ectopic Heterochromatin upon

Loss of DOT-1.1

(A and B) Distal and intragenic enhancers predicted by chromatin enhancer signatures

(A) or by ATAC-seq peaks (B) display ectopic H3K9me2 in the *dot-1.1; ced-3* mutant

compared with *ced-3* animals. The P-value was determined by Mann-Whitney U test

(enhancers versus genomic bins).

(C) H3K9me2 is elevated at ZFP-1 target genes with enhancer signature in the *dot-1.1;*

ced-3 mutant compared with *ced-3* animals. *** denote a P-value < 0.001 as determined

by Mann-Whitney U test (*dot-1.1; ced-3* versus *ced-3*).

Figure 6. Mechanism of Initiation of Nuclear RNAi upon Loss of DOT1L

Loss of ZFP-1/DOT1L leads to increase in anti-sense transcription, resulting in

accumulation of endogenous dsRNA molecules. These are processed by the Dicer

complex into endo-siRNAs, ultimately leading to H3K9 methylation and heterochromatin

formation. Generation of this new pool of dsRNA attracts the dsRNA-binding RNAi

pathway protein RDE-4 and Dicer, thus limiting the efficiency of exogenous dsRNA-

induced silencing.

Figure 1

Figure 2

Figure 3

A

ced-3　　*ced-3; dot-1.1*

WB: H3K79me2

WB: H3(PAN)

WB: actin

B *dot-1.1(-); ced-3(+)* arrested larva resulting from *ced-3(-)* outcrossing

C

Results of *dot-1.1* outcrosses from *ced-3* background

Mutant crossed	F2 picked (N)	Homozygous viable *dot-1.1* F2 lines			
		WT background	*ced-3* status	Mutant background	*ced-3* status
mml-1(gk402844)	21	2	2/2 (-)	3	3/3 (-)
rde-4(ne301)	20	3	3/3 (-)	2	2/2 (+)
*rde-1(ne219)**	10	n/a	n/a	4	1/4 (-) 3/4 (+)

* Mutant F2 animals were pre-selected

Figure 4

A

	DIC	220 ms	2500 ms
WT *elt-2*::GFP			
dot-1.1 *elt-2*::GFP			
eri-1 *elt-2*::GFP			
dot-1.1; eri-1 *elt-2*::GFP			

B

elt-2:: GFP background	Competing dsRNA pools		
	Repetitive transgenes	ERI pathway-produced	Ectopic endogenous
WT	+	+	-
eri-1	+	-	-
dot-1.1	+	+	+
eri-1; dot-1.1	+	-	+

Figure 5

Figure 6