1	Assessment of urinary pharmacodynamic profiles of faropenem against
2	extended-spectrum β -lactamase-producing <i>Escherichia coli</i> with canine extended-spectrum β -lactamase-producing <i>Escherichia</i> with <i>Escherichia</i> with <i>Escherichia</i> with <i>Escherichia</i> with <i>Escherichi</i>
3	vivo modeling
4	
5	Short title: Urinary pharmacodynamics of faropenem against ESBL-producing E. coli by canine
6	<i>ex vivo</i> model
7	
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19 Abstract

20	Extended-spectrum β -lactamase (ESBL)-producing bacteria are of great concern in companion
21	animals with urinary tract infections (UTIs). Because of its high safety and stability in the
22	presence of ESBLs, faropenem is assumed to be a candidate antimicrobial agent for canine UTIs
23	with ESBL-producing bacteria. This study was performed to investigate the urinary
24	pharmacokinetics and pharmacodynamics of faropenem administered at 5 mg/kg body weight in
25	six healthy dogs using an ex vivo model. Six UTI pathogenic strains of ESBL-producing
26	Escherichia coli (ESBL-EC) with the following faropenem minimum inhibitory concentrations
27	(MICs) were used: 1 μ g/mL (n = 2), 2 μ g/mL (n = 2), 4 μ g/mL (n = 1), and 16 μ g/mL (n = 1).
28	Urine samples were obtained every 4 h for the first 12 h after faropenem administration for
29	measurement of the urine drug concentration and urinary bactericidal titers (UBTs). The urine
30	concentration of faropenem peaked at 0 to 4 h after administration, with a mean maximum
31	concentration of 584 μ g/mL, and markedly decreased at 8 to 12 h (23 μ g/mL). The median
32	UBTs for all tested ESBL-EC strains were highest at 0 to 4 h and then significantly decreased at
33	8 to 12 h. These findings indicate that administration of faropenem more than once daily is
34	recommended for the treatment of ESBL-EC-related UTIs in dogs. In addition, the median areas
35	under the UBT-time curves (AUBTs) were significantly inversely correlated with the
36	corresponding MICs for faropenem in the tested strains ($P < 0.05$). Notably, the median AUBTs
37	were significantly higher in ESBL-EC strains with an MIC of 1 μ g/mL than in those with an
38	MIC of $\geq 4 \mu g/mL$ (<i>P</i> < 0.05). The present study serves as the basis of clinical application of
39	faropenem for ESBL-producing bacteria-related UTIs in dogs.

 $\mathbf{2}$

41 Introduction

42	Urinary tract infections (UTIs) are common bacterial infections in dogs, occurring in
43	approximately 14% of dogs in their lifetimes with a variable age at onset [1]. Escherichia coli is
44	the most common infectious bacteria, although various gram-negative and gram-positive
45	bacteria can cause UTIs in dogs [2,3].
46	The prevalence of extended-spectrum β -lactamase (ESBL)-producing bacteria is of great
47	concern worldwide in companion animals with UTIs [4,5]. Although ESBLs are usually
48	involved in resistance to oxyimino-cephalosporins, penicillins, and narrow-spectrum
49	cephalosporins, ESBL-producing bacteria are often resistant to other classes of antimicrobials
50	[6]. These multidrug-resistant phenotypes of ESBL-producing bacteria have major implications
51	in the selection of adequate empirical therapy regimens [6].
52	We previously reported high in vitro efficacy of several antimicrobials against ESBL-
53	producing E. coli (ESBL-EC) isolates from companion animals [7]. Of these antimicrobials,
54	faropenem is representative of the penem class and exhibits stability to hydrolysis by ESBLs
55	[8,9]; additionally, it is highly safe in dogs [10,11]. These findings indicate that faropenem may
56	be a promising candidate antimicrobial for canine UTIs with ESBL-producing bacteria.
57	However, the urinary pharmacokinetic/pharmacodynamic profile, which is essential for
58	assessment of treatment efficacy of antimicrobials in UTIs [12-14], remains to be investigated.
59	In the present study, we used liquid chromatography-mass spectrometry (LC-MS) to
60	investigate the urinary pharmacokinetics of faropenem in dogs. We also measured urinary
61	bactericidal titers (UBTs) and related parameters of faropenem against ESBL-EC strains from
62	canine UTIs.
63	

64 Materials and Methods

65 Sampling of urine from dogs treated with faropenem

- 66 The herein-described animal experiments were conducted under an ethics committee-approved
- 67 protocol in accordance with the Tottori University Animal Use Committee (approval number:
- 68 15-T-46) and carried out as described previously [14]. Six beagle dogs (4 male, 2 female; mean
- age and weight, 6.3 ± 3.7 years and 12.4 ± 1.18 kg, respectively) were purchased from
- 70 Kitayama Labes Co., Ltd. (Nagano, Japan). Prior to this study, all dogs were confirmed to be
- clinically healthy based on a physical examination, complete blood count, biochemical blood
- test and urinalysis. A balloon catheter was placed in the urinary bladder of each dog to allow
- virine collection. The dogs were orally administered faropenem (Farom Dry Syrup for
- 74 Pediatric[®]; Maruho Co., Ltd., Osaka, Japan) at a dose of 5 mg/kg body weight. Whole urine was
- obtained via the catheter at 4, 8, and 12 h after administration. The samples were sterilized by
- 76 filtration and stored at -80° C until analysis.

77 Measurement of urine faropenem concentration with LC-MS

- 78 Reference standard faropenem and cephalexin as the internal standard were separately dissolved
- in acetonitrile and then diluted with ultrapure water. LC-MS was carried out with a high-
- 80 performance liquid chromatograph (LC-10AT; Shimadzu Co., Ltd., Kyoto, Japan). The mass
- spectrums of faropenem and cephalexin were represented by peaks at m/z 308.0553-308.0558
- and m/z 352.10, respectively. The compounds were separated on a 2.1-mm internal diameter \times
- 83 100-mm length, 3-μm analytical column operated at 40°C (Mastro C18; Shimadzu GLC Ltd.,
- 84 Tokyo, Japan). The mobile phase comprised 0.1% formic acid aqueous solution and acetonitrile,
- and the flow rate was 0.2 mL/min. The injection volume was 0.1 µL. Standard samples for
- 86 creation of a calibration curve were prepared with blank urine matrix spiked with six
- concentrations of faropenem (1, 5, 10, 50, 100, and 500 μg/mL). Standard and dog urine

samples (50 µL) were mixed with 100 µg/mL of cephalexin (50 µL) as the internal standard and

94	The six ESBL-EC strains (strains ES-EC1–ES-EC6) from dogs with UTIs were selected from
93	Test organisms
92	Administration [15].
91	assay was verified according to the guideline provided by the US Food and Drug
90	harvested and then diluted 10-fold with ultrapure water for analysis. The validity of the LS-MS
89	methanol (400 μ L). After centrifugation at 12,000 rpm for 5 min, the supernatants were

95 our collection [7] and used in this study. The faropenem minimum inhibitory concentrations

96 (MICs) of these strains were determined in our previous study [7]. According to the tentative

97 breakpoint set by Fuchs et al. [16], the six strains were categorized as follows: strains ES-EC1

98 to ES-EC4, susceptible; strain ES-EC5, intermediately susceptible; and strain ES-EC6, resistant

99 (Table 1).

100

88

101 Table 1. MIC, MBC, and MUBC of faropenem for the six ESBL-producing *E. coli* strains

102 tested in this study

Strain	MIC ^a	MBC	MUBC (µg/mL)		- MUBC/MBC
Suam	$(\mu g/mL)$	(µg/mL)	Median	Range	
ES-EC1	1 (S)	2	1.29	(0.64-3.57)	0.64
ES-EC2	1 (S)	1	0.98	(0.12-10.46)	0.98
ES-EC3	2 (S)	4	4.68	(1.0-14.7)	1.17
ES-EC4	2 (S)	2	1.81	(0.66-4.16)	0.91
ES-EC5	4 (I)	4	14.5	(3.1-20.9)	3.62
ES-EC6	16 (R)	16	11.94	(5.56-28.57)	0.75

^aS, susceptible; I, intermediate; and R, resistant according to Fuchs et al. [16].

104 MIC, minimum inhibitory concentration; MBC, minimum bactericidal concentration; MUBC,

105 minimum urinary bactericidal concentration; ESBL, extended-spectrum β-lactamase

Determination of minimum bactericidal concentration

107 The minimum bactericidal concentration (MBC) was defined as the minimum concentration of

- 108 drug needed to kill \geq 99.9% of viable organisms after incubation for 24 h, according to the
- 109 Clinical and Laboratory Standards Institute guideline [17].

Determination of urinary bactericidal titer, area under the

111 UBT-time curve, and minimum urinary bactericidal

112 concentration

113 The urinary bactericidal titers (UBTs) corresponded to the maximal dilution titer of urine

allowing bactericidal activity and were determined as described previously [14,18]. A

115 logarithmic serial two-fold dilution was prepared using a 1:1 mixture of the urine sample

116 obtained every 4 h after administration (see section titled "Sampling of urine from dogs treated

117 with faropenem") and the individual dog's antimicrobial-free urine obtained prior to drug

administration. UBTs were determined using a microdilution test system. Each well of the

119 microplates contained 100 μ L of the prepared dilution. The final inoculum was about 5 \times 10⁵

120 CFU/mL. The plates were incubated at 35°C for 18 h, and the subcultured urine was then

121 transferred to antimicrobial-free agar. The plates were incubated at 35°C overnight. The number

122 of colonies subsequently grown was used to determine the bactericidal endpoint. The UBT was

123 defined as a \geq 99.9% reduction of the initially inoculated colony counts. A UBT of 0 was

defined as no bactericidal activity, and a UBT of 1 was assigned when only undiluted urine

125 displayed bactericidal activity. UBTs were transformed into ordinal data and described with

126 reciprocal numbers [13,14].

127 The area under the UBT-time curve (AUBT) was calculated as the sum of the products of 128 the reciprocal UBT values and the respective time (h) intervals for each test organism to easily

129 compare UBT data among the tested strains. Calculation of the AUBT is an approximation

130 considering the 4-h time intervals and the nonlinear kinetics in urine [13,14].

131 The minimum urinary bactericidal concentration (MUBC) for each strain was determined

132 by dividing the antimicrobial concentration in a urine sample by the corresponding UBT

133 [14,18].

134 Statistical analysis

135 Repeated analysis of variance with Bonferroni correction was used to compare urine

136 concentrations between collecting time periods. The median values of UBT, AUBT, and MUBC

137 among the six dogs were calculated from the average value of the two middle elements. The

138 UBTs for each strain were compared between collecting time periods by Friedman's test

139 followed by Scheffe's test. The AUBTs were compared among the six tested strains by the

140 Tukey–Kramer test. Spearman's rank correlation coefficient (ρ) was calculated between the

141 MIC and median AUBT. A *P*-value of <0.05 was considered significant for all analyses.

142

143 **Results**

144 Safety and laboratory test results

145 No adverse effects were observed in any dogs during the test period. The results of the physical
146 examination, complete blood count, and biochemical blood test displayed no clinically relevant
147 changes.

148 Urine concentration and urinary excretion

149 The LC-MS assay showed a lower limit of quantitation at 10 ng/mL for faropenem in dog urine.

150 The urine volume, urinary concentration, and cumulative excretion are shown in Table 2. The

151 mean urinary concentration peaked 0 to 4 h after administration (584 µg/mL), then decreased to

152	246 μ g/mL at 4 to 8 h and 23 μ g/mL at 8 to 12 h. A significant difference in the urine
153	concentration was observed between 0 to 4 h and 8 to 12 h ($P < 0.05$). All urine samples
154	collected prior to drug administration had no detectable drug. The mean urinary excretion was
155	25.3% at 0 to 4 h and then remained almost unchanged from 4 to 8 h (34.3%) to 8 to 12 h

156 (35.5%).

157

Table 2. Urinary volume, concentration, and cumulative excretion of faropenem in six

159 **dogs**

Collection period (h)	Vol (ml)	Conc (µg/mL)		Cumulative urinary excretion (%)
0-4	44 (13-78)	584	(147-1748)	25.3 (11.9-48.3)
4-8	28 (13-50)	246	(64-669)	34.3 (19.1-66.9)
8-12	32 (13-67)	23	(LLQ-35)	35.5 (19.1-67.3)

160 Data are presented as mean (range).

161 Vol, volume; Conc, concentration; LLQ, lower level of quantification.

162

163 UBTs and AUBTs

164 The temporal changes in the median UBTs for each strain are shown in Figure 1. In all tested

165 ESBL-EC strains, the median UBTs of faropenem peaked at 0 to 4 h and then significantly

166 decreased at 4 to 8 h and/or 8 to 12 h ($P \le 0.05$).

167

168 Fig. 1. Reciprocal UBTs of faropenem (5 mg/kg body weight) for the six ESBL-producing

- 169 Escherichia coli strains tested in this study. UBT, urinary bactericidal titer; ESBL, extended-
- 170 spectrum β -lactamase; MIC, minimum inhibitory concentration.
- 171
- 172 Of the tested strains, the two strains with an MIC of 1 µg/mL (ES-EC1 and ES-EC2) had

significantly higher median AUBTs (1072–1312) than the strains with an MIC of 4 μ g/mL (ES-

174 EC5) and 16
$$\mu$$
g/mL (ES-EC6) ($P < 0.05$) (Fig. 2).

175

176 Fig. 2. Comparison of AUBTs of faropenem between the six ESBL-producing *Escherichia*

- 177 *coli* strains tested in this study. AUBT, area under the urinary bactericidal titer–time curve;
- 178 ESBL, extended-spectrum β-lactamase; MIC, minimum inhibitory concentration.
- 179 *Significant differences between groups (P < 0.05).
- 180
- 181 Spearman's rank correlation coefficient between the MICs and median AUBTs was -0.968
- 182 (P < 0.05).

183 MBCs and MUBCs

184 The MBCs ranged from 1 to 16 μ g/mL (one to two times the corresponding MIC for each

strain), whereas the median MUBCs ranged from 0.98 to 11.94 µg/mL (Table 1). The ratios of

the median MUBC to the corresponding MBC ranged from 0.64 to 3.62.

187

Discussion

189 Although faropenem shows excellent *in vitro* antimicrobial activity against ESBL-producing

bacteria [7], its efficacy for canine UTIs with these bacteria has not been previously assessed.

- 191 To our knowledge, this is the first report to investigate the urinary pharmacokinetics and
- 192 pharmacodynamics of faropenem in dogs.
- 193 The present study demonstrated that in dogs, approximately one-third of the dose of
- 194 faropenem is excreted in urine 12 h after oral administration. This urinary excretion of
- 195 faropenem in dogs is higher than that in humans: urinary excretion of the drug after oral
- administration at a 300-mg dose in human patients was merely 14% to 20% [9]. These findings

imply that this drug is more suitable for treatment of UTIs in dogs than in humans, possibly
because of the differences in absorption, distribution, metabolism, and elimination between
dogs and humans.

200 In this study, we also found an extremely low concentration of faropenem in dog urine at 2018 to 12 h, and the cumulative excretion remained almost unchanged from 4 to 8 h to 8 to 12 h. 202This indicates that urinary excretion of faropenem practically expires at 12 h after oral 203administration in dogs. This urinary pharmacokinetic property of faropenem differs greatly from 204 that of once-a-day fluoroquinolones, which can maintain high urinary concentrations until 24 h 205after oral administration [14,19,20]. In addition, the UBTs, which can serve as a 206 pharmacokinetic/pharmacodynamic assessment parameter of antimicrobial agents in the urine 207 [13,14], of faropenem in all tested strains fluctuated closely with the urine concentration of the 208drug during the same period; notably, the UBTs significantly decreased 8 to 12 h after oral 209administration. Our results may indicate that administration of faropenem two or three times a 210day is more appropriate than once a day for the treatment of canine UTIs. 211When comparing UBTs among the six ESBL-producing E. coli strains tested in this 212study, the strains with the lower MICs generally exhibited the higher UBTs of faropenem during 213the test periods. A similar finding was confirmed in our previous study on the UBTs of 214orbifloxacin [14]. Additionally, the AUBTs, which reflect the overall UBTs, were greatly dependent upon the respective MIC of each strain. Notably, the AUBTs of the two strains with a 215216faropenem MIC of 1 μ g/mL, which is the minimum concentration required to inhibit 90% of 217ESBL-producing E. coli [7], were extremely high. This implies that most ESBL-EC-related 218UTIs in dogs can be theoretically treated with faropenem at an oral dose of 5 mg/kg body 219weight. Compared with the two susceptible strains with an MIC of 1 µg/mL, the strains with an 220MIC of 4 and 16 μ g/mL had significantly lower AUBTs (approximately 1/10 of those of the 221susceptible strains), suggesting that treatment with faropenem at the same dose has less therapeutic efficacy for UTIs by *E. coli* strains with an MIC of $\geq 4 \mu g/mL$. Fuchs et al. [16] 222

223proposed that the susceptibility breakpoint of faropenem is $\leq 2 \mu g/mL$ based on human 224pharmacokinetic parameters. The present findings suggest that this breakpoint is also reasonable 225for canine UTIs when treated with faropenem at a dose of 5 mg/kg. Further clinical studies are 226needed to establish this value as the valid clinical breakpoint. 227 Like the study by Matsuzaki et al. [21], our data showed a low MBC/MIC ratio (within one 228dilution), indicating that faropenem has *in vitro* bactericidal activity against ESBL-EC. In the 229present study, we calculated the MUBCs in each test strain based on the urine concentration and 230UBTs to assess the activity of faropenem in dog urine. As a result, the median MUBCs were 2310.6- to 3.6-fold higher than the corresponding MBCs. This MUBC/MBC ratio was relatively 232low compared with orbifloxacin, for which the MUBC/MBC ranged from approximately 2 to 15 233[14]. Comparatively, therefore, the antimicrobial activity of faropenem might be minimally 234decreased in dog urine.

235 Conclusion

236 We determined the UBTs and related parameters of faropenem in dogs to assess the efficacy of

this drug against canine UTIs with ESBL-producing bacteria. Based on the urinary

238 pharmacokinetics and UBTs of faropenem, administration more than once daily is

- recommended for treatment of these UTIs in dogs. In addition, the comparison of AUBTs
- among the strains with different MICs might suggest that an MIC of $\leq 2 \mu g/mL$ is applicable to
- the susceptible breakpoint of faropenem for canine UTIs when administered at 5 mg/kg body
- 242 weight. We strongly believe that the present study serves as a basis for clinical application of
- 243 faropenem for ESBL-producing bacteria-related UTIs in dogs.

244 **Competing interests**

K.H. received JPSP KAKENHI Grant Number 16K18804 (Japan Society for the Promotion of
Science; https://www.jsps.go.jp/j-grantsinaid/). The funder had no role in the study design, data

247 collection and analysis, decision to publish, or preparation of the manuscript.

248 Acknowledgments

- 249 We thank Ms. Mizuki Yokono for providing technical advice concerning LC-MS and Dr. Taku
- 250 Tsukamoto for providing the analytical column for LC-MS. We also thank Angela Morben,
- 251 DVM, ELS, from Edanz Group (www.edanzediting.com/ac), for editing a draft of this
- 252 manuscript.

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