Self-organising coordinate transformation with peaked and monotonic gain modulation in the primate dorsal visual pathway

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Abstract

We study a self-organising neural network model of how visual representations in the primate dorsal visual pathway are transformed from an eye-centred to head-centred frame of reference. The model has previously been shown to robustly develop head-centred output neurons with a standard trace learning rule [1], but only under limited conditions. Specifically it fails when incorporating visual input neurons with *monotonic* gain modulation by eye-position. Since eye-centred neurons with monotonic gain modulation are so common in the dorsal visual pathway, it is an important challenge to show how efferent synaptic connections from these neurons may self-organise to produce head-centred responses in a subpopulation of postsynaptic neurons. We show for the first time how a variety of modified, yet still biologically plausible, versions of the standard trace learning rule enable the model to perform a coordinate transformation from eye-centred to head-centred reference frames when the visual input neurons have monotonic gain modulation by eye-position.

Author summary

Coordinate transformations are an essential aspect of behaviour. For instance, sensory information encoded in the coordinates of the retina needs to be transformed to relevant coordinates for planning and movement. Particularly, head-centred coordinates are essential for accurate motor behaviours and required to compute more complex coordinate transformations for sensorimotor integration [2]. Head-centred coordinates are obtained by combining information about the the retinal location of visual stimuli and the position of the eye. Previous work did not address the influence of different forms of gain modulation by eye position, albeit a variety of forms being widely reported for several cortical areas. Here we show how a biologically plausible model that successfully self-organised head-centred responses [1,3] fails when the visual input units have a commonly observed form of eye-position gain modulation, i.e. *monotonic modulation*. Our work makes an important contribution to understanding how head-centred responses may develop in the brain through an unsupervised process of visually-guided learning using a set of more sophisticated, and yet still biologically plausible, learning rules when visual precursor neurons have monotonic eye-position gain modulation. Furthermore, our findings may be applied to a range of other coordinate transformations with sensorimotor integration of monotonically encoded motor variables [4,5].

Introduction

Within the primate dorsal visual pathway, neurons encode the locations of visual objects in different body-centred frames of reference. For example, neurons at an early stage of processing encode the locations of objects within an eye-centred reference frame, while neurons in later stages may encode the positions of objects with respect to the head or hand which is more relevant for guiding motor actions. A key question is how the visual system learns to perform such coordinate transformations between different body-centered reference frames. The neural mechanisms supporting this form of transformation, critical for visually guided motor function, have long been studied in primates. A neural phenomenon which is thought to play a key role in coordinate transformations in the dorsal visual pathway is *gain modulation*. This refers to the modulatory effect that a particular bodily state or posture, like eye or head position, has on the firing rate of some visual neurons responding in a given reference frame. Gain modulation of neuronal firing responses has been discovered in multiple stages of the primate dorsal visual pathway.

Parietal area 7a [6,7], LIP [8] and PO [9] have visual neurons modulated by the position of the eye in the orbit. This form of gain modulation is thought to be involved in supporting the development of head-centred visual representations, which were later identified in the same areas [10–12]. The parietal reach region (PRR) has hand-centred visual neurons with eye-position gain modulation [5], which are thought to be involved in guiding reaching to target locations. In certain regions such as parietal area 7a and LIP, the gain modulation is usually a *monotonic* function of the relevant bodily posture, such as eye or head position. For example, eye-position modulation often takes the form of a linear or saturating function of eye-position, which multiplicatively modulates the underlying Gaussian retinotopic receptive field of a visually responsive neuron [6]. While in other areas, such as V6A, there can be a higher proportion of retinotopic visual neurons with peaked eye-position gain fields [13].

Contemporary understanding of the potential role of such gain modulation in coordinate transformation has been informed by the highly influential coordinate transformation model of [14], reviewed below (section Previous Neural Network Models). The majority of models studying coordinate transformation since that time [4,5,15–17] have been heavily inspired by this early work. These models set up the synaptic weight matrix by some form of supervised, error correction, learning algorithm which cannot be implemented in the cortex [18]. Such algorithms provide no biologically plausible learning hypothesis, and also produce circuits which violate Dale's law, the widely accepted neuroanatomical fact that a given presynaptic neuron cannot be both excitatory and inhibitory across its efferent synapses [19].

Our laboratory has developed a biologically plausible neural network model that self-organises its synaptic connectivity during visual experience such that the model learns to transform eye-centred visual representations to head-centred representations [1,3]. The model can achieve this using purely associative local synaptic learning rules with no supervisory training signal - i.e. unsupervised learning. The model is able to self-organise its synaptic connectivity by exploiting the natural eye and head movements of primates. However, a limitation of previous studies with this model has been their reliance on incorporating retinotopic visual input neurons with responses that are modulated by only *peaked* eye-position gain fields. This is not biologically

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realistic because many retinotopic visual neurons in the monkey brain are found to have *monotonic* eye-position gain fields. In the new simulations presented below, we first show that the incorporation of retinotopic visual input neurons with monotonic gain fields leads to a failure of the model to develop head-centred output neurons. We then show how model performance can be substantially improved by employing a range of more sophisticated, yet still biologically plausible, learning rules.

Next we present a review of relevant physiological and behavioural data along with a discussion of some previous computational models in order to provide the context for the new simulation results discussed in this paper.

Physiology

Eye-Position gain modulation of retinotopic visual neurons in parietal cortex

Computer simulations carried out within our laboratory have demonstrated that an important precursor to the emergence of head-centred visual neurons in the parietal cortex is likely to be the presence of retinotopic visual neurons with responses that are gain modulated by the position of the eye in the orbit [1,3]. A number of experimental studies have previously confirmed the existence of such retinotopic visual neurons with eye-position gain fields in the monkey brain.

The work by [6] was the earliest demonstration of the influence of the position of the eye in the orbit on the responses of retinotopic light sensitive neurons in area 7a of the monkey parietal cortex. The authors studied the responses of light sensitive neurons using both an attentive task and an inattentive task. In both tasks, the position of the head was kept fixed while the animal was able to move its eyes. During the attentive task the monkey had a peripheral stimulus flashed in a particular eye-centred location whilst maintaining fixation at some gaze angle. Conversely, during the inattentive task targets were flashed on random locations of the screen whilst the monkey freely oriented its gaze. The authors reported that the position of the eyes in the socket affected the responses of neurons in area 7a of the inferior parietal lobule during both attentive fixation and under the inattentive condition. Although it was also found that the responses of a much larger proportion of neurons were modulated by eye position during the attentive task than the inattentive task. The first task, the attentive task, revealed that 61% of neurons had their responses to visual stimuli significantly changed by the eye position. The neuronal responses could be more than three times stronger when the eye position shifted by 20° towards the preferred direction (optimal eye position). The second task, the inattentive task, revealed that a significantly smaller proportion of examined neurons (10%) had their responses to visual stimuli significantly changed by the eye position. The precise interaction between the visual signal encoding the retinotopic location of the target and the eye position signal was later characterized by [7]. These effects were also observed in the lateral intraparietal area (LIP) by [8]. This later work described such gain modulated responses as a multiplicative interaction between a Gaussian retinotopic receptive field and a *monotonic* (planar) eve position modulation component.

The presence of more *peaked* eye position gain modulation has been observed in the parietal occipital area (PO) by [9] and [13]. In the more recent of these two studies, [13] designed an experimental task to investigate the proportion of retinotopic visual neurons in area V6A of the primate brain that are modulated by eye position with either peaked (non-monotonic) or planar (monotonic) gain fields. During the experimental task the monkey had 9 equally spaced fixation locations organised as a 3×3 grid, with the visual stimulus always presented at the fixation point. These authors found that approximately 56% of recorded neurons had their responses modulated by the position

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of the eye. Furthermore, 27% of the neurons with responses that were modulated by eye position had planar gain fields, whilst the remaining 73% had peaked gain fields. The authors also explored the influence of each type of gain modulation on a traditional neural network model of sensorimotor transformation proposed by [20]. Their main motivation was to understand the implications of the functional form of gain fields, i.e. peaked (non-monotonic) vs planar (monotonic), for sensorimotor transformations in reaching tasks. In particular, they investigated how the functional form of the gain fields affected the performance of the model proposed by [20] to transform eye-centred visual representations into head-centred representations. The authors found that the incorporation of planar rather than peaked eye-position gain fields led to reduced model performance, with the population of output neurons providing a less accurate representation of the location of the visual stimulus with respect to the head.

Head-centred neural responses

A number of experimental studies have found visual neurons in the monkey brain that encode the locations of visual stimuli with respect to the head, i.e. within a head-centred frame of reference. The model simulations that we present below in section Results seek to explain how such head-centred neurons may develop in the brain.

[11] was the first experimental study to provide evidence of head-centred visual representations in the preoptic area (PO) of the macaque brain. A head-centred representation of visual space is assumed to be important for visually guided reaching and perceptual stability. In fact, [21] had previously predicted the existence of head-centred visual neurons in PO due to the presence of retinotpic visual neurons with eye-position gain modulation in areas 7a [7], LIP [8] and V3A [21], which are a key precursor to the development of head-centred visual representations [1,3]. In the experimental study of [11], a head-restrained monkey performed a series of fixations to different locations on a screen whilst a visual target was presented in various other screen locations for each fixation. This allowed the authors to assess how individual neuron's activity depended on either the eye-centred or head-centred location of the visual target. It was reported that the receptive fields of 11% of recorded neurons were not completely eye-centred, with six of these neurons presenting clear head-centred receptive fields. The authors concluded that previous claim by [8] that there was no explicit head-centred neuronal representation of visual space was incorrect. Furthermore, head-centred neurons were suggested to originate from pooling the output of preceding eve-position gain-modulated retinotopic visual neurons.

The work of [10] was motivated by the behavioural requirement for the brain to be 131 able to integrate visual and auditory signals, such as the sight of moving lips and the 132 corresponding speech sound, within a common body-centred frame of reference. The 133 authors' investigation of the reference frames used to encode visual and auditory 134 responses in area LIP revealed the first head-centred visual representations in this area. 135 This contradicted the suggestion made by [8] that head-centred responses would not 136 exist in area LIP. The proportion of neurons sensitive to visual target locations was 137 72%, whilst 51.4% of neurons were sensitive to auditory target locations. Moreover, 5%138 to 43% of neurons were simultaneously responsive to both visual and auditory target 139 locations, depending on how responsiveness was defined. For visual neurons, 33% had 140 eye-centred responses and 18% had head-centred responses. Regarding auditory 141 neurons, 10% had eye-centred responses while 23% had head-centred responses. Neither 142 the remaining 49% of visual neurons nor the remaining 67% of auditory neurons could 143 have their responses classified as eve-centred or head-centred. In summary, it was found 144 that both auditory and visual neurons had responses compatible with either eve-centred 145 or head-centred frames of reference, although most neurons had complex responses that 146 could not be classified into either of these categories. This was the first time that 147

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head-centred neuronal responses were indentified in area LIP.

Previous Neural Network Models

[14] developed an early influential model that learned to transform an input representation consisting of the position of the eye in the socket and the retinotopic position of the visual target to an output representation consisting of the position of the visual target with respect to the head. Specifically, two-dimensional representations of the eve-position \mathbf{e} and of the retinal location of the visual target \mathbf{r} were used as input for the neural network model. The target output used to guide the error-based update of the synaptic weights during training was the head-centred location \mathbf{h} of the visual target represented by $\mathbf{r} + \mathbf{e}$. The model thus utilised a supervised, multi-layer backpropagation of error network architecture, in which the output layer of the network was provided with an explicit training signal representing the current head-centred location of the visual target. Such a network architecture is not biologically plausible for a couple of reasons. Firstly, it is not clear where such a training signal representing the current head-centred location of the visual target might originate from in the brain. Secondly, a multi-layer backropagation network architecture requires that the afferent weights to the hidden layer be updated using an error signal based on the efferent synaptic weights from these hidden neurons to the output layer scaled by the corresponding errors in the output layer. Such a network architecture in itself is not biologically plausible. Nevertheless, [14] found that training such a network to transform independent eye position and retinal target position signals to head-centred coordinates led to hidden units in the intermediate layer developing retinocentric receptive fields with planar eve-position gain fields, very similar to those found in the posterior parietal cortex by [6].

A number of later models that simulate the development of head-centred visual neurons have also relied on some form of error correction learning. For example, the model described by [15] relied on a form of supervised global error correction learning that utilised an error term which is unlikely to be present in the cortex. The model of sensorimotor transformation by [16] also used a supervised error correction learning rule to modify the synaptic connectivity within the network. So, although supervised error correction learning does not appear to offer a biologically plausible way of modelling the development of head-centred visual neurons in the brain, it has nevertheless remained a popular approach.

In contrast to the above error correction network models, [20] developed a model that utilised associative learning. These authors investigated how retinotopic visual representations could be transformed into head-centred representations that are relevant to reaching tasks. It was hypothesised that the observation of our own motor movements during a reaching task would be used to develop a map between the eye-centred visual representation of the target and the head-centred motor representation of the movement required to reach the target. In other words, the input layer of the neural network model represented the visual signals generated by observing the reaching movements, whilst the output layer represented the corresponding motor movements that were performed. As with [14], the input representation consisted of the position of the eye in the socket and the retinotopic position of the visual target, while the output representation consisted of the head-centred target position. Hebbian learning was then used during training to successfully associate each eve-centred visual input representation of the target to the corresponding head-centred output motor representation. In contrast to [14], the model developed by [20] did not use a backpropagation of error network architecture or any other form of error correction. Instead, they used a more biologically plausible associative learning rule to modify the synaptic connectivity within their model. However, the model was still trained in a

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supervised manner. That is, the network still made use of an explicit training signal in the output layer representing the head-centred location of the visual target in order to guide learning without an adequate explanation of where such a signal might originate from in the brain.

[22] proposed a hierarchical neural network model of coordinate transformation that used associative learning to set up the synaptic connectivity but did not require a biologically implausible supervisory training signal as used by [20]. The model developed by [22] had the following two main processing stages. The first processing stage used signals representing the retinotopic location of the visual target and signals representing the position of the eye to learn head-centred visual representations. The second processing stage used head position signals coupled with the head-centred visual representations developed by the first processing stage to learn body-centred visual representations. Training consisted of continously shifting a visual target presented to the network whilst randomly varying the position of the eyes and the head. Both processing stages used competitive learning with an associative learning rule to self-organise conjunctive representations of its respective inputs, and then used competitive learning with a form of temporally associative learning rule to bind representations that occurred close together in time. Learning in the second processing stage only started after the first processing stage had finished learning head-centred representations. This allowed each processing stage of the model to self-organise either head-centred or body-centred representations. Most importantly, in contrast to the models developed by [14] and [20], learning in [22] did not require the use of a biologically implausible supervisory training signal to guide learning of the coordinate transformations. However, the model of [22] did not investigate how the functional form of eye position gain modulation, i.e. monotonic vs peaked, may affect the development of head-centred visual representations. This is the focus of the current work presented below.

Our approach: A Biologically Plausible Unsupervised Self-organising Neural Network Model of Coordinate Transformation

Our laboratory has previously published a biologically plausible neural network model 229 of the visually-guided development of head-centred visual neurons, which relies on 230 associative learning rules and does not require a supervisory training signal to guide 231 learning [1,3]. Instead, our model utlises an unsupervised form of competitive learning 232 that exploits the natural statistics of how primates move their eyes and head as they 233 shift their gaze around their visual environment. Specifically, the model employs a form 234 of *trace learning*, which encourgages neurons in higher network layers to bind together 235 input patterns that tend to occur close together in time. If a primate tends to move its 236 eyes more frequently than adjusting the position of its head, then retinal images that 237 occur close together in time will tend to correspond to different positions of the eyes but 238 the same position of the head. In this case, trace learning will encourage neurons in 239 higher layers to learn to respond to the position of a visual target in the same 240 head-centred location across different retinal positions. Such neurons will have thus 241 learned to represent the position of visual targets within a head-centred reference frame. 242 The inputs to the model are eve-centred visual neurons that represent the locations of 243 visual targets on the retina, but which have responses that are also gain modulated by 244 the position of the eyes in the socket. In this paper, we investigate how the learning 245 process depends on the functional form of this gain modulation by eve-position. Two 246 forms of eye-position gain modulation are explored: monotonic gain modulation, which 247 is dominant in most primate parietal areas (LIP, 7a, PRR), and peaked gain modulation 248

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which is primarily found in area PO.

In the simulations described below in section Results, the model is found to robustly 250 develop head-centred output neurons with a standard trace learning rule when 251 incorporating visual input neurons with *peaked* eye-position gain modulation [1], but not 252 with *monotonic* eye-position gain modulation. Moreover, even if the model has its 253 synaptic connectivity perfectly prewired to perform a coordinate transformation from 254 eve-centred input neurons with monotonic gain modulation to head-centred output 255 neurons, subsequently introducing plasticity into the synaptic connections with the 256 standard trace learning rule quickly degrades the synaptic connectivity and eventually 257 leads to elimination of the head-centred output responses. Since eye-centred visual 258 neurons with monotonic eye-position gain modulation are so common in the dorsal 259 visual pathway [7, 23, 24], it is an important challenge to show how efferent synaptic 260 connections from these neurons may self-organise to produce head-centred visual 261 responses in a subpopulation of postsynaptic receiving neurons. A subsequent analysis 262 of the nature of the failure of the self-organisation of the synaptic connectivities led us 263 to explore the performance of the model with a variety of modified, yet still biologically 264 plausible, more powerful versions of the standard trace learning rule. The choice of the 265 modified versions of the trace learning rule used in this paper was motivated by the 266 superior performance of these learning rules reported by [25]. Here we show for the first 267 time how these modified learning rules enable the model to learn to perform a 268 coordinate transformation from eye-centred to head-centred reference frames even when 269 the visual input neurons have monotonic gain modulation by eye-position. 270

Materials and methods

The Self-organisation of the Synaptic Connectivity within the Neural Network Model

The model uses four core components to self-organise head-centred visual 274 representations through a biologically plausible process of visually guided learning. The 275 first component is a population of input neurons that encode both the position of the 276 eyes in the orbit and the retinotopic location of visual targets. Such retinotopic visual 277 neurons with eye-position gain modulation have been identified in multiple primate 278 cortical areas [7-9, 23, 24]. The second component is a population of output neurons 279 that compete with each other through mutual inhibitory interactions, which is a 280 standard feature of cortical architecture [18]. The third component is a local synaptic 281 trace learning rule to update the feedforward synaptic connections between the input 282 and output neurons. The trace learning rule is a local associative learning rule that 283 incorporates an exponentially decaying temporal trace of past neuronal activity, and it 284 has been widely used in the context of developing invariant visual object 285 recognition [26, 27]. The trace learning rule has the effect of encouraging individual 286 postsynaptic neurons to learn to respond to subsets of input patterns that tend to occur 287 close together in time. Finally, the fourth component comes under the assumption that 288 visual stimuli are relatively static in a world reference frame during natural self-motion. 289 This assumption is justified by experimental findings in which primates adjust their 290 gaze more often by moving their eyes rather than the head itself [28]. This behavioural 291 strategy to adjust gaze is preferable because it reduces the frequency of making more 292 energetically costly and slow head movements. In fact, it has been found that during 293 exploration of natural environments with free head, eye and body movements, at any 294 time when there is movement, isolated head and isolated eve movements occurred 13.3%295 and 33.1% of the time respectively, whilst a mixture of movements was observed in the 296 remaining time. That is, during natural movement there are periods when the eves are 297

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moving whilst the head remains stationary with respect to the visual environment and visual objects also remain stationary within the environment. 299

These four model components allow the model to self-organise its synaptic 300 connectivity during visually guided training in the following way. If the eyes move 301 around a scene containing a stationary visual target while the head also remains 302 stationary, then the visual system will receive a sequence of input patterns with the 303 visual target in different retinal locations but the same head-centred location. That is, 304 the visual target remains stationary in the head-centred space, but changes its position 305 in the eve-centred space. The sequence of eve-positions and resulting retinal locations of 306 the visual target are represented by retinotopic visual input neurons with responses that 307 are gain modulated by eye-position. The synaptic trace learning rule is able to bind 308 subsets of input patterns corresponding to a visual target in the same head-centred 309 location, albeit with the visual target situated in different eve-centred locations, onto 310 the same output neurons. This is because input patterns corresponding to a visual 311 target situated in the same head-centred location tend to occur close together in time 312 due to the statistics of natural eve and head movements, in which the eves tend to 313 saccade about a static visual scene while the head remains stationary. Moreover, the 314 naturally rapid movements of the eves may expose the visual system to many such input 315 pattern sequences, where each such sequence has the visual target situated in the same 316 head-centered location but different randomised subsets of retinal locations. This 317 process will ensure that all possible input patterns corresponding to the same 318 head-centred location but different retinal locations are eventually brought into 319 temporal proximity with each other as training progresses. Hence, all input patterns 320 corresponding to the same head-centred location but different retinal locations would 321 tend to occur clustered together in time. This process continues with the visual target 322 seen in a different position within the head-centred space every time the position of the 323 head is shifted. That is, the natural head movements that occur between sequences of 324 rapid eve movements would shift the location of the visual target to new head-centred 325 locations. In this manner, the learning process is repeated with the visual target 326 presented in many different head-centred locations. New subsets of output cells would 327 learn to respond to the visual target in each different head-centred location due to the 328 competitive interactions between the output cells. Consequently, the output layer would 329 eventually develop neurons that cover the entire space of head-centred target locations. 330

The Architecture of the Self-Organising Neural Network Model 331

The neural network architecture of the model is shown in Fig 1. The network consists of 332 the following two layers of neurons. The first layer is a population of input neurons that 333 simultaneously encode the eye-position of the agent and the retinal location of the 334 visual target. These visual input neurons are modelled as retinotopic neurons with 335 eye-position gain modulation. The eye and retinal position spaces, representing the 336 range of eye-positions in orbit and retinal target locations, covered $[-30^\circ, 30^\circ]$ and 337 $[-90^{\circ}, 90^{\circ}]$, respectively. Feedforward synaptic connections project from neurons in the 338 input layer to neurons in the second layer. 330

Fig 1. Architecture of the neural network model. The competitive output layer on the right receives afferent synaptic connections from neurons in the input layer on the left. A trace learning rule is used to modify the strengths of the feedforward synaptic connections from the input layer to the output layer during learning.

percent of the input population, but each output neuron receives connections from its 343 own randomly assigned subset of the input neurons. Neither the output layer nor the 344 input layer is topographically organised. 345

The strengths of the feedforward synaptic connections from the input layer to the 346 output layer are initialised to random weights in the interval [0, 1] at the start of each 347 simulation. The synaptic weight vector of each output neuron is then renormalised as is typical in competitive networks [18].

The Visually-Guided Training of the Network

The neural network is trained on input patterns that simultaneously encode both the position of the eyes in orbit and the retinotopic position of the visual target. The position of the eves is kept within $[-24^{\circ}, 24^{\circ}]$, whilst the retinal locations of visual targets are kept within the interval $[-63^{\circ}, 63^{\circ}]$. Keeping the position of the eves and the retinal locations of visual targets within these respective intervals reduces edge effects due to clipping of the input representations.

Similarly, all M evenly spaced head-centred locations chosen for each experiment are confined within $[-63^{\circ}, 63^{\circ}]$ to ensure that visual targets always remain in view as the eyes move. Each training epoch consists of M periods, where each period individually corresponds to one of the M chosen head-centred locations. During training, for each period a visual target is fixed in a given head-centred location whilst the eyes perform a series of saccades to P different and uniformly sampled eye-positions within $[-24^{\circ}, 24^{\circ}]$. The saccades between successive eye-positions are performed at a constant velocity of $400^{\circ}/s$. The duration of each fixation is set to 300ms.

Thus, the training process consisted of presenting to the network sequences of combined visual and eye-position input signals, which represent the visual target in fixed head-centred locations, whilst the eves randomly shifted to different positions in the orbit.

Testing the Network

The responses of the output units for all combinations of T head-centred visual target locations and E eye fixation positions are recorded after training to test the model. In 371 order to test the ability of the trained model to generalise to new input patterns, the model is tested with a set of novel combinations of eye-position and visual target location not encountered during training. Specifically, the following E = 4 eye-positions 374 are used during testing: $-18^{\circ}, -6^{\circ}, 6^{\circ}$ and 18° . For each of these eye-positions, the 375 visual target is shifted in increments of 2° every 330ms to the next one of the T = 80376 head-centred target locations within $[-79^{\circ}, 79^{\circ}]$. The firing rates of all output neurons 377 are saved at the end of each fixation period to analyse the receptive field properties of the neurons, including the receptive field size, receptive field location and reference 379 frame of response. 380

The Neuronal and Synaptic Dynamics of the Model

Input Layer

The firing rates of neurons in the input layer were modelled by a response function that 383 encodes the retinotopic location of a visual target, where the responses were modulated 384 by the position of the eyes in the orbit. The response function of each input neuron j385 maps the current retinal location r of the visual target and the eye-position e onto the 386 neuron's instantaneous firing rate v_i^I . The instantaneous firing rate values are defined 387 within the range [0, 1]. We investigated the performance of the model when the response 388

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functions of the input neurons were modulated by two different functional forms of eye positon gain field as described next. 390

The first response function has a peaked eye-position gain field as shown in Fig 2A. This form of eye-position modulation has been reported in cortical area PO by [9]. The full response function is described by

$$v_j^I = \exp\left(-\frac{\|e - \beta_j\|^2}{2\rho^2}\right) \times \exp\left(-\frac{\|r - \alpha_j\|^2}{2\sigma^2}\right) \tag{1}$$

Fig 2. Examples of the two alternative response functions used to compute the firing rates of input neurons. For each type of response function, we plot the responses of an individual input neuron as a function of eye-position and retinal location of a visual target. A: Example of a peaked response function, in which the firing rate is modulated by a peaked eye-position gain field as described by Eq 1. B: Example of a monotonic response function that is modulated by a sigmoidal eye-position gain field described by Eq 2.

This response function is formed from a product of two components: the first 394 component represents the eve-position signal, whilst the second component encodes the 395 retinotopic position r of the visual target. In Eq 1, the neuronal response is modulated 396 by a peaked Gaussian function of eye-position. The parameter β_j denotes the preferred 397 eye-position for each input neuron j. The width of the corresponding Gaussian 398 eye-position tuning curve is determined by the standard deviation ρ . The preferred 300 retinal location of a target stimulus for each input neuron j is denoted by the parameter 400 α_i . The width of the corresponding Gaussian retinal tuning curve is determined by the 401 standard deviation σ . Each input neuron j is set to respond maximally to a unique 402 combination of retinal target location (α_i) and eye-position (β_i) . The entire two 403 dimensional space consisting of possible combinations of eye-position and retinal target 404 location is covered by the population of input neurons in integer steps of 1 degree in 405 each dimension. This results in a total of $201 \times 61 = 12,261$ neurons in the input layer. 406

The second form of firing rate response function used to model the input neurons incorporates a sigmoid eye-position gain field as shown in Fig 2B. This form of eye-position modulation is monotonic in the eye-position dimension, as has been observed in multiple visual areas [7,8]. Although the form of the modulation is sigmoidal whilst most empirical work has described it as planar, [16] showed the data is also compatible with a saturating sigmoidal gain formulation. The full response function is described by

$$v_j^I = \frac{1}{1 + \exp\left(\kappa_j (e - \beta_j)\right)} \times \exp\left(-\frac{\|r - \alpha_j\|^2}{2\sigma^2}\right)$$
(2)

In Eq 2, the visual receptive field is modulated by a sigmoidal function of 414 eye-position. For each input neuron j, the parameter κ_i determines the gain direction 415 and saturation rate of the modulation, where κ_i is $-2\times$ the slope of the sigmoid. The 416 inflection point β_j determines the eye-position where a firing rate response greater than 417 0.5 begins. The input neurons all have the same absolute saturation rate, that is 418 $|\kappa_i| = |\kappa_m|$ for all j and m, but one half has a positive gain direction ($\kappa_i > 0$) whilst 419 the other half has a negative gain direction ($\kappa_i < 0$). Each input neuron j is set to 420 respond maximally to a unique combination of retinal target location α_i , eye-position 421 β_i , and gain direction and saturation rate κ_i . The population of input neurons evenly 422 covers the entire three dimensional space resulting from such combinations. This results 423 in an input population of $201 \times 61 \times 2 = 24,522$ neurons. 424

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Output Layer

Three dynamical quantities were defined for each neuron i in the competitive output layer: an internal activation $h_i(t)$, a memory trace value $q_i(t)$, and an instantaneous firing rate $v_i(t)$ [29].

The internal activation is governed by the equation

$$\tau_h \frac{dh_i}{dt} = -h_i + \sum_j w_{ij} v_j^I \tag{3}$$

where w_{ij} denotes the strength of the synapse from the j^{th} input neuron to the i^{th} output neuron and τ_h is a time constant common for all output neurons.

The instantaneous firing rate is given by

$$v_i^I = \frac{1}{1 + \exp(-2\varphi(h_i - p_\pi - \theta))}$$
(4)

where θ and φ denote the sigmoid threshold and slope, respectively. The level of competition between neurons in the output layer, and thereby the proportion of neurons that remained active, is controlled by the parameter p_{π} . Specifically, the parameter p_{π} is set to the π^{th} percentile point of the distribution of neuronal activations within the output population. For example, p_{π} is set to the top tenth percentile activation value when π is set to 90. This way of implementing competition within the competitive output layer has been previously used in competitive neural network models of the primate visual system with trace learning [30]. In the cortex, lateral inhibition is implemented via inhibitory interneurons [29]. The trace value $q_i(t)$ is defined in the following section.

Modification of Synaptic Weights by Trace Learning

Trace learning rules for synaptic modification encourage postsynaptic (output) neurons to bind together subsets of input patterns that tend to occur close together in time by incorporating a temporal trace of recent neuronal activity. The trace value $q_i(t)$ for the i^{th} neuron in the output layer is given by 445

$$\tau_q \frac{dq_i}{dt} = -q_i + v_i \tag{5}$$

where v_i is the instantaneous firing rate of the neuron, and τ_q is a time constant common for all output neurons.

In the first part of the paper, during training the strength of the synapse from the j^{th} input neuron to the i^{th} output neuron is governed by the standard trace learning rule previously implemented by [1] 450

$$\frac{dw_{ij}}{dt} = \varrho q_i v_j^I \tag{6}$$

where ρ is the learning rate, v_j^I is the instantaneous firing rate of the j^{th} input neuron and q_i is the trace value of the i^{th} output neuron. However, later in this paper we will introduce a number of new, more powerful forms of trace learning, which are in fact needed to produce head-centred output neurons when the input neurons are modulated by a sigmoidal (monotonic) function of eye-position.

Finally, after each weight update during training, the length of the weight vector for each output neuron *i*, that is $\mathbf{w}_i = (w_{i1}, \dots, w_{iN_I})$ where there are N_I input neurons, is renormalised by setting

$$\mathbf{w}_i := \frac{\mathbf{w}_i}{\|\mathbf{w}_i\|} \tag{7}$$

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This prevents unbounded growth of the synaptic weights during training [29]. Experimental evidence for renormalisation of synaptic weights in the brain has been reported by [31].

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Simulation of the Differential Model

The Forward-Euler scheme is used to numerically integrate the coupled differential equations given by Eq 3 and Eq 5-6. The numerical time step Δt is set to one tenth of the neuronal time constant τ_h . We checked that the simulation results remained similar if the time step was reduced or the number of training epochs increased.

During training and testing, the input patterns encoding the changing eye-position and retinotopic target location are simulated dynamically and sampled at 1kHz. Linear interpolation is used to compute the numerical inputs to the discretised Forward Euler model equations, which require input values at every numerical time step $\Delta t = \tau_h/10$.

Analysis of Network Performance

In order to analyse whether individual output neurons are predominantly responding in an eye-centred or head-centred frame of reference, we used a method of analysis originally developed by [1]. This analysis is described next with the mathematical details taken from that earlier paper.

Let **R** be a matrix containing the responses of a given neuron during testing, where $\mathbf{R}[i, j]$ denotes the firing rate when the model is fixating in the i^{th} eye-position e_i and the visual target is in the j^{th} head-centred location t_j , as recorded during the testing protocol described above. The vector $(\mathbf{R}[i, 1], \ldots, \mathbf{R}[i, T])$ is referred to as the response vector at the i^{th} eye-position. The number of eye-positions during testing is denoted by E, while the number of head-centred locations for visual targets during testing is denoted by T. The indexing of eye-positions and head-centred target locations are ordered from left (negative) to right (positive), that is $e_1 \leq \ldots \leq e_E$ and $t_1 \leq \ldots \leq t_T$.

To determine which reference frame an output neuron is responding in during testing, two separate metrics are applied that reflected to what degree the neuronal response is compatible with either an eye-centred or head-centred reference frame, and then the values of these two metrics are compared.

The head-centredness metric computed the degree to which the head-centred response vectors of a neuron remained stable across different eye-positions. The head-centredness metric measured the degree of such stability for a given output neuron by averaging correlations between response vectors for different eye-positions, that is

$$\Pi = \frac{1}{\binom{E}{2}} \sum_{1 \le i_1 < i_2 \le E} \frac{\sum_{j=1}^{T} \left(\mathbf{R}[i_1, j] - \overline{\mathbf{R}}[i_1] \right) \left(\mathbf{R}[i_2, j] - \overline{\mathbf{R}}[i_2] \right)}{\sqrt{\sum_{j=1}^{T} \left(\mathbf{R}[i_1, j] - \overline{\mathbf{R}}[i_1] \right)^2 \sum_{j=1}^{T} \left(\mathbf{R}[i_2, j] - \overline{\mathbf{R}}[i_2] \right)^2}}$$
(8)

where

$$\overline{\mathbf{R}}[i] = \frac{1}{T} \sum_{j=1}^{T} \mathbf{R}[i, j]$$
(9)

This yielded a metric which is referred to as the *head-centredness* of the output neuron, and it is bounded between -1 and 1, where a perfect correlation of 1 indicated a perfectly head-centred response.

A very similar analysis is done to quantify the compatibility of the responses of the output neuron with an eye-centred frame of reference. That is, a visual neuron is judged 499

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> to respond in an eye-centred frame of reference to the extent that its eye-centred 500 response vectors remain stable across different eve-positions. The eve-centred analysis 501 proceeded as follows. To reiterate, each response vector $(\mathbf{R}[i, 1], \ldots, \mathbf{R}[i, T])$ is the 502 result of testing over the same set of head-centred locations, but with the model fixated 503 in a distinct eye-position. Therefore, each response vector also corresponded to a unique 504 range of retinal locations. The *intersection* of these retinal ranges corresponded to 505 different portions of each response vector, and it is these portions that are subject to 506 correlation analysis. Specifically, f_i denotes the first vector position in the ith response 507 vector to be included, and the V-1 next positions are included as well such that the 508 subvector $(\mathbf{R}[i, f_i], \dots, \mathbf{R}[i, f_i + (V-1)])$ is the vector being used for the correlation 509 analysis. The derivation of f_i and V are found in the appendix Appendix A. This gave 510 the metric 511

$$\Omega = \frac{1}{\binom{E}{2}} \sum_{1 \le i_1 < i_2 \le E} \frac{\sum_{j=0}^{V-1} \left(\mathbf{R}[i_1, f_{i_1} + j] - \overline{\mathbf{R}}[i_1] \right) \left(\mathbf{R}[i_2, f_{i_2} + j] - \overline{\mathbf{R}}[i_2] \right)}{\sqrt{\sum_{j=0}^{V-1} \left(\mathbf{R}[i_1, f_{i_1} + j] - \overline{\mathbf{R}}[i_1] \right)^2 \sum_{j=0}^{V-1} \left(\mathbf{R}[i_2, f_{i_2} + j] - \overline{\mathbf{R}}[i_2] \right)^2}}$$
(10)

where

$$\overline{\mathbf{R}}[i] = \frac{1}{V} \sum_{j=0}^{V-1} \mathbf{R}[i, f_i + j]$$
(11)

This is referred to as the *eye-centredness* of the output neuron, and it is bounded between -1 and 1, where a perfect correlation of 1 indicated a perfectly eye-centred response. Response vectors which had no response for the extracted ranges are excluded from the correlation, and a neuron without a response within this range of retinal locations at any eye-position is excluded from further analysis.

A neuron is finally classified as head-centred if $\Pi > 0$ and $\Pi > \Omega$, and classified as eye-centred if $\Omega > 0$ and $\Omega > \Pi$. If neither of these conditions is met then the neuron remains unclassified.

Results

Self-organisation with peaked and monotonic gain fields

This experiment explores the feasibility of the self-organisation of head-centred receptive 523 fields under the two different forms of eye-position gain modulation. Two models are 524 trained and tested on the same stimuli, where one model has peaked eye-position 525 modulation in the input population as shown in Fig $2\mathbf{A}$, and the other model has 526 sigmoidal modulation as shown in Fig $2\mathbf{B}$. The training lasts for 20 epochs. During each 527 training epoch, a visual target is presented for approximately 5s in each of the eight 528 head-centred training locations: $-63^{\circ}, -45^{\circ}, -27^{\circ}, -9^{\circ}, 9^{\circ}, 27^{\circ}, 45^{\circ}$ and 63° . For each 529 period where the visual target is in a fixed head-centred target location, the eye-position 530 is varied continuously through time as the model makes a series of saccades and 531 fixations. During each such period, the model performs 14 saccades interleaved with 15 532 fixations, where each fixation lasts 300ms. Each saccade is at a constant velocity of 533 $400^{\circ}/s$, and it is directed to a random eye-position within the range $[-24^{\circ}, 24^{\circ}]$. Each 534 training epoch thus lasts for approximately 40s, and the entire training of the network 535 is completed after about 800s of simulated time. The model is tested as previously 536 described. The parameters for the two model simulations are given in Table 1. 537

Fig 3 compares the firing rate responses of the output neurons before and after training in the two models with either peaked or sigmoidal gain modulation of the

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Parameter	\mathbf{Symbol}	Value (peaked)	Value (sigmoid)
Number of target locations	M	8	8
Fixation sequence length	P	15	15
Number of training epochs	-	20	20
Width of peaked eye-position tuning curve	ho	6°	-
Width of retinal tuning curve	σ	6°	6°
Gain magnitude	$ \kappa $	-	0.0625
Output neuron population size	N	900	900
Input neuron population size	N_I	12261	24522
Trace time constant	$ au_q$	$400 \mathrm{ms}$	$400 \mathrm{ms}$
Activation time constant	$ au_h$	$100 \mathrm{ms}$	$100 \mathrm{ms}$
Activation function slope	φ	4.5	4.5
Activation function threshold	θ	0.4	0
Sparseness percentile	π	80%	90%
Learning rate	ϱ	0.05	0.05
Synaptic connectivity	ϕ	5%	5%

Table 1. Simulation parameter of self-organising models with either peaked or sigmoidal eye-position gain modulation.

visual input neurons. The responses of an output neuron from the model with peaked eye-position gain modulation before training (Fig 3A) exhibits no consistent structure in head-centred space across the different eye-positions. However, after training (Fig 3B) there is a clear maximal response to the same head-centred location across all eye-positions. Therefore, the self-organisation process has made the response reference frame of this output neuron strongly head-centred. The responses of an output neuron from the model with sigmoidal eye-position gain modulation before training (Fig 3C) also has an erratic and more eye-centred response prior to training due to the randomly assigned synaptic weights. However, unlike the peaked gain modulation model, training has the effect of making the neuron almost perfectly eye-centred. This is clearly seen by the receptive fields shifting in head-centred space in register with the eve-position shifts. Therefore, the self-organisation process has made this output neuron even more compatible with an eye-centred reference frame. The miniature scatter plots show the reference frame values of all neurons in the output layer, where each neuron is plotted as a point corresponding to that neuron's particular combination of head-centredness and eye-centredness. The miniature scatter plots confirm the same general effects across the entire populations of output neurons. That is, subplot (B) shows that a large proportion of the output neurons in the model with peaked gain modulation have a high head-centredness and low eye-centredness, and thus respond in a head-centred reference frame. While subplot (D) shows that a large proportion of the output neurons in the model with sigmoidal gain modulation have a low head-centredness and high eye-centredness, and thus respond in an eye-centred reference frame.

Fig 4 shows the synaptic weight vectors of the same output neurons as those shown in Fig 3. Before training, there is no structure to the potentiated synapses of the output neurons in terms of the preferences of the presynaptic input neurons (Fig 4**A** and Fig 4**C**), reflecting the random weighting assigned to an untrained network. After training, the synaptic weight vector of the output neuron from the model with peaked eye-position gain modulation shows a clear diagonal structure (Fig 4**B**). This synaptic weight profile is consistent with a learned response to a particular location within the head-centred frame of reference, and is thus consistent with the observed head-centred responses of this neuron during testing (Fig 3**B**). The synaptic weight vector of the

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Fig 3. Comparison of model performance with peaked and sigmoidal

(monotonic) gain modulation of visual input neurons. The figure shows the firing rate responses of output neurons before and after training with the standard trace rule (6). Specifically, each subplot shows the firing rate responses of a typical output neuron during testing for four different eye-positions: $-18^{\circ}, -6^{\circ}, 6^{\circ}$ and 18° . The top row shows output neuron #95 from the model with peaked eye-position gain modulation before training (\mathbf{A}) and after training (\mathbf{B}) . The bottom row shows output neuron #838 from the model with sigmoidal eve-position modulation before training (\mathbf{C}) and after training (D). In each subplot, each curve corresponds to a fixed eye-position while a visual target is presented across the same range of head-centred locations. It is evident in subplot (B) that after training the model with peaked gain modulation of the input neurons, the output neuron responds reasonably consistently when the visual target is presented within the localised interval of head-centred space $[0^{\circ}, 16^{\circ}]$ regardless of the eve-position. The neuron is thus responding in a head-centred reference frame. However, in contrast, subplot (**D**) shows that after training the model with sigmoidal (monotonic) gain modulation, the responses of the output neuron in the head-centred visual space are much more dependent on the eye-position. Thus, this neuron is not representing the target position in a head-centred reference frame. The miniature scatter plots show the reference frame values of all neurons in the output layer, where each neuron is plotted as a point corresponding to that neuron's particular combination of head-centredness (ordinate) and eye-centredness (abscissa). The neuron whose firing rate responses have been plotted is shown in the scatter plot by a red mark. The miniature scatter plots confirm the same general effects across the entire populations of output neurons. That is, subplot (B) shows that a large proportion of the output neurons are clustered in the top left quadrant of the scatter plot, indicating a high head-centredness (ordinate) and low eye-centredness (abscissa). These output neurons are thus responding in a head-centred frame of reference. While subplot (**D**) shows that a large proportion of the output neurons are clustered in the bottom right quadrant of the scatter plot, indicating a low head-centredness (ordinate) and high eve-centredness (abscissa). Thus, with monotonic gain fields acting on the input neurons, the population of output neurons have overwhelmingly learned to respond in an eye-centred reference frame.

neuron from the model with sigmoidal (monotonic) gain modulation exhibites an entirely different pattern of potentiation after training. In this case, the strenghtened synapses have an approximately horizontal structure that is concentrated on input neurons corresponding to a small portion of retinal preference space (Fig 4**D**). As a result, this output neuron has learned an eye-centred response (Fig 3**D**).

Fig 5 shows the reference frame values for all output neurons from both models with 576 either peaked or sigmoidal gain modulation tested before and after training. It is clear 577 that, for the model with peaked eye-position modulation, training has the effect of 578 making the majority of output neurons head-centred, and also with a much larger 579 head-centredness value. Specifically, before training 26% of output neurons are 580 head-centred, and after training 69% are head-centred. Moreover, among the 581 head-centred neurons, the average head-centredness rose from 0.17 before training to 582 0.63 after training. For the model with sigmoidal eye-position modulation, training has 583 the effect of keeping the majority of output neurons eye-centred, and indeed increasing 584 their average eye-centredness from 0.88 to 0.96.

In summary, when the visual input neurons have peaked eye positon gain modulation, training the network has the effect of developing head-centred output neurons. However, when the input neurons have sigmoidal (monotonic) gain modulation, the training process makes most output neurons almost perfectly eye-centred.

Since a large proportion of visual neurons in the dorsal visual pathway have

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Fig 4. Strengths of the afferent synapses from the input population to a typical output neuron during testing. Results are shown for the model with peaked eye-position gain modulation before training (\mathbf{A}) and after training (\mathbf{B}) , and for the model with sigmoidal eye-position modulation before training (C) and after training (D). The output neurons correspond to those plotted in Fig 3. In each plot, the afferent synapses have been arranged topographically by the preference of the input neuron for retinal location α_i and eye-position β_i . For the model with sigmoidal gain modulation, there are two input neurons for every combination of retinal preference and eye-position preference, but with opposite eye-position gain. Consequently, the input population has been separated by gain direction. The portion of each plot to the left of the white dashed line corresponds to input neurons with positive gain $\kappa_i > 0$, while the portion of each plot to the right of the white dashed line corresponds to those input neurons with negative gain $\kappa_i < 0$. It can be seen from subplot (B) that the output neuron in the trained network with peaked gain modulation has developed a diagonal weight structure, which is consistent with a learned response to a particular location within the head-centred frame of reference. In contrast, subplot (D) shows that the output neuron in the trained network with sigmoidal (monotonic) gain modulation has developed a more horizontal weight structure, which is consistent with a learned response to a specific location within the eye-centred reference frame.

Fig 5. Scatter plot of eye-centredness and head-centredness values of output neurons from simulations with peaked and monotonic gain modulation. The scatter plot shows the eye-centredness and head-centredness values of all output neurons from four separate simulations corresponding to the models with peaked and sigmoidal (monotonic) gain modulation tested before and after training with the standard trace rule (Eq 6). Each point in the scatter plot corresponds to an output neuron from the given simulation, plotted in terms of its eye-centredness (abscissa) and head-centredness (ordinate). The dashed diagonal line with positive unity slope separates those neurons which are classified as head-centred (above line) from those that are classified as eye-centred (below the line). It is evident that after training most of the output neurons from the network with peaked gain modulation have become head-centred, while nearly all of the output neurons from the network with monotonic gain modulation have remained eye-centred.

responses that are modulated by a monotonic function of eye-position, it is important to understand why monotonic gain fields make it more difficult for trace learning to produce head-centred output neurons. In the next section, we investigate this problem by carrying out a covariance analysis on the input patterns themselves.

Covariance Analysis of the Effects of Gain Modulation

The preceeding model simulations failed to develop head-centred output representations during self-organisation when the input population had sigmoidal eye-position modulation, despite succeeding with peaked eye-position modulation. This raises the question of what the difference is between the two different forms of input encoding from the perspective of competitive learning [18]. It is well known that standard competitive networks develop weight vectors that reflect the covariance between the activities of input neurons. In particular, there is a tendency for output neurons to learn to respond to subsets of input neurons whose activities are highly correlated. Hence inspecting the covariance between input neurons across all input patterns may reveal what structure the weight vectors should converge towards under standard competitive learning conditions with a Hebbian learning rule.

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> The covariance between input neurons may be computed in the same way for both input neurons with peaked gain described by Eq 1 and input neurons with sigmoidal gain described by Eq 2. In the case of an input neuron with retinal preference α and eye-position preference β , the covariance between it and a second input neuron with corresponding preferences α^*, β^* is given by

$$\operatorname{cov}_{\alpha,\beta}(\alpha^*,\beta^*) = \iint_{R\times E} \left(R_{\alpha,\beta}(r,e) - \overline{R}_{\alpha,\beta} \right) \left(R_{\alpha^*,\beta^*}(r,e) - \overline{R}_{\alpha^*,\beta^*} \right) drde$$
(12)

 $R_{\alpha,\beta}(r,e)$ is the response of a neuron with preferences α,β to a visual target at retinal location r and eye-position e, as given by either Eq 1 or Eq 2. The term $\overline{R}_{\alpha,\beta}$ is the average response of the same neuron across all possible inputs in the $R \times E$ space, that is

$$\overline{R}_{\alpha,\beta} = \frac{1}{|R \times E|} \iint_{R \times E} R_{\alpha,\beta}(r,e) dr de$$
(13)

Fig 6A shows this covariance map for an input neuron with a peaked gain and preferences $\alpha = \beta = 0^{\circ}$, and Fig 6B shows the covariance map for an input neuron with sigmoidal gain and preferences $\alpha = \beta = 0^{\circ}$ and $\kappa > 0$.

Fig 6. Covariance between a given input neuron and the rest of the input neuron population. Each plot shows the covariance between a given input neuron and the rest of the input neuron population in the form of a topographic map analogous to the weight vector maps shown above. Subplots (A) and (B) show results for input neurons with peaked and monotonic gain, respectively. In both cases the input neuron has preferences $\alpha = \beta = 0^{\circ}$, and in the monotonic case the input has positive gain ($\kappa > 0$).

The structure of covariance in the peaked modulation case is functionally identical to the response function of the input neuron, namely a two dimensional Gaussian tuning curve. The form of this covariance function is obvious by considering the correlations between the activities of input neurons with peaked gain.

In the sigmoidal (monotonic) modulation case, the situation is more complicated. The strong covariance is localised within the retinal preference dimension, but elongated within the eye-position dimension. This can again be understood by considering the response functions of the input neurons. Firstly, because all input neurons have a sharp, peaked tuning profile in the retinal preference dimension, any two input neurons need to have similar retinal preferences in order to have the possibility of being coactive. This explains the localisation of strong covariance in the retinal preference dimension. Secondly, the elongated form of the covariance function in the eye-position dimension results directly from the sigmoidal gain as follows. In the subpopulation of input neurons with a positive gain direction, similar to the reference input neuron $(0^\circ, 0^\circ)$ itself, it is clear that other neurons with a similar retinal preference and with an eye-position preference to the right (i.e. larger than 0°) cofire more frequently with the reference neuron. This is because a positive gain implies that an input neuron responds to all eve-positions to the left of (i.e. smaller than) the eye-position preference of the neuron. Conversley, a negative gain implies that an input neuron responds to eye-positions to the right of (i.e. greater than) the eye-position preference of the neuron. Hence, in the subpopulation of input neurons with a negative gain direction, it can be seen that neurons with a similar retinal preference and with an eve-position preference to the left of (i.e. smaller than) 0° cofire more frequently with the reference neuron.

The covariance maps shown in Fig $6\mathbf{A}$ and Fig $6\mathbf{B}$ predict the structure of the weight vectors that we would expect to see develop in a competitive network with a standard ⁶⁴¹

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Hebbian learning rule trained over all input patterns with either peaked or sigmoidal 643 gain, respectively. These predictions are tested by running simulations with a Hebbian 644 learning rule with both peaked and sigmoidal gain modulated input neurons. The 645 Hebbian learning rule is implemented in the model by replacing the trace value q_i in the 646 standard trace learning rule (Eq 6) by the current firing rate v_i of the postsynaptic 647 neuron. For each simulation, there are 200 training patterns corresponding to random 648 locations in the $E \times R$ space. The activation time constant is reduced to $\tau_h = 30ms$ to 649 avoid any trace effect during learning. Fig 7A and Fig 7C show the synaptic weight 650 vectors of two typical output neurons that developed after training with the Hebbian 651 learning rule when the input neurons are modulated by either peaked or monotonic gain, 652 respectively. It is clear that these synaptic weight vectors have a very similar structure 653 to the corresponding covariance maps shown in Fig 6. Thus, with the Hebbian learning 654 rule, the underlying correlations between the activities of the input neurons with either 655 peaked or sigmoidal gain shape the synaptic weight structure that develops during 656 training. Most importantly, with monotonic gain, the synaptic weights are localised 657 within the retinal preference dimension, but elongated within the eve-position preference 658 dimension. This kind of synaptic weight structure leads to eye-centred output responses. 659

Fig 7. Weight vectors of two typical output neurons. The top row shows the weight vectors of two typical output neurons that develop when the input neurons have peaked eye-position gain modulation and the network is trained with either the Hebbian learning rule (A) or the trace learning rule (B). The bottom row shows the weight vectors of two typical output neurons when the input neurons have monotonic eye-position gain and the network is trained with either the Hebbian learning rule (C) or the standard trace learning rule (D).

Next, comparison simulations are run with the trace learning rule. Fig $7\mathbf{B}$ and 660 Fig 7D show the synaptic weight vectors of two typical output neurons that developed 661 after training with the trace learning rule when the input neurons are modulated by 662 either peaked or sigmoidal gain, respectively. Fig 7B shows a diagonal band of 663 potentiated synaptic weights, which correspond to input neurons representing the same 664 head-centred location but with different combinations of retinal location and 665 eye-position. Thus, with peaked gain, the trace learning rule is able to simply bind 666 together clusters of input neurons along a diagonal line in the (retinotopic preference \times 667 eye-position preference) input space corresponding to a particular head-centred location. 668 Output neurons will then respond to particular head-centred locations regardless of 669 eve-position or the retinal location of a visual target. However, the situation is quite 670 different with sigmoidal gain modulated input neurons. Fig 7D shows a similar 671 horizontal weight structure to that obtained with the Hebbian learning rule (Fig. 7C). 672 In particular, in both of these last two cases, the weight vector is very similar to the 673 covariance structure found among the input neurons with sigmoidal eye-position 674 modulation (Fig $6\mathbf{B}$). Thus, with sigmoidal gain, even if a trace learning rule is 675 implemented, the output neurons still learn to represent eve-centred rather than 676 head-centred locations. This is because developing head-centred output responses would 677 require the trace learning rule to do more than simply bind input patterns together. 678 With sigmoidal gain, trace learning must also disrupt and break apart output 679 representations corresponding to clusters of highly correlated input neurons, which are 680 localised in the retinotopic preference dimension but elongated in the eye-position 681 preference dimension. However, in practice the standard trace learning rule given by 682 Eq (6) is not strong enough to achieve this. Consequently, with the standard trace 683 learning rule, these elongated clusters of input neurons with correlated activities 684 continue to drive the development of eye-centred output neurons, as observed in the 685 simulations previously reported in this article (section Self-organisation with peaked and 686 monotonic gain fields).

Introducing Plasticity into a Prewired Model

The failure of self-organisation to produce head-centred output neurons in a model with sigmoidal (monotonic) eye-position gain modulation suggests the following two important questions. First, does there actually exist a synaptic weight connectivity matrix that would support a mapping to head-centred output representations, even if in practice the self-organisation process using the standard trace learning rule (6) originally implemented by [1] fails to converge on this solution? Secondly, if it is possible to prewire a network with such a synaptic weight structure, then would the head-centred output representations be abolished by subsequently introducing synaptic plasticity either in the form of the standard trace learning rule (6) or normal Hebbian learning (where the trace value q_i is replaced by the current firing rate v_i)? If so, then this would demonstrate an even deeper problem: the presence of such forms of synaptic plasticity in a network with sigmoidal gain modulation not only fails to drive the development of head-centred output representations, but would also abolish any existing head-centred representations.

To address the above two questions, we construct a manually prewired model that is designed to produce head-centred output neurons with input neurons that are modulated by sigmoidal functions of eye-position. The prewired model is constructed as follows. There are 24522 neurons in the input population, each corresponding to a unique combination of retinal-position preference (α_i) , eye-position preference (β_i) and slope (κ_i) . There are 900 neurons in the output population, each given a head-centred receptive field at one among nine head-centred locations, which are -68° , -51° , -34° . -17° , 0° , 17° , 34° , 51° and 68° . Each neuron in the output population is postsynaptically connected to a randomly assigned subpopulation of the input population. There are only two synaptic weight values across all synapses, simply referred to as elevated and depressed. The strength of a synapse is elevated if the presynaptic input neuron responded maximally to a combination of eye-position and retinal location corresponding to a head-centred location that is closest to the head-centred location assigned to the output neuron. Otherwise the synapse is depressed. Therefore, the output neuron receives strong driving input to the extent that a visual target is near its assigned head-centred location. Specifically, the weight of a synapse with a postsynaptic neuron assigned to head-centred receptive field location hand a presynaptic neuron having retinal-preference α , eye-position preference β and $\kappa > 0$ is given by

$$w_{h,\alpha,\beta} = \begin{cases} 10 & h-\beta \le \alpha \le h+W/2 & \text{(Elevated)} \\ 1 & \text{else} & \text{(Depressed)} \end{cases}$$
(14)

where $W = 60^{\circ}$ is the size of the eye-position dimension. Likewise when $\kappa < 0$ the weight is given by

$$w_{h,\alpha,\beta} = \begin{cases} 10 & h - W/2 \le \alpha \le h - \beta & \text{(Elevated)} \\ 1 & \text{else} & \text{(Depressed)} \end{cases}$$
(15)

Fig 8 shows the structure of the canonical weight vector produced by Eq 14-15. Before testing the network, the synaptic weight vectors of all output neurons underwent the normalization step described by Eq 7. To provide a baseline for comparison, a network with randomly wired synaptic connections is also tested in the same way. The parameters for both models are given in Table 2.

Fig 9 shows the eye-centredness and head-centredness values of output neurons in the manually prewired model as well as a randomly wired model for comparison. It is

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Fig 8. Synaptic weight structure of a network model that has been manually prewired in order to produce head-centred output neurons with input neurons that are modulated by a sigmoidal function of eye-position. The figure shows the structure of the canonical weight vector resulting from the prewiring Eq 14 and Eq 15. Each of the two rectangles represents the topographic organisation of one half of the input population in terms of retinal-preference (α_i) and eye-position preference (β_j), with the input neurons in the left rectangle having $\kappa > 0$ (positive gain) and the right rectangle having $\kappa < 0$ (negative gain). A neuron in the competitive output population which has been assigned a head-centred receptive field at location h will have elevated connections from input neurons with preferences located in the right-angled triangles of the input space, labeled **A** and **B**.

Parameter	\mathbf{Symbol}	Value
Width of retinal tuning curve	σ	6°
Gain magnitude	$ \kappa $	0.0625
Output neuron population size	N	900
Input neuron population size	N_I	24522
Activation time constant	$ au_h$	$100 \mathrm{ms}$
Activation function slope	φ	4
Activation function threshold	heta	0
Sparseness percentile	π	90%
Synaptic connectivity	ϕ	8.16%

Table 2. Simulation parameters of the network model that has been manually prewired in order to produce head-centred output neurons with monotonic modulated input neurons.

clear that in the manually prewired model, the majority of output neurons are head-centred. In contrast, there are no head-centred neurons in the randomly wired model. In summary, the results from the manually prewired model demonstrate the existence of a synaptic weight matrix which allows the output neurons to perform the desired coordinate transformation to a head-centred reference frame even when the input neurons are modulated by a sigmoidal function of eye-position.

Fig 9. Performance of the prewired network model with monotonic

modulated input neurons. The Figure shows the performance of the network model that has been manually prewired to produce head-centred output neurons with input neurons that are modulated by a sigmoidal function of eye-position. The scatter plot shows the eye-centredness and head-centredness values of all output neurons from the manually prewired model and a randomly wired model. Same conventions as in Fig 5. It can be seen that the majority of the output neurons in the manually prewired model display head-centred responses.

The next question to be explored is, what would be the effect of introducing 737 synaptic plasticity, either in the form of the standard trace learning rule (6) or normal 738 Hebbian learning, into the manually prewired model while it is exposed to the kinds of 739 visual input described above? This experiment would inform whether these forms of 740 plasticity not only failed to drive the development of head-centred output 741 representations during self-organisation, but would even abolish existing head-centred 742 representations. The manually prewired model is therefore subjected to the same visual 743 training stimuli as described above over 10 training epochs. The results presented here 744 are from a simulation using the standard trace learning rule (6). However, although not 745

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shown, further simulations with a Hebbian learning rule without an explicit memory trace gave qualitatively similar results.

The impact of introducing synaptic plasticity into the manually prewired models is inspected by plotting key summary statistics as a function of the number of training reports in Fig 10. There is a catastrophic drop in model performance after only the first peoch of training, where the fraction of head-centred neurons decreased from $\sim 77\%$ to $\sim 0.3\%$, and the average head-centredness among head-centred neurons decreased from ~ 70.7 to ~ 0.26 . Subsequent training epochs remained around these levels. After epoch 5 there is not a single head-centred neuron. 75%

Fig 10. The effects of introducing synaptic plasticity into the network that has been manually prewired to produce head-centred output neurons when the input neurons that are modulated by a sigmoidal (monotonic) function of eye-position. The figure shows population analyses of the response properties of output neurons in the manually prewired model as the synaptic weights are further modified during ten training epochs with the standard trace learning rule (6). Three key summary statistics are given. The head-centredness rate (red) is the fraction of head-centred neurons in the output population. The average head-centredness (green) is the average head-centredness among head-centred neurons, and becomes undefined if no head-centred neurons are found to exist. The average eye-centredness (blue) is the average eve-centredness among all output neurons. The dashed lines show these values for the manually prewired network before training, while the unbroken lines show the values through successive training epochs after synaptic plasticity has been introduced. The error bars are the standard deviations. It can be seen that by the end of the first training epoch the majority of the output neurons switched from being head-centred to eye-centred.

In summary, it is found that just a single training epoch switched most of the output 755 neurons from being head-centred to eve-centred. We hypothesised that this is due to the 756 same visually-guided learning dynamics described above in section Self-organisation 757 with peaked and monotonic gain fields and in section Covariance Analysis of the Effects 758 of Gain Modulation, which come into operation when the retinotopic input neurons 759 have monotonic eye-position gain modulation. Thus, even if the synaptic weights are 760 initially manually prewired to effect head-centred output responses, which might be 761 suggested to happen in the brain through genetic specification, the introduction of just 762 a limited amount of synaptic plasticity, either in the form of the standard trace learning 763 rule (6) or normal Hebbian learning, and visually-guided learning led to the output 764 neurons rapidly switching to eye-centred responses. The presence of even modest levels 765 of such synaptic plasticity will quickly overwrite head-centred representations that have 766 been set up through structured (e.g. genetic) prewiring. Thus, since plasticity is 767 ubiquitous in primate cortex, this suggests that any explanation for the development of 768 head-centred visual responses must utilise a more sophisticated visually-guided learning 769 process than demonstrated by [1], who considered only models with input neurons that 770 were modulated by peaked functions of eye-position. Moreover, the loss of head-centred 771 representations in the manually prewired model by introducing synaptic plasticity also 772 represents a major challenge to the plausibility of previously published models, such as 773 that of [20], which rely on an initial period of supervised learning to establish the 774 required synaptic connectivity. The problem here is that when the supervisory training 775 signal is eventually removed, the continued presence of associative plasticity may 776 degrade and eventually abolish the head-centred output representations. 777

In the remainder of the paper we explore a variety of biologically plausible model variations that are aimed at discovering potential mechanisms by which head-centred output neurons may still develop through visually guided learning even when the

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network contains input neurons with monotonic modulation by eye-position. We begin by exploring the performance of the model when it incorporates a mixture of input neurons that are modulated by peaked and sigmoidal eye-position gain fields. After this, we explore the operation of the model with a number of more sophisticated, modified synaptic learning rules originally developed by [25] in the context of transform invariant visual object recognition, which maintain biological plausibility by continuing to rely on the locally available activities of the pre- and post-synaptic neurons. The choice of the modified versions of the trace learning rule used in the following sections of this paper is motivated by the superior performance of these learning rules reported by [25]. Finally, we conclude with the investigation of the performance of the model incorporating a mixed population of peaked and monotonic modulated visual input neurons with the synaptic weights also adjusted using a new modified version trace learning rule.

Standard Trace Learning Rule with Mixed Peaked and Sigmoidal eye-position Modulation of Input Neurons

In this experiment it is investigated how mixing peaked and sigmoidal gain modulation in the input population in varying proportions would influence the development of head-centred output neurons in the self-organising model with the standard trace learning rule (6). This is an important issue since all cortical areas with eye-position gain modulation exhibit a mixture of different forms of modulation [7–9]. A series of simulations are conducted where each neuron in the input population is independently and randomly set to have either a peaked or sigmoidal gain modulation. Specifically, each input neuron is changed from having peaked to sigmoidal modulation with a probability p, called the sigmoid modulation rate, and values of $p = 0, 0.1, \ldots, 1.0$ are explored.

The impact of varying the sigmoid modulation rate on the characteristics of the 805 model is inspected by plotting key summary statistics as a function of p in Fig 11. As 806 expected, the head-centredness rate decreased as the sigmoid modulation rate increased, 807 both in the trained and untrained models. However, as long as the sigmoid modulation 808 rate is less than 30%, the trained model had a higher proportion of head-centred output 809 neurons than the untrained model. In particular, for sigmoid modulation rates less than 810 20%, the fraction of head-centred neurons in the trained model did not drop below 811 $\sim 15\%$, and the average head-centredness among head-centred neurons remained no less 812 than ~ 0.58 . 813

Fig 11. The effects of incorporating a mixed population of input neurons with both peaked and monotonic eye-position gain modulation. The plots show how the performance metrics vary with the monotonic modulation rate, p, which is the probability of each input neuron having a monotonic eye-position gain modulation. Results are presented showing the response characteristics of the output neurons before training (A) and after training (B). Conventions are similar to Fig 10. It is evident that the head-centredness rate decreased as the sigmoid modulation rate increased, both in the trained and untrained models. However, as long as the sigmoid modulation rate is less than 30%, the trained model had a higher proportion of head-centred output neurons than the untrained model.

In summary, these results showed that when there is a large proportion of input neurons with peaked eye-position gain modulation, say with $0 \le p \le 0.2$, then the self-organising model is still capable of developing a significant proportion, i.e. no less than ~15%, of head-centred ouput neurons during training. However, as the sigmoid modulation rate increased, the performance of the model deteriorated with far fewer head-centred output neurons present in the trained model.

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Given that the model incorporating a mixed population of input neurons failed to 820 develop a significant proportion of head-centred output cells whenever p > 0.2, we next 821 investigated whether the introduction of more powerful, modified synaptic learning rules 822 could produce head-centred output neurons when the entire population of input neurons 823 were again modulated by a sigmoidal function of eye-position. Our choice of the 824 modified versions of the trace learning rule adopted in this paper was motivated by 825 their superior performance reported in previous work [25]. These modified versions of 826 the standard trace learning rule are still biologically plausible in terms of only using 827 locally available signals to update the synaptic weights of connections. Moreover, we 828 expected that the introduction of a delayed trace of synaptic activity and as well as an 829 anti-Hebbian component incorporated in these modified versions of the trace learning 830 rule would provide the model with a way of weakening the observed Hebbian-like 831 training behaviour evidenced, for example, by the comparison of Fig 7D and Fig 7C, 832 and therefore to facilitate the self-organisation of head-centred responses with visual 833 input neurons with monotonic eye-position gain modulation. The absence of these 834 components makes other classic Hebbian-based learning rules (e.g. Oja's rule [32]) 835 ineffective in this case. 836

Modified Learning Rule: Delayed Postsynaptic Trace with Anti-Hebbian Learning

[25] investigated how a set of modified more powerful versions of the trace learning rule can produce improved temporal binding and invariance learning. In particular, the authors showed that the performance of the trace learning rule is substantially improved by incorporating a trace of previous neuronal activity with an explicit time delay. This had the effect of removing the purely Hebbian term of the learning rule [25]. In the next simulations, the learning rules proposed by [25] were adapted to differential formulations for the time-continuous scenario in which the simulations are performed. A Forward Euler scheme was used to numerically integrate the differential equations. In all simulations the numerical time step was kept as one tenth of the neuronal time constant τ_h . Unless explicitly mentioned, the learning rule was the only change from previous simulations.

This section presents simulation results showing the performance of a modified learning rule incorporating a delayed postsynaptic trace with anti-Hebbian learning [33]. We investigate the impact of this learning rule on the self-organisation of the synaptic weights and firing rate responses of the output neurons when all input neurons were modulated by sigmoidal eye-position gain fields. The learning rule was defined by

$$\frac{dw_{ij}(t)}{dt} = \alpha \left(\beta q_i(t - \Delta T) - y_i(t)\right) v_j(t) \tag{16}$$

where y_i and v_j were, respectively, the post and presynaptic firing rate values, α was the learning rate, β was an unconstrained tuning parameter, and q_i was the trace value of the output neuron *i* calculated according to Eq 5 at time $(t - \Delta T)ms$. Eq 16 resembles a form of error-correction learning where the delayed-trace term $\beta q_i(t - \Delta T)$ is the target for the current postsynaptic firing rate. The learning rule is biologically plausible in that it utilises only the local activities of the pre- and post-synaptic neurons.

Expanding Eq 16 will result in

$$\frac{dw_{ij}(t)}{dt} = \alpha \left(\beta q_i(t - \Delta T) - y_i(t)\right) v_j(t) \tag{17}$$

where $\alpha\beta q_i(t - \Delta T)v_j(t)$ is the *delayed-trace term* of the learning rule. This is the term which contains the tuning parameter β . The remaining term $-\alpha y_i(t)v_j(t)$ is minus the

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learning rate α times the product of the post and presynaptic firing rates y_i and v_j , respectively. This term is referred to as the *anti-Hebbian term* of the learning rule.

The behaviour of the learning rule shown in Eq 16 is governed by scaling the parameter β . Scaling β up could result in the delayed-trace component dominating the learning rule and, therefore, resulting in the same trace-like training behaviour described in previous sections. Similarly, scaling β down could make the anti-Hebbian term dominate the behaviour of the learning rule and consequently lead to a qualitative change in the final outcome of the training.

The parameters for the model are given in Table 1. The model was trained according to the description given in section The Visually-Guided Training of the Network. Likewise, in all cases the model was tested on the same visual stimuli. The time delay ΔT used to compute the trace value of each output neuron and the parameter β were both tuned to optimise the performance of the model at developing head-centred output neurons. The time delay ΔT used to compute the trace value of each output neuron *i* was 50*ms*. The parameter β was set to 2.2.

Fig 12 shows the firing rate responses of output neuron #168 before and after 879 training, with results shown for four different eye-positions. The miniature scatter plots 880 presented within each of the two subplots **A** and **B** show the reference frame values of 881 all neurons in the output layer. Neuron #168 is indicated in the scatter plots by a red 882 mark. Fig 12A shows that prior to training the response of output neuron #168 had no 883 consistent structure in head-centred space across different eye-positions. However, 884 Fig 12 \mathbf{B} shows that after training the output neuron responded maximally to the same 885 head-centred location across all four eye-positions. This neuron has thus learned to 886 respond in a head-centred reference frame. 887

Fig 12. Simulation results showing the firing rate responses of a model incorporating a population of monotonic modulated input neurons trained with the modified learning rule 16: Delayed Postsynaptic Trace with anti-Hebbian Learning. The figure shows the firing rate responses of output neuron #168 before training (A) and after training (B) during testing for four different eye-positions: -18° , -6° , 6° and 18° . In each subplot, each curve corresponds to a fixed eye-position while a visual target is presented across the same range of head-centred locations. The miniature scatter plot shows the reference frame values of all neurons in the output layer, where each neuron is plotted as a point corresponding to that neuron's particular combination of head-centredness (ordinate) and eye-centredness (abscissa). The neuron whose firing rate responses have been plotted is shown in the scatter plot by a red mark. After training it is evident that this neuron responds reasonably invariantly to a visual target presented at the same head-centred location regardless of the eye-position.

The change in the synaptic weight structure of the same output neuron #168 due to training is shown in Fig 13. Before training started the afferent synaptic weights were randomly assigned (Fig 13A). Fig 13B shows that after training, in contrast to the horizontal structure previously obtained with the standard trace learning rule shown in Fig 7D, the structure obtained with the modified learning rule 16 is similar to the predicted structure shown in Fig 8 for a head-centred neuron.

Fig 14 presents a population analysis of the reference frame response characteristics of the output neurons before and after training. In particular, the scatter plot in Fig 14 shows that before training nearly all of the output neurons had head-centredness values close to 0 and were classified as eye-centred. However, after training the head-centredness values of many output neurons had dramatically increased, with quite a number of these neurons now classed as head-centred. Comparing the output population analysis of the model trained with the modified learning rule 16 shown in

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Fig 13. Simulation results showing the strengths of the afferent synapses of a model incorporating a population of sigmoidal modulated input neurons trained with the modified learning rule 16: Delayed Postsynaptic Trace with anti-Hebbian Learning. The figure shows the strengths of the afferent synapses from the input population to output neuron #168 for the untrained (A) and trained (B) model. The output neuron corresponds to the one plotted in Fig 12. In each plot, the afferent synapses have been arranged topographically by the preference of the input neuron for retinal location α_i and eye-position β_j . The portion of each plot to the left of the white dashed line corresponds to input neurons with positive gain $\kappa_j > 0$, whilst the portion of each plot to the right of the white dashed line corresponds to those input neurons with negative gain $\kappa_j < 0$. The synaptic weights for this output neuron after training (B) have approximately the correct structure for a head-centred neuron as shown in Fig 8.

Fig 14 with the performance of the model trained with the standard trace learning rule (6) shown in Fig 5 confirms that the new modified learning rule 16 is far more efficacious at driving the development of head-centred output neurons when the input neurons are modulated by a sigmoidal (monotonic) function of eye-position than the standard trace learning rule (6) originally investigated by [1].

Fig 14. Simulation results showing the output reference frame response characteristics of a model incorporating a population of sigmoidal modulated input neurons trained with the modified learning rule 16: Delayed Postsynaptic Trace with anti-Hebbian Learning. The scatter plot shows the reference frame response characteristics of all output neurons before and after training. Each neuron is represented as a point corresponding to its combination of eye-centredness (abscissa) and head-centredness (ordinate) values. Data points for the untrained model are plotted in blue and data points for the trained model are shown in red. The dashed diagonal line with positive unity slope separates those neurons which are classified as head-centred (above the line) from those that are classified as eye-centred (below the line). It can be seen that many of the output neurons have developed head-centred output responses after training.

In summary, these results showed that training the model with the modified learning rule 16 refined the response characteristics of many output neurons to be more compatible with a head-centred frame of reference, even when all of the input neurons had monotonic eye-position gain modulation.

Modified Learning Rule: Delayed Postsynaptic Firing Rate with Anti-Hebbian Learning

This section presents simulation results showing the performance of a learning rule which incorporates a delayed postsynaptic firing rate with anti-Hebbian learning [25]. The same training procedure of previous simulations was used in the simulations presented in this section. The learning rule is defined by

$$\frac{dw_{ij}(t)}{dt} = \alpha \left(\beta y_i(t - \Delta T) - y_i(t)\right) v_j(t) \tag{18}$$

where y_i was the firing rate of output neuron *i*. In this case no postsynaptic trace value q_i is used to update the synaptic weights. The tuning parameter β works as described in section Modified Learning Rule: Delayed Postsynaptic Trace with Anti-Hebbian Learning for the anti-Hebbian learning rule with delayed trace (Eq 16). The time delay $q_i = \frac{1}{2} \sum_{j=1}^{2} \frac{1}{j}$

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 ΔT in the firing rate of each output neuron and the parameter β were both tuned to optimise the performance of the model in driving the development of head-centred output neurons. The value of the time delay ΔT was set to 500ms and β was set to 2.4. Simulation parameters for the model are shown in Table 1.

Fig 15 shows the firing rate responses of output neuron #876 before training924(Fig 15A) and after training (Fig 15B). Fig 15B shows that after training output925neuron #876 responded to the same head-centred location across different eye-positions.926This was not the case for the same output neuron before training (Fig 15A). Thus, it is927evident that during training the neuron has learned to respond in a head-centred928reference frame.929

Fig 15. Simulation results showing the firing rate responses of a model incorporating a population of monotonic modulated input neurons trained with the modified learning rule 18: Delayed Postsynaptic Firing Rate with anti-Hebbian Learning. The figure shows the firing rate responses of output neuron #876 before training (A) and after training (B) during testing for four different eye-positions: $-18^{\circ}, -6^{\circ}, 6^{\circ}$ and 18° . Conventions as for Fig 12. The comparison of subplot (A) and subplot (B) shows that the output neuron learned to respond to a specific head-centred location regardless of the eye-position after training.

Fig 16 shows how the synaptic weight structure of the same output neuron #876930changed due to training the model with learning rule 18. The final weight structure931after training shown in Fig 16B resembles the predicted weight structure shown in Fig 8932for head-centred neurons.933

Fig 16. Simulation results showing the strengths of the afferent synapses of a model incorporating a population of sigmoidal modulated input neurons trained with the modified learning rule 18: Delayed Postsynaptic Firing **Rate with anti-Hebbian Learning.** The figure shows the strengths of the afferent synapses from the input population to output neuron #876 for the untrained (A) and trained (B) model. The output neuron corresponds to the one plotted in Fig 15. Conventions as for Fig 13. The synaptic weight structure for this output neuron after training shown in plot (B) has approximately the correct profile for a head-centred neuron (Fig 8).

Fig 17 shows the eye-centredness and head-centredness values of output neurons in 934 the untrained and in the trained model. It is clear that almost none of the output 935 neurons in the untrained model had values of head-centredness higher than 936 eye-centredness. However, after training the head-centredness values of many output 937 neurons increased substantially, with a number of such neurons now having greater 938 head-centredness than eye-centredness values. Such neurons are, therefore, classified as 939 head-centred neurons (section Analysis of Network Performance). Comparing the 940 output population analysis of the model trained with the modified learning rule 18 941 shown in Fig 17 with the analysis of the model trained with the standard trace learning 942 rule (6) shown in Fig 5 demonstrates that the new modified learning rule 18 is also 943 more efficacious at producing head-centred output neurons when the input neurons are 944 modulated by a sigmoidal function of eye-position than the standard trace learning 945 rule (6) previously implemented by [1]. 946

In summary, these results showed that training the model with the modified learning rule 18 resulted in the development of head-centred output neurons, even when the whole input population had sigmoidal eye-position gain modulation. However, the comparison of Fig 17 and Fig 14 shows that learning rule 16, which incorporated a delayed postsynaptic trace $q_i(t - \Delta T)$, is in fact more efficacious at driving the

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Fig 17. Simulation results showing the output reference frame response characteristics of a model incorporating a population of sigmoidal modulated input neurons trained with the modified learning rule 18: Delayed Postsynaptic Firing Rate with anti-Hebbian Learning. The scatter plot shows the reference frame response characteristics of all output neurons before and after training. Conventions as for Fig 14. It is evident that training had the effect of increasing the head-centredness values of most output neurons. Indeed many more head-centred output neurons are present in the trained model than in the untrained model.

development of head-centred output neurons than learning rule 18, which incorporated the delayed firing rate $y_i(t - \Delta T)$. Thus, the incorporation of the trace value $q_i(t - \Delta T)$ enhances the ability of the learning rule to perform temporal binding of input patterns corresponding to the same head-centred location.

Modified Learning Rule: Current Postsynaptic Trace with Anti-Hebbian Learning

This section presents simulation results showing the performance of a modified learning rule which incorporated the current postsynaptic trace with anti-Hebbian learning. Thus, this learning rule does not use an explicit time delay ΔT . The learning rule was given by 959 960 961

$$\frac{dw_{ij}(t)}{dt} = \alpha \left(\beta q_i(t) - y_i(t)\right) v_j(t) \tag{19}$$

where α was the learning rate, β was the tuning parameter, q_i was the trace value of the output neuron *i*, and y_i and v_j were the post- and pre-synaptic firing rate values, respectively. What distinguishes the learning rule in Eq 19 from the learning rule in Eq 16 is the use of the trace value calculated at the same time *t* when the synaptic weight is updated.

Table 1 gives the parameters for the model. The value of the tuning parameter β was set to 2.2 for optimal performance.

Fig 18 shows the firing rate responses of output neuron #328 for the untrained and trained models. Specifically, Fig 18A shows that prior to training the response of output neuron #328 had no consistent structure in head-centred space across different eye-positions. However, Fig 18B shows that after training the same output neuron reponded maximally to the same head-centred location across all four eye-positions. Thus, after training, neuron #328 responded in a head-centred frame of reference.

Fig 18. Simulation results showing the firing rate responses of a model incorporating a population of monotonic modulated input neurons trained with the modified learning rule 19: Current Postsynaptic Trace with anti-Hebbian Learning. The figure shows the firing rate responses of output neuron #328 before training (A) and after training (B) during testing for four different eye-positions: $-18^{\circ}, -6^{\circ}, 6^{\circ}$ and 18° . Conventions as for Fig 12. The comparison of subplot (A) and subplot (B) shows that the output neuron learned to respond to a specific head-centred location regardless of the eye-position after training.

The change in the synaptic weight structure of the same output neuron #328 due to training is shown in Fig 19. Before training the afferent synaptic weights were randomly assigned (Fig 19A). After training, however, the synaptic weight structure of the same 977

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output neuron (Fig 19B) is similar to the predicted weight structure for head-centred neurons shown in Fig 8.

Fig 19. Simulation results showing the strengths of the afferent synapses of a model incorporating a population of sigmoidal modulated input neurons trained with the modified learning rule 19: Current Postsynaptic Trace with anti-Hebbian Learning. The figure shows the strengths of the afferent synapses from the input population to output neuron #328 for the untrained (A) and trained (B) model. The output neuron corresponds to the one plotted in Fig 18. Conventions as for Fig 13. The synaptic weight structure for this output neuron after training shown in plot (B) has the correct kind of profile for a head-centred neuron (Fig 8).

Fig 20 shows the eye-centredness and head-centredness values of output neurons in 980 the untrained and in the trained model. In particular, Fig 20 shows that training had 981 the effect of increasing the head-centredness value for a large proportion of output 982 neurons. Furthermore, while almost no output neurons were classified as head-centred 983 before training, a significant number of output neurons were classified as head-centred 984 after training. A comparison of the output population analysis of the model trained 985 with the modified learning rule 19 shown in Fig 20 with the performance of the model 986 trained with the standard trace learning rule (6) presented in Fig 5 shows that the 987 modified learning rule 19 is also significantly more capable of producing head-centred 988 output neurons than the standard trace learning rule (6) when all of the input neurons 989 have sigmoidal gain modulation by eye-position. 990

In summary, these results showed that training the model with learning rule 19 drives the development of head-centred output neurons even when the whole input population had sigmoidal eye-position gain modulation. The comparison of Fig 20 with Fig 14 shows that learning rule 16, which incorporated a delayed postsynaptic trace $q_i(t - \Delta T)$, is more effective at producing head-centred output neurons than learning rule 19, which incorporated the current trace $q_i(t)$ calculated at the same time t when the weights are updated.

Fig 20. Simulation results showing the output reference frame response characteristics of a model incorporating a population of sigmoidal modulated input neurons trained with the modified learning rule 19: Current Postsynaptic Trace with anti-Hebbian Learning. The scatter plot shows the reference frame response characteristics of all output neurons before and after training. Conventions as for Fig 14. It can be seen that training increased the head-centredness values of most output neurons, with quite a number of head-centred output neurons present in the trained model.

Modified Learning Rule: Delayed Postsynaptic Trace Learning Rule

The simulation results presented in section Modified Learning Rule: Delayed 1000 Postsynaptic Trace with Anti-Hebbian Learning, section Modified Learning Rule: 1001 Delayed Postsynaptic Firing Rate with Anti-Hebbian Learning and section Modified 1002 Learning Rule: Current Postsynaptic Trace with Anti-Hebbian Learning showed, 1003 respectively, that training the model with learning rule 16, learning rule 18 and learning 1004 rule 19 successfully self-organised head-centred output responses and increased the 1005 head-centredness value of a large proportion of output neurons when all input neurons 1006 had sigmoidal eye-position gain modulation. Importantly, section Self-organisation with 1007 peaked and monotonic gain fields showed this was not the case for the standard trace 1008

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learning rule (6). Training the model with the standard trace learning rule successfully 1009 self-organised head-centred output neurons when all of the input neurons had peaked 1010 eye-position gain modulation, but failed when the modulation of the input population 1011 was altered from peaked to sigmoidal. Indeed, the simple introduction of a small 1012 proportion (e.g. with p > 0.2) of input neurons with sigmoidal eye-position gain 1013 modulation was enough to undermine the self-organisation of head-centred output 1014 responses (section Standard Trace Learning Rule with Mixed Peaked and Sigmoidal 1015 eye-position Modulation of Input Neurons). 1016

The modified learning rules introduced in section Modified Learning Rule: Delayed 1017 Postsynaptic Trace with Anti-Hebbian Learning, section Modified Learning Rule: 1018 Delayed Postsynaptic Firing Rate with Anti-Hebbian Learning and in section Modified 1019 Learning Rule: Current Postsynaptic Trace with Anti-Hebbian Learning all had an 1020 anti-hebbian term as their common component. Out of these learning rules, the best 1021 performance was observed with learning rule 16, which incorporated a postsynaptic 1022 delayed-trace term. In this case, an interesting question is whether the superior efficacy 1023 of this learning rule in driving the development of head-centred output responses was 1024 primarily due to the anti-hebbian term or the postsynaptic delayed-trace term. In 1025 particular, is an anti-hebbian term actually needed in this learning rule for the 1026 self-organisation of head-centred responses in the presence of input neurons with 1027 sigmoidal eye-position gain modulation, or could head-centred output responses develop 1028 using a learning rule that only incorporated a postsynaptic delayed-trace term? 1029

This section addresses the above questions by investigating the performance of the model with the following learning rule 1031

$$\frac{dw_{ij}(t)}{dt} = \alpha q_i (t - \Delta T) v_j(t)$$
(20)

where α was the learning rate, q_i was the trace value of the output neuron i and v_j was the firing rate of the input neuron j. In Eq 20 the trace value q_i is calculated at time $(t - \Delta T)ms$. This learning rule has no anti-hebbian term, and relies purely on the postsynaptic delayed-trace term $q_i(t - \Delta T)$ to drive the development of head-centred output neurons.

The simulation parameters for the model are given in Table 1. The time delay ΔT used to compute the trace value of each output neuron *i* was set to 30*ms* for optimal learning performance.

Fig 21 shows how training changed the firing rate responses of output neuron #223. ¹⁰⁴⁰ Prior to training the response of output neuron #223 had no consistent structure in ¹⁰⁴¹ head-centred space across different eye-positions (Fig 21**A**), whilst after training the ¹⁰⁴² same output neuron responded maximally to the same head-centred location across all ¹⁰⁴³ four eye-positions (Fig 21**B**). Thus, neuron #223 learned to respond in a head-centred ¹⁰⁴⁴ reference frame after training. ¹⁰⁴⁵

Fig 22 shows how the synaptic weight structure of the same output neuron #223 plotted in Fig 21 changed due to training the model with learning rule 20. It is clear that the final synaptic weight structure after training (Fig 22B) resembles the predicted weight structure for head-centred neurons (Fig 8), even though this was not the case before training (Fig 22A).

Fig 23 shows the eye-centredness and head-centredness values of all of the output neurons in the untrained model and in the trained model. After training, the head-centredness values of many output neurons had increased substantially. However, there was only a single output neuron, which was neuron #223, with a greater head-centredness value than eye-centreredness, and which was consequently classed as responding in a head-centred reference frame. Therefore, in the simulations presented in this paper, the anti-hebbian term in learning rules (16), (18) and (19) appears to play

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Fig 21. Simulation results showing the firing rate responses of a model incorporating a population of monotonic modulated input neurons trained with the modified learning rule 20: Delayed Postsynaptic Trace Learning Rule. The figure shows the firing rate responses of output neuron #223 before training (A) and after training (B) during testing for four different eye-positions: $-18^{\circ}, -6^{\circ}, 6^{\circ}$ and 18° . Conventions as for Fig 12. The comparison of subplot (A) and subplot (B) shows that the output neuron learned to respond to a specific head-centred location regardless of the eye-position after training. Output neuron #223 is not shown in the scatter plot of subplot (A) because this neuron did not respond for every eye-position before training and was therefore excluded from further analysis (section Analysis of Network Performance). However, subplot (B) shows that the same output neuron learned to respond to a specific head-centred location regardless of the eye-position after training.

Fig 22. Simulation results showing the strengths of the afferent synapses of a model incorporating a population of sigmoidal modulated input neurons trained with the modified learning rule 20: Delayed Postsynaptic Trace Learning Rule. The figure shows the strengths of the afferent synapses from the input population to output neuron #223 for the untrained (A) and trained (B) model. The output neuron corresponds to the one plotted in Fig 21. Conventions as for Fig 13. The synaptic weight structure for this output neuron after training shown in plot (B) has approximately the correct profile for a head-centred neuron (Fig 8).

an important and essential role in producing relatively large numbers of head-centred ¹⁰⁵⁸ output neurons when all of the input neurons have sigmoidal eye-position gain fields. ¹⁰⁵⁹

Fig 23. Simulation results showing the output reference frame response characteristics of a model incorporating a population of sigmoidal modulated input neurons trained with the modified learning rule 20: Delayed Postsynaptic Trace Learning Rule. The scatter plot shows the reference frame response characteristics of all output neurons before and after training. Conventions as for Fig 14. It is evident that training had the effect of increasing the head-centredness values of most output neurons. Although, there is only a single head-centred output neuron present in the trained model.

Delayed Postsynaptic Trace Learning Rule with Mixed Peaked and Sigmoidal eye-position Modulation of Input Neurons

In the previous section, it was found that an anti-hebbian term was needed in the learning rule in order to produce relatively large numbers of head-centred output neurons if the entire population of input neurons had sigmoidal gain fields. However, experimental studies have demonstrated that the primate cortex contains a mixed population of visual neurons with either peaked or monotonic eye-position gain fields [7–9]. This raises the question of whether learning rule (20), which relies solely on a postsynaptic delayed-trace term without any anti-hebbian term, could produce a much larger number of head-centred output neurons if the input population had a 50:50 mix of peaked and sigmoidal eye-position gain fields.

This section presents simulation results with the modified learning rule (20) when there is a 50:50 mixture of peaked and monotonic gain fields in the visual input population. Can the model produce a large number of head-centred output neurons under such conditions without an anti-hebbian term in the learning rule? This is still a

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potentially challenging task for the model because in section Standard Trace Learning Rule with Mixed Peaked and Sigmoidal eye-position Modulation of Input Neurons it was shown that the standard trace learning rule (6), which lacks an anti-hebbian term, failed to produce significant numbers of head-centred output neurons when the proportion of sigmoidal gain modulated input neurons rose above just 20% of the overall input population. The model parameters for the simulations presented in this section are given in Table 1.

Fig 24 shows how training changed the firing rate responses of output neuron #281. 1082 In particular, Fig 24**B** shows that after training output neuron #281 responded 1083 maximally to the same head-centred location across all four eye-positions, although this 1084 was not the case prior to training (Fig 24**A**). Hence neuron #281 responded in a 1085 head-centred frame of reference after training. 1086

Fig 24. Simulation results of a model incorporating a mixed population of peaked and sigmoidal modulated input neurons, with sigmoidal modulation rate p set to 0.5, trained with the modified learning rule 20: Delayed Postsynaptic Trace Learning Rule. The figure shows the firing rate responses of output neuron #281 before training (A) and after training (B) during testing for four different eye-positions: $-18^{\circ}, -6^{\circ}, 6^{\circ}$ and 18° . Conventions as for Fig 12. Plot (B) shows that the same output neuron learned to respond to a specific head-centred location regardless of the eye-position after training.

Fig 25 shows how the synaptic weight structure of the same output neuron #281 1087 plotted in Fig 24 changed due to training. Fig 25**B** shows that after training, the 1088 synaptic weight structure of the output neuron was clearly similar to the predicted 1099 weight structure for head-centred neurons shown in Fig 8. The synaptic weight 1090 structure before training reflected the randomly assigned connection weights (Fig 25**A**).

Fig 25. Simulation results of a model incorporating a mixed population of peaked and sigmoidal modulated input neurons, with sigmoidal modulation rate p set to 0.5, trained with the modified learning rule 20: Delayed Postsynaptic Trace Learning Rule. The figure shows the strengths of the afferent synapses from the input population to output neuron #281 for the untrained (A) and trained (B) model. The output neuron corresponds to the one plotted in Fig 24. Conventions as for Fig 13. The synaptic weight structure for this output neuron after training shown in plot (B) has the correct kind of profile for a head-centred neuron (Fig 8).

Fig 26 shows the eye-centredness and head-centredness values of output neurons in 1092 the untrained and in the trained model. Fig 26 shows that training the model with 1093 learning rule 20, where the input population contained a 50:50 mix of neurons with 1094 peaked or sigmoidal gain modulation, had the effect of increasing the head-centredness 1095 value of most output neurons. Moreover, a large proportion of output neurons in the 1096 trained model are head-centred (i.e. with a head-centredness value greater than 1097 eye-centredness). Indeed, more head-centred output neurons were observed in the 1098 trained model in this section than in any of the trained models presented in previous 1099 section Modified Learning Rule: Delayed Postsynaptic Trace with Anti-Hebbian 1100 Learning, section Modified Learning Rule: Delayed Postsynaptic Firing Rate with 1101 Anti-Hebbian Learning and section Modified Learning Rule: Current Postsynaptic 1102 Trace with Anti-Hebbian Learning. 1103

In summary, these results demonstrated that it was possible for the model to produce large numbers of head-centred output neurons with a learning rule that relied purely on a postsynaptic delayed-trace term without any anti-hebbian term if the input Fig 26. Simulation results of a model incorporating a mixed population of peaked and sigmoidal modulated input neurons, with sigmoidal modulation rate p set to 0.5, trained with the modified learning rule 20: Delayed Postsynaptic Trace Learning Rule. The scatter plot shows the reference frame response characteristics of all output neurons before and after training. Conventions as for Fig 14. It is evident that training had the effect of increasing the head-centredness values of most output neurons, with many more head-centred output neurons present in the trained model.

population contained a 50:50 mix of neurons that were modulated by either peaked or sigmoidal gain fields. Such a mixture of different forms of eye-position gain modulation is biologically compatible with experimental findings [7,8].

Discussion

The majority of previously published models of coordinate transformation from 1111 eye-centred to head-centred visual representations have relied on some form of 1112 supervised error-correction learning, in which an explicit supervisory signal is used to 1113 specify the desired head-centred output responses during training [14–16]. The 1114 availability of such a supervisory training signal makes the self-organisation of these 1115 models robust even with monotonic (e.g. planar or sigmoidal) eye-position gain 1116 modulated input neurons. However, an immediate problem with these kinds of models 1117 is explaining exactly where such a supervisory training signal might originate from in 1118 the brain. Another major problem for models that employ a backpropagation of error 1119 supervised learning, such as the classic model of [14], is that this model architecture is 1120 entirely biologically implausible [18]. In particular, there is no plausible explanation for 1121 how the error terms needed to adjust the afferent synaptic weights in the intermediate 1122 layer of the model could be generated and implemented in the brain. One consequence 1123 of this is that the backpropagation of error learning procedure can result in individual 1124 neurons making both excitatory and inhibitory synaptic connections on different 1125 postsynaptic neurons. Although not shown here, we have verified this through 1126 replicating the model simulations of [14] and [16]. This feature of backpropagation of 1127 error models violates an accepted principle of cortical architecture, sometimes referred 1128 to as Dale's Law, that an individual neuron must have the same kind of excitatory or 1129 inhibitory effect at all of its synaptic connections with other neurons [19]. This failure 1130 of backpropagation of error models even undermines the potential relevance of their 1131 trained synaptic architecture to understanding how coordinate transformations to 1132 head-centred visual representations might be implemented in the brain. [15] also used 1133 error-correction learning to develop head-centred output representations. Their learning 1134 scheme employed a simpler global error signal for the entire output population, which 1135 might conceivably be implemented by some form of neuromodulator release. However, 1136 the implementation of error correction learning in their model still required complex 1137 architectural features that have not been identified in cortex. 1138

To remedy the lack of biological plausibility in previously published models that use 1139 supervised learning to drive the development of visual neurons that respond in a 1140 head-centred reference frame, [1] demonstrated a model that self-organised using 1141 unsupervised competitive learning driven by the standard trace learning rule (6). In 1142 their model the trace learning rule was able to exploit the natural statistics of how 1143 primates tend to move their eyes and head as they explore their visual environment, 1144 with more frequent shifts in eye-position than head position. These natural eye and 1145 head movements enable trace learning to bind together different visual input patterns 1146

corresponding to visual targets located in the same head-centred location but different ¹¹⁴⁷ retinal locations, thereby driving the development of postsynaptic neurons that respond ¹¹⁴⁸ to visual targets in specific head-centred locations. ¹¹⁴⁹

It was originally demonstrated by [1], and shown again above, that the 1150 self-organising model described in section Materials and methods using the standard 1151 trace learning rule (6) with peaked eye-position gain modulated input neurons is able to 1152 develop head-centred output representations during training. However, a key new result 1153 in this paper is that the self-organising model with purely sigmoidal eye-position gain 1154 modulated input neurons develops eve-centred output neurons when using the standard 1155 trace learning rule. The cause of this contrasting behaviour between peaked and 1156 sigmoidal gain fields is the correlations between the activity of the input neurons across 1157 all of the input patterns during training. It is well understood that in a standard 1158 competitive neural network, individual output neurons learn to respond to subsets of 1159 input neurons that tend to be most frequently co-active [18]. In the self-organising 1160 model with peaked gain modulation, the subsets of input neurons that are frequently 1161 co-active correspond to circular clusters that are highly localised in both the retinotopic 1162 preference and eye-position preference dimensions. With a standard hebbian learning 1163 rule with no significant memory trace of recent neuronal activity, individual output 1164 neurons will learn to respond to these localised circular clusters of input neurons. If a 1165 trace learning rule is implemented, it is a relatively easy task for individual output 1166 neurons to simply bind together these clusters of input neurons along a diagonal line in 1167 the input space corresponding to a particular head-centred location. Output neurons 1168 will then respond to particular head-centred locations regardless of eye-position or the 1169 retinal location of a visual target. However, the situation is quite different with 1170 sigmoidal gain modulated input neurons. Due to monotonic saturation of the input 1171 neuron response function in the eve-position dimension of the input space, the subsets 1172 of input neurons that are most frequently co-active are localised in the retinotopic 1173 preference dimension but elongated in the eve-position preference dimension. With a 1174 standard hebbian learning rule, individual output neurons will learn to respond to these 1175 elongated clusters of input neurons, which will give rise to eve-centred output responses. 1176 However, if a trace learning rule is implemented, the output neurons still learn to 1177 represent eye-centred rather than head-centred locations. This is because developing 1178 head-centred output responses would require the trace learning rule to disrupt and 1179 break apart output representations corresponding to the elongated clusters of input 1180 neurons with correlated activities. However, in practice the trace learning effect is not 1181 strong enough to achieve this. Consequently, even with trace learning, the elongated 1182 clusters of input neurons with correlated activities continue to drive the development of 1183 eye-centred output neurons. This finding holds for any input neuron response function 1184 with a monotonic gain in the eye-position dimension, be it purely linear [14] or linear 1185 rectified [20]. 1186

We next showed that a manually prewired neural network model with sigmoidal 1187 eye-position gain modulated input neurons could perform the coordinate transformation. 1188 This is achieved by elevating the weight of synaptic connections from all input neurons 1189 which responded strongly, for some eye-position, to a visual target in the head-centred 1190 location to which the postsynaptic output neuron is assigned. This result established 1191 the feasability of the coordinate transformation within the given model architecture 1192 with sigmoidal gain fields acting on the input population. However, when synaptic 1193 plasticity based on the standard trace learning rule (6) is suddenly introduced into the 1194 manually prewired model, it is found that just a single epoch of visually-guided training 1195 is sufficient to dramatically reduce the prevalence of head-centred neurons in the output 1196 population, and by the 5th epoch all neurons are eye-centred for all subsequent epochs 1197 of training. This showed that sigmoidal gain modulation would, in so far as the 1198

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synapses are plastic, even undermine the functioning of a cortical circuit which is somehow prewired, perhaps by genetic hardwiring or by an initial period of supervised learning as suggested by [20], to produce the head-centred visual representations. Given the ubiquitous presence of associative synaptic plasticity in cortex, it is therefore a substantial challenge to explain how head-centred visual neurons might develop and persist in the brain given the relatively large proportion of precursor eye-centred visual neurons that have monotonic eye-position gain fields.

It has been shown that neurons in many parietal areas have a mixture of different 1206 forms of gain modulation, not all of which are planar. In fact, 41% and 56% are not 1207 planar in areas LIP and 7a, respectively [8]. Consequently, we explored how various 1208 degrees of prevalence of sigmoidal gain modulation would influence the self-organisation 1209 of the model with the standard trace learning rule (6). It is found that so long as there 1210 is no more than approximately 20% sigmoidal gain modulation in the input population 1211 then the fraction of head-centred neurons in the trained model did not drop below 1212 $\sim 15\%$. However, the decrease in performance, and eventual collapse of the model, is 1213 very severe when the presence of sigmoidal gain modulation in the input population is 1214 increased. In particular, with a more biologically realistic 50:50 mix in peaked and 1215 sigmoidal gain modulated input neurons, the model failed to develop a significant 1216 population of head-centred output neurons with the standard trace learning rule (6). 1217

In order to find a biologically plausible way in which the self-organising model could 1218 develop relatively large numbers of head-centred visual output neurons when at least 1219 half or even all of the input neurons had sigmoidal gain fields, we next explored the 1220 performance of the model architecture using a variety of more sophisticated learning 1221 rules that may incorporate temporally delayed memory traces, as well as a mixture of 1222 both trace learning and anti-Hebbian learning terms. These new, modified learning 1223 rules were previously developed by [25] in the context of modelling transform invariant 1224 visual object recognition. The new learning rules continue to be biologically plausible 1225 because they rely on locally available biological quantities, namely the activities of the 1226 pre- and post-synaptic neurons, to guide the modification of synaptic weights. The 1227 different modified learning rules were found to successfully drive the self-organisation of 1228 head-centred output responses when all of the input neurons had sigmoidal eye-position 1229 modulation. The modified learning rules were able to substantially improve the 1230 temporal binding of the standard trace learning rule by incorporating a trace of 1231 previous neuronal activity with an explicit time delay ΔT . This was evidenced by 1232 better performance being achieved when the learning rule incorporated a trace value of 1233 the postsynaptic neuron from an earlier time ΔT in the past instead of the trace value 1234 at the current time. Furthermore, the new learning rules were able to overcome the high 1235 correlations between overlapping input patterns with sigmoidal gain fields through the 1236 additional incorporation of anti-Hebbian learning, which had been previously found to 1237 offer a significant performance enhancement by [25]. However, learning rule (20) 1238 incorporated a delayed postsynaptic trace without an anti-Hebbian term 1239 (section Modified Learning Rule: Delayed Postsynaptic Trace Learning Rule). 1240 Simulation results with this learning rule showed that head-centred output responses 1241 developed in the trained model. Thus, anti-Hebbian learning is not necessarily a 1242 requirement for the development of head-centred output responses. The performance of 1243 learning rule (20) was then investigated under a more biologically realistic scenario in 1244 which the input population consisted of a 50:50 mixture of neurons with either peaked 1245 or sigmoidal eye-position gain modulation. These results demonstrated that the model 1246 could produce large numbers of head-centred output neurons with a learning rule that 1247 relied purely on a postsynaptic delayed-trace term without any anti-hebbian term if the 1248 input population contained a 50:50 mix of neurons modulated by either peaked or 1249 sigmoidal gain fields. These last findings should be contrasted with the performance of 1250 the model with the standard trace learning rule (6), which failed to develop a significant 1251 number of head-centred output neurons with such a mixed input population. 1252

Conclusion

In conclusion, the work presented here has shown that the existence of a synaptic 1254 weight structure that can perform a coordinate transformation does not guarantee that 1255 it will emerge through a process of self-organisation using any one particular form of 1256 trace learning rule. In particular, the standard trace learning rule originally proposed 1257 by [1] failed to produce head-centred output neurons when the input neurons were 1258 modulated by a sigmoidal function of eye-position, and even failed with a biologically 1259 realistic 50:50 mix of input neurons with peaked and sigmoidal gain fields. In order to 1260 remedy this problem, we had to investigate the use of more sophisticated, yet still 1261 biologically plausible, learning rules that incorporated temporally delayed memory 1262 traces, as well as a mixture of both trace learning and anti-hebbian learning terms. 1263 These new, modified learning rules were found to produce head-centred output neurons 1264 when the input population had sigmoidal gain fields. Moreover, it was also found that 1265 the delayed postsynaptic trace in learning rule (20) was sufficient to drive the 1266 development of head-centred output neurons in the absence of anti-hebbian learning, 1267 especially if the input population had a 50:50 mix of peaked and monotonic gain fields. 1268 This work thus makes an important contribution to understanding how head-centred 1269 visual neurons may develop in the brain through an unsupervised process of 1270 visually-guided learning given visual precursor neurons with sigmoidal (monotonic) 1271 eye-position modulation. Furthermore, although we have studied one particular kind of 1272 coordinate transformation, i.e. from eye-centred to head-centred visual representations, 1273 these findings may also apply to a range of other coordinate transformations with 1274 sensorimotor integration of monotonically encoded motor variables [4, 5]. 1275

Supporting information

Appendix A. Eye-centredness Reference Frame Analysis. During testing, 1277 the visual target was located in head-centred locations

$$t_j = t_1 + \Delta h(j-1) \tag{21}$$

for $j = 1, \ldots, T$, and while in each location it was observed from eve-positions

$$e_i = e_1 + \Delta e(i-1) \tag{22}$$

for $i = 1, \ldots, E$. The eye-position shift Δe was set to a multiple of the head-centred 1280 target location shift Δh to cause resampling of the neuron's response at the same 1281 retinal location for different eye-positions, thereby providing a resampling of the 1282 response in both head-centred and eye-centred space across multiple eye-positions. 1283

The set of head-centred locations $\{t_1, \ldots, t_T\}$ corresponded to retinal locations $R_i = \{t_1 - e_i, \ldots, t_T - e_i\}$ when the model was fixating eye-position e_i , and from this it is clear that among retinal locations common to all eye-positions, $t_1 - e_1$ was the first and $t_T - e_E$ was the last, that is

$$\min \bigcap_{i} R_{i} = t_{1} - e_{1}$$

$$\max \bigcap_{i} R_{i} = t_{T} - e_{E}$$
(23)

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Therefore f_i and l_i , denoting the first and last position included from the i^{th} response vector respectively, had to correspond to these two retinal locations respectively 1286

$$t_{f_i} - e_i = t_1 - e_1 \tag{24}$$

$$t_{l_i} - e_i = t_T - e_E \tag{25}$$

We can resolve each equation to find an explicit formula for f_i and l_i in terms of i as follows.

By substituting Eq 21 and Eq 22 into Eq 24 we obtain

 $(t_1 + \Delta h(f_i - 1)) - (e_1 + \Delta e(i - 1)) = t_1 - e_1$

Rearranging this gives

$$\Delta h(f_i - 1) - \Delta e(i - 1) = 0$$

$$f_i = \frac{\Delta e}{\Delta h}(i-1) + 1 \tag{26}$$

By substituting Eq 21 and Eq 22 into Eq 25 we obtain

$$(t_1 + \Delta h(l_i - 1)) - (e_1 + \Delta e(i - 1)) = (t_1 + \Delta h(T - 1)) - (e_1 + \Delta e(E - 1))$$

Rearranging this gives

$$\Delta h(l_i - 1) - (e_1 + \Delta e(i - 1)) = \Delta h(T - 1) - (e_1 + \Delta e(E - 1))$$

$$\Delta h(l_i - 1) - \Delta e(i - 1) = \Delta h(T - 1) - \Delta e(E - 1)$$
¹²⁹⁴

$$\Delta h(l_i - 1 - (T - 1)) = -\Delta e(E - 1 + (i - 1))$$
¹²⁹⁹

$$\Delta h(l_i - T) = -\Delta e(E + i)$$

$$l_i = T - \frac{\Delta e}{\Delta h} (E+i) \tag{27}$$

We can also deduce the length V of the portion of each response vector that is used 1298 in the eye-centred correlation analysis as follows. By definition, for each response vector 1299

 $V = l_i - f_i + 1$

Substituting in Eq 26 and Eq 27 gives

 $V = T - \frac{\Delta e}{\Delta h}(E+i) - \left(\frac{\Delta e}{\Delta h}(i-1) + 1\right) + 1$

Rearranging gives

$$V = T - \frac{\Delta e}{\Delta h} (E+i) - \frac{\Delta e}{\Delta h} (i-1)$$

= $T - \frac{\Delta e}{\Delta h} (E+i-(i-1))$
= $T - \frac{\Delta e}{\Delta h} (E+1)$ (28)

Acknowledgments

This work was supported by CNPq, National Council for Scientific and Technological Development - Brazil.

May 15, 2018

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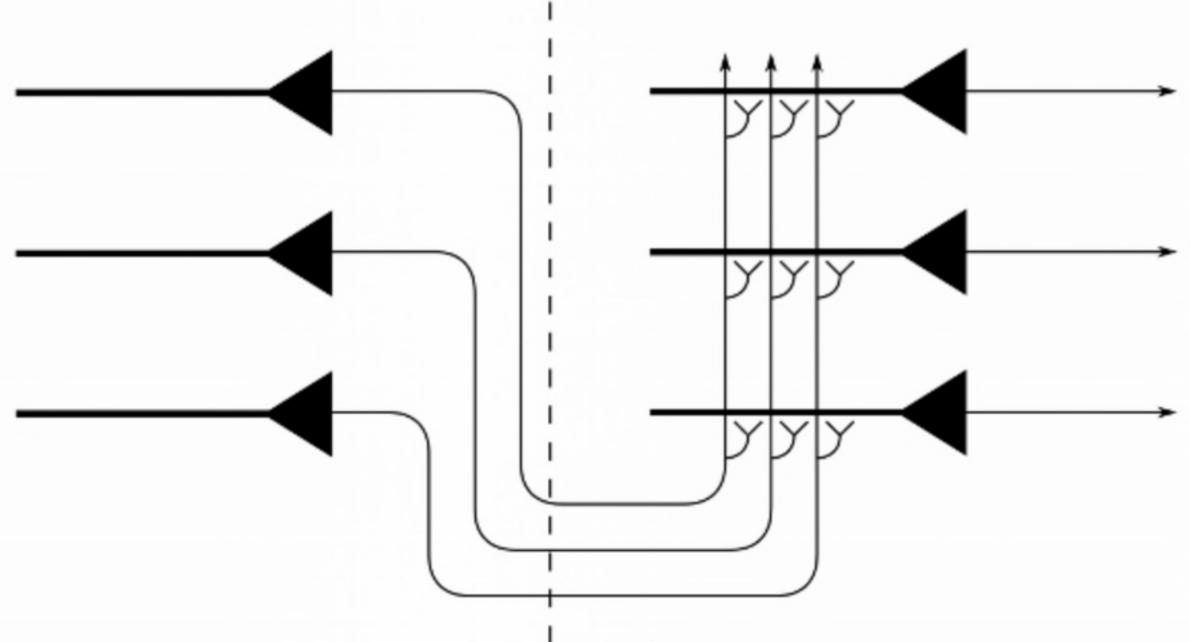
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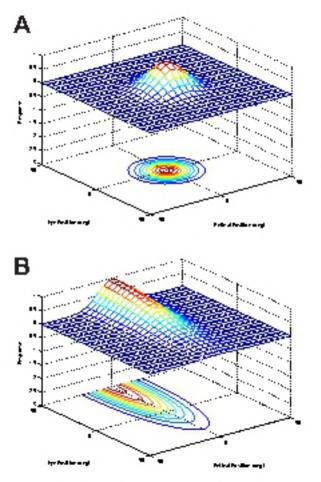
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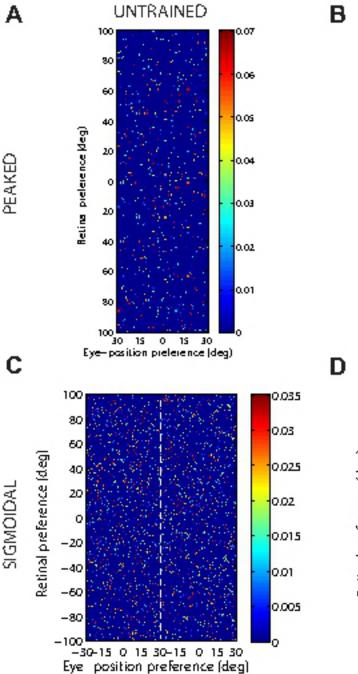
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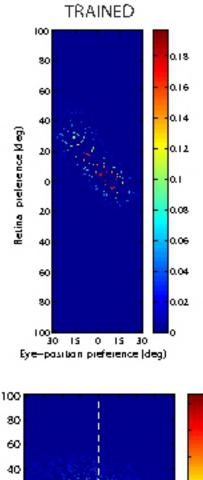
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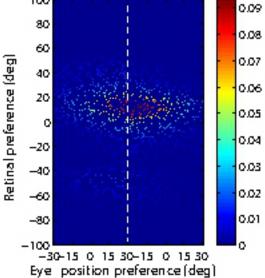
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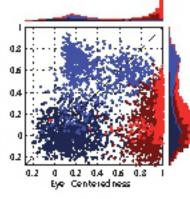




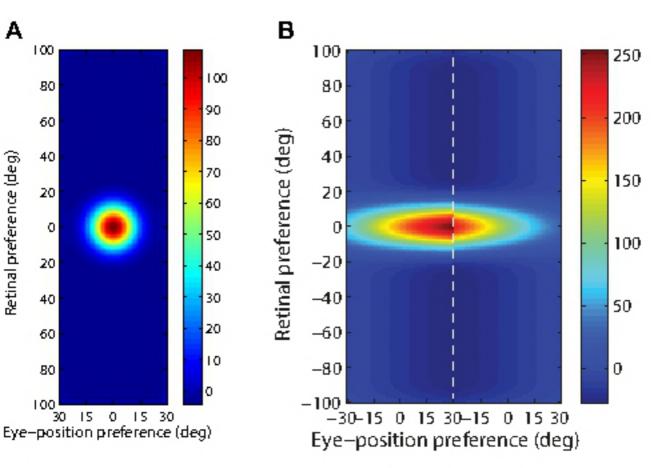


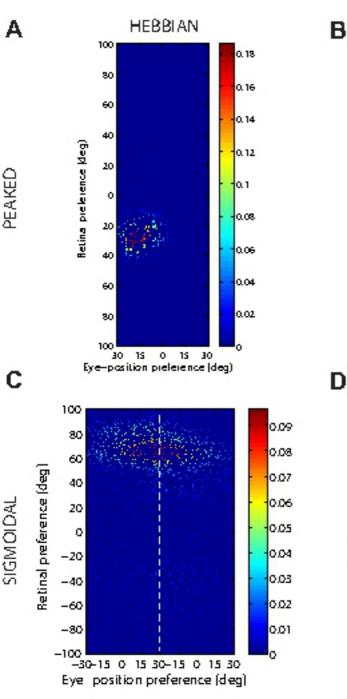


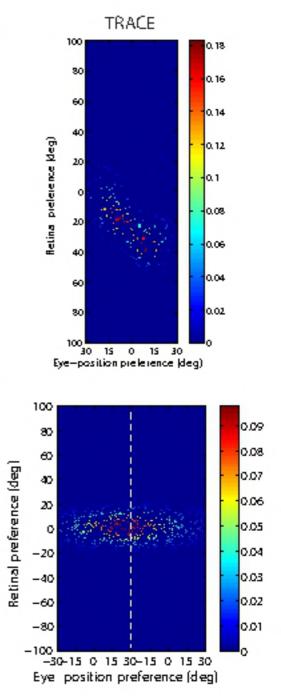


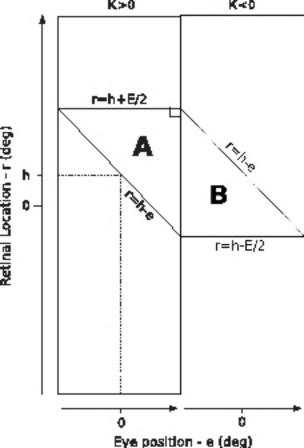


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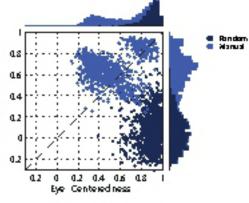


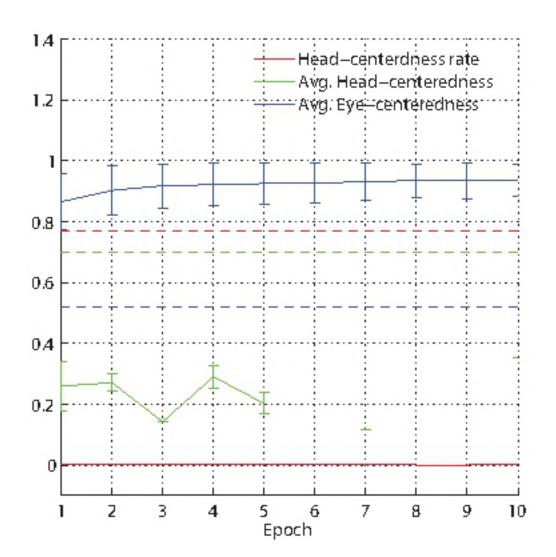












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