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7	Major QTL controls adaptation to serpentine soils in <i>Mimulus guttatus</i>
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ABSTRACT

24 Spatially varying selection is a critical driver of adaptive differentiation. Yet, there are few

examples where the fitness effects of naturally segregating variants that contribute to local

adaptation have been measured in the field. This project investigates the genetic basis of

adaption to serpentine soils in *Mimulus guttatus*. Reciprocal transplant studies show that

serpentine and non-serpentine populations of *M. guttatus* are genetically differentiated in their

ability to survive on serpentine soils. We mapped serpentine tolerance by performing a bulk

30 segregant analysis on F2 survivors from a field transplant study and identify a single QTL

31 where individuals that are homozygous for the non-serpentine allele do not survive on

32 serpentine soils. This same QTL controls serpentine tolerance in a second, geographically

distant population. A common garden study where the two serpentine populations were grown

34 on each other's soil finds that one of the populations has significantly lower survival on this

35 "foreign" serpentine soil compared to its home soil. So, while these two populations share a

36 major QTL they either differ at other loci involved in serpentine adaptation or have different

causal alleles at this QTL. This raises the possibility that serpentine populations may not be

38 broadly tolerant to serpentine soils but may instead be locally adapted to their particular patch.

39 Nevertheless, despite the myriad chemical and physical challenges that plants face in serpentine

40 habitats, adaptation to these soils in *M. guttatus* has a simple genetic basis.

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42 Keywords: adaptation, QTL mapping, bulk segregant, reciprocal transplant, serpentine soils,

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INTRODUCTION

53	Natural landscapes are highly heterogeneous, resulting in selective pressures that differ
54	between habitats. Such divergent selection can maintain genetic variation (Gillespie & Turelli,
55	1989; Levene, 1953), drive population differentiation (Felsenstein, 1976; Hedrick, 1986; Hedrick
56	et al., 1976) and ultimately promote speciation (Schluter & Conte, 2009). Some of the most
57	striking examples of the power of natural selection to shape biological diversity involve
58	adaptation of plants to extreme soil environments such as mine tailings, saline, acidic and
59	serpentine soils (Brady et al., 2005; Linhart & Grant, 1996; Mark R. Macnair, 1993; Rajakaruna,
60	2018). Evolutionary ecologists have studied plant adaptation to harsh soils for decades,
61	providing some of the best examples of evolution in action (Linhart & Grant, 1996). Classic
62	studies on plant adaptation to mine tailings demonstrated that plants can be locally adapted
63	over a scale of meters despite substantial gene flow (Antonovics & Bradshaw, 1970; Jain &
64	Bradshaw, 1966; McNeilly, 1968) and that local adaptation can lead to reproductive isolation (M.
65	R. Macnair & Christie, 1983; McNeilly & Antonovics, 1968).
66	Transitions between soil habitats are often abrupt resulting in discrete habitat types with
67	strongly divergent selective pressures. Large effect alleles may be more likely to be favored in
68	such situations where populations are colonizing new habitats in which they are initially far
69	from the fitness optimum (reviewed Dittmar et al., 2016; Orr, 1998). Major genes have often been

70 found for traits involved in adaptation to anthropogenic selection pressures such as industrial

71 melanism (van't Hof, 2011), warfarin resistance (Kohn, 2000) and insecticide resistance

72 (Hemingway, 2004). These cases represent instances where selection is hard and individuals

73	that lack appropriate genetic variation cannot survive. If individuals need a large phenotypic
74	change to survive and reproduce this may favor the fixation of major genes (Mark R. Macnair,
75	1991). In addition, because distinct soil habitats often occur in close proximity if populations are
76	locally adapted, this differentiation has likely evolved in the face of gene flow. Recent
77	theoretical work shows that successful adaptation across discrete environments occurs either
78	via few, large effect mutations or many small ones (Gilbert & Whitlock, 2017) and that selection
79	for local adaptation with gene flow can lead to the clustering of multiple, linked loci (Yeaman &
80	Otto, 2011; Yeaman & Whitlock, 2011). A number of recent QTL studies on metal tolerance and
81	hyperaccumulation in plants growing in heavy metal contaminated soils suggests that
82	adaptation to these stressful edaphic habitats may have a relatively simple genetic basis (e.g.
83	cadmium and zinc tolerance in Arabidopsis halleri (Courbot et al., 2007; Willems et al., 2007) and
84	Thlaspi caerulescens (Deniau et al., 2006); nickel tolerance in the serpentine endemic Caulanthus
85	amplexicaulis (Burrell et al., 2012) and serpentine populations of Silene vulgaris (Bratteler et al.,
86	2006); and copper tolerance in populations of <i>Mimulus guttatus</i> (K. M. Wright et al., 2013)).
87	However, most of these QTL studies were conducted in lab-based conditions, often using
88	hydroponic culture to isolate a single soil chemical variable thought to be important for
89	adaptation. There are very few studies that have actually tested the fitness effects of loci that
90	contribute to edaphic specialization in the field (but see Lexer et al., 2003; K. M. Wright et al.,
91	2015) so we know little about the genetic architecture of adaptation to these habitats.
92	Serpentine soils are naturally occurring and present a diverse set of chemical and
93	physical challenges to plants. These soils are widely distributed in western North America,

94	stretching from the Baja peninsula to Alaska, but typically occurring in relatively small and
95	isolated patches (Alexander et al., 2007; Kruckeberg, 1984). While most terrestrial soils are
96	derived from crustal rocks, serpentine soils are formed by the weathering of ultramafic rocks
97	that originate in earth's mantle (Alexander et al., 2007). Because of this unique origin, serpentine
98	soils are deficient in many essential plant nutrients [calcium (Ca), nitrogen (N), potassium (K),
99	and phosphorus (P)] while also having elevated levels of magnesium (Mg) and heavy metals
100	[nickel (Ni), cobalt (Co), chromium (Cr)]. In addition, these soils can be shallow and have lower
101	water holding capacity than non-serpentine soils (Alexander et al., 2007). This suite of factors
102	makes serpentine soils inhospitable to many plant species resulting in sparse vegetative cover
103	and habitats that are prone to erosion and elevated soil temperatures (Kruckeberg, 2002). The
104	phrase "serpentine syndrome" was used by Jenny (1980) to emphasize the collective and often
105	interacting effects of the chemical, physical, and biotic characteristics that make these soils such
106	a difficult substrate for plant growth.
107	Despite this harsh and complex environment, serpentine habitats are home to unique

108 plant communities with many endemic species. Serpentine endemism has long fascinated plant

109 biologists; however, endemics may be reproductively isolated from their non-serpentine sister

110 taxa, hindering genetic analysis of tolerance. Other species have populations that grow both on

and off of serpentine soils and often show ecotypic differentiation (reviewed O'Dell &

112 Rajakaruna, 2011). Field reciprocal transplant studies (Hufford *et al.*, 2008; Jurjavcic *et al.*, 2002;

- 113 Kruckeberg, 1950, 1967; Sambatti & Rice, 2006; J. W. Wright et al., 2006) and lab-based common
- 114 garden experiments (Kay *et al.*, 2011; Kruckeberg, 1950; O'Dell & Claassen, 2008) typically

115	demonstrate strong selection in serpentine soils with non-serpentine populations having higher
116	mortality or greatly reduced growth relative to serpentine populations. Many studies testing for
117	intraspecific differences use hydroponic culture to test the effects of isolated soil chemical
118	features on plant growth. These experiments show that serpentine populations are primarily
119	adapted to low Ca and a low Ca:Mg ratio (Brady et al., 2005; Palm & Van Volkenburgh, 2014),
120	but that in some species serpentine adaptation involves tolerance to high Mg (Proctor, 1970) or
121	Ni (Burrell et al., 2012; Gabbrielli et al., 1990). However, caution is needed in interpreting
122	hydroponic studies because these experiments may fail to replicate the complex interactions
123	between different ions in the soil environment. Mg, Ca and Ni are all +2 cations and studies
124	have revealed that differing concentrations of each affect plant availability of the other ions
125	(Brooks, 1987; Gabbrielli & Pandolfini, 1984).

126 Despite the significant amount of work on serpentine adaptation, relatively little is 127 known about the genetic basis of serpentine tolerance. The complexity of serpentine habitats 128 suggests that changes at many loci might be necessary to adapt to these soils. Indeed, genome 129 scans comparing serpentine and non-serpentine populations of Arabidopsis lyrata find dozens of 130 highly differentiated SNPs (Turner et al. 2008, Turner et al. 2010, Arnold et al. 2016). In contrast, 131 QTL mapping studies find that major genes contribute to elevated Ni tolerance in serpentine 132 populations of Silene vulgaris and the serpentine endemic Caulanthus amplexicaulis var. barbarae (Bratteler et al. 2006, Burrell et al. 2012). Few QTLs of major effect were also shown to control 133 134 reproductive versus vegetative investment which is thought to enhance drought escape on fast-135 drying serpentine soils in Microseris douglasii (Gailing et al 2004). These QTL studies focused on

136 very specific traits differentiating serpentine and non-serpentine plants which is useful for 137 elucidating important selective agents in these habitats but presumably presents a narrow view 138 of the genetic basis of serpentine adaptation. QTL mapping approaches, in general, are limited in the genetic variants that are interrogated and the power to detect loci of small effect coupled 139 140 with the overestimation of effects for detected loci (Beavis, 1994; Rockman, 2012). On the other 141 hand, while genome scans can provide a more unbiased view of the loci under selection because 142 these variants are not linked to relevant traits it is not known which of the outlier genes are most critical for tolerance and which may be subtle modifiers. Most importantly perhaps, none 143 144 of the variants involved in serpentine adaptation identified by either approach have been tested 145 for their fitness effects in the field so their true adaptive value is unknown.

146 The work presented here characterizes the genetic basis of adaptation to serpentine soils 147 in *Mimulus guttatus* (Phrymaceae). *M. guttatus* is an outcrossing annual that grows throughout much of Western North America in seasonally wet soils. Across this range, M. guttatus displays 148 149 tremendous ecological diversity and has become a model system for ecological genetic studies 150 because of its tractability for experimental manipulation and a wealth of genetic and genomic 151 resources (Hellsten et al., 2013; Wu et al., 2007). Populations of M. guttatus can be found in close 152 proximity on and off serpentine soils across much of its range. No obvious morphological 153 features distinguish populations growing on the different soil habitats and previous work has 154 provided mixed evidence for genetic differentiation in edaphic tolerance between serpentine and non-serpentine populations. Two hydroponic studies grew populations from each soil type 155 156 in low Ca:Mg conditions and did not find differential tolerance between serpentine and nonserpentine plants (Gardner & Macnair, 2000; Murren *et al.*, 2006). However, Palm *et al.* (2012)
demonstrated that seedlings from a non-serpentine population do not survive past the juvenile
stage when planted on native serpentine soil in the lab while a serpentine population has high
survival.

161 Field-based reciprocal transplant studies are the best test for local adaptation. Here we 162 present the results from three transplant studies carried out at different sites and in different 163 years. Seedlings from multiple serpentine and non-serpentine populations as well as hybrid 164 mapping populations (F2s or F3s) were planted at serpentine and non-serpentine field sites in 165 California. Using multiple populations and conducting multiple experiments allows us to test 166 for adaptation to the serpentine habitat, as opposed to highly local characteristics of a particular 167 site or year. We use a bulk segregant approach to map a major QTL underlying survival 168 differences at the serpentine field sites and show that this QTL has consistent effects across 169 years and in two different populations. We follow-up this field work with a lab-based common-170 garden experiment in native serpentine soils to isolate the effects of selection due to soil variables and test how serpentine populations perform on foreign serpentine soils. 171

172

MATERIALS AND METHODS

173 *Reciprocal transplant experiments*

Seedlings from serpentine and non-serpentine *M. guttatus* populations were
transplanted to serpentine and non-serpentine field sites to test for local adaptation. Three
reciprocal transplant experiments were conducted: two at the Donald and Sylvia McLaughlin
Natural Reserve (MCL) in 2010 and 2012 and a third at the Bureau of Land Management's Red

178	Hills Area (RH; Fig. 1) in 2010. Soil habitat of the seed collections used in these studies was
179	established by a combination of field observations, USGS soil database designation for
180	collection localities and, whenever possible, soil analysis (Fig. 1). Soil samples were taken by
181	bulking soil from the top ~8-12cm (roughly the rhizosphere of <i>M. guttatus</i>) from multiple
182	locations throughout the population. These samples were analyzed for mineral nutrient content
183	(see supplement for analysis details) and all serpentine populations had soil Ca:Mg levels below
184	0.35 while all non-serpentine populations were above 1 (sTable 1). Field seeds were planted in
185	the greenhouse and crossed to derive full-sib families (1-2 families/population) for the 2010
186	experiments. The 2012 experiment used pooled seed created by combining equal numbers of
187	seeds from 20 field collected maternal families/population. The MCL F2 mapping population
188	was generated by reciprocally crossing the REM (serpentine) and SOD (non-serpentine) inbred
189	lines and selfing the resultant F1s. In 2012 F3s from the same parental lines were produced by
190	crossing 120 pairs of F2s and pooling ~100 seeds from each cross. The RH mapping population
191	consisted of outbred F2s generated by crossing two separate F1s that had unique inbred lines of
192	the SLP (serpentine) and KFY (non-serpentine) populations as parents.

Three gardens were established for each experiment – 2 serpentine and 1 nonserpentine. However, dry conditions in 2012 resulted in heavy mortality at one of the serpentine
sites prior to the first census; therefore, all details refer to the remaining two sites. Seeds were
germinated on potting soil (Fafard 3B) outside at the McLaughlin Reserve in late January/early
February and transplanted bare root to field plots 2 to 6 days after cotyledon emergence.
Transplants were randomized to cleared plots within native *M. guttatus* populations and

199 marked with toothpicks. In 2010 small plots (4 x 3 seedlings, ~ 7.5cm x 5 cm) were grouped 200 together into blocks (12 plots/block with 6-8 blocks/site) and fifteen to thirty-five seedlings per 201 family (sTable 1) along with ~50 F1s and ~500 F2s were transplanted to each field site. In 2012, 202 seedlings were transplanted to cleared plots of 8x10 seedlings (~35x45cm). Poor germination of 203 some populations resulted in variable replication with 9-82 replicates/population/site (sTable 1). 204 In addition, 800-1100 F3s were randomized within the 2012 plots. Planting date was recorded 205 for all seedlings and survival time was calculated relative to planting date. In 2012, some late 206 germinating individuals were transplanted to the field plots at the three-week census. The 207 inclusion of these late transplants should not affect our survival analysis; if anything, they 208 would make it more conservative.

209 Transplant survival as well as juvenile and adult size traits were recorded. Restrictions 210 at both MCL and RH prohibited open pollination of the transplants so we were not able to 211 collect more complete fitness data. In 2010 transplant survival was scored three weeks after 212 planting and then weekly thereafter. In 2012 survival was scored at three and nine weeks after 213 transplantation. Rosette diameter was measured 3, 4 and 5 weeks post-transplanting for the MCL2012, MCL2010 and RH2010 experiments respectively. In 2010, transplants were removed 214 215 just prior to flowering, when the plants had buds with visible corolla tissue (for convenience we 216 refer to this as "flowering date"). Height and length of the 1st true leaf were measured at 217 flowering. The 2010 experiments were terminated in early June when most plants had flowered 218 or died (>90% at all sites). As most of the mortality on serpentine soils in the 2010 experiments 219 and the lab-based common garden (see below) occurred shortly after transplanting, we

220	concluded the 2012 experiment after 9 weeks in order to rescue a greater number of survivors
221	for genotyping. None of the plants had flowered by this time so no measurements were taken.
222	To collect tissue for genetic analyses, surviving F2/F3 individuals were shipped back to Duke,
223	planted in potting soil and placed in the Duke greenhouses. Fresh bud tissue was collected from
224	individual survivors as well as in bulk samples (taking one bud/F2) of serpentine and non-
225	serpentine survivors for the MCL2010 experiment. We were not able to rescue all the F2s that
226	survived to the end of the field experiments as some died after removal from the field plots but
227	prior to tissue collection; however, the genotyped individuals appear to be representative of the
228	overall group of survivors (see supplement).
229	Survival curves for serpentine, non-serpentine and hybrid plants in each planting
230	habitat were constructed using Kaplan-Meier estimators calculated in with the package survival
231	2.38 (Therneau, 2015) in R version 3.3.3 (R Core Team, 2017). Survival time was calculated as
232	days from transplantation to death. Plants that flowered and were removed from plots were
233	treated as censored data as were plants still alive at the end of the experiment. We used log-
234	rank tests to analyze cumulative differences in the survival functions between all classes
235	(serpentine, non-serpentine, F1 and F2/F3) in each habitat. Significant overall log-rank tests
236	were followed by post-hoc pairwise comparisons to see which groups were significantly
237	different. We also looked at survival differences by population as well as tested for cytoplasmic
238	effects on survival in the F1s and F2s.
239	Differences in plant size and days to flowering between plants from serpentine and non-

Differences in plant size and days to flowering between plants from serpentine and non-serpentine populations were analyzed using analysis of variance (ANOVA). We first checked

241	trait correlations and leaf length and height were highly correlated (MCL2010 r = 0.81; RH2010 r
242	= 0.75) so we only include height in the subsequent analyses. While rosette diameter and height
243	were also highly correlated (MCL2010 r=0.50; RH2010 r = 0.60) we analyze both traits separately
244	as rosette diameter was measured early in the season and therefore scored on more plants than
245	height. Both rosette diameter and height are negatively correlated with days to flower
246	(MCL2010 r = -0.35, -0.33; RH2010 r = -0.31, -0.11 for rosette and height respectively). Boxplots of
247	rosette diameter, height and days to flower for all plant classes in both habitats are provided in
248	the supplement. However, high mortality at the serpentine sites resulted in unbalanced design
249	so we restrict our formal analyses to the non-serpentine sites where we used two-way ANOVA
250	to test for the main effect of habitat of origin while controlling for block effects. Tests were
251	carried out in R using the lmer() function from the <i>lme4</i> package (Bates et al., 2015) and block
252	was treated as a random factor. Type II Wald F tests with Kenward-Roger degrees of freedom
253	calculated with the Anova() function from the package car (Fox & Weisberg, 2011). Rosette
254	diameter and height were log-transformed to satisfy residual normality assumptions.
255	OTI manning

255 *QTL mapping*

To rapidly map QTLs controlling survival differences on serpentine soil we performed a
bulk segregant analysis (BSA; Michelmore *et al.*, 1991) with the F2 survivors from the MCL2010
experiment. Bulk DNA samples collected from the serpentine and non-serpentine survivors
were sequenced on the Illumina platform to generate allele counts at SNPs across the genome.
DNA was extracted using a urea protocol modified from Shure *et al.* (1983), submitted to the
Duke Genome Sequencing and Analysis Core Resource for library preparation, and sequenced

262	on the Illumina GAII for 75bp SE reads. To improve coverage in the serpentine survivor pool,
263	the DNA was later re-submitted for library preparation and sequencing on the Illumina
264	HiSeq2000 for 100bp SE reads. The inbred parental lines (REM and SOD) were sequenced for
265	150PE reads on the HiSeq2000 following library preparation with the Nextera DNA Library
266	Prep Kit (Illumina, San Diego, CA, USA) from DNA extracted with the GeneJET Genomic DNA
267	Purification Kit (Thermo Fisher Scientific, Waltham, MA, USA).
268	Raw reads were checked for quality using FastQC (Andrews, 2010) and then trimmed
269	for quality and adapter sequence with TrimGalore (version 0.4.0 with cutadapt version 1.8.3;
270	Martin, 2011) on default settings except for stringency5. The quality scores for the reads from
271	the Illumina GAII platform were adjusted to Sanger encoding using seqtk (version 1.2; Li, 2012).
272	Trimmed reads were then mapped to the <i>M. guttatus</i> reference genome v2.0 (Hellsten <i>et al.,</i>
273	2013) using the BWA (version 7.15) mem algorithm with default settings (Li & Durbin, 2010).
274	Bam file cleaning and duplicate marking was performed using PicardTools according to
275	GATK's best practices (McKenna et al., 2010; Van der Auwera et al., 2002). Variants were called
276	using GATK's HaplotypeCaller (version 3.4) followed by joint genotyping on all four samples –
277	two F2 bulks and two parental samples – using GenotypeGVCFs. Indels and repetitive regions
278	(identified using a bed file created from the hardmasked genome available on phytozome) were
279	removed from the variant file using vcftools (version 0.1.14; Danecek et al., 2011). Finally, SNPs
280	were thinned in vcftools to remove variants that were within 100bp of each other.
281	Bulk segregant is based on the expectation that alleles frequencies in the two pools will

282 be similar across the genome but diverge in regions that contain QTLs. However, comparing

283	allele frequencies does not account for the overall differences in depth of coverage between our
284	two bulks nor the random variation in sequencing depth. Thus, we use the G-statistic based on
285	allele counts in each pool to quantify the differentiation between our bulks as it accounts for the
286	weight of evidence related to sample sequence depth (Magwene et al., 2011). To generate a list
287	of high-confidence SNP markers we filtered the raw variant calls for mapping quality \ge 30 and
288	depth \geq 3 and \leq 95 th percentile (DP \leq 18 non-serpentine pool; DP \leq 48 serpentine pool). Both
289	parental lines had raw coverage around 30x and were filtered to retain sites with $12 \le DP \le 90$.
290	We restricted our analysis to sites where the F2 pools were segregating and the parental lines
291	were fixed for alternate alleles. The allele calls in the parental samples were used to polarize
292	SNP alleles in the F2 pools. Because of low coverage in the F2 pools (mean coverage post-
293	filtering non-serpentine = 7.5x; serpentine pool = 18x), we summed counts of serpentine and
294	non-serpentine alleles in each pool across windows of 25 SNPs. Windows larger than 1Mb were
295	excluded and G was calculated from the windowed allele counts.
296	Putative QTLs identified via BSA were confirmed by genotyping individual F2s at PCR-
297	based markers and comparing patterns of segregation distortion between the serpentine and
298	non-serpentine survivors. DNA was extracted from the F2s and parents using a modified CTAB
299	protocol (Kelly & Willis, 1998). The parents were screened for exon-primed intron-crossing
300	markers derived from expressed sequence tags (Fishman et al., 2008). Polymorphism was
301	evaluated in terms of length variation of the PCR products, typically caused by indel variation
302	in the introns. Amplified products were run on an ABI 3730xl DNA Analyzer (Applied
303	Biosystems, Foster City, CA, USA) for fragment analysis and fragment size was scored using

304	Genemarker (SoftGenetics, State College, PA, USA). All F2 survivors from the MCL2010
305	experiment were genotyped at several markers in putative QTL regions (Fig. 3B and sTable 4).
306	Markers were tested for goodness of fit with Mendelian (1:2:1) expectations using chi-square
307	tests. The F2/F3 survivors from the RH2010 and MCL2012 experiments were also genotyped at
308	markers within QTL identified in the MCL2010 mapping population.
309	Lab-based common garden experiment
310	To test whether field survival differences were due to soil properties as opposed to other
311	characteristics of the serpentine habitat (e.g. water availability, exposure, community
312	composition) we conducted a common garden experiment growing serpentine and non-
313	serpentine plants on serpentine soil in lab conditions. Inbred lines from two on/off pairs
314	(REM/SOD and SLP/TUL; Fig. 1) as well as F1s and F2s for each pair (except for the SLPxTUL
315	F1 which had limited seeds) were grown on serpentine soil collected from each of the
316	serpentine localities. The RH soil consisted of combined samples collected from each of the plots
317	in the field experiment above. The MCL soil was collected ~0.8km away from the home site of
318	the serpentine parent. The same soil was used by Palm <i>et al.</i> (2012) and they show that it is not
319	significantly different in chemical composition from the soil at the parental site. The soils were
320	sifted through a 2mm mesh screen and sterilized (121°C, 15psi, 90min).
321	Seeds were planted on 60x15mm petri plates, covered with ultrapure water (Nanopure
322	Diamond purified) and stratified in the dark at 4°C for 5 days. Plates were then moved to a
323	growth chamber (12 hours light; day/night temperatures of 22°C/18°C) and after radicle

324 emergence (~2days) transferred with a cut-tip pipette to petri plates filled with serpentine soil.

325	Twelve seeds were planted per plate with 2-8 replicate plates/soil type for the parental lines and
326	F1s and 40 plates per soil type for each of the F2s (20 plates per direction of the cross). Seedlings
327	were checked daily and watered with ultrapure H2O when the soil surface was dry. Survival
328	was scored weekly for five weeks. Final survival differences between lines were tested with G-
329	tests of independence on overall counts of "dead" versus "alive."
330	RESULTS
331	Survival differences at serpentine field sites
332	There were significant differences in survival between serpentine and non-serpentine
333	plants at the serpentine field sites in all three reciprocal transplant experiments (Fig. 2). Very
334	few non-serpentine plants survived to flower at the serpentine sites - MCL2010 1/91; RH2010
335	4/253; MCL2012 2/91 – while plants from serpentine populations enjoyed intermediate survival
336	rates. The survival rate for the F1s in both 2010 experiments was not significantly different from
337	that of the serpentine populations indicating that serpentine tolerance is dominant. The F2s/F3s
338	had intermediate survival rates that were significantly different from both the serpentine and
339	non-serpentine classes in 2010 but in 2012 pairwise log-rank tests show no overall difference
340	between the F3s and the serpentine plants. There were no significant survival differences at the
341	non-serpentine sites except for the MCL2012 experiment where the F3s had higher survival than
342	both the serpentine and non-serpentine plants.
343	Principal component analysis of soil chemical variables for field sites and population
344	home sites separates serpentine and non-serpentine localities along PC1 and regions
345	(McLaughlin versus Red Hills) along PC2. PC1 explains 48% of the overall variation and all the

346 variables except for boron (B) have similar loadings (sTable 2) emphasizing the complex nature 347 of the differences between these habitats. Not surprisingly the serpentine soils have higher levels of Mg and lower levels of nearly all other elements analyzed (Fig. 1). The regional 348 349 differences along PC2 indicate that McLaughlin soils have higher levels of B, Ca, sodium (Na) 350 and sulfur (S) while Red Hills soils have higher levels of zinc (Zn) and iron (Fe). However, there 351 is a fair bit of variation within these regional habitat clusters and even among samples from the 352 same sites (e.g. REM which is both a population and one of the MCL serpentine transplant 353 sites). This soil variation between localities may explain some of the survival differences 354 between serpentine populations at the serpentine field sites (Fig. S1). While all the serpentine 355 populations enjoyed significantly higher survival than the non-serpentine populations, some 356 serpentine populations had higher survivorship rates than others: for example, the home RH 357 serpentine population (SLP) had the highest survival of the three serpentine populations 358 included in the RH2010 experiment. These differences could be due to local variation in soil 359 chemistry or to other factors, such as soil physical properties, water availability or exposure.

360 Size differences at non-serpentine sites

While there were no survival differences at the non-serpentine sites there were differences in plant size, wherein plants from non-serpentine populations were larger than those from serpentine populations (Fig. S2) indicating a potential cost to tolerance. In 2010 there were significant differences in rosette diameter between serpentine and non-serpentine plants at the non-serpentine field sites (RH F_{1,178}=6.71, p=0.01; MCL F_{1,173} = 4.245, p=0.041). However, there we did not detect differences in rosette diameter in 2012 (F_{1,71}=0.621, p = 0.433) possibly due to the lower sample size and earlier measurement date. Height and flowering time were only

368	measured for the 2010 experiments. There were significant height differences between
369	serpentine and non-serpentine plants in the RH experiment ($F_{1,139}$ =4.73, p =0.031) but not at MCL
370	($F_{1,99}$ =0.05, p=0.824) and there were no significant differences in flowering time in either
371	experiment (MCL F _{1,103} =0.258, p = 0.612; RH F _{1,141} =0.069, p= 0.793). An overall effect of planting
372	habitat is evident in Fig. S2 where plants at the serpentine sites are smaller and flower slightly
373	earlier.

374 Major QTL contributes to serpentine survival

375 Using a bulk segregant approach we identified a single region of the genome that 376 contributes to survival on serpentine soils. Sequencing pools of the F2 survivors from the 377 MCL2010 experiment identified a QTL on the end of chromosome 13 displaying an elevated Gstatistic relative to the rest of the genome (Fig. 3A). In this region, the serpentine allele 378 379 frequency in the serpentine survivor pool is ~70% (Fig. S3) which is consistent with serpentine 380 tolerance being dominant to non-tolerance. Furthermore, the allele frequency in the non-381 serpentine survivor pool is ~50% in this region as expected given that there were no survival 382 differences at the non-serpentine site. The G-statistic and allele frequency estimates are 383 somewhat noisy, largely due to the small size of the serpentine survivor pool which leads to 384 increased sampling noise; this limited our ability to detect small effect QTL.

Using PCR-based markers (see Fig. 3B for marker locations) we genotyped all the individual survivors from both the serpentine and non-serpentine field sites for the MCL2010 experiment. The genotyping results confirm the putative QTL on chromosome 13 and show that it has a major effect on survival. As there were no significant differences in survival at the non-

389	serpentine site we expect Mendelian segregation (1:2:1) in the survivors; however, survivors			
390	from the serpentine site will show segregation distortion at QTL controlling survival. Indeed, at			
391	one marker (MgSTS419) within the chromosome 13 QTL peak the non-serpentine survivors do			
392	not deviate from the expected 1:2:1 while none of the survivors at the serpentine site are			
393	homozygous for the non-serpentine allele (Fig. 3C). Other markers screened within the QTL			
394	region show similar patterns (sTable 4). Furthermore, the overall frequency of the serpentine			
395	allele was 69.8% in the serpentine survivors and their genotypic ratio was not significantly			
396	different from 1:2 serpentine homozygotes to heterozygotes (χ^2 =0.74, 1d.f., p=0.389), consistent			
397	with the serpentine allele being dominant. F3 survivors from the MCL2012 experiment were			
398	genotyped at the same maker and the serpentine survivors show significant distortion (Fig. 3C)			
399	while the non-serpentine survivors do not. Finally, we also show that this locus contributes to			
400	survival differences in the RH population where only a single survivor from the serpentine field			
401	sites is homozygous for the non-serpentine allele (Fig. 3C).			

402 *QTL* does not contribute to size differences at non-serpentine sites

403 The reciprocal transplant experiments found that non-serpentine plants were larger than 404 serpentine plants in the non-serpentine field sites. To see whether the survival QTL on 405 chromosome 13 contributes to these differences in plant size we conducted two-way ANOVAs 406 in *lme4* for each of the three field experiments treating genotype as a fixed effect and block as a 407 random effect. Only rosette diameter in the RH2010 experiment indicated a significant effect of 408 genotype (sTable 5). A post-hoc Tukey test showed that the non-serpentine homozygotes were 409 significantly smaller (0.76cm \pm 0.09) than the heterozygotes (0.98cm \pm 0.05) at p < 0.05. These size 410 differences are in the opposite direction from what we observed in the field populations where

411	plants from non-serpentine populations were larger. We do not know whether these juvenile
412	size differences have an effect on fitness so it is not clear whether these genotypic differences
413	are adaptive or maladaptive at the non-serpentine sites.

414 Serpentine soils impose very strong selection

415 We calculated selection coefficients from the survivor genotype frequencies to understand how selection is acting on our QTL. Assuming initial genotype frequencies in the 416 417 F2s/F3s were 1:2:1 at the time of transplanting, survival rates for each genotype were calculated 418 by extrapolating the genotypic ratio from the survivors we were able to collect tissue from to 419 the entire survivor pool. Using survival rate as our fitness measure, selection coefficients were 420 calculated as 1-w₁₂ or w₂₂ for the relative fitness of the heterozygote and the non-serpentine 421 homozygote respectively. Selection against the non-serpentine homozygotes was extremely 422 strong in all three field experiments (Table 1) though it was weaker in the MCL2012 experiment 423 likely due to the fact that this experiment was terminated 5 weeks earlier than the 2010 424 experiments. Serpentine tolerance is not completely dominant as the heterozygotes have a 425 slightly lower survival rate compared to the serpentine homozygotes (Table 1) with dominance 426 being less pronounced in the RH cross. These results demonstrate large and consistent effects of 427 this QTL on serpentine survival across years and in different populations.

428 Lab-based common garden replicates survival differences observed in field

Similar to the results from the field studies, the non-serpentine lines did not survive on
the serpentine soils in the common garden experiment (Fig. 4). These results indicate that
properties of the soils, as opposed to other environmental variables that differed between the

432	field sites, are the primary selective agents contributing to the observed survival differences.
433	Both serpentine lines had high survival on their home soils (Fig. 4). The MCL F1s and F2s had
434	high survival on the MCL soil again indicating that tolerance is dominant in this cross.
435	Furthermore, the MCL F2s 5 week survival rate was 83.6% consistent with the simple genetic
436	basis of tolerance found by the field mapping study. The RH F2s had an intermediate survival
437	rate on their home soil (40%). We genotyped these RH F2 survivors at a marker within our
438	chromosome 13 QTL region and find that the genotype frequencies are significantly distorted
439	relative to Mendelian expectations (Fig. 3C) and that the strength of selection is similar to that
440	observed in the field (Table 1). Tolerance appears to be more partially dominant in the RH cross
441	compared to MCL (Table 1) which may explain the overall lower survival rate of the RH F2s in
442	the common garden set-up. Additionally, there may be other loci that contribute to survival on
443	the RH soil.

444 Serpentine populations not equally tolerant of foreign serpentine soil

Despite the fact that the two serpentine lines share the major survival QTL they are not 445 446 equally tolerant of each other's soil. The RH serpentine line performs equally well on both soils 447 (G=0.84, p=0.36) while the REM line has significantly lower survival on the RH soil than on its 448 home soil (G=27.8, p=1.37e-7). Given that the seedlings were replicates of an inbred line this 449 suggests that environmental variation contributes to survival. The differences between 450 replicates could be due to variation in water availability both within and between plates, which 451 would affect the rate at which plants are acquiring water and solutes from the soil matrix as well as heterogeneity in the soil itself. The non-serpentine lines had low survival on both soil 452 453 types; however, the TUL line took longer to die on the MCL soil compared to the RH soil -

454	100% mortality by week 1 census on RH soil but only 100% mortality at week 4 on MCL soil.	
455	The RH F2s had very high survival (94.1%) on the MCL soil which is likely due to this soil being	
456	less stressful to the non-serpentine parent TUL. The MCL F2s on the other hand had very low	
457	survival on the RH soil (4.5%). The difference in overall survival between the REM parental line	
458	and the MCL F2s on the RH soil indicates that there are other loci which contribute to survival	
459	on the RH soil. In addition, the REM and SLP lines may have different serpentine alleles at the	
460	QTL with different functionalities.	

461 Variation in the chemical composition of the MCL and RH soils may help to explain the patterns of survival observed in the common garden experiment. The soil from the RH field 462 463 sites has lower mean absolute levels of both Ca (112.83 \pm 20.1) and Mg (1125 \pm 34.5) as well as an 464 overall lower Ca:Mg (0.1) compared to the MCL soils (Ca 515.17 ± 119.0; Mg 2471.83 ± 322.0; 465 Ca:Mg 0.21). The RH soils also have higher average Ni ppm (19.5 ± 2.4) than MCL (8.4 ± 1.19) 466 while the non-serpentine soils have low Ni levels (RH-off = 1.27 ± 0.12 ; MCL-off 0.4 ± 0.08). 467 Taken together these results suggest that the RH serpentine soil presents an overall harsher 468 environment than the MCL soil. Elucidating the mechanism whereby soil chemical differences 469 actually influence serpentine tolerance requires more detailed experiments isolating individual 470 variables. Furthermore, a full QTL mapping study of the RH population would help to confirm 471 whether there are other loci contributing to serpentine tolerance in this population.

472

DISCUSSION

473 *Simple genetic basis of serpentine adaptation in M. guttatus*

474	Non-serpentine populations of <i>M. guttatus</i> are unable to survive when planted on
475	serpentine soils in both the field and the lab while serpentine populations enjoy moderate to
476	high survival rates. Reciprocal transplant studies between serpentine and non-serpentine
477	populations in other species have also found survival differences at serpentine sites (e.g.
478	Collinsia sparsiflora (J. W. Wright et al., 2006), Helianthus exilis (Sambatti & Rice, 2006), Leptosiphon
479	parviflorus (Dittmar, 2017) and even long-lived pines (J. W. Wright, 2007)). We also found
480	strong selection against non-serpentine plants in the common-garden experiment indicating
481	that soil variables mediate these survival differences. By planting mapping populations in the
482	field we were able to directly map loci contributing to these viability differences. The bulk
483	segregant analysis identified a region on the end of chromosome 13 showing a large enrichment
484	of serpentine alleles in the survivors from serpentine sites relative to survivors at the non-
485	serpentine sites. Genotyping the individual F2 survivors from each of the habitats confirmed
486	this region as a major effect QTL that explains 71% (2012) and 84% (2010) of the survival
487	differences between the parents at the McLaughlin field sites. In addition, the serpentine allele
488	is largely dominant with heterozygotes having only slightly reduced survival rates relative to
489	serpentine homozygotes.

The simple genetic basis of serpentine adaptation in *M. guttatus* supports other QTL
studies showing major gene effects for serpentine tolerance (Bratteler *et al.*, 2006; Burrell *et al.*,
2012). These QTL results contrast with findings from genome scans in *Arabidopsis* where many
loci show elevated levels of differentiation between serpentine and non-serpentine populations
(Arnold *et al.*, 2016; Turner *et al.*, 2010). However, it is necessary to connect variants to fitness

495	differences in the field in order to understand their true adaptive value and by directly mapping			
496	on field survival differences, this study demonstrates that a major locus underlies adaptation to			
497	complex serpentine habitats in <i>M. guttatus</i> . We do not presume that this study presents the full			
498	picture of the genetic basis of serpentine adaptation in <i>M. guttatus</i> as our BSA was			
499	underpowered to detect loci of smaller effect due to the limited size of the serpentine survivor			
500	pool (Magwene et al., 2011). Future work combining both high-powered mapping studies as			
501	well as genomic scan approaches will provide a more complete picture of the genetic			
502	architecture of adaption to serpentine soils in <i>M. guttatus</i> .			
503	The QTL is currently localized to a roughly 1.5Mb region on the end of chromosome 13			
504	which contains several hundred genes. This region contains a homolog of one of the putative			
505	serpentine adaptation genes in A. lyrata (Turner et al. 2010) that is in the RING/U-Box			
506	superfamily and is involved in zinc ion binding. A gene encoding a glutathione S-transferase			
507	(GST) protein which function in stress response and heavy metal tolerance is also found in this			
508	interval (reviewed Edwards et al., 2000; Yadav, 2010). Additionally, a number of genes have			
509	annotations indicating transporter or metal binding activity. However, in order to prioritize			
510	candidate genes it will be necessary to identify the actual traits underlying the observed			
511	survival differences. Palm et al. (2012) grew the same REM and SOD lines as we used (these			
512	were the parents of the MCL mapping population) in hydroponic culture with altered Ca:Mg.			
513	They found that the serpentine line (REM) was more tolerant of the low Ca:Mg growth			
514	environment based on differences in biomass and phyotosynthetic rate. Adaptation to low			
515	Ca:Mg may be an important driver of adaptation to serpentine habitats in <i>M. guttatus</i> . However,			

516	our QTL interval does not contain any calcium or magnesium specific transporters such as CAX
517	genes which have been implicated in tolerance to low Ca:Mg (Bradshaw, 2005). Finemapping
518	efforts are underway that will narrow the QTL region to identify the causal locus. Finemapping
519	will also help to address whether this QTL is actually comprised of multiple linked loci as might
520	be predicted if adaptation to serpentine soils occurred and is maintained in the face of gene flow
521	(Yeaman & Otto, 2011; Yeaman & Whitlock, 2011).

522 *Cost to tolerance*

523 Local adaptation is defined as a genotype by environment interaction where local 524 genotypes have higher fitness than foreign ones. The survival differences at the serpentine sites 525 indicate strong fitness reductions for non-serpentine plants in these habitats. While there were 526 no survival differences between the ecotypes at the non-serpentine field sites, we did detect 527 differences in plant size where non-serpentine plants were larger than serpentine plants. Palm 528 et al (2012) found similar differences in biomass between the REM/SOD pair from the 529 McLaughlin Reserve when grown in potting soil. Work in other species has also found that 530 serpentine-tolerant plants do not grow as well as non-serpentine plants when grown together 531 on non-serpentine soils (Jurjavcic et al., 2002; Kruckeberg, 1954; Proctor & Woodell, 1975; 532 Sambatti & Rice, 2006). It's thought that these growth rate differences may lead to a reduction in 533 competitive ability of serpentine tolerant plants in non-serpentine sites which typically have 534 higher vegetative cover. However, the connection between growth rate differences and fitness is 535 not clear and we were limited in our ability to detect such a tradeoff as we were not allowed to 536 let the transplants flower and set seed in the field.

537 Same QTL contributes to serpentine adaptation in second population

538 The reciprocal transplant experiments found differences in survival rates between 539 serpentine populations collected from different localities. At both MCL and RH the home serpentine populations had the highest survival suggesting that not all serpentine *M. guttatus* 540 populations are equally tolerant to all serpentine soils but rather that they may be locally 541 542 adapted to the specific characteristics of their home patch. However, these survival differences 543 in the field could have been due to other environmental variables that differed between 544 localities. The lab-based common-garden experiments directly tested the role of soil variables on survival. While the RH serpentine population enjoyed high survival on both soils, the MCL 545 546 population had significantly lower survival when planted on the RH soil compared to its home 547 soil. Such findings are perhaps not surprising given the patchy distribution of serpentine soils 548 and variation in chemical (Fig. 1) and physical properties arising from differences in the 549 primary mineralogical composition of parent materials, degree and conditions of metamorphic 550 alteration and degree of weathering (Alexander et al., 2007; Kruckeberg, 1984; Proctor et al., 551 1975; Whittaker, 1954).

The tolerance differences between the RH and MCL populations are especially interesting because we found that they share the same major QTL. Genotyping the survivors from the RH serpentine field sites and soil plates showed that very few of the survivors were homozygous for the non-serpentine allele at the QTL (s=0.9 in both experiments). We do not know whether the RH and MCL populations represent independent evolutions of serpentine tolerance. However, based on geography – these two populations are ~300km apart and

558	separated by the Central Valley in CA where there is no serpentine soil (Fig. 1) – this seems like		
559	a reasonable hypothesis. The differences in survival on the two soils between the populations		
560	suggests that either there are other loci required to grow on the RH soil which are not shared		
561	between the populations or that the populations have different alleles, or even different loci		
562	underlying this shared QTL. Serpentine and non-serpentine populations of <i>M. guttatus</i> grow		
563	throughout Western North America, often in close proximity, providing an ideal system for		
564	investigating the distribution of this major serpentine tolerance QTL and to address questions of		
565	parallel adaptation. Future work aims to determine whether the shared genetic basis of		
566	tolerance is due to a single mutational origin followed by migration or if there has been		
567	repeated selection from standing variation or independent mutations at the same locus.		

568 Conclusion

569 Many classic examples of adaptive differentiation between populations or closely 570 related species involve changes at one or a few loci: cryptic coloration (Hoekstra et al., 2006; 571 van't Hof et al., 2011), mimicry (Baxter et al., 2010), shifts from marine to freshwater (Colosimo et 572 al., 2005) and pollinator shifts (Bradshaw Jr & Schemske, 2003). These cases are similar to the 573 colonization of serpentine soils where populations may initially be far from a new fitness 574 optima with limited intermediate habitat and/or where intermediate phenotypes have low 575 fitness. Such situations likely favor the fixation of large effect loci (Dittmar et al., 2016). M. 576 guttatus has colonized other harsh habitats such as copper mine tailings, hot springs and coastal cliffs (Selby et al., 2014) and large effect QTLs have been found for traits contributing to 577 578 adaptation to these habitats (Hendrick et al., 2016; Lowry et al., 2009; K. M. Wright et al., 2015).

579	Interestingly, none of these major QTL involved in adaptation to stressful abiotic habitats in <i>M</i> .
580	guttatus are shared. For example, the major copper tolerance locus is on chromosome 9, yet, the
581	focal copper tolerant populations occur only 20km from the RH serpentine site. While we have
582	not investigated whether there may be some degree of cross tolerance between serpentine and
583	copper adapted populations, they appear to have largely colonized these harsh habitats via
584	independent, large effect loci. How M. guttatus consistently has the necessary genetic variation
585	to produce these large phenotypic shifts to colonize numerous harsh habitats while many other
586	species occurring in close proximity fail to adapt is unknown.
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597 Data Accessibility:

All data will be made publicly available on Dryad upon the acceptance of this manuscript.

599 Author Contributions:

JPS and JHW designed the experiments and wrote the paper. JPS performed all experimentsand analyses.

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- 838 Figure 1: A) Locations of populations and field sites for reciprocal transplant studies. Serpentine
- localities are green while non-serpentine are pink. Red Hills localities are the darker shades.
- 840 Transplant sites are marked with asterisks. Two serpentine transplant sites were used in each
- region but they are represented by a single point as, in both cases, sites are within 1km of each
- other. The REM and SLP populations are the home populations of one the serpentine transplant
- sites in McLaughlin and Red Hills respectively. Soil data obtained from

844 <u>http://mrdata.usgs.gov/geology/state/state.php?state=CA</u> and serpentine soil distribution determined

- 845 by extracting units with the rocktypes "peridotite" and "serpentinite" in R using packages
- rgdal, raster, rgeos and maps for shapefile manipulation and plotting. B) PCA biplot of soil
- 847 variables for populations and field sites.

Figure 2: Kaplan-meier survival curves for serpentine, non-serpentine, F1 and F2/F3 plants in
serpentine (top row) and non-serpentine (bottom row) field sites. P-values given for log-rank
tests of all groups. For experiments with significant overall survival differences pairwise posthoc log-rank tests were conducted and groups connected by the same letter are not significantly
different. MCL2010 nonserpentine none of the pairwise posthoc tests were significant after
Bonferroni correction.

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Figure 3: A) G-statistic calculated from summed allele counts in 25-SNP windows for the
MCL2010 serpentine and non-serpentine survivor pools. Points indicate mean window position.
B) G-statistic for chromosome 13 containing the highest peak. PCR-based markers used to

- 858 genotype individual survivors for QTL confirmation shown on the X-axis. Markers from left to
- 859 right are 778, 117, 310, 68, 419, 601 and 557 (MgSTS marker #'s
- 860 <u>http://www.mimulusevolution.org/</u>). C) Genotype frequencies of survivors from field and
- common garden experiments shown for non-serpentine and serpentine sites. Survivors on
- serpentine soil deviate significantly from Mendelian expectations (1:2:1) in all experiments

863 (MCL2010 (44): χ^2 =16.18, p=0.00031; MCL2012(116): χ^2 =16.19, p=0.00031; RH2010 (22): χ^2 =6.12,

- p=0.047; RHPlates (119): χ^2 =34.72, p=2.879e-08). The survivors at the non-serpentine field sites
- 865 do not differ from expected (MCL2010 (215): χ^2 =4.8, p=0.091; MCL2012(236): χ^2 =2.13, p=0.35;
- 866 RH2010 (85): χ^2 =1.635, p=0.442). Degrees of freedom=2 for all tests. Number of individual
- genotyped given in parentheses above. The marker ID used for each experiment provided in
- 868 legend.
- 869
- 870 Figure 4: Survival in common garden experiment by genotype averaged across plates with

standard errors. Serpentine populations shown in green and non-serpentine in pink. The

- number of plates planted per genotype on each soil type (MCL/RH soil) is given; 12 germinants
- 873 planted/plate. The plot titles refer to the home region of the lines planted.
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880 Tables:

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Table 1 Relative fitness and selection coefficients for each genotype at QTL on chromosome 13 on serpentine soil in four different
 experiments.

Experiment				Selection
	Genotype	Survival	Relative	coefficient
		rate	Fitness	(s)
McL Field	SS	25.1%	1	0
2010	SN	18.1%	0.72	0.28
	NN	0	0	1
McL Field	SS	42.0%	1	0
2012	SN	34.1%	0.81	0.19
	NN	11.5%	0.28	0.73
RH Field	SS	22.3%	1	0
2010	SN	14.9%	0.67	0.33
	NN	2.5%	0.11	0.89
RH Plates	SS	66.7%	1	0
Lab	SN	42.7%	0.64	0.36
	NN	6.7%	0.1	0.9

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