# Relative value perception in an insect: positive and negative incentive contrasts in ants

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## 14 Abstract

15 Humans tend to value things not on their absolute values, but relative to reference points such as 16 former experience or expectations. People rate the quality of a new salary relative to their previous 17 salary and the salaries of their peers, instead of appreciating its absolute value. Here, we demonstrate a similar effect in an insect: ants, which had previously experienced a low quality food source, showed 18 19 higher acceptance of medium quality food (e.g. 0.1M then 0.5M; positive contrast) than if they had 20 received the medium food all along (e.g. 0.5M then 0.5M; control), and vice versa for high expectations. 21 Further experiments demonstrate that these contrast effects arise from cognitive rather than mere 22 sensory or pre-cognitive perceptual causes. Pheromone deposition also correlates with perceived 23 reward value, and ants showed successive contrasts in their pheromone deposition. Relative value 24 perception can therefore be expected to have strong effects not only on individual behaviour, but also 25 on collective decision-making. Contrast effects were also social: the quality of food received from other 26 ants affected the perceived value of food found later. Value judgement is a key element in decision 27 making, and thus relative value perception will strongly influence how animals interact with their 28 environment.

# 29 Key Words

Incentive contrasts; successive contrasts; relative value perception; foraging; recruitment; privateinformation

# 33 Introduction

We all compare options when making both large and small decisions, ranging from career choice to 34 35 the choice of an evening's entertainment. Understanding how options are compared has thus been 36 central to the study of behaviour and economics. Theories explaining the mechanisms by which 37 options are compared and decisions are made have a long tradition (Vlaev et al. 2011), with Expected 38 Utility Theory (EUT) being the most widely used theory in economic models (Mankiw 2011; von 39 Neumann and Morgenstern 1944). EUT suggests that decisions are made by evaluating and comparing 40 the expected pay-off from each option. A rational decision maker then chooses the option resulting in 41 the best end state (i.e. the option providing the greatest utility) (von Neumann and Morgenstern 1944).

42 However, over the past decades economic research on how humans make decisions has started to 43 shift away from a view of (absolute) utility maximization towards more nuanced notions of relative utility, such as reference-dependent evaluations. Kahneman and Tversky (1979) made a major 44 contribution to this shift by introducing Prospect Theory, suggesting that decision making is not based 45 46 on absolute outcomes, but rather on relative perceptions of gain and losses. In contrast to EUT, the 47 utility attributed to options being evaluated is determined relative to a reference point, such as the 48 status quo or former experience (Kahneman and Tversky 1979; Parducci 1984; Tversky and Kahneman 49 1992; Ungemach, Stewart, and Reimers 2011; Vlaev et al. 2011). Various examples of relative value 50 perception have been described. For example, satisfaction gained from income is perceived not 51 absolutely, but relative to the income of others in the social reference group – such as one's colleagues 52 (Boyce, Brown, and Moore 2010). Overall, Prospect Theory has enriched our understanding of human 53 decision making by conceptualizing it as more nuanced than previously assumed (Tversky and 54 Kahneman 1974, 1981).

A similar relativistic pattern can be found in sensory judgements: Humans rated drinks containing the same sucrose concentration sweeter when they were presented with a range of lower concentrations and less sweet when higher concentrations were presented more frequently (McBride 1982; Riskey, Parducci, and Beauchamp 1979). However, these findings also match well with predictions from psychophysics, in which the link between a given stimulus strength and it's sensation is studied (Zwislocki 2009). A key psychophysical finding is that identical stimuli are perceived as more or less intense depending on the strength of reference stimuli.

The concept of malleable value perception is not just relevant to humans. Value judgments in animals are also influenced by factors apparently independent of the absolute value of options. For example, capuchin monkeys refuse otherwise acceptable pay (cucumber) in exchanges with a human experimenter if they had witnessed a conspecific obtain a more attractive reward (grape) for equal

66 effort (Brosnan and de Waal 2003). Rats, starlings, and ants, like humans, place greater value on things they work harder for (Aw, Vasconcelos, and Kacelnik 2011; Czaczkes, Brandstetter, et al. 2018; Lydall, 67 68 Gilmour, and Dwyer 2010), and fish and locusts demonstrate state-dependent learning, wherein they 69 show a preference for options experienced when they were in a poor condition (Aw et al. 2009; 70 Pompilio, Kacelnik, and Behmer 2006). Roces and Núñez aimed to show that in leaf cutting ants 71 perceived value can be influenced by other ants. Ants recruited to higher quality food sources ran 72 faster, deposited more pheromone, but cut smaller leaf fragments, even if the food source the recruits 73 find is replaced by a standardised food source (Roces 1993; Roces and Núñez 1993). However, in these 74 experiments the absolute value and nature of the reference remains unclear, and indeed pheromone 75 presence may have caused the observed behaviours without influencing the ants' expectations or 76 value perception at all. Critically missing from this body of work is a systematic description of value 77 judgment relative to a reference point.

78 A common way in which value is judged is by either comparing two options to each other or by 79 comparing one option to an option experienced in the past. Thus, the perceived value of an option is 80 likely to depend strongly on the strength of contrast between both options and on whether the new 81 option results in a relative gain or a loss. Such value-distortion by comparison effects have been studied 82 for decades using the successive contrasts paradigm. In such experiments, animals are trained to a 83 quality or quantity of reward which is then suddenly increased (positive incentive contrast) or 84 decreased (negative incentive contrast) (Bentosela et al. 2009; Bitterman 1976; Couvillon and 85 Bitterman 1984; Crespi 1942; Flaherty 1982, 1999; Mustaca, Bentosela, and Papini 2000; Weinstein 86 1970b). The reaction of animals towards the post-shift reward is then compared to the reaction of 87 animals which always received the first reward and therefore did not experience a shift. Many 88 mammals, including apes, monkeys, rats and dogs (Bentosela et al. 2009; Brosnan and de Waal 2003; 89 Crespi 1942; Flaherty 1999; Mustaca, Bentosela, and Papini 2000; Pellegrini and Mustaca 2000; 90 Weinstein 1970a) have been shown to respond to successive negative contrast by disrupting their 91 behaviour compared to control animals which had not experienced a change in reward. The animals 92 display behaviour akin to disappointment – slower running speeds to a reward (Bower 1961), 93 depressed licking behaviour (Flaherty, Becker, and Pohorecky 1985; Vogel, Mikulka, and Spear 1968), or reward rejection (Tinklepaugh 1928). 94

However, unlike negative contrast effects, responses to positive successive contrast have rarely been
found, even when searched for (Black 1968; Capaldi and Lynch 1967; Bower 1961; Dunham 1968;
Papini et al. 2001). This may be due to three possible factors, which have the opposite effect of positive
contrast and may counterbalance it: ceiling effects, neophobia, and generalization decrement
(Annicchiarico et al. 2016; Flaherty 1999). Ceiling effects may occur when the performance of animals

100 receiving a large reward is at or near a physical limit. The absence of positive contrast may then not be 101 generated by behavioural principles, but through an artefact of experimental design (Bower 1961; 102 Campbell et al. 1970). Neophobia may manifest itself through the reluctance to eat novel food – even 103 if the food is of higher quality than normal (Flaherty 1999). Generalization decrement may occur when 104 animals are trained under one set of stimuli and then tested under another. The strength of the tested 105 response may decrease with increasing differences between the training and testing stimuli (Kimble 106 1961), which may then result in weaker positive contrast effects following a reward shift. Thus, the 107 reward change itself may lead to a decrease in responding just as would any other change in context, 108 such as a change in the brightness of the runway (Capaldi 1978; Premack and Hillix 1962).

109 Even though positive contrast effects proved to be hard to demonstrate in laboratory experiments, 110 there are good theoretical reasons for expecting both positive and negative contrast effects to evolve 111 (McNamara, Fawcett, and Houston 2013): if conditions become rich in the environment of an animal 112 which was initially exposed to poor conditions, it should work harder than if conditions have been rich 113 all along. This is because conditions are likely to worsen in the future and the animal should therefore 114 use good conditions to the fullest while available. By contrast, if the animal was accustomed to rich 115 conditions which then suddenly worsen, it should work less hard than if conditions have always been 116 poor. In this case, rich conditions are likely to return and the animal would do better by waiting for the 117 good conditions to return before continuing to exploit the environment. Lastly, contrast effects should 118 be strongest in animals adapted to rapidly changing conditions, because it enhances the differential 119 allocation of effort between favourable and unfavourable periods (McNamara, Fawcett, and Houston 120 2013).

121 Contrast effects could potentially arise without differential valuation of options; other mechanisms 122 could also in principle produce these results: contrast effects in sensory tasks could derive from simple 123 psychophysical mechanisms (Fechner 1860; Zwislocki 2009), and thus arise from sensory perceptual 124 mechanisms rather than higher level cognitive processing of value. Sensory judgements are also 125 usually made relative to reference points and through constant comparisons with former stimuli 126 (Helson 1964; Vlaev et al. 2011). The position of the reference point in the range of stimuli may thus 127 bias how the stimulus, and thus the value, of a post-shift reward is perceived (Zwislocki 2009). For 128 example, the sweetness of a sucrose solution may be perceived much stronger when the reference 129 point to which it is compared is low. Sensory satiation may also result in apparent contrast effects: the 130 more sweetness receptors are blocked by a sweet reference solution, the fewer receptors will fire 131 when confronted with a post-shift reward, thus making solutions taste less sweet (Bitterman 1976). A 132 final potential driver of apparent contrast effects is related to the theoretical benefits of such 133 behaviour described above: animals may rationally expect the pre-shift reward to be available in the

future again and therefore rationally show lower acceptance towards the post-shift reward, becausethey are waiting for the pre-shift reward to reoccur.

136 The finding of contrast effects in the honey bee, until now the only invertebrate for which such 137 behaviour was conclusively shown, led to a fourth explanation for contrast effects (Couvillon and 138 Bitterman 1984; Bitterman 1976; Núñez 1966). Bitterman (1976) found that honey bees which were 139 trained to a 40% sucrose solution show many feeding interruptions when experiencing a downshift to 140 20% sucrose. By contrast, bees which were fed on 20% throughout the whole experiment filled their crops immediately. Bees which were shifted from 20% to 40% showed no interruptions at the post-141 142 shift solution either. Apart from explaining these results as negative contrast effects, Bitterman 143 suggested two alternative hypotheses: sensory saturation (see above) and changes in satiation level. 144 Individuals may not only store sucrose solutions in their crop, but may also ingest small amounts of 145 sucrose, leading to an increase of haemolymph-sugar levels. Higher blood-sugar levels negatively affect 146 sweetness perception in humans (Mayer-Gross and Walker 1946; Melanson et al. 1999), and a similar 147 effect could cause a post-shift solution to taste less sweet to animals trained on high sucrose 148 concentrations. However, using an odour training paradigm, Couvillon and Bitterman (1984) found 149 negative contrast effects in honeybees and could rule out the above alternative causes.

150 In this study, we investigate positive and negative contrast effects using the successive contrasts 151 paradigm, and define the first relative value curve in an invertebrate; the ant *Lasius niger*. We then 152 demonstrate that relative value perception arises from non-rational cognitive effects, rather than 153 rational decision-making, physiological effects, or psychophysical phenomena. Finally, we 154 demonstrate that information flowing into the nest can influence value perception in outgoing foragers.

## 155 Methods and Results

#### **156** Study animals

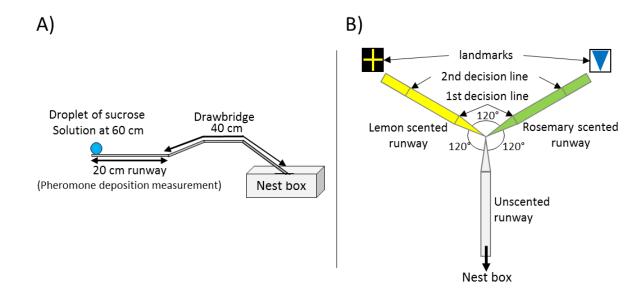
Eight stock colonies of the black garden ant *Lasius niger* were collected on the University of Regensburg campus. The colonies were kept in 30x30x10cm foraging boxes with a layer of plaster covering the bottom. Each box contained a circular plaster nest box (14 cm diameter, 2 cm height). The colonies were queenless with around 1000-2000 workers and small amounts of brood. Queenless colonies still forage and lay pheromone trails, and are frequently used in foraging experiments (Devigne and Detrain 2002; Dussutour et al. 2004). The colonies were fed with *ad libitum* 0.5M sucrose solution and received *Drosophila* fruit flies once a week. Water was available *ad libitum*.

One sub-colony of 500 individuals was formed from each stock colony, and these eight fixed-size subcolonies were used for our experiments. Sub-colonies were maintained identically to the stock colonies, but did not receive any *Drosophila* fruit flies to prevent brood production, and were starved four days

- 167 prior to the experiments in order to achieve a uniform and high motivation for foraging (Mailleux,
- 168 Detrain, and Deneubourg 2006; Josens and Roces 2000). During starvation, water was available *ad*
- 169 *libitum*. Any ants which died or were removed from the sub-colonies were replaced with ants from the
- 170 original stock colonies.

#### 171 General setup

- 172 The general setup used for all of our three experiments was identical and consisted of a 20 x 1 cm long
- paper-covered runway which was connected to the sub-colony's nest box via a 40 cm long drawbridge
- 174 (figure 1A). A 5mm diameter drop of sucrose solution (Sigma-Aldrich) was placed on an acetate feeder
- at the end of the runway (60cm from the nest). The molarity of the sucrose droplet depended on the
- 176 experiment, treatment and on the ants' number of visit to the food source.



#### 177

Fig. 1: **A)** General setup used for all presented experiments. The 20 cm long runway is connected to the nest\_box via a 40 cm long drawbridge. The droplet of sucrose solution is placed at the end of the runway (60 cm distance to the nest). **B)** Y-maze used on the 10<sup>th</sup> visit of experiment 2. All arms were 10 cm long. The arm connected to the nest box was covered with unscented paper overlays while the other two arms were covered with lemon and rosemary scented paper overlays (one scent on each side). Visual cues (landmarks) were placed directly behind the two scented arms. The first decision line was located 2.5cm from the Y-maze centre and marked the initial decision of an ant while the second decision line was located 7.5cm from the centre and marked the final decision.

To begin an experiment, the sub-colony was connected to the runway via the drawbridge. 2-4 ants 186 were allowed onto the runway, and the first ant to reach the feeder was marked with a dot of acrylic 187 paint on its gaster. The marked ant was allowed to drink to repletion at the food source, while all other 188 189 ants were returned to the nest. As the ant drank at the droplet it was given one of three food 190 acceptance scores. Full acceptance (1) was scored when the ant remained in contact with the drop 191 from the moment of contact and did not interrupt drinking within 3 seconds of initial contact. Partial 192 acceptance (0.5) was scored if feeding was interrupted within 3 seconds after the first contact with the 193 food source, but the ant still filled its crop within 10 minutes (as can be seen by the distention of the

abdominal tergites). Lastly, rejection (0) was scored if the ant refused to feed at the sucrose solutionand either returned to the nest immediately or failed to fill its crop within 10 minutes.

When the ant had filled its crop or decided not to feed at the sucrose droplet, it was allowed to return to the nest. Inside the nest, the ant unloaded its crop to its nestmates and was then allowed back onto the runway for another visit. The drawbridge was now used to selectively allow only the marked ant onto the runway.

200 In addition to measuring food acceptance, we also measured pheromone deposition. Individual 201 pheromone deposition behaviour correlates with the (perceived) quality of a food source (Beckers, 202 Deneubourg, and Goss 1993; Hangartner 1970; Czaczkes, Grüter, and Ratnieks 2015). Individual ants 203 can adapt the strength of a pheromone trail by either depositing pheromone or not, or varying the 204 intensity of a pheromone trail through number of pheromone depositions (Hangartner 1970; Beckers, 205 Deneubourg, and Goss 1993). Pheromone deposition behaviour in L. niger is highly stereotypic. To 206 deposit pheromone, an ant briefly interrupts running to bend its gaster and press the tip of the gaster 207 onto the ground (Beckers, Deneubourg, and Goss 1992). This allows the strength of a pheromone trail 208 to be quantified by counting the number of pheromone depositions over the 20 cm runway leading to 209 the feeder. Pheromone depositions were measured each time the ant moved from the food source 210 back to the nest (inward trip), and each time the ant moved from the nest towards the food source 211 (outward trip). Because L. niger foragers almost never lay pheromone when they are not aware of a 212 food source (Beckers, Deneubourg, and Goss 1992), we did not measure pheromone depositions for 213 the very first outward trip (visit 1). The presence of trail pheromone on a path depresses further 214 pheromone deposition (Czaczkes et al. 2013). Thus, each time an ant had passed the 20 cm runway, 215 the paper overlay covering the runway was replaced by a fresh one every time the ant left the runway to feed at the feeder or returned to the nest. 216

All experimental runs were recorded with a Panasonic DMC-FZ1000 camera to allow for later videoanalysis.

- 219 After each experimental run the ant was permanently removed.
- 220 Details of our statistical analysis methods and samples sizes are provided in online supplement S1.

## 221 Experiment 1 – Defining a relative value perception curve

The aim of this of experiment was to test whether *Lasius niger* foragers value a given absolute sucrose solution concentration relative to a reference point or based on its absolute value. We used a range of 12 molarities as reference points in order to describe a value curve. To exclude effects of the

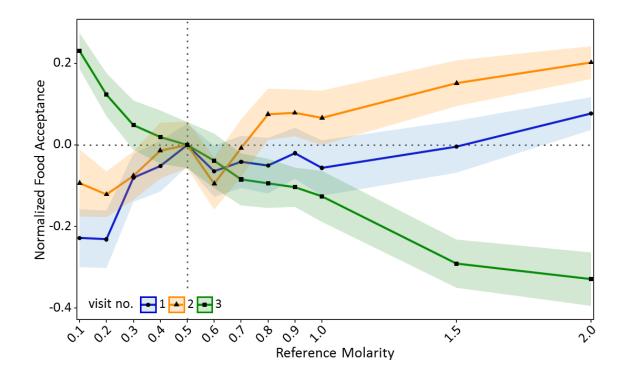
researcher's expectations on the data, the data for this experiment were collected blind to treatment(Holman et al. 2015).

### 227 Experiment 1 - Methods

228 In the first two visits to the apparatus - termed the training visits - the ants' reference point was set by 229 allowing it to feed from a feeder at the end of the runway. The quality of the sucrose solution was 230 varied between ants, with each ant receiving the same quality twice successively. 12 different 231 molarities were used: 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1, 1.5 or 2M. Lasius niger workers learn 232 the quality of a feeder within 2 visits (Wendt and Czaczkes 2017). On the third visit (test visit), the food 233 source was replaced by a 0.5M sucrose solution droplet for all ants. Thus, ants trained to qualities 234 <0.5M experienced a positive successive contrast, ants trained to >0.5M experienced negative 235 successive contrast, and the ants trained to 0.5M constituted the control (no contrast). Food 236 acceptance and pheromone depositions were noted for each visit, as described above.

#### 237 Experiment 1 - Results

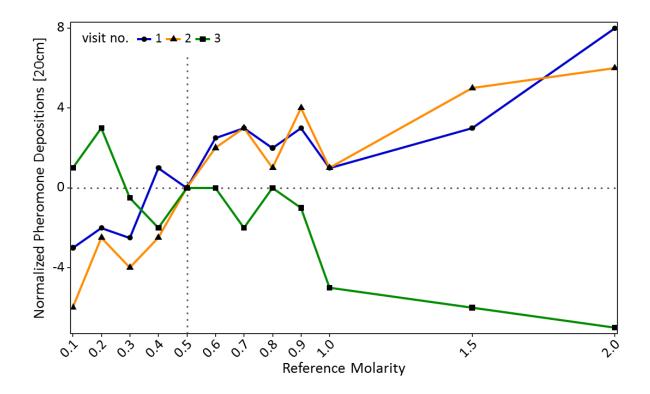
Ants seemed to value sucrose solution droplets relative to a reference point (figure 2, table S1). While 238 239 in the training visits acceptance scores increased significantly with increasing molarity of the reference quality (CLMM: 1<sup>st</sup> visit: estimate= 1.18, z=6.99, p>0.001; 2<sup>nd</sup> visit: estimate= 1.56, z=9.28, p<0.001, fig. 240 2), in the test (contrast) visit acceptance scores decreased significantly with increasing molarity of the 241 242 reference quality (CLMM: estimate=-2.59, z= -13.75, p<0.001, fig. 2). Ants which were trained to very 243 low molarities (0.1M: p<0.001) showed significantly higher acceptance of 0.5M sucrose than control 244 ants, while ants trained to high molarities (1.5M: p<0.001, 2M: p<0.001) showed lower acceptance of 245 0.5M than the control group (see supplement Table S2.1 for all pairwise comparisons).



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Fig. 2: Food acceptance shown in experiment 1 for the two training visits (visit 1 & 2) in which ants received one of 12 molarities and the test visit (3) in which all ants received 0.5M. Shown are the mean food acceptance (points) and the 95% confidence intervals (coloured ribbons) for each reference molarity and visit. Data was normalized to show the mean food acceptance of the control group (received 0.5M on each visit) at 0 for all three visits. For a non-normalized graph of the data see supplement Figure S2.1.

253 A similar pattern was found for pheromone deposition behaviour on the way back to the nest (figure 254 3). In the training visits, number of pheromone depositions increased significantly with increasing 255 molarity of the reference solution (GLMM: estimate= 0.86, z= 13.86, p<0.001). Additionally, ants 256 performed significantly more pheromone depositions on the second return to the nest compared to 257 the first return visit (GLMM: estimate = 0.31, z = 4.64, p<0.001). By contrast, on the test visit pheromone 258 depositions decreased significantly with increasing molarity of the reference solution (GLMM: 259 estimate= -0.82, z= -9.75, p<0.001, fig. 3). Ants which deposited more pheromone during the training 260 visits generally deposited more pheromone on the test visit compared to ants which deposited less pheromone during the training visits (GLMM: estimate= 0.16, z= 15.99, p<0.001). Ants which were 261 262 trained to very low molarities (0.2M: p<0.01) deposited significantly more pheromone depositions in the test visit than control ants, while ants trained to high molarities (1M: p<0.001, 1.5M: p<0.001, 2M: 263 264 p<0.001) deposited less pheromone depositions than the control group (see supplement Table S2.2 for pairwise comparisons). 265



#### 266

Fig. 3: Pheromone depositions on the way back to the nest shown in experiment 1 for the two training visits (visit 1 & 2) in which ants received one of 12 molarities and the test visit (3) in which all ants received 0.5M. Shown is the median number of pheromone depositions (points) measured on a 20 cm track right behind the food source for each reference molarity and visit. Data was normalized to show the median number of pheromone depositions of the control group (received 0.5M on each visit) at 0 for all three visits. For a non-normalized graph of the data with error ribbons see supplement Figure S2.2.

# Experiment 2 – ruling out alternative explanations using scent training

The results of experiment 1 are consistent with relative value perception stemming from the psychological effects of successive contrasts. However, alternative hypotheses could also explain these results. Four possible alternatives must be excluded: sensory saturation, ingested sucrose changing haemolymph-sugar levels, psychophysical sensory contrast effects and the fact that ants may expect pre-shift solutions to return in later visits (see introduction). To rule out the above four alternative explanations, we carried out experiment 2.

#### 281 Experiment 2 - Methods

To rule out the alternative non-psychological explanations for the contrast effects we described above, we needed to change the expectation of the ants while exposing all ants to identical training regimes. This would provide a reference point for testing relative value perception while keeping sensory saturation, haemolymph-sugar levels, and psychophysical effects the same until the switch occurred. To this end, we trained ants over 8 visits to associate a high sucrose molarity (1.5M) with one scent, and a low molarity (0.25M) with a different scent. Then, in the 9<sup>th</sup> testing phase, we used scents to trigger an expectation of either high or low molarity, which was then contrasted with a medium (0.5M)

unscented solution. Finally, preference for the high-quality associated odour was tested for using a Y-maze.

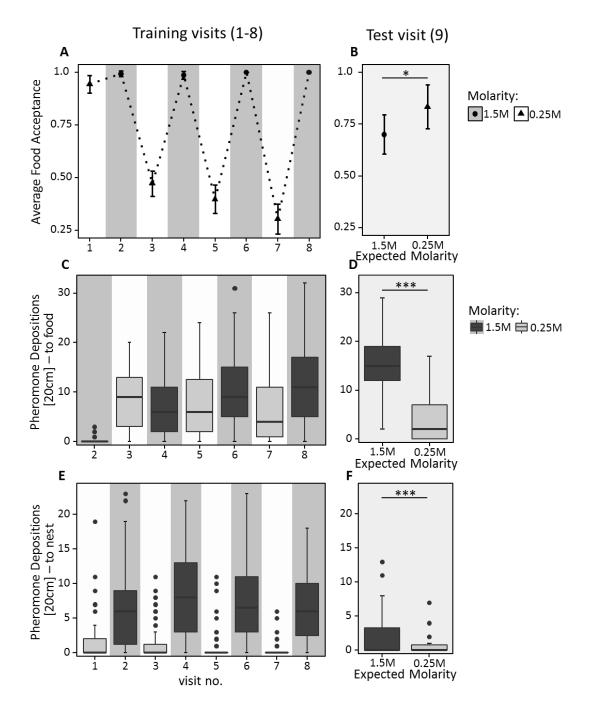
291 For a detailed description of the methods used, see online supplement S3.1.

#### 292 Experiment 2 - Results

293 During training, ants behaved as expected, showing higher acceptance and pheromone deposition for 294 1.5M than 0.25M on all but the very first visit to 0.25M (Food acceptance: CLMM: estimate = -1.13, z= 295 3.38, p<0.001; pheromone depositions outward journey: GLMM: estimate= 1.79, z= 17.10, p<0.001; 296 pheromone depositions inward journey: GLMM: estimate= -1.20, z= -10.10, p<0.001, figures 4A, C & 297 E). Furthermore, food acceptance and pheromone depositions both on the outward and inward 298 journeys decreased with increasing experience with the 0.25M feeder and increased with increasing 299 experience with the 1.5M feeder (Food acceptance: CLMM: estimate = -1.13, z= 3.38, p<0.001; 300 pheromone depositions outward journey: GLMM: estimate= -0.31, z= -17.07, p<0.001; pheromone 301 depositions inward journey: GLMM: estimate = -0.21, z= -7.02, p<0.001).

302 On the outward journey of the 9<sup>th</sup> (test) visit, ants walking towards the feeder while exposed to 1.5M 303 sucrose-associated cues deposited more pheromone (median=15, fig. 4D) compared to ants exposed 304 to 0.25M-associated cues (median=2, GLMM: estimate= -1.32, z= -13.51, p<0.001). Moreover, in the 305 learning probe, 87% of ants chose the 1.5M associated arm. This demonstrates that ants formed a 306 robust expectation of food molarity based on the cues learned during training.

Ants exposed to 1.5M-associated cues during the 9<sup>th</sup> visit showed significantly lower food acceptance towards the unscented 0.5M feeder than ants exposed to 0.25M-associated cues (CLMM: estimate= 1.07, z= 2.15, p= 0.03, figure 4B, table S1). Although ants exposed to high molarity associated cues on their outwards journey showed a significantly higher number of pheromone depositions on their return journey than ants confronted with low molarity scent (GLMM: estimate= -1.36, z= -5.50, p<0.001, figure 4E & F), the number of pheromone depositions decreased drastically for both treatments compared to training visits (median 1.5M = 0, median 0.25M = 0, figure 4E & F, table S1).



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316 Fig. 4: A) & B) Food acceptance C) & D) Number of pheromone depositions on the way to the food source and E) 317 & F) Number of pheromone depositions on the way back to the nest shown in experiment 2 for A), C) & E) the 318 eight training visits (visits 1-8) in which ants received 0.25M coupled with one scent and 1.5M coupled with 319 another scent in an alternating order, always starting with 0.25M. and B), D) & F) the test visit (visit 9) in which 320 ants always received unscented 0.5M sucrose solution, but the runway leading towards the food source was 321 impregnated with one of the learned scents, triggering an expectation towards receiving either high or low 322 molarities at the end of the runway. A) & B) Shown are the mean food acceptance (points) and the 95% 323 confidence intervals (error bars) for each visit; C) - F) Shown are the median number of pheromone depositions 324 on a 20 cm track right in front of the food source and the 75%/25% quantiles for each visit. 325

# Experiment 3 – expectation setting via trophallaxis: the nest as an information hub

Ants receive information about available food sources, such as food odour and palatability, through food exchanges (trophallaxis) inside the nest (Provecho and Josens 2009; Josens et al. 2016). An ant beginning a food scouting bout may not have direct information about the quality of the food sources available in the environment, but nonetheless must make a value judgement on their first visit to a food source. The aim of this experiment was to ascertain whether information about sucrose concentrations gained through trophallaxis in the nest affected the perceived value of food sources found outside the nest.

#### 335 Experiment 3 - Methods

An ant (forager) was allowed to feed at an unscented sucrose solution droplet of either 0.16, 0.5 or 1.5M at the end of a 60cm long runway. Once the ant had fed and returned to the nest, we observed the number of contacts with other nestmates which occurred until trophallaxis was initiated. When trophallaxis began, we noted the time spent in trophallaxis with the first trophallactic partner. When trophallaxis stopped, the receiving trophallactic partner (receiver) was gently moved from the nest and placed onto the start of a 20cm long runway, offering unscented 0.5M sucrose solution at the end. As the receiver fed, we noted its food acceptance.

#### 343 Experiment 3 - Results

The time spent in trophallaxis with the receiver increased significantly with increasing molarity (GLMM:
estimate= 0.13, z= 4.79, p<0.001).</li>

Acceptance scores of receivers towards 0.5M decreased with increasing molarity of the sucrose solution received through trophallaxis. The interaction of reference molarity and trophallaxis time significantly predicted acceptance (CLMM: estimate=-0.06, z= -2.34, p= 0.02, fig. 5). Ants which received 0.16M inside the nest showed significantly higher acceptance of 0.5M sucrose than ants which received 1.5M (p<0.01, see supplement Table S4.1 for pairwise comparisons).

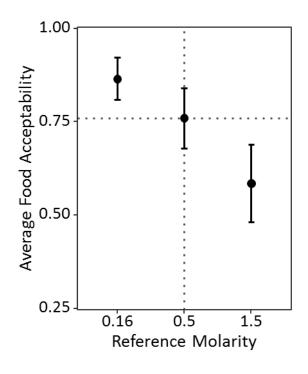




Fig. 5: Food acceptance shown in experiment 3 for the receivers which received either 0.16, 0.5 or 1.5M through trophallaxis in the nest and then found 0.5M at the end of the runway. Shown are the mean food acceptance (points) and the 95% confidence intervals (error bars) for each reference molarity.

#### 355 Discussion

356 Kahneman and Tversky's (1979) introduction of Prospect Theory contributed to a major shift in 357 economic research by suggesting that humans do not perceive value in absolute terms, but relative to 358 reference points. Here, we demonstrate parallel findings in an insect, providing for the first time to our 359 knowledge a detailed description of relative value perception in an invertebrate. Positive contrast effects were shown by ants which were trained to low molarities (figures 2 & 3). These ants showed 360 361 higher acceptance scores and deposited more pheromone after being shifted to medium quality than 362 unshifted ants which received medium quality food throughout the whole experiment. Conversely, 363 ants trained to high molarities showed lower acceptance after being shifted to medium quality 364 compared to the unshifted control, showing negative contrast effects.

365 Another prediction of Prospect Theory, that gains are underemphasized and losses are 366 overemphasized (Tversky and Kahneman 1992), is not supported by the data of our main experiment. 367 Indeed, gains seem to be overvalued while losses are undervalued. This may be due to the 368 psychophysics of our study system: a basic tenant of psychophysics is that the Just Noticeable 369 Difference (JNDs) between two stimuli is a function of the relative difference between the stimuli 370 (Fechner 1860; Stevens 1957; Zwislocki 2009). Thus, ants shifted from 0.1M to medium (0.5M) quality 371 experience a 5-fold increase in molarity, while those down-shifted from 0.9M to 0.5M experience less 372 than a two-fold decrease, although the absolute change was of the same magnitude. This would

predict larger shift-changes, in terms of absolute molarity change, for gains than for losses. Indeed, the fact that this is also not seen may imply that losses are indeed – relatively speaking – looming larger than gains for the ants. Finally, it must be kept in mind that acceptance scores are unlikely to be linear, and that pheromone deposition behaviour shows large variation (Beckers, Deneubourg, and Goss 1992), making it difficult to use either of these factors to test for over- and undervaluation of gains and losses.

379 While the results of experiment 1 can be explained using alternative, non-psychological mechanisms 380 (sensory saturation and changes in satiation) or rational behaviour based on future expectations, the 381 results of experiment 2 cannot. Ants which were expecting high molarities after scent training showed 382 lower acceptance scores when confronted with unscented medium quality food than ants which 383 expected to find low quality food (figure 4B). This is in spite of all ants undergoing identical training 384 experiences. The only difference between the groups was the odour of the runway on the 9<sup>th</sup> (test) 385 visit. It is thus unlikely that sensory saturation, increased haemolymph-sugar levels, simple 386 psychophysical effects or expecting pre-shift solutions to return can fully explain the behaviour of the 387 ants in our experiments.

Contrast effects were stronger in experiment 1 than in experiment 2. Possible explanations for this pattern are given in supplementary note 1. The fact that we nonetheless see both positive and negative contrasts suggests that such contrast effects are very pronounced. The reduced pheromone deposition seen in the final return in experiment 2 may be due to the change in environment (scented runways to unscented runways) causing a disruption in recruitment behaviour, perhaps due to generalization decrement (E. D. Capaldi 1978; Kimble 1961) or neophobia (Barnett 1958; Johnson 2000, 2000; Mitchell 1976; Pliner and Loewen 1997).

395 Ants which received information about the quality of a food source through trophallactic interactions 396 inside the nest are able to use this information when evaluating new food sources outside the nest. 397 Ants which received low quality (0.16M) food from a returning forager were more likely to accept 398 medium (0.5M) food when foraging themselves than ants which had received good (1.5M) food via 399 trophallaxis (fig. 5). Apart from ants valuing the medium quality food source based only on the quality 400 they received from the returning forager, there is another possible explanation which may lead to the 401 same pattern of food acceptance as shown in this experiment (fig. 5). Ants which expected to find a 402 high quality food source outside the nest may not have accepted a medium quality food source in 403 order to search for the high quality food source which is supposed to be available outside the nest, 404 leading to low food acceptance scores when the reference point was high (Wendt and Czaczkes 2017).

405 Our results suggest that information about sucrose concentrations gained through trophallactic 406 interactions inside the nest can affect the way a newly discovered food source is valued outside the 407 nest. Trophallaxis is a rich source of information: it has been shown to contain chemical cues, growth 408 proteins, and hormones (LeBoeuf et al. 2016). Transfer of scented food (Provecho and Josens 2009; 409 Josens et al. 2016) and aphid-associated information (Hayashi et al. 2017) through trophallactic 410 contacts inside the nest, as well as information about available food qualities gained directly or through pheromone trails (Beckers, Lachaud, and Fresneau 1994; Czaczkes and Beckwith 2018; Roces and 411 412 Núñez 1993; Roces 1993; Wendt and Czaczkes 2017) have been shown to shape ant behaviour outside 413 the nest. By taking into account information gained inside the nest, recruited workers will be able to 414 evaluate newly discovered food sources in relation to other food sources available in the environment. 415 They will also be able to make better informed decisions on whether it is worth exploiting a new food 416 source or ignore it. Such a pattern would lead to individual ants being more likely to forego food 417 sources which are of lower quality than the average available food sources and thus allows colonies to 418 only exploit above-average food sources. Ants can also use this information to choose between various 419 information use strategies, such as whether to continue exploiting known food sources or be recruited 420 to follow pheromone trails leading to other food sources (Czaczkes and Beckwith 2018). Ultimately, 421 we see the nest serving as an information hub, in which information about currently available food 422 sources can be collected, synthesised, and fed back to outgoing foragers. Relative value perception can 423 therefore be expected to have strong effects not only on the individual behaviour of animals, but also 424 on the collective behaviour of insect colonies, potentially allowing colonies to ignore usually acceptable 425 options in favour of better ones

426 A broad range of behaviours relevant to behavioural economics have now been described in 427 invertebrates. These include overvaluing rewards in which more effort was invested (Czaczkes et al. 428 2018), self-control (Cheng et al. 2002; Wendt and Czaczkes 2017), and state dependent learning 429 (Pompilio, Kacelnik, and Behmer 2006). Many other parallels to human behaviour and cognition have 430 also been described in insects, such as abstract association learning (Czaczkes et al. 2014; Giurfa, 431 Eichmann, and Menzel 1996; Hateren, Srinivasan, and Wait 1990), concept learning (Giurfa et al. 2001), 432 and reward changes affecting voluntary task switching (Czaczkes et al. 2018). Applying concepts from 433 behavioural economics to the study of animal behaviour is likely to yield many further insights. 434 Moreover, the benefits of an interdisciplinary approach are likely to flow both ways. We suggest that 435 invertebrates make attractive models for a broader understanding of behavioural economics in 436 humans. Using animal models allows researchers to avoid pitfalls associated with studies on humans, 437 such as cultural and educational differences (Carter and Irons 1991; Guiso, Sapienza, and Zingales 438 2006) second-guessing of experimenters, and non-relevant reward sizes (Levitt and List 2007) as well 439 as relaxing ethical concerns.

440 Due to its complexity, building models which can accurately predict human behaviour is a challenge. 441 This is compounded by the fact that data on humans obtained in laboratory experiments 442 overwhelmingly stem from game-like designs that are highly artificial and where the economic 443 incentives that can be provided to experimental subjects are severely limited by the research budget of the experimenter (Kahneman and Tversky 1979; Levitt and List 2007). At the same time, there has 444 445 been much progress in field studies on humans to clearly measure causal relationships (Harrison and 446 List 2004). However, the usefulness of these new techniques (such as field experiments) is clearly 447 constrained by the range of questions and settings to which they can be meaningfully applied. Hence, 448 while behavioural studies on invertebrates also have their limitations (for example, in that inducing 449 expectations is more of a challenge), they can be easily designed to be ecologically meaningful, and offer rewards which are in line with the real-life budgets under which the animals operate. Therefore, 450 451 we propose that economic models to predict invertebrate decision making may be a complementary 452 step on the way to predict human behaviour.

- 453 While there is a well-developed tradition of integrating economics and biology (Aw et al. 2009; Aw,
- 454 Vasconcelos, and Kacelnik 2011; Cheng et al. 2002; Czaczkes et al. 2018; Evans and Westergaard 2006;
- 455 Lydall, Gilmour, and Dwyer 2010; Wendt and Czaczkes 2017), we feel a critical mass of evidence is now
- 456 available to consider comparative behavioural economics as a relevant discipline for both biologists
- 457 and economists.

# 458 Acknowledgements

We thank Flavio Roces for helpful comments on this work and Florian Hartig for advice concerningstatistical analysis of our data.

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