C1 CAGE detects transcription start sites and enhancer activity at single-cell resolution

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Abstract

Single-cell transcriptomic profiling is a powerful tool to explore cellular heterogeneity. However, most of these methods focus on the 3'-end of polyadenylated transcripts and provide only a partial view of the transcriptome. We introduce C1 CAGE, a method for the detection of transcript 5'-ends with an original sample multiplexing strategy in the C1[TM] microfluidic system. We first quantified the performance of C1 CAGE and found it as accurate and sensitive as other methods in C1 system. We then used it to profile promoter and enhancer activities in the cellular response to TGF-β of lung cancer cells and discovered subpopulations of cells differing in their response. We also describe enhancer RNA dynamics revealing transcriptional bursts in subsets of cells with transcripts arising from either strand within a single-cell in a mutually exclusive manner, which was validated using single molecule fluorescence in-situ hybridization.

Introduction

Single-cell transcriptomic profiling can be used to uncover the dynamics of cellular states and gene regulatory networks within a cell population(Trapnell, 2015; Wagner, Regev and Yosef, 2016). Most available single-cell methods capture the 3'-end of transcripts and are unable to identify where transcription initiates. Instead, capturing the 5'-end of transcripts allows the identification of transcription start sites (TSS) and thus the inference of the activities of their regulatory elements. Cap analysis gene expression (CAGE), which captures the 5'-end of transcripts, is a powerful tool to identify TSS at single nucleotide resolution(Shiraki *et al.*, 2003; Carninci *et al.*, 2006). Using this technique, the FANTOM consortium has built an atlas of TSS across major human cell-types and tissues(Forrest *et al.*, 2014), analysis of which has led to the identification of promoters as well as enhancers in the human genome(Andersson *et al.*, 2014; Hon *et al.*, 2017). Enhancers have been implicated in a variety of biological processes(Lam *et al.*, 2014; Li, Notani and Rosenfeld, 2016), including the initial activation of responses to stimuli(Arner *et al.*, 2015) and chromatin remodeling for transcriptional activation(Mousavi *et al.*, 2013). In addition, over 60% of the fine-mapped causal noncoding variants in autoimmune disease lay within immune-cell enhancers (Farh *et al.*, 2015), suggesting the relevance of enhancers in pathogenesis of complex diseases. Enhancers have been identified by the presence of balanced bidirectional transcription producing enhancer RNAs (eRNAs), which are generally short, unstable and non-polyadenylated (non-polyA)(Andersson *et al.*, 2014). Single molecule fluorescence *in situ* hybridization (smFISH) studies have suggested that eRNAs are induced with similar kinetics to their target mRNAs but that co-expression at individual alleles was infrequent(Rahman *et al.*, 2016). However, the majority of enhancer studies have been conducted using bulk populations of cells meaning that the dynamics of how multiple enhancers combine to influence gene expression remains unknown.

The majority of single-cell transcriptomic profiling methods(Picelli, 2017) rely on oligo-dT priming during reverse transcription, which does not capture non-polyA RNAs transcripts (e.g. eRNAs). The recently developed RamDA-seq(Hayashi *et al.*, 2018) method uses random priming to capture the full-length non-polyA transcripts including eRNAs. However, this method is not strand-specific and unable to pinpoint transcript 5'-ends; thus, it cannot detect the bidirectionality of eRNA transcription and cannot confidently distinguish reads derived from the primary transcripts of their host gene (i.e. intronic eRNAs). Methods are typically implemented for a specific single-cell handling platform (e.g. microwell, microfluidics or droplet-based

platforms)(Picelli, 2017), because each platform imposes strong design constraints on the

critical steps of cell lysis and nucleic acid handling. The proprietary C1^{TM} Single-Cell Auto Prep

System (Fluidigm) uses disposable integrated fluidic circuits (IFCs) and provides a registry of

publicly available single-cell transcriptomics methods (Supplementary Table 1), which can be

customized. Previously, we introduced nanoCAGE(Plessy *et al.*, 2010), a method requiring only

nanograms of total RNA as start material, based on a template switch mechanism combined

with random priming to capture the 5'-ends of transcripts independent of polyA tails in a strand-

specific manner. Here we develop C1 CAGE, a modified version of nanoCAGE customized to

the C1 system to capture the 5'-ends of transcripts at single-cell resolution.

Current single-cell methods are usually limited in the number of samples that can be multiplexed

within the same run. Thus, experimental designs requiring multiple replicates and different

conditions are prone to batch effects, confounding biological information with the technical

variation of each experiment(Tung *et al.*, 2017). To mitigate batch effects, we took advantage of

the transparency of the C1 system to encode multiple cells perturbation states in a single run by

fluorescent labeling and imaging.

We apply this method to investigate the response to TGF-β in A549 cells, an adenocarcinomic

human alveolar basal epithelial cell line. TGF-β signaling plays a key role in embryonic

development, cancer progression, host tumor interactions and driving epithelial-to-mesenchymal

transition (EMT)(Massagué, 2008; Ikushima and Miyazono, 2010). We examine the response to

TGF-β in A549 cells to uncover dynamically regulated promoters and enhancers at single-cell

resolution. We observed an asynchronous cellular response to TGF-β in sub-populations of

cells. We also investigated the dynamics of enhancer transcription at single-cell resolution with

validation by smFISH. Our results suggest transcriptional bursting of enhancers as reflected by

high expression of eRNAs in a few cells. Also, while in pooled cells enhancers show

bidirectional transcription, within single-cells transcription at enhancers is generally

unidirectional—i.e. transcription on the two strands seems to be mutually exclusive.

Results

Development of C1 CAGE

We developed the C1 CAGE method, based on nanoCAGE(Plessy *et al.*, 2010), C1 STRT Seq(Islam *et al.*, 2014) and C1 RNA-seq(Wu *et al.*, 2014), implementing reverse transcription with random hexamers followed by template switching and pre-amplification (Figure 1a). The cDNA is tagmented and the 5'-end of cDNA is specifically amplified by index PCR. The resulting library is sequenced from both ends, with the forward reads identifying the 5'-end of the transcript at single nucleotide resolution and the reverse read identifying downstream regions of the matching transcript.

To assess the specificity of 5'-end capture, we prepared libraries of A549 cells in the presence of synthetic "spike-in" RNAs, a set of 92 exogenous control transcripts with defined abundances developed by the External RNA Controls Consortium (ERCC)(Munro *et al.*, 2014). We analyzed the positions of forward reads on these spike-ins and found that ~80% of their 5'-ends align to the first base (Figure 1b), supporting the specificity of 5'-end capture in C1 CAGE. Of the remaining reads, about half of them can be explained by "strand-invasion" events, which are artefacts arising from interruption of first strand synthesis due to complementarity with the template switching oligonucleotide and can be identified based on the upstream sequence of the read(Tang *et al.*, 2013). Next, we assessed the quantification accuracy and molecular detection limit(Svensson *et al.*, 2017). For quantification accuracy, measured as the Pearson correlation between the input spike-in amounts and the observed read counts, C1 CAGE displayed a median of 0.79, slightly higher (Welch Two Sample t-test, two-sided: t=4, df=127.6, p < 0.0001) than C1 STRT Seq (median of 0.74, Figure 1c). For detection limit, measured as the median number of spike-in molecules required to give a 50% chance of detection, C1 CAGE displayed a median of 22, which is significantly more sensitive (Welch Two Sample t-test, two-sided: t=-14, df=94.2, p < 2.2e-16) compared with C1 STRT Seq (median of 146, Figure 1d). Finally, we assessed the ability of C1 CAGE to detect differential expression by comparing libraries prepared using two reference mixtures of spike-ins with fixed ratios of input amounts at 4, 1, 2/3 and 1/2 fold difference. Fitting a linear model we find an R-squared value of 87%(Figure 1e). These results demonstrate that C1 CAGE specifically captures the 5'-end of transcripts, has quantification accuracy and detection sensitivity comparable to other C1-system methods, and reliably detects differential expression with high accuracy.

Figure 1: C1 CAGE method and performance

(a) Schematic of the C1 CAGE method. Tn5 enzymes are loaded with two different adaptors: N
(red) and S (blue). P5, P7: Illumina sequencing adaptors. (b) Percentage of reads aligning to the
5'-end of ERCC spike-ins by nucleotide position. (c, d) Comparison between C1 CAGE and C1
STRT Seq (data from doi:10.1038/nmeth.4220). Red bars show median values. p-values from
Welch two-sided Two Sample t-test shown. (c) Pearson correlation between expected and
observed ERCC spike-in molecules. (d) The number of ERCC spike-in molecules required for a
50 % chance of detection. (e) Observed and expected fold-change ratios between ERCC mix1
and mix2. Linear regression line (red) and R-squared value shown.

Color multiplexing

Taking advantage of the imaging capacities of the C1 system, we devised a strategy to multiplex samples within the same C1 CAGE replicate, by labelling cells with different Calcein AM dyes to encode sample information and monitor cell viability at the same time. Based on this approach, we multiplexed samples of A549 cells stimulated with TGF-β in a time-course at three time-points (0, 6, and 24 h, in triplicates) by permuting the Calcein AM dyes for each time point in each replicate (Figure 2a). The three C1 CAGE replicates were sequenced to a median depth of 2.4 million raw read pairs per cell. Analyzing the genomic distribution of forward read 5'-ends per replicate, a mean of 34% and 0.7% of reads were aligned to promoter and enhancer CAGE clusters, respectively (Figure 2b). Subsampling analysis demonstrates the number of CAGE clusters detected in most single-cells are saturated at the current sequencing depths, with a median of 2,788 CAGE clusters detected per cell (Figure 2c). To demultiplex time points, we localized the cells in their capture chambers on the IFCs and quantified their fluorescence in the red and green channels, identifying 40, 41 and 70 cells for time points 0, 6 and 24 h, respectively. Following the scran pipeline(Lun, McCarthy and Marioni, 2016) we removed 15 unreliable cells, arriving at the final set of 136 high quality cells. Initially, we observed a strong batch effect with principal components analysis (PCA), where cells cluster by replicate (Figure S1a). However, our experimental design ensured that each replicate contained cells for each time point, allowing us to correct for this batch effect using linear modelling. After batch correction cells were clustered by time points rather than by replicate (Figure S1b). After removing low abundance CAGE clusters, our final dataset detected 18,687 CAGE clusters, covering 9,809 GENCODE genes (Figure S2; annotation breakdown) and 826 FANTOM5 enhancers. For comparison, we generated corresponding bulk CAGE data using the nAnT-iCAGE method(Murata *et al.*, 2014) for each sample (0, 6, and 24 h, in triplicates) sequenced to median a depth of 10.7M reads.

Figure 2: Multiplexing time course strategy

(a) Different color combinations of cells from each time point are added to each replicate. (b) Forward read 5'-end counts by annotation category. Mean read percentage per category shown in brackets. (c) Count of CAGE clusters within each cell after subsampling. Dashed red line at median (2,788). (d) PCA of cells performed on variable subset of CAGE clusters, percentage of

variance explained by components shown, cells colored by time point and TSCAN state. (e)
PCA of cells performed on variable subset of CAGE clusters, percentage of variance explained
by components shown, cells colored by expression values for the marker genes *ALDH3A1* and
SERPINE1 demonstrating that the dynamics of TGF-β response are captured by the TSCAN
states.

Dynamic TSS regulation upon TGF-β treatment

To identify TSS that are dynamically regulated during TGF-β treatment, we performed
pseudotime analysis on a variable subset of CAGE clusters with TSCAN(Ji and Ji, 2016).
TSCAN divided the pseudotime ordering into four distinct states, which showed considerable
consistency with the time points, as seen by PCA (Figure 2d). We also confirmed the
consistency of the TSCAN states by visualizing the expression levels of two highly variable
CAGE clusters for known EMT marker genes, *ALDH3A1* and *SERPINE1*, which showed a clear
shift in expression levels from 0 h to 24 h (Figure 2e). To understand the influence of the cell
cycle on how TSCAN defined the states, we calculated G2M scores with the cyclone package
using the pre-calculated data trained on human embryonic stem cells (hESCs)(Scialdone *et al.*,
2015; Leng *et al.*, 2015). The clear separation of scores between states 1 and 2 points to the
possibility that half (16/35) of 0 h cells were in proliferative states prior to TGF-β stimulation
(Figure 2d and Figure S3).
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To identify genes that are co-regulated across the TSCAN states, we performed Weighted Gene
Co-Expression Network Analysis (WGCNA)(Langfelder and Horvath, 2008), correlating CAGE
cluster expression levels across cells. We identified five co-expressed modules: Suppressed
(n=1,041), Weak Responding I (n=825) & II (n=164), Early Responders (n=1,775), and Late
Responders (n=2,223). We visualized their trajectories across the pseudotime using eigengene
profiles to represent the average behavior and show two CAGE clusters from each module with
eigengene correlation coefficient of at least 0.3 with p-value less than 0.1 (Figure 3a, b). The
module labels were assigned based on these trajectory visualizations: Suppressed, Early and
Late Responders represent those genes that undergo strong expression changes with TGF-β
activation, whereas Weak Responding I and II represent those with little or no changes in their
transcription.

Figure 3: WGCNA clusters of response to TGFβ

(a) WGCNA results in 5 different modules, 3 of which show clear response behavior to TGF-β (Suppressed, Early Responders, Late Responders). (b) Example CAGE peaks from each module. (c) Top three enriched TF binding profiles in each module. (d) Functional analysis using edgeR's implementation of GOseq. Top over-represented GO terms for biological processes are shown.

To understand the biological contexts of these modules, we investigated the enrichment of
transcription factor binding motifs (Mathelier *et al.*, 2016, Arenillas *et al.*, 2016) and Gene
Ontology (GO) terms in each module. Examining motifs enriched in all modules against a
randomly generated GC-matched background, we find that the ETS-related factors are most
prominent, such as ETVn, ETSn, ELKn, FLI and NFYx factors (Figure S4). The ETS family of
transcription factors is well defined to promote metastasis progression in EMT process(Ell and
Kang, 2013).

Examining each module individually against the combined background of all the other modules
(Figure 3c, d) we observe the Suppressed Module enriched in GO terms related to DNA
replication and the cell cycle. It has been reported that early after TGF-β treatment, the
expression of multiple genes that play key roles in regulating cell cycle progression are
suppressed(Schneider, Tarantola and Janshoff, 2011). We observe suppressed expression of
CCNB2 known to interact with the TGF-β pathway in promoting cell cycle arrest(Liu *et al.*, 1999)
and of *ALDH3A1* known to affect cell growth in A549 cells(Moreb *et al.*, 2008). We also observe
enriched motifs for the cell cycle regulators *LIN54* and *GFI1*(Basu *et al.*, 2009; Sadasivam and
DeCaprio, 2013). CAGE clusters in the Suppressed module are more highly expressed in
TSCAN state 1, which may represent cells which have not yet fully undergone TGF-β induced
G1 arrest as explained above.

Within the Early Responders and Late Responders modules we observe canonical TGF-β
response genes, including *KLF6* known to suppress growth through TGF-β
transactivation(Botella *et al.*, 2009) and marker genes for EMT such as *SERPINE1* and *FASN*.
TGF-β is one of the key signal transduction pathways leading to EMT and several lines of
evidence implicate increased TGF-β signaling as a key effector of EMT in cancer progression
and metastasis(Massagué, 2008; Ikushima and Miyazono, 2010; Heldin, Vanlandewijck and
Moustakas, 2012). We observed upregulation of mesenchymal marker genes, with a clear
increase in *Vimentin* (*VIM*) expression starting during TSCAN state 2, and expression of *N-*
cadherin (*CDH2*) not detected until TSCAN state 2, and then expressed within a subset of
cells(Figure S5).

Within the Late Responders module we observe enrichment for TFAP2 family transcription
factors (TFs) (Figure 3c), suggesting that they might play a role in the late response to TGF-β
signaling. We examined their expression profiles in both the single-cell and bulk data, and found

TFAP2C to have a strong time-dependent expression profile in bulk data, and sporadic

expression in TSCAN states 1 and 2 but not in the later states(Figure S6). *TFAP2C* is a known

marker gene in breast cancer biology, its loss resulting in increased expression of mesenchymal

markers associated with the transition from luminal to basal subtypes(Cyr *et al.*, 2015) and the

direct repression of cell cycle regulator *CDKN1A*(Williams *et al.*, 2009; Wong *et al.*, 2012).

Examining differences between the Early Responders and Late Responders modules, we find

GO terms relating to cell adhesion enriched in Early Responders genes, and GO terms related

to cell communication and signaling enriched in the Late Responders genes (Figure 3d).

To further dissect the functional heterogeneity in response to TGF-β, we revisited TSCAN states

analysis and explored states 3 and 4 which we observe 24 h post stimulation (Figure 2d). To

examine differences between the two states, we performed gene set enrichment analysis

amongst CAGE clusters from the Early Responders and Late Responders modules with

Camera(Wu and Smyth, 2012) and find a number of gene sets significantly upregulated in

TSCAN state 4 including Epithelial to Mesenchymal transition (38 genes, FDR=0.003; full

results in Supplementary Table 2). This suggests bi-phasic state in response to TGF-β 24 h post

stimulation. Interestingly, a previous study implicated bi-phasic state with more severe

morphological changes such as cell-to-cell contacts occurring from 10 to 30 h (Schneider,

Tarantola and Janshoff, 2011). Thus, the additional states inferred from the pseudotime analysis

reveal the asynchronous progression cells upon TGF-β treatment, which would not have been

possible with bulk analyses of the three time points.

eRNA in C1 CAGE

Next we asked whether C1 CAGE can detect the dynamic expression of eRNAs. We and others

have reported that bidirectional transcription is associated with enhancer activity(Andersson *et*

al., 2014). We observe a similar signature of bidirectional transcription at enhancers detected in

pooled C1 CAGE and bulk CAGE data sets (Figure 4a), as well as a similar enrichment of

DNase hypersensitivity and H3K27 acetylation, indicating that C1 CAGE unambiguously

detected the transcription of eRNAs at these active enhancer regions (Figure 4b). To further

examine the bidirectionality of eRNAs at a single-cell level, we selected enhancers with at least

10 reads in at least 5 cells to filter for the most widely and strongly detected enhancers and

avoid bias due to dropout. For each enhancer, we calculated a bidirectionality score in pooled

single-cells ranging from 0 to 1, with 0 being perfectly balanced bidirectional and 1 being

perfectly unidirectional. Examining a set of enhancers (n=32) with balanced transcription, we calculated their bidirectionality score within single-cells, where these enhancers were unidirectionally transcribed (single-cell bidirectionality scores >0.9) (Figure 4c, shown in detail for one enhancer in Figure 4d), indicating that simultaneous transcription of eRNAs from both strands is generally not observed within single-cells.

Figure 4: Enhancer analysis at single-cell resolution

Comparison of enhancers detected by bulk CAGE and pooled C1 CAGE data (a) showing bidirectional read profiles smoothed by generalized additive model and (b) epigenetic profiles. (c) Bidirectionality analysis scores (0: equally bidirectional; 1: fully unidirectional) at selected enhancers for pooled cells (red dots) and single-cells (blue dots: mean; black bars: standard

error). (d) Example locus on chromosome 12: read profile histogram (upper box), and read
presence or absence in single-cells (lower box). (e, f, g) Comparison of enhancers and gene
promoters in C1 CAGE and bulk CAGE: (e) Fraction of bulk features detected within each cell,
stratified by bulk expression level, (f) Density plots of the maximum expression levels, (g)
Specificity score distribution in single-cell data. Lower scores: broad expression (expressed in
more cells); higher scores: more specific/enriched expression (fewer cells).

Although most enhancers were sporadically detected among single-cells, they were detected at
a similar level to promoters in single-cells when controlling for expression level (Figure 4e). To
assess if enhancers are generally lowly expressed among cells or if they are highly expressed
in a subset of cells, we compared the distributions of the maximum expression levels of
enhancers and promoters within single-cells and in the bulk data sets (Figure 4f). While the
expression of enhancers is generally lower than that of promoters in the bulk data sets, they
have similar distributions of expression levels within single-cells. To further evaluate the
specificity of enhancer expression in single-cells, we devised a specificity score ranging from 0
to 1, with 0 being ubiquitously expressed (i.e. broad expression in many cells), and 1 being
specifically expressed (i.e. expression restricted to few cells). We found that enhancers show
significantly higher specificity scores than promoters (Figure 4g; Kolmogorov-Smirnov test,
D=0.36562, p-value<2.2e-16). This suggests that enhancers behave similarly to promoters
which are expressed in transcriptional bursts(Suter *et al.*, 2011; Bahar Halpern *et al.*, 2015) but
have fewer numbers of cells where bursts of expression take place, which in turn are averaged
out by the total population of cells used to obtain the bulk RNA profile.

FISH validation

To validate the ability of C1 CAGE to detect eRNAs in single-cells, we used smFISH(Femino *et*
al., 1998; Raj *et al.*, 2008) to visualize the expression of these transcripts through the TGF-β
time course in A549 cells. We first selected intergenic enhancers, filtering out those that
overlapped any known transcript models in GENCODEv25, and ranked them by their
expression levels. We then searched for their proximal promoters within the same topologically
associated domain (TAD) as the potential targets of these enhancers. We selected three
enhancers, two of which displayed expression changes across the time-course (Figure S6, S7)
and were adjacent to genes known to be involved in TGF-β response, *KLF6* and *PMEPA1*
(*KLF6*-eRNA1 at chr10:3929991-3930887 and *PMEPA1*-eRNA1 at chr20:56293544-56293843,

respectively), and a third enhancer (*PDK2*-eRNA1 at chr17:48105016-48105270) adjacent to

PDK2.

In line with previous reports(Rahman *et al.*, 2016; Shibayama, Fanucchi and Mhlanga, 2017),

smFISH for eRNAs gave rise to punctate spots mainly restricted to the nuclei and always no

greater than the copy number of the chromosome harboring the enhancer, suggesting that

these eRNAs are expressed in low-copy-number and remain at or near their site of transcription.

Targeting eRNAs on both strands with the same color, smFISH displayed expression profiles

similar to C1 CAGE for the *KLF6*-eRNA1 and *PMEPA1*-eRNA1 enhancers that were

upregulated in the C1 CAGE time-course data (Figure 5a, b). In contrast, *PDK2*-eRNA1, whose

expression remained steady in smFISH, decreased in the number of cells with signal across the

time course in C1 CAGE (Figure S8a).

Figure 5: Enhancer and promoter profiles in smFISH

(a, b) Proportion of cells with KLF6-eRNA1 and PMEPA1-eRNA1 detected by (a) FISH, (b) C1

CAGE. (c) Proportion of cells with detected gene intron, enhancer locus and cells with spot

overlap at the KLF6 and PMEPA1 loci. (d) Representative images showing gene intron and

enhancer locus detection by FISH. Bar = 5 μm. n=100 per time point.

For validation of our findings that eRNA were expressed unidirectionally within single-cells, we

also targeted the + and – strands of the *KLF6*-eRNA1 and *PMEPA1*-eRNA1 eRNAs in separate

colors. In agreement with the C1 CAGE data for these particular enhancers, the majority of the

detected spots belonged to eRNAs from only one strand (Figure S8b). In nuclei where eRNAs

from both strands were detected, spot co-localization was rare, confirming our suggestion that

simultaneous bidirectional transcription of enhancers from single alleles is a rare event.

Next, we checked for the association of eRNAs with the transcription of nearby genes using

smFISH. Visualization of nearby gene transcription was achieved by targeting only the intronic

portion (i.e. nascent RNA). Colocalization of an enhancer RNA spot with a nascent RNA spot

would suggest the presence of the enhancer RNA at the site of gene transcription, potentially

implicating the enhancer's role in promoter activity. Interestingly, nascent transcription of nearby

protein coding genes showed similar expression kinetics to the enhancers themselves indicated

by increased co-expression of both the protein coding gene and the nearby eRNA in TGF-β

stimulated cells (Figure 5c, d, S8c). For KLF6-eRNA1 and PMEPA1-eRNA1, we observed time-

dependent increase in colocalization and in the number of nuclei with colocalized spots (Figure

5c, d, S9c). In unstimulated cells displaying a basal level of expression of both enhancer and

promoter, colocalization of spots could not be observed. This suggests a stimulus-dependent

co-activation of enhancer and its association with the nearby promoter. However, a significant

portion of transcription sites expressed no enhancer RNA. Possible reasons include a potential

delayed interval between transcription events from an enhancer and promoter, during which

most enhancer RNA is rapidly degraded. It is also possible that other nearby enhancers may

exert their effect on a target promoter. In summary, smFISH could validate enhancer expression,

including strand specificity, in single-cells as detected by C1 CAGE.

368 Discussion

We examined the response to TGF-β in A549 cells to uncover dynamically regulated promoters

and enhancers at single-cell resolution. We highlight enhancer dynamics at single-cell resolution

and suggest transcriptional bursting of enhancers, and that while enhancers show bidirectional

eRNA transcription in pooled cells, transcripts are generally mutually exclusive.

Among the eight publicly available transcriptome methods for the C1 platform (Supplemental

table 1), only C1 CAGE provides strand-specific whole-transcriptome coverage: its detection of

5'-ends is independent from transcript length and polyadenylation owing to the use of random
primers. To make the method more accessible, we used a commercially available tagmentation
kit in which the transposase is loaded with two different adapters. This adaptation leads to half
of the tagmentation products being lost in the process of library preparation. The use of custom
loaded transposase, such as in C1 STRT Seq(Islam *et al.*, 2014), would allow reduction of the
final PCR amplification by one cycle and enrich extracted reads in the sequencing library,
however at the expense of not using standard reagents.

C1 CAGE has single-nucleotide resolution of transcript 5'-ends, as demonstrated by the data on
ERCC spike-ins, where 80% of read one 5'-ends align to the first base. In this study, we did not
use ERCC spike-ins for normalization of endogenous genes, preferring to use size factors
computed from pools of cells(Lun, Bach and Marioni, 2016), as experimental noise due to spike-
in preparation may be introduced(Svensson *et al.*, 2017). Notably, we could detect the ERCC
spike-ins even if they are not capped. Nevertheless, C1 CAGE shows a preference for capped
ends, as suggested by the fact that the C1 CAGE library contained only 13% reads from
ribosomal RNAs. While this range of ribosomal RNA is acceptable, further reduction might be
achieved through the use of pseudo-random primers(Arnaud *et al.*, 2016).

The template-switching oligonucleotides (TSOs) included Unique Molecular Identifier
(UMIs)(Islam *et al.*, 2014), however we have not utilized them for molecular counting, because
the TSOs carried over from the reverse-transcription could prime the subsequent PCR reaction
while tolerating mismatches on the UMI sequence, thus causing a high level of mutation rate (as
evidenced by the fact that most UMIs are seen only once). Nevertheless, PCR duplicates are
partially removed from our data due to the use of paired-end sequencing, as our alignment
workflow collapses the pairs that have exactly the same alignment coordinates. Further
improvements of the C1 CAGE might address the mutation rate in UMIs. However, attempts to
make the TSOs heat-labile by using full RNA composition have not been successful so far (CP
and SK, personal communication).

Batch effect is a common problem in single-cell RNA-seq, and failing to account for this can
lead to cofounding biological interpretations. We introduced, for the first time, an image based
approach to decode multiplex samples by using two colors of Calcein AM and their
combinations. Moreover, the platform further allows the usage of a larger number of colors or
alternatives to Calceins, such as MTT, ATP or MitoBright, which are generally used for live cell

monitoring. For instance, we previously used FUCCI fluorescent reporters to detect cell cycle
phases(Böttcher *et al.*, 2016). Other potential applications could include the detection of
cytoplasmic or nuclear localizations of fluorescent-labelled transcription factors, or cell division
counting with fluorescent probes.

Our cell cycle classification was performed using a model trained on data from H1 hESCs
expressing the cell-cycle indicator FUCCI in the C1 system(Leng *et al.*, 2015). While training
data from phased A549 single-cells would have been preferable, models trained on mouse ESC
have also been applied to other cell types with accuracy(Scialdone *et al.*, 2015). However,
because the hESC training data was obtained from a 3'-end capture protocol, it may contain
different experimental biases that are distinct from our C1 CAGE method. Therefore, these
results should be interpreted with caution, and we did not exclude cells based on this
classification.

The chemistry implemented in C1 CAGE–template switching, random priming, and interrogation
of 5'-ends–revealed promoter and enhancer activities in lung adenocarcinoma cell line.
Enhancers have previously been defined by a signature of balanced bidirectional transcription in
bulk data(Andersson *et al.*, 2014). Here we suggest that this signature arises due to generally
mutually exclusive transcription from each strand within single-cells. We also suggest for the
first time that while eRNAs appear lowly expressed in bulk data, they can be expressed at
similar levels to gene promoters within single-cells, although they are expressed in a more
restricted subset of cells—i.e. displaying transcriptional bursting.

Notably, C1 CAGE is not restricted to the use in the C1 platform. Indeed, some of the changes
introduced in C1 CAGE are also available for bulk nanoCAGE libraries in our latest
update(Poulain *et al.*, 2017). Moreover, the C1 CAGE chemistry might be applicable to profile
large numbers of single-cells with droplet based single-cell capture methods. Droplet
technologies are more robust to variations of the cell size, and have higher throughput, although
they do not allow for the association of imaging. Five-prime-focused atlases will yield greater
insights towards promoter and enhancer activities in various biological systems.

Online Methods

Cell culture and TGF-β stimulation

A549 cells (ATCC CCL 185) were grown at 37 °C with 5 % CO_2 in DMEM (Wako, Lot: AWG7009) with 10 % fetal bovine serum (Nichirei Bioscience, Lot 1495557) and penicillin/streptomycin (Wako, Lot 168-23191). At 0 h, 10^6 cells were seeded in 10 cm dishes (TRP, Cat. num. 93100). At 24 h, the medium was replaced with DMEM without serum after 3 times washing with PBS (Wako, Lot 045-29795). At 48 h, one third of the dishes were stimulated by treating with 5 ng/ml TGF-β (R&D systems, USA, Accession #P01137). At 66 h, the second third was stimulated with the same treatment. At 72 h, cells for each treatment duration (0 h, 6 h 24 h) were collected and stained with combinations of Calcein AM and Calcein red-orange, (Thermo Fisher Scientific, L3224 and C34851). Transcriptome alignment of the C1 positive controls against 79 reference genomes of Mycoplasma or Acholeplasma, including Mycoplasma hominis, confirmed the absence of contamination.

Cell capture

Calcein stained cells were captured in C1 Single-cell Auto Prep Integrated Fluidic Circuits (IFC) for mRNA Seq, designed for medium-sized (10 to 17 μm) cells (Cat. Num. 100-5760), following manufacturer's instructions (PN 100-7168). In brief, 60 μl of 2.5×10^5 cell/ml and 40 μl C1 suspension buffer were mixed (all C1 reagents were from Fluidigm), and 20 μl of this mix was loaded into a primed IFC, and processed the script "mRNA Seq: Cell load (1772x/1773x)"

Imaging

After loading, IFCs were imaged on INCell Analyzer 6000 (GE Healthcare). Calcein AM was excited at 488 nm and imaged with a FITC fluorescence filter (Semrock). For Calcein red-orange, excitation was at 561 nm (TexasRed; Semrock). Eleven focal planes per chamber and channel were acquired and manually curated to detect empty, dead, singlet, doublet or multiplet cells in the capture site. In case of single-plane imaging, we used the Cellomics platform like in Böttcher et al., 2016[42] (with a green filter (excitation bandwidth: 480-495 nm, emission bandwidth: 510-545 nm), and with a red filter (excitation bandwidth: 565-580 nm, emission bandwidth: 610-670 nm (Thermo Scientific)). Processed and raw single-cell images are available for download from http://single-cell.clst.riken.jp/riken_data/A549_TGF___summary_view.php

Lysis, reverse transcription and PCR for C1-CAGE

Single-cell RNA extraction and cDNA amplification were performed on the C1 IFCs following the C1 CAGE procedure that we deposited in Fluidigm's Script Hub. (https://www.fluidigm.com/c1openapp/scripthub/script/2015-07/c1-cage-1436761405138-3).   In brief, cells were loaded in lysis buffer (C1 loading reagent, 0.2 % Triton X, 15.2 U Recombinant Ribonuclease Inhibitor, 37.5 pmol reverse-transcription primer, DNA suspension buffer, ERCC RNA Spike-In Mix I or II (Thermo Fisher, 4456653) diluted either 20,000 times (protocol revision B) or 200 times (revision A)), and lysed by heat (72 °C 3 min, 4 °C 10 min, 25 °C 1 min). First-strand cDNAs were reverse transcribed (22 °C 10 min, 42 °C 90 min, 75 °C 15 min) in C1 loading reagent, First Strand buffer, 0.24 pmol dithiothreitol, 15.4 nmol dNTP Mix, betaine, 24.8 U Recombinant Ribonuclease Inhibitor, 175 pmol template-switching oligonucleotide, and 490 U SuperScript III. The cDNAs were amplified by PCR (95 °C 1 min, 30 cycles of 95 °C 15 s, 65 °C 30 s and 68 °C 6 min, 72 °C 10 min) in a mixture containing C1 loading reagent, PCR water, Advantage2 PCR buffer (not SA), dNTP Mix (10 mM each), 24 pmol PCR primer, 50 × Advantage2 Polymerase Mix. The PCR products (13 µl) were then harvested in a 96-well plate and quantified with the PicoGreen (Thermo Fisher, P11496) method following the instructions from Fluidigm's C1 mRNA-Seq protocol (PN 100-7168 I1). On-chip cDNA amplification with 30 PCR cycles yielded 1.0 ng/µl in average from single cell. A subset of the samples were further controlled by size profiling on the Agilent Bioanalyzer with High Sensitivity DNA Chip.

Tagmentation reaction, index PCR and sequence

Amplified cDNAs were diluted to approximately 0.2 ng/µl following the C1 mRNA-Seq protocol, fragmented and barcoded by "tagmentation" using the Nextera XT kit (Illumina, cat. num. FC-131-1096-RN) following the instructions from Fluidigm's C1 mRNA-Seq protocol (PN 100-7168 I1), except that we used custom forward PCR primers (dir#501-508/N701-N712, Supplementary Table 3). The final purified library was quality-controlled on a High-Sensitivity DNA Chip and quantified with the KAPA Quantification Kit (Nippon Genetics). Nine pmol were sequenced and demultiplexed on Illumina HiSeq 2500 High output mode (50 nt paired end).

CAGE processing

In forward read (Read 1) sequences, linkers were removed and unique molecular identifiers were extracted using TagDust2(Lassmann, 2015). Reverse read (Read 2) sequences were then filtered with the program syncpairs (https://github.com/mmendez12/sync_paired_end_reads) to restore the pairing. The pairs were then filtered against the sequences of the human ribosomal

RNA locus (GenBank ID U13369.1), and linker oligonucleotides using TagDust2 v2.13 in paired-
end mode. They were then aligned to the human genome version hg19 with Burrows Wheeler
Aligner (BWA)'s "sampe" method(Li and Durbin, 2010) with a maximum insert size of 2,000,000.
To map the reads on the ERCC spikes at a single nucleotide resolution, we prepared reference
sequences of the T7 transcription of the ERCC plasmids, which are now available from the
NIST's   website   (https://www-
s.nist.gov/srmors/certificates/documents/SRM2374_putative_T7_products_NoPolyA_v1.fasta)
(many RNA-seq studies previously published aligned their reads only to the sequence of the
plasmid inserts, which lack transcribed linker sequences, which are essential for aligning CAGE
reads precisely to the 5′ ends). The properly aligned pairs were then converted to BED12 format
with   the   program   pairedBamToBed12   (https://github.com/Population-
Transcriptomics/pairedBamToBed12) with the option "-extraG", and assembled in CAGEscan
fragments with the program umicountFP (https://github.com/mmendez12/umicount/). This
workflow was implemented in the Moirai system (PMID:24884663) and a prototype implemented
in a Jupyter notebook is available on GitHub (https://github.com/Population-Transcriptomics/C1-
CAGE-preview/blob/master/OP-WORKFLOW-CAGEscan-short-reads-v2.0.ipynb). The 5′ ends
of the CAGEscan fragments represent TSS in the sense of Sequence Ontology's term
SO:0000315 ("The first base where RNA polymerase begins to synthesize the RNA transcript").
526

Bulk CAGE
Bulk CAGE data was generated by nAnT-iCAGE method(Murata *et al.*, 2014). Briefly, 5 µg of
total RNA prepared from remaining A549 cells after C1 loading. cDNA was reverse transcribed
using SuperScript III reverse transcriptase, biotinylated and cap trapped to capture 5' completed
cDNAs. Each cDNAs were barcoded and purified. Libraries were sequenced on Illumina HiSeq
2500 High output mode (50 nt single read).
533

Image curation and time point demultiplexing
We used the Bioconductor package CONFESS (LOW D and MOTAKIS E (2017). *CONFESS:*
Cell OrderiNg by FluorEScence Signal. R package version 1.6.0) to detect the cells present in
the capture chambers, and quantify the fluorescence in the Green and Red channels. In
addition, two curators visually screened the images to confirm the presence of cells, and to
detect doublets when focal stacks were available. The final annotation reflects the consensus of
the three curations. The results were then cross-checked with other quality control parameters,
in particular the amount of cDNAs yielded by the C1 runs, and the fraction of spikes and

ribosomal RNA in the libraries. In case of conflicting results, chamber images were re-inspected

and re-annotated, if necessary.

ERCC spike-in analysis

Accuracy and molecular detection limits were calculated as in Svensson 2017(Svensson *et al.*,

2017): The amount of input spike-in molecules for each spike, for each sample, in each

experiment was calculated from the final concentration of ERCC spike-in mix in the sample. The

calculation of the accuracy of an individual sample was determined with the Pearson correlation

between input concentration of the spike-ins and the measured expression values. Molecular

detection limit was calculated using the R function glm from the stats package.

Read Annotation

The   annotation   used   combined   FANTOM5   robust   cage   clusters   for   promoters

(http://fantom.gsc.riken.jp/5/datafiles/latest/extra/CAGE_peaks/)   and   enhancers

(http://fantom.gsc.riken.jp/5/datafiles/latest/extra/Enhancers/).   Promoter   clusters   were

subtracted from enhancer clusters and annotated to their nearest GENCODEv25 within 500 bp

where   possible.   A   mask   was   added   to   remove   rRNA,   tRNA,   small   RNAs,   unannotated

promoters.

Data Processing

After removing low quality cells and multiple single cells captured sites based on imaging data

(SCPortalen)(Abugessaisa *et al.*, 2018), the CAGE reads from the remaining 151 cells that

overlapped the annotation CAGE clusters were summed together to create the raw counts

matrix. This matrix was processed with the scran package(Lun, McCarthy and Marioni, 2016)

version 1.6.6 in R 3.4.3 for quality control, filtering and normalization. Following the guideline

suggested by the authors of scran, we first removed from our analysis 15 cells with 1) library

sizes   or   feature   sizes   3   median   absolute   deviations   (MADs)   below   their   median,   or   2)

mitochondrial proportion or spike proportion 3 MADs above their median, leaving us with 136

cells. All the cells that were dropped due to high spike proportion also had low library sizes and

feature counts, whereas this was not necessarily true for those that were dropped due to high

mitochondrial proportion. 14 out of the 15 removed cells were from the same C1 run (library 2),

but there was no noticeable bias towards any particular time point (5, 3, 7 cells from 0h, 6 h, 24

h, respectively). We calculated the cell cycle phase scores using the cyclone method(Scialdone

et al., 2015) for each cell. We filtered out low abundance features that were expressed in less

than 2 cells or average counts of less than 0.3, leaving us with 18,687 features, of which 826

are FANTOM5 enhancers. These features were normalized with size factors calculated based

on clusters of cells with minimum size of 30. We then performed mean-variance trend fitting

using the whole endogenous feature set, building the sample replicate and Calcein staining

variables into the model. We normalized the expression scores to correct for differences of

sequencing depth, using a pooling-deconvolution approach(Lun, Bach and Marioni, 2016). We

then detrended the data for possible C1 run and Calcein color effects. Lastly, we denoised the

data by removing low-rank principal components. To produce the final normalized expression

levels for downstream analyses, we reduced the technical noise using scran's denoisePCA

function based on the fitted data, then performed batch effect removal with the replicate and the

Calcein stain as the covariates using limma package's removeBatchEffect function. We selected

high variance CAGE clusters (HVCs) as those with biological variation above the 75% quantile

and false discovery rate less than 0.05 after decomposing the total variance for each gene into

its biological and technical components using trendVar (scran). We also calculated the pairwise

correlations among the HVCs and marked those with FDR greater than 0.05 as significantly

correlating HVGs.

To create the pseudotime ordering with TSCAN (version 1.16.0), we selected the input feature

set as the union of the significantly correlating HVCs, the top 100 HVCs and SC3(Kiselev *et al.*,

2017) defined marker genes, totaling 290 CAGE clusters.

WGCNA

WGCNA version 1.61 was used, with cut height detection threshold of 0.995, minimum module

size of 100, signed network type, and merge cut height of 0.25. To reduce noise, we restricted

ourselves to those features with mean expression greater than the median of the mean

expression across all samples, and biological variation greater than the median. Also, to avoid

having the same gene appearing in multiple clusters due to different promoters of the same

gene being assigned as such, we only included the major promoter (highest sum of normalized

expression across all samples) in the input set, which left us with 6,028 CAGE clusters as the

input set.

Motif analysis

Motif analysis was performed using CAGEd-oPOSSUM, which employs two separate scoring

systems based on JASPAR 2016 transcription factor binding profiles, searching 500bp either

side of CAGE clusters: 1) Z-scores, which counts the total number of a given motif found in the

input set, and 2) Fisher score, which counts the number of input regions with the given motif.

JASPAR motifs with information content greater than 8 bits were searched.

Functional analysis

To see if we could identify any functional characteristics of the genes in each module, we

performed a test of gene ontology term over-representation test using the edgeR's goana

function, which is an implementation of GOseq(Young *et al.*, 2010). For input, we included those

CAGE clusters that showed correlation coefficient of greater than 0.2 with p-value less than 0.1

with each module's eigengene.

Camera gene set enrichment analysis(Wu and Smyth, 2012) was performed testing for

differential expression between TSCAN states 3 and 4. For the input expression table, we

selected the CAGE clusters that were included in the WGCNA analysis and were annotated with

Entrezgene IDs. For the test set, we selected those CAGE clusters that showed correlation

coefficient of greater than 0.2 with p-value less than 0.1 their module's eigengene from the Early

Responders and Late Responders modules. MSigDB Hallmark gene sets were used.(Liberzon

et al., 2015)

TADs

Out of 826 enhancers, 692 could be assigned to a topological association domain (TAD)

identified in A549 cells from ENCODE Dataset GSE105600

FISH

enhancer RNA lengths were estimated from the ENCODE A549 RNA-seq signal(Dunham *et al.*,

2012). We designed oligonucleotide probes consisting of 20 nt targeting sequence using the

Stellaris Probe Designer (Biosearch Tech). These sequences were flanked on both ends by 30

nt "readout sequence" serving as annealing sites for secondary probes that are labeled with a

fluorescent dye(Chen *et al.*, 2015). For each set of probes, all flanking sequences were identical,

both on the 5' and 3' ends (Probes listed in Supplementary Table 4). Positive strand eRNA,

negative strand eRNA and introns from each locus were assigned different flanking sequences

to allow multiplexing. Secondary probes were labeled with either Atto 647 or Cy3 on the 3' end.

All probe sequences are listed in supplementary table 4. Briefly, cells were seeded onto

coverslips overnight and were fixed in 4% formaldehyde in PBS for 10 min at room temperature.

After fixation, the coverslips were treated twice with ice-cold 0.1% sodium borohydride for 5 min

at 4°C. Following three washes in PBS, the coverslips were treated with 0.5% Triton X-100 in

PBS for 10 min at room temperature to permeabilize the cells. The coverslips were washed

three times in PBS and treated with 70% formamide in 2x SSC for 10 min at room temperature,

followed by two washes in ice-cold PBS and another wash in ice-cold 2x SSC. The coverslips

were stored at 4°C for no longer than a few hours prior to hybridization. For hybridization,

coverslips were incubated in hybridization buffer containing 252 nM primary probes overnight at

37°C inside a humid chamber. Hybridization buffer consisted of 10% formamide, 10% dextran

sulfate, 2X SSC, 1µg/µl yeast tRNA, 2mM vanadyl ribonucleoside complex, 0.02% BSA. To

remove excess probe, coverslips were washed twice in wash buffer made of 30% formamide,

2x SSC, 0.1% Triton X-100 for 30 min at room temperature and rinsed once in 2x SSC. For

hybridization with secondary probes labeled with fluorescent dyes, coverslips were incubated in

minimal hybridization buffer (10% formamide, 10% dextran sulfate, 2x SSC) containing 30 nM

secondary probes for 3 h at 37°C inside a humid chamber. Coverslips were again washed twice

in wash buffer for 30 min at room temperature and rinsed once in 2x SSC. Coverslips were

mounted on glass slides using ProLong Gold Antifade Mountant with DAPI (Invitrogen). Imaging

was done on a DeltaVision Elite microscope (GE) equipped with a sCMOS camera. Image

processing and analysis were done using FIJI.

Enhancer Analysis

For bidirectionality and epigenetic marks analysis a set of enhancers was selected overlapping

ReMap(Chèneby *et al.*, 2018) EP300 A549 binding sites. DNase, H3K27ac, H3K4me1 and

H3K4me3 bigwig files were downloaded from the NIH roadmap epigenomics project(Roadmap

Epigenomics Consortium *et al.*, 2015) and processed with computeMatrix scale-regions from

the deeptools package(Ramírez *et al.*, 2016) for enhancer regions. Bidirectional enhancers

were selected with at least 10 reads in at least 5 cells and a bidirectionality statistic was

calculated as: abs*(plus strand reads - minus strand reads) / sum(reads)* ranging from 0 to 1 with

0 being equally bidirectional and 1 being fully unidirectional. 32 enhancers were selected with

absolute score ≤ 0.5. This score was then calculated within each individual cell for these

enhancers. The specificity score to indicate how broadly/specifically TSS were expressed we

calculated: $Enrichment = Max. Expression / \sum (Expression\ across\ all\ samples)$.

Data Availability.

C1 CAGE sequence data from this study have been submitted to DDBJ (Project ID:

PRJDB5282, Sample ID: SAMD00066188 - SAMD00066475). Alignments were uploaded to the

ZENBU genome browser (Severin et al, 2014, PMID 24727769) and a default view is available

at http://fantom.gsc.riken.jp/zenbu/gLyphs/#config=NMT9yTLnH59gIVssI9WRfD. In these two

submissions the libraries numbered 1, 2 and 3 in this manuscript are numbered 4, 5 and 6,

respectively, for historical reasons.

Code Availability.

Code used in this study is available at https://github.com/Population-Transcriptomics/C1-CAGE-

manuscript

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Author Contributions

695
696 Conceptualization: TL, EA, CP, JWS
697 Ideas; formulation or evolution of overarching research goals and aims.

698
699 Data curation: TKo, AK, YH, MM, JSe, IA, CP
700 Management activities to annotate (produce metadata), scrub data and maintain research data
701 (including software code, where it is necessary for interpreting the data itself) for initial use and
702 later re-use.

703
704 Formal analysis: JM, AK, YH, EM
705 Application of statistical, mathematical, computational, or other formal techniques to analyze or
706 synthesize study data.

707
708 Funding acquisition: PC, JWS
709 Acquisition of the financial support for the project leading to this publication.

710
711 Investigation: TKo, YS, SK, MB
712 Conducting a research and investigation process, specifically performing the experiments, or
713 data/evidence collection.

714
715 Methodology: TKo, YS, SK, JL, CP, JWS

716   Development or design of methodology; creation of models.
717
718   Project administration: PC, CP, JWS
719   Management and coordination responsibility for the research activity planning and execution.
720
721   Resources: ST, TA, MF, NR, JW, HS
722   Provision of study materials, reagents, materials, patients, laboratory samples, animals,
723   instrumentation, computing resources, or other analysis tools.
724
725   Software: JM, AK, MB, MM, JSe, IA, AH, TL, CP
726   Programming, software development, designing computer programs implementation of the
727   computer code and supporting algorithms, testing of existing code components.
728
729   Supervision: HS, TKa, TL, CCH, EA, CP, JWS
730   Oversight and leadership responsibility for the research activity planning and execution,
731   including mentorship external to the core team.
732
733   Validation: TKo, YS
734   Verification, whether as a part of the activity or separate, of the overall replication/reproducibility
735   of results/experiments and other research outputs.
736
737   Visualization: JM, AK, IA, CP
738   Preparation, creation and/or presentation of the published work, specifically visualization/data
739   presentation.
740
741   Writing – original draft: TKo, JM, AK, YS, EA, CP, JWS
742   Preparation, creation and/or presentation of the published work, specifically writing the initial
743   draft (including substantive translation).
744
745   Writing – review & editing: JM, CP, JWS
746   Preparation, creation and/or presentation of the published work by those from the original
747   research group, specifically critical review, commentary or revision– including pre- or post-
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749

750
751   ## Conflict of interest
752
753   Dr. Ramalingam is an employee and stockholder of Fluidigm Corporation.
754

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