Title: Fast animal pose estimation using deep neural networks

Authors:

Pereira, T.[1,#], Aldarondo, D.[1,#], Willmore, L.[1], Kislin, M.[1], Wang, S. S.[1,2], Murthy, M.[1,2*], and Shaevitz, J. W.[1,3,4*]

1 Princeton Neuroscience Institute, Princeton University

2 Department of Molecular Biology, Princeton University

3 Lewis-Sigler Institute for Integrative Genomics, Princeton University

4 Department of Physics, Princeton University

#equal authors

*lead contacts and co-corresponding authors: Mala Murthy (mmurthy@princeton.edu) and Joshua W. Shaevitz (shaevitz@princeton.edu)

Abstract:

1   Recent work quantifying postural dynamics has attempted to define the repertoire of behaviors

2   performed by an animal. However, a major drawback to these techniques has been their

3   reliance on dimensionality reduction of images which destroys information about which parts of

4   the body are used in each behavior. To address this issue, we introduce a deep learning-based

5   method for pose estimation, LEAP (**L**EAP **E**stimates **A**nimal **P**ose). LEAP automatically predicts

6   the positions of animal body parts using a deep convolutional neural network with as little as 10

7   frames of labeled data for training. This framework consists of a graphical interface for

8   interactive labeling of body parts and software for training the network and fast prediction on

9   new data (1 hr to train, 185 Hz predictions). We validate LEAP using videos of freely behaving

10 fruit flies (*Drosophila melanogaster*) and track 32 distinct points on the body to fully describe the

11 pose of the head, body, wings, and legs with an error rate of <3% of the animal's body length.

12 We recapitulate a number of reported findings on insect gait dynamics and show LEAP's

13 applicability as the first step in unsupervised behavioral classification. Finally, we extend the

14 method to more challenging imaging situations (pairs of flies moving on a mesh-like

15 background) and movies from freely moving mice (*Mus musculus*) where we track the full

16 conformation of the head, body, and limbs.

17 **Introduction**:

18 Connecting neural activity with behavior requires methods to parse what an animal does into its

19 constituent components (movements of its body parts), which can then be connected with the

20 electrical activity that generates each action. This is particularly challenging for natural behavior,

21 which is dynamic, complex, and seemingly noisy. Human classification of behavior is

22 painstakingly slow and subject to bias – but recent methods make it feasible to automate the

23 analysis of behavior [1]. These include methods to track animal centroids over time [2–4], machine

24 learning techniques for identifying user-defined behaviors, such as fighting or courting [5,6], and

25 software to segment the acoustic signals produced by an animal [7–9]. However, one may not

26 know *a priori* which behaviors to analyze – this is particularly true when screening mutant

27 animals or investigating the results of neural perturbations that can alter behavior in unexpected

28 ways.

29

30 Recent developments in the unsupervised clustering of postural dynamics have overcome many

31 of these challenges by analyzing the raw frames of movies in a reduced dimensional space

32 (e.g., generated using Principal Component Analysis (PCA)). By comparing frequency spectra

33 or fitting auto-regressive models [10,11], these methods both define and provide the ability to

34 record the occurrence of tens to hundreds of unique, stereotyped behaviors in animals such as

35 fruit flies or mice. These unsupervised methods have been used to uncover new structure in

36 behavioral data, facilitating the investigation of temporal sequences [12], social interactions [13], the

37 analysis of genetic mutants [11,14], and the results of neural perturbation [15,16].

38

39 While powerful, a major drawback to the aforementioned techniques is their reliance on PCA to

40 reduce the dimensionality of the image time series. While this produces a more manageable

41 substrate for machine learning, the modes derived from PCA come from the statistics of the

42 images and are not related directly to any individual body part of the animal. As such, the

43 discovered stereotyped behaviors must be labeled, classified, and compared manually through

44 the human observation of representative movie snippets. Given the highly quantitative approach

45 that precedes this step, it is ultimately unsatisfying and subjective for the experimenter to

46 manually label each behavior (e.g., foreleg grooming, hindleg grooming, forward locomotion,

47 right turns, etc.). Instead, what is desired is a mathematical representation of the relative

48 motions of all parts of the animal that characterizes a particular behavior. Such a description

49 would facilitate the investigation of the similarities and differences between behaviors and likely

50 improve the behavioral identification algorithm itself.

51

52   Measuring all of the body part positions from raw images is a challenging computer vision

53   problem. Previous attempts at automated body-part tracking in insects and mammals have

54   relied on either physically constraining the animal and having it walk on a spherical treadmill [17]

55   or linear track [18], applying physical markers to the animal [17,19], or utilizing specialized equipment

56   such as depth cameras [20–22], frustrated total internal reflection imaging [23,24] or multiple cameras

57   [25]. Meanwhile, approaches designed to operate without constraining the natural space of

58   behaviors make use of image processing techniques that are sensitive to imaging conditions

59   and require manual correction even after full training [26].

60

61   To address these issues, we turned to deep learning-based methods for pose estimation that

62   have proven successful on images of humans [27–33]. Major breakthroughs in the field have come

63   from adopting fully convolutional neural network architectures for efficient training and

64   evaluation of images [34,35] and producing a probabilistic estimate of the position of each tracked

65   body part [28,30]. However, the problems of pose estimation in the typical human setting and that

66   for laboratory animals are subtly different. Algorithms that work on human images are meant to

67   deal with large amounts of heterogeneity in body shape, environment, and image quality, but for

68   which there are very large labeled training sets of images available. On the contrary, behavioral

69   laboratory experiments are often more controlled, but the imaging conditions may be highly

70   specific to the experimental paradigm and labeled data is not readily available and must be

71   generated for every experimental apparatus and animal type. One recent attempt to apply these

72   techniques to images of behaving animals successfully used transfer learning, whereby

73   networks initially trained for human pose estimation are refined by further training with relatively

74   few samples from animal images [36].

75

76   We have taken a different approach that combines a graphical user interface (GUI)-driven

77   workflow for labeling images with a simple network architecture that is easy to train and requires

78   fewer computations to generate predictions. Our method can automatically predict the positions

79   of animal body parts via iterative training of deep convolutional neural networks with as little as

80   10 frames of labeled data for initial prediction and training. After initial *de novo* training,

81   incrementally refined predictions can be used to guide labeling in new frames, drastically

82   reducing the time required to label sufficient examples (~500 frames) to achieve an accuracy of

83   less than 3 pixels (distance from ground truth). Our framework consists of a GUI for interactive

84   labeling of ground truth body part positions as well as software for efficient training of a

85   convolutional neural network on a workstation with a modern GPU (<1 hour) and fast prediction

86   on new data (up to 185 Hz). We validate the results of our method using a previously published

87   dataset of high quality videos of freely behaving adult fruit flies (*Drosophila melanogaster* [10]) and

88   we recapitulate a number of reported findings on insect gait dynamics as a test of its

89   experimental validity. We then show its applicability as a front end to an unsupervised

90   behavioral classification algorithm and demonstrate how it can be used to describe stereotyped

91   behaviors in terms of the dynamics of individual body parts. Finally, we show the generalizability

92   of this method in challenging imaging conditions as well as in freely moving rodents.

93

94   **Results:**

95   Our method, which we refer to as LEAP (**L**EAP **E**stimates **A**nimal **P**ose), consists of three

96   phases (**Fig. 1a**): *(i) Registration and alignment*, in which raw video of a behaving animal is

97   preprocessed into egocentric coordinates; *(ii) Labeling and training*, in which the user provides

98   ground truth labels to train the network to find body part positions in a subset of images; and *(iii)*

99   *Pose estimation*, in which the network can be applied to new and unlabeled data. In the

100   following sections, we demonstrate the power of this tool using a previously published data set

101   of 59 male fruit flies, each recorded for one hour at 100 Hz, for a total of >21 million images [10].

102

103   ***The Components of LEAP:***

104   *(i) Registration and alignment*

105   The first step in our pipeline is to extract the image region that contains the animal within the

106   field of view of the camera, as well as its angular heading within the image. This can be

107   accomplished using standard image processing techniques [37,38] or existing software packages

108   [2,13,39,40]. Our implementation [10] is provided in the accompanying code repository. This step

109   produces egocentric, oriented bounding boxes around each fly image used to train the neural

110   network. While this step improves pose calculation accuracy as it saves the network from being

111   required to learn rotational invariance, we note that this can also be learned at the cost of

112   prediction accuracy (**Supplementary Fig. 1**).

113

114   *(ii) Labeling, training, and neural network architecture*

115   The neural network learns to predict body part positions from a set of user-labeled images. To

116   identify a small set of example 'training' images that are representative of the set of poses

117   across the entire data set, we use a technique we refer to as *cluster sampling*. A simple random

118   subset of the movie images are grouped via k-means clustering and then these images are

119   sampled uniformly across groups for labeling. The grouping is based on linear correlations

120   between pixel intensities in the images as a proxy measure for similarity in body pose. The

121   diversity of poses represented using this method can be observed in the centroids of each of the

122   clusters identified (**Supplementary Fig. 2**).

123

124   Poses in each training image are labeled using a custom GUI with draggable body part markers

125   that form a skeleton (**Fig. 1b**). For the fruit fly, we track four points on each of the six legs, two

126   points on the wing tips, three points on the thorax and abdomen, and three points on the head

127   for a total of 32 points in every frame. These points were chosen to align with known *Drosophila*

128   body joints (**Supplementary Fig. 3**). For every training image, the user drags each skeleton

129   point to the appropriate body part and the program saves the label positions into a self-

130   contained file. To enhance the size of the training image set further without the need for hand

131   labeling more frames, we augment the dataset by applying small random rotations and body-

132   axis reflections to generate new samples from the labeled data. As the neural network

133   processes the raw images, the rotated and reflected images add new information that the

134   network can use during training.

135

136   We first labeled only 10 images, and used these data to train the neural network and generate

137   body part position estimates for the remaining images chosen via cluster sampling (see below

138   for details on network training). When trained with only 10 images for just 15 epochs, estimation

139   error rates were large (**Supplementary Fig. 4a-b**) but these estimates helped to decrease the

140   time required to label each subsequent frame. We therefore repeated this procedure of

141   alternating labeling and initializing via briefly trained network estimates at 50, 100, 250, 500 and

142   1000 labeled frames, decreasing the time required to label each frame from 2 minutes per frame

143   for the first 10 frames, to 6 seconds per frame for the last 500 frames (**Supplementary Fig. 4c**).

144   Labelling 1500 frames required a total of 7 hours of manual labeling and an additional 1.5 hours

145   of network training (including 6 "fast" and 1 "full" training epochs).

146

147   The core component of LEAP is a deep convolutional neural network. The network takes as

148   input a single image of the animal and produces as output a set of confidence maps (probability

149   distributions) which describe the location of each body part within the input (**Fig. 1c**). The global

150   maximum in each confidence map represents the network's prediction of that body part's

151   position (**Fig. 1c, insets**). We employ a fully convolutional network architecture. This type of

152   neural network eschews fully connected layers in lieu of repeated convolutions and pooling

153 steps, which greatly improves training and prediction performance when working in the image

154 domain [34].

155

156 We devised a simple 15 layer network architecture that is designed to be fast. The network

157 consists of two blocks of 3x3x64 convolutions, ReLU nonlinear activation, and 2-strided max

158 pooling, which is then followed by two blocks of transposed convolutions for upsampling and

159 additional convolutions for refinement (see **Online Methods**, **Supplementary Fig. 5a**). Pooling

160 and downsampling allow us to keep filter sizes fixed and small, minimizing the number of

161 computations required while allowing both local and global spatial features to be learned and

162 combined. Recently published architectures for pose estimation follow these same general

163 principles, but are often much larger and more complex, using skip connections, residual

164 modules, and stacked version of the hourglass with intermediate supervision [41]. We find that

165 without these features, our network performs equivalently or better than those architectures

166 (**Supplementary Fig. 5b**).

167

168 Network training consisted of a series of epochs, during which initially random weights are

169 updated to minimize the mean-squared-error loss between ground truth and estimated

170 confidence maps. During each epoch, 50 batches of 32 randomly sampled training images are

171 augmented with small random rotations or reflections and evaluated for weight updates. Then,

172 10 batches are sampled and augmented from the held out validation set and used to compute

173 the validation loss. This loss is used to decrease the learning rate if no significant improvements

174 occur for multiple epochs, fine-tuning the learning process. An epoch was completed in 60 to 90

175 seconds on modern GPUs (see **Online Methods**).

176

177 For fast training during the labeling and initialization phase, 10% of the data are held out for

178 validation and training is concluded after 15 epochs. After 1500 images were labeled, we

179 proceeded to full training, for which we split the data into training (76.5%), validation (13.5%),

180 and testing (10%) sets. We train the network for 50 epochs to increase the chance of

181 convergence and use the held out test set to evaluate the final accuracy. All accuracy measures

182 reported here were computed from this held out test set.

183

184 *(iii) Pose estimation*

185 After amortizing for initialization (loading the network onto the GPU), we find that the network is

186 able to generate predictions at speeds suitable even for real time processing: 185±1.1 Hz

187   (mean+-s.d.) for 192x192 images. Without any further refinement, poses generated by the

188   network faithfully represented many features of *Drosophila* behavior that have been difficult to

189   track automatically due to issues of occlusion, e.g., thin body parts, such as the legs, being

190   occluded by the body or wings (**Fig. 1e**, **Supplementary Movie 1-3)**. For example, we found

191   that the network was able to continuously and accurately track the motion of all 6 legs during

192   extended bouts of locomotion (**Fig. 1d, Supplementary Movie 1,2**). In addition, the network

193   can accurately track bouts of head grooming during which the forelegs are highly occluded by

194   the head (**Fig. 1e, Supplementary Movie 3**).

195

196   ***Performance of LEAP: Accuracy, speed, and training sample size***

197   We evaluated the accuracy of LEAP after full training with 1,500 labeled images by measuring

198   error as the Euclidean distance between estimated and ground truth coordinates of each body

199   part on a held-out test set of 300 frames. We found that the accuracy level depends on the body

200   part being tracked, with parts that are more often occluded, such as hind legs, resulting in

201   slightly higher error rates (**Fig. 2a**). Overall, we found that error distances for all body parts were

202   well below 3 pixels for the vast majority of tested images (**Fig. 2b**). This error is achieved rather

203   quickly during training, requiring as few as 15 epochs (15-20 minutes of training time) to achieve

204   approximately 1.97 pixel overall accuracy, and less than 50 epochs (50-75 minutes) for

205   convergence to 1.63 pixel accuracy with the full training set (**Fig. 2c**). To measure the ground

206   truth accuracy during the alternating labeling-training phase, we also measured the errors on

207   the full test set as a function of the number of labeled images used for training under the fast

208   training regime (15 epochs). We found that with as few as 10 labeled images the network is able

209   to achieve <2.5 pixel error (2-3% of body length) in 74% of the test set, while 1,000 labeled

210   images yields an accuracy of <2.5 pixels in 87% of the test set (**Fig. 2d**). This level of accuracy

211   when training for few epochs with few samples contributes to the drastic reduction in time spent

212   hand-labeling after fast training (**Supplementary Fig. 4**).

213

214   ***Leg tracking with LEAP recapitulates previously described gait structure***

215   To evaluate the usefulness of our pose estimator for producing experimentally valid

216   measurements, we used it to analyze the gait dynamics of freely moving flies. Previous work on

217   *Drosophila* gait relied on imaging systems that use a combination of optical touch sensors and

218   high speed video recording to follow fly legs as they walk [24]. Although this system can

219   accurately track fly footprints over a few seconds at a time, it cannot track the limbs when they

220   are not in contact with the surface (during swing). Other methods to investigate gait dynamics

221   use a semi-automated approach to label fly limbs [26,42]. This requires a large time investment to

222   manually correct automatically generated predictions, and therefore the semi-automated

223   approach typically involves smaller datasets.

224

225   We began by evaluating our network on the dataset of 59 adult male fruit flies [10] and extracting

226   the predicted positions of each leg tip in each of 21 million frames. For every frame in which the

227   fly was moving forward (7.2 hours/2.6 million frames total), we encoded each leg as either in

228   swing or stance depending on whether the leg was moving forward or backward relative to the

229   fly's direction of motion (**Fig. 3a**). Using this encoding, we measured the relationship between

230   the fly's speed and the duration of stance and swing (**Fig. 3b**). Similar to previous work, we find

231   that swing duration is relatively constant across walking speeds, whereas stance duration

232   decreases with walking speed [24]. Because our methods allow us to estimate animal pose during

233   both stance and swing (versus only during stance [24]), we have the opportunity to investigate the

234   dynamics of leg motion during the swing phase. We found that swing velocity increases with

235   body speed, corroborating previous results (**Fig. 3c**). We also found that fly leg velocities follow

236   a parabolic trajectory parametrized by body speed (**Fig. 3c**).

237

238   Following the work of [42], we then trained a 3 state Hidden Markov Model (HMM) to capture the

239   different gait modes exhibited by *Drosophila*. The emission probabilities from the model of the

240   resulting hidden states were indicative of tripod, tetrapod, and non-canonical/wave gaits (**Fig.**

241   **3d**). As expected, we observed tripod gait at high body velocities and tetrapod or non-canonical

242   gaits at intermediate and low velocities, in accordance with previous work [24,42,43] (**Fig. 3e-g**).

243   These results demonstrate that our pose estimator is able to effectively capture the dynamics of

244   known complex behaviors, such as locomotion.

245

246   ***Body dynamics reveal structure in the fly behavioral repertoire***

247   We next used the output of LEAP as the first step in an unsupervised analysis of the fly

248   behavioral repertoire [10]. We calculated the position of each body part relative to the center of the

249   fly abdomen for each point in time and then computed a spectrogram for each of these

250   timeseries via the Continuous Wavelet Transform (CWT). We then concatenated these

251   spectrograms and embedded the resulting feature vectors into a two-dimensional space of

252   actions we term a behavior space (**Online Methods, Fig. 4a**). As has been shown previously,

253   the distribution of time points in this space is concentrated into a number of strong peaks that

254   represent stereotyped behaviors seen across time and in multiple individuals [10].

255

256   We identify clusters in the behavior space distribution by grouping together regions of high

257   occupancy and stereotypy (**Fig. 4b**). This distribution is sharper than what we found previously

258   using a PCA-based compression of the images (**Supplementary Fig. 6**), with many of the least

259   resolved behaviors now grouped together appropriately. An additional advantage to using pose

260   estimation over PCA-based image compression is the ability to describe stereotyped behaviors

261   by the dynamics of each body part. We calculated the average concatenated spectrogram for

262   each cluster and found that specific behaviors are recapitulated in the motion power spectrum

263   for each body part (**Fig. 4c-h**).

264

265   This method can be used to accurately describe grooming, a class of behaviors that is highly

266   represented in our dataset. Posterior grooming behaviors exhibited a distinctly symmetric

267   topology (**Fig. 4b-g**), revealing both bilateral (**Fig. 4e**) as well as unilateral grooming of the

268   wings (**Fig. 4c,f**) and the rear of the abdomen (**Fig. 4d,g**). These behaviors involve unilateral,

269   broadband (1-8 Hz) motion of the hind legs on one side of the body and a slower (~1.5 Hz)

270   folding of the wing on the same side of the body. In contrast, anterior grooming is characterized

271   by broadband motions of both front legs with a peak at ~9 Hz, representing the legs rubbing

272   against each other (**Fig. 4h**).

273

274   We also discovered a number of unique clusters related to locomotion (**Fig 5a,b**). The slowest

275   state (cluster 10) involves a number of frequencies with a broad peak centered at 5.1 Hz (**Fig. 5**

276   **c-e**). This can be seen both in the concatenated spectrograms (**Fig. 5c**) and the power

277   spectrum averaged over all leg positions (**Fig. 5d**). The fly center-of-mass velocity distribution

278   for this behavior is shown in **Figure 5e**. As the fly speeds up (clusters 10-15, **Fig. 5e**), the peak

279   frequency for the legs increases monotonically to 11.5 Hz (cluster 15). We next asked if the

280   tripod and tetrapod gaits we found in our previous analysis were represented by distinct regions

281   in the behavior space. We found that tripod gait was used predominantly in the three fastest

282   locomotion behaviors whereas the tetrapod (and to a lesser extent the non-canonical) gait was

283   used for the three slower locomotion behaviors (**Supplementary Fig. 5f**).

284

285   ***LEAP generalizes to images with complex backgrounds or of other animals***

286   To test the robustness and generalizability of our approach under more varied imaging

287   conditions, we evaluated the performance of LEAP on a dataset in which pair of flies were

288   imaged against a non-uniform and low contrast background of porous mesh (~4.2 million

289   frames, ~11.7 hours) (**Fig. 6a$_1$**). Using the same workflow as in the first dataset, we found that

290   the pose estimator was able to reliably recover body part positions with high accuracy despite

291   poorer illumination and a complex background that was at times indistinguishable from the fly

292   (**Fig. 6a$_{2,3}$, Supplementary Movie 4**). We then applied a previously described method for

293   segmentation and tracking [13] to these images to evaluate the performance when masking out

294   the background (**Fig. 6b$_1$**). Even with substantial errors in the masking (e.g., leg or wing

295   segmentation artifacts), we find that the accuracy remains high and is improved slightly by

296   excluding the background pixels from the images when compared to the raw images (**Fig. 6b$_{2,3}$,**

297   **Supplementary Movie 4**). Finally, we tested the applicability of our framework to animals with

298   different morphology by tracking videos of freely behaving mice (*Mus musculus*) imaged from

299   below in an open arena (**Fig. 6c$_1$**). We observed comparable accuracy in these mice despite

300   considerable occlusion during behaviors such as rearing (**Fig. 6c$_{2,3}$, Supplementary Movie 5**).

301

302   **Discussion**

303   Here we present a pipeline (termed LEAP) that uses a deep neural network to track the body

304   parts of a behaving animal in all frames of a movie via labeling of a small number of images

305   from across the dataset. We show that this method is fast (requiring one hour to train and

306   producing body part position estimates at a maximum rate of 185 Hz), accurate (training on 10

307   frames results in 74% of estimates within 2.5 pixel error while training on 100 frames results in

308   85% of the frames within 2.5 pixel error), and generalizes across animal species (including flies

309   and mice) and different regimes of signal to noise ratio. Importantly, we do not construct a single

310   network to perform pose estimation on all datasets, but rather we present a single architecture

311   that can be trained to perform pose estimation on any dataset if given a small number of training

312   samples. All that is required of future users is that the training sets be compiled in a specific

313   manner that can be facilitated with our user interface (for which we provide code and utilities).

314

315   Discovering the proximate mechanisms underlying behavior relies on an analysis of behavioral

316   dynamics matched to the timescales of neural and muscular activity. Tracking only the centroid

317   of an animal and its change in position or heading over time is likely an insufficient level of

318   description for determining how the nervous system controls most behaviors. Previous studies

319   have addressed the issue of pose estimation either through centroid tracking [2], pixel-wise

320   correlations [10,11], or specialized apparatus for tracking body parts [17,20,24,42,44]. For the latter,

321   applying markers to an animal can limit natural behavior and systems that track particular body

322   parts are not in general scalable to all body parts or animals with a very different body plan.

323

324   We demonstrate the value of LEAP by showing how it can be applied to the study of locomotor

325   gait dynamics (**Fig. 3, 5**) and unsupervised behavioral mapping (**Fig. 4, 5**) in *Drosophila*.

326   Previous studies of gait dynamics have been limited to short stretches of locomotor bouts that

327   were captured using a specialized imaging system [24] or to the number of behavioral frames that

328   could be hand-labeled [42]. We show that LEAP not only recapitulates previous findings on

329   locomotor gait, but that it also discovers new aspects of the behavior (for example, that the

330   dynamics of the leg during swing have a nonlinear relationship with swing velocity). We also

331   demonstrate the clear interpretability afforded when using LEAP in combination with

332   unsupervised behavior classification (**Fig. 4, 5**). This provides a solution to a major shortcoming

333   in existing approaches, namely that identified behaviors had to be interpreted simply by

334   watching videos [10,11]. Using LEAP as the first step in such unsupervised algorithms, each

335   discovered behavior can now be interpreted by analyzing the dynamics of each body part.

336

337   There are a number of applications for this pipeline beyond those demonstrated here. Because

338   the network learns body positions from a small amount of human labeled frames, the network

339   can be easily trained to track a wide variety of animal species and classes of behavior. Further,

340   LEAP can be extended to tracking of body parts in 3D by either using multiple cameras or

341   depth-sensitive devices. This will likely be useful for tracking body parts of head-fixed animals

342   moving on an air supported treadmill [45,46]. These experiments are particularly suited for our

343   approach, as the movies from head-fixed animals are inherently recorded in egocentric

344   coordinates. Additionally, we note that the fast prediction performance of our method makes it

345   compatible with closed-loop experimentation, where joint positions may be computed in realtime

346   to control experimental parameters such as stimuli presented to the animal or optogenetic

347   modulation. Lastly, through the addition of a segmentation step for analyzing movies of multiple

348   animals [2,13,39], LEAP can estimate poses for multiple interacting individuals.

349

350   The primary practical limitation of this framework is the egocentric alignment step that may be

351   sensitive to imaging conditions and the context of the experiment. We note, however, that many

352   standard techniques exist to find the centroid and orientation of animals in images, including

353   deep learning-based approaches [40]. Other concerns may pertain to generalizability, in particular

354   due to how we train each network from scratch rather than performing transfer learning to reuse

355   a set of more general, shallow layer feature detectors [36]. While transfer learning could easily be

356   incorporated into LEAP (as well as any other network architecture designed for pose

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357   estimation), we found it to be unnecessary given the inherently low variability of imaging

358   conditions in the lab and the empirically determined low training data requirements.

359

360   In summary, we present a method for tracking body part positions of freely moving animals with

361   little manual effort and without the use of physical markers. We show LEAP's robustness, state-

362   of-the-art performance, validity, and utility for quantitative behavioral analysis. We anticipate that

363   this tool will reduce the technical barriers to addressing a broad range of previously intractable

364   questions in ethology and neuroscience through quantitative analysis of the dynamic changes in

365   the full pose of an animal over time.

366

367   **Contributions**

368   Designed study: TP, DA, SW, JS, and MM

369   Conducted experiments: TP, DA, LW, and MK

370   Developed GUI and analyzed data: TP and DA

371   Wrote manuscript: TP, DA, JS, and MM
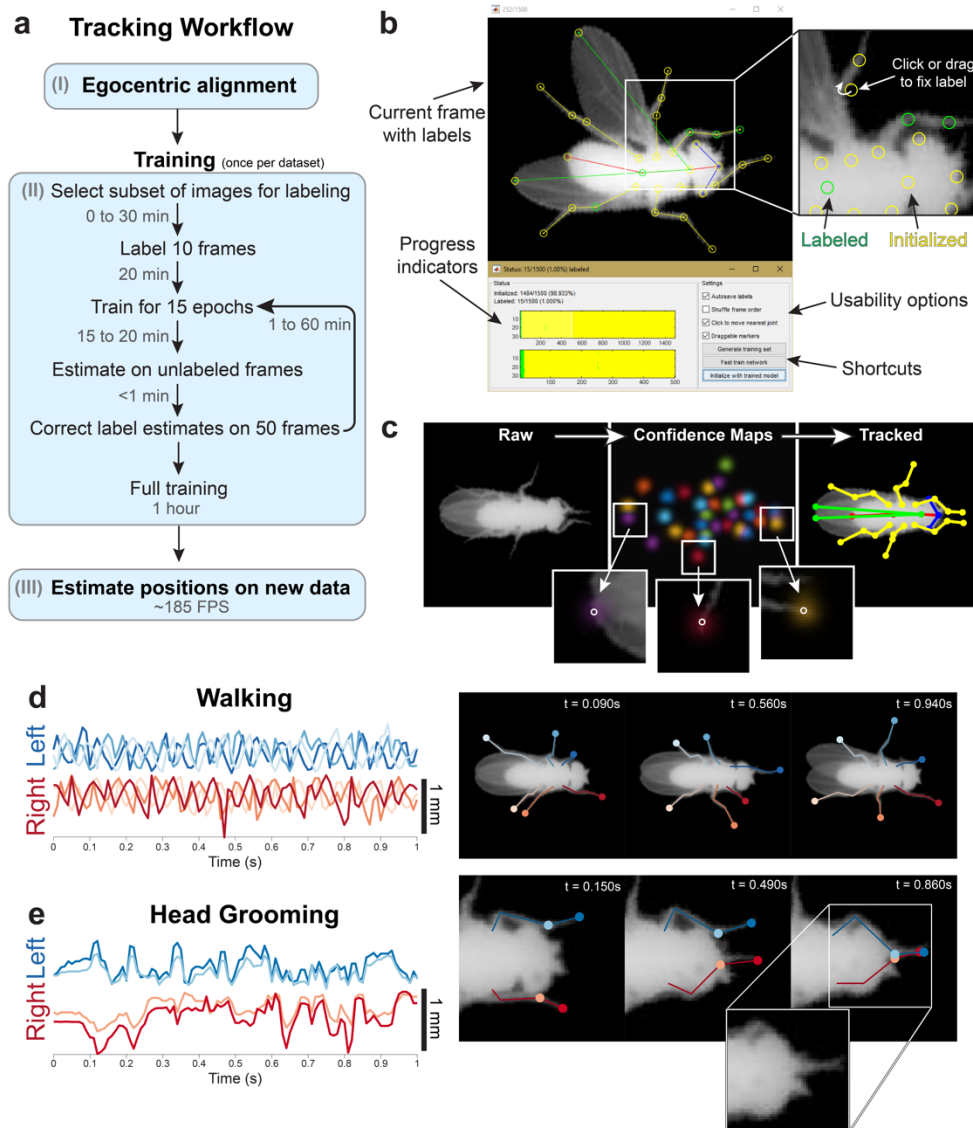
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388

389 **Figure 1: Body part tracking via LEAP, a deep learning framework for animal pose**

390 **estimation**

391 (a) Overview of the tracking workflow. In the initial preprocessing phase (I), video frames are

392 centered relative to the animal to render the images in egocentric coordinates. In the beginning

393 of the training phase (II), representative frames are sampled. After labeling an initial set of

394 images, the neural network is trained and used to estimate body positions on the remaining

395 images of the training set to facilitate subsequent correction of labels. Correcting labels takes

396 progressively less time as the network is trained with increasingly more labeled examples. Once

397 all training images are labeled, full training involves fine tuning the network to optimize

398   performance. Once trained (III), estimation on new, unlabeled data is fully automated and can
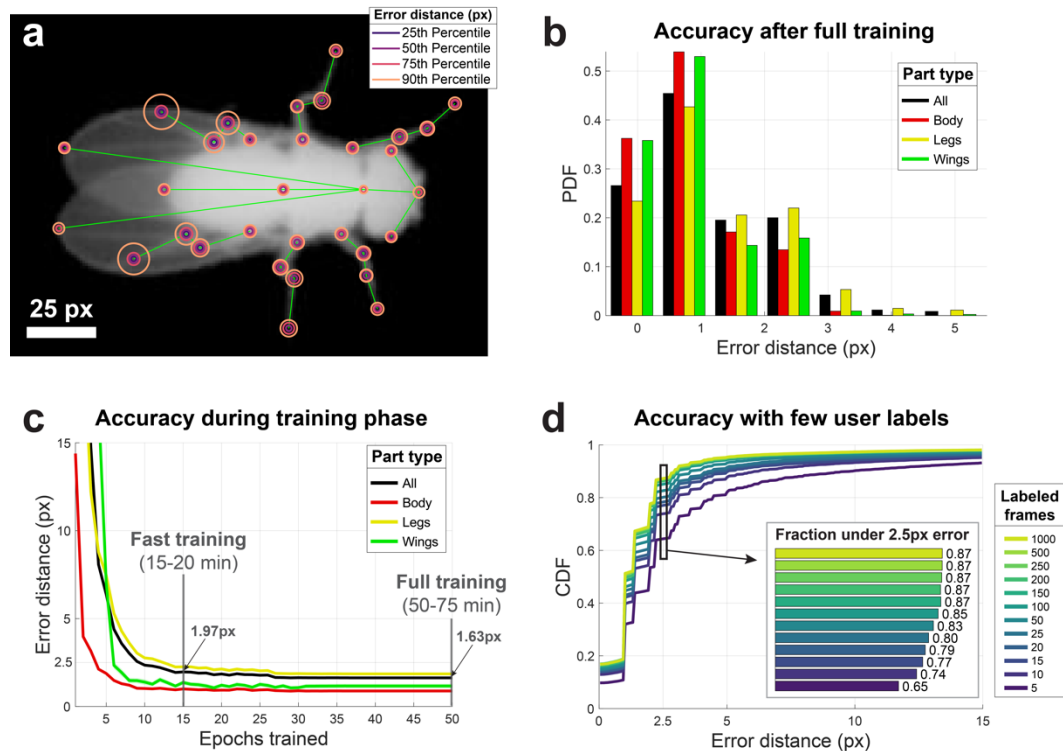
399   be performed at high speed on a GPU.

400   (b) Graphical user interface for providing ground truth labels and correcting estimates. The

401   software displays images in the training set with interactive markers denoting the default or best

402   estimate for each body part (top-left). User input is provided by clicking or dragging the markers

403   to the correct location (top-right). Colors indicate labeling progress and denote whether the

404   marker is at the ground truth location (green) or is an estimate from network initialization

405   (yellow). Progress indicators mark which frames and body parts have been labeled thus far,

406   while shortcut buttons enable the user to export the labels to use a trained network to initialize

407   unlabeled body parts with automated estimates.

408   (c) Data flow through the LEAP pipeline. Raw images are provided as input without markers or

409   indicators (left). For each input image, the network outputs a stack of confidence maps, a max

410   projection through which is used here for visualization (middle). Insets overlay individual

411   confidence maps on the image to reveal how confidence density is centered on each body part,

412   with the peak indicated by a circle. The predicted coordinate for each body part is the peak

413   value in each confidence map, enabling a visualization of the tracked skeleton (right).

414   (d) Walking behavior can be quantitatively described by leg tip trajectories. The distance of each

415   of the 6 leg tips from its own mean position during a walking bout reveals a cyclic pattern of leg

416   movements (left). The tracked points on the images span a diversity of poses that change over

417   fast timescales (right).

418   (e) Head grooming behavior can also be quantitatively described by leg tip trajectories. Position

419   estimates are not confounded by occlusions when the legs pass under the head (right, inset).

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Figure 2: LEAP is highly accurate, and requires little training or labeled data

(a) Part-wise accuracy distribution after full training. Circles are plotted on a reference image to indicate the fraction of held out testing data (n = 300 images) for which estimated positions of the particular body part are closer to the ground truth than the radii. Most body parts have error rates below 3 pixels for over 90% of tested images. Body parts that often suffer from occlusion (e.g., hind legs) have higher rates of error.

(b) Accuracy summary on held out test set after full training. Both total and grouped error rates fall well below 3 pixels (1/64th of 192x192 pixel images) in terms of Euclidean distance to ground truth as in (a).
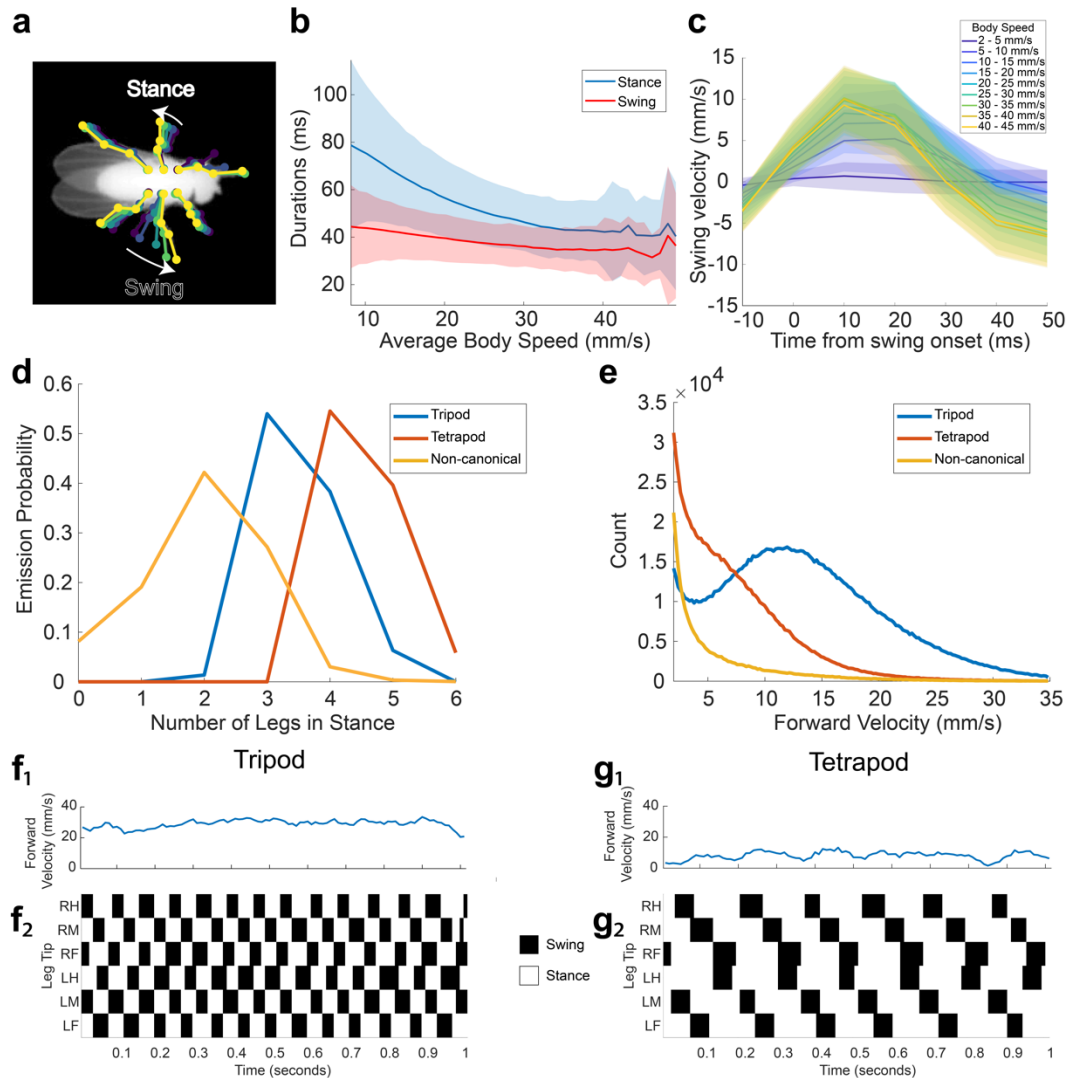
(c) Accuracy as a function of training time demonstrates fast convergence and time/accuracy trade-off during training. In the "fast training" regime, the training procedure runs for only 15 epochs, allowing the network to approximate convergence-level accuracy in a fraction of the time, optimal for training for initialization with few samples. For these tests, n = 1215 labeled frames were used for training. Lines and shaded area indicate mean and SEM for all held out test images pooled over 5 runs. After 50 epochs, convergence is achieved at the cost of

438   additional run time. Run times depend mainly on the performance of the hardware being used,

439   with a range provided by estimates from high end consumer or enterprise GPUs.

440   (d) Accuracy as a function of number of training examples demonstrates the trade-off between

441   estimation accuracy and time spent labeling. Distributions indicate estimation errors in a held

442   out test set (n = 300 frames) while varying the number of labeled images used for training,

443   pooled over 5 "fast training" runs. Using as few as 10 labeled images, 74% of body part

444   estimates fell within 2.5 pixels of their ground truth locations, increasing to 87% with 1000

445   labeled images (inset).

446

447

Figure 3: LEAP recapitulates known gait patterning in flies

(a) Schematic of swing and stance encoding.

(b) Duration of swing and stance as a function of average body speed. Stance duration decreases with increasing body speed, corroborating previous findings (Mendes et al. 2013). This data comprises approximately 7.2 hours in which the fly is moving forward (2.6 million frames). Shaded regions indicate one standard deviation.

(c) Swing velocity as a function of time from swing onset, and binned by body speed. Shaded regions indicate one standard deviation.
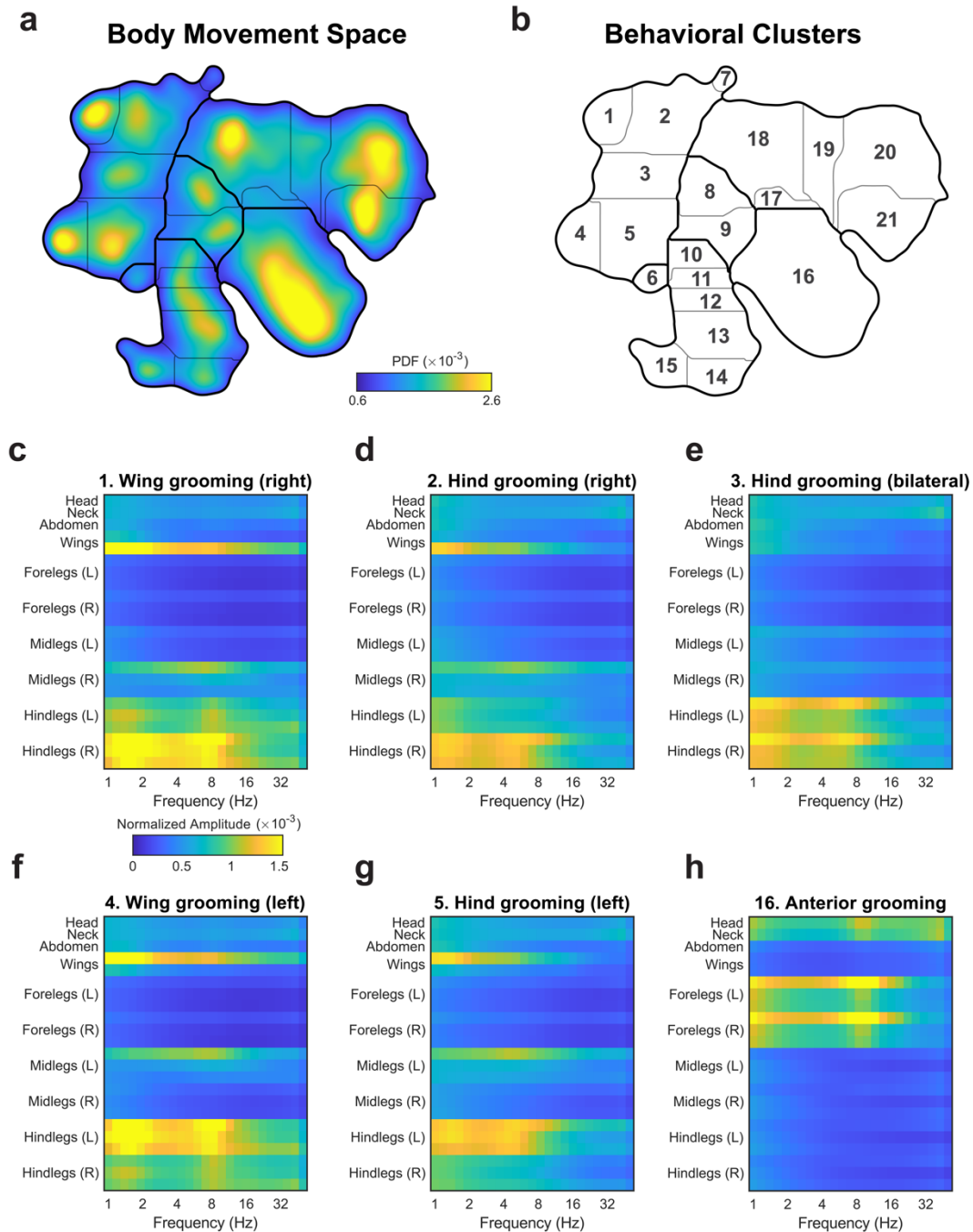
(d) Emission probabilities of numbers of legs in stance for each hidden state in the HMM (see Methods). Hidden state emissions resemble tripod, tetrapod, and non-canonical gaits.

460   (e) Distributions of velocities for each hidden state. Flies primarily exhibit tripod gait at high

461   velocities, and tetrapod or non-canonical gaits at intermediate and slow velocities.

462   (f,g) Examples of tripod and tetrapod gaits identified by the HMM.

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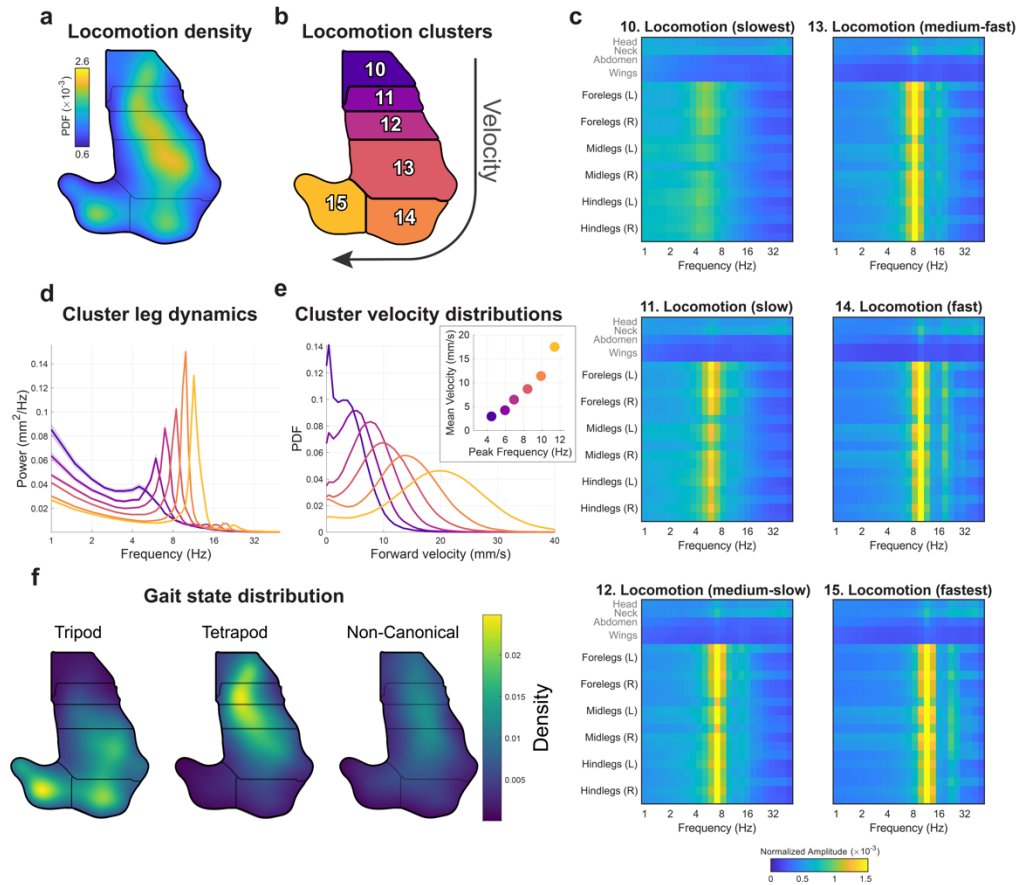**Figure 4: Unsupervised embedding of body position dynamics**

(a) Density of freely moving fly body part trajectories, after projecting their spectrograms into to two dimensions via unsupervised nonlinear manifold embedding (Berman et al., 2014). The distribution shown is generated from 21.1 million frames. Regions in the space with higher density correspond to stereotyped movement patterns, whereas low density regions form

472   natural divisions between distinct dynamics. A watershed algorithm is used to separate the

473   peaks in the probability distribution (see Methods).

474   (b) Cluster boundaries from (a) with cluster numbers indicated.

475   (c-h) Average spectrograms from time points that fall within the dominant grooming clusters;

476   cluster numbers are indicated in (b). Posterior grooming behaviors subdivide into symmetric

477   clusters corresponding to the lateralization of limbs employed (c-g). Qualitative labels for each

478   cluster based on visual inspection are provided for convenience. Colormap corresponds to

479   normalized power for each body part.

480

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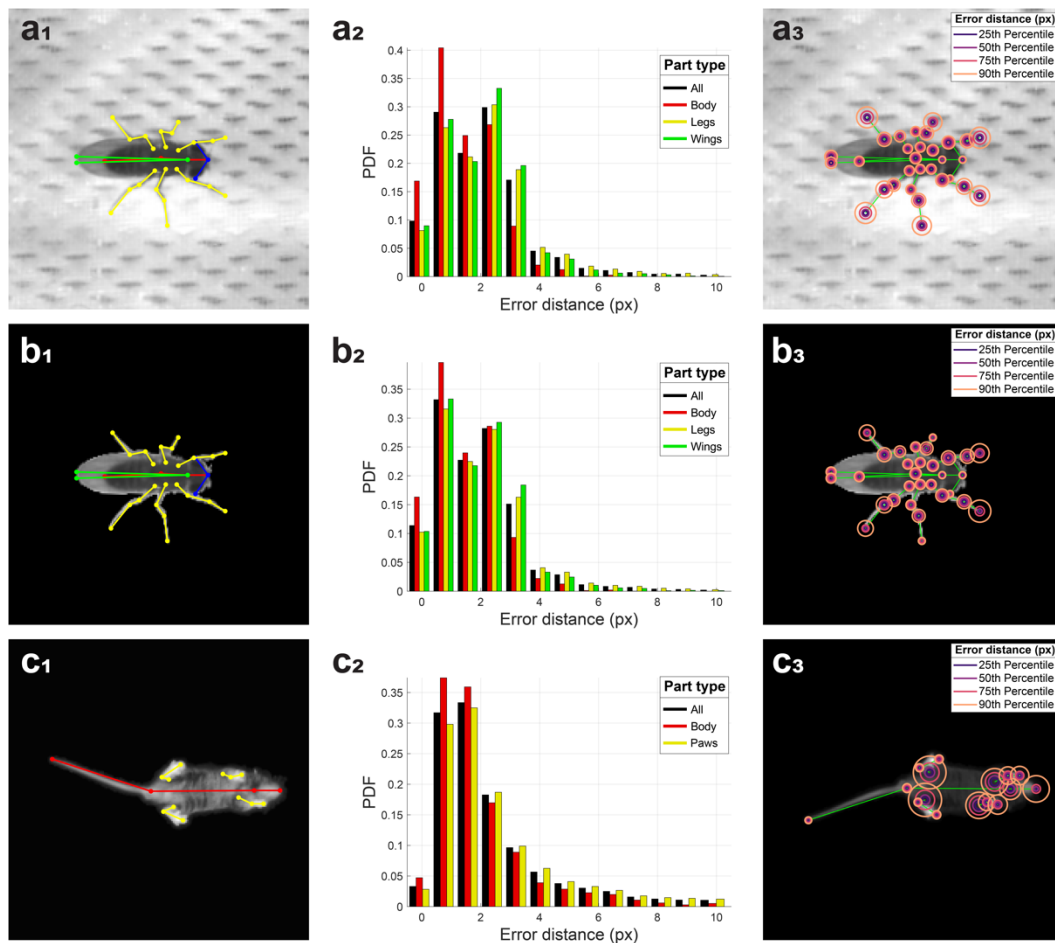**Figure 5: Locomotor clusters in behavior space separate distinct gait modes.**

(a, b) Density and cluster labels of locomotion clusters (from the same behavioral space shown in Fig. 4a).

(c) Average spectrograms (similar to Fig. 4c-h) quantify the dynamics in each cluster. The frequency spectrum of leg movements in each cluster is sharp and shifts from 5.1 to 11.5 Hz from slowest to fastest locomotion speeds.

(d) Average power spectra calculated from the leg joint positions for each cluster in (c). Colors correspond to the cluster numbers in (b). Each spectrum has a single dominant peak between 5.1 and 11.5 Hz, with harmonics from 12-25 Hz seen in the fastest subtypes.

(e) The distribution of forward locomotion velocity exhibits a peak that shifts to the right as a function of cluster number. Colors correspond to cluster numbers in (b). (inset) Forward locomotion velocity increases with peak leg frequency.

(f) Gait modes identified by HMM from swing/stance state correspond to distinct clusters.

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Figure 6: LEAP generalizes to images with complex backgrounds or of other animals

(a) LEAP estimates on a separate dataset of 42 freely moving male flies, each imaged against a heterogeneous background of mesh and microphones, with side illumination (~4.2 million frames, ~11.7 hours). 32 body parts (see Supp Fig. 3) were tracked (a_1), and 1,530 labeled frames were used for training. Error rates for position estimates were calculated on a held out test set of 400 frames (a_2) and were comparable to those achieved for images with higher signal to noise (compare with Fig. 2b). Part-wise error distances (a_3) illustrate that accuracy is lower in distal body parts, likely due to ambiguity with the background mesh holes.

(b) LEAP estimates on masked images from the dataset described in (a). Background was subtracted using standard image processing algorithms (see Methods) to reduce the effect of background artifacts. Similar accuracy measures are observed (compare b_2 with a_2). Error distances are higher for distal body parts that are often masked out due to the difficulty in resolving those pixels from the background (b_3).

511   (c) LEAP estimates on a dataset of freely moving mice imaged from below (~3 million frames,

512   ~4.8 hours). Three points are tracked per leg, in addition to the tip of the snout, neck, and base

513   and tip of the tail (c_1) - 1000 labeled frames were used for training. Accuracy rates on a held out

514   test set (of 242 frames) are higher but still comparable to fly datasets (c_2). Most errors come

515   from the leg base point, which is often occluded (c_3).

Methods

Title: Fast animal pose estimation using deep neural networks

Authors:

Pereira, T.[1,#], Aldarondo, D.[1,#], Willmore, L.[1], Kislin, M.[1], Wang, S. S.[1,2], Murthy, M.[1,2*], and Shaevitz, J. W.[1,3,4*]

1 Princeton Neuroscience Institute, Princeton University

2 Department of Molecular Biology, Princeton University

3 Lewis-Sigler Institute for Integrative Genomics, Princeton University

4 Department of Physics, Princeton University

#equal authors

*lead contacts and co-corresponding authors: Mala Murthy (mmurthy@princeton.edu) and Joshua W. Shaevitz (shaevitz@princeton.edu)

1   *Code:* The code for running LEAP can be found in the following repository:

2   https://github.com/talmo/leap

3

4   *Datasets:* Details on the the dataset of 59 adult male Drosophila can be found in [1,2]. Animals

5   were allowed to move freely in a backlit 100mm diameter circular arena covered by a 2mm tall

6   clear PETG dome. Videos were captured from the top with a Point Grey Gazelle camera at a

7   resolution of ~35 pixels/mm at 100 FPS for 1 hour for each fly, totaling ~21 million frames for the

8   dataset. To calculate the spatial resolution of LEAP we assumed a mean male fly length of

9   2.82mm [3].

10

11   The second fly dataset reported here (**Fig. 5**) consists of 42 videos of freely moving pairs of

12   virgin male and female fruit flies (NM91 strain), 3-5 days post-eclosion. Only males from these

13   videos were analyzed in this study. Flies moved freely within a 30mm diameter circular arena

14   with a 2mm tall clear PETG dome against a white mesh floor covering an array of microphones,

15   resulting in an inhomogeneous image background. Videos were captured from above using a

16   Point Grey Flea3 camera at a resolution of ~25 pixels/mm at 100 FPS, totaling ~4.2 million

17   frames.

18

19   The mouse dataset for **Figure 5** consisted of 29 videos of C57/BL6 strain mice (*Mus musculus*),

20   15 weeks (108 days) old. Animals moved freely in a 45.7x45.7 cm open field arena with a clear

21   acrylic floor for 10 minutes each. Videos were captured from below with IR illumination using a

22   Point Grey Blackfly S camera at a resolution of 1.95 pixels/mm at 170 FPS, totaling ~3 million

23   frames. Experimental procedures were approved by the Princeton University Institutional Animal

24   Care and Use Committee and conducted in accordance to the National Institutes of Health

25   guidelines for the humane care and use of laboratory animals. Mice used in this study were

26   ordered through Jackson Laboratory (The Jackson Laboratory, Bar Harbor, ME) and had at

27   least one week of acclimation to the Princeton Neuroscience Institute vivarium before

28   experimental procedures were performed. Mice were kept in group cages with food and water

29   ad libitum under a reversed 12:12 hour dark-light cycle (light: 19:30-7:30).

30

31   ***Preprocessing and alignment to generate egocentric images for labeling and training in***

32   ***LEAP:*** For the main fly dataset (59 males), we used the alignment algorithm from [1]. The raw

33   videos consisted of unoriented bounding boxes around the flies from a closed-loop camera

34   tracking system. Individual frames were then aligned to a template image of an oriented fly by

35   matching the peak of the Radon transformed fly image to recover the orientation and then

36   computing the cross correlation to center the fly. The centroid and orientation parameters were

37   used to crop a 200x200 pixel oriented bounding box in each frame. Code for alignment is

38   available in the repository accompanying the original paper:

39   https://github.com/gordonberman/MotionMapper

40

41   For the second fly dataset (42 males), we adapted a previously published method for tracking

42   and segmentation of videos of courting fruit flies [4]. We first modeled the mesh background of the

43   images by fitting a normal distribution to each pixel in the frame across time with a constant

44   variance to account for camera shot noise. The posterior was evaluated at each pixel of each

45   frame and then thresholded to segment the foreground pixels. Due to the inhomogeneity of the

46   arena floor mesh, significant segmentation artifacts were introduced, particularly when

47   translucent or very thin body parts (i.e., wings and legs) could not be disambiguated from the

48   dark background mesh holes. The subsequent steps of histogram thresholding, morphological

49   filtering and ellipse fitting were performed as described previously in [4]. We developed a simple

50   GUI for proofreading the automated ellipse tracking before extracting 200 x 200 pixel oriented

51   bounding boxes. We extracted bounding boxes for both animals in each frame and saved both

52   the raw pixels containing the background mesh as well as the foreground-only images which

53   contain segmentation artifacts. This pipeline was implemented in MATLAB and the code is

54   available in the code repository accompanying this paper.

55

56   For the mouse videos, a separate preprocessing pipeline was developed. Raw videos were

57   processed in three stages: (1) animal tracking, (2) segmentation from background, and (3)

58   alignment to the body centroid and tail-body interface. In stage (1), the mouse's torso centroid

59   was tracked by subtracting a background image (median calculated at each pixel value across

60   that video), retrieving pixels with a brightness above a chosen threshold from background (mice

61   were brighter than background), and using morphological opening to eliminate noise and the

62   mouse's appendages. The largest contiguous region reliably captured the mouse's torso

63   (referred to below as the torso mask) and was used to fit an ellipse whose center was used to

64   approximate the center of the animal. In stage (2), a similar procedure as in stage (1) was

65   employed to retrieve a full body mask. In this stage, a more permissive threshold and smaller

66   morphological opening radius were used than in stage (1) to capture the mouse's body edges,

67   limbs, and tail while still eliminating noise. The pixels outside of this body mask were set to 0. In

68   stage (3) each segmented video frame was translated and rotated such that frame's center

69   coincided with the center of the animal and the x-axis lay on the line connecting the center and

70   tail-body attachment point. The tail-body attachment point was defined as the center of a region

71   overlapping between the torso mask and a dilated tail mask. The tail mask was defined as the

72   largest region remaining after subtracting the torso mask from the full body mask and

73   performing a morphological opening. After applying these masks to segment the raw images,

74   bounding boxes were extracted by using the ellipse center and orientation.

75

76   Oriented bounding boxes were cropped to 192 x 192 pixels for all datasets to ensure

77   consistency in output image size after repeated pooling and upsampling steps in the neural

78   network. These data were stored in self-describing HDF5 files.

79

80   ***Sampling diverse images for labeling and training in LEAP***: To ensure diversity in image

81   and pose space when operating at low sample sizes, we employ a multistage cluster sampling

82   technique. First, n_0 images were sampled uniformly from each dataset by using a fixed stride

83   over time to minimize correlations being temporally adjacent samples. We then used principal

84   component analysis (PCA) to reduce their dimensionality, and the images were then projected

85   down to the first D principal components. After dimensionality reduction, the images were

86   grouped via k-means clustering into k subgroups from which n images were randomly sampled

87   from each group. To minimize the time necessary for the network to generalize to images from

88   all groups, we sorted the dataset such that consecutive samples cycled through the groups.

89   This way, uniform sampling was maintained even at the early phases of user labeling, ensuring

90   that even a network trained on only the first few images will be optimized to estimate body part

91   positions for a diversity of poses. We used $n_0 = 500$, yielding 29,500 initial samples; $D = 50$,

92   which is sufficient to explain 80% of the variance in the data (**Supplementary Fig. 2**); $k =$

93   10and $n = 150$ to produce a final dataset of 1,500 frames for labeling and training.

94

95   ***LEAP neural network design and implementation***: We based our network architecture on

96   previous designs of neural networks for human pose estimation [5–7]. We adopt a fully

97   convolutional architecture that learns a mapping from raw images to a set of confidence maps.

98   These maps are images that can be interpreted as the 2-d probability distribution (i.e., heatmap)

99   centered at the spatial coordinates of each body part within the image. We train the network to

100   output one confidence map per body part stacked along the channel axis.

101

102   Our network consists of 15 layers of repeated convolutions and pooling (**Supplementary Fig.

103   4**). The convolution block consists of 3x convolution layers (64 filters, 3x3 kernel size, 1x1 stride,

104   ReLU activation). The full network consists of 1x convolution block, 1x max pooling across

105   channels (2x2 pooling size, 2x2 stride), 1x convolution block (128 filters), 1x max pooling (2x2

106   pooling size, 2x2 stride), 1x convolution block (256 filters), 1x transposed convolution (128

107   filters, 3x3 kernel size, 2x2 stride, ReLU activation, Glorot normal initialization), 2x convolution

108   (128 filters, 3x3 kernel size, 1x1 stride, ReLU activation), and 1x transposed convolution (128

109   filters, 3x3 kernel size, 2x2 stride, linear activation, Glorot normal initialization).

110

111   We base our decisions of these hyperparameters on the idea that repeated convolutions and

112   strided max pooling enable the network to learn feature detectors across spatial scales. This

113   allows the network to learn how to estimate confidence maps using global image structure

114   which provides contextual information that can be used to improve estimates even for occluded

115   parts [5,7]. Despite the loss of resolution from pooling, the upsampling learned through transposed

116   convolutions is sufficient to recover the spatial precision in the confidence maps. We do not

117   employ skip connections, residual modules, stacked networks, regression networks, or affinity

118   fields in our architecture as used in other approaches of human pose estimation [5,6,8,9].

119

120   For comparison, we also implemented the stacked hourglass network [7]. We tested both the

121   single hourglass version and 2x stacked hourglass with intermediate supervision. The hourglass

122   network consisted of 4x residual bottleneck modules (64 output filters) with max pooling (2x2

123   pool, 2x2 stride), followed by their symmetric upsampling blocks and respective skip

124   connections. The stacked version adds intermediate supervision in the form of a loss term on

125   the output of the first network in addition to the final output.

126

127   We implemented all versions of neural networks in Python via Keras and TensorFlow, popular

128   deep learning packages that allow transparent GPU acceleration and easy portability across

129   operating systems and platforms. All Python code was written for Python 3.6.4. Required

130   libraries were installed via the pip package manager: numpy (1.14.1), h5py (2.7.1), tensorflow-

131   gpu (1.6.0), keras (2.1.4). We tested our code on machines running either Windows 10 (v1709)

132   and a RedHat-based Linux distribution (Springdale 7.4) with no additional steps required to port

133   the software other than installing the required libraries.

134

135   Code for all network implementations is available in the main repository accompanying this

136   paper.

137

138   ***LEAP training procedure***: Prior to training, we generated an augmented dataset from the user-

139   provided labels and corresponding images. We first doubled the number of images by mirroring

140   the images along the body symmetric axis and adjusting the body part coordinates accordingly,

141   including swapping left/right body part labels (e.g., legs). Then, we generated confidence maps

142   for each body part in each image by rendering the 2-d Gaussian probability distribution centered

143   at the ground truth body part coordinates, $\mu = (\text{x}, y)$, and fixed covariance, $\Sigma = diag(\sigma)$ with a

144   constant $\sigma = 5px$. These were pre-generated and cached to disk to minimize the necessary

145   processing time during training.

146

147   Once confidence maps were computed for each image, we split the dataset into training,

148   validation and test sets. The training set was used for backpropagation of the loss for updating

149   network weights, the validation set was used to estimate performance and adjust the learning

150   rate over epochs, and the test set was held out for analysis. For the fast training, the dataset

151   was split into only training (90%) and validation (10%) sets to make the best use of data when

152   training with very few labels. For full training, the dataset was split into training (76.5%),

153   validation (13.5%) and testing (10%) sets. All analyses reported here share the same held out

154   test set to ensure it is never trained against for any replicate.

155

156   All training was done using the Adam optimizer with default parameters as described in the

157   original paper [10]. We started with a learning rate of 1e-3 but use a scheduler to reduce it by a

158   factor of 0.1 when the validation loss fails to improve by a minimum threshold of 1e-5 for 3

159   epochs. The loss function optimized against is simply the mean squared error between

160   estimated and ground truth confidence maps.

161

162   During training, we considered an epoch to be a set of 50 batches of 32 images, which were

163   sampled randomly with replacement from the training set and augmented by applying a random

164   rotation to the input image and the corresponding ground truth confidence maps. At the end of

165   50 batches of training, 10 batches were sampled from the separate validation set, augmented

166   and evaluated and the loss was used for learning rate scheduling. An epoch evaluated in 60 to

167   90 seconds including all augmentation, forward and reverse passes, and the validation forward

168   pass when running on a modern GPU (NVIDIA GeForce GTX 1080 Ti or P100).

169

170   We ran this entire procedure for 15 epochs during the fast training stage, and for 50 epochs

171   during the full training stage. For analyses, a minimum of 5 replicates were fully trained on each

172   dataset to estimate the stability of optimization convergence.

173

174   ***Pose estimation from confidence maps***: Predictions of body part positions were computed

175   directly on the GPU. We implement a channel-wise global maximum operation to convert the

176   confidence maps into image coordinates as a TensorFlow function, further improving runtime

177   prediction performance by avoiding the costly transfer of large confidence map arrays. All

178   prediction functions including normalization and saving were implemented as a self-contained

179   Python script with a command-line interface for ease of batch processing.

180

181   ***Computing hardware***: All performance tests were conducted on a high end consumer-grade

182   workstation equipped with a Intel Core i7-5960X CPU, 128 GB DDR4 RAM, NVMe SSD drives,

183   and a single NVIDIA GeForce 1080 GTX Ti (12 GB) GPU. We also use Princeton University's

184   High Performance Computing cluster with nodes equipped with NVIDIA P100 GPUs for batch

185   processing. These higher end cards afford a speed-up of ~1.5x in the training phase.

186

187   *Accuracy analysis*: For all analyses of accuracy (**Figs. 2, 6; Supplementary Figs. 4, 5**), we

188   trained at least 5 replicates of the network with the same training/validation/testing datasets. All

189   analyses were performed in MATLAB R2018a (MathWorks). We used the gramm toolbox for

190   figure plotting [11].

191

192   *Gait analysis*: We translated the body position coordinates to egocentric coordinates by

193   subtracting the predicted location of the intersection between the thorax and abdomen from all

194   other body position predictions for each frame. We then calculated the instantaneous velocity

195   along the rostrocaudal axis of each leg tip within these truly egocentric reference coordinates.

196   The speed of each body part was smoothed using a Gaussian filter with a five frame moving

197   window. For each leg tip, instances in which the smoothed velocity was greater than zero were

198   defined as swing while those less than zero were defined as stance. Information from this

199   egocentric axis was combined with allocentric tracking data to incorporate speed and orientation

200   information. The centroids and orientations of the flies were smoothed using a moving mean

201   filter with a five frame window to find the instantaneous speed and forward velocity. To remove

202   idle bouts and instances of backward walking, all gait analyses were limited to times when the

203   fly was moving in the forward direction at a velocity greater than 2 mm/s (approximately one

204   body length/s) unless otherwise noted. The analyses relating stance and swing duration to body

205   velocity were limited to forward velocities greater than 7.2 mm/s, to remain in line with previous

206   work [12].

207

208   To measure gait modes, we trained an HMM to model gait as described previously [13]. The

209   training data consisted of a vector denoting the number of legs in stance for bouts in which the

210   fly was moving forward at a velocity greater than 2 mm/s lasting longer than 0.5 seconds.

211   Training data were sampled such that up to 3,000 frames were taken from each video, resulting

212   in a total of 159,270 frames. We trained a three-state HMM using the Baum-Welch algorithm

213   and randomly initialized transition and emission probabilities [14]. We designated each hidden

214   state as tripod, tetrapod, and non-canonical in accordance with the estimated emission

215   probabilities. We then used the Viterbi algorithm along with our estimated transition and

216   emission matrices to predict the most likely sequence of hidden states from which the observed

217   stance vectors for the entire dataset would emerge [15].

218

219   *Unsupervised embedding of body part dynamics*: In order to create a map of motor

220   behaviors described by body part movements, we used a previously described method for

221   discovering stereotypy in postural dynamics [1]. First, body part positions were predicted for each

222   frame in our dataset to yield a set of 32 timeseries of egocentric trajectories in image

223   coordinates for each video. These timeseries were recentered by subtracting the thorax

224   coordinate at each timepoint and rescaled to comparable ranges by z-scoring each timeseries.

225   The timeseries were then expanded into spectrograms by applying the Continuous Wavelet

226   Transform (CWT) parametrized by the Morlet wavelet as the mother wavelet and 25 scales

227   chosen to match dyadically spaced center frequencies spanning 1 to 50 Hz. This time-frequency

228   representation augments the instantaneous representation of pose at each timepoint to one that

229   captures oscillations across many timescales. The instantaneous spectral amplitudes of each

230   body part were then concatenated into a single vector of length $2(J-1)F$ where J is the number

231   of body parts before subtracting the body part used as reference (i.e., the thorax) and doubled

232   to account for both x and y coordinates, and F is the number of frequencies being measured via

233   CWT. In our data, this resulted in a 1,550-dimensional representation at each timepoint.

234

235   Finally, we performed nonlinear dimensionality reduction on these high dimensional vectors by

236   using a nonlinear manifold embedding algorithm [16]. We first selected representative timepoints

237   via importance sampling, wherein a random sampling of timepoints in each video is embedded

238   into a 2D manifold via t-distributed stochastic neighbor embedding (t-SNE) and clustered via the

239   watershed transform. This allowed us to choose a set of timepoints from each video that were

240   representative of their local clusters, i.e., spanning the space of postural dynamics. A final

241   behavior space distribution was then computed by embedding the selected representative

242   timepoints using t-SNE to produce the full manifold of postural dynamics in two dimensions.

243

244   After projecting all remaining timepoints in the dataset into this manifold, we computed their 2-d

245   distribution and smoothed with a Gaussian kernel with $\sigma = 0.65$ to approximate the probability

246   density function of this space. We clipped the range of this density map to the range $[0.5\times$

247   $10^{-3}, 2.75\times10^{-3}]$ to exclude low density regions and merge very high density regions. We then

248   clustered similar points by segmenting the space into regions of similar body part dynamics by

249   applying the watershed transform to the density. Although both the manifold coordinates

250   representation of each timepoint are not immediately meaningful, we were able to derive an

251   intuitive interpretation of each cluster by referring to the high dimensional representation of their

252   constituent timepoints. To do this, we sampled timepoints from each cluster and averaged their

253   corresponding high dimensional feature vector, which we can then visualize by reshaping it into

254   a body part-frequency matrix (**Fig. 4**).

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Supplemental Figures and Movie Legends

Title: Fast animal pose estimation using deep neural networks

Authors:
Pereira, T.[1,#], Aldarondo, D.[1,#], Willmore, L.[1], Kislin, M.[1], Wang, S. S.[1,2], Murthy, M.[1,2*], and Shaevitz, J. W.[1,3,4*]

1 Princeton Neuroscience Institute, Princeton University

2 Department of Molecular Biology, Princeton University

3 Lewis-Sigler Institute for Integrative Genomics, Princeton University
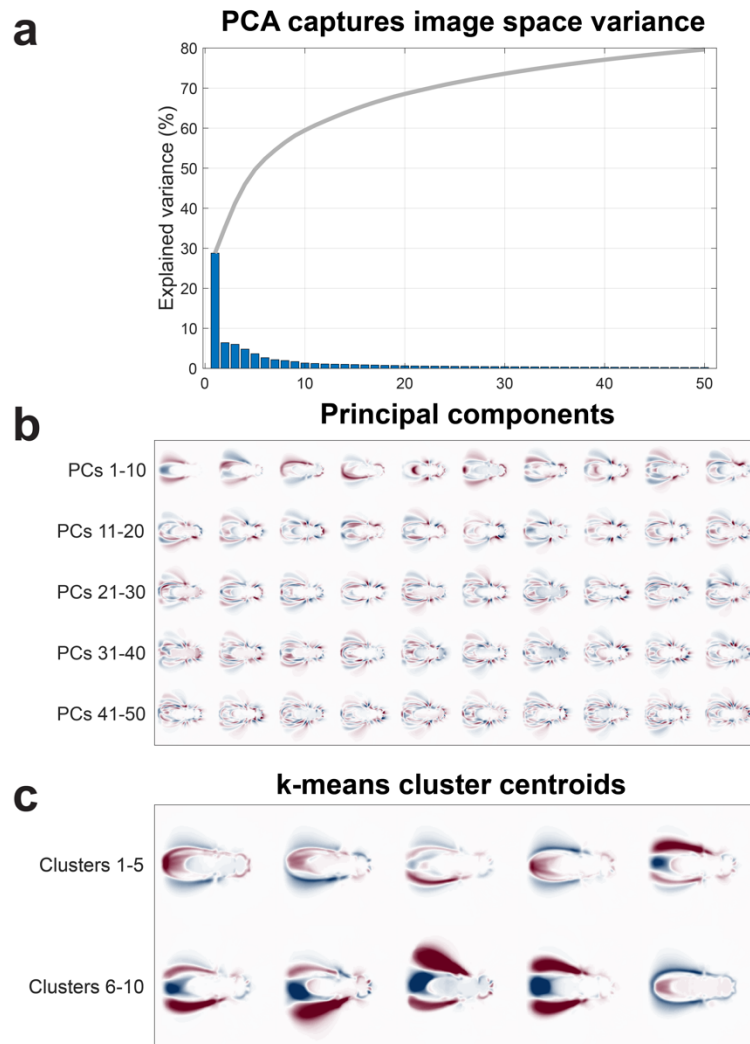
4 Department of Physics, Princeton University

#equal authors
*lead contacts and co-corresponding authors: Mala Murthy (mmurthy@princeton.edu) and Joshua W. Shaevitz (shaevitz@princeton.edu)

Supplementary Figure 1: Rotational invariance is learned at the cost of prediction accuracy

(a) Rotations are applied about the center of the image. During training, confidence maps are rotated accordingly.

(b) The accuracy measured as the RMSE of position estimates when evaluated on data rotated at a fixed angle (rows) with networks trained on data augmented by rotations between a range of angles (columns). Red boxes denote the best accuracy for each data angle, denoting that optimal performance is achieved when the network is trained on augmented images with the minimally inclusive range of angles. Top accuracy decreases relative to the degree of rotational invariance the network must learn.
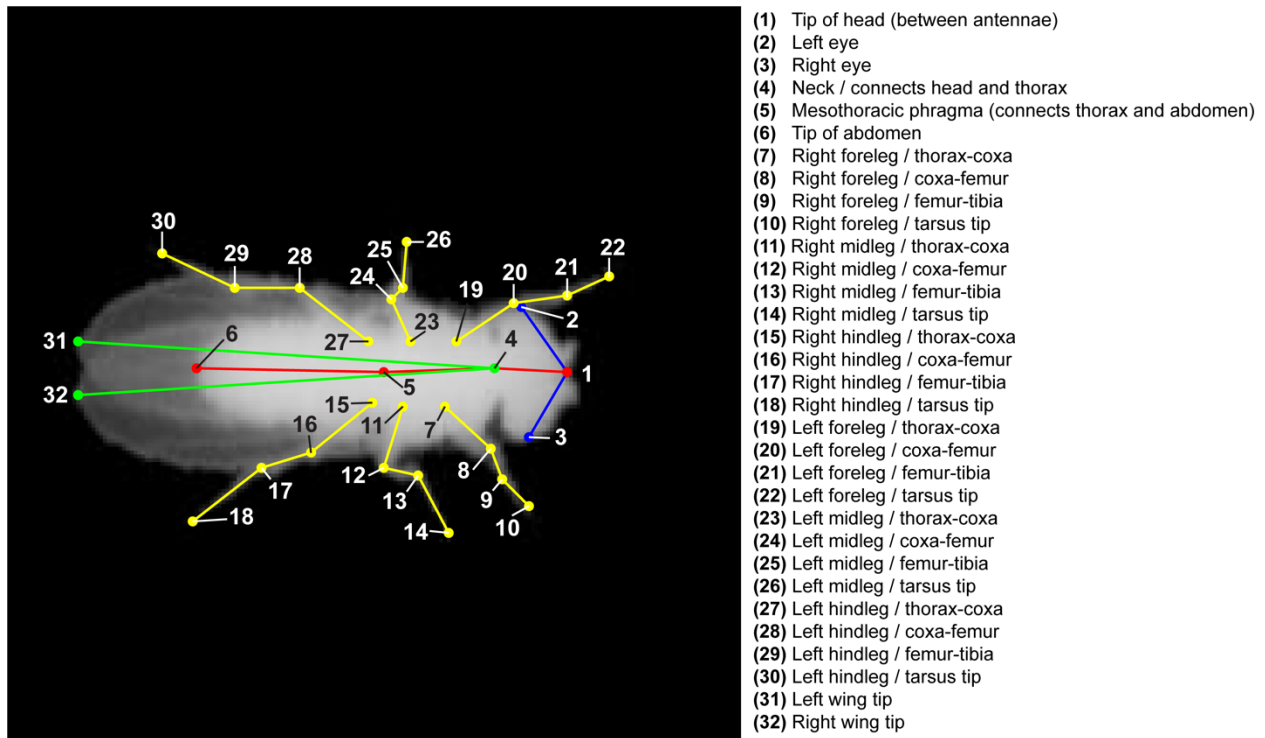
Supplementary Figure 2: Cluster sampling to promote pose diversity in labeling dataset
(a) Principal component analysis (PCA) of unlabeled images captures the majority of the variance in the data within 50 components. The cumulative variance explained (line) suggests that using PCA for dimensionality reduction does not sacrifice substantial loss of information within the images.
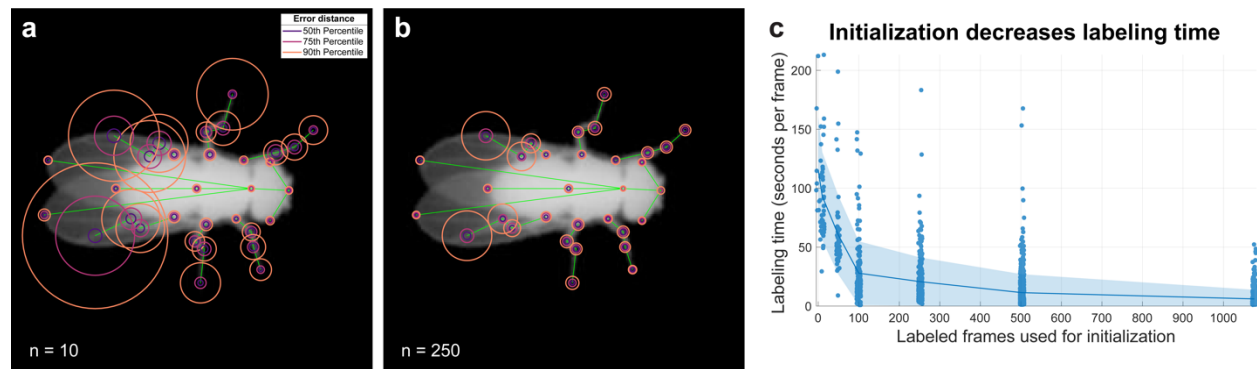(b) Top PCA eigenmodes visualized as coefficient images. Red and blue shading denote positive and negative coefficients at each pixel. Areas of similar colors indicate correlated pixel intensities within a given mode. After mean subtraction, each image in the initially sampled dataset is projected onto all 50 eigenmodes.
(c) Cluster centroids identified by k-means after PCA. Red and blue shading denote pixels with higher or lower intensity than the overall mean. Cluster centroids illustrate the diversity of poses that are detected in image space by this sampling method. Samples are then drawn evenly from each cluster to select representative images for labeling with the GUI.

(1) Tip of head (between antennae)
(2) Left eye
(3) Right eye
(4) Neck / connects head and thorax
(5) Mesothoracic phragma (connects thorax and abdomen)
(6) Tip of abdomen
(7) Right foreleg / thorax-coxa
(8) Right foreleg / coxa-femur
(9) Right foreleg / femur-tibia
(10) Right foreleg / tarsus tip
(11) Right midleg / thorax-coxa
(12) Right midleg / coxa-femur
(13) Right midleg / femur-tibia
(14) Right midleg / tarsus tip
(15) Right hindleg / thorax-coxa
(16) Right hindleg / coxa-femur
(17) Right hindleg / femur-tibia
(18) Right hindleg / tarsus tip
(19) Left foreleg / thorax-coxa
(20) Left foreleg / coxa-femur
(21) Left foreleg / femur-tibia
(22) Left foreleg / tarsus tip
(23) Left midleg / thorax-coxa
(24) Left midleg / coxa-femur
(25) Left midleg / femur-tibia
(26) Left midleg / tarsus tip
(27) Left hindleg / thorax-coxa
(28) Left hindleg / coxa-femur
(29) Left hindleg / femur-tibia
(30) Left hindleg / tarsus tip
(31) Left wing tip
(32) Right wing tip

Supplementary Figure 3: User-defined skeleton
We selected 32 points to cover the body parts of the fly; these parts were chosen to approximately match the set of visible joints and interest points in the anatomy of the animal.

Supplementary Figure 4: Estimation accuracy improves with few samples

(a-b) Error distance distributions per body part when estimated with networks trained for 15 epochs on 10 (a) or 250 (b) labeled frames. The majority of estimates fall within few pixels of the ground truth, reducing the labeling procedure to simply correcting estimates.
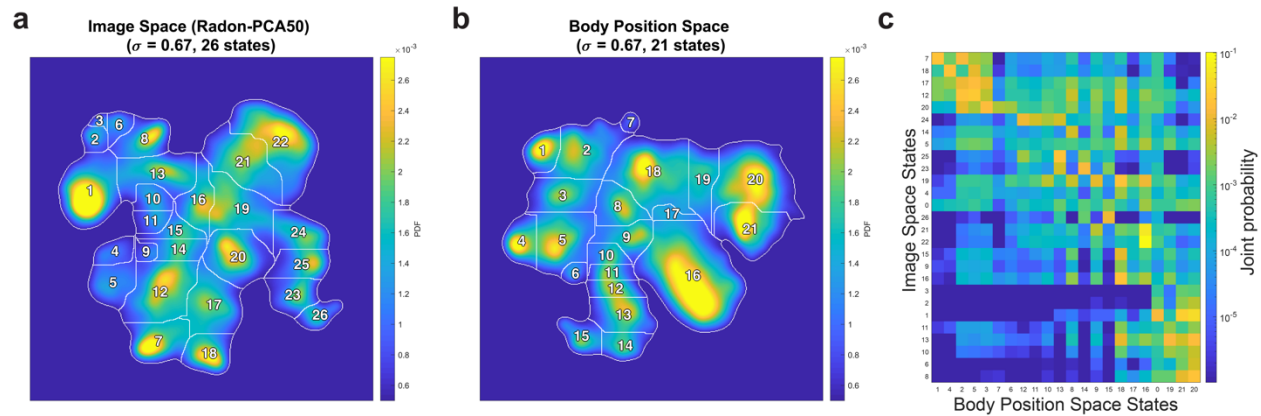
(c) Time spent labeling each frame decreases with the quality of initialization. Line and shaded region correspond to mean and standard deviation. Starting frames require 115.4+-45.0 (mean+-s.d.) seconds to label, decreasing to 6.1±7.7 seconds after initializing with a network trained on 1000 labeled frames.

Supplementary Figure 5: Neural network architecture comparison
(a) Diagram of our neural network architecture. Raw images are provided as input into the network, which then computes a set of confidence maps of the same height and width as the input image (top row). The network consists of a set of convolutions, max pooling and transposed convolutions whose weights are learned during training (top middle). Estimated confidence maps are compared to ground truth maps generated from user labels using a mean squared error loss function, which is then minimized during training (bottom row).
(b) Accuracy comparison between architectures. We compared the accuracy of our architecture to the hourglass and stacked hourglass versions of the network described in[1]. The accuracy of our network is equivalent or better than those achieved when training with these reference architectures.
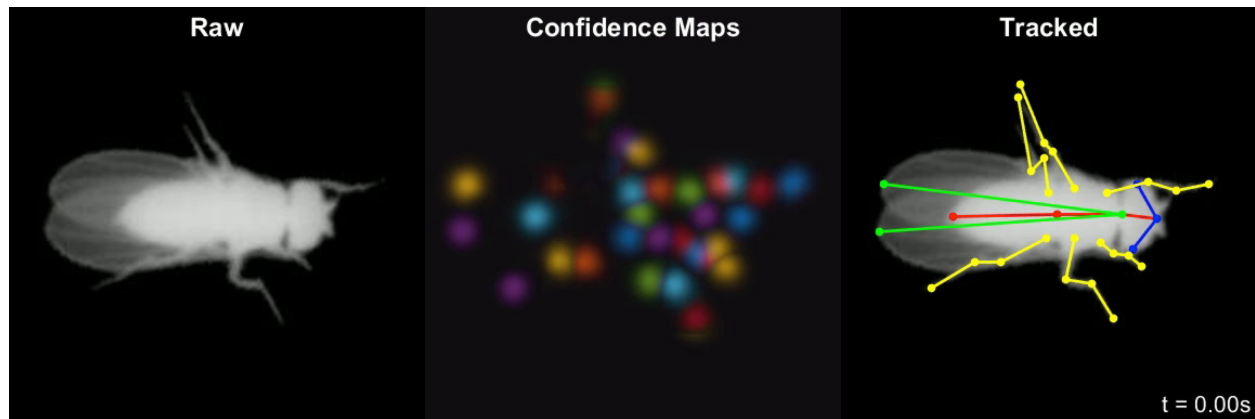
Supplementary Figure 6: Comparison of behavioral space distributions generated from compressed images versus body part positions.

(a) Behavioral space distribution from 59 male flies calculated using the original MotionMapper pipeline (data and pipeline from [2]), including Radon-transform compression and PCA-based projection onto the first 50 principal components followed by a nonlinear embedding of the resultant spectrograms.
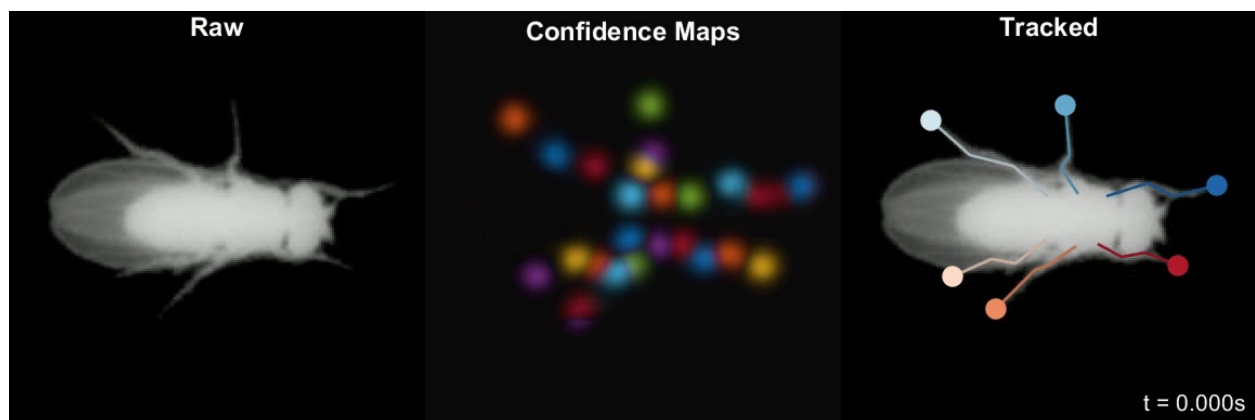
(b) Behavioral space distribution from 59 male flies (data and pipeline from [2]) calculated using spectrograms generated from tracked body part positions rather than PCA modes (see **Online Methods**). We note that this distribution has fewer peaks than that from (a) and a more symmetric topology (e.g in the top-left clusters, **Fig. 4c-g**).

(c) Joint probability distribution of the cluster labels from (a) and (b); sorted by row and column peaks. Many clusters identified using the pixel-based representation (rows) match up with those of the position-based representation (columns), but some are distributed into newly separated clusters.
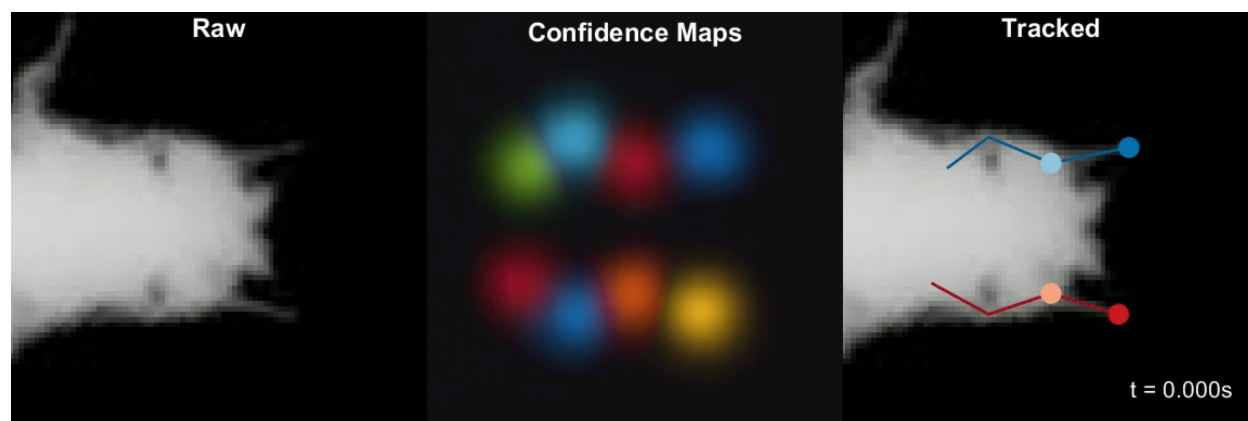
Supplementary Movie 1: Body part tracking is reliable over long periods without temporal constraints.

Raw images (left), max projection of all confidence maps (center), and tracked images (right) during a 20 second bout of free movement. Video playback at 0.2x realtime speed.
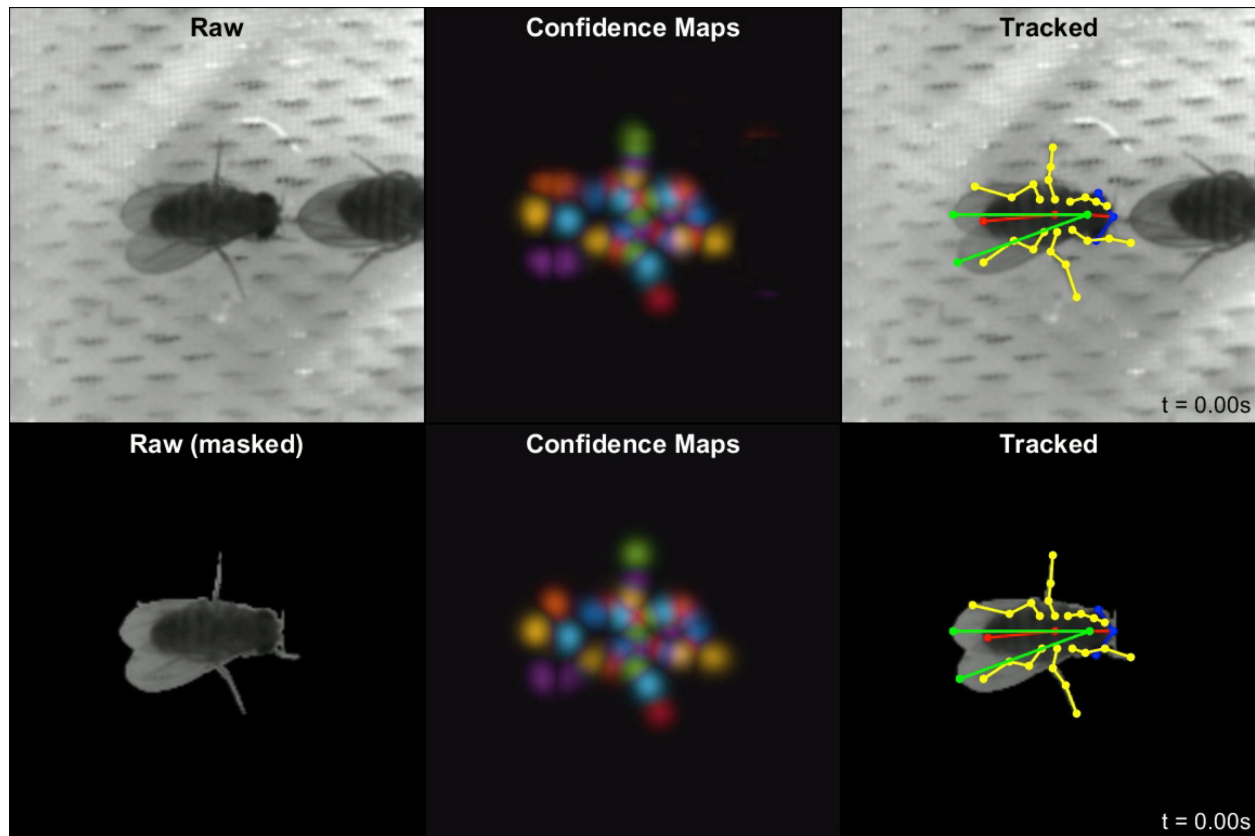

Supplementary Movie 2: Body part tracking during freely moving locomotion.

Raw images (left), max projection of all confidence maps (center), and tracked images (right) during a bout of locomotion. Video playback at 0.15x realtime speed. Video corresponds to Fig. 1d.
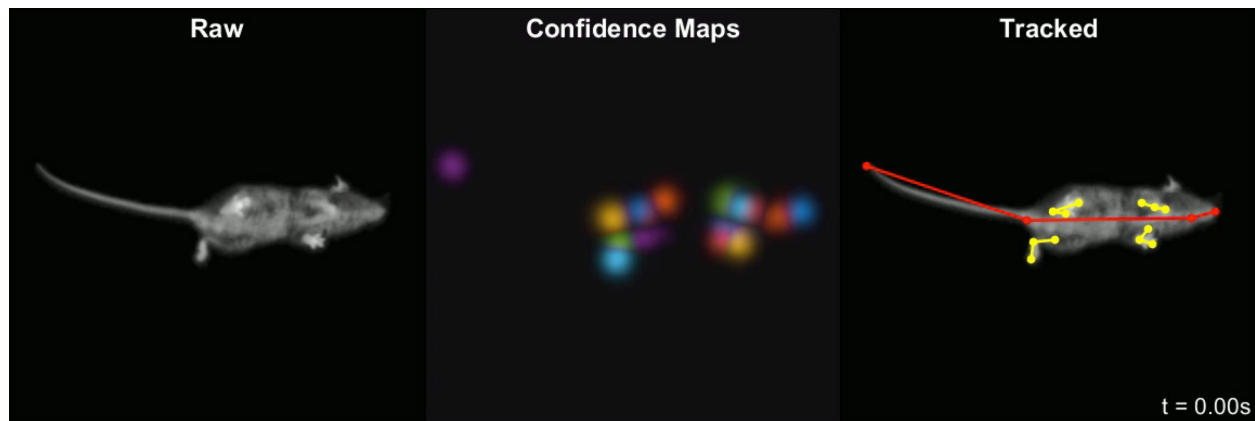
Supplementary Movie 3: Body part tracking during head grooming.
Raw images (left), max projection of all confidence maps (center), and tracked images (right) during a bout of head grooming. Video playback at 0.15x realtime speed. Video corresponds to Fig. 1e.

Supplementary Movie 4: Tracking joints robustly in images with heterogeneous background and noisy segmentation.
Raw images (left), max projection of all confidence maps (center), and tracked images (right) of a freely moving courting male fly. Rows correspond to results from a network trained on unmasked and masked images, respectively. Video playback at 0.2x realtime speed.

Supplementary Movie 5: Tracking joints in freely moving rodents.
Raw images (left), max projection of all confidence maps (center), and tracked images (right) of a freely moving mouse in an open field arena imaged from below through a clear acrylic floor. Video playback at 0.2x realtime speed. Tracking is reliable over time but degenerate when certain parts are occluded, such as when the animal rears.

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