

1 **Longitudinal changes in the prevalence and intensity of soil-transmitted helminth infection**
2 **following expanded community-wide mass drug administration in the delta region of Myanmar**

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15 **Abstract:**

16 Mass drug administration (MDA), targeted at school-aged children is the method recommended by
17 the World Health Organization for the control of morbidity induced by soil-transmitted helminth
18 (STH) infection in endemic countries. However, MDA does not prevent reinfection between
19 treatment rounds. In countries with endemic infection, such as Myanmar, the MDA coverage, who is
20 targeted, and rates of reinfection in given environmental and social settings will determine how
21 effective mass drug treatment is in suppressing transmission in the long-term. In this paper, data
22 from an epidemiology study on STH, conducted between June 2015 and June 2016 in the delta
23 region of Myanmar, are analysed to determine the risks of STH infection in the whole community
24 over a year which included two MDA rounds. Risk ratios (RRs) for the four-month reinfection period
25 were below one, whereas RRs for the six-month reinfection period were above one, indicating that
26 more people were infected after six months of exposure post-MDA. Evidence of predisposition, as
27 measured by the Kendall Tau-b statistic, was found for all STH species and across all age groups. This
28 study demonstrates that a six-month gap between MDA in these communities is enough time for
29 STH infection to return to pre-MDA levels and that the same individuals are being consistently
30 infected between MDA rounds.

31 **Author summary:**

32 Mass drug administration (MDA), treating either whole communities or targeted groups without a
33 prior diagnosis, is used as a control strategy for many neglected tropical diseases, including soil-
34 transmitted helminth (STH) infection. MDA takes place at set intervals, aiming to reduce morbidity
35 caused by the target disease and potentially interrupt transmission. In this study we measure STH
36 infection in two villages in the delta region of Myanmar over the course of a year, both before and
37 after MDA rounds, to quantify the effect of treatment on infection and to identify groups with
38 persistent infections. We found that whilst overall prevalence of STH infection decreased over the
39 year, intensity of infection, measured by eggs per gram of faeces, did not significantly decrease. We

40 also found evidence to suggest that particular people are predisposed to STH infection. This is
41 possibly due to non-compliance to MDA, or behavioural and social factors. The findings presented
42 here will provide evidence to support continuing Myanmar's MDA programme for STH control and
43 using accurate diagnostics to identify and target "predisposed" people for sustained treatment.

44 **Introduction:**

45 Soil-transmitted helminth infections (STHs) are classified by the World Health Organization (WHO) as
46 neglected tropical diseases (NTDs). Approximately 1.4 billion people worldwide are estimated to be
47 infected with at least one of the main STHs (*Ascaris lumbricoides*, *Trichuris trichiura*, *Ancylostoma*
48 *duodenale*, *Necator americanus*) (1). Endemic countries carry out mass drug administration (MDA)
49 campaigns to control STH infections with the goals of reducing STH prevalence and intensity of
50 infection to a level where there is a low risk of morbidity in children (2,3). The WHO recommends
51 that MDA is carried out annually or biannually, targeting school-aged children (SAC, 5-14 years old)
52 as they are at the highest risk of morbidity (3,4). In 2017, this guideline was updated to include
53 treatment of young children (12 to 23 months old), preschool-aged children (pre-SAC, 2-4 year olds),
54 adolescent girls (10-19 year olds) and women of reproductive age (WRA, 15-45 year olds) (3).
55 Myanmar, in Southeast Asia, has conducted pre-SAC and SAC targeted MDA with albendazole every
56 August since 2003 and reported high coverage levels. For example, national coverage of SAC was
57 reported as 97.49% in 2016 (5,6). However, contrary to the usual WHO definitions of SAC (5-14 years
58 old (4)), the STH MDA campaigns in Myanmar only treats pre-SAC and primary school children (5-9
59 year olds) (5). A community-wide MDA with diethylcarbamazine citrate (DEC) and albendazole is
60 carried out by the Global Programme to Eliminate Lymphatic Filariasis (GPELF) in December or
61 January of each year (7). Therefore, children 2-9 years old get treated with albendazole biannually
62 whereas anyone 10 years and over get treated with albendazole annually. Whilst intensity of STH
63 has decreased to low levels since MDA began, prevalence is still too high to consider halting the
64 MDA programme (5,8,9).

65 The purpose of preventive chemotherapy is to clear STH infections from humans, but it does not
66 prevent reinfection between MDA rounds since gut dwelling helminth parasites do not trigger strong
67 acquired immunity in the human host (10). Research into drug efficacy also suggests that a single
68 dose of albendazole, as given in most countries' MDA programmes, will not completely clear
69 intestinal helminth parasites, especially *T. trichiura* infections (11–14). Therefore, as well as
70 individuals gaining new infections after MDA, they may also be harbouring old infections not killed
71 by previous treatment. Reinfection, or the change in STH prevalence and intensity over time,
72 depends on multiple factors: the efficacy of the anthelmintics and coverage of MDA to effectively
73 clear STH infections from all infected individuals in the population, the level of environmental
74 contamination with eggs and larvae and an individuals' exposure to environmental contamination
75 (behavioural and social factors) (15).

76 Those who are consistently reinfected with STH after clearing their infections with treatment are
77 considered to be “predisposed” to infection (16). Research is ongoing to determine what the
78 underlying factors of predisposition are. They are likely to be a combination of genetic,
79 immunological, environmental and behavioural factors (17–19). Predisposition is usually defined as
80 individuals who consistently reacquire infection to the same intensity class of infection (i.e. low,
81 medium, high) as prior to treatment (20). However, in low STH intensity populations, such as those
82 that have undergone multiple years of MDA, the definition of predisposition could be widened to
83 include all those who consistently harbour positive STH infections between MDA rounds. Being able
84 to identify the groups of people that are consistently infected, despite MDA, would be highly
85 beneficial to STH control programmes that are in the final years of MDA and are targeting the
86 interruption of transmission (21,22). A change in treatment policy may be desirable to target those
87 predisposed to infection if they can easily be identified.

88 In this paper we describe and analyse the longitudinal infection profiles of participants in an
89 epidemiology study of STH in Myanmar that was conducted between June 2015 and June 2016. The

90 aim of this analysis is to determine how infection fluctuates over the course of a year under the
91 influence of the regular government-led MDA, and expanded community-based MDA, both of which
92 aim to significantly reduce STH prevalence and intensity. We also investigate if there is evidence for
93 predisposition to infection in the study sample when stratified by a variety of confounding variables
94 including age and sex.

95 **Methods:**

96 *Study sites and design:*

97 Data were collected in an STH epidemiological study that has been detailed in a previous publication
98 (8). The study received full ethical approval from the Imperial College Research Ethics Committee,
99 Imperial College London, UK and the Department of Medical Research Ethics Review Committee,
100 Ministry of Health and Sports, Myanmar. Data collection took place between June 2015 and June
101 2016 in Udo village, Taikkyi township, Yangon Region and Kye Kan Theik village, Nyaung Don
102 township, Ayeyarwaddy Region. Further details on the study sites, including environmental and
103 socioeconomic information, are provided in the previous publication (8). In June 2015, a
104 demographic survey and census were completed in the two study villages. Participants for the study
105 were randomly selected by household. Participants completed questionnaires collecting data on
106 participants' socioeconomic status, household structure and access to water, sanitation and hygiene
107 (WaSH) facilities. The study comprised three parasitology surveys in August 2015 (first survey – S1),
108 December 2015 (second survey – S2) and June 2016 (third survey – S3) (Figure 1). Stool samples
109 were collected from the participants in each parasitology survey and were assessed for STH infection
110 using the Kato-Katz method (23). The participants and their stool samples were assigned unique
111 identification (ID) codes to maintain confidentiality and to link results over all surveys. Treatment
112 efficacy was measured by collecting stool samples from a sub-sample of participants two weeks after
113 the first survey (August 2015), post-MDA, and assessing them for STH infection by Kato-Katz.

114 **Fig 1: Flow diagram of data collection and study methods.** DEC = diethylcarbamazine citrate. Reproduced with
115 permission from the supplementary information of Dunn et al. (2017) (8).

116 *Data:*

117 Data for the following analyses were from all participants who had a recorded Kato-Katz result from
118 all three surveys. Overall, 523 participants from 211 households had the requisite data. Data from
119 both villages were merged and analysed as one dataset. Egg counts, measured by the Kato-Katz
120 method, were multiplied by 24 to give eggs per gram of faeces (EPG) (24). All data were anonymised
121 and assigned a unique ID code to ensure that data could be linked over the course of the study.

122 *Statistical analysis:*

123 RStudio (R version 3.0.1, Vienna, Austria) was used for the following statistical analyses and to create
124 the figures. Participants were grouped into age groups as defined by the WHO: preschool-aged
125 children (pre-SAC) are 2-4 year olds, school-aged children (SAC) are 5-14 year olds and adults are 15+
126 year olds (4). Exact confidence intervals (95% two-sided) for mean prevalence were calculated using
127 the Clopper-Pearson method (25). Mean EPG adjusted percentiles (bias-corrected and accelerated -
128 BCa) were calculated using bootstrapping methodology with the “boot” package. The significance
129 test for the differences between risk ratios was derived from a formula published by Altman and
130 Bland, 2003 (26). The WHO recommended intensity cut-offs were used to group individual EPG into
131 low, medium and high intensity infections (2). To assess the differences in prevalence and intensity
132 of infection over the surveys we used generalised linear models (GLMs) with a logit link (for binomial
133 prevalence outcome) or a log link (for negative binomial intensity outcome) and the significance
134 level was set at $P \leq 0.05$. Kendall’s Tau-b values were calculated to assess predisposition to infection,
135 adjusting for tied ranks, and the significance level set at $P \leq 0.05$.

136 The study took place over the course of a year. Therefore, all participants will have aged one year
137 during the study. Whilst there is a well-established relationship between age and STH infection, for

138 simplicity we maintained the recorded ages for all participants at the age recorded in the first
139 survey. This is assuming that age-related exposure did not drastically change over the course of a
140 year. Also, we have maintained the usual WHO definition of SAC (5-14 years old), despite the fact
141 that there is a different treatment frequency for 5-9 and 10-14 year olds in Myanmar. We have done
142 this to align with how the WHO expects STH outcomes to be reported regarding infection prevalence
143 and intensity by age grouping.

144 **Results:**

145 *Response to treatment:*

146 Out of the 523 participants with full Kato-Katz data, 60 (11.47%) were assessed for response to
147 treatment (i.e. treatment efficacy). Only 15 of the 60 participants had positive STH infections in the
148 pre-MDA survey and these individuals were therefore used for assessing STH clearance. Due to the
149 small sample size, response to treatment cannot be accurately quantified and we cannot guarantee
150 that all positive infections were cleared after MDA. Therefore, “reinfection” in the case of our
151 analysis does not necessarily refer to new infections picked up between MDA rounds, but rather
152 changes in the number and proportion of positive infections between surveys.

153 *Reinfection – prevalence:*

154 Over the year (S1 to S3), prevalence of any STH fell by 8.99% and the reduction was statistically
155 significant ($P < 0.001$). The reductions in prevalence of each STH separately, were also statistically
156 significant ($P < 0.05$). Prevalence of infection with at least one STH fell between S1 and S2 and was
157 maintained between S2 and S3 (Table 1). This was also true for *T. trichiura* prevalence where the
158 efficacy of albendazole to clear infection is much less than for *A. lumbricoides* and hookworm. *A.*
159 *lumbricoides* infection fell and then rose slightly. Hookworm prevalence decreased over the course
160 of the three surveys.

Table 1: Number of positive individuals (n), prevalence (%) and infection intensity of each soil-transmitted helminth species (overall n=523)

	Any STH		<i>Ascaris lumbricoides</i>			<i>Trichuris trichiura</i>			Hookworm		
	n	% (95% CI)*	n	% (95% CI)	Mean EPG (95% CI)	n	% (95% CI)	Mean EPG (95% CI)	n	% (95% CI)	Mean EPG (95% CI)
Survey 1 (Aug 2015)	146	27.92 (24.64-32.64)	29	5.54 (3.83-8.03)	649.42 (370.35-1119.4)	89	17.02 (14.2-20.95)	73.56 (46.99-124.35)	51	9.75 (7.51-12.89)	40.2 (22.39-93.3)
Survey 2 (Dec 2015)	99	18.93 (16.00-23.03)	9	1.72 (0.81-3.31)	478.12 (87.36-1967.6)	62	11.85 (9.41-15.25)	24.32 (13.77-54.47)	35	6.69 (4.81-9.38)	314.94 (10.69-1533.86)
Survey 3 (Jun 2016)	99	18.93 (16.00-23.03)	13	2.49 (1.36-4.3)	670.35 (315.2-1392.34)	62	11.85 (9.41-15.25)	41.07 (24.36-72.28)	29	5.54 (3.83-8.03)	11.47 (6.38-24.78)

161 *% represents the percentage positive in each group. CI = Confidence interval. EPG = eggs per gram of faeces. STH = soil-transmitted helminth

162 Risk ratios (RRs) for reinfection differed between STH species and reinfection period. RRs for the
163 four-month reinfection period (S1 to S2) were mostly below one, indicating that infection decreased
164 between these surveys (Figure 2, S1 Table). Most RRs for the six-month reinfection period (S2 to S3)
165 were above one but the CIs for all six-month RRs cross one, except for *A. lumbricoides* infection in
166 SAC, indicating non-significance. There were few deviations from the overall patterns of RRs when
167 stratified by sex and age group. Six-month RRs were significantly higher than four-month RRs within
168 the sample for all species except hookworm, and were significantly higher within stratifications,
169 especially SAC (any STH, *A. lumbricoides* and *T. trichiura*), adults (any STH and hookworm) and males
170 (any STH and *T. trichiura*). However, inversely, the four-month RR for hookworm infection in SAC
171 was significantly higher than the six-month RR.

172 **Fig 2: Risk ratios of STH prevalence between surveys.** Blue = 4 months reinfection (survey 1 to survey 2). Red =
173 6 months reinfection (survey 2 to survey 3). * $P \leq 0.05$, ** $P \leq 0.01$, *** $P \leq 0.001$ – black asterisks represent
174 statistical significance of each risk ratio, green asterisks represent statistical difference between risk ratios for
175 each group. Horizontal lines represent 95% confidence intervals. Pre-SAC = preschool-aged children (2-4 years
176 old), SAC = school-aged children (5-14 years old), Adult = 15+ years old. No positive *Ascaris lumbricoides*
177 infections were found in pre-SAC for all surveys, therefore no points are presented.

178 *Reinfection – intensity:*

179 Over the year (S1 to S3), EPG decreased for *T. trichiura* and hookworm, but rose for *A. lumbricoides*
180 (Table 1). However, the only statistically significant difference was for the decrease in hookworm
181 EPG from 40.20 to 11.47 ($P < 0.05$). A majority of the positive STH infections in all three surveys were
182 low intensity infections. Over all the surveys and species infections, of the 98 instances that
183 infections moved from negative in the prior survey to positive, 88 (89.80%) moved to low intensity,
184 seven (7.14%) to medium intensity and three (3.06%) to high intensity (Table 2). Of the 95 instances
185 where individuals were reinfected after treatment to the same intensity group as recorded in the

186 prior survey, 87 (91.58%) were low to low intensity, eight (8.42%) were medium to medium
 187 intensity, and none were high to high intensity.

Table 2: Percentage and number (n) of individual infections between infection and intensity groups (overall n = 523)

	<i>Ascaris lumbricoides</i>		<i>Trichuris trichiura</i>		Hookworm	
	S1-S2	S2-S3	S1-S2	S2-S3	S1-S2	S2-S3
Never infected (neg-neg)	93.50 (489)	96.75 (506)	79.16 (414)	82.22 (430)	86.81 (454)	90.25 (472)
Cured (pos-neg)	4.78 (25)	0.76 (4)	8.99 (47)	5.93 (31)	6.50 (34)	4.21 (22)
Negative to infected (neg-pos)	0.96 (5)	1.53 (8)	3.82 (20)	5.93 (31)	3.44 (18)	3.06 (16)
Decrease intensity group (pos-pos)	0.38 (2)	0	0.96 (5)	0.38 (2)	0.19 (1)	0
Same intensity group (pos-pos)	0.19 (1)	0.57 (3)	6.88 (36)	4.97 (26)	3.06 (16)	2.49 (13)
Increase intensity group (pos-pos)	0.19 (1)	0.38 (2)	0.19 (1)	0.57 (3)	0	0

188 *Pos = positive. Neg= negative. S1 = survey 1 (August 2015), S2 = survey 2 (December 2015), S3 = survey 3 (June*
 189 *2016).*

190 Overall mean EPG decreased from S1 to S2 but increased from S2 to S3 for *A. lumbricoides* and *T.*
 191 *trichiura*. Hookworm mean EPG increased from S1 to S2 and decreased from S2 to S3 (Table 1).

192 When mean EPG change was stratified by age group (Figure 3, S2 Table) these patterns were
 193 reflected for all age groups except the 25-39 year olds for *A. lumbricoides*. The mean change in EPG
 194 was not homogenous between all age groups. There was minimal change in mean EPG for both *A.*
 195 *lumbricoides* and *T. trichiura* in the youngest and oldest age groups. For hookworm, the increase and
 196 decrease in mean EPG was driven by the change in 5-14 year olds.

197 **Fig 3: Mean change in eggs per gram of faeces (EPG) by age group.** *Red bars = 4 months reinfection (survey 1*
 198 *to survey 2). Blue bars = 6 months reinfection (survey 2 to survey 3). Vertical lines represent 95% confidence*
 199 *intervals.*

200 *Predisposition to STH infection (consistent infection):*

201 A total of 38 (7.27%) participants had STH positive infections in all three surveys and 67 (12.81%) had
 202 positive infections for any two of the three surveys. Correlation coefficients (Kendall Tau-b) of
 203 individual participants' egg count results between surveys were statistically significant for all species
 204 of STH (Table 3). Most of the correlations remained significant when stratified by sex or age group.
 205 Tau values ranged between zero and one, a higher value indicates stronger concordance (27).

206 *Trichuris trichiura* egg counts had the strongest concordance between surveys especially for males,
 207 SAC and pre-SAC. The strongest concordance was for hookworm egg counts in pre-SAC between the
 208 first and second surveys. However, the Kendall's Tau-b value may have been inflated due to the
 209 small number of pre-SAC infected with hookworm (two in survey one, three in survey two). Non-
 210 significant and low Tau values were calculated for *A. lumbricoides* infection in males and hookworm
 211 infection in SAC, denoting little evidence for predisposition in these groups.

Table 3: Kendall's Tau-b correlation coefficients for individual participants' egg counts between surveys

		<i>Ascaris lumbricoides</i>			<i>Trichuris trichiura</i>			Hookworm		
		S1-S2	S2-S3	S1-S3	S1-S2	S2-S3	S1-S3	S1-S2	S2-S3	S1-S3
Overall		0.23***	0.45***	0.23***	0.50***	0.43***	0.48***	0.36***	0.36***	0.37***
Sex	Male	0.02	0.44***	0.14**	0.54***	0.50***	0.58***	0.41***	0.43***	0.42***
	Female	0.37***	0.45***	0.27***	0.47***	0.38***	0.40***	0.27***	0.27***	0.25***
Age group	Pre-SAC	NA	NA	0.03	0.62***	0.55***	0.41*	0.81***	0.57***	0.67***
	SAC	0.30*	0.58***	0.23*	0.62***	0.41***	0.56***	0.02	0.25*	0.01
	Adults	0.18***	0.40***	0.24***	0.40***	0.40***	0.38***	0.38***	0.37***	0.36***

212 * $P \leq 0.05$. ** $P \leq 0.01$. *** $P \leq 0.001$. S1 = survey 1 (August 2015). S2 = survey 2 (December 2015). S3 = survey

213 3 (June 2016). Kendall Tau-b values: Blue = 0.00-0.25, Green=0.26-0.50, Orange=0.51-0.75, Red=0.76-1.00,

214 Grey=non-significant. Pre-SAC = preschool-aged children (2-4 years old). SAC = school-aged children (5-14 years

215 old). Adults = 15+ years old. NA = comparison not possible (zero positive *Ascaris lumbricoides* infections in pre-

216 SAC survey 2).

217 Discussion:

218 Myanmar has been conducting SAC-targeted MDA since 2003, and community-wide MDA in the

219 delta region since 2013 (5,9). Whilst STH prevalence has dropped significantly since the initiation of

220 MDA, the prevalence target set by WHO to discontinue MDA (under 1%) has not yet been reached in

221 surveyed communities (8,28). Currently, there is no monitoring and evaluation (M&E) of STH in

222 Myanmar, and no longitudinal studies have taken place since 1990 (29). It is therefore important for

223 longitudinal M&E studies to take place in the country so that the long-term impact of MDA can be

224 evaluated. The analyses in this study demonstrate that STH prevalence and intensity levels vary

225 throughout the year. Overall prevalence of each STH was reduced following two community-wide

226 MDA rounds (prevalence of any STH - 27.92-18.93%), and the intensities of infection (measured by
227 EPG) of each STH, except *A. lumbricoides*, were reduced.

228 In this analysis, risk ratios were used to describe the patterns of infection over a four-month and six-
229 month reinfection period. Four months post-MDA, the risk of STH infection was lower than in the
230 preceding survey (RR=0.67, 95% CI 0.56-0.81). The only statistically significant six-month RR was for
231 *A. lumbricoides* infection in SAC (RR=2.67, 95% CI 1.37-5.21). However, the six-month RRs were
232 significantly higher than the four-month RRs for infection with all STH species except hookworm. Six-
233 month RRs were also statistically significantly higher for SAC for infection with any STH, *A.*
234 *lumbricoides* and *T. trichiura*, but were significantly lower for hookworm. The reinfection rates for
235 STH species and the rapidity of bounce back to pre-treatment levels, are fast for *A. lumbricoides* and
236 *T. trichiura*, and slower for hookworm. This is in part related to the fact that the dynamical timescale
237 of each infection in its response to population disturbance as induced by MDA is directly related to
238 adult worm life expectancy, which is around one year for *A. lumbricoides* and *T. trichiura* and about
239 two years for hookworm (30). A study by Yap *et al.*, conducted in China, measured rapid reinfection
240 of *A. lumbricoides* (75.8% and 83.8% four and six months post-treatment, respectively), but not for
241 *T. trichiura* and hookworm (31). Other studies have recorded STH reinfection after six months post-
242 treatment, but not to above the pre-treatment prevalence levels (32,33). If we assume that the MDA
243 rounds had cleared infection, then the data suggest that four months is not enough time for STH to
244 reinfect individuals to the infection levels before that particular round of treatment, but six months
245 may be enough time. It should also be noted that the third survey (June 2016) took place two
246 months prior to the usual MDA timing (August) when only SAC are treated. As such, SAC will have a
247 further two months for reinfection and adults will have a further six or seven months until the
248 community-wide lymphatic filariasis (LF) MDA round. However, it is more likely that, due to sub-
249 100% drug efficacy and non-compliance to treatment, some infections were retained after MDA, and
250 six months was enough time for the surviving helminths to release sufficient eggs to trigger the
251 acquisition of new *A. lumbricoides* infections in SAC. There is also the possibility of a seasonal effect

252 on transmission and reinfection (34,35). The first and third surveys both took place during the dry
253 season, whereas the second survey took place during the rainy season. Infective stage survival is
254 known to be increased during rainy seasons (35,36).

255 Prevalence is used as a key STH epidemiological metric, but intensity of infection is more important
256 as a determinant of morbidity (2). Whilst STH prevalence dropped significantly between the first and
257 third surveys, the slight reductions in mean *T. trichiura* and hookworm EPG were not statistically
258 significant. STH intensity at the beginning of the study was already at a very low level. Most
259 participants with positive infections had low intensity infections. Prior work on the effect of long-
260 term MDA programmes on STH have identified that substantial drops in STH prevalence and
261 intensity in the first years of MDA may be followed by smaller reductions in subsequent years
262 (37,38). For example, an eight year MDA programme in Burundi reported significant drops in
263 prevalence in the first four years and no further decrease in the last four years (38). A monitoring
264 survey in Kenya found that whilst prevalence of *T. trichiura* was significantly reduced after three
265 years of MDA, mean EPG was not (39). The reasons for this may well be related to MDA coverage
266 levels and individual compliance to treatment at multiple rounds of treatment (40). Few studies to
267 date have recorded individual compliance to treatment but the persistence of low levels of
268 prevalence may, in part, be due to persistent non-compliers to treatment (41).

269 In this study, the largest changes in EPG between surveys were found in the 5-14 years age group.
270 Since the whole of the study sample was treated (not just SAC), any changes in EPG must be due to
271 differences within the age groups (including compliance to treatment and behavioural factors) and
272 not treatment efficacy. There was an increased risk of SAC to STH infection, especially *A.*
273 *lumbricoides* and *T. trichiura*. Evidence of predisposition to STH infection has been found in several
274 epidemiology studies (16,42,43) and the results of the Kendall's Tau-b analysis indicates that
275 predisposition to infection exists within the study sample. Stronger concordance between survey egg
276 counts, and therefore stronger evidence for predisposition, was found in males and the younger age

277 groups for *T. trichiura* and hookworm infection but only in females for *A. lumbricoides* infection. This
278 is in agreement with Holland *et al.* (44), who found stronger evidence for *A. lumbricoides*
279 predisposition in females , but in disagreement with Haswell-Elkins *et al.* (45) and Quinnell *et al.*
280 (46), with females more predisposed to hookworm infection.

281 A limitation of this study, following on from the data collection study that preceded it, is the low
282 sensitivity of the Kato-Katz technique as a diagnostic tool. It is highly possible that positive infections
283 were missed due to its use (47). Another limitation is that, due to ethical reasons, the whole study
284 sample (all ages) had to be treated during the MDA rounds that immediately followed the surveys,
285 instead of the usual targeted ages (SAC only after the first and third surveys). The patterns of
286 reinfection presented here therefore do not necessarily represent the patterns that will have
287 occurred in previous years. This will have affected the results by increasing the drop in STH infection
288 between surveys, potentially exaggerating sample-wide reinfection after MDA. Finally, as we could
289 not confirm clearance of infection after MDA, the results may not be viewed as true “reinfection”.
290 During data collection we attempted to ensure that treatment was taken via directly-observed
291 therapy (DOT) where possible, but without data to confirm that infections were cleared we cannot
292 assume this was always the case.

293 In this study the villages had already received over 10 years of MDA (both to treat STH and LF), yet
294 low level STH infection persists. This may well be due to persistent non-compliers to multiple rounds
295 of treatment who continue to release infective stages into the environment, as well as the
296 perpetuation of those infective stages in the environment without improved WaSH to prevent it
297 (48,49). The key epidemiological observation in this study is the low-level persistence of infection
298 despite frequent community-based MDA, and the strong evidence for predisposition. In the long
299 term, if diagnosis can be made more precise with new tools such as qPCR and the costs of such tests
300 be greatly reduced, then future STH control may need to be based on targeted treatment to those
301 predisposed to infection in order to eliminate transmission (50,51).

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445 **Acronyms and abbreviations:**

446 BCa Bias-corrected and accelerated

447 CI Confidence interval

448 DOT Directly observed therapy

449 EPG Eggs per gram of faeces

450 GLM Generalised linear model

451 GPELF Global Programme to Eliminate Lymphatic Filariasis

452 ID Identification

453 LF Lymphatic filariasis

454 MDA Mass drug administration

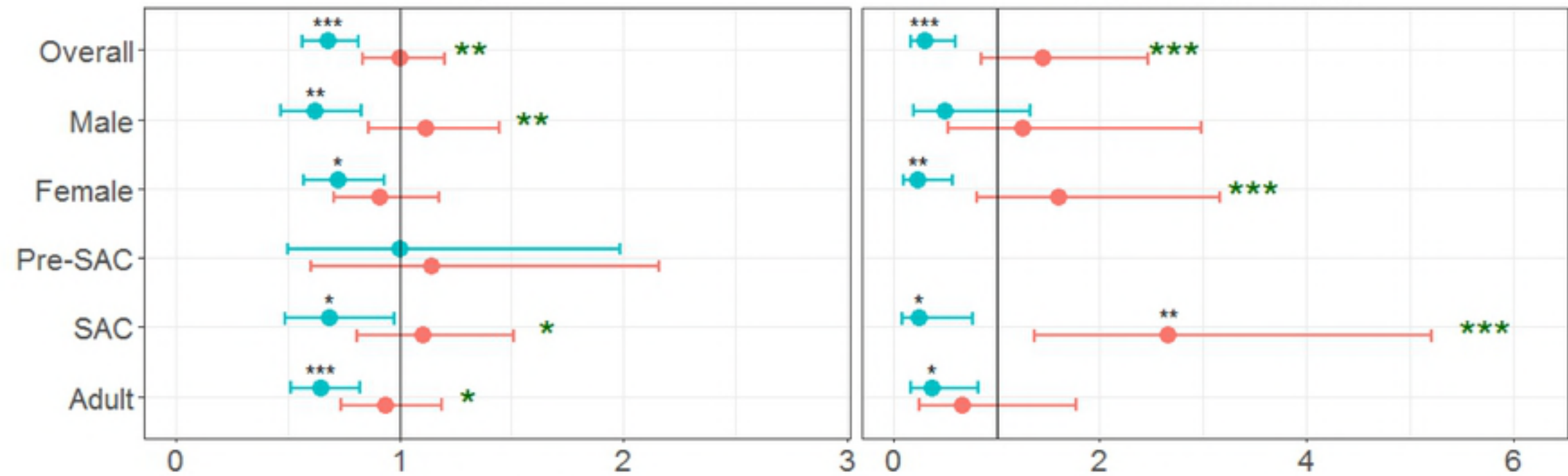
455 NTD Neglected tropical disease

456 PCA Principal components analysis

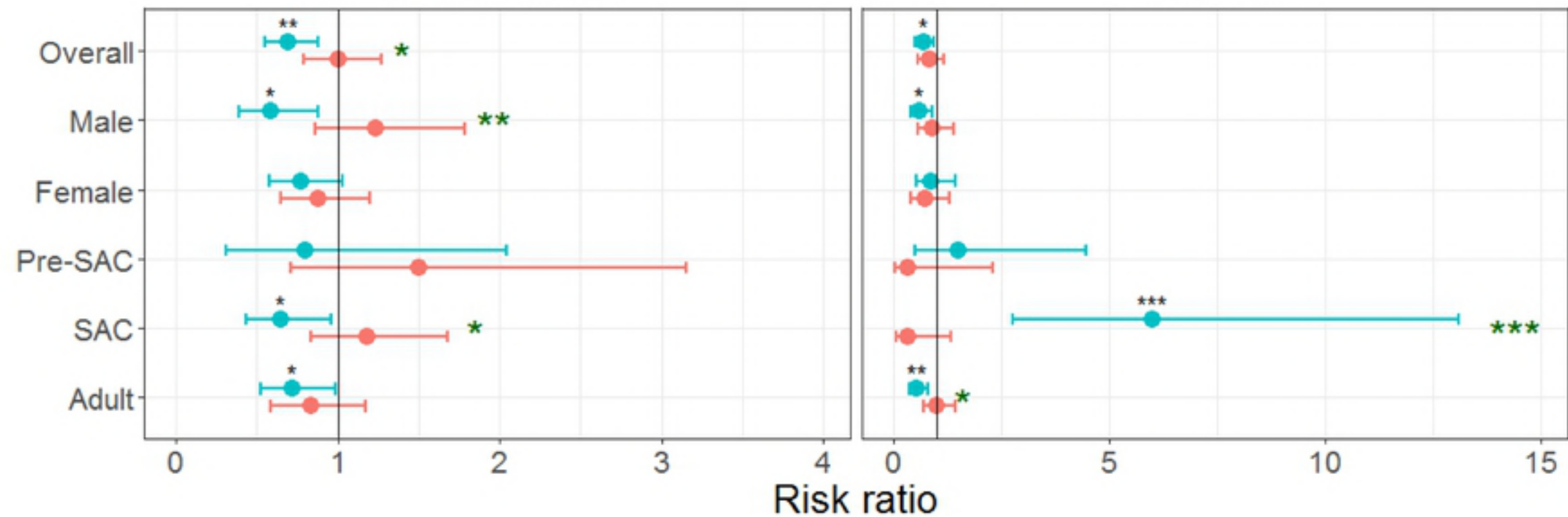
457 pre-SAC Preschool-aged children

458	RR	Risk ratio
459	S1	Survey 1
460	S2	Survey 2
461	S3	Survey 3
462	SAC	School-aged children
463	SES	Socioeconomic status
464	STH	Soil-transmitted helminth
465	WaSH	Water, sanitation and hygiene
466	WHO	World Health Organization
467	WRA	Women of reproductive age
468	Supplementary information:	
469	S1 Table: Risk ratios of STH infection between surveys	
470	S2 Table: Mean change in eggs per gram of faeces (EPG) between surveys	
471	S3: STROBE Checklist	

Any STH

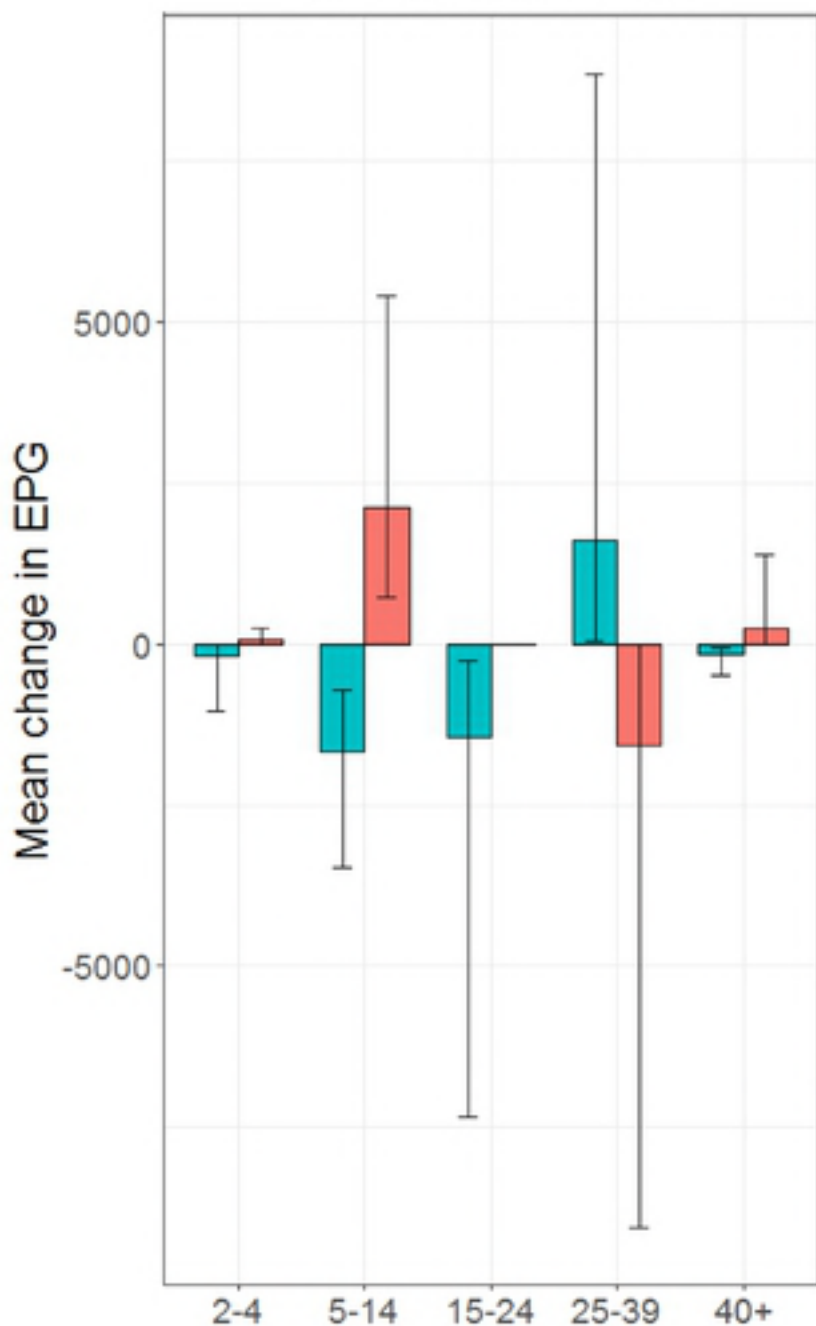
Ascaris lumbricoides*Trichuris trichiura*

Hookworm

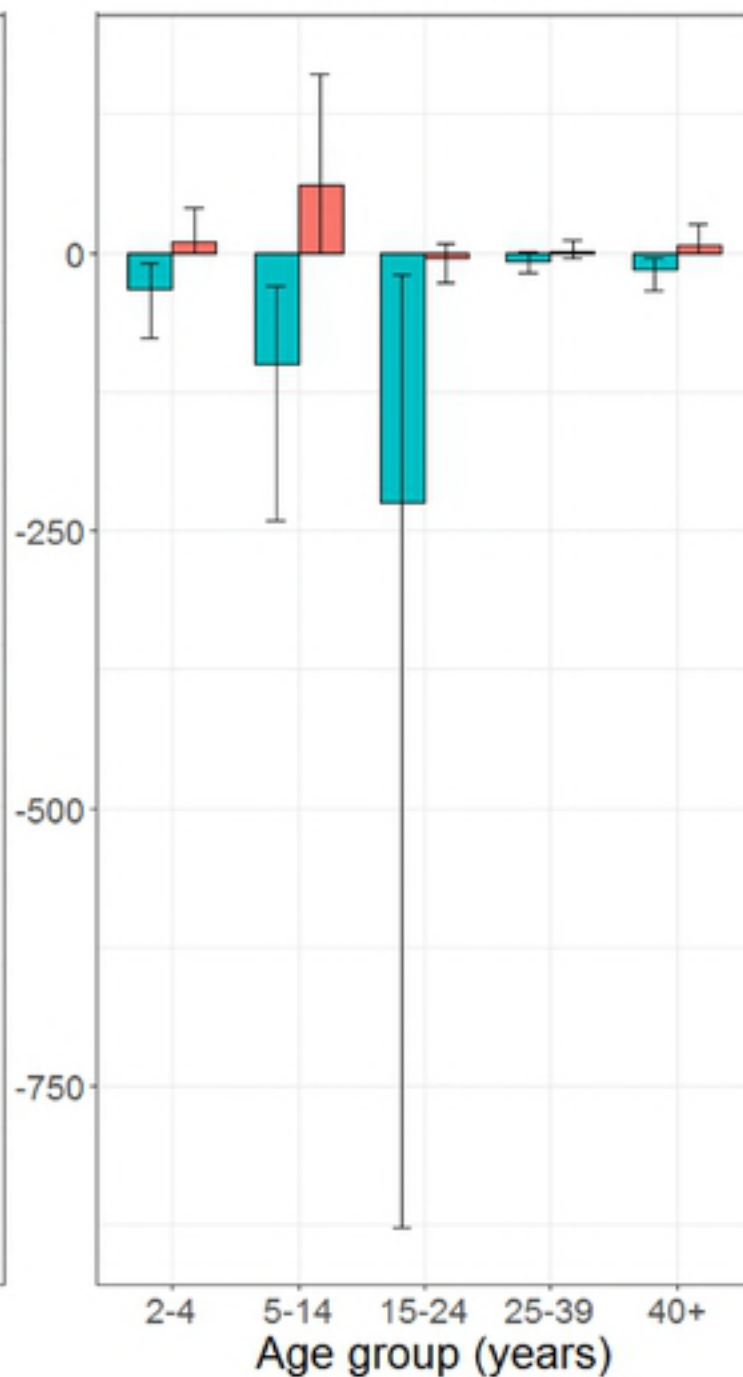


Risk ratio

Ascaris lumbricoides



Trichuris trichiura



Hookworm



