

1 **The Chromatin-binding Protein Spn1 contributes to Genome Instability in *Saccharomyces***
2 ***cerevisiae***

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2 **Abstract**

3 Cells expend a large amount of energy to maintain their DNA sequence. DNA repair pathways,
4 cell cycle checkpoint activation, proofreading polymerases, and chromatin structure are ways in
5 which the cell minimizes changes to the genome. During replication, the DNA damage
6 tolerance pathway allows the replication forks to bypass damage on the template strand. This
7 avoids prolonged replication fork stalling, which can contribute to genome instability. The DNA
8 damage tolerance pathway includes two sub-pathways: translesion synthesis and template
9 switch. Post-translational modification of PCNA and the histone tails, cell cycle phase, and local
10 DNA structure have all been shown to influence sub-pathway choice. Chromatin architecture
11 contributes to maintaining genome stability by providing physical protection of the DNA and by
12 regulating DNA processing pathways. As such, chromatin-binding factors have been implicated
13 in maintaining genome stability. Using *Saccharomyces cerevisiae*, we examined the role of
14 Spn1, a chromatin binding and transcription elongation factor, in DNA damage tolerance.
15 Expression of a mutant allele of *SPN1* results in increased resistance to the DNA damaging
16 agent methyl methanesulfonate, lower spontaneous and damage-induced mutation rates,
17 along with increased chronological lifespan. We attribute these effects to an increased usage of
18 the template switch branch of the DNA damage tolerance pathway in the *spn1* strain. This
19 provides evidence for a role of wild type Spn1 in promoting genome instability, as well as
20 having ties to overcoming replication stress and contributing to chronological aging.

21 **Introduction**

22 Maintaining the genome of a cell is of fundamental importance. Instability within the
23 genome contributes to cancer, aging and genetic diseases (AGUILERA and GARCIA-MUSE 2013; VIJG

1 and SUH 2013). Point mutations, deletions, duplications, and translocations are all forms of
2 genome instability (AGUILERA and GARCIA-MUSE 2013; SKONECZNA *et al.* 2015). Overlapping and
3 conserved DNA repair pathways, DNA damage activated cell cycle checkpoints, proofreading
4 DNA polymerases, and chromatin structure are some of the ways in which the cell minimizes
5 changes to the genome (KAWASAKI and SUGINO 2001; AGUILERA and GARCIA-MUSE 2013; POLO and
6 ALMOUZNI 2015; CHATTERJEE and WALKER 2017). However, some level of genome instability is
7 tolerated and is necessary to fuel genetic diversification and evolution (SKONECZNA *et al.* 2015).

8 DNA base lesions, breaks, strand crosslinks and gaps, secondary structures and strongly
9 bound proteins are obstacles for the replication machinery (HUSTEDT *et al.* 2013; BRAMBATI *et al.*
10 2015; CHATTERJEE and WALKER 2017). Replication stress caused by these structures can result in
11 genome instability and/or cell death (HUSTEDT *et al.* 2013; ZEMAN and CIMPRICH 2014).
12 Furthermore, intermediate steps of DNA damage repair can be detrimental to the cell if
13 performed without proper coordination with replication fork progression (ULRICH 2011). For
14 example, the cleavage of the phosphate backbone in the ssDNA template would result in a
15 double stranded break, putting the cell at risk for chromosomal rearrangements. The DNA
16 damage tolerance (DDT) pathway provides mechanisms for cells to circumvent blocks to the
17 DNA replication fork (ULRICH 2011; BI 2015; XU *et al.* 2015; BRANZEI and PSAKHYE 2016; BRANZEI and
18 SZAKAL 2016). DDT is different from other repair pathways since the initial damage is not
19 immediately repaired. The DDT pathway includes two sub-pathways: the translesion synthesis
20 branch (error prone) and a template switch branch (error free) (LEE and MYUNG 2008; BI 2015;
21 XU *et al.* 2015; BRANZEI and SZAKAL 2016).

1 Translesion synthesis (TLS) utilizes polymerase switching to overcome replication blocks
2 using the lower fidelity polymerases POL ζ (Rev3/Rev7) and Rev1 (PRAKASH *et al.* 2005; XU *et al.*
3 2015). The TLS branch is considered error prone as it can potentially introduce a miss-matched
4 dNTP via the low fidelity polymerase. TLS can contribute to upwards of half the point
5 mutations accumulated by a cell at each division cycle (STONE *et al.* 2012). Template switch (the
6 error free sub-pathway) utilizes the newly synthesized sister strand as a template for DNA
7 synthesis past an obstruction. This requires homologous recombination factors for strand
8 invasion and downstream DNA processing factors to resolve recombination intermediates
9 (BRANZEI *et al.* 2008; BRANZEI and SZAKAL 2016). The error free sub-pathway has been determined
10 to be different from traditional recombination repair pathways through genetic studies (BRANZEI
11 and SZAKAL 2016). How the cell determines which DTT sub-pathway to use is still unclear;
12 however, post-translational modification of PCNA and histone tails, cell cycle phase, and local
13 DNA structure have all been shown to influence sub-pathway choice (DAIGAKU *et al.* 2010;
14 GONZALEZ-HUICI *et al.* 2014; MEAS *et al.* 2015; XU *et al.* 2015; BRANZEI and SZAKAL 2016; HUNG *et al.*
15 2017).

16 Spn1 (Suppresses post-recruitment gene number 1) is a transcription elongation and
17 chromatin-binding factor (FISCHBECK *et al.* 2002; KROGAN *et al.* 2002; LI *et al.* 2018). *SPN1* is
18 essential for cellular viability and is conserved from yeast to humans (FISCHBECK *et al.* 2002; LIU
19 *et al.* 2007; PUJARI *et al.* 2010). Both yeast and human Spn1 consist of two intrinsically
20 disordered tails with an ordered central core domain (PUJARI *et al.* 2010) (Figure 1A) and have
21 been shown to associate with chromatin (KUBOTA *et al.* 2012; ALABERT *et al.* 2014; DUNGRRAWALA *et*
22 *al.* 2015). Human Spn1 recruits the HYPB/Setd2 methyltransferase required for H3K36

1 trimethylation (H3K36me3) (YOH *et al.* 2008). H3K36me3 through Setd2 activity has been
2 shown to recruit homologous recombination factors to double stranded breaks (PFISTER *et al.*
3 2014). Yeast Spn1 binds histones, DNA and nucleosomes (LI *et al.* 2018), and associates with
4 RNA Polymerase II (RNAPII) and the histone chaperone, Spt6 (DIEBOLD *et al.* 2010; McDONALD *et*
5 *al.* 2010; PUJARI *et al.* 2010; LI *et al.* 2018). Spn1 maintains repressive chromatin in human cells
6 (GERARD *et al.* 2015), and loss of the DNA, histone and nucleosome binding functions in yeast
7 results in increased nucleosome occupancy at the activated *CYC1* locus (LI *et al.* 2018). Yeast
8 Spn1 has been shown to genetically and/or physically interact with the ATP-dependent
9 chromatin-remodelers INO80 (COSTANZO *et al.* 2016) and SWR-C/SWR1 (COLLINS *et al.* 2007),
10 both of which are involved in DNA double strand break repair (VAN ATTIKUM *et al.* 2007). In
11 addition, *SPN1* genetically interacts with genes whose protein products are involved in DNA
12 repair, such as Rad23 (COLLINS *et al.* 2007, PRAKASH and PRAKASH 2000), Polε (DUBARRY *et al.* 2015),
13 and histone chaperones CAF-1, Asf1 (KIM and HABER 2009; LI *et al.* 2018) and FACT (CA
14 Radebaugh, unpublished results; DINANT *et al.* 2013). Together, these observations raise the
15 possibility that Spn1 may influence DNA repair functions.

16 In this study, we examined a role for Spn1 in genome instability in *Saccharomyces*
17 *cerevisiae*. We utilized an allele, *spn1*¹⁴¹⁻³⁰⁵, which encodes a derivative that lacks the
18 intrinsically disordered tails (Figure 1A) (FISCHBECK *et al.* 2002; LI *et al.* 2018). Expression of the
19 mutant protein Spn1¹⁴¹⁻³⁰⁵ led to increased resistance to the DNA damaging agent methyl
20 methanesulfonate (MMS). We tested genetic interactions between *SPN1* and genes involved in
21 base excision repair (BER), nucleotide excision repair (NER), homologous recombination (HR),
22 and the DDT pathway. Through the analyses of these genetic interactions, the resistance to

1 MMS observed in the *spn1*¹⁴¹⁻³⁰⁵ strain was determined to be dependent on the DDT pathway
2 and HR factors. Furthermore, the *spn1*¹⁴¹⁻³⁰⁵ strain displayed decreased spontaneous and
3 damage-induced mutation rates and increased chronological longevity. Taken together, our
4 results indicate a role for Spn1 in promoting genome instability by influencing DNA damage
5 tolerance sub-pathway selection.

6 **Materials and Methods**

7 ***Yeast culturing and strains***

8 All strains were grown and experiments were performed in yeast peptone dextrose (YPD; 2%
9 dextrose) media at 30°C unless otherwise indicated. A description of the yeast strains, plasmids
10 and DNA primers utilized in this study are provided in Tables S1, S2, and S3.

11 The wild type strain BY4741 (*MATa his3Δ1 ura3Δ0 leu2Δ0 met15Δ0*) and deletion strains
12 were purchased from Thermo Scientific Open. Strains were created as described (ZHANG *et al.*
13 2008; LI *et al.* 2018). Briefly, strains were transformed with a covering plasmid expressing Spn1.
14 Endogenous *SPN1* was replaced by a *LEU2* fragment flanked by *SPN1* promoter sequences by
15 homologous recombination. Plasmids containing *SPN1* or *spn1*¹⁴¹⁻³⁰⁵ were introduced into
16 strains by plasmid shuffling.

17 ***DNA damage exposure phenotypic assays***

18 To assess the growth phenotypes and possible genetic interactions between *SPN1* and other
19 mutant strains, yeast cells were grown overnight in YPD. Cultures were diluted, grown to log
20 phase, collected by centrifugation, washed with sterile water and diluted. Ten-fold serial
21 dilutions were spotted onto the indicated solid media. Plates were grown at 30°C. Images of
22 plates were taken daily. Methyl methanesulfonate (MMS), camptothecin (CPT) and hydrogen

1 peroxide (H₂O₂) plates were made 24-48 hours before each experiment. UV exposure was
2 performed with a UVP UVLMS-38 light source at a wavelength of 254 nm. To test if *SPN1* is
3 dominant, strains were grown using SC-His drop-out media to maintain selection of the plasmid
4 expressing Spn1 or Spn1¹⁴¹⁻³⁰⁵.

5 ***Fluctuation analysis***

6 Fluctuation analyses were performed to determine the rates of spontaneous and damage-
7 induced forward mutation in the *CAN1* gene. Indicated strains were patched and grown for 24
8 hours on YPD. Strains were streaked to single colonies onto YPD plates and grown for 48 hours.
9 Multiple colonies of each strain were inoculated and allowed to grow for 24 hours in 5 mL of
10 YPD. Cells were pelleted and washed in sterile water, and appropriate dilutions were plated on
11 YPD and SC-Arg drop-out containing 60 µg/L of canavanine. Colonies were counted after two
12 (YPD, permissive) and three (SC-Arg drop-out + Can, selective) day growth. Mutation rates
13 were calculated through the Lea-Coulson method of the median (LEA and COULSON 1949) in
14 using the FALCOR web application (HALL *et al.* 2009). Statistical significance was determined
15 using the Mann-Whitney non-parametric t-test using GraphPad Prism software. For damage-
16 induced mutation rates, the same protocol was followed except strains were streaked onto YPD
17 plates containing 0.001% MMS and inoculated into liquid YPD containing 0.005% MMS. *mms2Δ*
18 mutants were inoculated in YPD liquid containing 0.001% MMS due to this strain's higher
19 sensitivity to MMS. Plates containing MMS were made less than 24 hours before use.

20 ***Budding index***

21 Strains were grown overnight in YPD media, cultures were then diluted and grown to log phase.
22 The cultures were split and MMS was added to half of the cells for a final concentration of

1 0.03% MMS. Cultures were incubated for an additional 30 minutes at 30°C. The cells were
2 washed and fixed with formalin. At least 300 cells were evaluated for each strain;
3 determination of cell cycle phase was determined by bud size analysis.

4 ***Immunoblotting analysis***

5 Cells were harvested at log phase and suspended in 0.1 M NaOH for 5 minutes. The NaOH was
6 removed, the cell pellet was resuspended in lysis buffer (120 mM Tris-HCl [pH 6.8], 12%
7 glycerol, 3.4% SDS, 200 mM dithiothreitol [DTT], 0.004% bromophenol blue), and the cell
8 suspension was incubated at 95°C for 5 minutes. Insoluble cell debris was removed by
9 centrifugation, and total protein was separated on a 15% SDS-PAGE gel. Proteins were
10 transferred to a nitrocellulose blotting membrane (Amershan Protran 0.2uM NC) and blocked
11 with 3% milk. The following antibodies were utilized: anti-TBP (1:5,000), anti-H2AS129
12 phosphorylation (abcam #ab15083, 1:500), and anti-rabbit (Li-COR #925-32211, 1:15000).
13 Protein bands were visualized using the Li-COR Odyssey CLx and quantified using Image Studio.

14 ***Chronological aging assay***

15 Chronological aging assays were performed as described (PARRELLA and LONGO 2008). Briefly,
16 strains were inoculated in synthetic dropout media and grown overnight. Cultures were diluted
17 to an OD of 0.1 and grown in synthetic dropout media for 3 days (72 hours) to ensure cultures
18 have reached stationary phase (T_0). To determine viability, dilutions of each biological replicate
19 were plated every other day onto YPD plates. Dilutions and plating were carried out in
20 triplicate and averaged for each biological replicate. Four to five biological replicates for each
21 strain were averaged to determine the % viability. The % viability is the ratio of viable colonies
22 at a specific time (T_x) over the number of viable colonies at T_0 (stationary phase).

1 **Data Availability**

2 Strains and plasmids are available upon request. The authors affirm that all data necessary for
3 confirming the conclusions of the article are present within the article, figures, tables and
4 supplemental material. Supplemental data is available at Figshare as one PDF. Figure S1 shows
5 further analysis of the MMS resistance. Figure S2 examines growth phenotypes after UV
6 exposure. Figure S3 examines growth phenotypes after exposure to MMS or HU in DDT deletion
7 background strains. Figure S4 compares DDT sub-pathway selection between wild type and the
8 *spn1141-305* strain. Table S1, Table S2 and Table S3 list the strains, plasmids and DNA primers
9 utilized in this study, respectively.

10 **Results**

11 ***Expression of Spn1¹⁴¹⁻³⁰⁵ results in increased resistance to methyl methanesulfonate***

12 To test if Spn1 functions in DNA damage repair, we utilized the *spn1* mutant allele *spn1¹⁴¹⁻³⁰⁵*
13 (Figure 1A). The Spn1¹⁴¹⁻³⁰⁵ protein consists of only the conserved ordered core domain, and
14 lacks the N and C termini present in full length Spn1 (Li *et al.* 2018). Cells expressing Spn1¹⁴¹⁻³⁰⁵
15 were grown on media containing various DNA damaging agents and interestingly, the *spn1¹⁴¹⁻*
16 *305* strain displayed resistance to MMS (Figure 1B). The observed resistance is specific to MMS
17 since sensitivity to the other DNA damaging agents was not altered (Figure 1B). Co-expression
18 of plasmid-borne Spn1¹⁴¹⁻³⁰⁵ in a strain carrying a chromosomal copy of wild type Spn1 did not
19 result in increased resistance to MMS (Figure S1A), suggesting that *spn1¹⁴¹⁻³⁰⁵* is recessive.

20 To determine whether cells expressing Spn1¹⁴¹⁻³⁰⁵ are able to trigger a DNA damage
21 response after exposure to MMS, the levels of histone H2A serine 129 phosphorylation (H2A
22 S129Ph) in *SPN1* and *spn1¹⁴¹⁻³⁰⁵* cells were measured by immunoblot analysis. H2A S129 is

1 phosphorylated in response to DNA damage (DOWNS *et al.* 2000; FOSTER and DOWNS 2005). A
2 ~2.5 fold increase in the amount of H2A S129Ph after MMS exposure was observed in both the
3 *SPN1* and *spn1*¹⁴¹⁻³⁰⁵ strains (Figure 1C). The levels of H2A S129Ph were similar in the two
4 strains prior to and after exposure to MMS (Figure 1C). In addition, we examined the cell cycle
5 phase distribution between the two strains after exposure to MMS (Figure S1B). No difference
6 was observed between the cell cycle phase distributions of the two strains. Since, both the H2A
7 S129Ph DNA damage response and cell cycle progression were not altered in the *spn1*¹⁴¹⁻³⁰⁵
8 strain, we reasoned that the DNA damage checkpoints remained intact.

9 Mec1 and Tel1 are evolutionarily conserved phosphatidylinositol-3 kinase related protein
10 kinases (PIKKs) and transduce a kinase cascade, which activates DNA damage repair, cell cycle
11 arrest, transcription programs, dNTP synthesis, and replication fork stabilization in response to
12 cellular stress (CRAVEN *et al.* 2002; TOH and LOWNDES 2003; ENSERINK 2011). Serine 23 (S23) of
13 Spn1 is phosphorylated in response to exposure to MMS in a Mec1- and Tel1-dependent and
14 Rad53-independent manner (CHEN *et al.* 2010; BASTOS DE OLIVEIRA *et al.* 2015). We investigated
15 whether cells expressing Spn1¹⁴¹⁻³⁰⁵ combined with the loss of Tel1 or Rad9, a mediator kinase
16 that activates Rad53, remain MMS resistant. We observed loss of resistance when either Tel1
17 or Rad9 were deleted (Figure S1C). Since Tel1 and Rad9 affect many downstream factors we
18 wanted to test if the loss of Spn1 S23 phosphorylation is sufficient for MMS resistance.
19 Phospho-mimetic (*spn1*^{S23D}) and phospho-deficient (*spn1*^{S23A}) strains were created and grown
20 on MMS. The *spn1*^{S23D} and *spn1*^{S23A} strains grew similarly to the *SPN1* strain (Figure S1D). Taken
21 together, this indicates that MMS resistance observed in the *spn1*¹⁴¹⁻³⁰⁵ strain is dependent on

1 Tel1 and Rad9 activity and that the loss of S23 phosphorylation of Spn1 is insufficient for MMS
2 resistance.

3 ***Removal of methyl lesions through Mag1 glycosylase is necessary for MMS resistance***

4 To investigate if the resistance to MMS could be due to more efficient DNA repair, we
5 introduced *spn1*¹⁴¹⁻³⁰⁵ into strains deficient for base excision repair. BER is the primary pathway
6 to repair damage caused by MMS (MEMISOGLU and SAMSON 2000). Mag1 is the DNA glycosylase
7 responsible for the removal of the toxic N3-methyladenine adducts resulting in an abasic site
8 (PRAKASH and PRAKASH 1977; CHEN *et al.* 1989). Apn1 is the major endonuclease responsible for
9 cleavage of the phosphate backbone at the abasic site, which is subsequently repaired through
10 long or short patch BER (MEMISOGLU and SAMSON 2000; ODELL *et al.* 2013). Cells expressing either
11 Spn1 or Spn1¹⁴¹⁻³⁰⁵ in the *mag1Δ* background were sensitive to MMS (Figure 2A). In contrast,
12 cells expressing Spn1¹⁴¹⁻³⁰⁵ in the *apn1Δ* background were resistant to MMS (Figure 2A). This
13 indicates that cells bearing *spn1*¹⁴¹⁻³⁰⁵ are able to retain resistance with a defective BER
14 pathway, if the damaging lesion can be processed by Mag1.

15 ***Resistance to MMS is independent of the nucleotide excision repair pathway***

16 Since Spn1 is involved in transcription and mRNA processing (FISCHBECK *et al.* 2002; KROGAN *et al.*
17 2002; YOH *et al.* 2007; YOH *et al.* 2008), it seemed possible that Spn1 could be functioning in
18 transcription-coupled nucleotide excision repair (TC-NER). Additionally, nucleotide excision
19 repair (NER) has been show to compete with BER AP endonucleases in the repair of abasic sites
20 (TORRES-RAMOS *et al.* 2000). Genetic analyses were performed with the introduction of *spn1*¹⁴¹⁻
21 ³⁰⁵ into the *rad26Δ* and *rad14Δ* backgrounds. Rad26 is a DNA-dependent ATPase involved in TC-
22 NER (GUZDER *et al.* 1996a; PRAKASH and PRAKASH 2000), and Rad14 is a subunit of NER factor 1

1 (NEF1), which is required for TC-NER and global genomic NER (GUZDER *et al.* 1996b; PRAKASH and
2 PRAKASH 2000). Resistance to MMS was maintained when Spn1¹⁴¹⁻³⁰⁵ was expressed in the
3 *rad26Δ* or the *rad14Δ* strain (Figure 2B), indicating that this phenotype is not dependent on
4 either NER pathway. Furthermore, expression of Spn1¹⁴¹⁻³⁰⁵ in the wild type, *rad26Δ* and
5 *rad14Δ* strain backgrounds did not result in a resistance phenotype after exposure to UV (Figure
6 S2A and S2B), which is primarily repaired by NER. This further supports that the resistance
7 phenotype is specific to MMS and it is not due to enhancement of the NER pathway.

8 ***Resistance is dependent on the error free sub-pathway of the DNA damage tolerance***
9 ***pathway***

10 The DDT pathway provides a mechanism for cells to bypass blocks to the DNA replication fork
11 (ULRICH 2011; BI 2015), including lesions caused by exposure to MMS (Figure S3A). The primary
12 signal for entry into the TLS sub-pathway is dependent on the mono-ubiquitination of PCNA
13 through the actions of the Rad18/Rad6 complex (ULRICH 2011; BI 2015). Additional poly-
14 ubiquitination through the actions of the Ubc13/Mms2 and Rad5 complex is the primary signal
15 for error free bypass (ULRICH 2011; BI 2015). It has been shown that abolishment of the DDT
16 pathway results in extreme sensitivity to MMS (HUANG *et al.* 2013). Since we observe resistance
17 to MMS in the *spn1*¹⁴¹⁻³⁰⁵ strain, we predicted that the DDT pathway must function. Consistent
18 with this, deletion of *RAD6* or *RAD18* resulted in the loss of MMS resistance in strains
19 expressing Spn1¹⁴¹⁻³⁰⁵ (Figure S3B).

20 MMS resistance has been shown to correlate with changes in DDT sub-pathway selection
21 (CONDE and SAN-SEGUNDO 2008; CONDE *et al.* 2010). Genetic analyses between *SPN1* and
22 *REV3/REV7/REV1*, TLS polymerases, and *RAD5/MMS2/UBC13*, a complex responsible for error

1 free sub-pathway signaling, were performed. Cells expressing Spn1¹⁴¹⁻³⁰⁵ retained resistance to
2 MMS in all tested TLS gene deletion backgrounds (Figure 3A). In contrast, loss of MMS
3 resistance was observed in the error-free deletion strains (Figure 3B). These data suggest cells
4 expressing wild type Spn1 are utilizing the TLS sub-pathway, whereas cells expressing Spn1¹⁴¹⁻
5 ³⁰⁵ shift the response toward the error free sub-pathway.

6 To test if expression of Spn1¹⁴¹⁻³⁰⁵ confers resistance to other forms of replication stress, we
7 examined cellular growth after the addition of hydroxyurea (HU). Exposure to HU results in
8 slowed or stalled replication forks due to depleted levels of dNTPs (Koc *et al.* 2004), while MMS
9 causes damage-induced replication stress (WYATT and PITTMAN 2006). In contrast to resistance
10 to MMS, the *spn1*¹⁴¹⁻³⁰⁵ strain displayed a slight sensitivity to HU (Figure S3C). HU sensitivity
11 was exacerbated in the DDT deletion strains (Figure S3C). This suggests that Spn1 is important
12 for overcoming replication stress caused by HU and that the stress induced by HU cannot be
13 overcome by expression of Spn1¹⁴¹⁻³⁰⁵.

14 ***Spn1 contributes to spontaneous and damage-induced genome instability***

15 The TLS polymerases can be responsible for upwards of 50% of point mutations in a genome
16 (STONE *et al.* 2012). Thus, we predicted that if the *spn1*¹⁴¹⁻³⁰⁵ strain does not utilize the TLS sub-
17 pathway, then we would observe a difference in the damage-induced mutation rates between
18 the *SPN1* and *spn1*¹⁴¹⁻³⁰⁵ strains. To determine levels of damage-induced mutations, a
19 fluctuation assay detecting forward mutations occurring within the *CAN1* locus in the presence
20 of MMS was performed. Cells expressing Spn1¹⁴¹⁻³⁰⁵ had a significant decrease in the damage-
21 induced mutation rate compared to wild type cells (Table 1). The *spn1*¹⁴¹⁻³⁰⁵ strain also

1 displayed a decrease in the spontaneous mutation rate (Table 1). These results indicate that
2 Spn1 contributes to genome instability, regardless of the presence of a DNA damaging agent.

3 To test if the decreased mutation rate observed in the *spn1*¹⁴¹⁻³⁰⁵ strain is dependent on the
4 error free sub-pathway, damage-induced mutation rates in *mms2Δ* were examined. We
5 predicted that introduction of Spn1¹⁴¹⁻³⁰⁵ into the *mms2Δ* strain would result in the mutation
6 rate increasing and returning to levels observed in *mms2Δ* expressing wild type Spn1. As
7 predicted, the deletion of *MMS2* combined with the *SPN1* mutant resulted in wild type
8 damaged induced mutation rates (Table 1). Furthermore, deletion of *rev3Δ* (a component of
9 the TLS pathway) in the *spn1*¹⁴¹⁻³⁰⁵ strain still produced a decrease in mutation rate (Table 1).
10 Taken together, this indicates that the mutation rate decrease in the *spn1*¹⁴¹⁻³⁰⁵ strain is
11 dependent on the error free sub-pathway.

12 The deletion of the histone methyltransferase Dot1 results in resistance to MMS through
13 the loss of inhibition of the TLS sub-pathway (CONDE and SAN-SEGUNDO 2008). The resistance in
14 the *spn1*¹⁴¹⁻³⁰⁵ strain is due to use of the error free sub-pathway (Figure S3A), and thus we
15 predicted that Spn1 and Dot1 are acting in parallel pathways. To test this, a genetic analysis
16 between *SPN1* and *DOT1* was performed. Interestingly, combining the deletion of *DOT1* with
17 *spn1*¹⁴¹⁻³⁰⁵ resulted in increased growth compared to *dot1Δ* alone on YPD (Figure S3D). This
18 increased growth was enhanced when cells were grown on plates containing MMS (Figure S3D).
19 Expression of Spn1¹⁴¹⁻³⁰⁵ in the *dot1Δ* strain resulted in significantly decreased mutation rates
20 (Table 1), although we observed higher damage-induced mutation rates when *DOT1* was
21 deleted, which is consistent with previously reported data (CONDE and SAN-SEGUNDO 2008). The

1 increase in MMS resistance and the mutation rate observed in the *dot1Δ spn1¹⁴¹⁻³⁰⁵* strain
2 suggests a deregulation of both sub-pathways of DDT.

3 ***Resistance to MMS is dependent on homologous recombination machinery***

4 The template switching mechanism utilized in the error free sub-pathway requires many of the
5 factors involved in homologous recombination (HR) (BRANZEI *et al.* 2008; BRANZEI and SZAKAL
6 2016; HANAMSHET *et al.* 2016), thus we predicted the MMS resistance seen in the *spn1¹⁴¹⁻³⁰⁵*
7 strain would require various HR factors. During DDT, ssDNA resulting from re-priming of the
8 replication fork is bound by Rad51 (GANGAVARAPU *et al.* 2007; SYMINGTON *et al.* 2014). Rad55 and
9 Rad57 work as a heterodimer to stabilize the association of Rad51 with the ssDNA (SYMINGTON *et*
10 *al.* 2014). Deletion of *RAD51*, *RAD55* or *RAD57* combined with *spn1¹⁴¹⁻³⁰⁵* resulted in loss of
11 MMS resistance (Figure 4A), indicating the observed resistance to MMS is dependent on
12 functional HR factors.

13 ***DNA intermediates are processed through Sgs1 and Rmi1 in spn1¹⁴¹⁻³⁰⁵***

14 During error free DDT and HR, DNA crossover intermediates are a result of strand invasion. The
15 functions of topoisomerases, helicases, and nucleases aid in resolving these intermediates
16 (MITCHEL *et al.* 2013; CAMPOS-DOERFLER *et al.* 2018). Sgs1, Rmi1 and Top3 work as a complex to
17 aid in resolving holiday junctions (MULLEN *et al.* 2005; BERNSTEIN *et al.* 2009). Genetic analysis
18 revealed that the deletion of *SGS1* or *RMI1* is synthetically lethal with *spn1¹⁴¹⁻³⁰⁵* on MMS
19 (Figure 4B). This was also observed when the strains were grown on HU (Figure 4B). Together
20 these observations suggest that cells expressing *Spn1¹⁴¹⁻³⁰⁵* may commit to recombination
21 pathways, and are therefore dependent on a functional Sgs1/Rmi1 complex to resolve
22 recombination intermediates.

1 **Spn1¹⁴¹⁻³⁰⁵ expression results in increased chronological longevity**

2 Decreased mutation rates and inactivation of the TLS pathway have been linked to increased
3 chronological longevity (LONGO and FABRIZIO 2012). Since cells expressing Spn1¹⁴¹⁻³⁰⁵ have
4 decreased mutation rates, we asked whether they would have an increased chronological
5 lifespan. A dramatic difference in the chronological lifespan between cells expressing Spn1 and
6 Spn1¹⁴¹⁻³⁰⁵ was observed (Figure 5). At the termination of the assay (19 days), the *spn1*¹⁴¹⁻³⁰⁵
7 culture maintained 85% viability, while the control *SPN1* culture was around 5%. This suggests a
8 link between Spn1, genome instability and chronological aging.

9 **Discussion**

10 Here we have investigated the role of the chromatin-binding factor Spn1 in DNA damage
11 response and genome instability. Expression of Spn1¹⁴¹⁻³⁰⁵ covers the essential functions of wild
12 type when cells are grown in rich culturing conditions, although this derivative has lost the
13 ability to bind DNA, nucleosomes and histones (LI *et al.* 2018). Upon exposure to the DNA
14 damaging agent MMS, we observed increased resistance in cells expressing Spn1¹⁴¹⁻³⁰⁵. MMS
15 results in methylation of single and double stranded DNA (YANG *et al.* 2010). The methyl group
16 is primarily transferred to a double bonded nitrogen on adenine, cytosine and guanine with
17 varying frequencies (WYATT and PITTMAN 2006). Not all lesions induced by MMS are toxic;
18 however, N3-methyladenine is toxic to cells as it creates a barrier for the replication machinery
19 (CHANG *et al.* 2002). A DNA damage response was detected after exposure to MMS in both the
20 wild type (*SPN1*) and the *spn1*¹⁴¹⁻³⁰⁵ strain. DNA lesions caused by MMS are primarily repaired
21 through BER, although other repair pathways such as NER can partially compensate (BAUER *et*
22 *al.* 2015). Deletion of *MAG1*, the DNA glycosylase responsible for the recognition and removal

1 of the toxic N3-methyladenine, results in cell sensitivity to MMS (PRAKASH and PRAKASH 1977).
2 Expression of Spn1¹⁴¹⁻³⁰⁵ in the *mag1Δ* strain could not suppress the MMS sensitivity observed
3 in the *mag1Δ* strain, implying Mag1 activity is necessary for resistance to MMS. Interestingly,
4 cells expressing Spn1¹⁴¹⁻³⁰⁵ retain resistance in the *apn1Δ* strain. We reasoned that the initial
5 removal of the methylated base is necessary for MMS resistance. Once Mag1 removes the
6 affected base, the resulting abasic site could be processed by other endonucleases in BER or
7 overlapping DNA repair pathways. One such pathway is NER, and it seemed plausible that Spn1
8 might function in NER, especially transcription-coupled: Spn1 has been shown to function as a
9 transcription elongation factor and has physical and genetic interactions with transcription
10 factors and RNA Polymerase II (FISCHBECK *et al.* 2002; KROGAN *et al.* 2002; PUJARI *et al.* 2010). Loss
11 of Rad14 (a NER factor) is lethal when cells are exposed to MMS or UV. Although expression of
12 Spn1¹⁴¹⁻³⁰⁵ in the *rad14Δ* strain suppressed cell death when grown on MMS, the expression of
13 Spn1¹⁴¹⁻³⁰⁵ (*rad14Δ spn1¹⁴¹⁻³⁰⁵*) could not rescue lethality after exposure to UV. Additionally,
14 the *spn1¹⁴¹⁻³⁰⁵* strain revealed no mutant UV phenotype, indicating that expression of Spn1¹⁴¹⁻
15 ³⁰⁵ was not enhancing NER repair.

16 Further genetic analysis revealed that the resistance observed in the *spn1¹⁴¹⁻³⁰⁵* strain was
17 dependent on the error free sub-pathway of DDT. Resistance was lost upon deletion of any of
18 the genes responsible for poly-ubiquitination of PCNA (*RAD5/MMS2/UBC13*), the major signal
19 for entry into the error free sub-pathway. Error free bypass utilizes HR factors for template
20 switching (BRANZEI and SZAKAL 2016; HANAMSHET *et al.* 2016). We observe loss of resistance in all
21 genes tested in the HR group (*RAD51/RAD55/RAD57*). Template switching requires additional
22 downstream HR factors to resolve DNA intermediates. Introduction of *spn1¹⁴¹⁻³⁰⁵* into the

1 *sgs1Δ* or *rmi1Δ* strain is synthetically lethal when grown on MMS and HU. We conclude that
2 expression of Spn1¹⁴¹⁻³⁰⁵ shifts the regulation of DDT towards the error free sub-pathway
3 (Figure S4) and resolution of the resulting DNA intermediates is dependent on the function of
4 the Sgs1/Rmi1/Top3 complex. This shift in regulation of the DDT pathway appears
5 advantageous after exposure to MMS, however when cells are exposed to HU, the effects of
6 expression of Spn1¹⁴¹⁻³⁰⁵ are detrimental to cell growth. This could be due to the amount of
7 replication stress a cell is experiencing during exposure to MMS versus HU. These results
8 indicate a role for wild type Spn1 in overcoming replication stress caused by HU and utilizing
9 the TLS sub-pathway.

10 In cells expressing Spn1¹⁴¹⁻³⁰⁵, the shift towards the error-free pathway results in a
11 significant decrease in genome instability. The decrease is lost upon deletion of *MMS2* in cells
12 expressing Spn1¹⁴¹⁻³⁰⁵, indicating the decreased mutation rates observed are dependent on the
13 error free sub-pathway of DDT. This is intriguing because it indicates wild type Spn1
14 contributes to genome instability.

15 In addition to decreased mutation rates in the *spn1*¹⁴¹⁻³⁰⁵ strain, we also observed increased
16 chronological longevity. As yeast age, the frequency of all types of mutations increases (MADIA
17 *et al.* 2007; LONGO and FABRIZIO 2012). Decreases in accumulated mutations are linked to a cell's
18 ability to process damaged DNA (primarily oxidative damage), decrease activity of the TLS
19 polymerases, control mitotic recombination rates and regulate metabolism (MADIA *et al.* 2009).
20 Cells lacking Sch9, a protein kinase, resulted in increased chronological longevity (LONGO and
21 FABRIZIO 2012), which is linked to the inactivation of the Rev1-Polζ polymerases and decreased
22 damage accumulation (MADIA *et al.* 2009; LONGO and FABRIZIO 2012). Decreased dependence on

1 the TLS sub-pathway resulting in decreased mutation accumulation could contribute to the
2 increased chronological lifespan observed in cells expressing Spn1¹⁴¹⁻³⁰⁵.

3 Our results show that Spn1 influences the DDT pathway, however a specific mechanism
4 remains to be determined. Chromatin structure, histone tail modification, DNA topography,
5 and DNA sequence all influence DDT pathway selection (GONZALEZ-HUICI *et al.* 2014; MEAS *et al.*
6 2015; HUNG *et al.* 2017). Deletion of the H3K79 methyltransferase Dot1 also results in
7 resistance to MMS (CONDE and SAN-SEGUNDO 2008). The loss of TLS inhibition resulting in MMS
8 resistance in *dot1Δ* was determined to be due to the loss of the methylase activity (CONDE *et al.*
9 2010). Interestingly, increased MMS resistance is observed in the *dot1Δ spn1¹⁴¹⁻³⁰⁵* strain
10 suggesting deregulation of both sub-pathways of DDT, possibly due to aberrant chromatin
11 structure. Chromatin in cells expressing Spn1¹⁴¹⁻³⁰⁵ is more resistant to micrococcal nuclease
12 digestion during activated *CYC1* transcription than wild type cells (Li *et al.* 2018), and Spn1
13 prevents the chromatin remodeler SWI/SNF from being recruited during repression of *CYC1*
14 transcription (ZHANG *et al.* 2008). Both human and yeast Spn1 associate with chromatin
15 throughout the cell cycle (KUBOTA *et al.* 2012; ALABERT *et al.* 2014; DUNGRRAWALA *et al.* 2015), and
16 human Spn1 was determined to be an early arriving chromatin component factor after DNA
17 was replicated (ALABERT *et al.* 2014). Thus, one possibility is that wild type Spn1, which is
18 capable of binding DNA, histone, and nucleosomes, aids in the creation and/or maintenance of
19 a chromatin architecture that tips the balance between error-prone and error-free DDT sub-
20 pathway utilization.

21

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5

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9

10 **Figure legends**

11 **Figure 1. Expression of Spn1¹⁴¹⁻³⁰⁵ suppresses sensitivity to the DNA damaging agent, methyl**
12 **methanesulfonate.** A) Schematic of Spn1 and Spn1¹⁴¹⁻³⁰⁵. B) Ten-fold serial dilutions of cells
13 expressing Spn1 (WT) or Spn1¹⁴¹⁻³⁰⁵ (141-305) were spotted onto YPD and YPD plates containing
14 camptothecin (CPT), hydrogen peroxide (H₂O₂) or increasing concentrations of MMS. For UV
15 damage, cells were spotted onto YPD plates and exposed to UV. C) Quantification of
16 immunoblot showing H2A S129 phosphorylation levels before and after exposure to 0.1% MMS
17 in cells expressing Spn1 or Spn1¹⁴¹⁻³⁰⁵. H2A S129 phosphorylation signal is normalized to TBP.
18 All ratios are compared to wild type grown in YPD, which is set to 1. Standard deviation is
19 calculated from 4-5 biological replicates.

20 **Figure 2. Resistance to MMS is dependent on a functional BER pathway and independent of**
21 **NER.** Ten-fold serial dilutions of cells expressing Spn1 (WT) or Spn1¹⁴¹⁻³⁰⁵ (141-305) in A) *mag1Δ*

1 and *apn1Δ* backgrounds and B) *rad26Δ* and *rad14Δ* backgrounds. Cells were grown on YPD and
2 MMS plates.

3 **Figure 3. Resistance to MMS is dependent on the error free branch of the DNA damage**
4 **tolerance pathway.** Ten-fold serial dilutions of cells expressing Spn1 (WT) or Spn1¹⁴¹⁻³⁰⁵ (141-
5 305) in A) TLS deletion background strains and B) error free deletion background strains.
6 Strains were grown and spotted onto YPD and MMS plates.

7 **Figure 4. Resistance to MMS is dependent on the homologous recombination factors.** Ten-
8 fold serial dilutions of cells expressing Spn1 (WT) or Spn1¹⁴¹⁻³⁰⁵ (141-305) in A) *rad51Δ*, *rad55Δ*
9 and *rad57Δ* backgrounds and B) *sgs1Δ* and *rmi1Δ* backgrounds. Strains spotted on YPD and YPD
10 plates containing MMS or HU.

11 **Figure 5: Expression of *spn1*¹⁴¹⁻³⁰⁵ increases chronological life span.** Each time point
12 represents the average cell viability at the number of days indicated for *SPN1* (squares; n=5)
13 and *spn1*¹⁴¹⁻³⁰⁵ (triangles; n=4).

TABLE 1.

Damage induced and spontaneous mutation rates of cells expressing Spn1 (WT) or Spn1¹⁴¹⁻³⁰⁵ (141-305) in *rev3Δ*, *mms2Δ* and *dot1Δ* strains.

MMS Induced Mutation Rate					
Strain	Mutation Rate ^a x 10 ⁻⁷	95% CI	Fold Change ^b	Number of Replicates	One Tailed ^c
<i>SPN1</i>	22.76	19.12 - 25.83	1X	21	
<i>spn1</i> ¹⁴¹⁻³⁰⁵	15.46	12.4 - 15.98	0.68	21	<0.0001
<i>rev3Δ</i>	8.42	15.09 - 4.61	1X	20	
<i>rev3Δ spn1</i> ¹⁴¹⁻³⁰⁵	5.06	3.66 - 5.89	0.60	21	0.0041
<i>mms2Δ</i>	289.63	250.24 - 391.05	1X	21	
<i>mms2Δ spn1</i> ¹⁴¹⁻³⁰⁵	240.62	180.9 - 334.16	0.83	21	0.0815
<i>dot1Δ</i>	90.01	77.06 - 102.78	1X	14	
<i>dot1Δ spn1</i> ¹⁴¹⁻³⁰⁵	63.13	58.29 - 67.8	0.70	13	0.0002
Spontaneous Mutation Rate					
Strain	Mutation Rate ^a x 10 ⁻⁷	95% CI	Fold Change ^b	Number of Replicates	One Tailed ^c
<i>SPN1</i>	1.2	0.96 - 1.59	1X	21	
<i>spn1</i> ¹⁴¹⁻³⁰⁵	0.64	0.44 - 0.84	0.53	21	<0.0001
<i>rev3Δ</i>	2.02	1.36 - 2.49	1X	7	
<i>rev3Δ spn1</i> ¹⁴¹⁻³⁰⁵	0.95	0.65 - 3.57	0.47	7	0.0189
<i>mms2Δ</i>	24.29	13.98 - 27.97	1X	14	
<i>mms2Δ spn1</i> ¹⁴¹⁻³⁰⁵	26	12.12 - 37.17	1.07	14	0.1344
<i>dot1Δ</i>	2.86	1.53 - 7.23	1X	7	
<i>dot1Δ spn1</i> ¹⁴¹⁻³⁰⁵	2.06	1.73 - 2.63	0.72	7	0.0318

a Mutation rates were calculated through the Lea-Coulson method of the median (LEA and COULSON 1949) in using the FALCOR web application (HALL *et al.* 2009).

b Reported fold change is the comparison of the mutation rate of cells expressing Spn1¹⁴¹⁻³⁰⁵ compared to wild type Spn1 in the corresponding strain background.

c Statistical significance was determined using the Mann-Whitney non-parametric t-test using GraphPad Prism software.

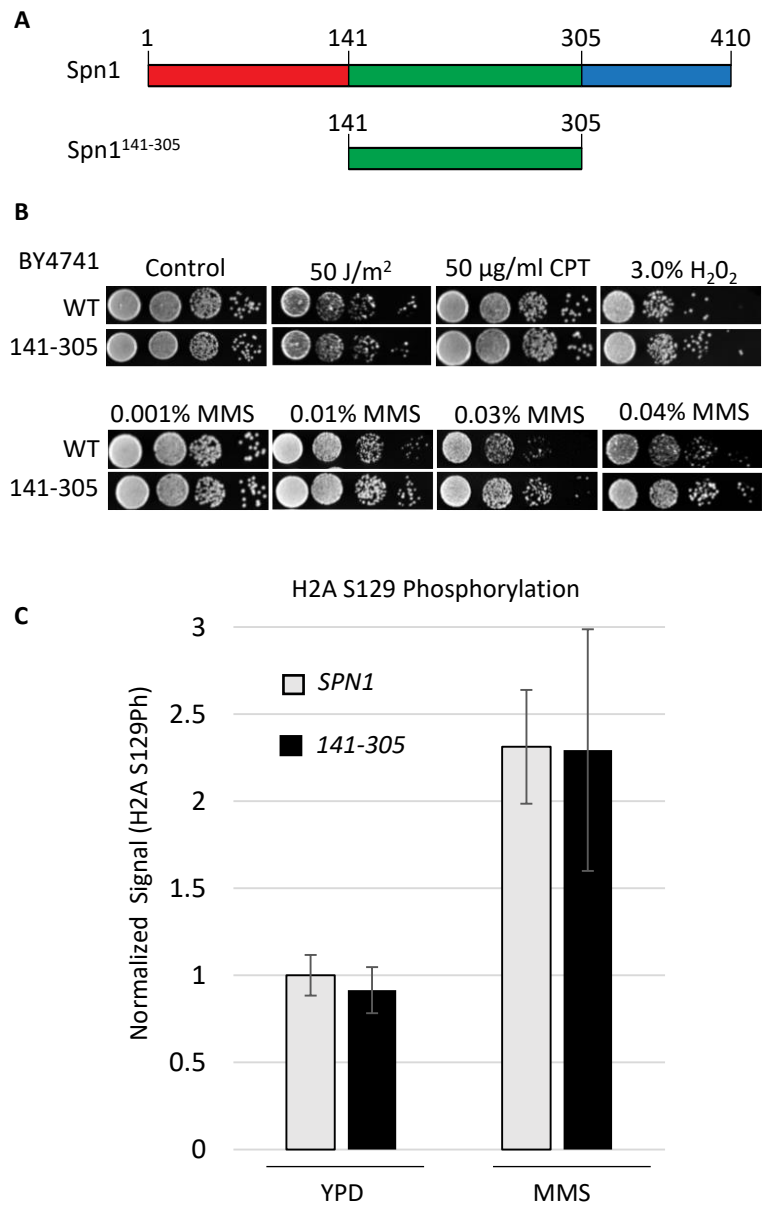


Figure 1

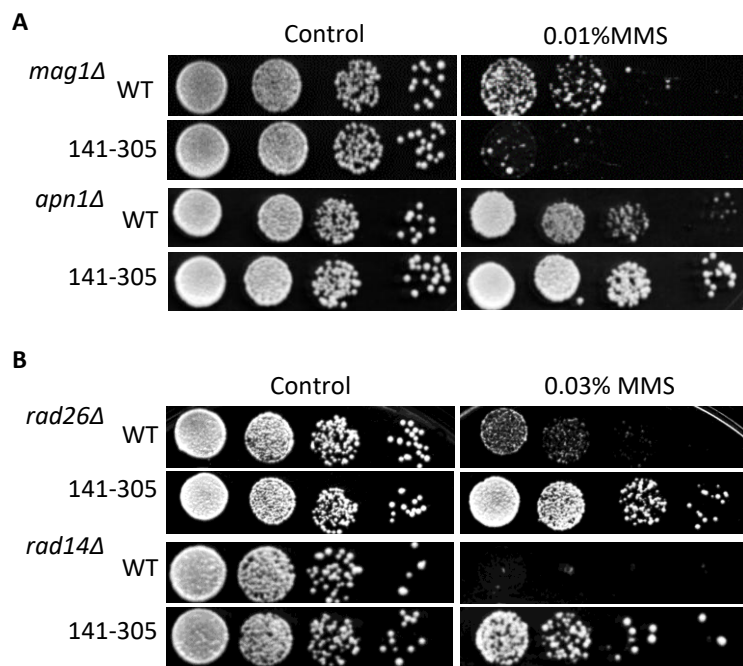


Figure 2

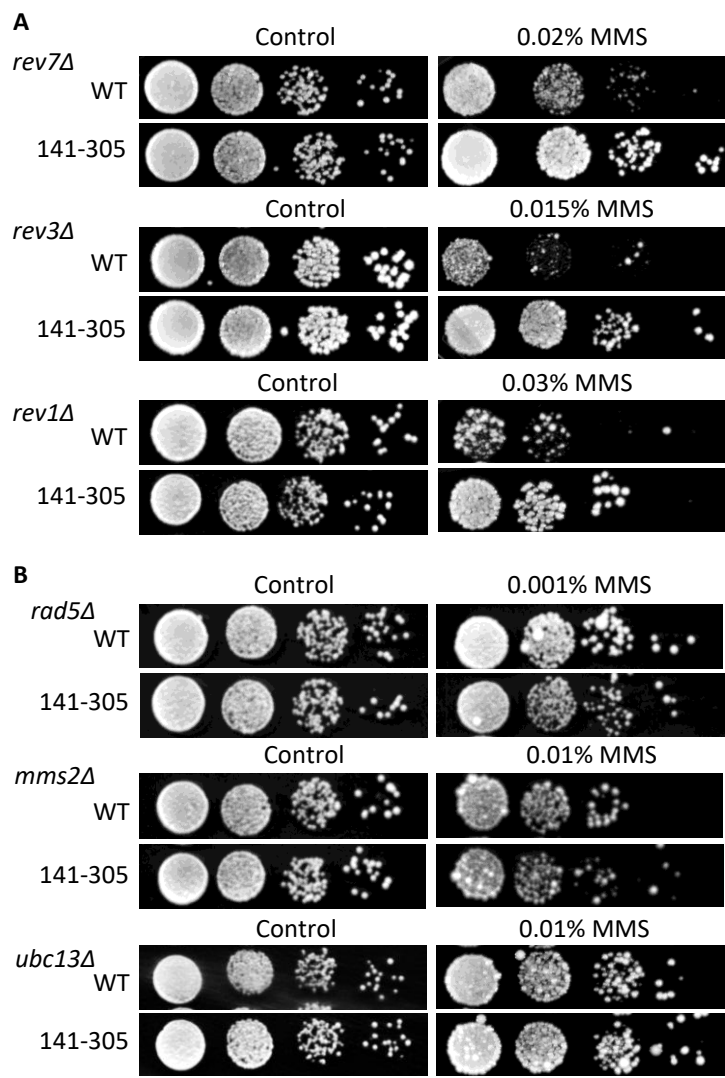


Figure 3

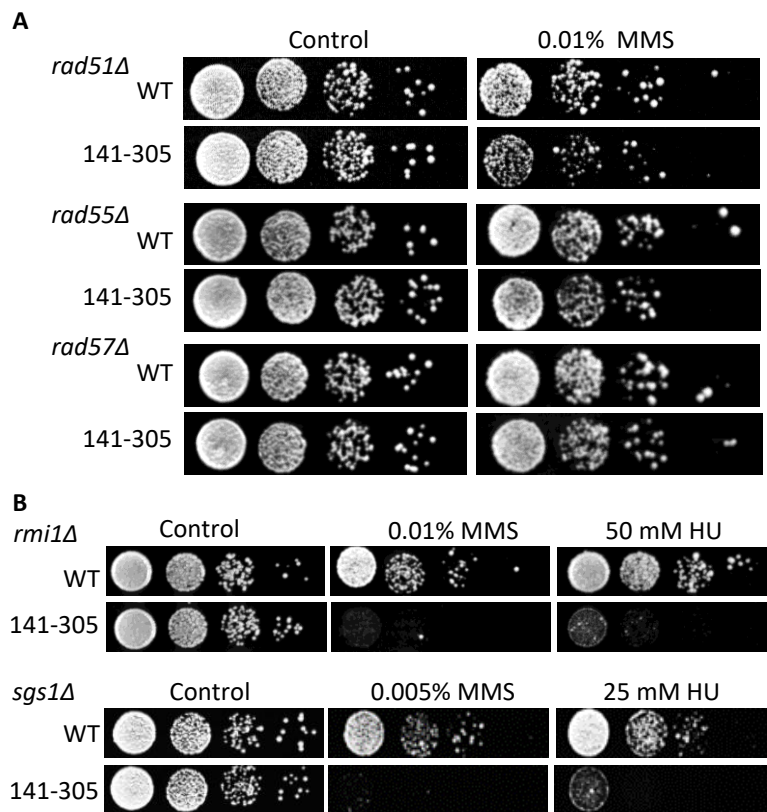


Figure 4

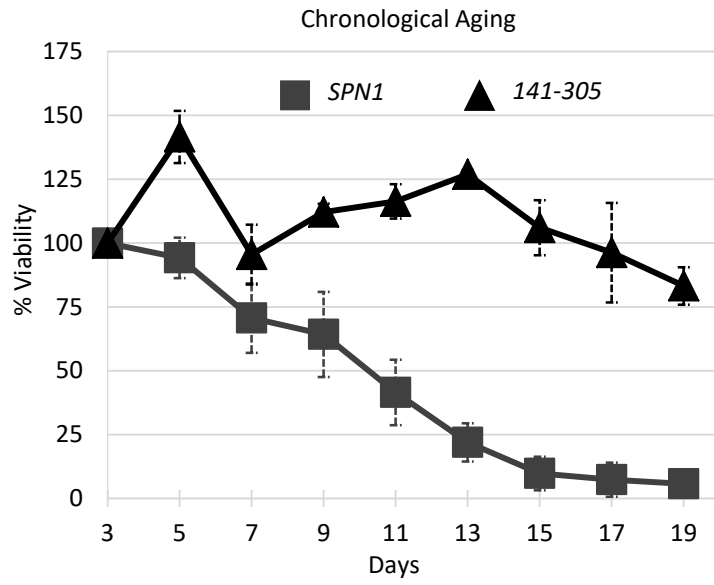


Figure 5