

1 **Deep sequencing of circulating exosomal microRNA allows non-invasive**
2 **glioblastoma diagnosis**

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4 Saeideh Ebrahimkhani, Fatemeh Vafae, Susannah Hallal, Heng Wei, Maggie Yuk T.

5 Lee, Paul E. Young, Laveniya Satgunaseelan, Brindha Shivalingam, Catherine M. Suter,

6 Michael E. Buckland and Kimberley L. Kaufman

7 1. Department of Neuropathology, Royal Prince Alfred Hospital, NSW, Australia (SE, HW, ML, LS, MEB, KLK)

8 2. BrainStorm Brain Cancer Research, Brain and Mind Centre, University of Sydney, NSW, Australia (SE, SH,
9 HW, ML, MEB, KLK)

10 3. Sydney Medical School, University of Sydney, NSW, Australia (SE, SH, MEB)

11 4. School of Biotechnology and Biomolecular Sciences, University of New South Wales, NSW, Australia (FV)

12 5. Division of Molecular Structural and Computational Biology, Victor Chang Cardiac Research Institute, NSW,
13 Australia (PY, CMS)

14 6. Tissue Pathology and Diagnostic Oncology, Royal Prince Alfred Hospital, NSW, Australia (LS)

15 7. Department of Neurosurgery, Chris O'Brien Lifehouse, NSW, Australia (BS)

16 8. Department of Neurosurgery, Royal Prince Alfred Hospital, NSW, Australia (BS)

17 9. Faculty of Medicine, University of New South Wales, NSW, Australia (CMS)

18 10. School of Life and Environmental Sciences, University of Sydney, NSW, Australia (KLK)

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22 *Corresponding Author:* Clinical A/Professor Michael E. Buckland

23 RPAH Neuropathology Department, Brain and Mind Centre

24 Level 7 94 Mallett St., Camperdown NSW 2050

25 E: michael.buckland@sydney.edu.au

26 T: +612 9114 4009 F: +612 9114 4020

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34 ABSTRACT

35 Exosomes are nano-sized extracellular vesicles released by many cells that contain
36 molecules characteristic of their cell-of-origin, including microRNA. Exosomes released
37 by glioblastoma cross the blood-brain-barrier into the peripheral circulation, and carry
38 molecular cargo distinct to that of ‘free-circulating’ miRNA. In this pilot study, serum
39 exosomal-microRNAs were isolated from glioblastoma ($n=12$) patients and analyzed
40 using unbiased deep sequencing. Results were compared to sera from age- and gender-
41 matched healthy controls, and to grades II-III ($n=10$) glioma patients. Significant
42 differentially expressed microRNAs were identified, and the predictive power of
43 individual and subsets of microRNAs were tested using univariate and multivariate
44 analyses. Additional sera from glioblastoma patients ($n=4$) and independent sets of
45 healthy ($n=9$) and non-glioma ($n=10$) controls were used to further test the specificity and
46 predictive power of this unique exosomal-microRNA signature. Twenty-six microRNAs
47 were differentially expressed in serum exosomes from glioblastoma patients’ relative to
48 healthy controls. Random forest modeling and data partitioning selected seven miRNAs
49 (miR-182-5p, miR-328-3p, miR-339-5p, miR-340-5p, miR-485-3p, miR-486-5p and
50 miR-543) as the most stable for classifying glioblastoma. Strikingly, within this model,
51 **six** iterations of these miRNA classifiers could distinguish glioblastoma patients from
52 controls with perfect accuracy. The seven-miRNA panel was able to correctly classify
53 all specimens in validation cohorts ($n=23$). Also identified were 23 dysregulated
54 miRNAs in IDH^{MUT} gliomas, a partially overlapping yet distinct signature of lower
55 grade glioma. Serum exosomal-miRNA signatures can accurately diagnose glioblastoma
56 preoperatively. miRNA signatures identified are distinct from previously reported ‘free-
57 circulating’ miRNA studies in GBM patients, and appear to be superior.

58

59 INTRODUCTION

60 Malignant gliomas, particularly glioblastoma (GBM), represent the most lethal primary
61 brain tumors, owing in part to their highly infiltrative growth patterns. The World Health
62 Organization (WHO) guidelines sub-categorize glioma by histopathologic evaluation into
63 tumor grades I-IV, where GBM (grade IV) is the most aggressive and also the most
64 common. Despite surgery, radiation, and chemotherapy, essentially all GBM tumors
65 recur, at which point patients have reduced treatment options and worsening prognoses.
66 Compounding this aggressive cancer phenotype are challenges in monitoring responses to
67 treatment and tumor progression. While recent revisions to the Response Assessment in
68 Neuro-Oncology (RANO) criteria helps to standardize glioma tumor monitoring¹,
69 radiographic measurements can be unreliable and insensitive to early signs of treatment
70 failure and tumor relapse. Moreover, there are still difficulties deciphering pseudo-
71 progression and pseudo-responses in some patients. Brain biopsy and histologic analysis
72 can provide definitive diagnoses and evaluation of disease progression, however serial
73 biopsies are impractical given the cumulative surgical risk, and biopsied tissue may not
74 reflect the heterogeneity of GBM tumors.

75

76 An important step towards the provision of personalized GBM patient care is the ability to
77 assess tumors *in-situ*. As such, there is a real need for biomarkers that can measure
78 disease burden and treatment responses in GBM patients in a safe, accurate and timely
79 manner, and preferably before changes become clinically apparent. The recently
80 popularized idea of ‘liquid biopsy’ presents an ideal approach to monitor GBM tumor
81 load and evolution in response to treatment. If developed and implemented alongside new
82 treatments, such tests would provide useful surrogate endpoints and allow clinical trial
83 protocols to be more dynamic and adaptive.

84 Exosomes are nano-sized (30-100 nm) membrane-bound extracellular vesicles released by
85 all cells in both health and disease, and there is growing interest in their use as non-
86 invasive biomarkers for disease diagnosis and monitoring of disease recurrence². GBM-
87 derived exosomes circulate in the peripheral blood of patients, and can contain diagnostic
88 nucleic acid³. We recently described a GBM exosome protein signature⁴ and also showed
89 that GBM exosomes contain abundant, selectively packaged small non-coding RNAs
90 (sncRNAs)⁵. Using unbiased sncRNA deep sequencing, we identified several unusual
91 and/or completely novel sncRNAs within GBM exosomes *in vitro* as well as an
92 enrichment of microRNA (miRNA) implicated in oncogenesis, including miR-23a, miR-
93 30a, miR-221 and miR-451⁵. Thus, while GBM exosomal miRNA contents broadly
94 reflect their cell of origin, there is a unique profile of miRNAs within exosomes.

95
96 Some studies of exosomal miRNA in GBM patients have already been reported; these
97 studies utilized methods that focused on pre-defined and relatively small groups of
98 miRNA species. One previous study found that miR-21 levels in CSF exosomes of GBM
99 patients were up-regulated 10-fold compared to controls⁶, while another reported that
100 serum exosomal miR-320, mir-547-3p, and RNU6-1 were significantly associated with
101 GBM diagnosis, as well as outcome (RNU6-1)⁷. However, to date no comprehensive
102 analysis of the entire miRNA repertoire of serum exosomes in glioma patients has been
103 performed. Here, we have used unbiased next generation sequencing and an integrative
104 bioinformatics pipeline⁸ to assay the complete repertoire of exosomal-associated miRNAs
105 in the serum of patients with glioblastoma, lower grade gliomas, and healthy controls. We
106 describe a novel miRNA signature within serum exosomes that is highly predictive of pre-
107 operative GBM diagnosis. Furthermore, we show that this approach has potential for
108 describing unique miRNA signatures for distinct glioma entities.

109 RESULTS

110 *Characterization of serum exosomes isolated prior to miRNA sequencing*

111 Serum exosomes were isolated by size exclusion chromatography. The combined elution
112 fractions 8-10 showed particle sizes with a mean diameter 89.1 ± 2.5 nm and modal
113 diameter of 81.7 ± 5.5 nm (**Fig. 1a**). TEM confirmed the presence of similarly sized
114 particles with vesicular morphologies, characteristic of exosomes (**Fig. 1b**). MS analysis
115 confidently identified 1167, 861 and 636 proteins in qEV elution fractions 8, 9 and 10
116 from healthy serum, respectively (**Supp. Table 2**). Overall, 87 of the top 100 proteins
117 commonly identified in exosomes were confidently sequenced across the three fractions,
118 including all top 10 exosomal proteins (**Fig. 1c-1**). Primary sub-cellular localizations
119 included significant enrichments of ‘exosome’ and ‘blood microparticle’ related proteins
120 across all fractions, with minimal contamination from other compartments, including the
121 nucleolus (**Fig. 1c-2**) where certain miRNAs show specific nuclear enrichment⁹. Prior to
122 RNA extraction, serums were treated with RNaseA to remove circulating RNAs that may
123 confound measurements of exosomal RNAs⁸. RNA extracted from each sample yielded
124 profiles typical for exosomes, showing an absence of ribosomal RNA and enrichment of
125 small (<200 nt) RNA species (**Fig. 1d**).

126

127 *Insert Figure 1 here*

128

129 *Differentially expressed exosomal miRNAs in GBM patient sera*

130 Circulating exosomal miRNA profiles from patients with histopathologically confirmed
131 IDH^{WT} GBM ($n=12$) were compared to age- and gender-matched healthy controls ($n=12$;
132 see **Table 1A** for discovery cohorts; **Table 1B** for validation cases). We employed three
133 statistical approaches (Student’s *t*-test, Fisher’s exact, Wilcoxon rank sum) to identify a

134 discovery set of differentially expressed miRNA biomarkers. miRNA biomarkers were
 135 identified if their differential expression met a fold change ≥ 2 in either direction and
 136 unadjusted p -values ≤ 0.05 in all statistical tests applied. Using this approach, we identified
 137 26 miRNAs significantly dysregulated between healthy controls and GBM patients
 138 (**Table 2; Fig. 2-a**; normalized miRNA counts are available in **Supp. Table 3** and
 139 differential expression analysis in **Supp. Table 4A**).

140

141 **Table 1A:** Overview of cohorts used for discovery miRNA analyses.

142

	GBM, IDH^{WT}	GBM-matched HC	GII-III, IDH^{MUT}	GII-III-matched HC
Sample n	12	12	10	10
Age (mean \pm SD)	63.3 \pm 11.5	56.2 \pm 12.4	42.9 \pm 12.7	42.7 \pm 10.2
Gender	7M, 5F	7M, 5F	6M, 4F	6M, 4F

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144

145 **Table 1B:** Additional patients and cohorts used for validation

146

Patient/cohort	Age	Gender	Diagnosis	Notes
GBM1_relapse	46	M	GBM IV	Pre-operative blood taken after recurrence of GBM1 (8-month relapse)
GBM12_prior	45	F	GBM IV	Pre-operative blood taken before removal of earlier GBM lesion (GBM12; 4.6 months prior)
GBM13	33	M	GBM IV	Glioblastoma, IDH ^{MUT} , WHO (2016) grade IV
GBM14	56	M	<i>high-grade glioma</i>	No surgery/tissue pathology performed, diagnosis based on repeat MRIs. Overall survival of 8.1 months.
GI_C	24	F	Ganglioglioma grade I	GFAP ⁺ in glial component/ NeuN ⁺ in neuronal component, IDH1 ^{WT} , ATRX ⁺ , BRAF(V600E) ⁺⁺⁺
HC ($n=9$)	36.2 \pm 10.3	5F, 4M	Healthy controls	-
MS ($n=9$)	35.3 \pm 10.4	5M, 4F	Relapse-remitting Multiple Sclerosis	All patients had active lesions; were untreated ($n=5$) or receiving different immunomodulatory therapies ($n=4$).

147

148 *For more detailed demographic, clinical and histopathologic information, please refer to*
 149 *Supplementary Tables 1A-C. The mean age with standard deviation is provided for each cohort.*
 150 *Abbreviations: F, female; GBM, glioblastoma; GII-III, glioma grade II-III; GI_C, Ganglioglioma*
 151 *grade I control case; HC, healthy controls; M, male; MS, multiple sclerosis control cohort*
 152

153

154 **Table 2.** Significant dysregulated miRNAs in serum exosomes from glioblastoma (GBM)
 155 patients ($n=12$) relative to healthy controls (HC; $n=12$).
 156

miRNA	CPM (GBM)	CPM (HC)	FC	Exact test	<i>t</i> -test	Wilcoxon	Error rate	AUROC	95% CI of AUROC
486-5p	25291.6	8522.6	3.0	1.6E-07*	4.0E-04*	1.0E-04*	0.149	0.924	(0.823, 1)
182-5p	2090.5	850.6	2.5	5.7E-07*	3.0E-04*	2.0E-04*	0.151	0.917	(0.808, 1)
486-3p	277.4	114	2.4	5.0E-06*	0.002*	3.0E-04*	0.149	0.910	(0.791, 1)
378a-3p	2083.2	875.2	2.4	1.4E-06*	0.003*	4.0E-04*	0.158	0.903	(0.783, 1)
183-5p	645.8	267.9	2.4	2.0E-05*	0.001*	0.001*	0.176	0.882	(0.749, 1)
501-3p	359.6	157.3	2.3	1.1E-05*	0.002*	0.001*	0.161	0.875	(0.726, 1)
20b-5p	594.6	266.3	2.2	2.9E-06*	0.002*	1.0E-04*	0.133	0.938	(0.834, 1)
106b-3p	2703.2	1215	2.2	3.9E-06*	0.001*	0.001*	0.160	0.889	(0.752, 1)
629-5p	896.8	415	2.2	0.001*	0.047	0.04	0.235	0.743	(0.532, 0.954)
185-5p	23250.5	11424.1	2.0	4.3E-05*	0.007*	0.005*	0.207	0.833	(0.670, 0.997)
25-3p	21838.8	10949.9	2.0	0.001*	0.002*	0.006*	0.199	0.826	(0.662, 0.991)
21-5p	73535.3	142796.9	-2.0	2.7E-04*	4.2E-05*	5.0E-05*	0.133	0.944	(0.862, 1)
7a-3p	82.1	176.3	-2.0	0.003*	0.005*	0.010*	0.187	0.806	(0.611, 1)
381-3p	190.5	397.9	-2.0	0.009*	0.012	0.012	0.220	0.799	(0.620, 0.977)
409-3p	1146.9	2242.5	-2.0	0.019	0.029	0.024	0.233	0.771	(0.575, 0.967)
7d-3p	1050.5	1912.9	-2.0	0.005*	0.013	0.017	0.209	0.785	(0.574, 0.996)
323b-3p	117.3	288.3	-2.4	0.004*	0.010*	0.004*	0.199	0.840	(0.665, 1)
328-3p	382.5	922.5	-2.5	4.6E-06*	2.0E-04*	2.2E-05*	0.117	0.958	(0.889, 1)
339-5p	90.1	234.8	-2.5	1.2E-06*	2.0E-04*	3.3E-05*	0.109	0.951	(0.864, 1)
340-5p	1536	3848.1	-2.5	4.8E-06*	1.0E-04*	5.0E-05*	0.134	0.944	(0.858, 1)
126-5p	1222.3	2947	-2.5	5.6E-06*	0.002*	0.001*	0.150	0.896	(0.767, 1)
130b-5p	111.9	248.9	-2.5	0.007*	0.009*	0.024	0.203	0.771	(0.556, 0.986)
493-5p	210	514.4	-2.5	0.010*	0.015	0.028	0.221	0.764	(0.561, 0.967)
543	223.1	753.2	-3.3	2.5E-06*	3.0E-04*	2.0E-04*	0.143	0.917	(0.808, 1)
654-3p	110.2	342.5	-3.3	2.2E-04*	0.009*	0.006*	0.193	0.826	(0.642, 1)
485-3p	93.2	352.3	-3.3	5.8E-07*	1.0E-04*	3.3E-05*	0.123	0.951	(0.876, 1)

157
 158 *Abbreviations: CPM, miRNA counts per million; FC, fold change; error rates estimated*
 159 *by leave-one-out cross validation; AUROC, area under the receiver operating*
 160 *characteristic; CI, confidence interval; Significant Benjamini & Hochberg adjusted p-*
 161 *values are indicated by asterisks.*
 162
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168 ***Functional analysis of dysregulated miRNAs in GBM***

169 We explored biological and canonical pathways associated with exosomal miRNAs
170 changing in GBM patient sera relative to healthy controls. The identities of 44 miRNAs
171 ($p\text{-value}\leq 0.05$ in all three tests; no fold change restriction) were uploaded into the IPA
172 environment to analyze molecular pathways overrepresented in their targets. The
173 dysregulated miRNAs target mRNAs that are significantly associated with ‘cancer’
174 ($1.96E^{-06} < p\text{-value} < 1.52E^{-16}$) and ‘neurological disease’ ($1.72E^{-06} < p\text{-value} < 8.76E^{-13}$) with
175 around half of targeted mRNAs implicated in GBM ($p\text{-value}=3.36E^{-12}$) and glioma
176 signaling pathways ($p\text{-value}=1.25E^{-09}$; **Fig. 2-b, Suppl.Fig.1**).

177

178 *Insert Figure 2 here*

179

180 ***Selection of signature miRNA classifiers for preoperative GBM diagnosis***

181 The predictive power of each miRNA was estimated using LR models, in which
182 individual miRNA expression profiles were used as predictors. ROC curves were
183 determined and AUROC measures were ≥ 0.74 across the 26 dysregulated miRNAs.
184 The 95% confidence intervals corresponding to AUROC estimates did not contain the
185 null hypothesis value (AUROC=0.5 for a random prediction) indicating that all 26
186 miRNAs are statistically accurate univariate diagnostic predictors of GBM (**Table 2;**
187 **Supp.Fig.2**). *In silico* validation by LOO-CV correctly identified the test sample on
188 average 83% of the time (range 77–89%). We then used partitioning (70% training and
189 30% test) and Random Forest multivariate modeling to determine whether expression
190 patterns of a subset of differentially expressed miRNAs could improve the predictive
191 power. Using these methods, seven miRNAs (miR-182-5p, miR-328-3p, miR-339-5p,
192 miR-340-5p, miR-485-3p, miR-486-5p and miR-543) distinguished GBM patients from

193 healthy subjects in more than 75% of the random data partitions and were selected as
194 the most 'stable' miRNA classifiers (**Fig.3a-b**). The RF model was repeated using all
195 iterations of the seven most stable miRNAs and achieved an overall predictive power
196 of 91.7% for classifying GBM patients from healthy controls (**Fig.3c**). The diagnostic
197 accuracies of all possible combinations of the seven miRNAs were determined using
198 AUROC measures along with the corresponding 95% confidence intervals (**Fig.3d**;
199 **Supplementary Table 5**). Strikingly, six miRNA combinations were able to distinguish
200 GBM patients from healthy controls with perfect accuracy (**Fig. 3e**).

201

202 To assess the temporal stability of the GBM miRNA signature in the same patients, we
203 tested preoperative sera collected at a GBM recurrence (GBM1 patient relapsed and
204 required additional surgery after 8 months) and from an earlier GBM lesion (excised 4.6
205 months before GBM12; **Table 1B**). Using the panel of seven exosomal miRNAs, both
206 GBM1-*relapse* and GBM12-*prior* were classified as GBM, in line with diagnostic
207 histopathology. We also tested two independent samples, including a patient diagnosed
208 with IDH^{MUT} GBM (GBM13) and a patient diagnosed with 'high-grade glioma' based on
209 repeat MRIs and overall survival of 8.1 months (GBM14; see **Table 1B**). Both GBM13
210 and GBM14 were classified as GBM using the miRNA panel.

211

212 To further test the specificity of the GBM miRNA signature, we assessed its ability to
213 distinguish GBM patients from additional healthy subjects and non-glioma disease
214 controls. The panel accurately classified all additional healthy subjects ($n=9$; **Table 1B**) as
215 well as a patient with ganglioglioma WHO (2016) grade I, a slow-growing, benign brain
216 tumor with glioneuronal components (GIC-1). Next, we assessed the impact of
217 neuroinflammatory disease processes on the specificity of our exosomal miRNA panel

218 ability. The bioinformatics analysis above showed that dysregulated miRNAs also target
219 mRNAs significantly associated with autoimmune rheumatoid arthritis and broadly to
220 ‘neurological disease’ (**Fig. 2-b**). Our GBM miRNA panel was used to discriminate
221 patients with the inflammatory autoimmune disease, multiple sclerosis (MS). Sera were
222 sampled from MS patients with active gadolinium enhancing demyelinating lesions, either
223 untreated or receiving immunomodulatory therapies ($n=9$; **Table 1B**). All MS patients
224 were classified as controls, indicating the robustness of our exosomal miRNA signature
225 for GBM identification.

226 *Insert Figure 3 here*

227

228 *miRNAs dysregulated in IDH-mutant grade II-III gliomas provide additional markers*
229 *for glioma severity and IDH mutational status*

230 We then compared serum exosome miRNA profiles between IDH^{MUT} grade II-III glioma
231 patients ($n=10$; mean age=42.7) and matched healthy controls ($n=10$; mean age=42.9; see
232 **Table 1B**) and identified 23 differentially expressed miRNAs (fold change ≥ 2 ; unadjusted
233 $p<0.05$ in all three tests; **Supp.Table 4b.**). Of these, 12 miRNAs were shared with the
234 GBM analysis and showed the same direction of change (**Fig. 4-a**). AUROC curve
235 measures were ≥ 0.78 (average 0.88) across the 23 dysregulated miRNAs, and LOO-CV
236 correctly identified the test sample on average 83% of the time (range 77–88%;
237 **Supp.Table 5a.; Supp.Fig. 3a-b**). RF modeling performed on partitioned data selected
238 miR-7d-3p, miR-98-5p, miR-106b-3p, 130b-5p and 185-5p as the most stable features
239 for classifying grade II-III glioma patients from healthy participants, with a predictive
240 power of 75.0% (**Fig. c-1.; Suppl.Fig.3c**). The most stable miRNAs for classifying GII-
241 III IDH^{MUT} from healthy controls were distinct from GBM IDH^{WT} signature miRNAs
242 (**Fig.s 4b-1 and 4b-2**).

243

244 The sncRNA data was further interrogated to ascertain whether a subset of miRNAs
245 showed potential for distinguishing glioma disease severity or IDH mutational status.
246 Direct comparisons between GBM IDH^{WT} and GII-III IDH^{MUT} patients revealed 13
247 differentially expressed miRNAs (fold change \geq 2; unadjusted p <0.05 in all three tests;
248 **(Fig. 4c-1.; Supp.Table 4c)**. AUROC curve measurements were \geq 0.78 (average 0.84)
249 across the 13 dysregulated miRNAs and LOO-CV correctly identified the test sample on
250 average 80% of the time (range 76–86%; **Supp.Table 5b.; Supp.Fig. 4a-b**). Numbers of
251 significant miRNA were too few to perform partitioning, so a single RF model was
252 constructed from all 13 dysregulated miRNAs that showed an estimated predictive power
253 of 77.4% **(Fig. 4c-2.)** Interestingly, three of the top four features that discriminate GBM
254 IDH^{WT} from GII-III IDH^{MUT} are members of the GBM miRNA signature (i.e., miR-
255 543, miR-485-3p and miR-486-3p), changing only in GBM patient sera relative to
256 healthy participants (indicated by asterisks in **Fig. 4**).

257

258 *Insert Figure 4 here*

259 DISCUSSION

260 Using unbiased high-throughput next generation sequencing and an integrative
261 bioinformatics pipeline⁸, we have identified differentially expressed serum exosomal
262 miRNAs that discriminate GBM patients from healthy controls. Machine-learning
263 approaches on miRNAs were used to examine their individual and shared predictive
264 abilities for a pre-operative GBM diagnosis via a blood test. Of the 26 differentially
265 expressed miRNAs in GBM patients' relative to healthy controls, we selected a stable
266 signature panel of seven miRNAs. Together, expression levels of miR-182-5p, miR-328-
267 3p, miR-339-5p, miR-340-5p, miR-485-3p, miR-486-5p and miR-543 predicted a

268 preoperative GBM diagnosis with a 91.7% accuracy. Within this multivariate model a
269 combination of just four miRNAs (miR-182-5p, miR-328-3p miR-485-3p miR-486-5p)
270 distinguished GBM patients from healthy controls with perfect accuracy (100.0%).

271

272 There have been multiple studies examining ‘free-circulating’ miRNAs in glioma patients
273 with varying success. A recent meta-analysis of these studies found the specificity and
274 sensitivity of circulating miRNAs was 0.87 and 0.86, respectively, while noting the large
275 heterogeneity of circulating miRNAs within the included studies ¹⁰. The heterogeneity is
276 likely due to differences in data normalization used in qRT-PCR studies, with no
277 universally accepted endogenous housekeeping control ¹⁰. Interestingly, the majority of
278 miRNAs identified in our exosomal signature have not been previously identified in ‘free-
279 circulating’ studies. This is consistent with the notion that exosomes represent a distinct
280 pathway of nucleic acid release from cells, and contain selectively packaged miRNA
281 species ⁵. We have previously shown the effects of RNase pre-treatment of serum prior to
282 exosome isolation, as performed in this study, drastically alters the miRNA profiles
283 identified, presumably due to eradication of co-precipitated ‘free-circulating’ miRNAs ⁸.
284 Moreover, normalization of deep sequencing data is not dependant on comparison to a
285 reference signal or housekeeping gene, potentially reducing variability in data analysis.

286

287 Functional pathway analysis of mRNA species targeted by exosomal miRNAs
288 dysregulated in GBM patient sera showed highly significant associations to specific GBM
289 molecular pathways. This provides confidence that the miRNA biomarkers resolved by
290 our methods are relevant to this particular disease setting. Previous studies have identified
291 roles for all seven GBM miRNA classifiers in various aspects of glioma and GBM
292 biology. miR-182, detected here in significantly higher levels in GBM sera, was proposed

293 as a marker of glioma progression, critical for glioma tumorigenesis, tumor growth and
294 survival *in vitro*^{11,12}, with high miR-182 tissue expression observed in GBM¹³ and
295 associated with poor overall survival¹⁴. Also in line with observations here, the up-
296 regulation of miR-486 was shown to promote glioma aggressiveness both *in vitro* and *in*
297 *vivo*¹⁵. Exosomal miRNAs identified with lower expression levels in GBM patient sera
298 are also substantiated by the literature. Functional assays indicate tumor suppressive roles
299 of miR-328¹⁶, miR-340^{17,18}, miRNA-485-5p¹⁹ and miR-543²⁰ with low levels observed in
300 tumor tissues relative to normal brain^{16,18-20} and low tissue expression levels significantly
301 associated to poor patient outcomes^{16,18}. While miR-339 (decreased levels in GBM
302 patients here) was shown to contribute to immune evasion of GBM cells by modulating
303 T-cell responses²¹, inhibitory roles for miR-339 were reported in acute myeloid
304 leukemia²², hepatocellular carcinoma²³, gastric²⁴, colorectal²⁵, breast²⁶ and ovarian
305 cancers²⁷.

306

307 The GBM miRNA signature was able to accurately classify all additional specimens in
308 the validation sets (healthy, $n=9$; non-glioma, $n=10$), including patients with gadolinium
309 enhancing active demyelinating lesions. Tumefactive demyelination is a well-recognized
310 mimic of GBM²⁸. The GBM signature also correctly classified four additional GBM
311 specimens, including two serial collections from patients within the discovery cohort as
312 well as two independent patients. This pilot study utilised a relatively small patient group,
313 and further testing is needed to determine whether the miRNA panel can reliably diagnose
314 GBM in large, independent patient cohorts. Moreover, the correlation between a positive
315 GBM classification and tumor burden needs to be addressed. To this end, longitudinal
316 studies should be pursued to assess whether the GBM miRNA panel can detect time
317 critical GBM tumor recurrences.

318

319 There is more than one pathological route to a GBM; primary and secondary GBMs are
320 distinct entities with IDH mutations considered a genetic signpost²⁹. The only patients
321 where early detection of a GBM tumor is likely are arguably those with diffuse and
322 anaplastic (grade II-III) gliomas who progress with a secondary GBM recurrence
323 (IDH^{MUT}). Accordingly, the identification of reliable and readily accessible circulating
324 progression markers is an important step towards precision medicine for patients
325 diagnosed with low grade gliomas. While the GBM miRNA signature was described in
326 serum exosomes from IDH^{WT} GBM patients, it was also able to categorize a patient with
327 IDH^{MUT} GBM (GBM13) from healthy participants. It is worth noting that miRNA
328 members of the GBM signature panel (specifically, increased miR-182-5p, decreased
329 miR339-5p and miR-340-5p) were also identified in the IDH^{MUT} GII-III comparative
330 analysis. Whether these miRNA changes are related to IDH mutational status, glioma
331 grade, or a combination of the two, cannot be delineated here. However, our multivariate
332 modeling did identify distinct panels of miRNAs for classifying GBM and glioma patients
333 from their corresponding matched healthy control cohorts. Moreover, three GBM
334 signature panel miRNAs that were unique to the GBM vs control comparative analysis
335 (increased miR-486-5p and decreased miR-485-3p and miR-543) were among the top
336 four features that distinguish GBM IDH^{WT} from GII-III IDH^{MUT} and therefore, might
337 be specific for GBM IDH^{WT} (indicated by asterisks in **Fig.4**). These encouraging results
338 demonstrate the potential for exosomal miRNA profiles to be used for glioma subtyping
339 and grading, including the determination of mutational states. Expansion of these
340 discovery analyses to include well defined cohorts of glioma subtypes with sufficient *n*,
341 will likely resolve biomarkers of more nuanced specificity.

342

343

344 SUMMARY

345 In summary, we have described a serum exosomal miRNA signature that can accurately
346 predict a GBM diagnosis, preoperatively. This pilot study demonstrates that exosomal
347 associated miRNAs have exceptional utility as biomarkers in the glioma disease setting. If
348 these exosomal biomarkers are able to offer non-invasive, early indications of tumor
349 progression and/or recurrence, they are likely to have significant clinical utility. These
350 exciting findings have significant potential to transform current diagnostic paradigms, as
351 well as provide distinct surrogate endpoints for clinical trials. Assessment of serum
352 exosomal miRNAs in larger longitudinal cohorts of patients with GBM are required to
353 definitely determine their utility in clinical practice, and these studies are currently
354 underway.

355

356

357

358

359 METHODS

360 *Participants*

361 Serum (1 mL) was accessed from the Neuropathology Tumor and Tissue Bank at Royal
362 Prince Alfred Hospital, New South Wales, Australia (Sydney Local Health District HREC
363 approval, X014-0126 & HREC/09RPAH/627). Twenty-six serum specimens were
364 collected pre-operatively from patients with histologically confirmed glioma tumors,
365 including 16 with GBM, IDH-wildtype (IDH^{WT}) WHO (2016) grade IV, and 10 patients
366 with grade II-III IDH-mutant (IDH^{MUT}) gliomas (refer to **Table 1**; **Supp. Table 1** for more
367 detailed information). Age- and gender-matched healthy control sera ($n=16$) were used for

368 discovery miRNA analyses. Sera from an additional nine healthy controls and ten non-
369 glioma patients (including active MS, $n=9$, and ganglioglioma, $n=1$) were used to test the
370 GBM miRNA signature. This study was performed under RPAH, and USYD HREC
371 approved protocols (#X13-0264 and 2012/1684), and all participants provided written
372 informed consent. All methods were performed in accordance with the relevant guidelines
373 and regulations.

374

375 ***Exosome purification and characterization***

376 Exosomes were isolated from serum as previously described⁸. Briefly, serum (1 mL from
377 each subject) was treated with RNase A (37 °C for 10 min; 100 ng/ml; Qiagen,
378 Australia) before exosome purification by size exclusion chromatography (qEV iZONE
379 Science). Ten fractions (500 µL) were eluted in PBS, as per manufacturer's instructions.
380 Fractions 8, 9, and 10 were previously shown to contain purified exosome populations⁸
381 and were collected and stored at -80 °C. Captured exosomes were characterized in
382 accordance with the criteria outlined by the International Society for Extracellular
383 Vesicles (ISEV)³⁰. Specifically, we identified more than three exosome-enriched proteins
384 by mass spectrometry proteome profiling and characterized vesicle heterogeneity using
385 two technologies, transmission electron microscopy (TEM) and nanoparticle tracking
386 analysis (NTA).

387

388 *Transmission electron microscopy:* Combined qEV-captured fractions 8-10 were loaded
389 onto carbon-coated, 200 mesh Cu formvar grids (#GSCU200C; ProSciTech Pty Ltd,
390 QLD, Australia), fixed (2.5% glutaraldehyde, 0.1 M phosphate buffer, pH7.4), negatively
391 stained with 2% uranyl acetate for 2 min and dried overnight. Exosomes were visualised
392 at 40,000 X magnification on a Philips CM10 Biofilter TEM (FEI Company, OR, USA)

393 equipped with an AMT camera system (Advanced Microscopy Techniques, Corp., MA,
394 USA) at an acceleration voltage of 80 kV.

395

396 *Nanoparticle tracking analysis:* Particle size distributions and concentrations were
397 measured by NTA software (version 3.0) using the NanoSight LM10-HS (NanoSight Ltd,
398 Amesbury, UK), configured with a 532-nm laser and a digital camera (SCMOS Trigger
399 Camera). Video recordings (60 s) were captured in triplicate at 25 frames/s with default
400 minimal expected particle size, minimum track length, and blur setting, a camera level of
401 10 and detection threshold of 5.

402

403 *Proteome analysis of exosomal preparations:* Serum exosome fractions 8, 9 and 10 were
404 prepared for mass spectrometry (MS)-based proteomic analysis. Proteomes were
405 concentrated using chloroform-methanol precipitation, dissolved in 90% formic acid
406 (FA), their concentrations estimated at 280 nm using a Nanodrop (ND-1000, Thermo
407 Scientific, USA) and aliquots dried using vacuum centrifugation. Proteomes were then
408 processed and quantified as before³¹. Peptides from each fraction were desalted using
409 C18 ZipTipsTM, concentrations estimated by Qubit quantitation (Invitrogen), dried by
410 vacuum centrifugation and re-suspended in 3% acetonitrile (ACN; v/v)/0.1% formic acid
411 (v/v). Samples (0.5 µg) from exosome elution fractions 8-10 were separated by nanoLC
412 using an Ultimate nanoRSLC UPLC and autosampler system (Dionex) before analyzed on
413 a QExactive Plus mass spectrometer (Thermo Electron, Bremen, Germany) as previously
414 described³¹. MS/MS data were analyzed using Mascot (Matrix Science, London, UK;
415 v2.4.0) with a fragment ion mass tolerance of 0.1 Da and a parent ion tolerance of 4.0
416 PPM. Peak lists were searched against a SwissProt database (2017_11), selected for
417 *Homo sapiens*, trypsin digestion, max. 2 missed cleavages, and variable modifications

418 methionine oxidation and cysteine carbamidomethylation. Exosome proteins were
419 annotated using Vesiclepedia (<http://microvesicles.org>)³² and Functional Enrichment
420 Analysis Tool (FunRich; v2.1.2; <http://funrich.org>)³³.

421

422 ***RNA extraction and small RNA sequencing***

423 Serum exosomes were processed for RNA extraction using the Plasma/Serum Circulating
424 & Exosomal RNA Purification Mini Kit (Norgen Biotek, Cat. 51000) according to the
425 manufacturer's protocol. Extracted total RNA samples were analyzed with a Eukaryote
426 Total RNA chip on an Agilent 2100 Bioanalyser (Agilent Technologies, United States) to
427 confirm sufficient yield, quality and size of RNA. Exosome RNA sequencing libraries
428 were then constructed using the NEBNext Multiplex Small RNA Library Prep Kit for
429 Illumina (BioLabs, New England) according to the manufacturer's instructions. Yield and
430 size distribution of resultant libraries were validated using Agilent 2100 Bioanalyzer on a
431 High-sensitivity DNA Assay (Agilent Technologies, United States). Libraries were then
432 pooled with an equal proportion for multiplexed sequencing on Illumina HiSeq. 2000
433 System at the Ramaciotti Centre for Genomics.

434 ***Data pre-processing, differential expression analysis and pathway analysis***

435 Data pre-processing was performed using a pipeline comprising of adapter trimming
436 (cutadapt), followed by genome alignment to human genome hg 19 using Bowtie (18 bp
437 seed, 1 error in seed, quality score sum of mismatches<70). Where multiple best strata
438 alignments existed, tags were randomly assigned to one of those coordinates. Tags were
439 annotated against mirBase 20 and filtered for at most one base error within the tag. Counts
440 for each miRNA were tabulated and adjusted to counts per million miRNAs passing the
441 mismatch filter. All samples achieved miRNA read counts >45,000 read counts and
442 miRNAs with low abundance (<50 read counts across more than 20% of samples) were

443 removed. Differential expression analysis was performed using three different statistical
444 hypothesis tests including a non-parametric two-sample Wilcoxon test and two parametric
445 tests- Student's *t*-test, and an Exact test (implemented in Bioconductor EdgeR), which
446 tests for differences between the means of two groups of negative-binomially distributed
447 counts. Benjamini & Hochberg adjusted *p*-values were also calculated. Data pre-
448 processing and differential expression analysis were performed using Bioconductor and R
449 statistical packages. Pathway analysis was performed using Ingenuity® software
450 (Ingenuity Systems, USA; <http://analysis.ingenuity.com>). MicroRNA target filters were
451 applied to significant, differentially expressed miRNAs (unadjusted *p*-value \leq 0.05 in all
452 three statistical methods) and mRNA target lists were generated based on highly predicted
453 or experimentally observed confidence levels. Core expression analyses were performed
454 with default criteria to determine the most significant functional associations (biological
455 and canonical pathways) of mRNAs targeted by dysregulated miRNAs.

456

457 *Univariate analysis*

458 We performed logistic regression (LR) and receiver operator characteristic (ROC)
459 analysis to assess the predictive power of individual miRNAs between the two groups of
460 interest. LR was used to identify linear predictive models with each miRNA as the
461 univariate predictor. The quality of each model was depicted by the corresponding ROC
462 curve, which plots the true positive rate (i.e., sensitivity) against the false-positive rate
463 (i.e., 1-specificity). The area under the ROC curve (AUROC) was then computed as a
464 measure of how well each LR model can distinguish between two diagnostic groups. The
465 95% confidence intervals (CI) of AUROC measures were estimated using Delong
466 method³⁴ to assess the significance of a model's predictive power as compared to a
467 random trial (i.e., AUROC = 0.5). We then used leave-one-out cross-validation (LOO-

468 CV) to estimate the prediction errors of the LR models. LOO-CV learns the model on all
469 samples except one and tests the learnt model on the left-out sample. The process is
470 repeated for each sample and the error rate is the proportion of misclassified samples.
471 Overall, cross validation is a powerful model validation technique for assessing how the
472 results of a statistical analysis can be generalized to an independent dataset³⁵. These
473 analyses were performed using R stats (glm) and boot (cv.glm) packages.

474

475 ***Multivariate Analysis***

476 To assess the predictive power of multiple miRNAs as disease signatures, samples were
477 first randomly partitioned into two disjoint sets of *discovery* (70% of samples) and
478 *validation* (30% of samples). MiRNAs differentially expressed in the discovery set (i.e.,
479 changes increased or decreased by fold change ≥ 2 and unadjusted p -value ≤ 0.05 in all three
480 statistical hypothesis tests) were then selected as features/predictors of *Random Forest*
481 (RF) multivariate predictive model. RF is a multivariate nonlinear classifier that operates
482 by constructing a multitude of decision trees at training time in order to correct for the
483 overfitting problem³⁶. RF was trained on the *discovery* set and the resultant predictive
484 model was then used to predict GBM or GII-III patients *versus* healthy controls based on
485 the read count values of identified miRNAs in *validation* samples. For statistical rigour, to
486 account for random partitioning of the samples into discovery and validation sets, the
487 whole process was repeated 100 times. We then chose *stable* miRNAs—i.e., those
488 identified to be differentially expressed in more than 75% of iterations—as predictors of
489 an RF model using all samples and the AUROC with 95% CI as well as out-of-bag
490 (OOB) error was reported as an unbiased estimates of the model predictive power. The
491 ‘*importance*’ or relative contribution of each feature (differentially expressed miRNAs) in
492 the RF performance was then estimated based on the ‘*mean decrease accuracy*’ measure

493 as discussed in³⁷. All analyses were performed using R ‘caret’ and ‘RandomForest’
494 packages.

495

496 ***Data Availability***

497 Exosomal miRNA raw data *will be* accessible at NCBI Gene Expression Omnibus
498 (GEO; *accession number to be provided*). In the interim, the miRNA sequencing data is
499 available at: https://github.com/VafaeLab/glioblastoma_exosomal_miR_markers.

500 Normalised data used for statistical analysis is provided in Supplementary Table 3.

501

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504

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508

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510

511 *Contributions:* All authors contributed to manuscript preparation and approve the
512 submission of the work presented here. Specific contributions are as follows: S.E.
513 performed technical work including serum processing, exosome purification, electron
514 microscopy, small RNA sequencing, data analysis, manuscript preparation. F.V. and P.Y.
515 developed and performed the bioinformatics analytical pipeline. S.H. performed technical
516 work including serum processing, nanosight particle tracking, and mass spectrometry.
517 H.W and M.Y.T.L characterized clinical cases, including molecular characterizations of

518 tumour tissue. L.S and B.S assisted with clinical sample procurement and case
519 characterization. C.M.S. assisted with small RNA sequencing protocols and data
520 interpretation. M.E.B. provided experimental design and data interpretation. K.L.K
521 provided experimental design, cohort characterisation, proteomics methods,
522 bioinformatics, data interpretation and presentation. M.E.B and K.L.K are guarantors of
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524

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643
644
645

646 FIGURE LEGENDS

647 **Figure 1.** Characterization of serum exosomes isolated in fractions 8-10 by size exclusion
648 chromatography prior to miRNA sequencing. **(a.)** Size distribution of particles as
649 analyzed by nanoparticle tracking analysis. **(b.)** Transmission electron microscopy
650 allowed visualization of vesicles with sizes ranging from 60-110 nm in diameter, scale
651 bars = 500 nm **(b-1., wide field)** and 200 nm **(b-2., close-up)**. **(c-1.)** Mass spectrometry-
652 based proteome analysis of size chromatographic elution fractions 8-10 identified all top
653 10 exosome marker proteins and **(c-2.)** showed significant enrichment of proteins
654 characteristic of exosomes and blood microparticles. Proteins identified in fractions 8-10
655 showed limited, non-significant associations to compartments like the nucleolus, where
656 certain miRNA species are concentrated. **(d.)** Bioanalyzer trace of RNA extracted from
657 serum exosomes shows the main population of small RNA and no ribosomal RNA.

658

659 **Figure 2. (a.)** Hierarchical clustering of 26 differentially expressed miRNAs shows
660 clear separation of glioblastoma (GBM) patients and healthy control (HC) exosomal
661 profiles (fold change ≥ 2 or ≤ 0.5 ; unadjusted p -values ≤ 0.05 in all three statistical tests).
662 **(b.)** Functional pathway analysis of mRNAs targeted by 44 significantly changing
663 miRNA (unadjusted p -values ≤ 0.05 in all three statistical tests) in GBM circulating
664 exosomes. Top canonical pathways, diseases and disorders and molecular and cellular
665 functions are listed with the numbers of overlapping molecules and significance of
666 associations (right-tailed Fisher exact test, p -value).

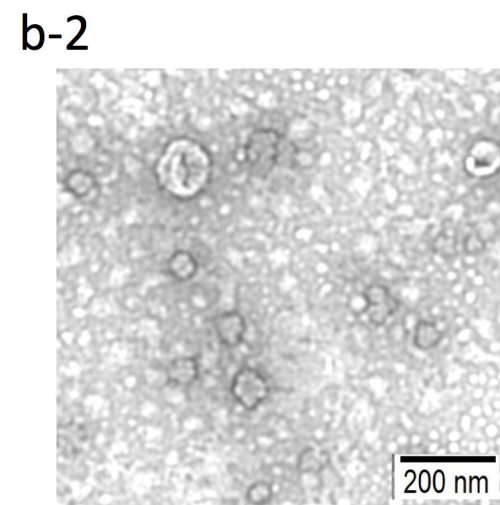
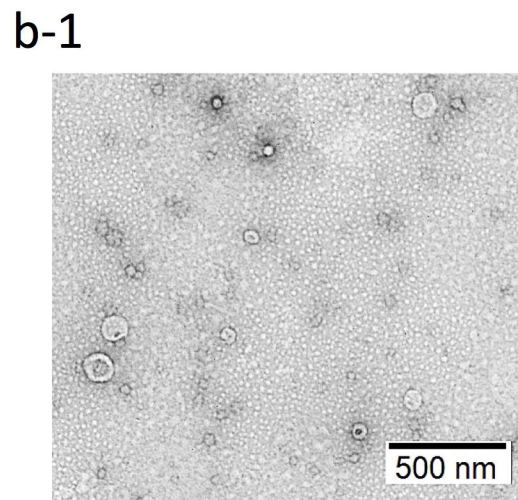
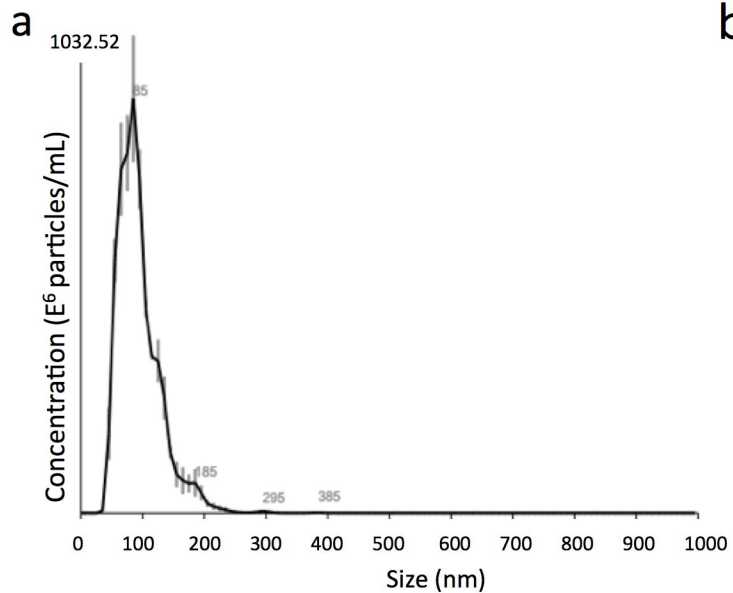
667

668 **Figure 3. (a.)** miRNAs appearing in >75 of 100 partitions (70% training set, 30% test set)
669 were selected as the most stable miRNA classifiers by Random Forest modeling
670 (frequencies are specified in brackets). **(b.)** Box-and-whisker plots and receiver operator

671 characteristic curves with area under the curve (AUROC) calculations demonstrate the
672 individual discriminatory power of the seven most stable miRNA classifiers. **(c.)** miRNAs
673 were ordered by the importance of their contribution to discriminating GBM from
674 [healthy] controls; overall out-of-the-bag (OOB) error rate of the seven features was
675 8.33%. **(d.)** AUROC measures of all possible combinations of the seven miRNAs
676 previously identified to be the most stable predictors, stratified by the number of
677 miRNAs (signature size) and their distributions and displayed as violin plots. **(e.)**
678 miRNA signatures that discriminate between GBM and healthy controls with the
679 perfect accuracy.

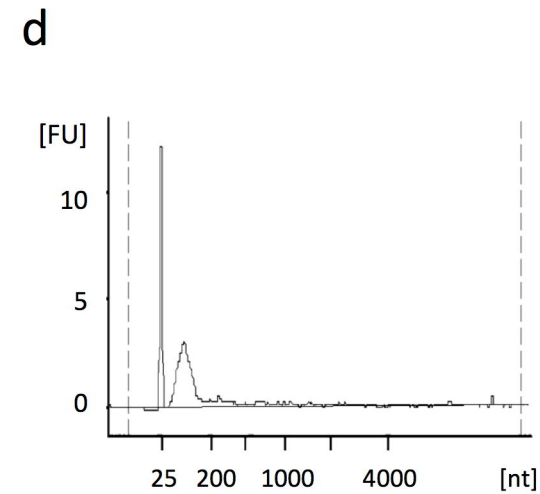
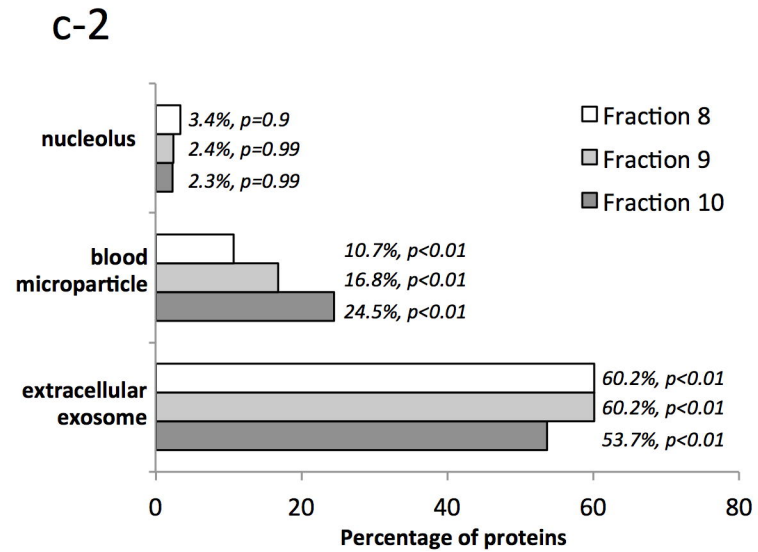
680

681 **Figure 4. (a.)** A Venn diagram summarizes the differentially expressed miRNAs between
682 IDH^{MUT} glioma tumor grades II-III (GII-III; $n=10$), IDH^{WT} glioblastoma (GBM; $n=12$)
683 and corresponding age- and gender-matched healthy controls (HC; fold change ≥ 2 or ≤ 0.5 ;
684 unadjusted p -values ≤ 0.05 in all three statistics tests, i.e., Exact, t -test and Wilcoxon), with
685 12 overlapping differentially expressed miRNAs. Decreased expression is indicated in
686 blue and increased expression in red. The most stable miRNAs for classifying **(b-1.)**
687 GII-III IDH^{MUT} and **(b-2.)** GBM IDH^{WT} from HCs are listed and show distinct features.
688 **(c-1.)** Summary of differentially expressed miRNAs between the GBM IDH^{WT} and GII-
689 III IDH^{MUT} cohorts and **(c-2.)** plot of ‘importance’ of each individual miRNA for
690 discriminating GBM from GII-III; out-of-the-bag (OOB) error rate is 22.73%. Three of
691 the top four features that distinguish GBM IDH^{WT} from GII-III IDH^{MUT} were only
692 identified in the GBM vs. HC comparative analysis, are members of the GBM miRNA
693 signature that together accurately classify GBMs from HCs and may be specific
694 markers for GBM (indicated by asterisks in **a., b-2., c-1., and c-2.**).

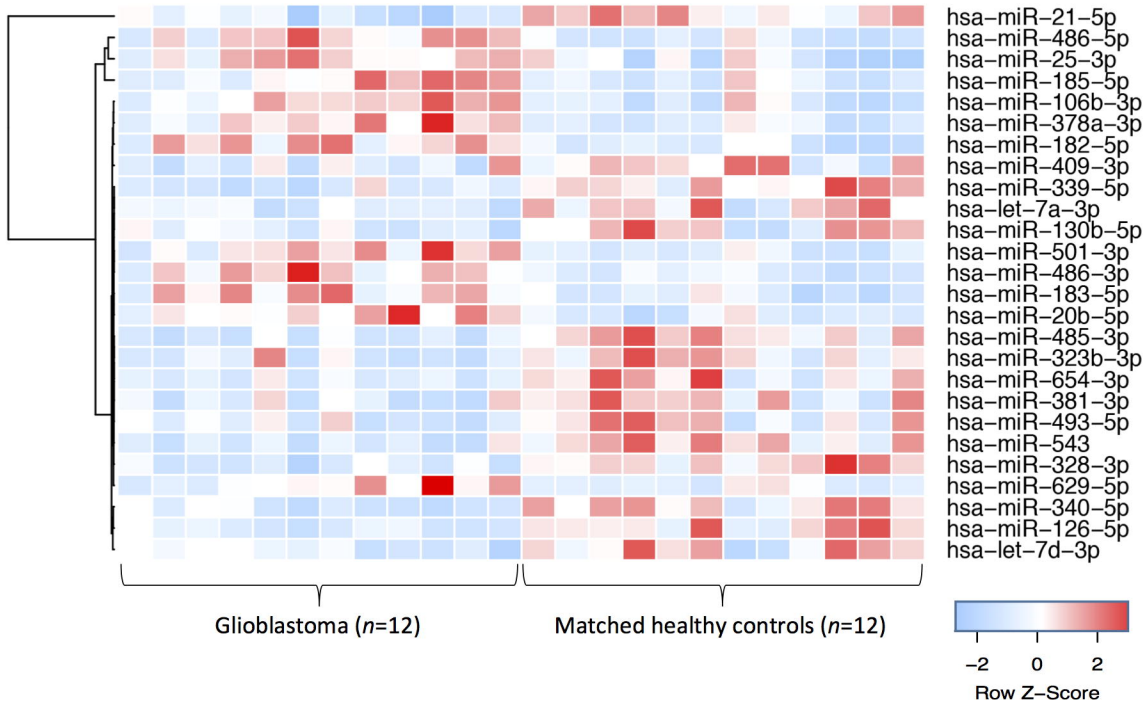


c-1

	Gene Symbol	F8	F9	F10
1	CD9			
2	HSPA8			
3	PDCD6IP			
4	GAPDH			
5	ACTB			
6	ANXA2			
7	CD63			
8	SDCBP			
9	ENO1			
10	HSP90AA1			



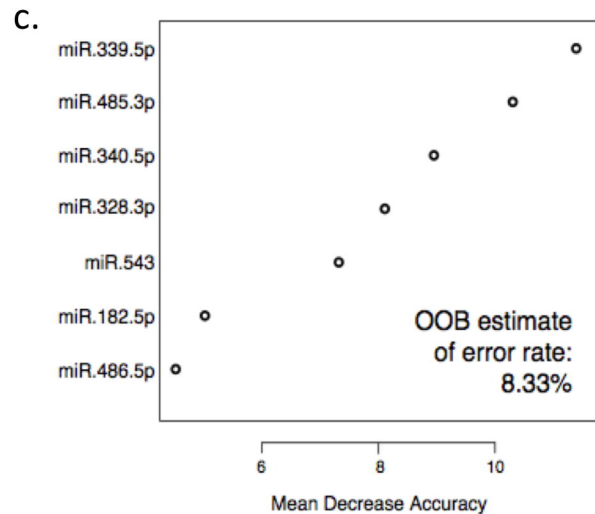
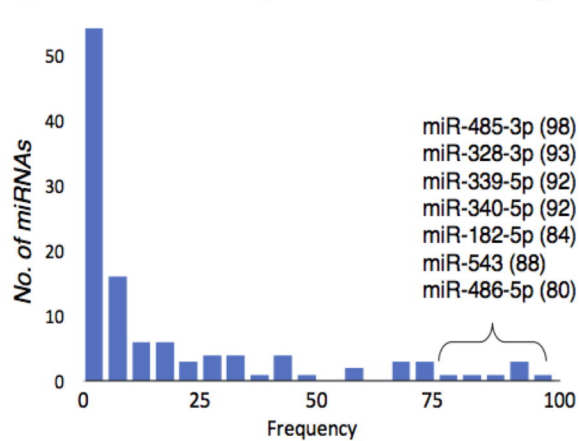
a.



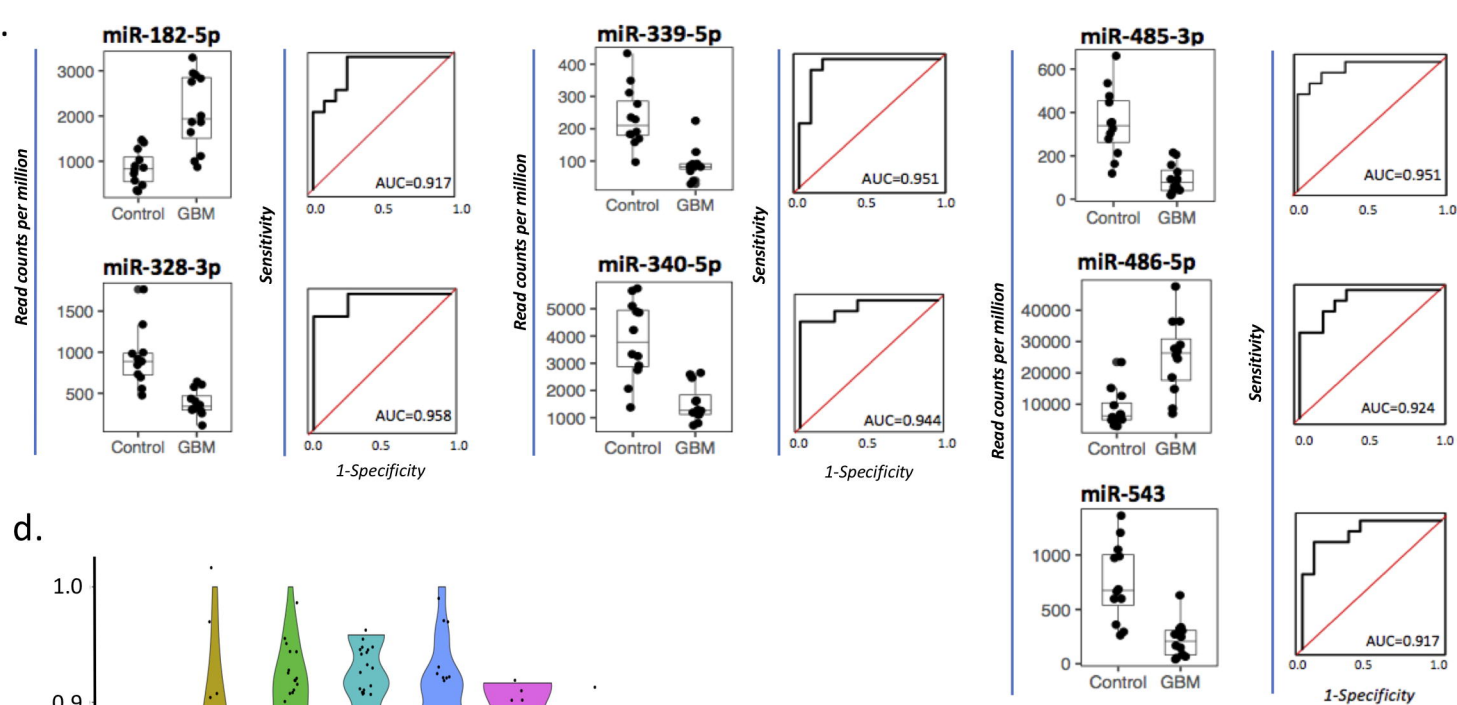
b.

<u>Top canonical pathways</u>	<u>p-value</u>	<u>Overlap</u>
Molecular mechanisms of cancer	2.16E-12	39.2% (152/388)
Glioblastoma multiforme signaling	3.36E-12	48.4% 78/161)
Pancreatic adenocarcinoma signaling	6.07E-11	50.8% (61/120)
Role of macrophages, fibroblasts & endothelial cells in Rheumatoid arthritis	4.37E-10	39.3% (119/303)
Glioma signaling	1.25E-09	49.6% (56/113)
<u>Diseases and disorders</u>		
	<u>p-value</u>	<u>#Molecules</u>
Cancer	1.96E-06 - 1.52E-16	4322
Organismal injury and abnormalities	1.97E-06 - 2.97E-13	4371
Neurological disease	1.72E-06 - 8.76E-13	785
Tumor morphology	1.96E-06 - 2.81E-12	366
Developmental disorder	1.39E-06 - 3.49E-12	601
<u>Molecular and cellular functions</u>		
	<u>p-value</u>	<u>#Molecules</u>
Cell death and survival	2.13E-06 - 8.28E-17	1469
Gene expression	8.34E-07 - 1.67E-15	1010
Cellular growth and proliferation	1.92E-06 - 5.23E-15	1466
Cell cycle	2.01E-06 - 6.25E-15	671
Cellular development	1.92E-06 - 1.51E-14	1579

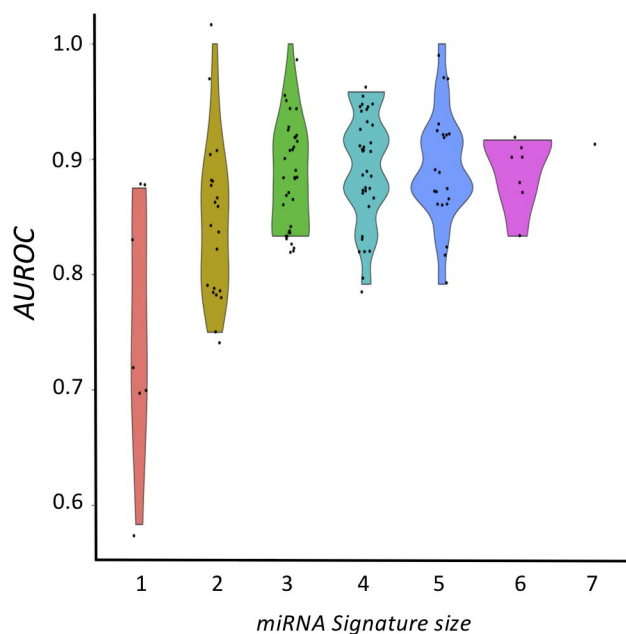
a. miRNAs with frequencies of >75% in training set



b.



d.



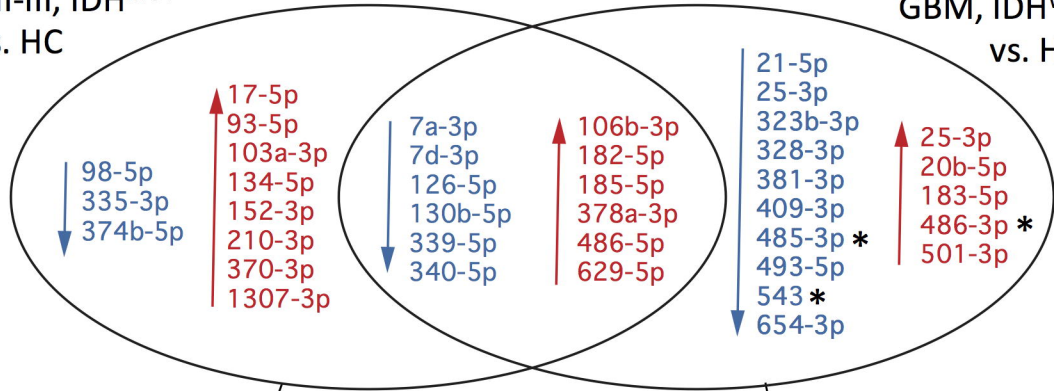
e.

Signature Size	Signature miRNA composition
2	hsa-miR-328-3p, hsa-miR-485-3p
2	hsa-miR-485-3p, hsa-miR-340-5p
3	hsa-miR-182-5p, hsa-miR-328-3p, hsa-miR-485-3p
3	hsa-miR-485-3p, hsa-miR-339-5p, hsa-miR-340-5p
4	hsa-miR-543, hsa-miR-328-3p, hsa-miR-485-3p, hsa-miR-340-5p
5	hsa-miR-543, hsa-miR-182-5p, hsa-miR-485-3p, hsa-miR-339-5p, hsa-miR-340-5p

a.

GII-III, IDH^{MUT}
vs. HC

GBM, IDH^{WT}
vs. HC



b-1.

Stable classifiers
Glioma Grade II-III, IDH^{MUT}:

- miR-7d-3p
- miR-106b-3p
- miR-130b-5p
- miR-185-5p
- miR-98-5p

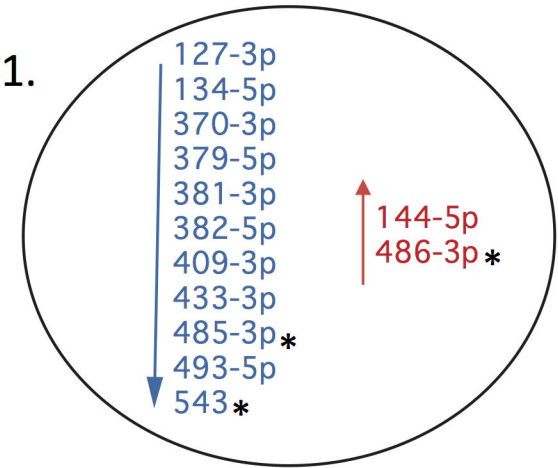
b-2.

Stable classifiers
Glioblastoma, IDH^{WT}:

- miR-182-5p
- miR-328-3p
- miR-339-5p
- miR-340-5p
- miR-485-3p*
- miR-486-5p*
- miR-543*

Important features distinguish
GBM IDH^{WT} from GII-III IDH^{MUT}

c-1.



c-2.

Importance of features, GBM vs. GII-III

