1	Gut Microbiota Diversity across Ethnicities in the United States
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# 18 Abbreviations:

- 19 AGP American Gut Project
- 20 ANOSIM Analysis of Similarity
- 21 AUC Area Under the Curve
- 22 BMI Body Mass Index
- 23 F<sub>ST</sub> Fixation Index
- 24 GWAS Genome-Wide Association Studies
- 25 HMP Human Microbiome Project
- 26 MAF Minor Allele Frequency
- 27 OTU Operational Taxonomic Unit
- 28 PERMANOVA Permutational Multivariate Analysis of Variance
- 29 RF Random Forest
- 30 ROC Receiver Operating Characteristic
- 31 SMOTE Synthetic Minority Over-sampling Technique

## 32 Abstract:

Composed of hundreds of microbial species, the composition of the human gut 33 34 microbiota can vary with chronic diseases underlying health disparities that 35 disproportionally affect ethnic minorities. However, the influence of ethnicity on the gut 36 microbiota remains largely unexplored and lacks reproducible generalizations across 37 studies. By distilling associations between ethnicity and gut microbiota variation in two 38 American datasets including 1,673 individuals, we report 12 microbial genera and families that reproducibly vary by ethnicity. Interestingly, a majority of these microbial taxa. 39 40 including the most heritable bacterial family, Christensenellaceae, overlap with genetically-41 associated lineages and form co-occurring clusters of taxa linked by similar fermentative and 42 methanogenic metabolic processes. These results demonstrate recurrent associations between specific taxa in the gut microbiota and ethnicity, providing hypotheses for 43 44 examining specific members of the gut microbiota as mediators of health disparities.

# 45 Introduction:

The human gut microbiota at fine resolution varies extensively between individuals 46 47 (1-3), and this variability frequently associates with diet(4-7), age(6, 8, 9), sex(6, 9, 10), body 48 mass index (BMI) (1, 6), and diseases presenting as health disparities (11-14). The 49 overlapping risk factors and burden of many chronic diseases disproportionally affect ethnic 50 minorities in the United States, yet the underlying biological mechanisms mediating these 51 substantial disparities largely remain unexplained. Recent evidence is consistent with the 52 hypothesis that ethnicity associates with microbial abundance, specifically in the oral cavity. 53 gut, and vagina (15-17). Ethnicity can capture many facets of biological variation including 54 social, economic and cultural variation as well as aspects of human genetic variation and 55 biogeographical ancestry (18, 19). Despite the importance of understanding the interconnections between ethnicity, microbiota, and health disparities, there are no 56 57 replicated generalizations about the influence of ethnicity on variation in the gut microbiota 58 and specific microbial taxa in diverse American populations, even for healthy individuals (6). 59 Here, we comprehensively examine connections between self-declared ethnicity and gut microbiota variation in more than a thousand individuals sampled by the American Gut 60 61 Project (AGP, N=1375) (20) and the Human Microbiome Project (HMP, N=298) (6). Human genetic diversity in the HMP has been shown to associate with differences in microbiota 62 63 composition, and it has been demonstrated that genetic population structure within the HMP partially delineates self-declared ethnicity (21). Ethnicity was not found to have a significant 64 association with microbiota composition in a middle-eastern population, however 65 66 microbiota influencing factors such as lifestyle and environment across participants was

homogenous compared to the ethnic, sociocultural, economic, and dietary diversity foundwithin the United States (22).

- 69
- 70 **Results**:

## 71 Ethnicity subtly demarcates microbiota

72 We first evaluate gut microbiota distinguishability between AGP ethnicities (Fig 1A, 73 family taxonomic level, Asians-Pacific Islanders (N=88), Caucasians (N=1237), Hispanics (N=37), and African Americans (N=13)), sexes (female (N=657), male (N=718)), age groups 74 75 (years grouped by decade), and categorical BMI (underweight (N=70), normal (N=873), overweight (N=318), and obese (N=114)) (Demographic details in **S1 Table**). 97% 76 77 Operational Taxonomic Units (OTUs) generated for each dataset are utilized throughout to 78 maintain consistency with other published literature, however microbial taxonomy of the 79 HMP is reassigned using the Greengenes reference database (23). While interindividual 80 microbiota heterogeneity dominates, Analyses of Similarity (ANOSIM) reveal subtle but 81 significant degrees of total microbiota distinguishability for ethnicity, BMI, and sex, but not 82 for age (Fig 1B, Ethnicity; Fig 1C, BMI; Fig 1D, Sex; Fig 1E, Age) (24), Recognizing that subtle 83 microbiota distinguishability between ethnicities may be spurious, we independently replicate the ANOSIM results from HMP African Americans (N=10), Asians (N=34), 84 85 Caucasians (N=211) and Hispanics (N=43) (S2A Table, R=0.065, p=0.044), and observe no 86 significant distinguishability for BMI, sex, and age. Higher rarefaction depths increase microbiota distinguishability in the AGP across various beta diversity metrics and 87 88 categorical factors (S2B Table), and significance increases when individuals from 89 overrepresented ethnicities are subsampled from the average beta diversity distance matrix

90 (S2C Table). Supporting the ANOSIM results, Permutational Multivariate Analysis of Variance (PERMANOVA) models with four different beta diversity metrics showed that while 91 92 all factors had subtle but significant associations with microbiota variation when combined 93 in a single model, effect sizes were highest for ethnicity in 7 out of 8 comparisons across beta 94 diversity metrics and rarefaction depths in the AGP and HMP (**S2D Table**). We additionally 95 test microbiota distinguishability by measuring the correlation between beta diversity and 96 ethnicity, BMI, sex, and age with an adapted BioEnv test (S2E Table) (25). Similar degrees 97 of microbiota structuring occur when all factors are incorporated (Spearman Rho=0.055, p-98 values: Ethnicity=0.057, BMI<0.001, Sex<0.001, Age=0.564). Firmicutes and Bacteroidetes 99 dominated the relative phylum abundance, with each representing between 35% and 54% 100 of the total microbiota across ethnicities (S1 Fig).

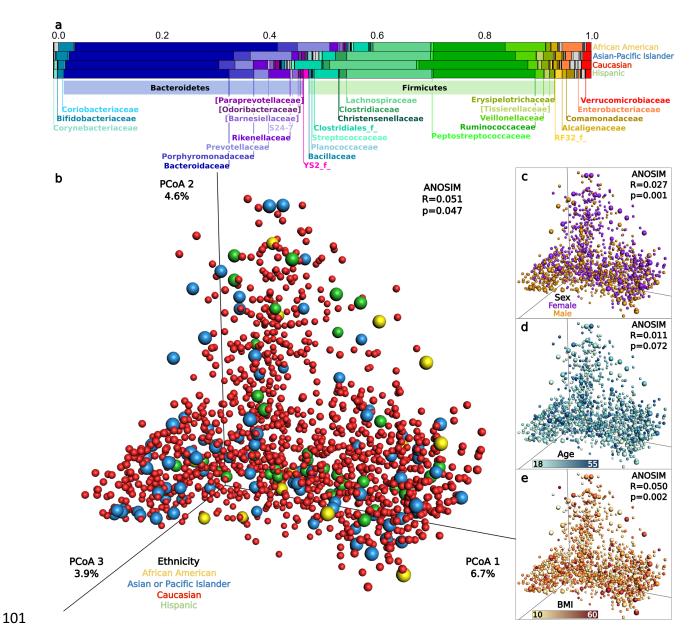
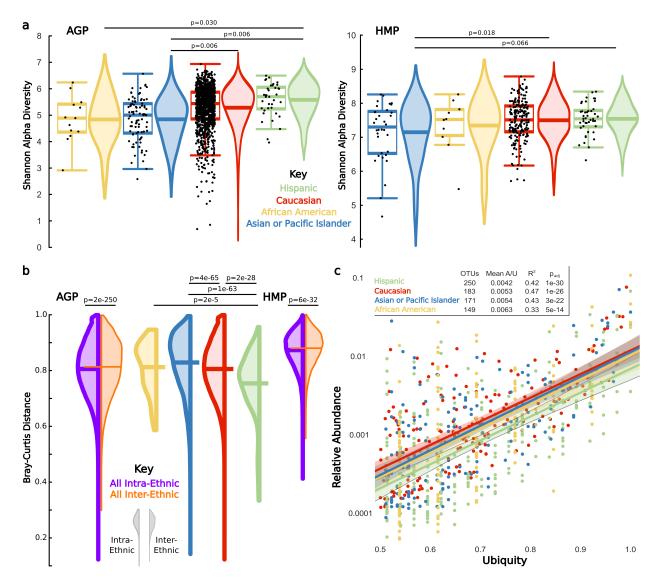


Fig 1. Gut microbiota composition and distinguishability by ethnicity, sex, age and
BMI. (A) The average relative abundance of dominant microbial families for each ethnicity.
(B-E) Principle coordinates analysis plots of microbiota Bray-Curtis beta diversity and
ANOSIM distinguishability for: (B) Ethnicity, (C) Sex, (D) Age, (E) BMI. In B-E, each point
represents the microbiota of a single sample, and colors reflect metadata of that individual.
Caucasian points are reduced in size to allow clearer visualization.

109	We next test for ethnicity signatures in the gut microbiota by analyzing alpha and beta
110	diversity, abundance and ubiquity distributions, distinguishability, and classification
111	accuracy (26). Shannon's Alpha Diversity Index (27), which weights both microbial
112	community richness (Observed OTUs) and evenness (Equitability), significantly varies
113	across ethnicities in the AGP dataset (Kruskal Wallis, p=2.8e-8) with the following ranks:
114	Hispanics > Caucasians > Asian-Pacific Islanders > African Americans ( <b>Fig 2A</b> ). Some of these
115	results replicate in the HMP dataset, where we find a significantly lower Shannon diversity
116	for Asian-Pacific Islanders relative to Caucasians, and a trend of lower Shannon diversity for
117	Asian-Pacific Islanders relative to Hispanics. Five alpha diversity metrics, two rarefaction
118	depths, and separate analyses of Observed OTUs and Equitability generally confirm the
119	results (S3A Table).



121 Fig 2. Ethnicity associates with diversity and composition of the gut microbiota. (A) 122 Center lines of each boxplot depict the median by which ethnicities were ranked from low 123 (left) to high (right); the lower and upper end of each box represent the 25th and 75th 124 quartiles respectively; whiskers denote the 1.5 interquartile range, and black dots represent 125 individual samples. Lines in the middle of violin plots depict the mean, and p-values are 126 Bonferroni corrected within each dataset. (B) Left extending violin plots represent intra-127 ethnic distances for each ethnicity, and right extending violin plots depict all inter-ethnic 128 distances. Center lines depict the mean beta diversity. Significance bars above violin plots

depict Bonferroni corrected pairwise Mann-Whitney-U comparisons of the intra-intra- and intra-inter-ethnic distances. (C) Within each ethnicity, OTUs shared by at least 50% of samples. Colored lines represent a robust ordinary least squares regression within OTUs of each ethnicity, shaded regions represent the 95% confidence interval, R<sup>2</sup> denotes the regression correlation, the OTUs column indicates the number of OTUs with >50% ubiquity for that ethnicity, Mean A/U is the average abundance/ubiquity ratio, and the p<sub>adj</sub> is the regression significance adjusted and Bonferroni corrected for the number of ethnicities.

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137 If ethnicity impacts microbiota composition, pairwise beta diversity distances 138 (ranging from 0/completely dissimilar to 1/identical) will be greater between ethnicities 139 than within ethnicities. While average gut microbiota beta diversities across all individuals 140 are high (Fig 2B, Bray-Curtis=0.808), beta diversities between individuals of the same 141 ethnicity (intra-ethnic, Bray-Curtis=0.806) are subtly, but significantly, lower than those 142 between ethnicities in both the AGP (inter-ethnic, Bray-Curtis=0.814) and HMP datasets 143 (intra-ethnic, Bray-Curtis=0.870 versus inter-ethnic, Bray-Curtis=0.877). We confirm AGP 144 results by subsampling individuals from overrepresented ethnicities across beta metrics and 145 rarefaction depths (S4A-4B Tables). Finally, we repeat analyses across beta metrics and rarefaction depths using only the average distance of each individual to all individuals from 146 147 the ethnicity to which they are compared (S4C-4D Tables).

Next, we explore inter-ethnic variation in the number of OTUs shared in at least 50%
of individuals within an ethnicity. Out of 5,591 OTUs in the total AGP dataset, 101 (1.8%)
meet this ubiquity cutoff in all ethnicities, and 293 (5.2%) unique OTUs meet the cutoff
within at least one ethnicity. Hispanics share the most ubiquitous OTUs and have the lowest

152 average abundance/ubiquity (A/U) ratio (Fig 2C), indicating higher stability of lower 153 abundance shared OTUs (28). This result potentially explains their significantly lower intra-154 ethnic beta diversity distance and thus higher microbial community overlap relative to the other ethnicities (Fig 2B). Comparisons in the AGP between the higher sampled Hispanic, 155 156 Caucasian, and Asian-Pacific Islander ethnicities also reveal a trend wherein higher intra-157 ethnic community overlap (Fig 2B) parallels higher numbers of ubiquitous OTUs (Fig 2C), 158 higher Shannon Alpha diversity (Fig 2A), and higher stability of ubiquitous OTUs as 159 measured by the abundance/ubiquity (A/U) ratio (Fig 2C).

160 We next assess whether a single ethnicity disproportionately impacts total gut 161 microbiota distinguishability in the AGP by comparing ANOSIM results from the consensus 162 beta diversity distance matrix when each ethnicity is sequentially removed from the analysis 163 (Fig 3A and S2E Table). Distinguishability remains unchanged when the few African 164 Americans are removed, but is lost upon removal of Asian-Pacific Islanders or Caucasians 165 (Fig 3A). Notably, removal of Hispanics increases distinguishability among the remaining 166 ethnicities, which may be due to higher degree of beta diversity overlap observed between 167 Hispanics and other ethnicities (**S4B Table**). Results conform across rarefaction depths and 168 beta diversity metrics (S2F Table), and pairwise combinations show strong 169 distinguishability between African Americans and Hispanics (ANOSIM, R=0.234, p=0.005), 170 and Asian-Pacific Islanders and Caucasians (ANOSIM, R=0.157, p<0.001).

Finally, to complement evaluation with ecological alpha and beta diversity we implement a random forest supervised learning algorithm to classify gut microbiota from genus level community profiles into their respective ethnicity. We build four one-versus-all binary classifiers to classify samples from each ethnicity compared to the rest, and use two

different sampling approaches to train the models, Synthetic Minority Over-sampling Technique (SMOTE) (29) and down-sampling, for overcoming uneven representation of ethnicities in both the datasets (see Methods). Given that the area under the receiver operating characteristic (ROC) curve (or AUC) of a random guessing classifier is 0.5, the models classify each ethnicity fairly well (**Fig 3B**) with average AUCs across sampling techniques and datasets of 0.78 for Asian-Pacific Islanders, 0.76 for African Americans, 0.69 for Hispanics, and 0.70 for Caucasians.

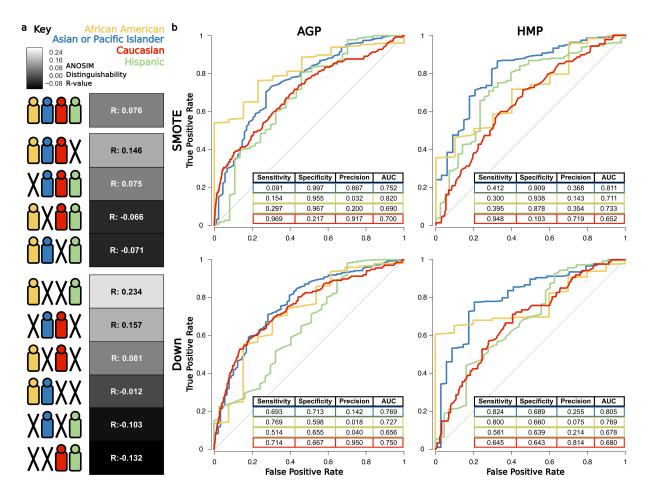


Fig 3. Microbiota distinguishability and classification ability across ethnicities. (A)
ANOSIM distinguishability between all combinations of ethnicities. Symbols depict specific
ethnicities included in the ANOSIM tests, and boxes denote the R-value as a heatmap, where

white indicates increasing and black indicates decreasing distinguishability relative to the Rvalue with all ethnicities. (B) Average ROC curves (for 10-fold cross-validation) and
prediction performance metrics for one-versus-all random forest classifiers for each
ethnicity, using SMOTE (29) and down subsampling approaches for training.

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#### 191 Recurrent taxa associations with ethnicity

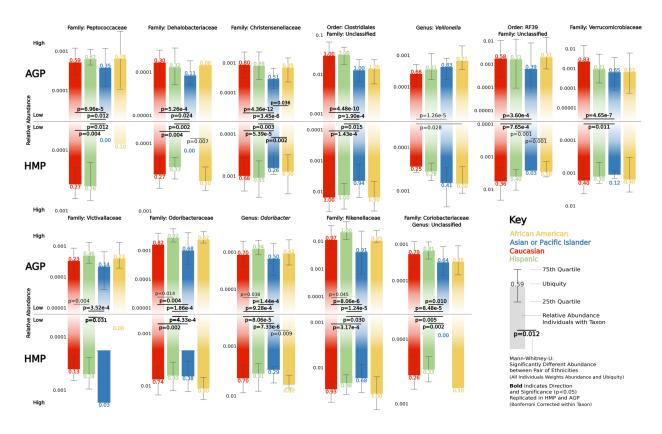
192 Subtle to moderate ethnicity-associated variation in microbial communities may in 193 part be strongly driven by differential abundance of certain microbial lineages. We find that 194 16.2% (130/802) of the AGP taxa and 20.6% (45/218) of HMP taxa across all classification 195 levels (i.e. phylum to genus, **S5 Table**) significantly vary in abundance across ethnicities 196 (Kruskal-Wallis, p<sub>FDR</sub><0.05). Between datasets, 19.2% (25/130) of the AGP and 55.6% 197 (25/45) of the HMP varying lineages replicate in the other dataset, representing a 198 significantly greater degree of overlap than would be expected by chance (AGP replicated, 199 Fisher's exact one-tailed test, expected 5% overlap (7 overlapping vs. 123 not overlapping) 200 and observed (25 overlapping vs. 105 not overlapping), p=5.26e-4; HMP replicated, Fisher's 201 exact test, expected 5% overlap (2 overlapping vs. 43 not overlapping) and observed (25 202 overlapping vs. 20 not overlapping), p=4.72e-8; ethnic permutation analysis of overlap, 203 p<0.001 each taxonomic level and all taxonomic levels combined). The highest replication of 204 taxonomic lineages varying by abundance occurs with 22.0% (9/41) of families across 205 datasets, followed by genus with 13.4% (9/67).

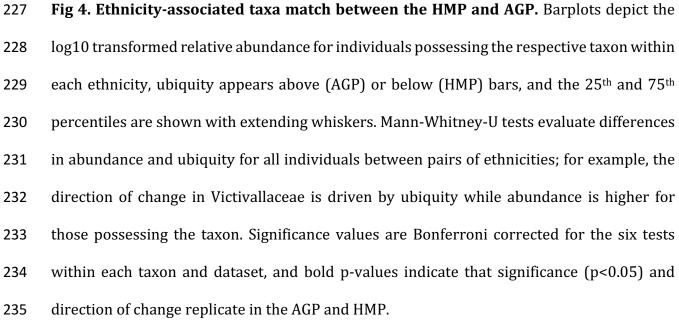
Among 18 reproducible lineages, we categorize 12 as unique (**Fig 4**) and exclude 6 where nearly identical abundance profiles between family/genus taxonomy overlap. Comparing relative abundance differences between pairs of ethnicities for these 12 taxa in

AGP and HMP reveals 20 out of 30 significant (p<0.05, Mann-Whitney-U) differences replicated. Intriguingly, all reproducible pairwise differences are a result of decreases in Asian-Pacific Islanders (**Fig 4**).

212 We also test taxon abundance and presence/absence associations with ethnicity 213 separately in the AGP using linear and logistic regression models respectively, and we repeat 214 the analysis while incorporating categorical sex and continuous age and BMI as covariates 215 (S6 Table). Clustering microbial families based on their abundance correlation reveals two 216 co-occurrence clusters: (i) a distinct cluster of six Firmicutes and Tenericutes families in the 217 HMP and (ii) an overlapping but more diverse cluster of 20 families in the AGP (S2 Fig). Nine 218 of the 12 taxa found to recurrently vary in abundance across ethnicities are represented in 219 these clusters (**Fig 4**), with four appearing within both clusters, and the other five appearing 220 either within or closely correlated with members of both clusters (S2 Fig). Further, 90% 221 (18/20) of families in the AGP cluster and 66% (4/6) of taxa in the HMP cluster significantly 222 vary in abundance across ethnicities. Taken together, these results establish general overlap 223 of the most significantly ethnically-associated taxa between the three methods, 224 reproducibility of microbial abundances that vary between ethnicities across datasets, and 225 patterns of co-occurrence among these taxa which could suggest they are functionally linked.

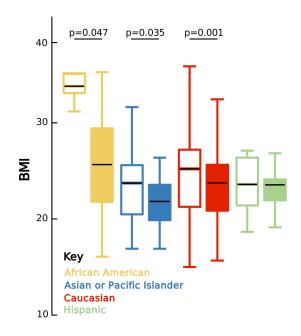






# 237 Most heritable taxon varies by ethnicity

238 Identified as the most heritable human gut taxon (30, 31), the family 239 Christensenellaceae exhibits the second strongest significant differences in abundance 240 across ethnicities in both AGP and HMP datasets (S5 Table, Family: AGP, Kruskal-Wallis, 241 p<sub>FDR</sub>=1.55e-9; HMP, Kruskal-Wallis, p<sub>FDR</sub>=0.0019). Additionally, Christensenellaceae is 242 variable by sex and BMI (AGP: Sex, Kruskal-Wallis, p<sub>FDR</sub>=1.22e-12; BMI, Kruskal-Wallis, 243 p<sub>FDR</sub>=0.0020), and represents some of the strongest pairwise correlations with other taxa in 244 both co-occurrence clusters (S2 Fig). There is at least an eight-fold and two-fold reduction 245 in average Christensenellaceae abundance in Asian-Pacific Islanders relative to the other 246 ethnicities in the AGP and HMP respectively (**S5 Table**), and significance of all pairwise 247 comparisons in both datasets show reduced abundance in Asian-Pacific Islanders (**Fig 4**). 248 Abundance in individuals possessing Christensenellaceae and presence/absence across all 249 individuals significantly associate with ethnicity (**S6 Table**, Abundance, Linear Regression, 250 p<sub>Bonferroni</sub>=0.006; Presence/Absence, Logistic Regression, p<sub>Bonferroni</sub>=8.802e-6), but there was 251 only a slight correlation between the taxon's relative abundance and BMI (S3 Fig). 252 Confirming previous associations with lower BMI(32), we observe that AGP individuals with Christensenellaceae also have a significantly lower BMI (Mean BMI, 23.7±4.3) than 253 individuals without it (Mean BMI, 25.0±5.9; Mann-Whitney-U, p<0.001). This pattern is 254 255 separately reflected in African Americans, Asian-Pacific Islanders, and Caucasians but not 256 Hispanics (**Fig 5**), suggesting that each ethnicity may have different equilibria between the 257 taxon's abundance and body weight.



259 Fig 5. Christensenellaceae variably associates with BMI across ethnicities. Boxplots of 260 individuals without (unfilled boxplots) BMI for and with (filled boxplots) 261 Christensenellaceae. Significance was determined using one-tailed Mann-Whitney-U tests for lower continuous BMI values. Black lines indicate the mean relative abundance; the lower 262 and upper end of each box represent the 25<sup>th</sup> and 75<sup>th</sup> quartiles respectively; and whiskers 263 264 denote the 1.5 interquartile range.

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#### 266 Genetic- and ethnicity-associated taxa overlap

Many factors associate with human ethnicity, including a small subset of population specific genetic variants (estimated ~0.5% genome wide) that vary by biogeographical ancestry (33, 34), and self-declared ethnicity in the HMP is delineated by population genetic structure (21). Here we investigate whether ethnicity-associated taxa overlap with (i) taxa that have a significant population genetic heritability in humans (30, 31, 35, 36) and (ii) taxa linked with human genetic variants in two large Genome-Wide Association Studies (GWAS)- 273 microbiota analyses (31, 36). All except one recurrent ethnicity-associated taxa are heritable 274 in at least one study, with seven replicating in three or more studies (**Table 1**). Likewise, 275 abundance variation in seven recurrent ethnicity-associated taxa demonstrate significant 276 GWAS associations with at least one variant in the human genome, therefore we assess 277 whether any genetic variants associated with differences in microbial abundance show 278 significant rates of differentiation (F<sub>ST</sub>) between 1,000 genomes superpopulations (34). Out 279 of 49 variants associated with ethnically varying taxa, 21 have higher F<sub>ST</sub> values between at 280 least one pair of populations than that of 95% of other variants on the same chromosome 281 and across the genome, and the F<sub>ST</sub> values of five variants associated with Clostridiaceae 282 abundance rank above the top 99% (S7 Table). Since taxa that vary across ethnicities exhibit 283 lower abundance in Asian-Pacific Islanders, it is notable that the F<sub>ST</sub> values of 18 and 11 284 variant comparisons for East Asian and South Asian populations, respectively, are above that of the 95% rate of differentiation threshold from African, American, or European 285 286 populations. Critically, the microbiota and 1,000 genomes datasets are not drawn from the 287 same individuals, and disentangling the role of genetic from social and environmental factors will still require more controlled studies. 288

Recurrent Ethnicity-Associated Taxa	Heritability	Genetic Associations	
Family: Peptococcaceae	0.1213 <sup>A</sup> , 0.2154 <sup>C</sup> , 0.26 <sup>E</sup>	rs143179968 <sup>E</sup>	
Family: Dehalobacteriaceae	0.6878 <sup>B</sup> , 0.3087 <sup>c</sup>		
Family: Christensenellaceae	0.3819 <sup>A</sup> , 0.6170 <sup>B</sup> , 0.4230 <sup>c</sup> ,		
Order: Clostridiales, Family: Unclassified	0.2914 <sup>A</sup> , 0.4020 <sup>B</sup> , 0.1330 <sup>C</sup>	*40 Genetic Variants <sup>c</sup>	
Genus: Veillonella	0.1370 <sup>A</sup> , 0.2168 <sup>D</sup>	rs347941 <sup>c</sup>	
Order: RF39, Family: Unclassified	0.2341 <sup>A</sup> , 0.6618 <sup>B</sup> , 0.3074 <sup>C</sup>	rs4883972 <sup>c</sup>	
Family: Verrucomicrobiaceae	0.1257 <sup>A</sup> , 0.5973 <sup>B</sup> , 0.1394 <sup>c</sup>		
Family: Victivallaceae			
Family Odoribacteraceae	0.1389 <sup>A</sup> , 0.1917 <sup>D</sup> , 0.34 <sup>E</sup>	chr7:96414393 <sup>E</sup> , rs115795847 <sup>E</sup>	
Genus: Odoribacter	0.1916 <sup>D</sup>		
Family: Rikenellaceae	0.1299 <sup>D</sup> , 0.29 <sup>E</sup>	rs17098734 <sup>c</sup> , rs3909540 <sup>c</sup> , rs147600757 <sup>e</sup> ,	
Family: Coriobacteraceae, Genus:	0.1364 <sup>A</sup> , 0.2822 <sup>B</sup> , 0.1609 <sup>C</sup>	rs9357092 <sup>E</sup>	

### 291 Table 1. Recurrent ethnicity-associated taxa overlap heritable and genetically-

292 **associated taxa.** The table shows population genetic heritability estimates and associated

293 genetic variants for the 12 recurrent ethnically varying taxa. The minimum heritability cutoff

was chosen as >0.1, and only exactly overlapping taxonomies were considered. Studies

examined: <sup>A</sup>UKTwins (2014, A in ACE model) (30), <sup>B</sup>Yatsunenko (2014, A in ACE model) (30),

<sup>296</sup> <sup>c</sup>UKTwins (2016, A in ACE model) (31), <sup>D</sup>Lim (2016, H2r in SOLAR (37)) (35), <sup>E</sup>Turpin (2016,

H2r in SOLAR (37)). \*indicates excessive variants were excluded from table.

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#### 299 Discussion:

300 Many common diseases associate with microbiota composition and ethnicity, raising 301 the central hypothesis that microbiota variation between ethnicities can occasionally serve as a mediator of health disparities. American's self-declared ethnicity can capture 302 303 socioeconomic, cultural, geographic, dietary and genetic diversity, and a similarly complex 304 array of interindividual and environmental factors influence total microbiota composition, 305 resulting in challenges when trying to consistently recover variation in total gut microbiota 306 between ethnicities. These challenges inform the importance of reproducibility, both 307 through confirmation across analytical methods and replication across study populations. In 308 order to more fully evaluate this hypothesis, baseline generalizations are drawn here about 309 the impact of ethnicity on gut microbiota variation in healthy individuals, and is concordant 310 with recent literature in single populations suggesting that ethnicity plays a subtle but 311 reproducible role in microbiota assembly (15-17, 21, 22).

Whether shaped through socioeconomic, dietary, healthcare, genetic, or otherethnicity-related factors, the replicated, varying taxa represent sources for novel hypotheses

314 addressing health disparities. For instance, the family Odoribacteraceae and genus 315 *Odoribacter* are primary butyrate producers in the gut, and have been negatively linked to 316 severe forms of Crohn's disease and Ulcerative Colitis in association with reduced butyrate 317 metabolism (38-40). Asian-Pacific Islanders possess significantly less Odoribacteraceae and 318 *Odoribacter* than Hispanics and Caucasians in both datasets, and severity of Ulcerative Colitis 319 upon hospital admission has been shown to be significantly higher in Asian Americans (41). 320 Considering broader physiological roles, several ethnicity-associated taxa are primary gut 321 anaerobic fermenters and methanogens (42, 43), and associate with lower BMI and blood 322 triglyceride levels (32, 44). Indeed, Christensenellaceae, Odoribacteraceae, Odoribacter, and 323 the class Mollicutes containing RF39 negatively associate with metabolic syndrome and 324 demonstrate significant population heritability in twins (35). Implications for health outcomes warrant further investigation, but could be reflected by positive correlations of 325 326 Odoribacteraceae, Odoribacter, Coriobacteriaceae, Christensenellaceae, and the dominant 327 Verrucomicrobiaceae lineage *Akkermansia* with old age (45, 46). Moreover, these findings 328 raise the importance of controlling for ethnicity in studies linking microbiota variation to 329 disease because associations between specific microbes and a disease could be confounded 330 by ethnicity of the study subjects.

Based on correlations in individual taxon's abundance, a similar pattern of cooccurrence previously identified as the Christensenellaceae 'consortium' includes 11 of the 12 recurrent ethnically varying taxa (30), and members of this consortium associate with genetic variation in the human formate oxidation gene *ALDH1L1* which is a genetic risk factor for stroke (31, 47, 48). Formate metabolism is a key step in the pathway reducing carbon dioxide to methane (49, 50), and increased methane associates with increased Rikenellaceae, 337 Christensenellaceae, Odoribacteraceae and Odoribacter (51). Products of methanogenic fermentation pathways include short chain fatty acids such as butyrate, which through 338 339 reduction of pro-inflammatory cytokines has been linked to cancer cell apoptosis and 340 reduced risk of colorectal cancer (52, 53). Asian Americans are the only ethnic group where 341 cancer surpasses heart disease as the leading cause of death, and over 70% of Asian 342 Americans were born overseas, which can affect assimilation into western lifestyles, leading 343 to reduced access to healthcare and screening, and proper medical education (52, 54-56). 344 Indeed, as countries in Asia shift toward a more western lifestyle, the incidence of cancers. 345 particularly gastrointestinal and colorectal cancers, are increasing rapidly, possibly 346 indicating incompatibilities between traditionally harbored microbiota and western 347 lifestyles (57-60). Asian Americans have higher rates of type 2 diabetes and pathogenic 348 infections than Caucasians (61), and two metagenomic functions enriched in control versus 349 type 2 diabetes cases appear to be largely conferred by cluster-associated butyrate-350 producing and motility-inducing Verrucomicrobiaceae and Clostridia lineages reduced in 351 abundance among AGP and HMP Asian-Pacific Islanders (11). Both induction of cell motility 352 and butyrate promotion of mucin integrity can protect against pathogenic colonization and 353 associate with microbial community changes (11, 53, 62). Levels of cell motility and butyrate are key factors suspected to underlie a range of health disparities including inflammatory 354 355 bowel disease, arthritis, and type 2 diabetes (11, 63-65). Patterns of ethnically varying taxa 356 across ethnicities could result from many factors including varying diets, environmental exposures, sociocultural influences, human genetic variation and others. However, 357 358 regardless of the mechanisms dictating assembly, these results suggest there is a 359 reproducible, co-occurring group of taxa linked by similar metabolic processes known to360 promote homeostasis.

361 The utility of this work is establishing a framework for studying ethnicity-associated 362 taxa and hypotheses of how changes in abundance or presence of these taxa may or may not 363 shape health disparities, many of which also have genetic components. Differing in allele 364 frequency across three population comparisons and associated with the abundance of 365 Clostridiales, the genetic variant rs7587067 has a significantly higher frequency in African 366 (Minor Allele Frequency (MAF)=0.802) versus East Asian (MAF=0.190, Fst=0.54, 367 Chromosome=98.7%, Genome-Wide=98.9%), admixed American (MAF=0.278, F<sub>ST</sub>=0.44, 368 Chromosome=99.0%, Genome-Wide=99.1%), and European populations (MAF=0.267, 369 F<sub>ST</sub>=0.45, Chromosome=98.7.3%, Genome-Wide=98.7%). This intronic variant for the gene 370 HECW2 is a known eQTL (GTeX, eQTL Effect Size=-0.18, p=7.4e-5) (66, 67), and HECW2 371 encodes a ubiquitin ligase linked to enteric gastrointestinal nervous system function through 372 maintenance of endothelial lining of blood vessels (68, 69). Knockout of HECW2 in mice 373 reduced enteric neuron networks and gut motility, and patients with Hirschsprung's disease 374 have diminished localization of *HECW2* to regions affected by loss of neurons and colon 375 blockage when compared to other regions of their own colon and healthy individuals (70). 376 Hirschsprung's disease presenting as full colon blockage is rare and has not undergone 377 targeted examination as a health disparity, however a possible hypothesis is that lower 378 penetrance of the disease in individuals with the risk allele at rs7587067 could lead to subtler effects on gut motility resulting in Clostridiales abundance variation. 379

Another example is that the abundance of Rikenellaceae in the gut is strongly and
reproducibly associated with variant rs62171178, which was identified as an eQTL for gene

382 *PHOSPHO2* within human gut tissue (36, 67). The primary substrate of the protein encoded 383 by *PHOSPHO2* is vitamin B6 (71), which shows increased deficiencies in germ free compared 384 to conventionally reared rats (72). Interestingly, microbial vitamin B6 biosynthesis and 385 salvage was the best predictor of chronic fatigue and irritable bowel syndromes (73, 74). 386 Despite the intrigue of connecting the human genome, microbiota and disease phenotypes, 387 evaluating these hypotheses will require more holistic approaches such as incorporating 388 metagenomics and metabolomics to identify whether enzymes or metabolic functions 389 reproducibly vary across ethnicities, as well as direct functional studies in model systems to 390 understand if correlation is truly driven by causation.

391 Further limitations should also be considered, including recruitment biases for the 392 AGP versus HMP, variation in sample processing and OTU clustering, and uneven sampling 393 which could only be addressed with down sampling of over-represented ethnicities. Still, 394 despite these confounders care was taken to demonstrate the reproducibility of results 395 across statistical methods, ecological metrics, rarefaction depths, and study populations. 396 Summarily, this work suggests that abundance variation of specific taxa, rather than whole 397 communities, may represent the most reliable ethnic signatures in the gut microbiota. A 398 reproducible co-occurring subset of these taxa link to a variety of overlapping metabolic processes and health disparities, and contain the most heritable bacterial family, 399 400 Christensenellaceae. Moreover, a majority of the microbial taxa associated with ethnicity are 401 also heritable and genetically-associated lineages, suggesting there is a possible connection 402 between ethnicity and genetic patterns of biogeographical ancestry that may play a role in 403 shaping these taxa. Our results emphasize the importance of sampling ethnically diverse 404 populations of healthy individuals in order to discover and replicate ethnicity signatures in

405	the human gut microbiota, and they highlight a need to account for ethnic variation as a
406	potential confounding factor in studies linking microbiota variation to disease. Further
407	reinforcement of these results may lead to generalizations about microbiota assembly and
408	even consideration of specific taxa as potential mediators or treatments of health disparities.

## 409 Materials and Methods:

#### 410 Data Acquisition

411 AGP obtained from the project FTP repository located data was at 412 *ftp://ftp.microbio.me/AmericanGut/*. AGP data generation and processing prior to analysis 413 can be found at: https://github.com/biocore/American-Gut/tree/master/ipynb/primary-414 processing. All analyses utilized the rounds-1-25 dataset which was released on March 4, 415 2016. Throughout all analyses, QIIME v1.9.0 was used in an Anaconda environment 416 [https://continuum.io] for all script calls, custom scripts and notebooks were run in the 417 QIIME 2 Anaconda environment with python version 3.5.2, and plots were post-processed 418 using Inkscape [https://inkscape.org/en/] (75). Ethnicity used in this study was self-declared 419 by AGP study participants as one of four groups: African American, Asian or Pacific Islander (Asian-Pacific Islander), Caucasian, or Hispanic. Sex was self-declared as either male, female, 420 421 or other. Age was self-declared as a continuous integer of years old, and age categories 422 defined by the AGP by decade (i.e. 20's, 30's...) were used in this study. BMI was self-declared 423 as an integer, and BMI categories defined by AGP of underweight, healthy, overweight, and 424 obese were utilized. Microbiota communities were characterized using 16S rDNA 425 sequencing of variable region four and OTU clustering at 97% similarity, following an identical processing pipeline for all samples developed and optimized for the Earth 426 427 Microbiome Project (76). HMP 16S rDNA data processed using QIIME for variable regions 3-428 5 was obtained from http://hmpdacc.org/HMQCP/. Demographic info for individual HMP 429 participants was obtained through dbGaP restricted access to study phs000228.v2.p1, with 430 dbGaP approval granted to SRB and non-human subjects determination IRB161231 granted 431 by Vanderbilt University. Ethnicity and sex were assigned to subjects based on self-declared

values, with individuals selecting multiple ethnicities being removed unless they primarily 432 433 responded as Hispanic, while categorical age and BMI were established from continuous 434 values using the same criteria for assignment as in AGP. The HMP Amerindian population 435 was removed due to severe under-representation. This filtered HMP table was used for 436 community level analyses (ANOSIM, Alpha Diversity, beta intra-inter), however to allow 437 comparison with the AGP dataset, community subset analyses (co-occurrence, abundance 438 correlation, etc...) were performed with taxonomic assignments in QIIME using the UCLUST 439 method with the GreenGenes 13 5 reference.

440

441 *Quality Control* 

442 AGP quality control was performed in Stata v12 (StataCorp, 2011) using available metadata to remove samples (Raw N=9,475): with BMI more than 60 (-988 [8,487]) or less 443 than 10 (-68 [8,419]), missing age (-661 [7,758]), with age greater than 55 years old (-2,777 444 445 [4,981]) or less than 18 years old (-582 [4,399]), and blank samples or those not appearing 446 in the mapping file (-482 [3,917]), with unknown ethnicity or declared as other (-131 [3786]), not declared as a fecal origin (-2.002 [1784]), with unknown sex or declared as other 447 448 (-98 [1686]), or located outside of the United States (-209 [1477]). No HMP individuals were 449 missing key metadata or had other reasons for exclusion (-0[298]). Final community quality 450 control for both AGP and HMP was performed by filtering OTUs with less than 10 sequences 451 and removing samples with less than 1,000 sequences (AGP, -102 [1375]; HMP, -0 [298]). All 452 analyses used 97% OTUs generated by the AGP or HMP, and unless otherwise noted, results 453 represent Bray-Curtis beta diversity and Shannon alpha diversity at a rarefaction depth of 454 1,000 counts per sample.

455

#### 456 ANOSIM, PERMANOVA, and BioEnv Distinguishability

457 The ANOSIM test was performed with 9,999 repetitions on each rarefied table within 458 a respective rarefaction depth and beta diversity metric (Fig 1 & S2A-B Table), with R-459 values and p-values averaged across the rarefactions. Consensus beta diversity matrices 460 were calculated as the average distances across the 100 rarefied matrices for each beta 461 diversity metric and depth. Consensus distance matrices were randomly subsampled ten 462 times for subset number of individuals from each ethnic group with more than that subset 463 number prior to ANOSIM analysis with 9,999 repetitions, and the results were averaged 464 evaluating the effects of more even representations for each ethnicity (S2C Table). 465 Consensus distance matrices had each ethnicity and pair of ethnicities removed prior to 466 ANOSIM analysis with 9,999 repetitions, evaluating the distinguishability conferred by 467 inclusion of each ethnicity (Fig 3A, S2F Table). Significance was not corrected for the 468 number of tests to allow comparisons between results of different analyses, metrics, and 469 depths. PERMANOVA analyses were run using the R language implementation in the Vegan 470 package (77), with data handled in a custom R script using the Phyloseq package (78). 471 Categorical variables were used to evaluate the PERMANOVA equation (Beta-Diversity 472 Distance Matrix ~ Ethnicity + Age + Sex + BMI) using 999 permutations to evaluate 473 significance, and the R and p values were averaged across 10 rarefactions (**S2D Table**). The 474 BioEnv test, or BEST test, was adapted to allow evaluation of the correlation and significance between beta diversity distance matrices and age, sex, BMI, and ethnicity simultaneously 475 476 (S2E Table) (25). At each rarefaction depth and beta diversity metric the consensus distance 477 matrix was evaluated for its correlation with the centered and scaled Euclidian distance matrix of individuals continuous age and BMI, and categorical ethnicity and sex encoded
using patsy (same methodology as original test)[*https://patsy.readthedocs.io/en/latest/#*].
The test was adapted to calculate significance for a variable of interest by comparing how
often the degree of correlation with all metadata variables (age, sex, BMI, ethnicity) was
higher than the correlation when the variable of interest was randomly shuffled between
samples 1,000 times.

484

485 Alpha Diversity

Alpha diversity metrics (Shannon, Simpson, Equitability, Chao1, Observed OTUs)
were computed for each rarefied table (QIIME: alpha\_diversity.py), and results were collated
and averaged for each sample across the tables (QIIME: collate\_alpha.py). Pairwise
nonparametric t-tests using Monte Carlo permutations evaluated alpha diversity differences
between the ethnicities with Bonferroni correction for the number of comparisons (Fig 2A,
S3 Table, QIIME: compare\_alpha\_diversity.py). A Kruskal-Wallis test implemented in python
was used to detect significant differences across all ethnicities.

493

494 Beta Diversity

Each consensus beta diversity distance matrix had distances organized based on whether they represented individuals of the same ethnic group, or were between individuals of different ethnic groups. All values indicate that all pairwise distances between all individuals were used (**Fig 2B**, **S4A-B Table**), mean values indicate that for each individual their average distance to all individuals in the comparison group was used as a single point to assess pseudo-inflation (**S4C-D Table**). A Kruskal-Wallis test was used to calculate 501 significant differences in intra-ethnic distances across all ethnicities. Pairwise Mann-502 Whitney-U tests were calculated between each pair of intra-ethnic distance comparisons, 503 along with intra-versus-inter ethnic distance comparisons. Significance was Bonferroni 504 corrected within the number of intra-intra-ethnic and intra-inter-ethnic distance groups 505 compared, with violin plots of intra- and inter-ethnic beta diversity distances generated for 506 each comparison.

507

### 508 Random Forest

509 RF models were implemented using taxa summarized at genus level, which 510 performed better compared to RF models using OTUs as features, both in terms of 511 classification accuracy and computational time. We first rarefied OTU tables at sequence depth of 10,000 (using R v3.3.3 package *vegan's* rrarefy() function) and then summarized 512 513 rarefied OTUs at genus-level (or lower characterized level if genus was uncharacterized for 514 an OTU). We filtered for rare taxa by removing taxa present in fewer than half of the number 515 of samples in rarest ethnicity (i.e. fewer than 10/2 = 5 samples in HMP and 13/2 = 6 (rounded 516 down) in AGP), retaining 85 distinct taxa in HMP dataset and 322 distinct taxa in AGP dataset 517 at genus level. The resulting taxa were normalized to relative abundance and arcsin-sqrt 518 transformed before being used as features for the RF models. We initially built multi-class 519 RF model, but since the RF model is highly sensitive to the uneven representation of classes, 520 all samples were identified as the majority class, i.e. Caucasian. In order to even out the class 521 imbalance, we considered some sampling approaches, but most existing techniques for 522 improving classification performance on imbalanced datasets are designed for binary class 523 imbalanced datasets, and are not effective on datasets with multiple underrepresented

524 classes. Hence, we adopted the binary classification approach and built four one-versus-all (OVA) binary RF classifiers to classify samples from each ethnicity compared to the rest. 10-525 526 fold cross-validation (using R package *caret* (79)) was performed using ROC as the metric 527 for selecting optimal model. The performance metrics and ROC curves were averaged across 528 the 10 folds (**Fig 3B**). Without any sampling during training the classifiers, most samples 529 were identified as the majority class, i.e. the Caucasian, by all four OVA RF classifiers. In order 530 to overcome this imbalance in class representation, we applied two sampling techniques 531 inside cross-validation; i) down-sampling, and ii) Synthetic Minority Over-sampling 532 Technique (or SMOTE) (29). In the down-sampling approach, the majority class is down-533 sampled by random removal of instances from the majority class. In the SMOTE approach, 534 the majority class is down-sampled and synthetic samples from the minority class are generated based on k-nearest neighbors technique (29). Note, the sampling was performed 535 536 inside cross-validation on training set, while the test was performed on unbalanced held-out 537 test set in each fold. The ROC curves and performance metrics table in Fig 3B show the 538 sensitivity-specificity tradeoff and classification performance for OVA classifier for each 539 ethnicity for both the sampling techniques applied on both the datasets. For both the 540 datasets, down-sampling shows higher sensitivity and lower specificity and precision for 541 minority classes (i.e. African Americans, Asian-Pacific Islanders and Hispanics) compared to 542 SMOTE. However, for the majority class (i.e. Caucasian), down-sampling lowers the 543 sensitivity and increases the specificity and precision compared to SMOTE. The sensitivity-544 specificity tradeoff, denoted by the area under the ROC curve (or AUC) is reduced for 545 Hispanics in both the datasets.

# 547 Taxon Associations

Taxon differential abundance across categorical metadata groups was performed in 548 549 QIIME (QIIME: group significance.py, **S5 Table**) to examine whether observation counts (i.e. 550 OTUs and microbial taxon) are significantly different between groups within a metadata 551 category (i.e. ethnicity, sex, BMI, age). The OTU table prior to final community quality control was collapsed at each taxonomic level (i.e. Phylum – Genus; QIIME: collapse\_taxonomy.py), 552 553 with counts representing the relative abundance of each microbial taxon. Differences in the mean abundance of taxa between ethnicities were calculated using Kruskal-Wallis 554 555 nonparametric statistical tests. P-values are provided alongside false discovery rate and 556 Bonferroni corrected P-values, and taxon were ranked from most to least significant. Results 557 were collated into excel tables by taxonomic level and metadata category being examined, with significant (false discovery rate and Bonferroni P-value < 0.05) highlighted in orange, 558 559 and taxa that were false discovery rate significant in both datasets were colored red. The 560 Fisher's exact test for the overlap of number of significant taxa between datasets was run at 561 the online portal (http://vassarstats.net/tab2x2.html), with the expected overlap calculated 562 as 5% of the number of significant lineages at all taxonomic level within the respective 563 dataset, and the observed 25 taxa that overlapped in our analysis. The permutation analysis 564 was performed by comparing the number of significant taxa (S5 Table,  $p_{FDR} < 0.05$ ) 565 overlapping between the AGP and HMP to the number overlapping when the Kruskal-Wallis 566 test was performed 1,000 times with ethnicity randomly permuted. In 1/1000 runs there 567 was one significant taxon overlapping at the family level, and one in 3/1000 permutations at 568 the genus level, with no significant taxa overlapping in any repetitions at higher taxonomic 569 levels. The 12 families and genera that were significantly different were evaluated to not be

570 'unique' if their abundances across ethnicities at each level represented at least 82-100% 571 (nearly all >95%) of the overlapping taxonomic level, and the genera was used if classified, 572 and family level used if genera was unclassified (g\_). Average relative abundances on a log10 573 scale among individuals possessing the taxon were extracted for each taxon within each 574 ethnicity, and the abundance for 12 families and genera were made into barchart figures (Fig 575 **4**). The external whisker (AGP above, HMP below) depict the 75<sup>th</sup> quartile of abundance, and 576 the internal whisker depicts the 25<sup>th</sup> guartile. Pairwise Mann-Whitney-U tests were 577 performed between each pair of ethnicities using microbial abundances among all 578 individuals, and were Bonferroni corrected for the six comparisons within each taxon and 579 dataset. Bonferroni significant P-values are shown in the figure, and shown in bold if 580 significance and direction of change replicate in both datasets. Ubiquity shown above or below each bar was calculated as the number of individuals in which that taxon was detected 581 582 within the respective ethnicity. Additional confirmation of ethnically varying abundance was 583 also performed at each taxonomic level (**S6 Table**), where the correlation of continuous age 584 and BMI along with categorically coded sex and ethnicity were simultaneously measured 585 against the log 10 transformed relative abundance of each taxon among individuals 586 possessing it using linear regression (**S6 Table** - Abundance), and against the presence or absence of the taxon in all individuals with logistic regression (**S6 Table** - Presence Absence). 587 588 Significance is presented for the models each with ethnicity alone, and with all metadata 589 factors included (age, sex, BMI), alongside Bonferroni corrected p-values, and individual effects of each metadata factor. 590

591

592 Co-Occurrence Analysis

593 Bacterial taxonomy was collapsed at the family level, Spearman correlation was 594 calculated between each pair of families using SciPy (80), and clustermaps were generated 595 using seaborn (S2 Fig), and ethnic associations were drawn from S5 Table. Correlations 596 were masked where Bonferroni corrected Spearman p-values were >0.05, and clusters were 597 identified as the most prominent (strongest correlations) and abundance enriched. 598 Enrichment of ethnic association was evaluated by measuring the Mann-Whitney-U of 599 cluster families ethnic associations (p-values, **S5 Table**) compared to the ethnic associations 600 of non-cluster taxa. Cluster associated families were identified as having at least three 601 significant correlations with families within the cluster.

602

### 603 Christensenellaceae Analysis

The abundance of the family Christensenellaceae was input as relative abundance across all individuals from the family level taxonomic table. Individuals were subset based on the presence/absence of Christensenellaceae and BMIs were compared using a one tailed Mann-Whitney-U test, then each was further subset by ethnicity and BMI compared using one tailed Mann-Whitney-U tests and boxplots within each ethnicity (**Fig 5**).

609

610 Genetically Associated, Heritable, and Correlated Taxa Analysis

Genetically associated taxa from population heritability studies (30, 31, 35, 36) with
a minimum heritability (A in ACE models or H2r) >0.1, and from GWAS studies (31, 36) were
examined for exact taxonomic overlap with our 12 ethnically-associated taxa. The 42 genetic
variants associated with Unclassified Clostridiales are: rs16845116, rs586749, rs7527642,
rs10221827, rs5754822, rs4968435, rs17170765, rs1760889, rs6933411, rs2830259,

616 rs7318523. rs17763551. rs2248020. rs1278911. rs185902. rs2505338. rs6999713. 617 rs5997791, rs7236263, rs10484857, rs9938742, rs1125819, rs4699323, rs641527, 618 rs7302174, rs2007084, rs2293702, rs9350764, rs2170226, rs2273623, rs9321334, 619 rs6542797, rs9397927, rs2269706, rs4717021, rs7499858, rs10148020, rs7524581, 620 rs11733214, rs7587067 from (31). These 40 variants along with variants in **Table 1** except 621 for chr7:96414393 (total=49) were then assessed in 1,000 Genomes individuals for 622 significant differentiation across superpopulations (34). The 1,000 Genomes VCF files were 623 downloaded (ftp://ftp.1000genomes.ebi.ac.uk/vol1/ftp/release/20130502/), and variants 624 with a minor allele frequency less than 0.01 were removed with  $F_{ST}$  calculated between each 625 pair of superpopulations using vcftools (81). The East Asian versus South Asian  $F_{ST}$  rates 626 were not used in the analysis. A custom script was used to examine the  $F_{ST}$  for each of the 49 627 variants and compare to the  $F_{ST}$  of all variants on the same chromosome and all variants genome-wide for that pair of populations, with percentile calculated and the number of 628 629 variants with a higher F<sub>ST</sub> divided by the total number of variants. The eQTL value and 630 significance for rs7587067 were drawn from the GTEx database (67).

631

632 Data and Code Availability

Code, scripts, and data underlying figures are publicly available from the GitHub
repository [https://github.com/awbrooks19/microbiota\_and\_ethnicity]. Individual
metadata (age, sex, ethnicity...) for the Human Microbiome Project are held under restricted
access available through dbGaP application [NCBI - dbGaP, Human Microbiome Project,
https://www.ncbi.nlm.nih.gov/projects/gap/cgi-

638 bin/study.cgi?study\_id=phs000228.v3.p1].

639

## 640 Acknowledgements

641 This work was supported by National Institutes of Health training grants 4T32GM08017810, 5T32GM08017809, and 5T32GM0817808 to AWB, the Vanderbilt Office 642 643 of Equity, Diversity and Inclusion to A.W.B. and S.R.B., the Vanderbilt Microbiome Initiative 644 to S.R.B., and the Alfred P. Sloan Foundation Fellowship to R.B.. The content is solely the 645 responsibility of the authors and does not necessarily represent the official views of the 646 National Institutes of Health. We thank the American Society of Microbiology for supporting 647 travel to present this work. We would also like to thank Tony Capra, David Samuels, Patrick 648 Abbot, Antonis Rokas, and other members of the Vanderbilt Genetics Institute and 649 Bordenstein Lab for input. The authors acknowledge the Minnesota Supercomputing 650 Institute (MSI) at the University of Minnesota and the Advanced Computing Center for 651 Research and Education (ACCRE) at Vanderbilt University for providing resources that 652 contributed to the research results reported within this paper. 653

654 Contributions

A.W.B., S.P., R.B., and S.R.B. conceived and designed the research. A.W.B. performed,
analyzed, and interpreted all experiments with the exception of the Random Forest analysis
planned and performed by S.P. and R.B. S.R.B. supervised all experimental designs, data
analysis, and data interpretation. All authors participated in manuscript preparation, editing,
and final approval.

660

661 Competing Financial Interests

662 The authors declare no competing financial interests.

663

# 665 Supplementary Table/Figure Legends:

666 **S1 Fig.** The average relative abundance of dominant microbial phyla for each ethnicity.

667

**S2 Fig. Abundance correlation of microbial families.** Spearman correlation clustermaps 668 669 of bacterial abundance for families in the AGP and HMP. Numbers within boxes depict the 670 spearman correlation value with heatmap coloration from blue negative correlation (-1), 671 white no correlation (0), to red positive correlation (1). Positions have been masked based 672 on Bonferroni significance <0.05 for the total clustermap of all microbial families. Lineages 673 within boxes were identified as a highly correlated cluster, and lineages outside the boxes 674 share multiple correlations with those within the cluster. Blue taxonomic names indicate 675 overlap of taxa within boxes of both the AGP and HMP, while black indicate multiple 676 correlations with the clusters in both datasets. The ethnic association column depicts FDR 677 corrected p-values from Kruskal-Wallis tests in **S5 Table**, which are bolded if <0.05.

678

S3 Fig. Correlation of BMI with Christensenellaceae abundance. The relationship for
each individual between log10 transformed Christensenellaceae abundance on the y axis and
BMI on the x axis, with statistics slope, R<sup>2</sup>, and p fit with a linear regression. Coloration of
each point indicates ethnicity: Yellow – African American; Blue – Asian-Pacific Islander;
Green – Hispanic; Red – Caucasian.

684

685 S1 Table. Demographic information for the AGP. Breakdown of age and BMI by sex and
686 ethnicity. Heatmaps were constructed within each statistic and category (bounded by black
687 box). The means for all sex and ethnic groups were used as the center (white), with higher

values indicated in red and lower in blue. HMP data is not shown because of data accessrestrictions on participant metadata, available through dbGaP application.

690

S2 Table. Microbiota distinguishability by ethnicity, age, sex and BMI. (A) AGP and HMP 691 692 ANOSIM distinguishability by ethnicity, age, sex, and BMI at a rarefaction depth of 1,000 and 693 across four ecological metrics (more details in table). (B) AGP ANOSIM distinguishability by 694 ethnicity, age, sex, and BMI at rarefaction depths of 1,000 and 10,000. (C) ANOSIM results 695 for consensus distance matrix while subsampling the maximum number of individuals from 696 each ethnic group. (D) BioEnv results of correlation between ethnicity, age, sex, and BMI 697 together with outcome as multivariate beta diversity distance matrices [Distance Matrix = 698 Ethnicity\*x1 + Categorical Age\*x2 + Categorical BMI\*x3 + Sex\*x4 + B]. (E) ANOSIM results 699 for consensus distance matrix when each ethnicity and group of ethnicities are sequentially 700 removed from the analysis.

701

S3 Table. Alpha diversity by ethnicity, age, sex and BMI. Alpha Diversity for Ethnicity,
Age, Sex, and BMI across varying rarefaction depths and beta diversity metrics in AG (4A, 4CE), and for ethnicity in the HMP (4B). Results are based on non-parametric permutation
based t-tests, and p-values are Bonferroni corrected within each factor of interest, depth, and
metric.

707

S4 Table. Comparison of beta diversity distances for within and between ethnicities.
All values depicted are Mann-Whitney-U p-values. (A) All distances between pairs of
individuals within each ethnicity were compared between ethnicities across rarefaction

depths 1,000 and 10,000, four beta diversity metrics, and with while subsampling overrepresented ethnicities. (B) All distances between pairs of individuals within and between
each ethnicity were compared between ethnicities. (C) Mean distances between pairs of
individuals within each ethnicity were compared between ethnicities. (D) Mean distances
between pairs of individuals within and between each ethnicity were compared between
ethnicities.

717

# 718 S5 Table. Taxa which are differentially abundant by ethnicity, sex, BMI, and age in the

AGP and HMP. Kruskal-Wallis results for differential taxa abundance across metadata
groupings, including FDR and Bonferroni corrected p-values, and taxa abundance averages
within each group. Metadata factors and taxonomic levels are separated by excel tabs.

722

723 S6 Table. Taxa which are correlated with ethnicity, sex, BMI, and age in the AGP.
724 Results of linear (Abundance) and logistic (Presence Absence) regression results for
725 differential taxa abundance across metadata factors separated by taxonomic level. Columns
726 in order indicate the taxon name, the number of individuals with non-zero abundance; then
727 the p-value for ethnicity alone, the p-value Bonferroni corrected, the f-test statistic, and R<sup>2</sup>;
728 then the same values for the regression with ethnicity, age, sex, and BMI together; then the
729 abundances in each ethnic group, and finally the p-values for each factor broken down.

730

731 S7 Table. Genetic variants with taxa associations and detailed 1,000 Genomes
 732 population differentiation rates (F<sub>ST</sub>). Variants in red indicate the variant has at least one
 733 F<sub>ST</sub> above the 95<sup>th</sup> percentile for high differentiation between at least one pair of populations.

734 Columns I-BU represent the values for calculating variant F <sub>ST</sub> and percentiles. The first two
--

735 spaces indicate the two superpopulations being compared.  $F_{ST}$  indicates the rate of

736 differentiation for that variant between that pair of populations. Higher indicates the

number of variants genome-wide with a higher F<sub>ST</sub>, and total indicates the total genome-wide

variants examined. The columns with chromosome indicate the number of variants with

- higher F<sub>ST</sub> and total variants on the same chromosome as the variant of interest. Percent
- indicates the number of variants with a higher  $F_{ST}$  divided by the total number of variants.
- 741

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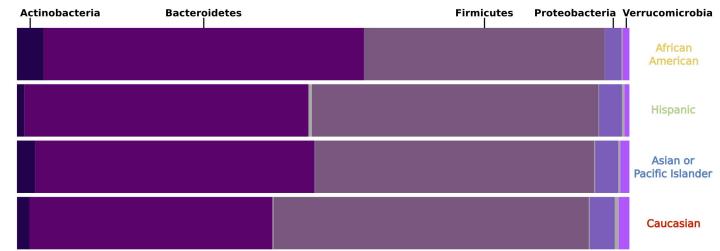
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-0.6       024 024 024 03       K_Bacteria; p_Proteobacteria; c_Betaproteobacteria; o_Burkholderiales; f_Oxalobacteraceae       0.18294         -0.8       028 024 024       03 026       K_Bacteria; p_Firmicutes; c_Clostridia; o_SHA-98; f_       0.59964         -0.8       028 024 024       03 026       K_Bacteria; p_Bacteroidetes; c_Bacteroidia; o_Bacteroidales; f_S24-7       0.11834         -0.8       028 024 024       V       K_Bacteria; p_Bacteroidetes; c_Bacteroidia; o_Bacteroidales; f_Rikenellaceae       0.00376			-02	0.42 0.3 0.39	k_Bacteria; p_Actinobacteria; c_Coriobacteriia; o_Coriobacteriales; f_Coriobacteriaceae	0.00660
-0.6       024 024 024 03       K_Bacteria; p_Proteobacteria; c_Betaproteobacteria; o_Burkholderiales; f_Oxalobacteraceae       0.18294         -0.8       028 024 024       03 026       K_Bacteria; p_Firmicutes; c_Clostridia; o_SHA-98; f_       0.59964         -0.8       028 024 024       03 026       K_Bacteria; p_Bacteroidetes; c_Bacteroidia; o_Bacteroidales; f_S24-7       0.11834         -0.8       028 024 024       V       K_Bacteria; p_Bacteroidetes; c_Bacteroidia; o_Bacteroidales; f_Rikenellaceae       0.00376	Ja		0.2	0.41 0.26 0.36	k_Bacteria; p_Firmicutes; c_Clostridia; o_Clostridiales; f_[Mogibacteriaceae]	
-0.6       024 024 024 03       K_Bacteria; p_Proteobacteria; c_Betaproteobacteria; o_Burkholderiales; f_Oxalobacteraceae       0.18294         -0.8       028 024 024       03 026       K_Bacteria; p_Firmicutes; c_Clostridia; o_SHA-98; f_       0.59964         -0.8       028 024 024       03 026       K_Bacteria; p_Bacteroidetes; c_Bacteroidia; o_Bacteroidales; f_S24-7       0.11834         -0.8       028 024 024       V       K_Bacteria; p_Bacteroidetes; c_Bacteroidia; o_Bacteroidales; f_Rikenellaceae       0.00376	Ľ		-04	-0.7 -0.64 -0.51 -0.39 -0.52 -0.4	k_Bacteria; p_Bacteroidetes; c_Bacteroidia; o_Bacteroidales; f_Bacteroidaceae	11. 10.1027 (C.1.101)
-0.6       024 024 024 03       K_Bacteria; p_Proteobacteria; c_Betaproteobacteria; o_Burkholderiales; f_Oxalobacteraceae       0.18294         -0.8       028 024 024       03 026       K_Bacteria; p_Firmicutes; c_Clostridia; o_SHA-98; f_       0.59964         -0.8       028 024 024       03 026       K_Bacteria; p_Bacteroidetes; c_Bacteroidia; o_Bacteroidales; f_S24-7       0.11834         -0.8       028 024 024       V       K_Bacteria; p_Bacteroidetes; c_Bacteroidia; o_Bacteroidales; f_Rikenellaceae       0.00376	ー		0.4			475.0.000.000.0000-0000
-0.8       0.28       0.3       0.26       k_Bacteria; p_Firmicutes; c_Clostridia; o_SHA-98; f_       0.59964         -0.8       0.28       0.3       0.24       k_Bacteria; p_Bacteroidetes; c_Bacteroidia; o_Bacteroidales; f_S24-7       0.11834         -0.9       0.00376       0.00376       0.00376	-		0.6			
- 0.8       0.28       0.33       0.24       k_Bacteria; p_Bacteroidetes; c_Bacteroidia; o_Bacteroidales; f_S24-7       0.11834         0.20       0.34       0.35       k_Bacteria; p_Bacteroidetes; c_Bacteroidia; o_Bacteroidales; f_Rikenellaceae       0.00376			0.0			
0.28       0.34       0.35       k_Bacteria; p_Bacteroidetes; c_Bacteroidia; o_Bacteroidales; f_Rikenellaceae       0.00376			0.9			
-10			- 0.8			A CONTRACTOR CONTRACTOR
- I.U 041 032 037 033 k_Bacteria; p_Bacteroidetes; c_Bacteroidia; o_Bacteroidales; f_[Odoribacteraceae] 0.00629			1.0	0.26 0.34 0.35	k_Bacteria; p_Bacteroidetes; c_Bacteroidia; o_Bacteroidales; f_Rikenellaceae	0.00376
			- 1.0	0.41 0.32 0.37 0.33	k_Bacteria; p_Bacteroidetes; c_Bacteroidia; o_Bacteroidales; f_[Odoribacteraceae]	0.00629

American Gut Project

Human Microbiome Project



