A biophysical regulator of inhibitory integration and learning in mesolimbic dopamine neurons

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Abstract

Midbrain dopamine neurons are essential for flexible control of adaptive behaviors. DA neurons that project to different target regions have unique biophysical properties, and it is thought that this diversity reflects functional specialization. This assumption implies the presence of specific genetic determinants with precise impacts on behavior. We tested this general hypothesis by homing in on one particular biophysical mechanism, Kv4 channel inactivation, using a combination of molecular, proteomic, electrophysiological, computational, and behavioral approaches. We demonstrate that KChIP4a, a singular Kv4 β-subunit splice variant, prolongs hyperpolarization-rebound delays selectively in dopamine neurons projecting to the nucleus accumbens core, shifts the integration of inhibitory inputs and, in turn, selectively regulates learning from negative prediction-errors. Our results reveal a highly specialized, gene-to-behavior mechanistic chain that is only operative in a particular dopaminergic subsystem, illuminating how molecularly defined biophysical switches are employed for neuron subtype-specific information processing in the brain.

Keywords: dopamine, mesolimbic, reinforcement learning, A-type current, Kv4, KChIP4, single compartment model, channel-complex proteomics, synaptic integration, reward prediction error

33 **Graphical abstract**

Main text

Introduction

Midbrain dopamine (DA) neurons regulate several behavioral functions, particularly learning and motivation, and are implicated in nearly every mental illness [1,2]. While previously thought to be a homogenous population, work from our lab and others has revealed that these neurons display a wide range of biophysical properties and gene expression profiles, which vary categorically depending on their axonal projection target and define vulnerability to disease. One hypothesis for this variability is that the membrane properties of each subgroup are specialized to a particular behavioral function [3]. If this is true, it stands to reason that there should also be specific genetic mechanisms within each subgroup that underpin these adaptations. This would also predict that some biophysical variables, as they contribute to identifiable computational processes, should have relatively circumscribed influences in behavior. In this study, we test this general hypothesis by homing in on one key biophysical differentiator of DA neuron subpopulations.

Specifically, DA neurons in the ventral tegmental area (VTA) that project to the core of the nucleus accumbens (DA-cNAcc), among select others, display longer rebound delays from hyperpolarizing inhibition than those that project to the lateral shell of the NAcc (DA-lNacc) and the dorsolateral striatum (DA-DLS) [4]. Atypical, slowly inactivating A-type K^+ currents, mediated by Kv4.3 channels, are responsible for these longer delays [5,6]. This suggests that Kv4 channels in DA-cNAcc neurons may be differentially modulated by a so far unknown mechanism.

Kv4 channel function is tightly controlled by modulatory beta subunits, including those of the KChIP (K^+ channel interacting proteins) family [7,8]. In mammals, four genes (KCNIP 1-4) encode distinct KChIP proteins, each with multiple splice variants. One of those, KChIP4a (a KChIP4 isoform) stands out for having a unique K^+ channel inhibitory domain (KID), which markedly slows Kv4 channel inactivation while promoting close-state inactivation [7,9,10]. Most KChIP

isoforms, including all other KChIP4 variants, induce the opposite effects, and the ultimate

impact on channel kinetics depends on the relative ratio between KChIP4a and other KChIP

isoforms within the channel complex [11,12]. Differential expression of KChIP4a by DA-cNAcc

neurons is expected to produce longer post-inhibitory rebound delays by slowing inactivation of

Kv4 channels. This keeps the cells not only in a more hyperpolarized voltage range for longer

periods, but also alters their synaptic integration by increasing their input conductances. We

therefore reasoned that KChIP4a could be one of the molecular determinants of the projection-

specific diversity in rebound delays across DA neurons.

What would this mean for behavior? It has been demonstrated that the activity of many DA

neurons tracks reward prediction errors (RPEs), i.e. the difference between expected and

experienced value [1]. Specifically, these DA neurons respond with phasic increases in firing to

unexpected rewards and reward-predicting cues (positive RPEs), and with phasic decreases in

firing, or pauses, when an expected reward is omitted (negative RPEs) [1,13]. RPE encoding is

particularly prevalent in DA neurons that project to the cNAcc [14,15], and multiple studies have

also demonstrated that transient inhibition of DA neuron firing is causally implicated in learning

from negative RPEs [16–18]. Therefore, we predicted that the endogenous expression of the

alternative splice variant KChIP4a, by controlling the integration of inhibitory inputs in DA-cNAcc

neurons, might selectively regulate learning from negative RPEs.

We tested this set of hypotheses by creating a cell type- and exon-specific transgenic mouse

line where the KChIP4a isoform is deleted only in DA neurons, allowing us to disrupt this

proposed mechanistic chain from channel subunit to behavior at its most basic link. Using a

combination of molecular, proteomic, electrophysiological, computational, and behavioral

approaches, we show that KChIP4a underlies one of the key features of DA neuron diversity,

and that it regulates the integration of inhibitory inputs and learning from negative RPEs.

Results

 Selective deletion of KChIP4a from midbrain DA neurons

To selectively knock out KChIP4a in DA neurons, we developed a mouse line where we floxed

the exon coding for the KID of KCNIP4 (exon 3), which is unique to the KChIP4a variant (Figure

1A and B) [9]. We crossed these mice with a DAT-Cre line to create mice with a selective deletion

of KChip4a in midbrain DA neurons (Ex3d, for "exon 3 deletion", Figure 1C). For all subsequent

procedures, these mice were compared with DAT-Cre$^{+/-}$ littermate controls (CTRL), and both

male and female mice were used for all experiments [19].

To validate the effects of our conditional exon-specific knockout, we combined fluorescent *in*

situ hybridization (FISH) with probes targeting either the KID n-terminal sequence (specific to

KChIP4a) or the conserved KChIP4 c-terminus (to label all KChIP4 variants), with

immunohistochemistry (IHC) for tyrosine hydroxylase (TH) to identify DA neurons. We observed

clear double-labeling for both KChIp4a mRNA and TH in the midbrain of CTRL mice, but no

such labeling was seen in Ex3d mice (Figure 1D). Labeling of KChIP4 mRNA was visible in TH-

cells in both CTRL and Ex3d mice, confirming that our mutation ablated KChIP4a mRNA

expression only in TH+ DA neurons (Figure S1J). Finally, when using the general KChIP4

probe, we observed labeling in TH+ cells in both CTRL and Ex3d mice (Figures S1E and K),

demonstrating that the Ex3d mutation did not eliminate expression of other KChIP4 variants in

DA neurons.

Figure 1. Transgenic strategy for selectively deleting KChIP4a from DA neurons.

A: Cartoon schematic of KChIP4a exons and corresponding proteins. Note that the KChIP4a-defining KID is encoded by Ex3 of the KCNIP4 gene. **B:** Cartoon schematic of the transgenic strategy used for creating the vector where Ex3 was floxed. This vector was later electroporated into mouse embryonic stem cells which were subsequently used to generate mice with a Cre-dependent conditional KO of KCNIP4 Ex3 (see Methods section for details). **C:** Breeding strategy for generating mice with a selective deletion of KChip4a in midbrain DA neurons (Ex3d). Conditional KO mice were crossed with DAT-Cre KI mice (F0), generating double heterozygous offspring (F1), which were then crossed to produce Ex3d mice and controls that were DAT-Cre KI but did not have floxed KCNIP Ex3 (CTRL). **D:** FISH validation of the KO strategy. CTRL mice showed robust expression of KChIP4a mRNA in midbrain DA neurons, while Ex3d mice showed no such expression (see Figure S1 for additional validation). N=3 for Ex3d and CTRL.

Ex3d mutation changes midbrain Kv4 channel composition

To assess the effects of the Ex3d mutation on Kv4 channel composition, we performed

quantitative high-resolution proteomic analysis of the Kv4 channel complexes (Figures 2 and

S2) affinity-isolated from tissue samples that were excised from the ventral midbrain (region of

interest) or cerebellum (control) of both CTRL and Ex3d mice [20–22]. We found that the average

Kv4 channel core in the ventral midbrain is an asymmetric tetramer composed of one Kv4.2 and

three Kv4.3 subunits. In the midbrain, this channel core co-assembled with an average four

KChIP subunits with relative contribution of 0.5 KChIP1, 0.5 KChIP2, one KChIP3 and two

KChIP4 thus uncovering/revealing KChIP4 as the dominant auxiliary subunit in that region

(Figure 2A). In the cerebellum, the relative contribution of KChIP4 was decreased in favor of

KChIPs 1 and 3, thus identifying the high relative abundance of KChIP4 in the midbrain as

region-specific (Figure 2B). Importantly, there was no significant difference in the relative

abundance of all Kv4 complex constituents between CTRL and Ex3d mice, in both midbrain and

cerebellum. This confirmed that the Ex3d mutation does neither disrupt expression of other

KChIP4 variants, nor does it affect the overall stoichiometry of the native Kv4-KchIP channel

complexes.

Next, we determined the relative abundance of KchIP4a in each tissue sample using MS-signals

of isoform-specific peptides (see Methods). These analyses uncovered the selective reduction

of KChIP4a protein in the midbrain samples from Ex3d mice (Figure 2C). The reduction was not

complete (~25%), likely because non-DA cells within the midbrain still express KChIP4a in Ex3d

mice (Figure S1J), concordant with a targeted disruption of KChIP4a expression in midbrain DA

neurons.

Figure 2. Ex3d mutation reduces KChIP4a protein expression in midbrain DA neurons without
disrupting overall Kv4 channel subunit composition.

A: Scheme of proteomic analysis of native Kv4 channel complexes. Tissue was excised from ventral
midbrain and cerebellum, Kv4 channel complexes were affinity-isolated (antibodies in green, channels
and associated proteins in other colors) and analyzed by liquid chromatography-tandem mass
spectrometry (LC-MS/MS) following tryptic digest. **B, C:** Normalized molecular abundance determined for
the indicated Kv4 complex constituents from ventral midbrain (MB; **B**) or from the cerebellum (CB, **C**) of
both CTRL and Ex3d mice (see also Figure S2). Abundance was normalized to the tetrameric structure of
the channel core. Note that Kv4.3 is the predominant pore-forming subunit (3/4 of each tetramer, on
average), in either brain region, while KChIP4 is the prevailing auxiliary subunit in MB (2/4 of each
tetramer, on average), but not in CB. **D:** Analysis of the molecular abundance of the KChIP4a protein
(relative to CTRL) based on isoform-specific peptides. Note the selective reduction of KChIP4a
abundance in the midbrain of Ex3d mice. N=12 experiments for Ex3d and CTRL. Error bars indicate
standard error of the mean. *P < 0.05; **P < 0.01.

 KChIP4a regulates rebound delays and A-type currents in mesolimbic core DA neurons

To test whether KChIP4a was a molecular determinant of the long rebound delays observed in

cNAcc-DA neurons [4,6], we performed *ex vivo* patch-clamp recordings of DA neurons from Ex3d

and CTRL mice that projected to the NAcc core, NAcc lateral shell, or DLS, which were

identified by retrograde axonal tracing and post-hoc IHC (Figure 3A, B and C). We found that

the Ex3d mutation reduced the rebound delay in cNAcc-DA neurons by nearly 50 % ($P =$

0.016*; Figure 3D). Importantly, there was no effect on several membrane properties of DA

neurons that projected to other striatal areas (Figure 3I and J), nor was there any observed

additional effect in DA-cNAcc neurons (Figures 3D and S4). These results confirmed our

hypothesis that KChIP4a is a main molecular determinant of the long rebound delays observed

in DA-cNAcc neurons. Furthermore, this effect is highly selective, as no other variable was

affected by the Ex3d mutation.

To confirm the biophysical underpinning of the difference in rebound delays, we performed

voltage clamp recordings of A-type currents in DA-cNAcc neurons of Ex3d and CTRL mice. We

found that KChIP4a deletion had a dual effect on I_A kinetics, speeding up both open-state

inactivation ($P = 0.002$**; Figure 4A) and recovery from inactivation (interval effect $F_{3, 48} = 110.2$,

$P < 0.0001$***; interaction of interval and genotype effect, $F_{3, 48} = 5.321$, $P = 0.003$**; Figure 4B).

These results are in line with previous descriptions of KChIP4a's effect on A-type currents in

heterologous systems [7,9].

Figure 3. KChIP4a defines the longer rebound delay seen in NAcc core-projecting DA neurons.

A: Schematic representation of the experimental timeline. Mice, CTRL and Ex3d, were injected with red retrobeads (RB) in different striatal areas, then retrogradely traced neurons in the midbrain were patched with neurobiotin-filled pipettes. After recordings, brain slices were processed for TH

immunohistochemistry (IHC) and neurobiotin revelation, to confirm their DA phenotype and anatomical

location, respectively. **B:** Representative micrographs of injections in the NAcc core, lateral shell and

DLS, as well as of recorded, retrogradely-labeled, and TH-stained DA neurons from each of the projection

pathways (See Figure S3 for the full mapping of injection and recording sites). **C**: Representative current-

clamp recordings of DA neurons from both CTRL and Ex3d mice with confirmed projections to the NAcc

core (N=16/4 for Ex3d and N=12/4 for CTRL), lateral shell (N=20/4 for Ex3d and N=27/4 for CTRL) or

DLS (N=14/3 for Ex3d and N=19/3 for CTRL), in order (left to right), in response to a -80 mV

hyperpolarizing pulse. Note the shorter rebound delay in the NAcc core-projecting example from an Ex3d

mouse in relation to CTRL. **D:** Biophysical properties of projection-identified DA neurons from CTRL and

Ex3d mice. The only significant difference observed across genotypes and projection targets was a

significant shortening of rebound delay in DA-cNAcc neurons of Ex3d mice in relation to CTRL. See

Figure S4 for more comparisons. AP = action potential. Error bars indicate SEM. *$P < 0.05$.

Figure 4. KChIP4a controls A-type current inactivation and recovery in NAcc core-projecting DA neurons.

A: Representative recordings of A-type currents (I_A) from CTRL and Ex3d DA-cNAcc neurons, elicited with successively larger depolarization pulses from -80 mV. **B:** In relation to controls, A-type currents recorded from neurons of Ex3d mice had a significantly lower fraction of slow inactivation, i.e., faster inactivation kinetics, but showed no difference in peak amplitude. **C:** Recovery from inactivation was tested by applying two 60 mV pulses from -100 mV separated by increasing intervals. **D:** Compared to CTRL, Ex3d cells showed faster recovery from inactivation. (N=9/ for Ex3d and N=9/5 for CTRL). Error bars indicate SEM. *P < 0.05.

Simulation of KChIP4a deletion reveals a shift in inhibitory integration

Given that the dynamics of *in vivo* subthreshold input integration in DA neurons have only been inferred from intracellular recordings in anesthetized animals [23–25], the ultimate consequence of KChIP4a removal on behaviorally-relevant neural activity could depend on several unknown parameters, such as pre-synaptic neurotransmitter release dynamics. In order to form a specific hypothesis for the behavioral consequences of KChIP4a deletion in DA neurons, we needed to infer how the potential effects on input processing varied across different conditions of synaptic connectivity and temporal integration. To do this, we used biophysically realistic computational models of DA-cNAcc neurons (Figure 5), tailored to reflect their empirically defined membrane properties [26].

To simulate the effects of binding of different KChIPs, we modelled the Kv4.3 with a Hodgkin-Huxley style [27] model with two independent additive inactivation states (Eq, N; slow and fast, respectively). The slow gate is associated with the conductance of channels modulated by the KChIP4a subunit, whereas the fast gate is associated with the conductance of all other "fast" subunits [7,9]. The steady state values for both inactivation and activation (Figure 5A), along with the kinetics of activation, were adapted from Tarfa et al (2017), and in the absence of population-specific data, steady-state parameters were held constant across subpopulations (Table S1). The kinetics of the fast and slow inactivation gates are given in (Figure 5A) and were taken from the current clamp data presented in Figure 4. Representative cells for both CTRL and Ex3D were created using the mean ratios and peak currents from Figure 4B for those respective data sets. Consistent with *ex vivo* rebound data in Figure 3C, the CTRL model cell has a rebound delay of 1.9 second when released from a 1 second step to -80 mV, while the Ex3D model cell has a rebound delay of 0.97 second under the same conditions (Figure 5B). Additionally, consistent with recorded data, the basal firing rate (3.4 in CTRL and 3.3 in Ex3D) was not significantly affected.

Next, we explored how these different Kv4.3 currents could affect synaptic integration. *In vivo,*

hyperpolarization rebound delays are concurrent with a barrage of both excitatory and inhibitory

inputs in the balanced state. Thus, the duration of post-inhibitory pauses is not necessarily

determined by the length of the biophysically-determined rebound delay. Rather, it is shaped by

the integration of this rebound with concomitant synaptic input. Kv4.3 channels that are de-

inactivated during hyperpolarization produce a large outward current upon release from

hyperpolarization with a conductance that increases rapidly during the subsequent

depolarization. The fast activation of this channel, on the order of 1 ms [6], creates a restorative

counterbalance not only to intrinsic inward currents, but glutamatergic EPSPs as well.

Due to the differences in the timescales of both inactivation and recovery from inactivation

between the two subunits, we hypothesized that the CTRL and Ex3D cells would respond

differently to rebound following short and shallow hyperpolarizing steps, which would mostly de-

inactivate "fast" Kv4 channels (without KChIP4a), versus longer hyperpolarizing steps, which

would fully de-inactivate channels with all subunits. We tested this hypothesis by comparing the

total Kv4.3 conductance of the Ex3d and CTRL models in response to a simulated voltage

clamp experiment holding the cell at a typical subthreshold voltage value of -40 mV with variable

length pulses to a typical $GABA_A$ reversal potential of -60 mV. For short (25-200 ms)

hyperpolarizing steps, such as those that would be created by a brief inhibitory input, the Ex3d

cells produced 25 % larger initial Kv4.3 currents that decayed below that of the CTRL after

about 100 ms (Figure 5C). For longer (>200 ms) hyperpolarizing steps consistent with a train of

inhibitory inputs, the initial currents were roughly equivalent, but the faster subunits in Ex3d

inactivate more quickly, leaving a larger conductance in the CTRL over longer timescales.

Comparing the mean open fraction of Kv4.3 channels of the CTRL and Ex3D models over post-

inhibitory windows of 100 ms and 250 ms (Figure 5C) reveals that over short timescales Ex3D

cells are more efficient at producing quick surges in Kv4.3 conductance, while CTRL cells are

more efficient at producing long lasting Kv4.3 conductance from longer pauses.

To probe the potential consequences of this finding to the processing of behaviorally relevant

information, we tested how CTRL and Ex3d cells would respond in a condition that mimicked as

closely as possible the synaptic environment in an intact mouse (a "simulated *in vivo*" model).

We did this by applying simultaneous Poisson process trains of excitatory and inhibitory

postsynaptic potentials (EPSPs and IPSPs, respectively), to create a balanced state similar to

what has been experimentally observed in DA neurons *in vivo* [23], *ex vivo* using dynamic clamp

[28], and in computational models [29]. We then applied phasic inhibitory pulses by introducing

temporally correlated $GABA_A$ inputs on top of the existing balanced state inputs (Figure 5D). For

both single pulse inhibition and a short train of inhibition (10 pulses at 25 Hz; Figure 5D),

examination of the individual traces is not sufficient to reveal subtle differences in population

responses. Repeated presentations of the stimulus to a single noisy neuron, analogous to trial-

based experimental approaches for studying DA neuron correlates in reinforcement learning [1,13],

are required to characterize the differences in the response of CTRL and Ex3d cells. Consistent

with the larger mean Kv4 conductance evoked during short time windows following brief

hyperpolarization pulses (Figure 5C), Ex3D model neurons had significantly larger pause

intensities (effectiveness to decrease firing) immediately following single pulse inhibition relative

to CTRL (Figure 5E). Also consistent with the smaller Kv4 conductance evoked during longer

time windows following more long-lasting hyperpolarization (Figure 5C), Ex3D models exhibited

significantly lower pause intensities immediately following long inhibitions (Figure 5E). These

differences were found over a wide range of input strengths, with the model Ex3D cell saturating

at a 13 % higher pause intensity following pauses (Figure 5F). In contrast, there were 53 % less

pauses spikes immediately following the 360 ms pulse of 25 Hz inhibitory input. Taken together,

this suggests that Ex3D cells have an elevated gain in translating short, temporally correlated

inhibitory signals into pauses at a network level. This comes at the cost of a reduced gain in

translating trains of inhibitory input into more sustained pauses. Taken together, our *in silico*

exploration of the effects of KChIP4a deletion in DA-cNAcc neurons predicted that Ex3d mice

could show more effective hyperpolarization-induced pausing *in vivo*, especially regarding

transient synaptic events, which should consequently enhance learning from negative RPEs.

Figure 5. Modelling of the biophysical effects of KChIP4a deletion in DA neurons predicts altered *in vivo* firing pauses after inhibition in Ex3d mice.

A: Model calibration of Kv4.3 models, including activation and inactivation curves for all Kv4.3 subunits (top) and time constant of the inactivation gating variable for KChIP4a (solid) and fast Kv4.3 subunits (dashed) (bottom). **B:** Model rebound response from 1s step to -80 mV for CTRL subunit distribution (blue) and Ex3d subunit distribution (red). **C:** Short hyper-polarizations predicted to preferentially recover fast Kv4.3. Top panels show total Kv4.3 conductance of CTRL (blue) and Ex3d (red) at -45 mV following a 50 ms (left) and 300 ms (right) step to -60 mV. Left bottom panel shows the time-averaged Kv4.3 conductance during the 100 ms post rebound window (gray box in panel above) for CTRL and Ex3d following -60 mV voltages steps ranging from 25 and 400 ms, while right bottom panel shows the same

during the 250 ms post rebound window (gray box in above panel). Inset represents command voltage

protocol. **D:** Representative traces and raster plots for CTRL (blue) and Ex3d (red) model neurons in a

simulated 3.7 Hz balanced state perturbed by either single (left) or multiple (10x IPSC at 25hz, right)

identical simultaneous $GABA_A$ ($ECl- = -60$ mV) inputs. **E:** Spike density histogram of trials in D (aligned to

above). Bins are 25 ms intervals averaged over 500 total trials. Density of CTRL (solid blue) overlayed

with Ex3d (red box). Grey overlay indicates a 250 ms rebound interval. **F:** Pause intensity (decrease in

spike density) in rebound interval as IPSC amplitude ($gGABA_A$) is varied over the fixed balanced state for

single IPSCs (left) or a train of 10 IPSCs at 25 Hz (right). Intensity is normalized to that of unperturbed

balanced state. Error bands correspond to the standard deviation of the mean.

KChIP4a deletion in DA neurons selectively enhances learning from negative RPEs

While our modelling-inspired hypothesis was very straightforward, we subjected Ex3d mice and

CTRL to a battery of DA-sensitive tasks to test how the deletion of KChIP4a in DA neurons

could have more general effects on behavior. This included open field exploration (Figure 6A),

hole board exploration (Figure 6B), novel object preference (Figure 6C), and spontaneous

alternation in the plus maze (Figure 6D). There was no difference between the genotypes in any

of these tasks, indicating that deleting KChIP4a in DA neurons did not impact locomotion,

anxiety, spontaneous exploratory behavior, novelty preference and learning, short-term

memory, nor working memory. This series of tests demonstrated that KChIP4a deletion in DA

neurons does not produce a widespread effect on DA-dependent tasks.

Figure 6. DA neuron-specific KChIP4a deletion does not affect several DA-dependent behaviors.

A: Session-based results of the open field test, including representative tracks from mice of both
genotypes, averages of the total track length, proportion of track length in the center of the open field,
number, and duration of rearings during the whole 10 minutes of the task and averaged across every
minute of the 10 minute task. **B:** Hole board test results, including total number of head dips, total
summed head-dip durations, latency to first dip and of repeat head-dips. **C:** Cartoon representation of the
novel object preference test, and the results of the discrimination index (positive values indicate
preference for the novel object in trial 2). **D:** Plus maze test results; spontaneous alternations were
quantified as the proportion of spontaneous alternations relative to the total possible alternations. Note
the absence of a significant genotype difference for all variables in all tests (unpaired T tests and two-way
repeated measures ANOVA, P > 0.05). N=9 for Ex3d and N=20 for CTRL. Error bars indicate SEM.

To test the model-inspired hypothesis that learning from negative RPEs should be increased by

KChIP4a deletion, we trained mice on a reinforcement learning task where they learned that an

auditory cue signaled the availability of sugar water reward (acquisition), followed by sessions

where reward was omitted (extinction). We did not observe a significant difference between

genotypes on any behavioral variable during acquisition (Figure 7A-D). However, we found that,

as predicted by our biophysical modelling, Ex3d and CTRL mice differed dramatically in

extinction learning. The Ex3d group displayed a significantly accelerated reduction in the time

they spent in the reward port during cue presentation (interaction of genotype and session

progression effect, $F_{5, 135} = 3.192$, $P = 0.001$**; Figure 6A) and a faster increase in response

latency (interaction effect, $F_{5, 135} = 2.615$, $P = 0.027$; Figure 6B) across extinction sessions.

To verify whether we were observing a direct effect on learning (which would be compatible with

an impact on RPE signaling) or a reduction of responding in the absence of direct reinforcement

(more compatible with an impact on motivational processes), we analyzed the trial-by-trial

behavior measures in the first extinction session. If the Ex3d mice already displayed a reduced

time in port on the first extinction trial (when the mice were first confronted with an unexpected

reward omission), then the genotype effect would be more likely attributable to decreased

motivation [30]. However, we found that Ex3d and CTRL mice were statistically indistinguishable

in their responses during the first extinction trial (Figure 7A-D). Only in later trials of the first

extinction session, genotype differences emerged in response latency (interaction effect, $F_{9, 243}$

$= 2.01$, $P = 0.031$*; genotype effect, Ex3d > DAT-Cre KI, $F_{1, 27} = 7.51$, $P = 0.01$*; Figure 7B) and

in the number of head entries (genotype effect, CTRL > Ex3d, $F_{1, 27} = 5.98$, $P = 0.021$*; Figure

7C). We also identified a strong trend for a gradual divergence between genotypes, starting on

the second trial, for the time in port metric (genotype effect, CTRL > Ex3d, $F_{1, 27} = 3.51$, $P =$

0.07; Figure 7A). This confirms that the Ex3d effect developed progressively as the mice

learned that the CS no longer predicted US availability, strongly suggesting a selective effect on

learning from expected reward omission, i.e., negative RPEs.

To formally test the hypothesis that only negative RPEs were affected, we fitted the trial-by-trial

time in port values for both Ex3d and CTRL groups with a Rescorla-Wagner model which

assumed different learning rates for positive (α_P) and negative (α_N) RPE-based learning [31–33].

This model successfully recapitulated the behavioral data, including the different learning

kinetics during extinction (Figure 7E). Comparisons of the learning rates for the best fits showed

that indeed the Ex3d group had significantly higher α_N values, i.e., faster learning from negative

RPEs ($P < 0.05$), without any change in α_P (Figure 7F). Importantly, empirically determined

model parameters (V_0, V_{max} and V_{min}), as well as goodness of fit, were similar for both genotypes

(Figure 7G). These results support the interpretation that the effect of deleting KChIP4a in DA

neurons can be explained by a selective increase in learning from negative RPEs.

Figure 7. DA neuron-specific KChIP4a deletion selectively improves learning from negative RPEs.

A: Time spent in port during CS presentation on a session-by-session basis during acquisition and extinction, as well as on a trial-by-trial basis on the first extinction session. Note the faster response extinction for Ex3d mice in relation to controls, including the significant pair-wise difference during the first extinction session, and the strong trend towards faster trial-by-trial extinction in the first extinction session. **B:** Latency to respond during CS presentation for all phases of the reinforcement learning task. This measure increased faster during extinction in Ex3d mice. Importantly, there was also faster trial-by-trial learning in the first extinction session. **C:** Number of head entries into the reward port during CS presentation. Note the faster response extinction for Ex3d mice in relation to CTRL, as well as a faster trial-by-trial extinction in the first session of extinction in Ex3d mice. **D:** Duration of head entries into the reward port during CS presentation. Note the lack of a genotype effect during all phases of acquisition and extinction. Furthermore, for all measured variables, there was no significant difference between genotypes during acquisition or in the first trial of the first session of extinction. **E:** Fitting of a Rescorla-Wagner model to the trial-by-trial time in port data. Note that the model tracks the behavioral data with relatively good accuracy in both groups, and that the model fits overlap almost completely during

acquisition, but diverge in extinction, reflecting the faster extinction learning kinetics of the Ex3d mice in

relation to controls (black arrow). **F:** Best fit α_P and α_N values for Ex3d (red) and CTRL (blue) groups.

Circles represent individual values. Crosses indicate the mean ± SEM of each group. Note the selective

increase in α_N (learning from negative RPEs) in Ex3d in relation to littermate controls. **G:** V_0, V_{min}, V_{max},

and best fit residuals for Ex3d and CTRL groups. No significant difference was observed between the

compared groups. N=9 for Ex3d and N=20 for CTRL. Error bars indicate SEM. *$P < 0.05$; **$P < 0.01$.

Discussion

In this study, we disrupted a specific genetic mechanism – the alternative splicing of the Kv4

channel regulatory subunit KChIP4a – in DA neurons and tracked the selective effects of this

perturbation across multiple scales ranging from Kv4 channel complex composition and gating,

cellular membrane properties, information integration, to behavior. We achieved this by

generating a transgenic mouse line where KChIP4a is selectively removed from DA neurons,

confirming the precision of our molecular perturbation at the level of mRNA and Kv4 channel

complex composition using FISH and high-resolution proteomics.

We then showed that this deletion reduced rebound delays in DA-cNAcc neurons, while sparing

both other physiological variables within these neurons and all properties of other DA subtypes,

namely DA-lNAcc and DA-DLS neurons. This demonstrated that KChIP4a is a molecular

determinant of the previously described variability in rebound delays between different

subpopulation of DA neurons [4,6]. The subpopulation specificity of this phenotype is likely due to

the intrinsic differences in the expression KChIP4a between the cell groups – DA-cNAcc

neurons may just express more KChIP4a and are thus more sensitive to its deletion. It could

also be determined by differences in relative HCN currents, which typically oppose the effects of

A-type currents by accelerating rebound delays. Cells that barely have hyperpolarization-

activated depolarizing currents (a.k.a. sag), like DA-cNAcc neurons, would have only a limited

range for compensating the effects of KChIP4a deletion, while cells with more sag could

potentially adjust HCN channel expression to match the changes in Kv4 channel properties. It is

also possible, or even likely, that both mechanisms are involved in determining the final

phenotype. The biophysical selectivity of the phenotype could be attributable to the fact that Kv4

channels in atypical DA VTA neurons are mostly inactivated at typical neuronal resting

potentials and require hyperpolarization to recover from inactivation [8], i.e. they contribute little to

the cell's electrophysiological dynamics unless there is hyperpolarizing inhibition. This is in

contrast to the pacemaker control function of Kv4 channels in conventional DA SN and DA VTA

neurons [5,34].

We further used biophysical computational models of DA-cNAcc neurons to simulate the effects

of KChIP4a deletion across a wide variety of conditions. We demonstrate that the shift in

inactivation kinetics produced by the removal of KChIP4a is enough to shorten rebound delays,

supporting the conclusion that KChIP4a is the biophysical underpinning of long rebounds in

atypical DA-cNAcc neurons. Effectively, relative to CTRLs, Ex3d DA-cNAcc neurons have more

Kv4.3 channels available for activation very shortly after, but less so after a longer time period

(as they inactivate faster and therefore lead to lower general conductance).

To infer the *in vivo* functional consequences of such a change, we used Poisson-distributed

IPSP and EPSP trains to simulate an *in vivo*-like inhibition-excitation balance and probed how

this biophysical switch could affect information integration. We found that barrages of IPSPs,

which supposedly underlie negative RPE integration in DA neurons [13,31], tested across several

combinations of IPSC number amplitude, led to different firing pause durations in Ex3d models

versus controls. Interestingly, the *in silico* removal of the slow inactivation component

associated with KChIP4a modulation made pauses longer when inhibitory inputs were short and

shorter when they were long, similar to the observed effect on Kv4 conductance, and therefore

systematically shifting the integration of GABAergic stimuli.

Finally, we show mice with the Ex3d mutation induced a highly specific change in learning from

negative RPEs, with several other DA-dependent behaviors being spared. This deletion had a

circumscribed effect on the learning rate during extinction of a conditioned response. It did not

affect acquisition or other behavioral processes like locomotion and working memory. Moreover,

this effect on extinction learning was circumscribed to changes in the rate with which mice

initiated reward-seeking behaviors, without affecting disengagement dynamics [35]. Fitting the

behavior data to a reinforcement learning model confirmed that this phenotype is compatible

with a selective increase in negative RPE learning rates [36].

Several studies have shown that pause duration and firing rate decreases in DA neurons, as

well as reductions in DA release or DA axon Ca^{2+} transients in the ventral striatum, are directly

correlated with negative RPE signaling [14,31,37,38]. Our results show that DA-cNAcc neuron

inhibition, and subsequent negative RPE signaling, are under tight biophysical control by a

unique K^+ channel modulator. The most straightforward interpretation of our findings, in line with

the aforementioned literature, is that the removal of KChIP4a from these cells increases pause

durations following negative RPE-related IPSCs. This explicitly predicts that normal signaling of

negative RPEs involves surges in synaptic inhibitory currents that are somewhat small,

infrequent, or both, as these would be amplified by KChIP4a deletion.

Interestingly, some recent studies, using precise optogenetic inhibition of DA neurons during

reinforcement learning tasks, have suggested that negative RPEs are more effectively signaled

by *multiple short pauses* rather than by *single long pauses* in DA neuron firing [16,18,39]. While this

in some ways is in line with our prediction that endogenous negative RPEs are signaled via

short inhibitory bouts, it also could suggest the alternative, albeit less likely, possibility that

KChIP4a deletion increases learning from negative RPEs by reducing pause durations or even

by breaking apart long firing interludes into multiple short breaks. Future work should directly

test these different explanations.

There are interesting possibilities as to how altered inhibition integration in DA-cNAcc neurons is

translated into stronger negative RPE-based learning at a population level. It was recently

shown that VTA DA neuron ensembles encode RPEs in a distributed manner, i.e. individual DA

neurons encode RPEs with different biases, so that as a population they can fully represent the

distribution of reward availability contingencies [40]. Individual DA neurons fired as if operating

with different positive and negative RPE learning rates – α_P and α_N – and therefore were

differentially affected by rewarding or disappointing events. The mechanisms that determine this

heterogeneity were, however, not determined. We speculate that, by specifically controlling α_N,

KChIP4a expression could be important for setting this positive/negative RPE signaling bias in

each cell. Hence, removing KChIP4a from DA neurons may have shifted the population-level

encoding of RPEs towards a more "pessimistic" bias, leading to faster extinction.

Kv4 channels, and their regulation by auxiliary subunits, are also key modulators of synaptic

integration in other, non-dopaminergic, cell types. For example, in olfactory bulb mitral cells, fast

Kv4 currents selectively attenuate AMPA-, but not NMDA-mediated EPSCs [41], and in CA1

pyramidal neurons, Kv4 currents control the amplitude and duration of EPSCs, the

backpropagation of dendritic action potentials, and the threshold for LTP induction [42,43]. Our

results add to this literature by demonstrating, to our knowledge for the first time, that slow

Kv4.3-KChIP4a currents can act as relatively selective regulators of hyperpolarizing inhibitory

inputs, a feature that may be present in other cell types.

Two remarkable aspects to our findings are 1) that the deletion of a single splice variant

produced such a highly penetrant phenotype across multiple scales of organization, and 2) that

these effects were strikingly selective at all levels of analyses. While much recent work argues

that neuronal ion channel expression is typically degenerate, i.e., the function of different

conductances overlap to ensure functional robustness [44], our findings would suggest that this is

not the case for KChIP4a in DA-NAcc neurons. This may be because the unique properties of

this subunit are particularly vulnerable to loss of function mutations, leading to a high selection

pressure for feature conservation. This would explain why the structure of KChIP4a is more

similar to the earliest known ancestral KChIP protein than other members of this family [7,45]. DA-

NAcc neurons, due to their own ion channel profile, seem to be reliant on KChIP4a as a tuner of

inhibitory integration. Other cell types, neuronal or not, could also be similarly reliant on

KChIP4a. As a general principle, perhaps some channels, or their modulatory subunits, cannot

be functionally compensated by degenerate mechanisms, and are therefore critical nodes for

determining cellular function and disfunction.

Our findings may also be relevant for the understanding of human disease. Recent studies have

demonstrated that surviving nigral DA neurons of parkinsonian patients and animal models of

parkinsonism have increased KCNIP4 expression [46,47]. While these studies did not differentiate

between different KCNIP4 isoforms, it could be that the differential expression of KChIP4a plays

a key role in determining DA neuron resilience in Parkinson's disease, especially since nigral

DA neurons in animal models of parkinsonism show reductions in Kv4 currents [48,49].

Furthermore, polymorphisms in the KCNIP4 gene have been linked to multiple mental illnesses,

including substance use disorder [50–53], attention-deficit-hyperactivity disorder [54–56], depression

[57,58], bipolar disorder [59,60], autism [61], and several personality disorders [54]. Interestingly, most of

these polymorphisms are in intronic regions, suggesting that regulatory changes in KCNIP4

gene expression, including differences in splicing cis-regulatory elements, may play a key role in

these associations [62]. Furthermore, the diseases most frequently associated with KCNIP4

variations – substance use disorder, ADHD, depression, and bipolar disorder – have been

directly linked to altered learning from negative RPEs [63–66]. Our findings raise the intriguing

hypothesis that KCNIP4 polymorphisms may increase predisposition to certain mental illnesses

by, changing the regulation of KChIP4a expression and subsequently affecting negative RPE-

based learning. Given the relative lack of degeneracy for KChIP4a function, this subunit may be

particularly important for defining vulnerability to disease.

In summary, we have identified KChIP4a as a selective molecular modulator of inhibitory

integration in a subset of DA neurons and, consequently, of learning from negative RPEs,

singling out this subunit as a likely homeostatic controller of adaptive behaviors. Our findings

demonstrate the existence of a highly specialized gene-to-behavior mechanistic chain built

within the midbrain DA system, furthering our understanding of how the genetically determined

biophysical diversity of DA neurons may be critical for the execution of specific computational

processes.

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Author contributions

KMC and JR designed the overarching research project, interpreted all results, and wrote the

manuscript. JR supervised the research project. JR and CCC acquired financial support for the

research project. KMC wrote the first draft of the manuscript, planned, performed, and analyzed

results from behavioral experiments and behavioral modelling, and compiled data from all

experiments. NH and JR planned, performed, and analyzed results from the *ex vivo*

electrophysiology experiments. TM and DS planned, performed, and analyzed results from the

combined FISH/IHC assays. JS and BF planned, performed, and analyzed results from the

proteomic experiments. CK and CCC developed and implemented the biophysical modelling of

DA neurons.

Declaration of Interests

The authors declare no competing interests.

Methods

Animal protocols

All animal procedures described in this study were approved by the German

Regierungspräsidium Gießen and Darmstadt (license numbers: V54-19c 20/15 –FU/1100 &

FU1203). Mice were bred and housed until 8 weeks of age at MFD diagnostics (Mainz,

Germany). Mice were then maintained under a 12 h dark/light cycle and housed in groups of

two to four, with food (R/M-keeping, Ssniff, Germany) and tap water available *ad libitum*, except

when they underwent water restriction. Nesting material and a red acrylic glass shelter (mouse

house, Tecniplast, Germany) were used as enrichment. Both males and females were used for

all experiments - we did not observe any evident effect of sex in any of the experiments, and

therefore pooled together data from both sexes. For every single experimental procedure in this

study, the experimenter was blind to the genotype of the mice and the order in which mice were

tested was pseudo-randomized by another team member that was not directly involved in the

execution of the experiment.

The DA-neuron selective KChIP4a exon 3 deletion (Ex3d) mouse line

The mouse KChIP4 gene, KCNIP4, is located on chromosome 5 (B3, 48.39 – 49.52 Mb), is

1135 kb in length and has 14 exons, with ATG translation initiation codons in exons 1, 2, 3, 5,

and 8, and the STOP codon located in exon 14 [67]. KChIP4a (a.k.a. KChIP4.4) is a 229 amino

acid protein that corresponds to the alternative splicing of exons 3 through 8b of KChIP4, with

exon 3 coding for the KID [9,68].

A new transgenic mouse line was developed for assessing the specific function of the KChIP4a

KID using the Cre-lox system. Exon 3 of the KCNIP4 gene (which encodes the KID) was flanked

with loxP sites using a vector designed to display two homology regions in a C57BL/6N genetic

background, including a short homology region of 1795 bp and a long region of 5858 bp. This

vector was electroporated into C57BL/6N embryonic stem cells and screened clones were used

for the generation of mice with homozygotic floxing of KCNIP4 exon 3 (*KChIP4-Ex3^{lox/lox}*). These

mice were then crossed with a line with heterozygous DAT-Cre knock-in (*DAT-Cre^{+/-}* or *DAT-*

Cre KI) mice. The offspring of this crossing were then interbred, leading to the production of

mice where exon 3 of the KCNIP4 gene was selectively excised from DAT-expressing neurons

(Ex3d; *DAT-Cre^{+/-}* / *KChIP4-Ex3^{flox/flox}*) and DAT-Cre KI control littermates (CTRL; *DAT-Cre^{+/-}* /

KChIP4-Ex^{WT/WT}).

Genetic identity of all KChIP4-Ex3^{flox/flox} mice was confirmed with polymerase chain reaction

(PCR) genotyping throughout the breeding process. For detecting the conditional deletion allele,

the following primers were used:

5-TAG TTA TGA CAA GAC AGG AGC TAG TAC CAC TAA GC-3

and

5-GAA CTG GAC TGA AGC AAA ACA AAA CAC G-3.

These primers target the flanking region of the FRT site and the PCR product lengths were 385

bp for the WT allele and 477 bp for the conditional deletion allele. The PCR protocols were run

with 1 cycle at 94 °C for 120s (denaturing), 35 cycles of 94 °C for 30s (denaturing), 65 °C for

30s (annealing) and 68°C for 30s (extension), followed by 1 cycle at 68 °C for 480s

(completion).

FISH followed by immunofluorescence detection

All steps were conducted at room temperature unless otherwise indicated. 14 µm thick coronal

cryosections were post-fixed for 5 min in 4 % PFA/PBS, 3 x 5 min washed in PBS (0.1 %,

diethyl pyrocarbonate [DEPC]) and 1 x 5 min in 1x saline-sodium citrate (SSC, 0.1 % DEPC).

Pre-hybridization was performed with 200 μL hybridization buffer (50 % formamide, 5x

Denhardt's solution, 5x SSC, 0.25 mg/mL yeast tRNA, 0.2 mg/mL salmon sperm DNA, DEPC-

H2O) per slide for 4 hrs. Hybridization was performed with 1 ng/μL digoxigenin (DIG)-labelled

probes overnight at 65 °C. Probes corresponded to 1) KCHIP4a: NT 1-192 of Mus musculus

KCNIP4, transcript variant 4 (NCBI NM_030265.3); 2) KCHIP4 all: NT 455-839 of Mus musculus

KCNIP4, transcript variant 4 (NM_001199242.1). Digoxygenin-labeled antisense probes were

generated following standard procedures. Post-hybridization washes were performed at 60°C

for 5 min in 5x SSC, followed by 5 min in 2x SSC and 20 min in 0.2x SSC/50 % formamide.

Slides were allowed to cool down for 20 min and washed twice in 0.2x SSC. Endogenous

peroxidase activity was quenched by incubation in 3 % H_2O_2/1x SSC for 15 min. Slides were

washed twice for 5 min in TBS 1x followed by 30 min blocking in TNB blocking buffer (0.1 M

Tris-HCl, 0.15 M NaCl, 0.5 % (w/v) TSA blocking reagent (PerkinElmer)). Slides were incubated

in anti-DIG-POD Fab fragments (1:500; Roche) diluted in TNB buffer for 2 hrs. in a humidified

chamber followed by 3 x 10 washing in TBS-T (0.1 % Tween-20). Tyramide signal amplification

was performed with 100 μL TSA® Plus FITC (1:60; PerkinElmer) per slide for 10 min in a dark

chamber. Sections were incubated with Ar6 buffer (1:10; PerkinElmer, Massachusetts) for 45

min in a heat steamer and allowed to cool down for 30 min.

Immunohistochemistry was performed following standard procedures. Briefly, slides were

blocked for 30 min in 0.3 % Triton / 10 % normal goat serum / TBS followed by overnight

primary antibody incubation (1:1000; rb TH; Merck Millipore) at 4 °C. Slides were washed 3 x 10

min in TBS-T and secondary antibody incubation (1:750; Life Technologies) was performed for

1 hr. After 3 x 10 min washing in TBS-T, slides were incubated in DAPI 1x for 10 min, washed 3

x 5 min with TBS and embedded in ProLongTM Gold Antifade Mountant (Thermo Fisher

Scientific). Images were taken with a Nikon Eclipse90i microscope and acquisition was

performed with the NIS Elements software (Version 5.01). Brightness and contrast were

moderately enhanced in Photoshop across the entire image, and no further image processing

was performed.

Kv4 channel complex high-resolution proteomics

<u>Crude membrane preparation and protein solubilization</u>

Isolated cerebellum and midbrain samples of six CTRL and six Ex3d mice were individually

processed. Tissues were homogenized two times in 0.5 mL homogenization buffer (320 mM

Sucrose, 10 mM Tris/HCl**,** 1,5 mM MgCl2, 1 mM EGTA, 1 mM Iodoacetamide, pH 7.5)

supplemented with protease inhibitors (Aprotinin, Pepstatin, Leupeptin (each at 2 µg/mL) and 1

mM PMSF) with a Dounce homogenizer. Homogenates were centrifuged at 100xg for 5

minutes, resulting supernatants centrifuged at 200.000xg (S45A rotor, Sorvall) for 20 minutes.

Pellets were re-suspended in 0.5 mL Lysis-buffer (5 mM Tris/HCl, 1 mM EDTA, 1 mM

Iodoacetamide, protease inhibitors) and after 30 minutes incubation time on ice centrifuged at

200.000xg for 20 minutes. The resulting pellets were re-suspended in 0.1 mL 20 mM Tris/HCl

pH 7,5 and protein concentrations determined by Bradford assay. 0.3 mg of each sample were

solubilized in 0.5 mL CL-48 buffer (Logopharm GmbH, Germany) with freshly added protease

inhibitors for 30 minutes on ice. Non-solubilized material was removed by ultracentrifugation (8

min. at 125.000xg, S45A).

<u>Affinity-purifications</u>

Solubilisates were directly incubated with 7.5 µg Kv4.3 antibodies (Alomone, #APC-017)

immobilized on Protein A Dynabeads (Invitrogen) for 2 hours at 4°C. Two additional 0.5 mL

solubilisates of cerebellum and midbrain samples were incubated with 7.5 µg IgG (Millipore, 12-

370), which were used as internal specificity control. After two washing steps with 0.5 mL CL-48

buffer bound proteins were eluted with 1x Laemmli buffer without DTT. Proteins were shortly run

on 10 % SDS-PAGE and silver-stained. Samples were further processed as described [20], lanes

were split in two pieces and digested with sequencing-grade modified trypsin (Promega,

#V5111) and alpha-lytic protease (Sigma-Aldrich, #A6362). Peptides were extracted and

prepared for MS analysis.

<u>Mass spectrometry</u>

Mass spectrometric analyses of peptide mixtures were carried out on a Q Exactive HF-X mass

spectrometer coupled to an UltiMate 3000 RSLCnano HPLC system (both Thermo Scientific,

Germany) as described [69]. For each LC-MS/MS dataset, a peak list was extracted from

fragment ion spectra using the "msconvert.exe" tool (part of ProteoWizard;

http://proteowizard.sourceforge.net/; v3.0.6906; Mascot generic format with filter options

"peakPicking true 1-" and "threshold count 500 most-intense") and the precursor m/z values

were shifted by the median m/z offset of all peptides assigned to proteins in a preliminary

database search with 50 ppm peptide mass tolerance. Corrected peak lists were searched with

Mascot (Matrix Science, UK) against all mouse, rat, and human entries of the UniProtKB/Swiss-

Prot database (supplemented with mouse KChIP4 splice isoforms, identifier: Q6PHZ8-2,

Q6PHZ8-3, Q6PHZ8-4, Q6PHZ8-5, Q6PHZ8-6). Acetyl (Protein N-term), Carbamidomethyl (C),

Gln->pyro-Glu (N-term Q), Glu->pyro-Glu (N-term E), Oxidation (M), and Propionamide (C) were

chosen as variable modifications, peptide and fragment mass tolerance were set to ± 5 ppm and

± 0.8 Da, respectively. One missed cleavage was allowed. The expect value cut-off for peptide

assignment was set to 0.5.

<u>Protein quantification</u>

Label-free quantification of proteins was done as described previously [21,22]. Peptide signal

intensities (peak volumes, PVs) were determined and offline mass calibrated using MaxQuant

(http://www.maxquant.org) and then assigned to peptides based on their m/z and elution time

obtained either directly from MS/MS-based identification or indirectly (i.e., from identifications in

parallel datasets) using in-house developed software. Molecular abundances of proteins were

estimated using the $abundance_{norm, spec}$ score defined as the sum of all assigned and protein

isoform-specific PVs divided by the number of MS-accessible protein isoform-specific amino

acids (Bildl et al., 2012). In order to visualize molecular compositions of Kv4-KChIP complexes

normalized molecular abundance values of Kv4.2, Kv4.3 and KCHIP1, 2, 3 and 4, and DDP6

and DPP10, were calculated (Figure 2B and C, and Figure S2). Normalized values were

obtained by dividing abundance$_{norm, spec}$ values of individual proteins by the factor ($\sum$ of Kv4

abundances/4). Hence, the sum of normalized molecular abundance values for Kv4.2 and Kv4.3

is 4. Relative abundances of KChIP4a isoform in Ex3d versus CTRL samples were determined

by use of PV values of 3-5 isoform-unique peptide features. For each unique peptide, the PVs

were first normalized to their maximum over 12 AP datasets from cerebellum and over 12 AP

datasets from midbrain, yielding relative peptide profiles. Accordingly, relative abundance

differences of KChIP4a were determined as mean value of peptide profiles from six Ex3d

samples and six CTRL samples from cerebellum and midbrain respectively, whereby to the

CTRL was set the value of 1 (Figure 2D).

Patch-clamp recordings of projection-identified DA neurons

<u>Retrograde tracing</u>

Mice were premedicated with Carprofen (Rimadyl, Pfizer, Berlin, Germany, 5 mg/kg s.c.).

Anesthesia was induced and maintained throughout the surgery using isoflurane (AbbVie, North

Chicago, USA) Induction: 4 % in 350 mL/min O2, Maintenance dose: 1-2.5 % in 350 mL/min

O2). For local anesthesia and analgesia, we administered a mixture of Lidocaine and Pirlocaine

(EMLA, Aspen, Munich, Germany). After induction of anesthesia, mice were transferred in a

stereotaxic frame (Kopf Instruments, Tujunga, CA, USA) where 100nl of red retrobeads (diluted

1:30 in aCSF, Lumafluor, Naples, Florida, USA) were injected in different areas of the striatum

(in reference to Bregma: NAcc core: AP: 1.54 mm, ML: ±1.0 mm, DV: 4.0 mm; NAcc lateral

shell: AP: 0.86 mm, ML: ±1.75 mm, DV: 4.5 mm; DLS: AP: 0.74 mm, ML:± 2.2 mm, DV: 2.6

mm) to label midbrain DA neurons, as previously described [4].

Ex vivo electrophysiology

After three days of recovery, to ensure sufficient retrograde axonal transport of the red

retrobeads, the mice were perfused transcardially using ice cold perfusion solution (125 mM

NaCl, 2.5 mM KCl, 6 mM $MgCl_2$, 0.1 mM $CaCl_2$, 25 mM $NaHCO_3$, 1.25 mM NaH_2PO_4, 50 mM

Sucrose, 2.5 mM Glucose, 3 mM Kynurenic acid, bubbled with Carbogen). Brains were quickly

removed, and the midbrain was sliced into 250 µm thick coronal slices using a vibratome (Leica

VT1200S, Leica Biosystems, Wetzlar, Germany). Slices were then transferred to a beaker

containing ACSF (125 mM NaCl, 3.5 mM KCl, 1.2 mM $MgCl_2$, 1.2 mM $CaCl_2$, 25 mM $NaHCO_3$,

1.25 mM NaH_2PO_4 constantly bubbled with carbogen) and allowed to recover at 37°C for 60

minutes.

After recovery, slices were transferred to a recording chamber and constantly perfused at a rate

of 2-4 mL/$minute^{-1}$ with ASCF maintained at 37 °C (Temperature controller VI, Luigs Neumann,

Ratingen, Germany). Synaptic transmission was blocked using CNQX (12.5 µM, Biotrend), DL-

AP5 (10 µM, Tocris) and Gabazine (4 µM, SR95531, Biotrend). Midbrain DA neurons were

visualized using a light microscope (Axioskope 2 FS plus, Zeiss, Oberkochen, Germany) and an

infrared camera (VX55, TILL photonics). Red retrobeads were excited by an epifluorescence

lamp (HBO100 Nikon) filtered at 546/12 nm. DA neurons containing red retrobeads were

recorded using borosilicate pipettes (3-4 MOhm, GC150TF, Harvard Apparatus) filled with

internal solution (135 mM K-gluconate, 5 mM KCl, 10 mM HEPES, 0.1 mM EGTA, 5 mM $MgCl_2$,

0.075 mM $CaCl_2$, 5 mM ATP, 1 mM GTP, 0,1 % Neurobiotin, pH 7.35 (290-300 mOsmol). Data

were recorded at 20 kHz and filtered with a low-pass filter Bessel characteristic of a 5 kHz cut-

off frequency using an EPC 10 amplifier (HEKA Elektronik, Lambrecht, Germany). Patchmaster

(HEKA Elektronik, Lambrecht, Germany), IgorPro (WaveMetrics, Portland, Oregon, USA) and

MATLAB (MathWorks, Massachusetts, USA) were used for acquisition and analysis. Only

spontaneously active cells that showed robust pacemaking, and that were post-hoc identified as

TH positive and containing red retrobeads, were included in this study.

<u>Histology</u>

The forebrain tissue-block containing striatal injection sites, as well as midbrain slices containing

the recorded and neurobiotin-filled neurons, were immersion-fixed at 4°C overnight in a solution

of 4 % paraformaldehyde and 15 % picric acid in phosphate buffer solution (PBS). Striatal

injection sites were cut into 100 µm coronal sections using a vibratome (VT1000S, Leica

Biosystems, Wetzlar, Germany). Free-floating sections were washed in PBS and incubated with

blocking solution (10 % horse serum, 0.5 % Triton X-100 % and 0.2 % BSA in PBS) at room

temperature for 1 h. Afterwards, sections were incubated in carrier solution (1 % horse serum,

0.5 % Triton X-100 % and 0.2 % BSA in PBS) containing the primary antibody (polyclonal rabbit

anti-TH, 1:1000, Synaptic Systems Cat# 213 104) overnight at room temperature. On the

second day, sections were washed several times in PBS and incubated with carrier solution

containing the secondary antibody (goat anti-rabbit 488, 1:750, Thermo Fisher Scientific Cat# A-

11011) and in case of the midbrain sections Streptavidin AlexaFluor 408 (1:750, Invitrogen)

overnight at room temperature. On the third day, sections were washed in PBS, mounted, and

stored at 4°C.

Biophysical modelling of DA neurons

<u>Model calibration</u>

The A single compartment, core projecting DA neuron model was adapted from Knowlton et al.

(2021). The inactivation variable of the Kv4.3 channel model from that study was separated into

an additive combination of slow and fast variables. Steady state activation, inactivation, and

kinetics of inactivation were set to be consistent with voltage clamp data in Figure 4 and are

given in Supplemental Table 1. Activation kinetics were not altered from those originally adapted

from Tarfa et al (2017) and was assumed to be identical for channels with slow or fast

inactivation gates. Steady state activation and inactivation are given by Boltzman functions

while the inactivation time constants are given by Boltzman sigmoids between indicated

minimum and maximum values. The relative contributions of the slow and fast Kv4.3 channels,

determined by the binding of different auxiliary subunits, is the only intrinsic model parameter

varied between CTRL and Ex3D models.

The model was implemented in NEURON [70,71] with extensions for parallel computing [72]. Model

files are freely available at https://senselab.med.yale.edu/modeldb/ShowModel?model=267500

(password: okaychip).

<u>Balanced state methods</u>

A simulated *in vivo* balanced state was implemented by simultaneous application of high

frequency, low conductance (100 Hz) Poisson $GABA_A$ and NMDA receptor activation [29,73–75].

The NEURON implementation of NMDA receptor activation was taken unmodified from Moradi

et al. (2013). $GABA_A$ receptor activation uses NEURON's built in bi-exponential synapse with a

rise time of 0.2 ms and a fall time of 2.4 ms. The reversal potential of chloride was fixed at -60

mV for all balanced state $GABA_A$ and the reversal potential of NMDA at 0 mV. The Ca^{2+} influx

via NMDA channel was not included in the pool that activates the SK K+ current. Balanced state

synaptic weights for NMDA and $GABA_A$ were set to be constant. These weights were chosen to

obtain a simulated *in vivo* firing rate was consistent with *in vivo* recordings, and the $GABA_A$

conductance was of sufficient magnitude to silence cells in the absence of excitation, consistent

with a balanced state.

Inhibitory synaptic inputs, as would putatively underlie the signaling of negative RPES, were

modelled as additional $GABA_A$ inputs applied synchronously. Inhibition trials were repeated then

recombined into a histogram of simulated network response under an ergodic approximation.

Each histogram was constructed from 500 simulated trials. An inter-trial refractory period of 4s

was used to eliminate transients. Multi-threading was used to run different parameterizations of

the RPE trial.

Behavior

<u>Open field</u>

Spontaneous locomotor and exploratory activity (track length, wall distance, time in center and

number of rearings) were evaluated in an open field arena (a lidless box measuring 52 × 52 cm,

under red illumination at 3 lux) using a video tracking system (Viewer II, Biobserve, Germany)

as described in a previous study from our group [77]. For a comprehensive phenotyping of mutant

mice, the total track length, the time spent and track length within the center area of the arena

(defined as a 30x30 cm square zone with all sides equidistant from the walls), as well as the

number and duration of rearings, were evaluated. Rearings were recorded via infra-red beam

breaks at a height of 4.5 cm and defined by being at least 200 ms long and two subsequent

rearings had to be at least 80 ms apart. After ten minutes, the mice were returned to their home

cages for at least two minutes, and then subjected to the novel object recognition test.

<u>Novel object recognition</u>

A novel object exploration and preference task was used to assess the mice's recognition,

memory retention and preference for novel stimuli [78,79]. In this task, mice were placed in the

same open field arena described in the previous section, after the open field test (which also

served as a habituation for the novel object recognition test), but within the arena two identical

objects (stainless steel cylinders; 3 cm diameter x 6 cm height) were placed at equal lengths

from each other and at 15 cm from the upper left and right corners. The mice were allowed to

freely explore the arena and the objects for 10 minutes (trial 1), after which they were removed

from the arena. Subsequently, one of the objects was replaced by a different, novel object

(plastic coated rectangular prism; 3x3 cm base x 6 cm height), and the mice were again allowed

to explore the arena and the objects (trial 2).

Object recognition was analyzed using Biobserve's Object Recognition plug-in, and object

interaction events were defined as periods in which a mouse was directly facing the object

(snout directed to the object within a 180° angle) at a distance shorter than 3 cm. Both the

number and duration of these interaction events were quantified for both trials of the task.

Exploration dynamics (number and duration of objected-directed exploration) were analyzed for

both trials, as well as the differences between exploration of an already explored ("old") and

novel ("new") object in trial 2, were analyzed. For the quantification of novel object

discrimination and preference, a discrimination index was calculated by dividing the difference

between the time spent exploring the novel object and the time spent exploring the familiar

object by the sum of these two measures [78,79].

784
$$DI = \frac{T_{New} - T_{Old}}{T_{New} + T_{Old}}$$

where

DI = discrimination index

T_{New} = time spent exploring the novel object

T_{Old} = time spent exploring the familiar object

<u>Hole board</u>

Exploration of holes, a naturalistic behavior for mice, was evaluated with the hole board task [80].

In this task, mice were placed for five minutes in an open field arena similar to the one used for

the open field test, but with 4 circular holes (2 cm in diameter) on its floor (Actimot2, TSE

systems). When confronted with such an arena, mice tend to spontaneously check the holes by

dipping their heads in them. The performance of head dips was recorded with infra-red beam

breaks placed immediately under the inferior surface of the floorboard. The latency to the first

head dip, as well as the total number and duration of head dips, were quantified. The

percentage of repeated head dips (two dips performed sequentially into the same hole) as well

as the percentage of dips into the preferred hole (the hole that was most explored during the

session) were also quantified and compared between genotypes.

<u>Spontaneous alternation in the plus maze</u>

Working memory performance was quantified between genotypes using the spontaneous

alternation in the plus maze [81]. Mice were placed in a plastic plus maze (four equidistant 35 x

4.5 cm arms radiating at 90° angles from a circular central arena with 10 cm diameter; walls

were 15 cm in height) and allowed free exploration for 12 minutes [82]. An arm entry was defined

as when the mouse enters an arm with all its four paws. Each session was recorded with a

video camera and quantification of arm entries was made through visual analysis of the videos.

A spontaneous alternation was marked when the mouse explored four different arms in five

consecutive arm entries, and the proportion of spontaneous alternations was quantified as the

number of real alternations divided by the total possible number of alternations (sum of all arm

entries minus four); chance performance in this task is calculated to be 44 % [81,82].

<u>Reinforcement learning task</u>

Male and female Ex3d (N = 10) and CTRL (N = 20) mice, over 8 weeks old, were genotyped

and individually identified by the implantation of a subcutaneous transponder microchip (1.4 mm

x 9 mm ISO FDX-B glass transponder, Planet ID, Germany). Behavioral comparisons were

performed between Ex3d and DAT-Cre KI mice. One Ex3d mouse showed erratic behavior

within the conditioning box and was excluded from data analysis (final N of Ex3d group = 9).

Mice were motivated by water restriction (~85 % of their initial body weight) and were rewarded

with a solution of 10 % sucrose in tap water. Daily water rations varied between 1 and 1.5 mL

depending on the mice's weight on that day, with a set target of 85 % of the initial body weight.

Except during experimental sessions, water was always delivered in a cup placed in their home

cage. Mice were also closely monitored in order to ensure that the water supply was consumed

and not spilt over or contaminated and the health of the water restricted mice was also

evaluated daily [83]. This protocol maintained a stable body weight around the desired target

during all experimental sessions (Figure S4) and did not result in signs of overt dehydration or ill

health (e.g., hunched posture or ruffled fur).

The full experimental paradigm spanned 24 days. On the first four days, mice were submitted

only to water restriction. In the following two days, they were tamed, i.e., gently handled (held up

above their home cage on a spread palm, with no constriction or entrapment by the

experimenter) until they no longer tried to escape from the experimenter's hand, showed no

overt signs of stress and anxiety and readily drank a portion of liquid reward (0.2 mL) given by

the experimenter via a syringe while being held. The following day, the mice were placed inside

the operant chamber with the reward port removed for 50 minutes in order to acclimate to the

experimental conditions. After this time, they were returned to their home cage and given their

daily ration of water. The day after that, mice underwent shaping, i.e., they were placed in the

operant chamber for another 50 minutes, now with the reward port present, and at semi-random

time intervals (mean of 60 seconds, varying between 30 and 90 seconds), a reward portion

(16.66 µL) was delivered at the port, with no cue to its delivery. The following day, mice were

submitted to the conditioning task.

The task used in this study is based on the study by Steinberg et al. (2013). In this paradigm,

mice learned to associate a CS (sound tone pulsed at 3 Hz – 0.1 seconds on/0.2 seconds off –

at 70 dB) to the availability of reward in the reward port. Each session consisted of ten trials

(mean inter-trial interval of 4 minutes, varying between 1.5 minutes and 6.5 minutes) in which

the auditory cue was on for 30 seconds. Mice could trigger reward delivery to the port by

entering it during CS presentation. Rewards were delivered in a cycle of 2 second reward

delivery (16.66 µL) followed by a 3 second consumption interval. Delivery was continuous for as

long as the mouse kept its head in the port during CS presentation. This allowed for a maximum

of 6 rewards per trial and a maximum of 60 rewards per session (a total of ~1 mL or reward in

the task per day). Additional water supplementation, when needed to complete the mice's daily

water ration, was provided in the home cage as described in the previous paragraph.

This acquisition phase lasted for 11 daily sessions. After acquisition, extinction of the

conditioned response was tested by consistently omitting the reward during CS presentation for

six daily sessions. After extinction, mice were returned to their home cage and received water

ad libitum for at least three days before being submitted to other behavioral tasks. All mice

recovered their initial body weight in this period of time and showed no long-term adverse

effects from water restriction.

Performance in this task was quantified by the total time the mice spent in the port during the

cued trials and the latency to enter the port after cue onset. Time in port was normalized both as

a percentage of total reward availability time and also by subtracting the amount of time the

mouse spend in the port 30 second before cue onset [17]. This means that CS-US associations

were quantified by the mouse responding both quickly and selectively to the cue. In addition, the

time stamps of every head entry and head retraction into the port were recorded, which allowed

the quantification of the dynamics of head entries during cue presentation and during the ITI.

Latency was quantified as the time between cue initiation and the first head entry into the

reward port; if mice did not respond in a trial, the maximal possible latency value (30 s) was

ascribed to that trial. The dynamics of head entries during extinction were used to infer how the

mouse adapts its behavioral response in the absence of an expected reinforcement, i.e.,

whether it perform fewer head entries (a proxy of the initiation rate of reward-seeking

behaviors), head entries that are just shorter in length (indicating a faster disengagement from

reward-seeking behaviors) or a combination of both.

Behavioral modelling

A modified Rescorla-Wagner model, which applied two different learning rates for positive (α_P)

and negative (α_N) RPEs, was used in order to formally quantify differences in learning between

Ex3d and CTRL genotype groups [31–33]. In detail:

878
$$\textcolor{blue}{if\ (R - V_n)\ \geq 0\ [positive\ RPE]}$$

879
$$V_{n+1} = V_n + \boldsymbol{\alpha_P}(R - V_n)$$

880
$$\textcolor{red}{if\ (R - V_n) < 0\ [negative\ RPE]}$$

881
$$V_{n+1} = V_n + \boldsymbol{\alpha_N}(R - V_n)$$

where

V = associative strength between CS and US

α_P and α_N = learning rates from positive and negative RPEs, respectively

R = maximal associative strength of the US (reward value)

n = trial index

As a control, the same model was also applied assuming a single learning rate for both positive

and negative RPEs, i.e., a classical Rescorla-Wagner model (Figure S5). All modelling and

fitting were done with custom MATLAB® code. The percentage of time in port metric was

assumed to be a linear read-out of V. The limits of V in relation to the empirical data were bound

by the initial value of V before the task (V_0), the lower and upper limits of associative strength

readout (V_{min} and V_{max}, respectively). These parameters were derived empirically from each

mouse, with V_0 being set as the average response in the first three trials of acquisition, and V_{min}

and V_{max} being set as the average responses in the last two sessions of acquisition and

extinction, respectively. Fitting of learning rates was performed with least absolute residuals

method by implementing the *fminsearch* MATLAB function [31]. Goodness of fit was inferred from

the residual values of the optimal fit for each mouse.

Statistical analyses

For all two-group comparisons, data were tested for normality with the Kolmogorov-Smirnov

test. If both distributions were Gaussian, differences were analyzed using two-tailed T-tests;

otherwise, the two-tailed Mann-Whitney test was used. Comparisons between more than two

groups were tested using one-way ANOVA. Comparisons between groups over multiple trials or

sessions were evaluated using two-way repeated measures ANOVA with Sisak's multiple

comparisons *post-hoc* tests. Statistical significance was set at $P < 0.05$ for all comparisons.

Figures were created with MATLAB, GraphPad Prism 8, and BioRender.com.

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1099 **Supplemental tables**

1100

1101 **Table S1. Steady state activation, inactivation, and kinetics of inactivation of the Kv4**

1102 **currents of the biophysical models of atypical DA neurons, related to Figure 5.**

	Activation (p^3)	Inactivation (q)	qTau (slow)	qTau (fast)	Kv4.3 Ratio (CTRL)
Vhalf (mV)	-40.8	-68.0	-65	-65	56:44
Vslope (mV)	7.73	-6.44	4	4	Kv4.3 Ratio (Ex3d)
Min, max	-	-	50, 400 ms	10, 75 ms	22:78

1103

Supplemental figures

Figure S1. FISH assay confirms that Ex3d mice have a selective ablation of only KChIP4a mRNA expression specifically in DA neurons, related to Figure 1.

A: Overview of a midbrain slice from a CTRL mouse where the indicated rectangles represent the ROIs used for panels B-E. **B-C:** FISH labeling with the KChIP4a-specific probe reveals that CTRL mice show robust expression of KChIP4a mRNA in TH$^+$ and TH$^-$ neurons across the whole midbrain. **E:** Labeling with the general KChIP4 probe, which binds to all KChIP4 isoforms, also shows expression in midbrain TH$^+$ and TH$^-$ neurons. **F:** Overview of a midbrain slice from an Ex3d mouse where the indicated rectangles represent the ROIs used for panels G-K. **G-I:** unlike in the CTRLs, labeling with the KChIP4a-specific probe showed that there was no expression of KChIP4a in TH$^+$ neurons across the whole midbrain of Ex3d mice, including the SN and VTA. **J:** However, we did observe robust KChIP4a expression in midbrain TH$^-$ neurons, demonstrating that the removal of KChIP4a was cell-type specific. K: Labeling with the general KChIP4 probe showed expression of KChIP4 variants in TH+ neurons in the midbrain of Ex3d mice, demonstrating that the removal of KChIP4a was also splice variant-specific.

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Figure S2. Relative abundance of DPPX proteins bound to Kv4 channels in the midbrain

and in the cerebellum, related to Figure 2.

We found relatively low abundance of DPP6 and DPP10 proteins bound to Kv4 channel

complexes in both the MB and CB. Importantly, there was no effect of the Ex3d mutation in

either region. Error bars indicate SEM.

Figure S3. red retrobead injection sites and recording locations for projection-specific patch-clamp experiments, related to Figure 3.

A,B,C: Spread of all red retrobead injections and location of projection-identified TH+ cells in the midbrain for the DA-cNAcc (A), DA-lNAcc (B) and DA-DLS (C) neuronal populations in CTRL and Ex3d mice.

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Figure S4. Additional biophysical properties of the recorded DA neuron subpopulations

are not affected by the Ex3d mutation, related to Figure 3.

A: Values of input resistance (R_{input}) and inter-spike-interval coefficient of variation (CV) for DA-

cNAcc projecting neurons of CTRL and Ex3d mice. **B,C:** same as above, but for DA-lNAcc and

DA-DLS neurons. Note there were no significant genotype effects for any of these variables in

any of the projection-identified populations. Error bars indicate SEM.

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Figure S5. Models that do not assume different learning rates for positive and negative

RPEs produce significantly worse fits and do not replicate the observed Ex3d behavioral

phenotype, related to Figure 6.

A: Equation of the traditional Rescorla-Wagner model used as a control. **B,C:** Comparison of

model fits and experimental data for the CTRL (B) and Ex3d (C) group. **D:** fitted learning rates

for CTRL and Ex3d data. Note the lack of genotype differences. E, F: comparison of fit residuals

between models with a single learning rate and with separate positive and negative learning

rates for the Ex3d (E) and CTRL (F) groups. Note that single learning rate models are

significantly worse at fitting the behavioral data. Error bars indicate SEM.