Barnaba: Software for Analysis of Nucleic Acids Structures and Trajectories

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- 13 Abstract RNA molecules are highly dynamic systems characterized by a
- ¹⁴ complex interplay between sequence, structure, dynamics, and function.
- ¹⁵ Molecular simulations can potentially provide powerful insights into the nature of
- ¹⁶ these relationships. The analysis of structures and molecular trajectories of
- ¹⁷ nucleic acids can be non-trivial because it requires processing very
- ¹⁸ high-dimensional data that are not easy to visualize and interpret.
- ¹⁹ Here we introduce Barnaba, a Python library aimed at facilitating the analysis of
- ²⁰ nucleic acids structures and molecular simulations. The software consists of a
- variety of analysis tools that allow the user to i) calculate distances between
- ²² three-dimensional structures using different metrics, ii) back-calculate
- 23 experimental data from three-dimensional structures, iii) perform cluster analysis
- ²⁴ and dimensionality reductions, iv) search three-dimensional motifs in PDB
- ²⁵ structures and trajectories and v) construct elastic network models (ENM) for
- ²⁶ nucleic acids and nucleic acids-protein complexes.
- ²⁷ In addition, Barnaba makes it possible to calculate torsion angles, pucker
- ²⁸ conformations and to detect base-pairing/base-stacking interactions. Barnaba
- ²⁹ produces graphics that conveniently visualize both extended secondary structure
- ³⁰ and dynamics for a set of molecular conformations. Barnaba is available as a
- ³¹ command-line tool as well as a library, and supports a variety of file formats such
- ³² as PDB, dcd and xtc files. Source code, documentation and examples are freely
- ³³ available at https://github.com/srnas/barnaba under GNU GPLv3 license.
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Introduction

Despite their simple four-letters alphabet, RNA molecules can adopt amazingly 36 complex three-dimensional architectures. RNA structure is often described in 37 terms of few, simple degrees of freedom such as backbone torsion angles, 38 sugar puckering, base-base interactions, and helical parameters **Dickerson** (1989): 39 Richardson et al. (2008). Given a known three-dimensional structure, the cal-40 culation of these properties can be performed using available tools such as 41 MC-annotate Gendron et al. (2001), 3DNA Lu and Olson (2008), fr3D Sarver et al. 42 (2008) or DSSR Lu et al. (2015). These software packages make it possible to 43 calculate a variety of structural properties, but are less suitable for analyzing and 44 comparing large numbers of structures. 45

The lack of large-scale analysis tools is critical when considering that many 46 RNA molecules are not static, but highly dynamic entities, and multiple confor-47 mations are required to describe their properties. In molecular dynamics (MD) 48 simulations **Sponer et al.** (2018), for example, it is often necessary to analyze 49 several hundreds of thousands of structures. The analysis and comparison of 50 results from structure-prediction algorithms poses similar challenges **Dawson and** 51 Buinicki (2016); Migo et al. (2017). In order to rationalize and generate scientific 52 insights, it is therefore fundamental to employ specific analysis and visualization 53 tools that can handle such highly-dimensional data. This need has been long 54 recognized in the field of protein simulations, leading to the development of 55 several software packages for the analysis of MD trajectories *Michaud-Agrawal* 56 et al. (2011): McGibbon et al. (2015): Tiberti et al. (2015). While these software 57 can be in principle used to analyze generic simulations, they do not support the 58 calculation of nucleic-acids-specific quantities out of the box. Notable exceptions 59 are CPPTRAJ Roe and Cheatham III (2013), and the driver tool in PLUMED Tribello 60 et al. (2014), that support the calculation of nucleic acids structural properties, 61 among other features. 62

A limited number of software packages have been developed with the main 63 purpose of analyzing simulations of nucleic acids. Curves+ Lavery et al. (2009) 64 calculates parameters in DNA/RNA double helices as well as torsion backbone 65 angles. dox3dna Kumar and Grubmüller (2015) extends the capability of the 3DNA 66 package to analyze few selected quantities from GROMACS Abraham et al. (2015) 67 MD trajectories. The detection of hydrogen bonds/stacking in simulations and the 68 identification of motifs such as helices, junctions, loops, etc. can be performed 60 using the Motif Identifier for Nucleic acids Trajectory (MINT) software Górska et al. 70 (2015). 71

Here we present Barnaba, a Python library to analyze nucleic acids structures
and trajectories. The library contains routines to calculate various structural parameters (e.g. distances, torsion angles, base-pair and base-stacking detection), to

- 75 perform dimensionality reduction and clustering, to back-calculate experimental
- 76 quantities form structures and to construct elastic network models. Barnaba
- ⁷⁷ utilizes the capabilities of MDTraj *McGibbon et al.* (2015) for reading/writing tra-
- jectory files, and thus supports many different formats, including PDB, dcd, xtc,
 and trr.

In this paper we show the capabilities of Barnaba by analyzing a long MD 80 simulation of an RNA stem-loop structure. We first calculate distances from a 81 reference frame. Second, we consider a subset of dihedral angles and compare 82 ³J scalar couplings calculated from simulations with nuclear magnetic resonance 83 (NMR) data. We then perform a cluster analysis of the trajectory, identifying 84 a number of clusters that are visualized using a dynamic secondary structure 85 representation. Finally, we search for structural motifs similar to cluster centroids 86 in the entire protein data bank (PDB) database. In addition, we show how to 87 construct an elastic network model (ENM) of RNA molecules and protein-nucleic 88 acid complexes with Barnaba, and how to use it to estimate RNA local fluctuations. 89

90 Results

- ⁹¹ We present the different features of Barnaba by analyzing a 180μ s long simulation
- ⁹² of an RNA 14-mers with sequence GGCACUUCGGUGCC performed by Tan et al. Tan
- 93 et al. (2018) using a simulated tempering protocol where the temperature is used
- ⁹⁴ as a dynamic variable to enhance sampling. Experimentally, this sequence is
- ⁹⁵ known to form an A-form stem composed by 5 consecutive Watson-Crick base
- ⁹⁶ pairs, capped by a UUCG tetraloop (Fig. 1A).

⁹⁷ RMSD, eRMSD calculation and detection of base-base interactions.

First, we calculate the distance of each frame in the simulation from the reference experimental structure (PDB code 2KOC *Nozinovic et al.* (2010)). Fig.1B shows the time series of heavy-atom root mean squared distance (RMSD) after optimal superposition *Kabsch* (1976). During this simulation, multiple folding events occur: In line with previous analyses *Tan et al.* (2018) we thus observe both structures close to the reference as well as unfolded/misfolded ones.

We identify the base-base interactions in each frame using the annotation 104 functionality in Barnaba (see Methods). Structures where the stem is completely 105 formed together with the native trans sugar-Watson (tSW) interaction between 106 U6-G9 in the loop are shown in red. Blue points indicate structures in which all 107 base pairs in the stem, but not in the loop, are present. All the other structures are 108 colored in gray. From the histogram in Fig. 1B it can be seen that RMSD < 0.23nm 109 roughly corresponds to native-like structures. A second sharp peak around 0.3nm 110 corresponds to structures in which only the stem is correctly formed. All other 111 conformations have RMSD larger than 0.6nm. 112

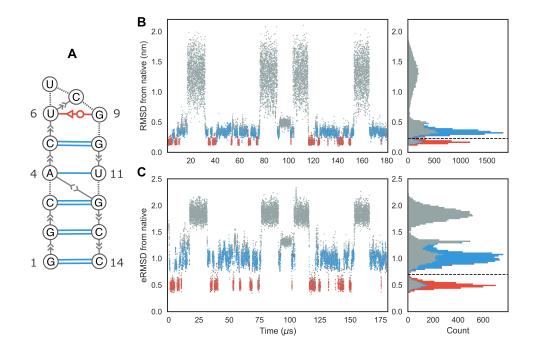


Figure 1. A) Extended secondary structure representation of the UUCG stem-loop. Watson-Crick base pairs are shown in blue, trans Sugar-Watson base pair between U6 and G9 is shown in red. **B**) RMSD from native over time of the UUCG simulation. The corresponding histogram is shown in the right panel. The dashed line at RMSD=0.23nm separates native-like from non-native-like structures. The colors indicate the presence of native base-base interactions, as shown in the secondary structure representation. Structures where all Watson-Crick interactions in the stem and the trans Sugar-Watson base pair in loop is formed are shown in red. Blue indicates structures where only the stem is formed. All other conformations are shown in gray. **C**) eRMSD from native structure over time. Color scheme is identical to panel **B**. Dashed line at eRMSD=0.7 separates native-like from non-native conformations.

One of the feature of Barnaba is the possibility to calculate the eRMSD Bottaro 113 et al. (2014). The eRMSD only considers the relative arrangements between nu-114 cleobases in a molecule, and quantifies the differences in the interaction network 115 between two structures. In this perspective, eRMSD is similar to the Interaction 116 Fidelity Network Parisien et al. (2009) that quantifies the discrepancy in the set of 117 base-pairs and base-stacking interactions. The eRMSD, however, is a continuous. 118 symmetric, positive definite metric distance that satisfies the triangular inequality. 119 Additionally, it does not require detection of the interactions (annotation) and is 120 hence particularly well suited for analyzing MD trajectories and unstructured RNA 121 molecules. Fig.1C shows the eRMSD from native for the UUCG simulation. We 122 notice that, similarly to the RMSD case, the histogram displays three main peaks. 123 In this case the correspondence between peaks and structures can be readily 124 identified: when eRMSD< 0.7 native stem and loop are formed, if 0.7<eRMSD<1.3. 125 stem is formed but the loop is in a non-native configuration. Other structures 126 typically have eRMSD>1.3. We observe that the separation between the two main 127 peaks (native structure, red, and native stem, blue) is sharper in Fig.1C, confirming 128 that eRMSD is more suitable than RMSD to distinguish structures with different 120 base pairings Bottaro et al. (2014). 130

Note that a significant number of low-RMSD/eRMSD structures lack one or 131 more native base-pair interactions, and are therefore shown in gray. This is 132 because the detection of base-base interactions critically depends on a set of 133 geometrical parameters (e.g. distance, base-base orientation, etc.) that were 134 calibrated on high-resolution structures. The criteria used in Barnaba (as well as 135 the ones employed in other annotation tools) may not always be accurate when 136 considering intermediate states and partially formed interactions that are often 137 observed in molecular simulations Lemieux and Maior (2002). 138

139 Torsion angle and 3J scalar coupling calculations

Another important class of structural parameters is torsion angles. Similarly to other software, Barnaba contains routines to calculate backbone torsion angles ($\alpha,\beta,\gamma,\delta,\epsilon,\zeta$), the glycosidic angle χ , and the pseudorotation sugar parameters **Altona and Sundaralingam (1972)**.

In Fig. 2, left panels we plot the probability distributions of four angles (β_{γ}, δ) 144 and ϵ) for three different residues: U6, U7, and G9. We can see from the dis-145 tribution of γ angles that U6 and U7 mainly populate the gauche⁺ rotameric 146 state (0° < γ < 120°), while G9 significantly populates the *trans* state as well 147 $(120^{\circ} < \gamma < 240^{\circ})$. Different rotameric states can be also seen from the distribution 148 of δ angles (C2'/C3'-endo) and ϵ , that is related to BI/BII states. Here, we consider 149 the same trajectory of the UUCG tetraloops described above and removed all the 150 unfolded structures, i.e. structures with eRMSD from native larger than 1.5 (\approx 151 6000 out of 20000), because we below compare to experiments under conditions 152

¹⁵³ where these are absent.

In this example we chose these specific torsion angles because their distribu-154 tion is related to available ³ couplings experimental data from nuclear magnetic 155 resonance (NMR) spectroscopy. The magnitude of 3 l coupling depends on the dis-156 tance between atoms connected by three bonds, and thus on the corresponding 157 dihedral angle distribution. The dependence between angle θ and coupling ${}^{3}J$ 158 can be calculated via Karplus equations ${}^{3}J = A\cos^{2}(\theta + \phi) + B\cos(\theta + \phi) + C$, where 159 A, B, C are empirical parameters. Couplings corresponding to different angles can 160 be calculated with Barnaba. H1'-H2', H2'-H3', H3'-H4' (sugar conformation), H5'-P, 161 H5"-P, C4-P (β), H4'-H5', H4'-H5" (γ), H3-P(+1), C4-P(+1) (ε), H1'-C8/C6, and H1'-C4/C2 162 (γ). The complete list of Karplus parameters is reported in the Methods section. 163 and may be changed within Barnaba. 164

Fig. 2, right panels, show the back-calculated average ${}^{3}J$ couplings and the 165 corresponding experimental value reported in Nozinovic et al. (2010). Note that 166 in some cases experiments and simulations do not agree: this is because the 167 simulation was performed at different temperatures using a simulated tempering 168 protocol, and therefore the comparison between simulations and experiments is 169 here made for illustrative purposes only. Significant discrepancies could originate 170 from errors introduced by the Karplus equations, that can be as large as 2Hz 171 Bottaro et al. (2018). 172

173 Cluster analysis

The structures within a trajectory can be grouped into clusters of mutually similar 174 conformations, to understand which different states are visited and how often. 175 For clustering we use the DBSCAN **Ester et al.** (1996) algorithm with $\epsilon = 0.45$ and 176 min samples=70 Bottaro and Lindorff-Larsen (2017). As in the previous example, 177 structures with eRMSD > 1.5 from native are discarded. Figure 3A shows the 178 trajectory projected onto the first two components of a principal component 179 analysis done on the collection of G-vectors Bottaro and Lindorff-Larsen (2017). 180 Circles show the resulting 9 clusters, whose radius is proportional to the square 181 root of their size. The 5500 structures (40%) that were not assigned to any cluster 182 are shown as gray dots. For each cluster we identify its centroid, here defined as 183 the structure with the lowest average distance from all other cluster members. 184 Ideally, clusters should be compact enough so that the centroid can be consid-185 ered as a representative structure. This information is shown in the box-plot in Fig. 186

3B, that reports the distances (eRMSD and RMSD, as labeled) between centroids and cluster members. At the same time, structures within clusters are not all identical to one another. In order to visualize the intra-cluster variability we have found it useful to introduce a "dynamic secondary structure" representation. In essence, we detect base-stacking/base-pair interactions in all structures within a cluster, and calculate the fraction of frames in which each interaction is present.

12.5 U6 * 0.04 10.0 U7 × ß × G9 7.5 (Hz) × × 0.02 5.0 Ē ł I 2.5 0.00 0 60 120 180 240 300 360 1H5-P 2H5-P C4-P 0.04 6 4 (ZH 0.02 2 -Ĭ 0 × 0.00 120 180 240 300 360 2H5-H4 1H5-H4 0 60 Ť 10 0.04 δ Ť ¥ 3J (Hz) ¥ ¥ ↓ 5 ∎ × 0.02 I * × 0.00 60 120 180 240 300 360 H1'-H2' H2'-H3' H3'-H4' 0 10 ¥ 0.04 ε 8 3J (Hz) × 0.02 6 4 × 0.00 H3-P C4-P+1 0 60 120 180 240 300 360 Angle (deg)

Figure 2. Left panels: Torsion angle distribution for β , γ , δ and ϵ in residues U6, U7, and G9. Right panels show the experimental ³*J* couplings (crosses) and the calculated value from simulation (dots). The error bars indicate the standard error of the mean calculated over 4 blocks.



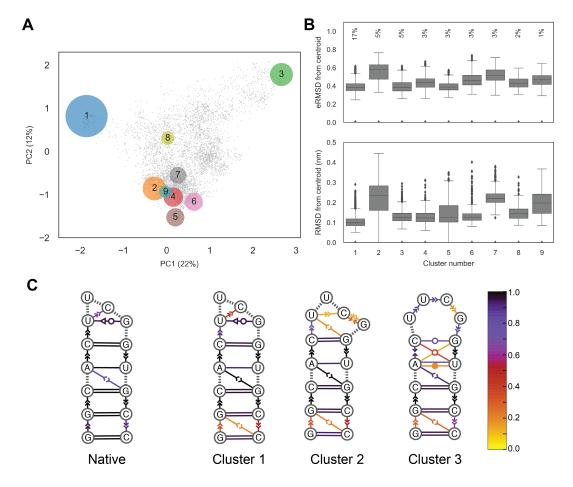


Figure 3. Example of a cluster analysis on the UUCG stem-loop trajectory. **A**) principal component analysis on the collection of G-vectors *Bottaro and Lindorff-Larsen (2017)*. Each circle corresponds to a cluster, gray dots show unassigned structures. Circles are centered in the centroid positions, and the radii are proportional to the square root of the population. The percentage of explained variance of the first two components is indicated on the axes. **B**) Box-plots reporting eRMSD (top) and RMSD (bottom) from cluster centroids. Lower/upper hinges correspond to the first and third quartiles, while whiskers indicate lowest/highest data within 1.5 interquartile range. Data beyond the end of the whiskers are shown individually. The percentages indicate the cluster population. **C**) Dynamic secondary structure representation of the 20 native NMR conformers (PDB 2KOC) and of the first three clusters. The extended secondary structure annotation follows the Leontis-Westhof classification. The color scheme shows the fraction of frames within a cluster for which the interaction is formed.

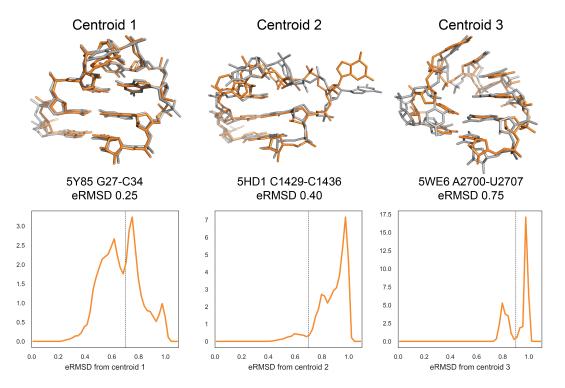
The population of each interaction is shown by coloring the extended secondary 197 structure representation (Fig.3C). This representation has some analogy with the 194 "dot plot" representation used to display secondary structure ensembles obtained 195 using nearest neighbor models, that reports the predicted probability of individual 196 base pairs *Jacobson and Zuker* (1993). We can see that the first three clusters 197 correspond to three different tetraloop structures. In cluster 1, the U6-G9 tSW 198 base pair is present, together with the U6-C8 stacking typical of the native UUCG 199 tetraloop structure. In cluster 2, no U6-G9 base pair is present, while in cluster 3 200 we observe stacking between U6-U7-C8-G9, as also described in the next section. 201 In all clusters the population of the terminal base pairs and stacking is lower than 202 one, indicating the presence of base fraying. 203 In our experience, cluster analysis is useful to understand and visualize quali-

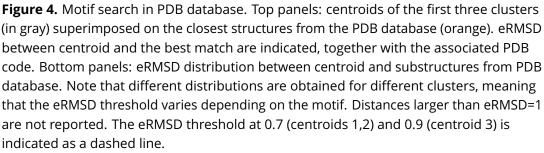
In our experience, cluster analysis is useful to understand and visualize quali tatively the different type of structures in a simulation. In many practical cases,
 however, the number of clusters and their population may differ depending on
 the employed clustering algorithm and associated parameters. Clustering may
 not even be meaningful when considering highly unstructured systems such as
 long single-stranded nucleic acids lacking secondary structures *Chen et al.* (2012).

210 Motif search

Barnaba can be used to search for structural motifs in a PDB file or trajectory 211 using the eRMSD distance. In the following example, we illustrate this feature 212 by taking the centroids of the first three clusters described above and search for 213 similar structures within the PDB database. In order to focus on the loop structure. 214 rather than on stem variability, we consider the tetraloop and the two closing 215 base pairs for the search (residues 4-11 in Fig.1A). The search is performed 216 against all RNA-containing structures in the PDB database (retrieved May 4th, 217 2018, resolution 3.5Å or better). The database considered here consists of 3067 218 X-ray, 652 NMR and 177 crvo electron-microscopy (EM) structures. Note that the 219 search is purely based on the geometrical arrangement of nucleobases, without 220 restriction on the sequence, a particular feature that is also enabled by the use of 221 eRMSD. 222

Figure 4 shows the cluster centroids (gray) and the closest motif match, i.e. the 223 lowest eRMSD substructure in the PDB database (orange). The eRMSD between 224 the cluster centroid and the best match are indicated, together with the associated 225 PDB code. Centroid 1 corresponds to the canonical UUCG tetraloop structure, with 226 the signature tSW interaction between U6-G9 and G9 in syn conformation. Note 227 that the eRMSD between centroid and best match is small (0.25), indicating that 228 simulated and experimental structures are highly similar. Cluster 2 corresponds 229 to a structure in which the stem is formed, C8 is stacked on top of U6 and G9 is 230 bulged out. Centroid 3 features four consecutive stacking between U6-U7-C8-G9. 231 Note that this latter structure is remarkably similar to the 4-stack loop described 232





233 in Bottaro and Lindorff-Larsen (2017).

As a rule of thumb, we consider as significant matches structures below 0.7 234 eRMSD, but there are cases in which it is worth considering structures in the 235 0.7-1.0 eRMSD range as well. More generally, it is useful to consider the histogram 236 of all fragments with eRMSD below 1, as shown in Fig. 4, bottom panels. This type 237 of analysis makes it possible to identify a good threshold value, in correspondence 238 to minima in the probability distributions. For example, there are no structures 239 in the PDB with eRMSD lower than 0.7 for centroid 3. In this case, a value of 0.9 240 should be used instead. 241

In this example we performed a simple search of a structure from simulation against experimentally-derived structures downloaded from the PDB database. In Barnaba, any arbitrary motif can be used as a query by providing a coordinate file with at least the position of C2,C4 and C6 atoms for each nucleotide. Searches with more complex motifs composed by two strands (e.g. K-turns, sarcin-ricin motifs, etc.) are also possible. Additionally, Barnaba allows for inserted bases, thereby identifying structural motifs with one or more bulged-out bases.

249 Elastic Network Models

Elastic Network Models (ENMs) are minimal computational models able to capture 250 the dynamics of macromolecules at a small computational cost. They assume that 251 the system can be represented as a set of beads connected by harmonic springs. 252 each having rest length equal to the distance between the two beads it connects. 253 in a reference structure (usually, an experimental structure from the PDB). First 254 introduced to analyze protein dynamics *Tirion* (1996), ENMs are also applicable 255 to structured RNA molecules Bahar and lernigan (1998); Setny and Zacharias 256 (2013): Zimmermann and Jernigan (2014). Barnaba contains routines to construct 257 ENM of nucleic acids and proteins, and, as unique feature, makes it possible 258 to calculate fluctuations between consecutive C2-C2 atoms. In a previous work 250 Pinamonti et al. (2015), we have shown this quantity to correlate with flexibility 260 measurements performed with selective 2-hydroxyl acylation analyzed by primer 261 extension (SHAPE) experiments Merino et al. (2005). Here, we show an example 262 of ENM analysis on two RNA molecules: the 174-nucleotide sensing domain of 263 the Thermotoga maritima lysine riboswitch (PDB ID: 3DIG), and the Escherichia 264 coli 5S rRNA (PDB ID: 1C2X). We construct an all-atom ENM (AA-ENM), where each 265 heavy atom is a bead, together with a cutoff radius of 7 Å. In figure 5 we show 266 the flexibility of the RNA molecules as predicted by the ENM (black), that can be 267 gualitatively compared with the measured SHAPE reactivity Hajdin et al. (2013) 268 (orange). 269 The implementation of the ENM in Barnaba employs the sparse matrix pack-270

²⁷¹ age available in Scipy, that allows for significant speed-ups compared to the ²⁷² dense-matrix implementation. Fig. 6 shows the execution time for constructing

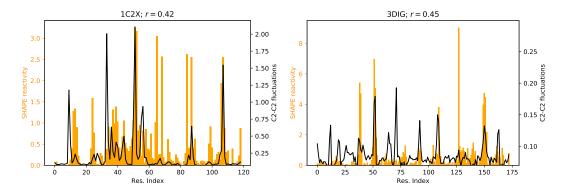


Figure 5. C2-C2 fluctuations as predicted by the ENM of Lysine riboswitch (right panel) and 5S rRNA (left panel). SHAPE reactivity data from *Hajdin et al. (2013)* are shown for comparison. Pearson correlation coefficient *r* between SHAPE data and ENM-predicted fluctuations is also indicated.

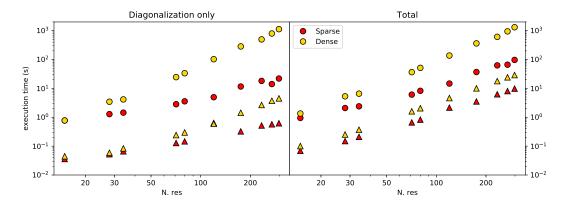


Figure 6. Execution time for the ENM calculation using sparse matrices (yellow) or dense matrices (red) on a 2.3 GHz Dual-Core Intel Core i5 processor, as a function of the number of residues in the RNA molecule. Results are shown both for sugar-base-phosphate (SBP) ENM (triangles) and all-atom-ENM (AA-ENM) (circles), as defined in *Pinamonti et al.* (2015). Left panel shows the time for the interaction matrix diagonalization only, right panel shows the total time including the calculation of C2-C2 fluctuations.

- ²⁷³ ENMs (both SBP and AA) of biomolecules with sizes ranging from a few tens to
- ²⁷⁴ several hundreds nucleotides. Calculations were performed running Barnaba on
- a personal computer. This, combined with the significant memory saving granted
- ²⁷⁶ by sparse matrices representation, makes it possible to easily compute the vi-
- ²⁷⁷ brational modes and the local flexibility of large RNA systems such as ribosomal
- ²⁷⁸ structures using a limited amount of computer resources.

279 **Discussion**

Many RNA molecules are highly dynamical entities that undergo conformational 280 rearrangements during function. For this reason, it is becoming increasingly im-281 portant to develop tools to analyze not only single structures, but also trajectories 282 (ensembles) obtained from molecular simulations. In this paper we introduce a 283 software to facilitate the analysis of nucleic acids simulations. The program, called 284 Barnaba, is available both as a Python library as well as a command line tool. The 285 output of the program is such that it can be easily used to calculate averages 286 and probability distributions, or conveniently used as input to the many existing 287 plotting and analysis libraries (e.g. Matplotlib, SKlearn) available in Python. 288

Barnaba consists of a number of functions: some of them implement standard 289 calculations (RMSD, torsion angles, base-pairs and base-stacking detection). A 290 unique feature of Barnaba is the possibility to calculate the eRMSD. This metric 291 has been successfully employed in several contexts: for analyzing MD simulations 292 Kuhrova et al. (2016), as a biased collective variable in enhanced sampling simu-293 lations Bottaro et al. (2016): Yang et al. (2017): Poblete et al. (2018), to construct 294 Markov State models *Pinamonti et al. (2017)* and to cluster RNA tetraloop struc-295 tures Bottaro and Lindorff-Larsen (2017). In this paper we show the usefulness 296 of this metric to monitor simulations over time, to perform cluster analysis and to 297 search for structural motifs within trajectories/structures. This last feature can 298 be extremely useful to experimental structural biologists, as it makes it possible 290 to efficiently search for arbitrary query motifs within the entire PDB database. 300 For analyzing simulations and clusters, we have found it useful to introduce a 301 dynamic secondary structure representation, that recapitulates the variability of 302 base-pair and base-stacking interactions within an ensemble. 303

Another unique feature of Barnaba is the possibility to back-calculate ${}^{3}J$ scalar couplings from structures. This calculation is *per se* extremely simple. However, it can be difficult to obtain from the literature the different sets of Karplus parameters, and the calculation of the corresponding dihedral angles is error-prone.

Finally, Barnaba contains a routine to construct ENMs of nucleic acid and protein systems and complexes. This is a useful, fast and computationally cheap tool to predict the local dynamical properties of biomolecules, as well as the chain flexibility of RNA molecules.

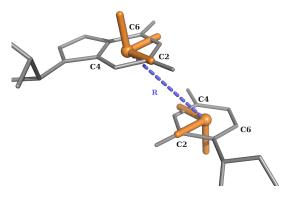


Figure 7. Definition of the local coordinate systems and of the vector **R** for purines and pyrimidines.

312 Methods and Materials

Implementation and availability

- ³¹⁴ Barnaba is a Python library and command line tool. It requires Python 2.7
- or > 3.3, Numpy, and Scipy libraries. Additionally, Barnaba requires MDTraj
- 316 (http://mdtraj.org/) for manipulating structures and trajectories. Source code
- is freely available at https://github.com/srnas/barnaba under GNU GPLv3 license.
- ³¹⁸ The github repository contains documentation as well as a set of examples.

Relative position and orientation of nucleobases

- ³²⁰ For each nucleotide, a local coordinate system is set up in the center of C2, C4, and
- ³²¹ C6 atoms. The x-axis points toward the C2 atom, and the y-axis in the direction
- of C4 (C/U) or C6 (A/G). The origin of the coordinates of nucleobase j in the
- reference system constructed on base *i* is the vector $\mathbf{R}_{ij} = \{x_{ij}, y_{ij}, z_{ij}\}$. Note that
- $_{324}$ $|\mathbf{R_{ij}}| = |\mathbf{R_{ji}}|$ but $\mathbf{R_{ij}} \neq \mathbf{R_{ji}}$. The $\mathbf{R_{ij}}$ is central in the definition of the eRMSD metric
- ³²⁵ and of the annotation strategy described below.

326 **eRMSD**

The eRMSD is a contact-map based distance, with the addition of a number of features that make it suitable for the comparison of nucleic acids structures. We briefly describe here the procedure, originally introduced in *Bottaro et al.* (2014).

Given a three-dimensional structure α , one calculates \mathbf{R}_{ii}^{α} for all pairs of bases in a

³³¹ molecule. The position vectors are then rescaled as follows:

$$\tilde{\mathbf{r}}_{ij}^{\alpha} = \left(\frac{x_{ij}^{\alpha}}{a}, \frac{y_{ij}^{\alpha}}{a}, \frac{z_{ij}^{\alpha}}{b}\right) \tag{1}$$

with a = 5Å and b = 3Å. The rescaling effectively introduces an ellipsoidal anisotropy

that is peculiar to base-base interactions. Given two structures, α and β , consisting

 $_{334}$ of *N* residues, the eRMSD is calculated as

$$e\mathsf{RMSD} = \sqrt{\frac{1}{N} \sum_{i,j} |\mathbf{G}(\tilde{\mathbf{r}}_{ij}^{\alpha}) - \mathbf{G}(\tilde{\mathbf{r}}_{ij}^{\beta})|^2}$$
(2)

 $_{\mbox{\scriptsize 335}}$ $\,$ G is a non-linear function of \tilde{r} defined as:

$$\mathbf{G}(\tilde{\mathbf{r}}) = \begin{pmatrix} \sin\left(\gamma \tilde{r}\right) \frac{\tilde{r}_{x}}{\tilde{r}} \\ \sin\left(\gamma \tilde{r}\right) \frac{\tilde{r}_{y}}{\tilde{r}} \\ \sin\left(\gamma \tilde{r}\right) \frac{\tilde{r}_{z}}{\tilde{r}} \\ 1 + \cos\left(\gamma \tilde{r}\right) \end{pmatrix} \times \frac{\Theta(\tilde{r}_{\text{cutoff}} - \tilde{r})}{\gamma}$$
(3)

where $\gamma = \pi / \tilde{r}_{cutoff}$ and Θ is the Heaviside step function. Note that the function **G** has the following desirable properties:

- 338 1. $|\mathbf{G}(\tilde{\mathbf{r}}^{\alpha}) \mathbf{G}(\tilde{\mathbf{r}}^{\beta})| \approx |\tilde{\mathbf{r}}^{\alpha} \tilde{\mathbf{r}}^{\beta}|$ if $\tilde{r}^{\alpha}, \tilde{r}^{\beta} \ll \tilde{r}_{\text{cutoff}}$.
- 339 2. $|\mathbf{G}(\tilde{\mathbf{r}}^{\alpha}) \mathbf{G}(\tilde{\mathbf{r}}^{\beta})| = 0$ if $\tilde{r}^{\alpha}, \tilde{r}^{\beta} \geq \tilde{r}_{\text{cutoff}}$.
- 340 3. $G(\tilde{\mathbf{r}})$ is a continuous function.
- The cutoff value is set to $\tilde{r}_{\text{cutoff}} = 2.4$.

342 Annotation

³⁴³ A pair of bases *i* and *j* is considered for annotation only if $|\tilde{\mathbf{r}}_{ij}| < 1.7$ and $|\tilde{\mathbf{r}}_{ij}| < 1.7$.

³⁴⁴ **Stacking**. The criteria for base-stacking are the following:

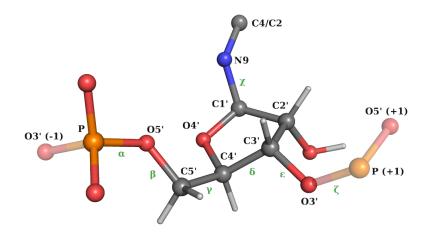
$$(|z_{ij}| \text{ and } |z_{ji}| > 2\text{\AA}) \text{ and } (\rho_{ij} \text{ or } \rho_{ji} < 2.5\text{\AA}) \text{ and } (|\theta_{ij}| < 40^{\circ})$$
 (4)

Here, $\rho_{ij} = \sqrt{x_{ij}^2 + y_{ij}^2}$ and θ_{ij} is the angle between the vectors normal to the planes of the two bases. Similarly to other annotation approaches **Gendron et al.** (2001); Sarver et al. (2008); Waleń et al. (2014), we identify four different classes of stacking interactions according to the sign of the z coordinates:

- upward: (>> or 3'-5') if $z_{ij} > 0$ and $z_{ji} < 0$
- downward: (<< or 5'-3') if $z_{ij} < 0$ and $z_{ji} > 0$
- outward: (<> or 5'-5') if $z_{ij} < 0$ and $z_{ji} < 0$
- inward: (>< or 3'-3') if $z_{ij} > 0$ and $z_{ji} > 0$

We notice that, with this choice, consecutive base pairs with alternating purines and pyrimidines result in a cross-strand outward stacking (see, e.g., Figure 1A).

Base-pairing. Base-pairs are classified according to the Leontis-Westhof nomenclature *Leontis and Westhof* (2001), based on the observation that hydrogen bonding between RNA bases involve three distinct edges: Watson-Crick (W), Hoogsteeen edge (H), and sugar (S). An additional distinction is made according to the orientation with respect to the glycosydic bonds, in cis (c) or trans (t) orientation.





In Barnaba, all non-stacked bases are considered base-paired if $|\theta_{ij}| < 60^{\circ}$ and there exists at least one hydrogen bond, calculated as the number of donoracceptor pairs with distance $< 3.3 \text{\AA}$. Edges are defined according to the value of the angle $\psi = \arctan(\hat{y}_{ij}, \hat{x}_{ij})$.

- Watson-Crick edge (W): $0.16 < \psi \le 2.0$ rad
 - Hoogsteen edge (H): $2.0 < \psi \le 4.0 rad$.
- Sugar edge (S): $\psi > 4.0rad, \psi \le 0.16rad$

366

These threshold values are obtained by considering the empirical distribution of base-base interactions shown in Figure 2 in **Bottaro et al. (2014)**. Cis/trans orientation is calculated according to the value of the dihedral angle defined by $C1'_i - N1/N9_i - N1/N9_j - C1'_j$, where N1/N9 is used for pyrimidines and purines, respectively.

We note that the annotation provided by Barnaba might fail in detecting some interactions, and sometimes differs from other programs. This is due to the fact that for non-Watson-Crick and stacking interactions it is not trivial to define a set of criteria for a rigorous discrete classification *Waleń et al.* (2014). Typically, these criteria are calibrated to work well for high-resolution structures, but they are not always suitable to describe nearly-formed interactions often observed in molecular simulations.

³⁸⁰ Torsion angles and ³*J* scalar couplings

³⁸¹ We use the standard definition of backbone angles, glycosidic χ angle (O4'-C1'-³⁸² N9-C4 atoms for A/G, O4'-C1'-N1-C2 for C/U) and sugar torsion angles ($v_0 \cdots v_4$) as

- ³⁸³ shown in Figures 8 and 9 *Saenger* (2013). Pseudorotation sugar parameters am-
- ³⁸⁴ plitude *tm* and phase *P* are calculated as described in *Altona and Sundaralingam*

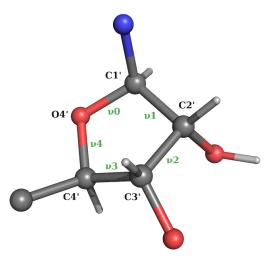


Figure 9. Definition of pucker angles $v_0 \cdots v_4$

385 **(1972)**

 $P0 = \arctan 2(v_4 + v_1 - v_3 - v_0, 3.0777v_2)$ $tm = v_2 P0$ (5)

$$P = \frac{180}{100} P0$$
 (6)

$$= -\frac{1}{\pi} P0 \tag{6}$$

(7)

 $_{386}$ ³J Scalar couplings are calculated using the Karplus equations

$$A\cos^{2}(\theta + \phi) + B\cos(\theta + \phi) + C$$
(8)

Karplus parameters relative to the different scalar couplings are reported in Table1.

389 Elastic Network Model

In ENMs, a set of N beads connected by pairwise harmonic springs penalize deviations of inter-bead distances from their reference values. Spring constants are set to a constant value k whenever the reference distance between the two beads is smaller than an interaction cutoff (R_c), and set to zero otherwise. Under these assumptions, the potential energy of the system can be approximated as

$$U(\delta r_{i,\mu}, \delta r_{j,\nu}) = \delta r_{i,\mu} M_{ij,\mu\nu} \delta r_{j,\nu}$$
(9)

where **M** is the symmetric $3N \times 3N$ interaction matrix, and $\delta \mathbf{r}_i$ is the deviation of bead *i* from its position in the reference structure.

The user can select different atoms to be used as beads in the construction of the model. The optimal value of the parameter R_c depends on this choice, as described in Ref. *Pinamonti et al.* (2015). bioRxiv preprint doi: https://doi.org/10.1101/345678; this version posted June 26, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under the preprint in perpetuity. It is made available under the preprint in perpetuity.

Name	θ	A [Hz]	B [Hz]	C [Hz]	ϕ [rad]	Ref
H1'-H2'	H1'-C1'-C2'-H2'	9.67	-2.03	0	0	Condon et al. (2015)
H2'-H3'	H2'-C2'-C3'-H3'	9.67	-2.03	0	0	Condon et al. (2015)
H3'-H4'	H3'-C3'-C4'-H4'	9.67	-2.03	0	0	Condon et al. (2015)
H5'-P	β	15.3	-6.1	1.6	$-2/3\pi$	Lankhorst et al. (1984)
H5"-P	β	15.3	-6.1	1.6	$2/3\pi$	Lankhorst et al. (1984)
C4-P	β	6.9	-3.4	0.7	0.0	Marino et al. (1999)
H4'-H5'	γ	9.7	-1.8	0.0	$-2/3\pi$	Davies (1978)
H4'-H5"	γ	9.7	-1.8	0.0	0.0	Davies (1978)
H3-P(+1)	ϵ	15.3	-6.1	1.6	$2/3\pi$	Lankhorst et al. (1984)
C4-P(+1)	ϵ	6.9	-3.4	0.7	0.0	Marino et al. (1999)
H1'-C8/C6	χ	4.5	-0.6	0.1	$-\pi/3$	Ippel et al. (1996)
H1'-C4/C2	X	4.7	2.3	0.1	$-\pi/3$	Ippel et al. (1996)

 Table 1. Karplus parameters used in Barnaba

⁴⁰⁰ The covariance matrix is computed as

$$C_{ij,\mu\nu} = \sum_{\alpha=6}^{3N} \frac{1}{\lambda_{\alpha}} v^{\alpha}_{i,\mu} v^{\alpha}_{j,\nu}$$
(10)

401 Where λ_{α} and \mathbf{v}^{α} are the eigenvalues and the eigenvectors of the interaction matrix

 $_{402}$ *M*, respectively. The sum on α runs over all non-null modes of the system.

⁴⁰³ Mean square fluctuation (MSF) of residue *i* is calculated as:

$$\mathsf{MSF}_{i} = \langle \delta r_{i}^{2} \rangle = \sum_{\mu=1}^{3} C_{ii,\mu\mu} \tag{11}$$

The variance of the distance between two beads can be directly obtained from the covariance matrix in the linear perturbation regime as

$$\sigma_{d_{ij}}^2 = \sum_{\mu,\nu=1}^3 \frac{\tilde{d}_{ij}^{\mu} \tilde{d}_{ij}^{\nu}}{\tilde{d}^2} (C_{ii,\mu\nu} + C_{jj,\mu\nu} - C_{ij,\mu\nu} - C_{ji,\mu\nu})$$
(12)

where \tilde{d}_{ij}^{μ} is the μ Cartesian component of the reference distance between bead iand j.

For most practical applications of ENMs only the high-amplitude modes, i.e. those with the smallest eigenvalues, provide interesting dynamical information. The calculation of C2-C2 distance fluctuations using Eq. 12 requires the knowledge

of all eigenvectors. This can be performed by reducing the system to the "effective

interaction matrix" M_{C2}^{eff} relative to the beads of interest **Zen et al.** (2008).

$$M = \left(\begin{array}{c|c} M_{\rm C2} & W \\ \hline W^T & M_{\rm other} \end{array} \right) \tag{13}$$

- ⁴¹³ Where M_{C2} (M_{other}) is formed by the rows and columns of M relative to the (non)
- 414 C2 beads, while W represent the interactions between C2 and non-C2 beads. The
- effective interaction matrix is defined as

$$M_{\rm C2}^{\rm eff} = M_{\rm C2} - W M_{\rm other}^{-1} W^T \tag{14}$$

- ⁴¹⁶ This can be computed efficiently using sparse matrix-vector multiplication algo-
- rithms. The resulting effective matrix $M_{\rm C2}^{\rm eff}$ has reduced size (1/3 for SBP-ENM,
- 1/20 for AA-ENM) making its pseudo-inversion considerably faster. Note that, in
- case one is interested in computing the C2-C2 fluctuations for a portion of the
- 420 molecule only, the algorithm could be further optimized by directly computing
- the effective interactions matrix associated to the required C2-C2 pairs.

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