**Neural and behavioral endophenotypes of obesity.**

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**Abstract**

*Background*

Impulsivity is a risk factor for obesity. It has different underlying facets that can be assessed

using questionnaires. Impulsivity can be further refined by the use of food-specific

questionnaires, which measure a tendency to uncontrolled eating. We examined how these

impulsivity measures relate to each other, to obesity, and to brain anatomy.

*Methods*

We assessed students in their first year of university - a risky period for weight gain- at the

beginning (N = 2214) and at the end of the school year (N = 1145) using questionnaire measures

of impulsivity, personality, stress reactivity and eating-specific traits. A subset of participants (N

= 72) underwent T1-weighted MRI to investigate the brain correlates of impulsivity.

*Results*

Using factor analysis, we show that impulsivity can be stratified into three domains, which we

label stress reactivity, reward sensitivity and self-control, while eating questionnaires resolve

into a single latent factor - uncontrolled eating. A watershed model shows that uncontrolled

eating mediates the effect of impulsivity traits on BMI. Self-control and stress reactivity scores

are associated with a thinner lateral orbitofrontal cortex. In addition, stress reactivity correlates

positively with amygdala and negatively with hippocampal volume. Longitudinally, lack of self-

control, not uncontrolled eating, correlates with weight gain, while stress reactivity correlates

with weight loss in male students.

*Conclusions*

The brain-impulsivity-obesity relationship is hierarchical. Structural brain differences relate to

differences in impulsivity domains which affect BMI via uncontrolled eating. However,

longitudinally, low self-control, not uncontrolled eating, is a predictor of weight gain in this

sample.

**Introduction**

Obesity is a neurobehavioral disorder that stems from a vulnerable brain in a disease-promoting

environment (1). Its risk factors can be studied as endophenotypes - intermediate phenotypes that

link biological processes to observable outcomes (2, 3). Broadly, the neurobehavioral

endophenotypes associated with obesity can be categorized as domain-general and eating-

specific (4). The main domain-general endophenotype identified to date is impulsivity, defined

as a tendency to act without full consideration of the consequences. Impulsivity is a multifaceted

trait that has been associated with pathological gambling, substance abuse, and obesity (5, 6).

Meta-analysis of impulsivity questionnaires revealed that impulsivity can further be subdivided

into three domains that align with personality factors (5): 1) low *conscientiousness*, reflecting

self-control ; 2) *neuroticism*, a reflection of an individual's sensitivity to stress and aversive

events, and 3) *extraversion*, reflecting an individual's sensitivity to rewards (7–9). The

magnitude and directionality of the association between impulsivity and obesity have been

inconsistent (4, 10, 11). This inconsistency could be explained by different impulsivity measures

and domains used across studies (4, 5, 11), and to weak effect sizes relating general impulsivity

to BMI (12). Therefore, eating specific impulsivity questionnaires may better capture the

vulnerability endophenotype.

Eating-specific impulsivity constructs include emotional eating (13), disinhibited eating (14) and

power of food (15). Scores on all these questionnaires consistently and strongly correlate with

BMI (4, 16) and with each other (17). Different eating behavior questionnaires may be depicting

a common underlying latent factor for eating specific impulsivity (16, 18). This can be labelled

uncontrolled eating (UE). While both general impulsivity traits and UE have been linked to

increased BMI, the relationships between different impulsivity domains, UE and obesity remain

to be tested (i.e. some impulsivity traits might be associated with eating-specific impulsive

behavior which in turn will be linked to BMI (11, 12, 19)). Statistically, if true, this hypothesis

implies a complete mediation of general impulsivity traits on BMI via eating-specific

impulsivity. However, so far, comprehensive models have not been built for all impulsivity

domains and eating questionnaires, and have not been applied to the prediction of weight gain.

There is considerable overlap between the brain regions associated with obesity and the brain

regions associated with impulsivity (10, 20, 21). Scores from both general impulsivity and

conscientiousness scales are inversely correlated with the thickness of the lateral prefrontal

cortex (PFC)  (8, 22–25) - a region that has been related to cognitive control. Neuroticism is

positively correlated with amygdala volume and negatively correlated with hippocampal volume

and prefrontal cortex thickness (26–29). Extraversion and sensation seeking correlate positively

with medial orbitofrontal cortex (mOFC) and ventromedial PFC (vmPFC) volume (8, 30, 31),  a

region associated with the computation of value (32). These results support a model where the

impulsivity endophenotype might be mapped to the brain as reduced cortical thickness in the

prefrontal cortex and increased gray matter volume in brain structures (e.g. amygdala, OFC,

mPFC) associated with reward and value computation (33). Such a brain endophenotype has also

been associated with obesity (4, 10, 21). Differences in brain morphometry and function might

underlie differences in impulsivity and might thereby be associated with obesity.

If impulsivity (either general or eating-specific) is a risk factor for obesity, people with these risk

endophenotypes will have higher BMI and will gain more weight compared to their peers with

non-risk endophenotypes. So far, most analyses have been conducted on cross-sectional datasets

making it difficult to say if impulsivity is a risk factor or a consequence of obesity (4). The

freshman year of university creates an ideal time period to test these hypotheses both cross-

sectionally and longitudinally. During this time, students transition into a new environment with

access to similar food and exercise options, allowing underlying vulnerability to express itself.

Weight gain often happens in this short period of time and affects approximately 50-60% of

students (34–40). Of the risk factors for weight gain, eating specific behaviors that revolve

around the concept of impulsivity have been most commonly studied, but the results have been

contradictory (38, 41–44).

We used structural brain imaging measures, general and eating-specific impulsivity

questionnaires, and measures of BMI and weight gain in freshman students to test the hypothesis

that the relationship between brain, impulsivity and obesity is hierarchical. We utilized a

hierarchical statistical framework referred to as a 'watershed model' to study the relationship

between impulsivity endophenotypes. This methodological framework has previously proven

useful to study the relationships between age, brain structure and intelligence (2, 45, 46). From

this perspective, brain structure will influence impulsivity measures which could affect eating-

specific impulsivity (namely, UE) that is associated with over-eating. We predicted that UE

would mediate the relationship between general impulsivity and BMI domains, and act as a risk

factor for weight gain during the freshman year of university.

**Methods and Materials**

Participants

Participants were first year McGill university students, at least 18 years of age, recruited via an

advertisement sent to the incoming class electronic mailing list. Participants provided their

consent online and data were collected using the online survey tool LimeSurvey

(https://www.limesurvey.org) over three consecutive years. The study was approved by the

Montreal Neurological Institute Research Ethics Board. Participants for the brain imaging

experiment gave additional consent before participating.

Questionnaires

Participants filled out the following online questionnaires: "Big Five" personality dimensions

(Openness/ Imagination, Conscientiousness, Agreeableness, Extraversion, and Neuroticism)

from the International Personality Item Pool (IPIP) (47), two subscales (lack of perseverance and

sensation seeking) of the UPPS Impulsive Behavior Scale (48), Cohen's Perceived Stress Scale

(PSS) (49), and Rosenberg Self Esteem Scale (50) as well as eating specific questionnaires such

as the disinhibition subscale of Three-Factor Eating Questionnaire (TFEQ)(14), emotional eating

subscale of Dutch Eating Behavior Questionnaires (DEBQ) (13), and all subscales of Power of

Food Scale (PFS) (15) (Table 1). A subset (N=1145) of our initial population completed a

follow-up questionnaire in the spring for longitudinal assessment. Our questionnaire included

two "catch" questions and three "catch-match" questions as a measure of the level of participants'

attention in completing the survey. Participants with total catch scores above three were

excluded. An additional exclusion criterion was BMI under 15 or above 45, resulting in a

sample of 2213 participants (Males N= 752; Females N= 1461). Only after completion of the

questionnaire, participants were asked to visit our laboratory to have their BMI measured in both

the fall and spring. BMI was measured from 333 participants in the fall, and from 209

participants in the spring semester (N =115 overlap with the fall group) using a medical scale and

a stadiometer. Reported BMI was highly correlated with measured BMI in the fall (r = 0.91,

p<$10^{-5}$) and in the spring (r = 0.92, p<$10^{-5}$). We replaced the reported BMI with measured BMI

in the analyses for higher accuracy. BMI was further residualized for age, sex and a covariate to

account for whether it was reported or measured. Brain imaging was conducted on a subset of

participants (N=72) selected from this sample in the fall.

<u>Magnetic Resonance Imaging parameters and preprocessing</u>

High-resolution T1-weighted anatomical images with voxel size = 1x1x1 mm were obtained (TR

= 2.3 s; TE = 2.98 ms; FOV phase=93.8°; FOV = 256mm) with a Siemens Magnetom Trio 3T

MRI scanner at the Montreal Neurological Institute (MNI).

Pre-processing of T1-weighted MRIs included denoising using optimized non-local means

filtering (51), correction for intensity inhomogeneity (52) and linear intensity scaling using

histogram matching to the ICBM-MNI152 template. The images were linearly registered to

ICBM-MNI152 template (9 parameter registration) (53). A mask of the brain was generated

using BEaST, a nonlocal segmentation method applied to the linearly registered images in

stereotaxic space (54).

<u>Deformation Based Morphometry</u>

Deformation Based Morphometry is utilized to calculate the local difference in tissue volume

between subjects (55). Each MRI was non-linearly transformed to the stereotaxic ICBM-

MNI152 template. The local deformation, a measure of tissue expansion or shrinkage, was then

computed at each voxel. We utilized Deformation Based Morphometry as it is suggested to be

the most sensitive morphometric method for studying subcortical anatomy (as explained in

(56)).

<u>Cortical Thickness</u>

All T1-weighted MRI images were processed using the CIVET pipeline (version 2.1;

http://www.bic.mni.mcgill.ca/ServicesSoftware/CIVET). Native T1-weighted MRI scans were

first corrected using the N3 algorithm, underwent brain masking, and registration to ICBM-

MNI152 template. Images were then segmented into gray matter (GM), white matter (WM),

cerebrospinal fluid (CSF) and background using a neural net classifier. The white matter (inner)

and gray matter (outer) cortical surfaces were extracted using the Constrained Laplacian-based

Automated Segmentation with Proximities algorithm (CLASP). The resulting surfaces were

resampled to a stereotaxic surface template to provide vertex-based measures of cortical

thickness. All resulting images went through a stringent quality control by two inspectors in

which 69 of 72 images were accepted for further analysis. Cortical thickness was then measured

in MNI space using the linked distance between the two surfaces across vertices and a 20mm

surface smoothing kernel was applied to the data. The Desikan–Killiany–Tourville (DKT) atlas

was used to parcellate the surface into 64 cortical regions (57). Cortical thickness was averaged

over all vertices (left and right) in each region of interest (ROI) for each subject. The subject

mean cortical thickness was regressed out from the ROIs before further analysis.

Factor Analysis

Factor analysis was carried out in order to (i) understand the shared variance of the measured

variables, which can be attributed to latent factor(s) and (ii) reduce the collinearity between the

questionnaire scores. The correlation matrix of the impulsivity traits is shown in Figure S2.

Questionnaire scores were z-scored for this group and entered into the factor analysis.

Exploratory Factor Analysis was carried out first in order to identify the underlying factor

structure of our set of observed variables. All of the statistical analyses are carried out in R

version 3.3 (58).To account for missing values, we used the R "Amelia" library to impute data

(59). We conducted our exploratory factor analysis on the split half of our population of 2213

participants (N=1107) to create our model. Factor selection was conducted using parallel

analysis via the fa.parallel function from the Psych package in R (60). The generated pattern

matrix of standardized loadings suggested the existence of a 3-factor latent structure (Table S1).

Afterwards, confirmatory factor analysis (CFA) was utilized to test the relationship between our

observed variables and their underlying latent factors in both split-halves of the sample (61). All

structural equation models were fit using package Lavaan 0.5 (62). BMI was log transformed to

increase normality. Models were fit using full information maximum likelihood (FIML) using

robust standard errors. Model fit was assessed with the chi-square test ($X^2$), the Comparative Fit

index (CFI), Root Mean Square Error of Approximation (RMSEA) and the standardized root

mean squared residuals (SRMR). The following guidelines were utilized for judging good fit:

CFI>0.95; RMSEA<0.08 and SRMR <0.10 (63). The hierarchical relationship between

impulsivity, UE and BMI was tested using the watershed framework (see supplementary

material, also explained in (46)). Only variables that survived multiple comparisons with False

Discovery Rate (FDR) are displayed as significant. The *p* values reported are FDR corrected.

**<u>Results</u>**

**Eating-specific impulsivity factor uncontrolled eating is correlated with BMI**

Participant characteristics are listed in Table 1.  We first tested if UE emerged as a latent factor

that captures the covariance between the eating questionnaires, namely Disinhibition (TFEQ),

Power of Food, and Emotional Eating (DEBQ) (Figure 1-A). We created the model in the first

split-half sample, and verified it in the second split-half. Both models resulted in good fits and

returned similar loadings (Table S2).   Following the best practices in structural equation

modeling (64), here we report our model in the full sample. A single factor confirmatory factor

analysis for UE provided a good fit for our data. Figure 1-B shows that UE correlated with BMI

($\beta = 0.37$, $p < 10^{-5}$) and explained 9.2% of the variance in BMI ($F_{(1, 2206)} = 223.7$, $p < 10^{-5}$).

**General impulsivity traits can be explained with a three-factor latent structure and are**

**correlated with BMI**

We next tested the relationship between general impulsivity measures and UE and BMI. A data

driven exploratory factor analysis in a split-half of the data suggested a three-factor latent factor

structure for impulsivity traits (Table S1).   The first factor had a negative loading from the

Rosenberg self-esteem questionnaire and positive loadings from Neuroticism (IPIP) and the

Perceived Stress Scale (PSS). We labelled this factor 'Stress Reactivity (STRESS)'. The second

factor had a positive loading from Lack of Perseverance (UPPS) and a negative loading from

Conscientiousness (IPIP). We labelled this latent factor 'Lack of Self Control'. The third factor

was named 'Reward Sensitivity' (REWARD) and it was characterized by positive loadings from

the Extraversion (IPIP) and Sensation Seeking (UPPS) subscales (Table 1). We next tested if

these general impulsivity domains were associated with BMI. Using confirmatory factor

analysis, we showed that there is a small but significant correlation between STRESS and BMI

($\beta = 0.08$, p=0.028), and REWARD and BMI ($\beta=0.09$, p = 0.028) (Figure 1-C).

**The relationship between general impulsivity, uncontrolled eating, and BMI**

General impulsivity traits might exert their effects on BMI via UE, eating-specific

impulsivity. To test this, we fit the full watershed model integrating the general impulsivity

latent factors, the UE latent factor and BMI (Figure 1-D). Our model satisfied the  predictions of

the watershed model (46, see supplementary materials). These results showed that the

relationship between general impulsivity, UE and BMI is hierarchical. Furthermore, our

watershed model was selective for eating behaviors. For example, when alcohol intake (i.e. how

many alcoholic beverages do you consume a week?) was utilized as the outcome variable in the

watershed model, general impulsivity factors, but not UE, explained 25.6% of the variance in

alcohol intake (Figure S3).

**UE mediates the relationship of stress reactivity and reward sensitivity to BMI**

We additionally utilized mediation analysis to test if UE mediates the relationships between

STRESS and BMI and REWARD and BMI. Using the structural equation model framework, we

simultaneously modelled two pathways: UE mediates (i) the effect of REWARD on BMI and (ii)

the effect of STRESS on BMI. The analysis confirmed that the effects of STRESS and

REWARD on BMI were mediated by UE (76% mediation; Figure 2; Table S3).

**Prefrontal and subcortical brain structures are associated with impulsivity traits**

We hypothesized that structural brain measures might be related to the latent impulsivity factors.

To test this hypothesis, we included brain regions that have been linked to impulsivity traits and

obesity in the full model. Cortical regions were measured using cortical thickness (CT) and

anatomical differences in subcortical regions were measured using deformation-based

morphometry. We found that STRESS was positively associated with amygdala volume ($\beta$ =

0.29, p = 0.035) and inversely with hippocampal volume ($\beta$ = -0.33, p = 0.021) and lateral OFC

thickness ($\beta$ = -0.31, p = 0.035). Lack of self-control inversely correlated with lateral OFC

cortical thickness ($\beta$ = -0.33, p = 0.041). Independent of these relationships, superior frontal

gyrus thickness inversely correlated with BMI ($\beta$ = -0.38, p = 0.014).

**Longitudinal Predictors of Weight Gain**

We next looked for predictors of change in body weight in the first year of university (Figure 4-

A). Our results revealed that students gained on average 0.51 kg (SD $\pm$ = 3.22) in the first year of

university (August/September to April/May), which is a small but significant effect (t = 5.4, df =

1133, p-value = $p<10^{-5}$, %95 CI = 0.32-0.68). We utilized percent BMI change as the outcome

variable to account for the initial BMI. Our model explained 1.5 % of the variance in percent

BMI change (Figure 4-A). According to our model, UE did not significantly predict BMI

change in this sample ($\beta$ = 0.03, p = 0.57) . Among the impulsivity factors, Lack of Self Control

predicted weight change ($\beta$ = 0.10, p = 0.028), while stress reactivity predicted weight loss ($\beta$ = -

0.13, p = 0.028). A post-hoc regression analysis of the relationship between stress reactivity and

weight change revealed that this negative correlation was driven by males. In male students,

STRESS explained 2% of the variance in weight reduction ($\beta$ = - 0.17, p = 0.0053, N= 360, $R^2$ =

0.02). No significant correlation emerged for female students ($\beta$ = - 0.061, p = 0.15, N = 788, $R^2$

= 0.001) (Figure 4-B).

**Discussion**

The purpose of the current study was twofold (i) to provide a comprehensive link between brain

structure, impulsivity and obesity, and (ii) to characterize the temporal relationship between

impulsivity and weight changes. We utilized a large sample size (N>2000) of first-year students

and accounted for the multidimensional nature of impulsivity to study these relationships. The

models were developed in the first split-half of the sample and replicated in the second.

We first stratified impulsivity into two domains: general and eating-specific, and then tested the

relationship between these two domains. We showed that the variance of different eating-specific

questionnaires can be captured by a general underlying latent factor (UE). UE had a strong

relationship to BMI. This result was consistent with previous studies suggesting that different

food questionnaires are measuring different severity levels of a common latent factor: eating-

specific impulsivity (16, 18). Next, we demonstrated that the commonly used general impulsivity

questionnaires can be explained in a three-factor structure that align with the general personality

domains of neuroticism (stress sensitivity), conscientiousness (self-control), and extraversion

(reward sensitivity) (Figure 1-C). This result is in agreement with the previously published meta-

analysis of impulsivity factor structure (5), and highlights the multifaceted nature of impulsivity.

We hypothesized that people with general impulsivity traits might also exhibit eating-specific

impulsivity, and that the relationship between general personality domains, UE and BMI would

be hierarchical. Using the hierarchical watershed model, we first showed that each general

impulsivity domain had an independent and positive correlation with UE (Figure 1-D). While

stress reactivity and reward sensitivity weakly correlated with BMI, we showed that this was

mediated by UE (Figure 2). This mediation was specific for BMI. For example, increased scores

in each general impulsivity domain, but not UE, were linked to increased alcohol intake (Figure

S3). This suggests eating-specific impulsivity (UE) captures the relationship between impulsivity

and obesity.

Differences in brain structure might underlie differences in impulsivity domains (20). We next

tested if brain morphometry related to impulsivity or if it had an independent correlation with

BMI. Our results showed that cortical thickness in lateral OFC is inversely related to the self-

control and stress reactivity latent factor scores (Figure 3). This is in line of the role of lateral

OFC in maintaining goal-oriented focus by suppressing irrelevant information (65–67).

Furthermore, lateral OFC and its neighboring ventrolateral PFC have also been implicated in

emotional regulation, particularly in the reduction of negative affect (66, 68, 69). Lateral OFC

thickness has been shown to be lower in adults with obesity (70). Our results add to this literature

and suggest that reduced lateral OFC thickness might affect impulsivity and thereby appetite

control and obesity.

Both amygdala and hippocampus have been implicated in the control of food intake as well as in

stress reactivity (71–74). In this study we showed that a personality dimension related to stress

reactivity was associated with greater amygdala volume and lower hippocampal volume,

consistent with previous reports (26, 27, 75). Our results suggest that differences in amygdala

and hippocampal volumes might relate to increased stress reactivity which can lead to UE and

obesity. Independent of these relationships, we showed that superior frontal gyrus thickness was

inversely related to BMI consistent with the literature (70, 76, 77). This suggests that some brain

regions might be important in overeating and obesity independent of impulsivity.

Finally, we tested the hypothesis UE underlies vulnerability for weight gain. We utilized the

transition period into university that is associated with high risk of weight gain to study this

hypothesis. In our sample, the average weight gain was small, but significant, and was similar to

previously reported studies (40). However, in this sample we failed to find that UE was a

predictor of weight gain. This could be due to limited duration of the study. Furthermore, the

directionality of the UE-BMI relationship could contribute to this result: changes in body weight

might lead to longitudinal variations in eating-specific impulsivity. For instance, scores in

different uncontrolled eating scales decrease after bariatric surgery (78–81) or voluntary weight

loss (82, 83). In this study, weight changes correlated with changes in disinhibition score from

TFEQ (has the highest loading UE) between spring and fall semesters ($\beta = 0.088$, $p = 0.02$, N =

660), supporting this view.

Our results support a model in which weight gain results from lack of self-control. Upon

transitioning into a new environment, difficulties in self-control could compromise the

maintenance of behaviors that promote healthy weight. High scores on conscientiousness have

been consistently linked to a lower risk of having and developing obesity across independent

samples (84, 85). These results are generally derived from sample populations with a higher

mean age than ours, suggesting that self-control deficits may be more associated with BMI as

individuals age and start making their own food decision. Our findings thus point to self-control

deficits as risk factors for future weight gain in young populations.

Higher stress reactivity correlated with longitudinal weight loss in our study. This result is

surprising, and yet, it is consistent with the existence of bidirectional effects of stress on eating

behaviors. Stress is associated both with weight-gain and weight-loss longitudinally (86, 87).

This bidirectional relationship might be partly mediated by sex differences, since there seem to

be biological and psychological variations in stress responses among sexes (88). Male

participants that report distress at the transition from high school to university tend to lose weight

(89) and decrease their food intake compared to female participants (90). In a similar vein, our

post hoc analysis revealed that stress reactivity was associated with weight loss only in male

students. Conversely, the stress reactive endophenotype was associated with higher BMI,

possibly due to differences in the effects of chronic versus acute stress on appetite and weight

gain (91).

The results of our study should be considered with regards to its limitations. Although we had a

large sample group, whereby we were able to detect and replicate results from meta-analyses,

most of our measures were self-reported. In addition, brain imaging was conducted with a

smaller subset from the sample. Therefore, the relationship between impulsivity and brain

morphometry were only tested in regions of interest based on the literature. This limits the

generalizability of the brain imaging results.

### *Conclusions*

The present study provides a comprehensive characterization of the relationships between

different facets of impulsivity and obesity. We show that structural brain differences relate to

differences in impulsivity domains which affect BMI via eating-specific impulsivity (UE).

However, predictors of weight gain, at least in the short-term, are different than the risk factors

associated with increased BMI. Deficits in self-control, not UE, predict longitudinal weight gain,

while higher stress reactivity predicts weight loss in the first year of university (in males). These

findings highlight the role of self-control deficits in longitudinal weight gain. Our results might

inform future clinical strategies aimed at fostering self-control abilities to prevent and/or treat

unhealthy weight gain.

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**Table 1. Descriptive statistics of the study variables.**

2214 Students (34% Males) filled the questionnaires in the fall semester. Out of the 2214

students, 1145 answered the questionnaires in the spring. There were no significant

differences in the variables of interest between participants who completed only fall and

who completed both fall and spring questionnaires (Figure S1). Paired t-tests revealed that

BMI in the spring (mean difference 0.15 kg/m$^2$; t = 4.40, p-value = $1.5*10^{-5}$, 95% Cl: 0.08 -

0.22) and weight in the spring (mean difference 0.51 kg; t = 5.32, p< $10^{-5}$, 95% Cl: 0.32 -

0.70) were significantly different from the fall values. 95% Cl refer to 95 percent

confidence intervals. UE: Uncontrolled Eating.

| Variables | Mean | SD (±) | min | max |
|---|---|---|---|---|
| Age | 19.8 | 3.4 | 18.0 | 52.0 |
| BMI (kg/m$^2$) | 22.2 | 3.4 | 15.5 | 42.8 |
| Spring BMI (kg/m$^2$) | 22.3 | 3.3 | 14.7 | 44.3 |
| Disinhibition (Three-Factor Eating Questionnaire (14)) | 6.4 | 3.4 | 0.0 | 16.0 |
| Emotional Eating (Dutch Eating Behavior Questionnaire (13)) | 2.5 | 0.7 | 1.0 | 4.8 |
| Power of Food (PFS) (15) | 12.4 | 3.7 | 5.0 | 25.0 |
| Lack of Perseverance (the UPPS Impulsive Behavior Scale (48)) | 1.9 | 0.4 | 1.0 | 3.7 |
| Sensation Seeking (the UPPS Impulsive Behavior Scale (48)) | 2.8 | 0.6 | 1.0 | 4.0 |
| Rosenberg Self Esteem (50) | 29.9 | 5.0 | 13.0 | 40.0 |
| Cohen's Perceived Stress Scale (49) | 24.5 | 7.2 | 2.0 | 52.0 |

| | | | | |
|---|---|---|---|---|
| Neuroticism (International Personality Item Pool (47)) | 11.6 | 2.9 | 4.0 | 20.0 |
| Agreeableness (International Personality Item Pool(47)) | 15.6 | 2.8 | 4.0 | 20.0 |
| Imagination (International Personality Item Pool(47)) | 15.2 | 2.7 | 4.0 | 20.0 |
| Conscientiousness (International Personality Item Pool (47)) | 14.0 | 2.9 | 4.0 | 20.0 |
| Extraversion (International Personality Item Pool(47)) | 12.1 | 3.5 | 4.0 | 20.0 |
| Change in weight in first year (kg) | 0.51 | 3.22 | -13.0 | 15.0 |

690
**Figure 1. Eating-specific impulsivity UE correlates with general impulsivity traits and with**

**BMI.** A) A single-factor confirmatory factor analysis for uncontrolled eating fits the data well

($X^2$=30.28, df=4, p<.001, CFI=.992, RMSEA=.054, SRMR=.015). B) Linear fit of UE with log

BMI. C) Confirmatory factor analysis analysis of impulsivity traits with three latent factors fit

the data well ($X^2$=147.277, df=14, p<.001, CFI=.963, RMSEA=.066, SRMR=.03). After

multiple comparison correction, STRESS and REWARD are weakly correlated with BMI. D)

The full watershed model that links general impulsivity traits to UE and UE to BMI fits the data

well. ($X^2$=328.03, df=34, p<.001, CFI=.957, RMSEA=.063, SRMR=.035) REWARD:  Reward

Sensitivity; STRESS: Stress Reactivity; UE: Uncontrolled Eating. B-D represent normalized

scores that have been residualized for age and sex. DEBQ: Emotional eating from DEBQ scale;

DIS: Disinhibition from TFEQ; PFS: Power of Food Scale; PSS: Perceived Stress Scale; Self-

esteem: Rosenberg Self Esteem Scale. Significant relationships after FDR correction for multiple

comparisons are shown in blue or yellow based on the directionality of the relationship.

A

Indirect STR effect on BMI via UE is 0.133***

B

Indirect REW effect on BMI via UE is 0.095***

$$\text{Mediation Effect Size} = \frac{(a1 \times b) + (a2 \times b)}{c1 + c2 + (a1 \times b) + (a2 \times b)} \times 100 = 76\%$$

**Figure 2. Mediation model for BMI.** The mediation model tests the hypothesis that the effect

of general personality traits- STRESS and REWARD- on BMI is mediated by UE. In mediation

models, there are three paths, a, b and c, between the variables. Full mediation will result in the

direct relationship between the independent variable and the dependent variable (path c) to

become insignificant when taking the mediator into account (path a). The mediation models were

fit together in structural equation model framework but displayed on separate figures as A and B.

REWARD: Reward Sensitivity; STRESS: Stress Reactivity; UE: Uncontrolled Eating. Gray

numbers represent the regression coefficients between general impulsivity traits and BMI

without UE as shown in Figure 1-C. *$P<0.05$, **$P<0.01$, ***$P<0.001$.

**Figure 3. The full watershed model with structural brain parameters.** This model fits the data well ($X^2$= 440.485, df=120, p<.001, CFI=.954, RMSEA=.035, SRMR=.07). All of the numbers shown reflect parameters that are standardized and residualized for age and sex. The correlations within the latent factors and within the brain parameters are allowed but not shown for simplicity. Significant relationships after FDR correction for multiple comparisons are shown in blue or yellow based on the directionality of the relationship. Dashed lines represent tested nonsignificant regressions. REWARD: Reward Sensitivity; STRESS: Stress Reactivity; UE: Uncontrolled Eating.

**Figure 4. Predictors of weight gain in first year university students.** A) This model represents

the whole watershed model with percent BMI change as the outcome variable in (N = 1134). The

data fits this model well ($X^2$=124.855, df=34, p<.001, CFI=.972, RMSEA=.048, SRMR=.03).

All of the numbers shown reflect parameters that are standardized and residualized for age and

sex. Significant relationships after FDR correction for multiple comparisons are shown in blue or

yellow based on the directionality of the relationship. B) Regression analysis of STRESS

endophenotype with weight change is only significant in male students. Raw data is plotted in

panel B.  UE: Uncontrolled Eating; STRESS: Stress Reactivity; REWARD:  Reward Sensitivity.

**Supplementary Figure 1. Descriptive statistics of participants who completed only the first**

**session in the fall and who completed both sessions.** There were no significant differences in

the variables of interest between participants between these groups after FDR correction.

**Supplementary Figure 2**. Correlation plot of the impulsivity traits used in the exploratory factor

analysis. Blue colors refer to positive correlations, red refer to negative correlations. The size of

the circle is modulated by the correlation coefficient value. The correlation is shown only for the

split half of the data.

**Supplementary Figure 3. Uncontrolled eating does not correlate with alcohol intake.**

The full watershed model that links general impulsivity traits to UE and alcohol fits the data well

($X^2$=242.058, df=43, p<.001, CFI=.971, RMSEA=.046, SRMR=.032). This model explains 25.6

% of the variance in reported alcoholic beverages consumption per week. All of the numbers sho

wn reflect parameters that are fully standardized and residualized for age and sex. Significant rel

ationships after FDR correction for multiple comparisons are shown in blue or yellow based on t

he directionality of the relationship. UE: Uncontrolled Eating; STRESS: Stress Reactivity; REW

ARD:  Reward Sensitivity.

**Supplementary Table 1. Results of the exploratory factor analysis.**

Parallel analysis is a technique that compares the scree plot of the observed data with that of a

random data matrix of the same size as the original (Revelle). Sharp breaks in the scree plot,

which plots successive eigenvalues, provide an estimation of the appropriate number of factors to

extract. After analyzing the generated pattern matrix, we decided to exclude Imagination,

Restraint, Agreeableness from our factor structure. These variables had poor communalities,

meaning our factor structure explained a relatively low fraction of the variance for these

variables. Re-running our parallel analysis suggested that the optimal number of factors = 3.

Parallel analysis suggests that the number of factors = 3

Factor Analysis using method =  minres

Call: fa(r = r, nfactors = 3, n.obs = nrow(means))

Standardized loadings (pattern matrix) based upon correlation matrix

| | item | MR1 | MR2 | MR3 | h2 | u2 | com |
|---|---|---|---|---|---|---|---|
| Perceived Stress Scale | 7 | 0.85 | | | 0.73 | 0.27 | 1.0 |
| Neuroticism | 6 | 0.70 | | | 0.46 | 0.54 | 1.1 |
| Rosenberg Self Esteem | 3 | -0.56 | | | 0.60 | 0.40 | 1.6 |
| Lack of Perseverance | 1 | | -0.84 | | 0.70 | 0.30 | 1.0 |
| Conscientiousness | 5 | | 0.60 | | 0.43 | 0.57 | 1.3 |
| Sensation seeking | 2 | | | 0.62 | 0.39 | 0.61 | 1.0 |
| Extraversion | 4 | | | 0.50 | 0.27 | 0.73 | 1.0 |

```
48

49                            MR1   MR2   MR3

50   SS loadings           1.62 1.17 0.78

51   Proportion Var        0.23 0.17 0.11

52   Cumulative Var        0.23 0.40 0.51

53   Proportion Explained  0.45 0.33 0.22

54   Cumulative Proportion 0.45 0.78 1.00

55

56    With factor correlations of

57         MR1    MR2    MR3

58   MR1  1.00 -0.39 -0.36

59   MR2 -0.39  1.00  0.11

60   MR3 -0.36  0.11  1.00

61

62   Tucker Lewis Index of factoring reliability =  0.889

63   RMSEA index =  0.094  and the 90 % confidence intervals are  0.066 0.124

64   BIC =  10.88

65   Fit based upon off diagonal values = 1

66   Measures of factor score adequacy

67
```

72    **Supplementary Table 2. Values from the CFA analysis of uncontrolled eating (UE) in  the**

73    **first and second split half of the sample.**

|  | $X^2$ | df | P value | CFI | RMSEA | SRMR |
|---|---|---|---|---|---|---|
| **UE1** | 11.83 | 4 | 0.019 | 0.995 | 0.042 | 0.014 |
| **UE2** | 19.32 | 4 | 0.001 | 0.990 | 0.059 | 0.017 |

87    **Supplementary Table 3. Mediation Analysis Results**

```
88    lavaan (0.5-23.1097) converged normally after  43 iterations

89

90                                               Used       Total

91      Number of observations                   2208        2213

92

93      Number of missing patterns                  2

94

95      Estimator                                  ML

96      Minimum Function Test Statistic         328.032

97      Degrees of freedom                          34

98      P-value (Chi-square)                     0.000

99

100   Parameter Estimates:

101

102     Information                            Observed

103     Standard Errors                        Standard

104

105   Latent Variables:

106                 Estimate  Std.Err  z-value  P(>|z|)   Std.lv  Std.all

107     STRESS =~

108       PSS             1.000                                0.691    0.702

109        Neuroticism    0.736    0.029   25.789    0.000   0.509    0.533

110        Rosenberg     -1.248    0.054  -23.236    0.000  -0.863   -0.883

111     Lack of Self Control =~
```

| 112 | Lack of Persever | 1.000 | | | | 0.830 | 0.846 |
| 113 | Conscientiousness | -0.726 | 0.049 | -14.704 | 0.000 | -0.603 | -0.609 |
| 114 | REWARD =~ | | | | | | |
| 115 | Extraversion | 1.000 | | | | 0.675 | 0.677 |
| 116 | SensationSeeking | 0.701 | 0.064 | 10.987 | 0.000 | 0.473 | 0.492 |
| 117 | UE =~ | | | | | | |
| 118 | Disinhibition | 1.000 | | | | 0.845 | 0.886 |
| 119 | PFST | 0.820 | 0.024 | 33.882 | 0.000 | 0.693 | 0.721 |
| 120 | DEBQ | 0.864 | 0.024 | 36.314 | 0.000 | 0.730 | 0.780 |
| 121 | | | | | | | |

122 Regressions:

| 123 | | | Estimate | Std.Err | z-value | P(>|z|) | Std.lv | Std.all |
|---|---|---|---|---|---|---|---|---|
| 124 | UE ~ | | | | | | | |
| 125 | STRESS | (a1) | 0.526 | 0.051 | 10.286 | 0.000 | 0.430 | 0.430 |
| 126 | LackofSC | | 0.140 | 0.035 | 4.034 | 0.000 | 0.137 | 0.137 |
| 127 | REWARD | (a2) | 0.385 | 0.058 | 6.627 | 0.000 | 0.308 | 0.308 |
| 128 | logBMI ~ | | | | | | | |
| 129 | STRESS | (c1) | -0.085 | 0.055 | -1.555 | 0.120 | -0.059 | -0.061 |
| 130 | LackofSC | | -0.025 | 0.034 | -0.724 | 0.469 | -0.021 | -0.021 |
| 131 | REWARD | (c2) | -0.014 | 0.055 | -0.257 | 0.797 | -0.010 | -0.010 |
| 132 | UE | (b) | 0.352 | 0.031 | 11.289 | 0.000 | 0.297 | 0.309 |
| 133 | | | | | | | | |
| 134 | | | | | | | | |

135 Mediation  Parameters:

| 136 | | Estimate | Std.Err | z-value | P(>|z|) | Std.lv | Std.all |
|---|---|---|---|---|---|---|---|

| | | | | | | |
|---|---|---|---|---|---|---|
| STRESS to BMI (a1) | -0.085 | 0.055 | -1.555 | 0.120 | -0.059 | -0.061 |
| REWARD to BMI (a2) | -0.014 | 0.055 | -0.257 | 0.797 | -0.010 | -0.010 |
| STRESS via UE (c1) | 0.185 | 0.025 | 7.449 | 0.000 | 0.128 | 0.133 |
| REWARD via UE  (c2) | 0.136 | 0.024 | 5.599 | 0.000 | 0.091 | 0.095 |
| total effect | | 0.221 | 0.093 | 2.387 | 0.017 | 0.151 | 0.157 |
| mediation effect | | 0.763 | 0.026 | 29.443 | 0.000 | 0.762 | 0.762 |

**Watershed model**

In order to study the endophenotypes that related to BMI in a watershed model, we needed to statistically satisfy three major criteria (as explained in 1, 2). 1) Higher statistical dimensionality

between upstream variables compared with downstream variables.  The statistical dimensionality

of the covariance pattern between all variables will increase as we move upstream in the model.

The result will be that the upstream effects (i.e. brain or personality traits) cannot be fully

captured by a single summary statistic. We tried to capture our cortical thickness variables as a

single latent factor (not shown), but this model had a poor fit suggesting that upstream brain

variables cannot be reduced to a single dimension. In addition, general impulsivity traits were

only captured using three factors as opposed to one latent factor. Furthermore, the upstream

effects were partially independent: all of the endophenotypes (i.e. STRESS, Lack of Self

Control, REWARD) did better than each individual endophenotype (e.g. STRESS) in predicting

the downstream outcome (i.e. UE). 2) Multiple realisability. According to the watershed model,

there are multiple precursory causes for a complex endophenotype. In other words, a single

behavioural dimension such as UE is likely to have multiple neural determinants. In an SEM

framework, this means the variability in each endophenotype (i.e. STRESS, Lack of Self

Control, REWARD) will make partially independent contributions to variability in UE

endophenotype. 3) Hierarchical dependence. The watershed model should exhibit a hierarchical

dependence. That means statistically there should be no residual, or direct, relationships between

levels. In the present context this implies that the influence of general personality variables on

BMI will be indirect, namely through UE. In the SEM formalization of this hypothesis, any

direct paths between general impulsivity domains and BMI will be a source of model misfit, and

mediation analysis will reveal either partial or no mediation.

1. Kievit RA, Davis SW, Griffiths J, Correia MM, Cam-Can, Henson RN (2016): A watershed

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2. Kievit RA, Davis SW, Mitchell DJ, Taylor JR, Duncan J, Tyler LK, *et al.* (2014): Distinct

aspects of frontal lobe structure mediate age-related differences in fluid intelligence and

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