1 Title

2 Career Choice, Gender, and Mentor Impact: Results of the U.S. National Postdoc Survey

3

- 4 Authors
- 5 Sean C. McConnell¹*, Erica L. Westerman²*⁺, Joseph F. Pierre³, Erin J. Heckler⁴, Nancy B.
- 6 Schwart z^1

7

8 Affiliations

- 9 University of Chicago¹, University of Arkansas², University of Tennessee Health Sciences
- 10 Center³, Washington University in St. Louis⁴
- 11 *Contributed equally
- 12 ⁺Corresponding author: Erica L. Westerman, ewesterm@uark.edu

13

14 Keywords

15 postdoctoral researcher, mentorship, career development, research workforce, institutional policy

16

17

19 Abstract

The postdoctoral community is an essential component of the academic and scientific workforce. 20 As economic and political pressures impacting these enterprises continue to change, the postdoc 21 22 experience has evolved from short, focused periods of training into often multidisciplinary, extended positions with less clear outcomes. As efforts are underway to amend U.S. federally 23 funded research policies, the paucity of postdoc data has made evaluating the impact of policy 24 recommendations challenging. Here we present comprehensive survey results from over 7,600 25 postdocs based at 351 academic and non-academic U.S. institutions in 2016. In addition to 26 demographic and salary information, we present multivariate analyses on the factors that 27 influence postdoc career plans and mentorship satisfaction in this population. We further analyze 28 gender dynamics and expose wage disparities and career choice differences. Academic research 29 30 positions remain the predominant career choice of postdocs in the U.S., although unequally between postdocs based on gender and residency status. Receiving mentorship training during 31 the postdoctoral period has a large, positive effect on postdoc mentorship satisfaction. Strikingly, 32 33 the quality of and satisfaction with postdoc mentorship appears to also heavily influence career choice. The data presented here are the most comprehensive data on the U.S. postdoc population 34 to date. These results provide an evidence basis for informing government and institutional 35 policies, and establish a critical cornerstone for quantifying the effects of future legislation aimed 36 at the academic and scientific workforce. 37

38

39

41 Introduction

Postdoctoral training offers doctoral recipients a temporary period of mentored or scholarly 42 experience, considered highly productive within scientific and academic communities. Such 43 training is also ostensibly valuable for postdocs, who gain additional experience to help pursue 44 their chosen career paths. Tenure-track faculty positions, however, are now estimated to 45 represent a small percentage of postdoc career outcomes $(\sim 15\%)^{1,2}$. This has led to proposals to 46 support training postdocs for additional roles beyond tenure-track faculty positions, and 47 additional efforts by the National Institutes of Health (NIH), National Science Foundation (NSF), 48 and National Academies of Sciences, Engineering, and Medicine to increase mentor 49 accountability²⁻⁶. Persistent concerns with increasingly long periods of postdoctoral training, lack 50 of appropriate career guidance beyond the professoriate, and comparatively low postdoctoral 51 salaries, have also led to repeated calls to reform the postdoctoral training model⁷⁻¹². Despite 52 these concerns, comprehensive data for postdocs are not routinely collected^{2,4}. Indeed, reliable 53 data on such basic information as the number of postdocs have been lacking, or disputed, in part 54 55 due to difficulties in collecting these data because of lack of job title standardization, postdoc mobility, and the ad hoc nature of institutional postdoctoral administration^{2,5,12-14}. 56

57

Possibly for these very reasons, the postdoctoral experience has not been comprehensively
surveyed nationally in over a decade, following the Sigma Xi "Doctors without Orders" survey
report in 2005, which was based on postdoc respondents from 46 participating institutions⁸.
Nevertheless, recent data collection efforts have provided insights into the postdoctoral
experience^{5,8,9,14-19}. For example, the pilot phase of the NSF Early Career Doctorates Survey

studied the breadth of the doctoral population at U.S. academic institutions, including 63 postdoctoral researchers (31%), young faculty (54%), and scientists in non-postdoctoral 64 positions¹⁸. Yet, to date comprehensive survey data specifically targeting the postdoctoral period 65 and including postdoctoral researchers with PhDs granted both inside and outside the U.S., as 66 well as data regarding postdoc career plans, mentor satisfaction, and demographics are still 67 largely lacking^{2,4}. To address these gaps, and to research those without clear institutional 68 oversight, we took a grass-roots approach to conduct a postdoc-led survey of U.S. postdoctoral 69 researchers. We asked postdoctoral researchers a number of questions associated with 70 professional and career development, mentoring, career choice, lifestyle, and demographics (for 71 details see Materials and Methods and Data S1-S3). The purpose of this work was to capture a 72 73 comprehensive snapshot of the postdoctoral experience in a manner that was both broad and informative, with high diversity in questions and topics covered, in the number of institutions, 74 and in the breadth of postdoctoral experiences included. 75

76

77 Results and Discussion

To collect data from institutions with a wide range of support for postdocs, we took a multi-level approach to recruit survey participants. We used publicly available contact information for university leadership, postdoctoral administrators, postdoctoral societies and associations, and asked these people in leadership positions to disseminate our survey to all postdocs at their institutions. In total, we contacted individuals at 482 institutions most likely to have postdocs, including universities, research institutions, museums, and government labs. We obtained respondents from 351 institutions. In addition to direct contact with institutions, we also used a

grass-roots survey dissemination approach, promoted a website describing the survey that could 85 be freely shared on social media and by email, and contacted professional societies to encourage 86 survey dissemination. Using these combined approaches, we collected 7,673 individual 87 responses into a secure REDCap database (IRB Protocol Number 15-1724), which, after quality 88 control to remove respondents from non-U.S. institutions, provided a final dataset of 7,603 89 respondents (see Materials and Methods for details). As one of our goals was to reach as many 90 postdocs as possible, survey dissemination was not randomized to any specific subset. Responses 91 from institutions with long-standing postdoctoral affairs offices were anticipated to be over-92 represented in our dataset (see Materials and Methods for more information). Nevertheless, our 93 respondents represented all 50 states, including a large fraction of respondents from institutions 94 95 without well-established offices for postdoctoral support. While the majority of respondents represented STEM disciplines, which traditionally employ the most postdocs, 8.4% reported 96 their primary fields as humanities, psychology, or social sciences (Table S3). 97

98

Our postdoc respondents were 49% U.S. citizens and 51% non-U.S. citizens (Fig. 1 and Table 99 100 S2). The majority were 30-34 years of age (54.5%), and 1-3 years from receipt of their doctorate (63.1%), matching their reported years of postdoctoral experience (Fig. 1 and Table S2). The 101 102 majority (55%) described their primary field of study as life sciences. There were small, but significant, differences in primary field by geographic region (Fig. 2 and Table S3). Race and 103 ethnicity were self-reported with 60.3% White/Caucasian, 24.8% Asian/Asian American, 6.6% 104 Hispanic/Latino, and 2.6% Black/African American (Table S2). Both national and international 105 postdocs were included in these proportions. Our respondents were 53% female, while the 106

gender ratio of their mentors was skewed towards males (71% male; Fig. 1), consistent with the
 most recent AAUP Gender Equity report where full-time faculty are majority (61%) male²⁰.

109

While the demographics of our survey respondents may differ slightly from those of the actual 110 111 postdoctoral population (but see Materials and Methods for analysis suggesting lack of response 112 bias), confirmation of a lack of response bias remains difficult as there are currently no gold-113 standard datasets of postdocs in the U.S. for comparison, due to the previously mentioned 114 broader lack of oversight and barriers to reaching postdocs. That being said, the unique 115 characteristics of our dataset, including approximately equal representation (similar sample 116 sizes) of men and women, as well as U.S. citizens and non-U.S. citizens, facilitated our 117 comparative analyses of the postdoctoral experiences of these different groups, which we report below. 118

119

Our data indicate that gender has a significant effect on the postdoc experience (Fig. 1). Men 120 were paid more than women (Male average: \$47,678.00, Female average: \$46, 477.43, n=7,516, 121 χ^2 =62.337, p<0.0001). Men were more likely to have a same-gender mentor (i.e. a same-gender 122 role model) (Male: 77.3%, Female: 35.4%, n=7,459, χ^2 =144.352, p<0.0001). Men were more 123 124 likely to be non-U.S. citizens (Male: 42% U.S. citizens, 52% temporary visas, 6% permanent 125 residents; Female: 56% U.S. citizens, 38% temporary visas, 6% permanent residents; n=7,543, χ^2 =169.709, p<0.0001). In addition, a small but significantly higher proportion of male postdocs 126 were married/partnered (Male: 68.3%, Female: 63.2%, n=7,538, χ^2 =21.693, p<0.0001) and/or 127 have children (Male: 31.0%, Female: 22.3%, n=7,532, χ^2 =71.561, p<0.0001). The gender 128

disparity in pay occurs even after male and female postdocs were matched in age, years since 129 graduation, mentor satisfaction, and likelihood of being married/partnered (nominal logistic 130 model, gender effect test n=7,311, χ^2 =47.235, p<0.0001, Table S4). This gender wage gap 131 132 increased with postdoc age but not with partnership status, partially supporting previous analyses of the STEM gender wage gap^{20,21}. Male postdocs were also more likely than women to have 133 received PhD degrees in Engineering (n=620, χ^2 =76.652, p<0.0001) or the Physical Sciences 134 (n=846, χ^2 =77.466, p<0.0001), two fields which have historically higher salaries²². Interestingly, 135 female postdocs trended towards being paid less than men in all fields except the Physical 136 Sciences, where women trended towards being paid slightly more than men (Table S5). Income, 137 138 mentor gender, citizenship, and partner status are all factors that may contribute to the observed gender difference in interest in primarily research-focused academic careers²² (Fig. 1 & 3G). 139

140

141 Most postdocs reported salaries in the range of \$39,000 - \$55,000 (median \$43,750; mean \$46,988, n=7,551). In the 2014 National Postdoctoral Association's Institutional Policy Report, 142 143 52% of the 74 institutions reported their minimum stipend matched the current NIH NRSA minimum¹⁷. At the time of this survey, the NIH minimum²³ was \$43,692, which matches well 144 with \$43,750, the reported median income in our study. Five percent of postdocs reported mean 145 146 gross incomes of less than \$39,000 and ~10% reported incomes above \$55,000. Although salaries in high cost of living (COL) urban areas tend to be higher than average (Table S6), when 147 adjusted to publicly available COL data, postdocs in large metropolitan areas earn significantly 148 less money than postdocs in college towns or rural settings (average salary when adjusted for 149 cost of living, metropolitan: \$38,045.60, non-metropolitan: \$44,714.40; n=7,551, F-ratio: 12.614, 150 p=0.0002) (Fig. 2, Table S6). "The Postdoctoral Experience Revisited" 2014 report 151

152	recommended as a best practice that the minimum salary be set at \$50,000; however, this has not
153	been enacted at most institutions or by funding agencies ³ . During the months that our survey was
154	open (February – September 2016), the effect of a proposed minimum salary update (\$47,476) to

the Fair Labor Standards Act (FLSA) on postdoctoral salaries was openly debated, but ultimately

not federally mandated²⁴. Our data suggest that setting a minimum salary for postdocs is

particularly important for postdoctoral researchers in large metropolitan areas, where salaries arenot maintaining parity with cost of living increases.

159

160 The majority of postdocs indicated research-focused academic careers as their primary long term 161 career plan (57.7%), with industry research a distant second (17.8%, Fig. 1J and Table S2). Determining the "why" of career choice remains the subject of much study^{2,4,5}. To assess which 162 factors were most influential for determining postdoc career plan in our dataset, (categorized in 163 this survey as: academia, primarily research based; academia, primarily teaching based; industry; 164 government/non-profit; other) we conducted a nominal logistical model with 26 factors 165 concerning topics considered to be important for postdoc success and career choice (Table S7), 166 which include demographics, training, productivity and mentor support matrices. The 14 167 significant factors in the model were (in order of effect size): 1) whether postdoc career plans 168 had changed; 2) whether training in pedagogy was received; 3) feelings of career preparedness; 169 4) perceived mentor support of career plan; 5) primary field of study; 6) residency status in the 170 U.S.; 7) intensity of job search; 8) postdoc gender; 9) number of first, last, or corresponding 171 author publications; 10) number of conferences attended in the past year; 11) hours worked per 172 173 week; 12) total number of publications while a postdoc; 13) mentor rank; and 14) desire to pursue a career in the U.S. (Table 1). Perceived mentor support, number of postdoc publications, 174

hours worked per week, conferences attended, and postdoc feelings of career preparedness were 175 all positively correlated with choice to pursue a research-focused academic career (Fig. 3A, C-F). 176 Male postdocs, and postdocs who were not U.S. citizens, were more interested in academic 177 research positions (Fig. 3G & 3H). In contrast, postdocs with mentors outside of the 178 professoriate were more likely to prefer government/non-profit positions (Fig. 3B). Whether this 179 is a cause or effect relationship is not clear in our study, though we did find that postdocs with 180 non-academic mentors changed their career plans at the same rate as those with academic 181 mentors (n=7,361, χ^2 =6.860, p=0.077). In addition, postdocs actively searching for permanent 182 positions were less interested in academic research than postdocs not yet on the job market (Fig. 183 31), and were more likely to have changed their career plans (n=7,565, χ^2 =224.633, p<0.0001). 184 These results complement recent studies suggesting that individual career choice is influenced by 185 changing job attribute preferences and self-awareness²², and that academic success is influenced 186 by mentorship during the postdoctoral period 26 . 187

188

Sixty percent of respondents were satisfied with the mentorship they receive, with similar 189 responses from both genders (Fig. 11). To assess which factors were most influential for 190 determining postdoc mentor satisfaction, we conducted a nominal logistic model with the same 191 26 factors included in the model for postdoc career choice (though excluding mentor satisfaction 192 193 as a factor, and replacing it with postdoc long term career plan) (Table S7). The 8 significant factors in the model (in order of effect size) were: 1) feelings of career preparedness; 2) 194 perceived mentor support of career plan; 3) frequency of project meetings with mentor; 4) 195 intensity of job search; 5) whether training in mentorship was received; 6) primary field of study; 196 7) perception of job market; and 8) academic rank of mentor (Table 2). These factors were more 197

important than number of postdoc publications, whether a postdoc had changed career plans,
postdoc or mentor gender, residency status, or training in either grant writing or pedagogy.

Perceived mentor support had a positive effect on mentor satisfaction, as did frequency of
mentor meetings, perception of preparedness for desired future career, and perception of job
market (Fig. 4A-D). Receiving mentor training also had a large positive effect on postdoc mentor
satisfaction (Fig. 4E). We found this to be particularly noteworthy, as mentorship training is not
a common part of the postdoctoral experience, with only 26% of postdocs reporting that they
have received such training.

207

Previous research on a postdoc cohort showed that high mentorship satisfaction and perceived 208 support correlated with increased interest in an academic research focused career²⁷. In addition, 209 in a randomized, controlled study, a different type of mentoring, "group career coaching," was 210 found to increase both perceived "achievability" and "desirability" of academic careers in an 211 under-represented minority student group²⁸. While our data do not show a significant correlation 212 between gender and mentor satisfaction, they do suggest that an increase in mentor support and 213 mentorship training may increase female and under-represented postdocs' pursuit of research-214 215 intensive academic careers.

216

217 Conclusions

In summary, our dataset represents the most comprehensive survey of the U.S. postdoctoral 218 population in over a decade. As such, these data may provide a benchmark for legislation and 219 220 institutional policy makers, inform research questions pertaining to the evolving postdoctoral population, and serve as a precedent for understanding the important dynamics of the scientific 221 workforce. We found that a research-focused academic position remains the most common 222 primary career goal for postdocs, in spite of increasing emphasis on other types of careers for 223 doctorate holders^{4,10,29}. Although sixty percent of respondents were satisfied with the mentoring 224 that they receive, our data suggest that inclusion of formal mentorship training for postdocs may 225 significantly increase mentor satisfaction and influence career choice²⁸. Our data also show that 226 women are less interested in research-focused academic positions than men, and this may be 227 associated with gender specific differences in postdoctoral experiences³⁰. 228

229

While the data we collected allowed us to identify a number of factors influencing the
postdoctoral experience, other factors, such as socioeconomic background and underrepresented
status may also play a significant role, and should be studied further. Nevertheless, our findings
highlight the impact of mentoring, across all demographics, as essential to informing career
choice and determining quality of postdoctoral experience.

235

236 Materials and Methods

237

238 Survey instrument design

239	The National Postdoc Survey questions were designed to emphasize aspects of the postdoctoral
240	experience related to career choice and mentoring, in addition to collection of basic
241	demographics. These questions were based on over a decade of experience with postdoctoral
242	surveys administered at the University of Chicago, led by postdocs within the Biological
243	Sciences Division Postdoctoral Association. In an effort to maximize participation for all
244	postdocs, regardless of institutional environment, we disseminated the survey using top-down
245	and grassroots methods described below.

246

We conducted the survey in two phases, a 15-instutution pilot phase followed by a national 247 rollout to over 450 institutions. The pilot phase was launched on February 2, 2016 after 248 249 contacting and inviting participation from administrators at the 15 member schools of the Committee on Institutional Cooperation (CIC, now the Big Ten Academic Alliance plus the 250 251 University of Chicago). 272 postdocs participated in the pilot phase of the survey. Feedback about the survey design was solicited during a workshop about the survey presented at the 252 National Postdoc Association Annual meeting on March 4, 2016. The pilot survey questions 253 254 (Data S1) were then slightly modified before nationwide launch on March 31, 2016. These revisions included additional demographic questions, and rephrasing of several questions to 255 improve clarity (Data S2 and S3). The revised survey was available from March 31- September 256 2, 2016. While the CIC institutions participated in the pilot version of this survey, the survey was 257 also open to postdocs at CIC institutions after the national rollout. A majority of participants 258 from CIC institutions responded after March 31, 2016 and took the final version of the survey 259 rather than the pilot version. 260

261

For the top-down survey dissemination approach, a team of five postdocs and two administrators 262 compiled contacts for all doctoral degree and research institutions in the U.S. that were thought 263 264 to have postdoctoral researchers. We gathered publically available contact information for Postdoctoral Offices, Postdoctoral Associations, as well as Offices of Research, Deans of 265 Graduate Schools, Provosts and any other administrators that may represent postdocs for each 266 institution (including website, email addresses, names) via web search. Whenever an institution 267 did not have a postdoctoral office, we tried to determine who had oversight over postdoctoral 268 researchers such as a representative from an Office of Research, Graduate School, or a Provost 269 Office. We used this information to simultaneously contact those who we determined were most 270 likely to represent postdocs at each University, including any listed postdoc contacts. Multi-271 272 respondent emails were sent to the above described representatives at each institution. These individuals were again invited to participate during the months of April, June, July, and August, 273 and contact lists were revised to update contact information, and include additional institutions 274 275 expected to have postdocs.

276

For our grass-roots survey dissemination approach we launched a website that could be freely shared on social media and by email, which explained the survey aims and contained a centralized contact form. The contact form allowed any postdocs who had not been reached via standard institutional contacts to participate in the survey through this secondary means of contact. In addition, we periodically checked contact information for institutional

representatives, and updated the contact information, added new institutional contacts, and
encouraged grass-roots survey dissemination during the seven months that the survey was active.

284

In all, 482 sets of putative postdoctoral oversight representatives were contacted by email, 285 286 although some larger institutions such as Harvard University and NIH often housed separate institutes or offices that were each contacted separately - in these cases 5 and 30 sets. 287 respectively. During the seven months (February 2-September 2, 2016) that the survey was open, 288 over 7,600 postdoc responses were collected, with respondents from every state, and from 351 289 institutions and universities. While the number of respondents varied between months (ranging 290 from 24 during the two days the survey was open in September to 2,268 in August), there was no 291 statistical difference in the gender ratio of respondents over the seven months (whole dataset: 292 53.1% female and 46.9% male ± 5 , n=7,579, χ^2 =10.703, p=0.1521; excluding non-U.S. postdocs: 293 53.1% female and 46.9% male ± 5 ; F:M, n=7,560, χ^2 =10.866, p=0.1446). Respondents from the 294 46 institutions that participated in the 2005 Sigma Xi survey, representing institutions with long-295 standing institutional support for postdocs, contributed 3,126 responses, slightly less than half of 296 297 all respondents. This indicates that our addition of a grassroots approach to survey dissemination contributed to a broader sampling of postdocs across different institutional environments, 298 providing an even more comprehensive assessment of U.S. postdoctoral experiences. 299

300

301 Four institution classifications were added as fixed variables to the final dataset: institution

302 classification as public or private, Carnegie classification, U.S. Census Region, and participation

303 in the 2005 Sigma Xi Postdoctoral Survey. City and state of each institution were also added.

304

305 Statistical analysis

Raw response data were quality-filtered to select for U.S.-based institutions and individuals who 306 were currently in self-described postdoctoral positions. Of the 7,673 total respondents, 70 were 307 308 removed from the initial dataset using these quality filters, yielding a final dataset of 7,603 U.S. postdoctoral respondents. The demographics data shown (Fig. 1) were calculated by first sorting 309 by gender, and then sorting by the demographic of interest displayed as total percentage of 310 respondents per gender (all panels except Fig. 1H) or by a mean +/- standard deviation (Fig. 1H) 311 using Prism7 (GraphPad). The effect of gender on salary, having a same sex mentor, residency 312 status, partner status, and having children was tested using a Pearson χ^2 test, n= 7,516, 7,459, 313 7,543, 7,538, 7,532 respectively). Sample sizes differed because respondents were allowed to 314 skip questions, and are therefore reported as "n" here and throughout. However, most 315 respondents answered most survey questions, as can been seen by the similar sample sizes for 316 these different survey questions. The effects of gender, age, years since graduation, mentor 317 satisfaction, and likelihood of being partnered on postdoc salary were tested using a nominal 318 logistic model, n=7,311. The effect of gender on being in the fields of engineering or the 319 physical sciences was tested using a Pearson χ^2 test, engineering n=620, physical sciences 320 n=846. We used a Bonferroni correction to account for multiple testing, yielding a significance 321 322 threshold of p=0.006. All statistical tests were two-sided. Statistics were performed using JMP 13.1 by SASS. 323

325	To determine what factors were significantly correlated with postdoctoral career choice and
326	mentor satisfaction, we ran a nominal logistic model using 26 different fixed variables listed in
327	Table S7 using the JMP 13.1 by SASS fit model platform. We then determined which factors
328	were significant variables after controlling for multiple testing. These estimates of effect size are
329	reported in Table 1 and Table 2. A total of 6,504 respondents answered all 26 of the questions
330	included in this analysis.

331

332 Cost of living and postdoc salaries

333 Cost of living index (COL) data for 2016 was produced by the Council for Community and Economic Research (https://www.c2er.org/). State COL data were generated by averaging across 334 all cities that have 2016 C2ER cost of living data provided per state. Average postdoc salary 335 from all survey respondents for each state was divided by these state COL values to produce 336 postdoc salaries adjusted by cost of living. Whenever income was not specified, the midpoint of 337 income range selected by the respondent was used. These values were mapped to each state with 338 red to blue corresponding to lowest to highest adjusted salary, respectively. In addition, counties 339 with institutions having at least 50 respondents were then mapped separately, to map adjusted 340 postdoc salary in 38 counties with additional COL data, against the background of the state COL 341 data, in 50 states plus Washington D.C. 342

343

344 **Population proportion analysis**

345	To determine the number of individual responses required from a total population of 100,000 for
346	95% and 99% confidence levels, at a 5% margin of error, assuming the true population
347	proportion being measured is between 3-50% of the total population, we conducted a population
348	proportion analysis using the equation and definitions as described in Tintle et al ³¹ and at Select
349	Statistical Services Limited ³² . Results are reported in Table S1.

350

351 Data analysis of survey respondent proportions

Inconsistent definitions across institutions and lack of existing institutional contact lists for postdocs, particularly for those without postdoctoral offices and other support, can make collecting representative data for postdocs challenging¹². Thus demographics of respondents may differ across surveys, and the postdoctoral demographics of previous survey datasets may differ from those observed in our study. To further assess our demographic data, we conducted the comparisons described below.

358

We compared our demographics to that of the 2005 Sigma Xi survey which is perhaps the most 359 comparable effort to our own, having 7600 postdoc respondents, both citizens and noncitizens⁸. 360 The 2005 Sigma Xi dataset had 42% female postdocs (51% female for U.S. citizens, and 35% 361 362 female for internationals), and overall 46% U.S. citizen and permanent resident postdocs (54% temp visas). Our current survey dataset contains a higher percentage of both female postdocs 363 (53% female) and U.S. citizen and permanent resident postdocs (55%) relative to the Sigma Xi 364 survey from a decade ago, which may in part reflect changing demographics of the US 365 postdoctoral population, as well difference in institutions sampled. However, the relative 366

difference in proportion of females for U.S. and non-U.S. citizens remains consistent

368 (approximately 15%); our U.S. citizen respondents were 60% female, while our international
369 respondents were 46% female.

370

371 An alternative explanation for this increase in female respondents in our dataset relative to the earlier Sigma Xi survey is that women may have disproportionately responded to our survey. We 372 tested this hypothesis by checking the University of Chicago female and male response ratio 373 374 against the actual sex ratio of female and male postdocs in the Biological Sciences. Our survey respondents from the University of Chicago were 49.3% female and 50.7% male, while the 375 actual sex ratio of female and male postdocs in the University of Chicago Biological Sciences 376 was 46.5% female and 53.5% male, which puts our survey respondent ratio well within the 377 standard 5% margin of error. While it is unclear how representative University of Chicago 378 379 postdocs are of the national postdoctoral population, it is important to remember that the surveyed population, by definition, all have advanced degrees, work at research institutions, and 380 are all highly likely to have strong command of the English language, even if it is not their first 381 language. Doctorate recipients make up 2% of the U.S. national population³³. As doctorates are a 382 small percentage of the national population, they are likely to make up a small percentage of 383 respondents to general national surveys. Thus response biases of surveys targeting this 384 population may differ from those targeting the general population. 385

386

387

References

390	1.	Larson, R.C., Ghaffarzdegan, N., Xue, Y. Too many PhD graduates or too few academic job
391		openings: The basic reproductive number R_0 in Academia. Systems Research and Behavioral
392		Science 31(6) 745-750 (2014) doi: 10.1002/sres.2210
393		
394	2.	National Academy of Sciences, National Academy of Engineering, and Institute of Medicine.
395		The postdoctoral experience revisited. Washington, DC: The National Academies Press
396		(2014) <u>doi.org/10.17226/18982</u>
397		
398	3.	Institute of Medicine, National Academy of Sciences, and National Academy of Engineering.
399		Enhancing the postdoctoral experience for scientists and engineers: A guide for postdoctoral
400		scholars, advisers, institutions, funding organizations, and disciplinary societies. Washington,
401		DC: The National Academies Press (2000) doi.org/10.17226/9831
402		
403	4.	The National Academies of Sciences, Engineering, and Medicine. The next generation of
404		biomedical and behavioral sciences researchers: breaking through. Washington, DC: The
405		National Academies Press (2018) doi.org/10.17226/25008
406		
407	5.	National Institutes of Health. Biomedical Research Workforce Working Group Report (2012)
408		https://acd.od.nih.gov/documents/reports/bmw_report.pdf
409		

410	6.	Meyers, F.J., Mathur, A., Fuhrmann, C.N., O'Brien, T.C., Wefes, I., Labosky, P.A., Duncan,
411		D.S., August, A., Feig, A., Gould, K.L., Friedlander, M.J., Schaffer, C.B., Van Wart, A.,
412		Chalkley, R. The origin and implementation of the Broadening Experiences in Scientific
413		Training programs: an NIH common fund initiative. <i>FASEB Journal</i> 30 (5) 507-514 (2016)
414		<u>doi: 10.1096/fj.15-276139</u>
415		
416	7.	National Research Council. Invisible university: Postdoctoral education in the United States.
417		report of a study conducted under the auspices of the National Research Council. [Richard B.
418		Curtis, Study Director]. Washington, DC: The National Academies Press (1969)
419		<u>doi.org/10.17226/18693</u>
420		
421	8.	Davis, G. Doctors without orders. American Scientist 93, 3, Supplement (2005)
422		http://postdoc.sigmaxi.org/results/
423		
424	9.	Sauermann, H., Roach, M. Why pursue the postdoc path? <i>Science</i> 352 (6286), 663-4 (2016)
425		doi: 10.1126/science.aaf2061
426		
427	10.	Alberts, B., Kirschner, M.W., Tilghman, S., Varmus, V. Rescuing biomedical research from
428		its systemic flaws. PNAS 111 (16), 5773-5777 (2014) doi: 10.1073/pnas.1404402111
429		
420	11	Gould I The postdoe garies: The plight of the postdoe Nature Lake Place 15 March (2015)
430	11.	Gould, J. The postdoc series: The plight of the postdoc. <i>Nature Jobs Blog</i> 15 March (2015)

431 http://blogs.nature.com/naturejobs/2015/03/16/the-postdoc-series-the-plight-of-the-postdoc/

432	
433	12. Schaller, M.D., et al. Point of view: What's in a name? eLife 6:e32437 (2017) doi:
434	<u>10.7554/eLife.32437</u>
435	
436	13. Daniels, R. A generation at risk: Young investigators and the future of the biomedical
437	workforce. PNAS 112(2) 313-318 (2015) doi: 10.1073/pnas.1418761112
438	
439	14. Pickett, C., Bankston, A., McDowell, G.S. The GSS is an unreliable indicator of biological
440	sciences postdocs population trends. bioRxiv (2017) doi.org/10.1101/171314
441	
442	15. Heggeness, M.L., Gunsalus, K.T., Pacas J., McDowell, G.S. Preparing for the 21st century
443	biomedical research job market: Using census data to inform policy and career decision-
444	making - Version 1. SJS (21 Dec. 2016) <u>http://www.sjscience.org/article?id=570</u>
445	
446	16. Gibbs, K.D., McCready, J., Griffiths, K. Career development among American biomedical
447	postdocs. CBE Life Sci Educ 14 (4), 44 (2015) doi: 10.1187/cbe.15-03-0075
448	
449	17. Ferguson, K., Huang, B., Beckman, L., Sinche, M. National Postdoctoral Association
450	Institutional Policy Report (2014) https://cdn.ymaws.com/npamembers.site-
451	ym.com/resource/resmgr/docs/npa policyreport2014 final.pdf

453	18. Phou, K. Profile of early career doctorates: 2015. NSF InfoBrief National Center for Science
454	and Engineering Statistics NSF 17-313 (2017) https://www.nsf.gov/statistics/2017/nsf17313/
455	
456	19. Kahn, S., Ginther, D.K. The impact of postdoctoral training on early careers in biomedicine.
457	Nature Biotech 35, 90-94 (2017) doi:10.1038/nbt.3766
458	
459	20. Association of American University Professors (AAUP). AAUP Faculty Gender Equity
460	Indicators 2006. https://www.aaup.org/reports-publications/publications/see-all/aaup-faculty-
461	gender-equity-indicators-2006
462	
463	21. Athanasiadou, R., Bankston, A., Carlisle, M., Niziolek, C., McDowell, G. Assessing the
464	Landscape of U.S. Postdoc Salaries. <i>bioRxiv</i> (2018) doi.org/10.1101/227694
465	
466	22. Buffington, C., Harris, B.C., Jones, C., Weinberg, B.A. STEM training and early career
467	outcomes of female and male graduate students: Evidence from UMETRICS data linked to
468	the 2010 Census. Am Econ Rev 106 (5), 333-338 (2016) doi: 10.1257/aer.p20161124
469	
470	23. Ruth L. Kirschstein National Research Service Awards (NRSA) postdoctoral stipends,
471	training related expenses, institutional allowance, and tuition/fees effective for fiscal year
472	2017. https://grants.nih.gov/grants/guide/notice-files/NOT-OD-17-003.html
473	
474	24. Benderly, B.L. A year-end bait and switch. Science Careers (2016) doi:
4/4	27. Denderry, D.L. A year-end ban and switch. Science Cureers (2010) doi.

475 <u>10.1126/science.caredit.a1700002</u>

Δ	7	6
4	1	υ

477	25. Roach, M., Sauermann, H. The declining interest in an academic career. PLoS ONE 12 (9):
478	e0184130 (2017) https://doi.org/10.1371/journal.pone.0184130
479	
480	26. Lienard, J.F., Achakulvisut, T., Acuna, D.E., David, S.V. Intellectual synthesis in mentorship
481	determines success in academic careers <i>bioRxiv</i> (2018) doi: 10.1101/273888
482	
483	27. Scaffidi, A.K., Berman, J.E. A positive postdoctoral experience is related to quality
484	supervision and career mentoring, collaborations, networking and a nurturing research
485	environment. <i>Higher Educ</i> 62:685 (2011) doi:10.1007/s10734-011-9407-1
486	
487	28. Williams SN, Thakore BK, McGee R. Coaching to augment mentoring to achieve faculty
488	diversity: a randomized controlled trial. Academic Medicine. 91(8):1128-35 (2016) doi:
489	<u>10.1097/ACM.00000000001026</u>
490	
491	29. St. Clair R., et al. The "new normal": Adapting doctoral trainee career preparation for broad
492	career paths in science. PLoS ONE 12 (5) e0177035 (2017)
493	doi.org/10.1371/journal.pone.0177035
494	
495	30. Moss-Racusin, C.A., Dovidio, J.F., Brescoll, V.L., Graham, M.J., Handelsman, J. Science
496	faculty's subtle gender biases favor male students. PNAS 109 (41), 16474-79 (2012). doi:
497	<u>10.1073/pnas.1211286109</u>
498	

499 31. Tintle, N.L., Chance, B.L., Cobb, G.W., Rossman, A., Roy, S., Swar
--

500 VanderStoep, J.L. Introduction to Statistical Investigations (2016) John Wiley & Sons, Inc

501

502 32. Select Statistical Services Limited. Population Proportion – Sample Size calculator (2018)

- 503 https://select-statistics.co.uk/calculators/sample-size-calculator-population-proportion/
- 504
- 33. United States Census Bureau. Educational Attainment of the Population 18 Years and
- 506 Over, by Age, Sex, Race, and Hispanic Origin; Table 1-01 (2015)
- 507 https://www.census.gov/data/tables/2015/demo/education-attainment/p20-578.htm

508

510 **Supplementary Material** has been uploaded for this manuscript.

511

512 Acknowledgements

We thank Heather Titley and Giorgio Grasselli for assistance with survey instrument design and dissemination; Laurie Risner for assistance with the Big Ten Pilot Phase; and Dylan Meyer for assistance with data clean-up. We express deep gratitude to all postdocs who participated in this survey, as well as to the postdoctoral associations, administrators, and many others who helped disseminate our survey.

518

Funding The Center for Research Informatics is funded by the Biological Sciences Division at
the University of Chicago and by the Institute for Translational Medicine, CTSA NIH UL1
TR000430. This study was supported in part by the University of Chicago Biological Sciences
Division Postdoctoral Association and Office of Graduate and Postdoctoral Affairs. The Big Ten
Pilot Phase was supported through the National Research Mentoring Network – Committee on
Institutional Cooperation Academic Network (NRMN-CAN) subaward 5101964-6.

525

526 Author contributions

SCM, ELW, EJH, and JFP designed the survey and analyzed data. ELW performed multivariate
analysis and models. SCM, ELW, JFP, and EJH disseminated the survey. All authors contributed
to writing and editing the manuscript.

531 Competing interests

532 Authors declare no competing interests.

533

534 Data and materials availability

Non-privileged data used in this study are available in supplemental tables. Due to their sensitive

nature, much of the raw data are privileged to prevent individual identification, in accordance

537 with IRB protocol.

538

539 Figure Legends

540

541 Figure 1: Demographics of the Postdoc Population Surveyed.

- A) Postdoc gender, B) Mentor gender, C) Residency status, D) Partnered/Married, E) Has
- 543 children, F) Age, G) Race/Ethnicity/Underrepresented status (which may include things other
- than race and ethnicity), H) Year of graduation, I) Adjusted income, by year of graduation, J)
- 545 Postdoc satisfaction with mentor, K) Primary long-term career plans, and L) Primary
- 546 field/discipline. Red bars indicate female, striped blue bars indicate male.

547

548 Figure 2: Postdoc Cost of Living Adjusted Income and Field of Study by Region.

549	A map of the United States with the range of reported postdoc gross income adjusted by cost of
550	living (key on the left) and the respondents' field of study (key on the right) in each of the four
551	major regions: West, Midwest, South, and Northeast (bold lines). The adjusted income data are
552	provided at the state (and when data sufficient to support, county) level.

553

554 Figure 3: Postdoc Career Choice.

555 Here we illustrate the independent effects of the fourteen significant factors (out of twenty-six) in

the nominal logistic regression model of best fit for postdoc primary career choice. In these

557 mosaic plots, the panels show the listed factor and corresponding effect size, and the right-hand

color key corresponds to primary career choice. Factors are paraphrased survey questions, please

see Data S1 and S2 for specific wording of questions.

560

561 Figure 4: Postdoc Mentor Satisfaction.

Here we illustrate the independent effects of the eight significant factors (out of twenty-six) in the nominal logistic regression model of best fit for postdoc mentor satisfaction. In these mosaic plots, the panels show the listed factor and corresponding effect size, and the right-hand color key corresponds to the degree of mentor satisfaction. Factors are paraphrased survey questions, please see Data S1 and S2 for specific wording of questions.

567

Table 1

Factor	χ^2	-log p-value
Whether long term career	599.951	108.529
plans have changed		
Received training in	151.052	27.273
pedagogy		
Feelings of career	161.510	11.925
preparedness		
Perceived mentor support of	130.577	11.925
career plan		
Primary field of study	191.331	10.190
Residency status in U.S.	133.264	9.941
Job search intensity	98.574	9.352
Postdoc gender	53.654	7.658
Number of first, last, or	86.193	5.274
corresponding author		
publications		
Conferences attended in last	84.468	5.043
year		
Hours worked / week	109.093	4.870
Total number of publications	80.503	4.524
while a postdoc		
Academic rank of mentor	70.513	3.292
Plan to pursue a career in	37.452	2.340
U.S.		

Table 1: Significant factors influencing postdoc primary career plans. Whole model effect: n=6,504,

574 Model R²=0.2017, AICc=15924, BIC=21130.

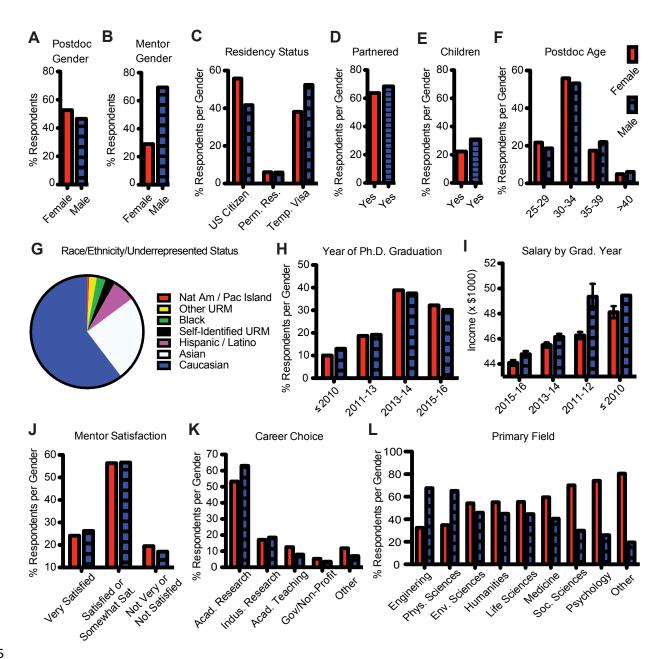
Table 2

Factor	χ^2	-log p-value
Feelings of career	960.457	181.948
preparedness		
Perceived mentor support	904.891	178.146
of career plan		
Frequency of mentor	532.31	89.480
meetings		
Job search intensity	68.255	8.040
Received training in	37.088	6.240
mentorship		
Primary field of study	92.193	4.368
Perception of academic job	48.088	3.384
market		
Academic rank of mentor	41.614	2.508

Table 2: Significant factors influencing postdoc satisfaction with mentoring. Whole model effect:

581 n=6,504, Model R²=0.3007, AICc=14729, BICc=17810.

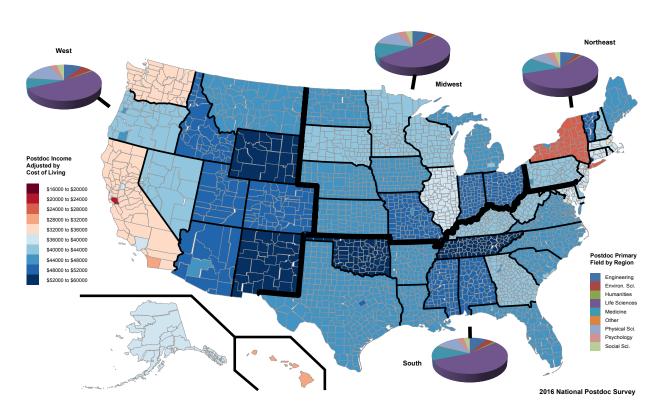
584 Figure 1



585

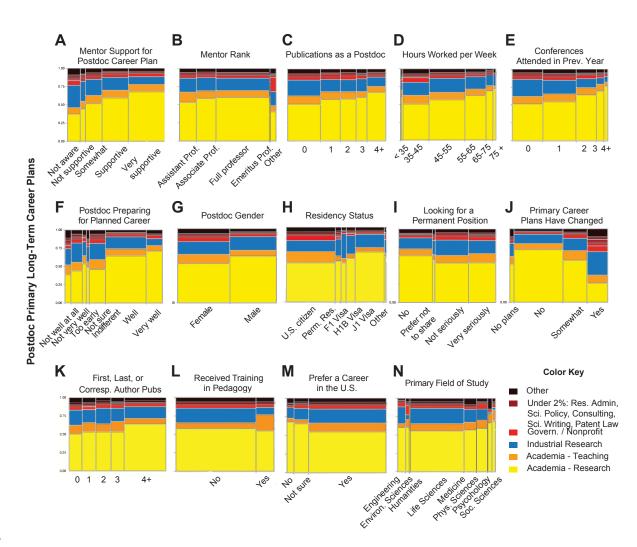
587 Figure 2





589

591 Figure 3



595 Figure 4

