1	Type I-E CRISPR-Cas system as an immune system in a eukaryote
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11	

#### 12 Abstract

13 Defense against viruses and other mobile genetic elements (MGEs) is important in 14 many organisms. The CRISPR-Cas systems found in bacteria and archaea constitute 15 adaptive immune systems that acquire the ability to recognize MGEs by introducing 16 nucleic acid samples, spacers, in the CRISPR locus. The CRISPR is transcribed and 17 processed, and the produced CRISPR RNAs guide Cas proteins to degrade matching 18 nucleic acid sequences. No CRISPR-Cas system is found to occur naturally in 19 eukaryotic cells but here we demonstrate interference by type I-E CRISPR-Cas 20 system from Escherichia coli introduced in Saccharomyces cerevisiae. The designed 21 CRISPR arrays are properly expressed and processed in S. cerevisiae. Targeted 22 plasmids display reduced transformation efficiency, indicative of DNA cleavage. 23 Unlike *e.g.* Cas9-based systems, which can be used to inactivate MGEs in eukaryotes 24 by introducing specific mutations, type I-E systems processively degrade the target. 25 The type I-E system thus allows for defense without knowledge of MGE gene 26 function. The reconstituted CRISPR-Cas system in S. cerevisiae can also function as a 27 basic research platform for testing the role of various factors in the interference 28 process.

29

### 30 Introduction

Viruses and other mobile genetic elements (MGEs) are potential threats to most studied cellular organisms, by acting as predators or by reducing fitness. In response, organisms have evolved multiple defense strategies, largely grouped into innate and adaptive systems. Innate systems are characterized by being activated by certain preset features of infection. Adaptive systems, on the other hand, can learn to recognize previously unrecognized pathogens. For a long time the vertebrate adaptive

37	immune system was the only known example, but the CRISPR-Cas systems of
38	archaea and bacteria have been demonstrated to be a bona fide adaptive immune
39	systems (1). All studied CRISPR-Cas systems are based on short DNA or RNA
40	sequences (protospacers) from <i>e.g.</i> virus genomes being stored as DNA spacers in the
41	Clustered Regularly Interspaced Short Palindromic Repeats (CRISPR) locus. A long
42	precursor CRISPR transcript (pre-crRNA) is processed into CRISPR RNA (crRNA)
43	and used by CRISPR associated (Cas) protein effectors to locate and destroy matching
44	targets. The target can be DNA or RNA depending on the type of CRISPR-Cas
45	system (2, 3). CRISPR-Cas systems are grouped into class 1 and 2, depending on if
46	the effector is a protein complex or a single enzyme, respectively. Each class contains
47	several functionally different types of systems (4).
48	
49	Programmable nucleases such as Zinc finger nucleases (ZFNs), transcription activator
49 50	Programmable nucleases such as Zinc finger nucleases (ZFNs), transcription activator like effector nucleases (TALENs), and Cas9 can function as anti-MGE systems in
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61	In this study we take advantage of the properties of the type I-E CRISPR-Cas system
62	to test its ability to function as an anti-MGE system in eukaryotes that do not require
63	understanding of target gene function. The key factors in target degradation by type I-
64	E systems are the Cascade protein-RNA complex and the Cas3 enzyme. Cascade
65	processes and retains crRNA, and uses the crRNA to identify DNA targets flanked by
66	a protospacer adjacent motif (PAM) (7). Once a target is identified, the Cas3 enzyme
67	is recruited to degrade the target. Unlike the DNA-targeting class 2 enzymes, which
68	perform blunt or staggered double-stranded cut, Cas3 destroys the target in a
69	processive manner (8). This property makes Cascade-Cas3 a poor choice for gene
70	editing but highly suitable for removing virus genomes as repair that restore virus
71	function is less likely to occur after processive degradation. As the effect should be
72	the same irrespective of target sequence, Cascade-Cas3 would be especially
73	advantageous for use against poorly characterized viruses. A type I-E system in a
74	eukaryote could also be beneficial for basic research. It allows testing the role and
75	effect of Cas and non-Cas proteins, as well as other factors, for their effect on
76	CRISPR-Cas immunity, independent of their native cellular background.
77	
78	As a proof-of-concept we adapted the type I-E system from E. coli for use as a
79	programmable anti-MGE system in S. cerevisiae, chosen for its role as a eukaryotic
80	model system. As targets we use plasmids, which allow comparison with similar
81	experiments in bacterial systems (9-12).
82	
83	Results

84 Design and reconstitution of type I-E CRISPR-Cas system in S. cerevisiae

85	Our basic system for expressing Cascade, Cas3, and crRNA in S. cerevisiae was
86	different plasmids from the pRSGal series, where each produced one of the
87	components (fig. 1A), but we also designed several alternative systems (fig. 1B-D).
88	pCascade, expressing Cascade proteins, had three cassettes with different cas genes
89	under control of bidirectional Gal promoters. The cassettes were separated by S.
90	cerevisiae CYC1 terminator to prevent formation of antisense transcripts.
91	For production of targeting crRNA, pCRISPR was constructed by inserting a CRISPR
92	array containing four copies of the J3 spacer (4×J3) (13) under control of a Gal
93	promoter, with a CYC1 terminator preventing read-through.
94	For expression of the Cas3 nuclease we designed pCas3 by cloning E. coli cas3 under
95	a Gal promoter. An alternative version of the plasmid, pCas3::Cse1, carried a
96	naturally occurring cas3-cse1 fusion (8). Co-expression of this plasmid with pCascade
97	would result in incorporation of the Cas3-Cse1 fusion in a part of the Cascade
98	complexes. To test the effect of different crRNAs we combined a CRISPR with two
99	alternative spacers, J1 and J2, with Cas3 or Cas3-Cse1 fusion to generate
100	pCRISPR+Cas3 and pCRISPR+Cas3::Cse1, respectively.
101	Two different target plasmids were designed. pTargetHigh carried a phage Lambda J
102	gene fragment containing the PAMs and protospacers for J1, J2, and J3 (table S4) on
103	a high copy vector. pTargetLow, carried the same J fragment on a low copy
104	backbone. Empty vectors lacking the J fragment were used as non-targeted controls.
105	
106	Processing of crRNA in S. cerevisiae
107	To test function of crRNA processing in yeast we analyzed the formation of crRNA in

- 108 S. cerevisiae W303 after expression from pCascade, pCas3, and pCRISPR was
- 109 induced by addition of galactose. The analysis was performed by northern blot with a

- 110 radioactively labeled probe complementary to the J3 spacer. The assay demonstrates
- 111 formation of a 61 nt RNA species, equal in size to crRNA produced in *Escherichia*

112 *coli*. The amount of crRNA produced was assayed two, four, and five hours after

- induction, and crRNA was detected at all time points (fig. 2A).
- 114

### 115 Interference by CRISPR-Cas in S. cerevisiae

- 116 Functionality of Cascade-Cas3 interference was assessed by comparing
- 117 transformation efficiency (TE) of a plasmid targeted by the CRISPR-Cas system with
- that of the same plasmid lacking the target fragment. Transformations were routinely
- done 240 min after induction of *cas* and CRISPR expression. Using pCas3, pCascade,
- and pCRISPR in S. cerevisiae W303, a 47% decrease in TE of pTargetHigh was
- 121 observed (fig. 2B). Tests with 150 min induction resulted in similar results (fig. S1).
- 122 Alternative systems for expressing Cascade, Cas3, and crRNA were tested for
- 123 improved target interference. Cas3-Cse1 fusion construct, pCas3::Cse1, co-expressed
- 124 with pCascade and pCRISPR resulted in approximately 73.5% reduction in TE of
- 125 pTargetHigh in S. cerevisiae W303 (fig. 2C). Expression of pCRISPR+Cas3 or
- 126 pCRISPR+Cas3::Cse1 together with pCascade in *S. cerevisiae* BY418 resulted in
- 127 88.6 % and 88.7 % reduction in TE of pTargetLow, respectively (fig. 2D and 2E).
- 128

## 129 Effect of interference

- 130 Interference assays demonstrated that CRISPR-Cas targeting reduced TE but
- transformants were still observed on selective plates. These transformants could be
- 132 carrying target plasmids that had not been cleaved by Cascade-Cas3, plasmids that
- had been cleaved but subsequently been repaired or plasmids that had acquired escape
- 134 mutations in the region targeted by CRISPR. NHEJ repair or presence of different

135	escape mutations would result in target sequence heterogeneity in the population of
136	transformed plasmids. To determine sequence heterogeneity in the transformed
137	plasmids we performed the Surveyor assay, which cleave DNA with mismatched
138	bases formed by denaturing and reannealing DNA strands with sequence differences.
139	The target region of pTargetHigh was amplified by PCR and analyzed by Surveyor
140	assay. Sequence heterogeneity at J3 target site would result in two cleavage
141	fragments, both of about 160-170 bp. No such cleavage products could be detected in
142	strains expressing pCRISPR, pCascade and pCas3, similar to interference-negative
143	control strains (fig. S2).
144	
145	Discussion
146	We demonstrate successful reconstitution of bacterial type I-E CRISPR-Cas
147	interference in the eukaryote S. cerevisiae. We detect accurate processing of crRNA
148	by northern blot and, importantly, a reduction of target plasmid transformation rate
149	compared to a non-targeted control plasmid. We could not detect sequence
150	heterogeneity in plasmids recovered from transformed cells, demonstrating that the
151	level of interference observed was due to the activity of Cascade-Cas3 and not by
152	varying levels of escape mutations in the target. The lack of sequence heterogeneity
153	also demonstrates that NHEJ repair could not be detected, though this type of repair is
154	rare in S. cerevisiae. Our results suggest that the programmable processive DNA
155	degradation by type I-E CRISPR-Cas system can be restored in eukaryotic cells.
156	
157	In our initial design, Cascade, Cas3, and pre-crRNA is expressed from different
158	plasmids, and this resulted in 50% reduction in plasmid transformation rate. To
159	improve activity we developed improved systems. Co-expression of a Cas3-Cse1

160	fusion results in a subpopulation of Cascade complexes permanently co-localized with
161	Cas3, which reduced transformation by 73.5 %, a level similar to when J3 crRNA is
162	used against phage Lambda in E. coli expressing the LeuO activator (13). We
163	hypothesize that this fusion improves the kinetics of target degradation, as the factors
164	do not need to find each other. Further, we altered the CRISPR to produce two
165	different crRNA targeting the plasmid to potentially increase activity and at the same
166	time expressed Cas3 and pre-crRNA from the same plasmid to reduce the load of
167	carrying multiple plasmids. These modifications resulted in further reduction of
168	transformation, and combination of all improvements result in about one-in-ten
169	plasmids escaping degradation.
170	
171	Different crRNAs result in different levels of CRISPR-Cas system interference (7, 14,
172	15), so other spacer sequences may further increase efficiency of target degradation in
173	yeast. We also speculate that the Cascade and Cas3 in the absence of nuclear
174	localization signals (NLS) locate to the cytoplasm, thus allowing only a narrow
175	window of opportunity to destroy their target plasmids while they are en route to the
176	nucleus. This likely causes a reduction in target access and interference compared to
177	bacteria and archaea, which typically lack a membrane around their nucleoid. Other
178	factors that may further improve interference in S. cerevisiae are increased expression
179	of Cas protein and pre-crRNA, codon optimization, prevention of detrimental post-
180	translational modification of Cas proteins, and co-expression of E. coli host factors
181	that may assist interference.
182	The large type I-E system is more difficult to establish heterologously than the more
183	compact class 2 systems. However, complete target clearance by type I systems is a
184	distinct advantage over inactivation of MGEs by mutagenesis using class 2 systems.

185 To inactivate an MGE by mutation, thorough understanding of the MGEs function is 186 required to find a key component to target. Also, compared to Cas9-based systems, 187 type I systems can easily target several different sequences by adding more spacer-188 repeat units to the CRISPR (14, 16), while current applications of Cas9 in eukaryotes 189 require insertion of multiple single guide RNA genes to achieve multiplexing (17). 190 Another benefit of our establishment of a type I-E system in S. cerevisiae is that it 191 functions as a platform for testing our current understanding of the system in a non-192 native background. Such a platform would allow introduction and exclusion of *e.g.* 193 various proteins and other factors component to test their role in the immune system. 194 Finally, while we demonstrate interference in a eukaryote, further development could 195 reconstitute the entire adaptive capability of the system. This would require 196 expression of Cas1 and Cas2, and possibly also other factors such as IHF, indicated as 197 important for adaptation (18). As Richard Feynman stated, "what I cannot create I do 198 not understand".

199

#### 200 Materials and Methods

### 201 Strains and culture media

202 All cloning work was done in *E. coli* strain Top10. *E. coli* was cultured in Lysogeny

203 Broth (LB) with aeration at 37°C. When necessary, the media was supplemented with

kanamycin (50 μg/ml), ampicillin (100 μg/ml), streptomycin (50 μg/ml), tetracycline

205 (25 µg/ml), and chloramphenicol (15 µg/ml). For experiments in yeast *Saccharomyces* 

- 206 *cerevisiae* W303 and BY418 strains were used. S. *cerevisiae* BY418 have full
- 207 deletion of chromosomal copies of genes used for selection, unlike W303 where they
- are inactivated by point mutations. Yeast cells were cultured in SC medium (Yeast
- nitrogen base 0.34 %, ammonium sulfate 0.5%, raffinose 2%, quadruple SC dropout

210 m	x (CSM.	-His.	-Leu.	-Trp.	-Ura:	; Formedium <sup>TM</sup>	, UK	) at the rate	of 1400	mg/L	of
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- 211 medium, pH 5.6). For certain induction experiments SC medium with 1% raffinose
- 212 was used. When necessary, the media was supplemented with adenine sulfate (80
- 213 μg/ml), uracil (20 μg/ml), tryptophan (40 μg/ml), histidine (20 μg/ml), and leucine (60
- $\mu$ g/ml). 1.5% agar was included in the medium to prepare solid medium. Yeast strains
- 215 were grown with aeration at 30°C. 2% galactose was added to the medium for
- induction of Gal promoter as required. For a complete list of strains used see table S1.
- 217

#### 218 <u>Construction of vector expressing Cascade complex</u>

A Gal1-10 promoter cassette from pRS425Gal was cloned between EcoRI/BamHI

sites of pBlueScript II SK (+) resulting in pUDM101. All cas genes were amplified by

colony PCR from *E. coli* MG1655, see table S2 for a complete list of primers. The

222 *cse1* and *cse2* genes were serially cloned into the pUDM101 XbaI and EcoRI sites,

respectively, to generate pUDM107. Similarly, cas7 and cas6e were serially cloned in

- pRS425Gal BamHI and SalI sites, respectively, to generate pUDM108. The four
- 225 genes were combined by subcloning a HindIII-NotI blunt fragment from pUDM107
- into the blunted SacI site of pUDM108, thereby generating pUDM109. The *cas5e*
- 227 gene was cloned into pRS425Gal vector to generate pUDM110. CYC1 terminator
- 228 cassette was PCR amplified from pYES2 and subcloned into PstI site of pBlueScript
- II SK (+) to generate pUDM102. A CYC1-cas5e-CYC1 cassette was constructed by
- serially cloning EcoRV-SmaI fragment from pUDM102 into the SaII and SpeI sites
- respectively of pUDM110 to generate pUDM315. Using XhoI and NotI this cassette
- was excised from pUDM315, blunted and sub-cloned into the NotI site of pUDM109
- 233 to generate the final Cascade-expressing construct, pCascade. See table S3 for
- complete list of plasmids used in this study.

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235	
236	Construction of vectors expressing Cas3 or Cas3-Cse1 fusion
237	The cas3 gene was PCR amplified from MG1655 to introduce flanking EcoRI sites
238	and cloned into the EcoRI site of pRS423Gal resulting in the Cas3-expressing plasmid
239	pCas3. A Cas3-Cse1 fusion fragment was amplified from pWUR657 and cloned
240	between the SpeI and NotI sites of pRS423Gal to generate pCas3::Cse1 expressing
241	the Cas3-Cse1 fusion.
242	
243	Construction of vectors expressing CRISPR RNA
244	For expression of crRNA, two CRISPR cassettes, one containing 4xJ3 spacer (13)
245	PCR amplified from pWUR630 and the other containing J1-J2 spacers (a synthetic
246	array ordered from ThermoFisher Scientific Inc.) were cloned into BamHI-NotI sites
247	of pRS424Gal_Cyc1 to place CYC1 terminator directly downstream of the CRISPR
248	array. All spacers targeted the J gene from bacteriophage Lambda. The J1-J2
249	CRISPR, along with the flanking CYC1 terminator, was excised as a BamHI-SacI
250	fragment and cloned in pCas3 cut with the same sites to generate pCRISPR+Cas3.
251	Additionally, the same BamHI-SacI fragment was blunted and cloned in the blunted
252	Sall site of pCas3::Cse1 to generate pCRISPR+Cas3::Cse1. See table S4 for details of
253	CRISPR and targets.
254	For expression crRNA in <i>E. coli</i> , a minimal CRISPR array with 54 nt of the leader,
255	the J3 spacer and two repeats was cloned into pZE12Luc (19) under the control of
256	PLlacO-1. The plasmid was amplified using primers PLlacO-C and pZE-Xba and the
257	minimal CRISPR array was amplified from pWUR564 (13) using primers LA009 and
258	LA013. The array was cloned by blunt-end ligation in the leader-end so that the first

- 259 position of the partial leader corresponds to transcription start of the PLlacO-1 and in
- the other end into the XbaI-site of pZE12Luc.
- 261

#### 262 <u>Construction of S. cerevisiae expressing type I-E CRISPR-Cas system</u>

- 263 The vectors constructed as described above were transformed into the desired yeast
- strains as required. Transformation of S. cerevisiae was performed using lithium
- acetate method (20).
- 266

### 267 <u>Construction of target vectors</u>

- 268 To construct pTargetHigh, the 350 bp region of Lambda J from pWUR610 was
- cloned into the BamHI and HindIII sites of pRS426Gal. The cloning replaced the Gal-
- 270 promoter fragment with the lambda DNA fragment. A low copy target vector,
- 271 pTargetLow, was constructed by PCR amplification of a region of Lambda J gene
- using pTargetHigh as template with DR0015 and DR0016 primers and cloning the
- 273 fragment in the NheI site of pPS1739.
- 274

## 275 Analysis of crRNA processing

- 276 Sample preparation and RNA purification: Yeast
- 277 S. cerevisiae W303 carrying pCascade, pCRISPR (4xJ3 spacer), and pCas3 was
- grown to stationary phase, diluted in fresh SC medium and grown with aeration at
- $32^{\circ}$ C to an OD<sub>600</sub> value of 0.3. Galactose was then added to induce the CRISPR-Cas
- system. 10 ml culture was harvested by centrifugation 2, 4, and 5 hours after
- induction. Total RNA was isolation using the hot phenol method (21).
- 282 Sample preparation and RNA purification: Bacteria

283 Overnight culture of *E. coli* BL21AI harboring pWUR397 (Cas3), pWUR400

- 284 (Cascade) and pLA002 (minimal J3 CRISPR array), was diluted and grown to OD<sub>600</sub>
- $\approx 0.3$ . Cas-protein and crRNA expression was induced by addition of 0.2 % arabinose
- and 1 mM IPTG. After 30 min, 5 ml sample was taken and mixed with 1 ml stop
- solution (5 % phenol, 95 % ethanol), and pelleted at 4 °C. RNA was purified as
- 288 previously described (22).
- 289 Gel and blotting
- 290 Samples were run on a 10% denaturing polyacrylamide gel (10 % polyacrylamide, 7
- 291 M urea, 1X Tris-borate-EDTA (TBE)) in 1X TBE. Samples were mixed with loading
- dye (95 % deionized formamide, 0.5 mM EDTA, 0.025 % bromphenol blue/xylene
- cyanol, and 0.025 % SDS), and boiled 3 min prior to loading. The same was done
- with the pre-labelled pUC8 size marker. 15 µg of total RNA was loaded for each
- sample. As a positive control for processed crRNA, total RNA purified from the *E*.
- *coli* BL21AI carrying pWUR 397, pWUR400 and pLA002, able to prevent phage
- infection and plasmid transformation (data not shown), was also loaded on the gel.
- 298 Transfer to Hybond N+ membrane (Amersham) was done at 4°C overnight at 200
- 299 mA. The membrane was UV crosslinked and prehydridized in Church buffer (0.25M
- 300 sodium phosphate buffer pH 7.2, 1 mM EDTA, and 7% SDS) for 1 h at 42°C after
- 301 which 0.5  $\mu$ M of radioactively labelled probe was added to the buffer. Hybridization
- 302 was done overnight. The membrane was washed two times 5 min with 2x SSC, 0.1%
- 303 SDS and exposed the PharosFX-system (BioRad).
- 304 The pUC8 size marker (Fermentas) was radioactively labeled using  $\gamma$ -<sup>32</sup>P-ATP
- 305 (PerkinElmer) and PNK (Fermentas) in an exchange reaction according to the
- 306 manufacturer's instructions. The probe, LA014, was labelled the same way in a
- 307 forward reaction. Excess  $\gamma$ -<sup>32</sup>P-ATP was removed using Illustra ProbeQuant G-50

308 Micro column (GE Healthcare) purification according to the manufacturer's

309 instructions.

310

### 311 <u>CRISPR-Cas activity assays</u>

312 CRISPR-Cas activity was assessed by plasmid interference assays. Yeast cultures

grown to stationary phase and diluted in fresh SC medium containing either 1% or 2%

raffinose as carbon source and grown with aeration at  $32^{\circ}$ C to an OD<sub>600</sub> value of 0.2,

followed by addition of galactose inducer. 150-240 min after induction the cells were

316 harvested by centrifugation. The cells were then transformed with target vectors or

317 non-target control vectors using lithium acetate method (20), but excluding carrier

318 DNA. Plasmid interference by the CRISPR-Cas system was measured by plating

319 cultures transformed with target vector or non-target vector on selective media and

320 comparing TE.

321

322 Surveyor assay

323 S. cerevisiae BY418 cells harboring pCascade, pCRISPR, and pCas3::Cse1, or 324 negative controls lacking pCRISPR, pCascade or both were grown in SC medium 325 with or without the galactose inducer and transformed with pTargetHigh. The 326 transformed cells were grown for 24 hours in SC medium, pelleted by centrifugation 327 and grown again in equal volume of fresh medium for 24 hours.1.5 ml of the culture 328 was pelleted by centrifugation and resuspended in 500 µl of distilled water. The cells 329 were lysed by heating at 98°C for 10 minutes and 0.5 µl of the lysate was used as 330 template for PCR with primers DR003 and DR004 to amplify the target region. The 331 PCR products were analyzed by electrophoresis on 2 % agarose gel and used for 332 mutation detection with Surveyor mutation detection kit (IDT) as per manufacturer's

333	instructions.	The result of S	Survevor assay	was analyzed b	v Oiagen (	QIAxcel advanced
555	mou dettomo.	The result of c	ul ve yol ubbu	y was analyzed o	y Qiugon (	

- 334 gel electrophoresis system.
- 335

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## 344 Author Contributions

- 345 ML conceived the concept. DR, GB, and ML performed experimental design and DR,
- LA, and GB performed the experiments. DR and ML analyzed the data and wrote thearticle.
- 348

## 349 Conflict of Interest

350 The authors declare no conflict of interest.

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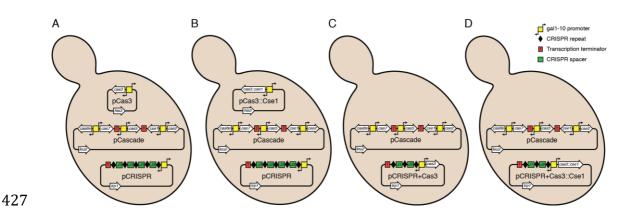
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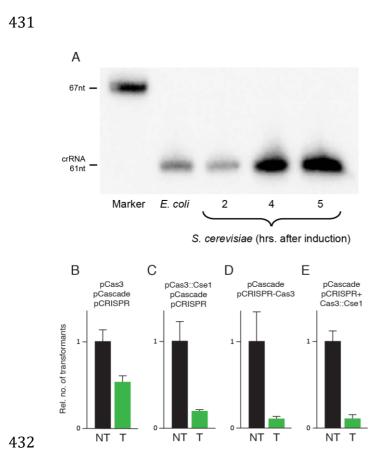
### 426 Figures

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428 Figure 1. A-D: The different variants of the type I-E CRISPR-Cas system used for



expression in S. cerevisiae.

Figure 2. A: Analysis of crRNA by northern blot. *S. cerevisiae* samples were taken at
indicated time points after induction of *cas* genes and 4xJ3 CRISPR array expression.
RNA from an *E. coli* strain active for interference was used as positive control. B-E:

- 436 Analysis of CRISPR-Cas interference on plasmids in different S. cerevisiae strains
- 437 (A-B: W303, C-D: BY418) with indicated CRISPR-Cas expression system.
- 438 Transformation efficiency was measured for plasmids containing a target sequence
- 439 (T) and non-targeted parent plasmids (NT). Data in B-E is an average of three
- 440 independent biological replicates normalized so that relative level of transformation
- 441 by the non-target plasmid is equal in the different panels. Error bars indicate one
- standard deviation.