1 **Genetic, inflammatory, and tissue-specific factors control expression of human calpain-14**

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ABSTRACT

Eosinophilic esophagitis (EoE) is a chronic, food-driven allergic disease resulting in eosinophilic esophageal inflammation. We recently found that EoE susceptibility is associated with genetic variants in the promoter of *CAPN14*, a gene with esophagus-specific expression. *CAPN14* is dynamically up-regulated as a function of EoE disease activity and after exposure of epithelial cells to interleukin-13 (IL-13). Herein, we aimed to explore molecular modulation of *CAPN14* expression. We identified three putative binding sites for the IL-13-activated transcription factor STAT6 in the promoter and first intron of *CAPN14*. Luciferase reporter assays revealed that the two most distal STAT6 elements were required for the ~10-fold increase in promoter activity subsequent to stimulation with IL-13 or IL-4, and also for the genotype-dependent reduction in IL-13-induced promoter activity. One of the STAT6 elements in the promoter was necessary for IL-13-mediated induction of *CAPN14* promoter activity while the other STAT6 promoter element was necessary for full induction. Chromatin immunoprecipitation in IL-13 stimulated esophageal epithelial cells was used to further support STAT6 binding to the promoter of *CAPN14* at these STAT6 binding sites. The highest *CAPN14* and calpain-14 expression occurred with IL-13 or IL-4 stimulation of esophageal epithelial cells under culture conditions that allow the cells to differentiate into a stratified epithelium. This work establishes a candidate molecular mechanism for EoE disease etiology in which the risk variant at 2p23 dampens mediated *CAPN14* expression in differentiated esophageal epithelial cells following IL-13/STAT6 induction of *CAPN14* promoter activity.

INTRODUCTION

Eosinophilic esophagitis (EoE) is a chronic, allergic disease associated with marked mucosal eosinophil accumulation (Liacouras et al. 2011). EoE remits after removal of specific food types, and food re-introduction causes disease reoccurrence, including dysregulation of esophageal transcripts. The etiology of EoE includes environmental, immunological, and genetic components (Alexander et al. 2014; Kottyan et al. 2014; Kottyan et al. 2015). One of the central questions in the EoE field, and allergy in general, is to understand why individuals develop certain manifestations of disease.

Until recently, studies have identified EoE-genetic risk loci that were broadly linked to other allergic diseases (Sherrill and Rothenberg 2014). For example, genetic variants at 5q22, encoding *TSLP* and *WDR36,* and 11q13, encoding *LRRC32* and *EMSY*, have been associated with allergic sensitization, asthma, allergic rhinitis, atopic dermatitis, food allergy and/or EoE (Greisenegger et al. 2013; Tang et al. 2012; Ferreira et al. 2011; Li et al. 2015; Ferreira et al. 2014; Weidinger et al. 2013; Ramasamy et al. 2011; Hinds et al. 2013; Sleiman et al. 2014). The shared association of risk variants among these phenotypes suggests that these loci contain variants that participate in the allele-dependent regulation of a molecular pathway that is central to the etiology of allergic disease.

We recently found that, in addition to genetic risk loci for allergic sensitization, EoE susceptibility is linked to one or more tissue-specific genetic factors at 2p23, encoding the *CAPN14* gene (Kottyan et al. 2014). This genetic linkage has been replicated at genome-wide significance in multiple cohorts, as well as in a recent independent study (Sleiman et al. 2014), adding credence to the importance of the 2p23 genetic linkage. *CAPN14* (encoding calpain-14) belongs to the classical calpain sub-family, a set of calcium activated intracellular regulatory proteases (Sorimachi et al. 2011). In our initial studies, we identified *CAPN14* as dynamically up-regulated as a function of EoE

disease activity as well as after exposure of epithelial cells to IL-13 (Konikoff et al. 2006; Davis et al. 2016). Expression quantitative trait loci (eQTL) analysis revealed that patients with active EoE expressed *CAPN14* in a genotype-dependent manner (Konikoff et al. 2006). While *CAPN14* was expressed at a higher level in individuals with EoE compared to those without EoE, patients with the risk genotype had decreased expression of *CAPN14* compared to patients with the non-risk genotype (Konikoff et al. 2006). Consistent with these findings, overexpression of the calpain-14 protein in esophageal epithelial cells leads to morphological changes and barrier defects independently of IL-13-mediated inflammation, while *CAPN14* gene silencing in these cells leads to defects in barrier repair after IL-13 stimulation (Davis et al. 2016), suggesting that calpain-14 might contribute to EoE via a regulatory loop (Litosh et al. 2017).

In this study, we aimed to identify regulatory factors that control the expression of *CAPN14*. We used data from the GTEx project (Carithers and Moore 2015; Bahcall 2015) to identify the esophageal mucosa as the region of the esophagus that expressed *CAPN14* and to show that *CAPN14* is expressed in an EoE-risk genotype-dependent manner in individuals without EoE. Using luciferase reporter constructs, we determined that IL-13 is sufficient to induce *CAPN14* promoter activity and identified one putative STAT6 binding sites as necessary for IL-13-induced *CAPN14* promoter activity with a second putative STAT6 binding site in the promoter necessary for full induction of *CAPN14* promoter activity. Using chromatin immunoprecipitation, we identified STAT6 binding to the promoter of *CAPN14* at the site of the two putative STAT6 binding sites in EPC2 esophageal epithelial cells. One single nucleotide polymorphism (SNP) that is highly associated with EoE risk and located in the promoter of *CAPN14* was sufficient to decrease *CAPN14* promoter activity in a manner that is consistent with the eQTL seen in individuals with and without EoE. By measuring mRNA and protein expression of *CAPN14*/calpain-14 over a variety of *in vitro* culture conditions, we

further found that *CAPN14* expression is highest in differentiated esophageal epithelial cells after IL-13 exposure. IL-4 also signals in esophageal epithelial cells in a STAT6-dependent manner, and we obtained consistent results for IL-4 and IL-13 promoter activity and gene expression. Altogether, this study identified immunological, genetic, and tissue-specific mechanisms that regulate the expression of *CAPN14*.

METHODS

Esophageal epithelial cell cultures. EPC2 esophageal epithelial cells were grown in keratinocyte serum-free media (K-SFM) (Life Technologies, Grand Island, NY) to various levels of confluence (80% or 100%) with relatively low (0.09 mM) or high (1.8 mM) Ca^{2+} and with or without 100 ng/mL IL-13 or IL-4 for 24 hours, as a monolayer submerged culture or in an air-liquid interface (ALI) culture (Davis et al. 2016; Kottyan et al. 2014). For the ALI culture system, the esophageal epithelial EPC2 cell line was grown to confluence on 0.4 μm pore–size polyester permeable supports (Corning Incorporated, Corning, NY) in K-SFM supplemented with 1.8 mM calcium. Epithelial differentiation was then induced by removing culture media from the inner chamber of the permeable support and maintaining the esophageal epithelial cells for 8 days in the ALI in the presence or absence of IL-13 or IL-4 (100 ng/mL). TE7 esophageal epithelial cells were grown in RPMI1640 supplemented with 10% FBS (HyClone, Pittsburgh, PA).

Luciferase reporter assays. The *CCL26* nanoluciferase reporter construct was engineered using the firefly reporter construct reported previously (Lim et al. 2011). The first 964 bp before the *CCL26* start codon were subcloned into the pNL1.1 nanoluciferase reporter vector (Promega, Madison, WI). For the *CAPN14* promoter reporter construct, PCR primers (listed in **Table S1**) were used to amplify the 1988 base pair region around rs76562819 and subsequently clone it into the pNL1.1 nanoluciferase reporter vector. Site-directed mutagenesis was performed to generate the construct

with scrambled STAT6 binding sites and the EoE non-risk allele of rs76562819 using the GeneArt

Site-Directed Mutagenesis System (Invitrogen, Darmstadt, Germany). Sequences were confirmed

by Sanger sequencing. All mutagenesis primers are provided in **Table S1**.

250 ng of nanoluciferase experimental constructs were transiently co-transfected into esophageal

epithelial cells with 250 ng of pGL3-control firefly luciferase reporter plasmid. After 24 hours, cells

were treated with 100 ng/mL of IL-13 or IL-4 (Promega, Madison, WI). 24 hours after cytokine

administration, cells were lysed and nano and firefly luciferase activity was assayed using the

Promega Nano-Glo Luciferase Assay System. The nanoluciferase measurement for each well was

divided by the firefly luciferase measurement in order to account for cytotoxicity, small differences in

cell number, and transfection efficiency. Each well was further normalized to the promoterless

pNL1.1 vector to control for baseline activity of the vector.

Chromatin immunoprecipitation analysis. EPC2 cells were treated with IL-13 at 100 ng/mL for 45

min and fixed with 1% formaldehyde for ChIP. ChIP was performed as reported previously (Rochman

et al. 2015) with the exception that the sonication was for 4 min and ChIP was performed manually

for the anti-STAT6 experiments. Anti-STAT6 antibody S-20 (D3H4 Rabbit mAb #5397, Cell

Signaling) anti-H3K4me3 antibody ab8580 (Abcam), and anti-H3K27Ac antibody ab4279 (Abcam).

We fixed $10–20×10^6$ cells with 0.8% formaldehyde by adding 1 ml of 10X fixation buffer (50 mM

Hepes-KOH, pH 7.5; 100 mM NaCl; 1 mM EDTA; 0.5 mM EGTA; 8% formaldehyde) to 9 ml of growth

medium for 8 minutes at room temperature with shaking. The reaction was stopped by adding glycine

to a final concentration of 125 mM for an additional 5 minutes. After washing with PBS, pellets were

frozen at −80°C for at least overnight. Nuclei were prepared by re-suspending pellets in 1 ml of L1

buffer (50 mM Hepes-KOH, pH 7.5; 140 mM NaCl; 1 mM EDTA; 10% glycerol; 0.5% NP-40; 0.25%

Triton X-100) and incubated at 4°C for 10 min. Nuclei were pelleted and re-suspended in 1 ml of L2

buffer (10 mM Tris-HCl, pH 8.0; 200 mM NaCl; 1 mM EDTA, pH 8.0; 0.5 mM EGTA, pH 8.0) and

rotated for 10 min at room temperature. Nuclei were briefly washed with sonication buffer (Tris-EDTA

[TE] buffer + 0.1% SDS) and re-suspended in 1 ml of sonication buffer. All buffers were

supplemented with complete EDTA-free protease inhibitors (Roche Diagnostics Corporation

Indianapolis, IN). Sonication was performed by a Covaris S220 focused ultrasonicator (Covaris, Inc.

Woburn, MA) at 175W Peak Incident Power, 10% output, 200 bursts for 10 min in 12×12-mm, round-

bottom glass tubes. Efficient DNA fragmentation was verified by agarose gel electrophoresis. Anti-

histone mark ChIP was performed by SX-8G IP-Star® Automated System (Diagenode Inc., Denville,

NJ) in RIPA buffer (TE + 0.1% SDS, 1% Triton X-100, 150 mM NaCl, 0.1% sodium deoxycholate)

following the protocol of the manufacturer with 2–4 µg of indicated antibodies. Libraries were

sequenced on the Illumina HiSeq 2500, and ChIP-seq data were visualized and analyzed in

Biowardrobe (Rochman et al. 2015).

RNA purification and expression analyses. For the real-time PCR analysis of CAPN14

expression, total RNA was purified from esophageal epithelial cells using the MirVana miRNA

Isolation kit (ThermoFisher, Waltham, MA). RNA was reverse-transcribed with the High-Capacity

RNA-to-cDNA kit (Applied Biosystems, Grand Island, NY). Gene expression was determined by real-

time PCR using a 7500 Real-time PCR system (Applied Biosystems, Foster City, CA) using Taqman

Gene Expression Assays CAPN14- Hs00871882_m1, CCL26- Hs00171146_m1 and GAPDH-

Hs02786624_g1. Relative quantification was calculated by the comparative CT method (Livak and

Schmittgen 2001). Briefly, expression levels for *CAPN14* or *CCL26* were normalized to levels of

GAPDH. All samples were then normalized to cells grown at 80% confluence without high calcium

or IL-13 and the data were exponentially transformed.

For the RNA-sequencing study performed to identify the isoforms of CAPN14 expressed in the

esophageal biopsies of people with and without EoE (**Figure S2**), RNA was isolated from distal

esophageal biopsy RNA from EoE patients (5 male and 5 female) with active disease and from

unaffected controls (5 male and 5 female) as previously described (Kottyan et al. 2014; Blanchard

et al. 2006; Namjou et al. 2014). EoE biopsies showed active disease pathology at the time when

they were taken, and all patients reported no glucocorticoid treatment at the time of biopsy.

RNA sequencing acquiring 50 million mappable 125 base-pair reads from paired-end libraries was

performed at the Genetic Variation and Gene Discovery Core Facility at CCHMC. Data were aligned

to the GrCh37 build of the human genome using the Ensembl (Flicek et al. 2013) annotations as a

guide for TopHat (Trapnell et al. 2009). Expression analysis was performed using Kallisto's quant

function (Bray et al. 2016). Data has been deposited in NCBI Geo database as GSE113341.

Protein isolation and analysis. Whole cell lysate was extracted from EPC2 cells lysed with RIPA

Buffer (Millipore, Burlington, MA) and Halt^{TM} phosphatase inhibitor cocktail. Samples were exposed

to electrophoresis in NuPAGE Novex 4-12% Bis-Tris Gels and transferred to nitrocellulose using the

iBlot system (Invitrogen, Carlsbad, CA). Primary antibodies: anti-CAPN14 (HPA035721, Sigma

Aldrich, St. Louis, MO) and anti-β-actin (4967L, Cell Signaling Technologies, Danvers, MA).

Secondary antibody: IRDye 800CW donkey anti-rabbit (926-32213, LI-COR, Lincoln, NE).

Nitrocellulose membranes were imaged using the Odyssey CLx system (LI-COR) and analyzed with

Image Studio (LI-COR). EPC2 cells that constitutively express *CAPN14* behind a CMV promoter

were used as a positive control.

Statistical analysis and data visualization were done with GraphPad Prism (GraphPad Software, La

Jolla, CA).

Data and reagent avaliblitiy. Constructs are avalible upon request. For the *CAPN14* promoter

reporter construct, PCR primers (listed in **Table S1**) were used to amplify the 1988 base pair region

around rs76562819 and subsequently clone it into the pNL1.1 nanoluciferase reporter vector. All

mutagenesis primers are provided in **Table S1**. RNA sequencing data has been deposited in NCBI

Geo database as GSE113341.

RESULTS

IL-13 administration to esophageal epithelial cells is sufficient to induce *CAPN14* expression similar

to *CCL26*, a chemokine whose promoter activity is dependent on the STAT6 transcription factor (Lim

et al. 2011). Based upon these previous results, we hypothesized that IL-13 acted through the

transcription factor STAT6 to directly promote *CAPN14* expression. Thus, we first constructed

promoter reporters by placing the promoters of either *CAPN14* or *CCL26* in front of the

nanoluciferase gene (**Figure 1 A**). After treatment with IL-13 or IL-4, esophageal epithelial cells

transfected with the *CAPN14* reporter construct exhibited a similar upregulation of nanoluciferase

expression to the cells transfected with a *CCL26* reporter construct (**Figure 1 B and Figure S1 A**).

Based upon the canonical 5'-TTC...GAA-3' core motif of STAT6, we identified two putative STAT6

binding sequences in the promoter located within 90 base pairs of the transcription start site of

CAPN14 and one putative STAT6 binding site in the first intron of *CAPN14*. We tested the necessity

of each individual predicted STAT6 binding site for IL-13-induced promoter activity by creating

luciferase reporter constructs with mutated putative STAT6 sites (**Figure 1 A**). Mutation of the first

STAT6 site attenuated IL-13-mediated induction of CAPN14 promoter activity, while mutation of the

second STAT6 site completely blocked CAPN14 promoter induction (**Figure 1 C**). Mutation of the

third putative STAT6 site in the first intron of CAPN14 did not affect the promoter activity of CAPN14

following treatment with IL-13 (**Figure 1 C**). From these experiments, we concluded that the two

sites in the promoter are necessary for full IL-13-induced promoter activity.

Chromatin immunoprecipitation of STAT6 in esophageal epithelial cells treated with IL-13 was used

to further establish STAT6 binding to the promoter of *CAPN14* at the two binding sites that were

necessary for IL-13-inducible *CAPN14* promoter activity. We identified ChIP-seq peaks in the

promoter of *CAPN14* spanning these two STAT6 sites from chromatin extracted from IL-13

stimulated esophageal epithelial cells (**Figure 2**). There was not evidence of STAT6 binding at the

third putative STAT6 site in the first intron of *CAPN14*. These STAT6 ChIP-seq peaks overlap ChIP-

seq peaks from active histone marks H3K4me3 and H3K27Ac that appear after induction by IL-13

(**Figure 2**). Altogether, these functional genomic data support our initial hypothesis that IL-13 and

IL-4 activate *CAPN14* expression through the binding of STAT6 to two sites in the promoter of

CAPN14.

Patients with EoE and the genetic-risk haplotype at 2p23, marked by single nucleotide polymorphism

(SNP) rs76562819**,** have 50% less *CAPN14* in their esophageal biopsies compared to patients with

the non-risk haplotype (Kottyan et al. 2014). Data from GTEx (Carithers and Moore 2015; Bahcall

2015) indicates that this genotype-dependent expression of *CAPN14* is also seen in a larger cohort

of subjects without EoE ($p=5.9 \times 10^{-12}$, effect size=0.36, **Figure S2 A**). These data also highlighted

the tissue-specificity of *CAPN14* to the esophageal mucosa (**Figure S2 B**). An analysis of differential

isoform usage of *CAPN14* identified two major isoforms of CAPN14 that did not change with EoE

disease status or sex, consistent with the isoform usage reported from GTEx (**Figure S2 C**). Of the

two isoforms of *CAPN14* that are expressed, the major isoform (ENST00000444918) includes exon

7, while the less highly expressed isoform of *CAPN14* (ENST00000398824) does not. Furthermore,

the less highly expressed isoform of *CAPN14* (ENST00000398824) is predicted to undergo

nonsense mediated decay based upon manual annotation from the VEGA/Havana projects (Wilming

et al. 2008). The EoE-risk haplotype at 2p23 includes 12 variants in linkage disequilibrium ($r^2>0.8$),

but functional genomic and biochemical evidence supported a specific role for rs76562819 (Davis et

al. 2016; Kottyan et al. 2014). We tested the hypothesis that rs76562819 was sufficient to result in

genotype-dependent promoter activity of *CAPN14* using two identical luciferase constructs

containing the promoter and a portion of the first intron of *CAPN14* with either the risk or the non-

risk allele of rs76562819 (**Figure 3 A**). We found that the EoE risk allele at rs76562819 resulted in

a 40.0% reduction of IL-13 and IL-4-induced *CAPN14* promoter activity compared to the EoE non-

risk allele (**Figure 3 B**). Thus, the single base change from non-risk to risk at rs76562819 is both

necessary and sufficient to explain most of the reduced *CAPN14* expression observed in EoE patient

biopsies.

We next sought to determine the factors leading to tissue specificity of *CAPN14*. Unlike *CCL26*,

CAPN14 expression is highly dependent upon the cell culture conditions. Esophageal epithelial

differentiation is a physiological process that increases mechanical strength and barrier function to

squamous epithelia (Macara et al. 2014). Factors that are necessary for differentiation *in vitro* are

calcium concentration, cellular confluence, and the culture system (Macara et al. 2014; Kc et al.

2015). Without esophageal epithelial differentiation by confluence, calcium, and growth in an air-

liquid interface (ALI), *CAPN14* mRNA expression is low (**Figure 4 A**). Following differentiation,

CAPN14 is induced 10,000-fold in the ALI, p<0.0001 (**Figure 4 A**). At a protein level, calpain-14 was

detected only in the most differentiated system (ALI) following treatment with IL-13 (**Figure 4 B**). As

seen in the promoter reporter experiments, IL-4 and IL-13 are equally capable of induction of

CAPN14 mRNA and protein (**Figure S3**).

257   **DISCUSSION**

Altogether, we identified immunological (IL-13/IL-4/STAT6), genetic (rs76562819), and tissue-

specific (esophageal epithelia differentiation) factors that regulate the expression of *CAPN14*. These

results are important because calpain-14 represents a candidate for treatment and prevention of

EoE. Previous studies supported a model in which IL-13 induction of *CAPN14* occurs in a STAT6-

dependent manner. We identified the STAT6 binding sites, the necessity of these sites for *CAPN14*

promoter activity, and the binding of STAT6 to the promoter of *CAPN14* in esophageal cells

stimulated with IL-13. We demonstrated genotype-dependent expression of *CAPN14* and identified

rs76562819 as the genetic variant that is sufficient to produce genotype-dependent promoter activity.

Indeed, the 40.0% reduction of *CAPN14* promoter activity in the genotype-dependent reporter

assays mirrors the effect size of the eQTLs measured in subjects with and without EoE (Kottyan et

al. 2014). The reporter experiments did not demonstrate statistically significant genotype-dependent

promoter activity in cells that were not stimulated with IL-13; however, GTEx data demonstrated

genotype-dependent *CAPN14* expression in the esophageal mucosa of subjects without EoE. This

could be due to several factors, including the sensitivity of the reporter system, the non-differentiated

context of the reporter experiments, the superior statistical power of the GTEx study, or genotype-

dependent differences in chromatin accessibility. The fact that relatively undifferentiated esophageal

epithelial cell culture systems are sufficient for luciferase reporter systems could be due to how

differentiation affects chromatin availability – a factor that is not assessed in the cytoplasmic

luciferase reporter assay. *CAPN14* mRNA and protein expression levels strongly support the

conclusion that both differentiation and stimulation with IL-13 or IL-4 is critical for the highest

expression of calpain-14 in esophageal epithelial cells. The requirement for esophageal epithelial

cell differentiation before *CAPN14* expression might be the primary mechanism through which

CAPN14's expression is specifically induced in the esophageal mucosa (**Figure S2 B**).

IL-13 is a critical cytokine in the pathoetiology of EoE (Blanchard et al. 2011; O'Shea et al. 2017;

Davis et al. 2016; D'Mello et al. 2016; Cianferoni and Spergel 2016; Rothenberg et al. 2015; Sherrill

et al. 2014b; Sherrill et al. 2014a; Zuo et al. 2010; Blanchard et al. 2007; Mishra and Rothenberg

2003). Indeed, treating esophageal epithelial cells with IL-13 is an effective way to model

approximately 25% of the transcriptome and cytokine secretion profiles found in the esophageal

biopsies of patients with EoE (Sherrill et al. 2014b; Lu et al. 2012; Blanchard et al. 2007). IL-13

directly controls the expression of chemokines that recruit eosinophils to the esophagus (*CCL11*,

CCL24, *CCL26*) through STAT6-dependent mechanisms (Lim et al. 2011). IL-13 treatment can also

activate other cellular and transcriptional regulators including the protein kinase B (Akt) and mitogen-

activated protein kinase (MAPK) pathways (Demoulin and Renauld 1998; Harris et al. 2007), and

transcriptional regulation downstream of IL-13 signaling can also be indirect (e.g. genes transcribed

in response to IL-13 can regulate the expression of other genes). It was therefore important to

establish that two STAT6 sites in the *CAPN14* promoter control IL-13-stimulated expression *of*

CAPN14. IL-13 shares many functional properties with IL-4, stemming from the fact that they share

a common receptor subunit, the alpha subunit of the IL-4 receptor (Hershey 2003). Both IL-13 and

IL-4 signaling lead to STAT6 dimerization, phosphorylation, and nuclear translocation (Kuperman

and Schleimer 2008), so it is therefore not surprising that IL-4 might also regulate *CAPN14* mRNA

expression and promoter activity (**Figures S1 and S3**).

Very little is known about the factors regulating the expression, function, and tissue specificity of

CAPN14 (Litosh et al. 2017; Davis et al. 2016; Sleiman et al. 2014; Ueta et al. 2010; Kottyan et al.

2014; Dear and Boehm 2001). This study focused on the regulation of *CAPN14* transcription. Future

studies will assess the post-translational regulation and activity of calpain-14. The structure of

calpain-14 strongly suggests that it might dimerize with calpain-S1 (Litosh et al. 2017). Other

members of the calpain family are known to undergo autolysis (Ono et al. 2016a; Ermolova et al.

2011; Taveau et al. 2003; Badugu et al. 2008), and proteomic analysis of calpain-14 expression

found evidence consistent with cleavage of calpain-14, especially in non-differentiated conditions

(**Figure 4 B**). We used an overexpression construct of calpain-14 to identify the specific band bound

by the anti-calpain-14 antibody in the Western blots (**Figure 4 B**). Calpains cleave substrates in a

calcium-dependent manner based upon the conformation, rather than the amino acid sequence, of

their targets (Ono et al. 2016b; Sorimachi et al. 2012). Full biochemical analyses of the substrates

of calpain-14 will be critical as the functions of calpain-14 in health and EoE are discovered.

The current study identifies critical factors that regulate *CAPN14* expression. Altogether, this study

makes an important advance towards identification of the factors that control the genotype-

dependent transcriptional regulation of *CAPN14* in the esophagus.

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CONFLICT OF INTERST STATEMENT

M.E.R. is a consultant for Pulm One, Spoon Guru, ClostraBio, Celgene, Shire, Astra Zeneca, GlaxoSmithKline, Allakos, Adare, Regeneron and Novartis and has an equity interest in the first four listed and Immune Pharmaceuticals, and royalties from reslizumab (Teva Pharmaceuticals). M.E.R. is an inventor of patents, owned by Cincinnati Children's.

The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health.

Figure 1. STAT6 binding sites in the promoter region of the *CAPN14* gene affect IL-13-induced

***CAPN14* promoter activity.** *A*, schematic representation of the nucleotide sequence of the promoter

of the human *CAPN14* gene. Putative binding sites for STAT6 are defined by grey boxes. *B*, Site-

directed mutagenesis elimination of putative STAT6-binding sites is denoted by crossed boxes. The

(*B*) CCL26 and CAPN14 constructs or the (*C*) mutagenized CAPN14 constructs were transfected

into esophageal epithelial cells followed by treatment with or without IL-13 (100 ng/mL) for 24 h. For

each sample, nanoluciferase activity was normalized to firefly luciferase activity. Data are shown as

mean ± S.E.M. (***, $p < 0.001$; $n = 3$ per group; data representative of three independent

experiments).

Figure 2. Epigenetic signature and STAT6 binding to the promoter region of *CAPN14* in

response to IL-13 stimulation. An image capture from the UC Santa Cruz genome browser

shows the tag density profile of aligned ChIP-seq reads in untreated and IL-13-treated EPC2 cells.

The read depths of the aligned sequences are of the same scale. Arrow represents direction of

transcription, black boxes represent exons. In the IL-13-treated cells, elevated levels of H3K4me3

and H3K27Ac are detected in the promoter region. The scale is the same for all track pairs

(untreated and IL-13).Three putative STAT6 binding sites around the TSS are indicated by blue

lines. TSS – transcription start site.

Figure 3. The risk variant of rs76562819 results in a genotype-dependent decrease in IL-13

induced promoter activity of *CAPN14*. *A,* Diagrams of constructs containing the *CAPN14* promoter

are shown. *B,* Reporter constructs were transfected into esophageal epithelial cells followed by

treatment with or without IL-13 (100 ng/mL) for 24 h. For each sample, nanoluciferase activity was

normalized to firefly luciferase activity. Data are shown as mean ± S.E.M. (***, t-test p-value<0.001;

2-way ANOVA: p<0.05, genotype *p*<0.0001 accounting for 12.6% of total variation; *n* = 3 per group;

data representative of three independent experiments).

Figure 4. The expression of *CAPN14* in esophageal epithelial cells is dependent upon

calcium, confluency, and IL-13. EPC2 esophageal epithelial cells were grown to various levels of

confluence (80%- indicated by -, or 100%-indicated by +) with relatively low (0.09 mM) or high (1.8

mM) Ca^{2+} and with or without 100 ng/mL IL-13 for 24 hours. Cultures were grown either as a

monolayer submerged culture or in an air-liquid interface (ALI) setup. *A,* RNA was isolated and

CAPN14 was measured relative to the expression of the house keeping gene *GAPDH*. All RNA

expression values are normalized to cells at 80% confluence without high calcium or IL-13. *B,*

Calpain-14 protein expression levels. EPC2 cells that constitutively express *CAPN14* behind a CMV

promoter were used as a positive control for calpain-14 protein expression. RNA and protein level

expression: ***, t-test p-value<0.001, n=3-5 per group, data representative of 4 independent

experiments.

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Figure 1. Three STAT6 binding sites in promoter region of the *CAPN14* gene affects IL-13-induced *CAPN14* promoter activity.

Figure 2. Chromatin immunoprecipitation of STAT6 confirms STAT6 binding at the the promoter of *CAPN14*.

Figure 3. The risk variant of rs76562819 results in genotype-dependent decrease in IL-13 induced promoter activity of *CAPN14*

Figure 4. The expression of *CAPN14* in esophageal epithelial cells is dependent upon calcium, confluency, and IL-13.

A. *CAPN14* RNA

Confluent	-	-	+	+	+
High Ca^{2+}	-	+	-	+	+

Submerged Culture | Air-liquid interface

B. Calpain-14 Protein

Confluent:	-	-	+	+	**ALI**	-	-	+	+	**ALI**	
1.8 mM Ca^{2+}:	-	+	-	+	+	-	+	-	+	+	
100 ng/mL IL-13:	-	-	-	-	-	+	+	+	+	+	

Over Expressed *CAPN14*

Calpain-14 (80 kD)
β-Actin (42 kD)

Figure S1. Two STAT6 binding sites in the promoter region of the *CAPN14* gene affect IL-4-induced *CAPN14* promoter activity. *A, B, CAPN14* promoter reporter constructs with or without mutated STAT6 sites (See **Figure 1 A**) were transfected into esophageal epithelial cells followed by treatment with or without IL-4 or IL-13 (100 ng/mL) for 24 h. For each sample, nanoluciferase activity was normalized to firefly luciferase activity. Data are shown as mean ± S.E.M (***, $p < 0.001$; n = 3 per group; data representative of three independent experiments).

Figure S2. *CAPN14* **is expressed in a genotype-dependent manner in the esophageal mucosa of individuals without EoE.** *A*, An expression versus genotype box plot shows the association between *CAPN14* expression and the SNP rs207415 in esophageal mucosa tissues. Expression values are z-standardized after preprocessing as described (19, 20). The reference (Ref) genotype is the minor and EoE-risk allele. The alternative (Alt) allele is the more common EoE-non-risk allele. rs207415 is in perfect LD ($r^2=1$) with rs76562819. *B*, Expression of *CAPN14* in various tissues assayed in the GTEx project. A gene is "expressed" when the transcript has at least 1 read per kilobase per million mapped reads (RPKM) as indicated by the dashed line. Boxes are shown as the median with 25-75% ranges. *C*, Expression of four isoforms of *CAPN14* from an RNAseq study of subjects with (n=10) and without (n=10) EoE.

A. *CAPN14* RNA

Confluent	-	-	+	+	+
High Ca²⁺	-	+	-	+	+

Ca^{2+}

Submerged Culture | Air-liquid interface

B. Calpain-14 Protein

Confluent:	-	-	-	+	+	+	ALI	ALI	ALI
1.8 mM Ca²⁺:	-	-	+	-	+	+	+	+	+
100 ng/mL IL-4:	-	+	+	-	-	+	-	+	-
100 ng/mL IL-13:	-	-	-	-	-	-	-	-	+

Over Expressed *CAPN14*

Figure S3. The expression of *CAPN14* in esophageal epithelial cells is also regulated by IL-4. EPC2 esophageal epithelial cells were grown to various levels of confluence (80% or 100%, a + indicates 100% confluence) with relatively low (0.09 mM) or high (1.8 mM) Ca^{2+} and with or without 100 ng/mL IL-4 for 24 h. Cultures were grown either as a monolayer submerged culture or in an air-liquid interface (ALI) setup. *A,* RNA was isolated and *CAPN14* was measured relative to the expression of the housekeeping gene *GAPDH*. All RNA expression values are normalized to cells at 80% confluence without high calcium or IL-4. *B,* Calpain-14 protein expression levels were assessed by immunoblot. EPC2 cells that constitutively express *CAPN14* behind a CMV promoter were used as a positive control for calpain-14 protein expression. RNA and protein level expression: ***, t-test p-value<0.001, n=3-5 per group, data representative of 4 independent experiments.

Table S1. Table of primers and probes

Luciferase Reporter Construct Primers	5' to 3'
CAPN14 PCR Primer Forward	CTActcgagGATTCTGCATGCAATGTGCT
CAPN14 PCR Primer Reverse	GGAagatctGGAAGATGTACCCAGGCTGA
rs76562819 to Non-Reference SDM Primer Forward	GCCTTAATGAGAGAGGGTTAGTCATCTTCTC
rs76562819 to Non-Reference SDM Primer Reverse	GAGAAGATGACTAACCCTCTCTCATTAAGGC
STAT6 Site 1 Scramble SDM Primer Forward	TTTCTCTGTATGGTGCTATGGCATCATAATTGGATTTGTG
STAT6 Site 1 Scramble SDM Primer Reverse	CACAAATCCAATTATGATGCCATAGCACCATACAGAGAAA
STAT6 Site 2 Scramble SDM Primer Forward	GGGTACTCCACTCCCTCCGAACTCACACACAGTGGGGAGG
STAT6 Site 2 Scramble SDM Primer Reverse	CCTCCCCACTGTGTGTGAGTTCGGAGGGAGTGGAGTACCC
STAT6 Site 3 Scramble SDM Primer Forward	ACTCTACCAAATGACACTCAGCATCACCAGAGCTGGAGGG
STAT6 Site 3 Scramble SDM Primer Reverse	CCCTCCAGCTCTGGTGATGCTGAGTGTCATTTGGTAGAGT