1 Clinical data specification and coding for cross-analyses with omics data in

Lorenzon Roberta^{1,2}*, Drakos Iannis^{1,2}*, Claire Ribet², Sophie Harris², Cordoba Maeva², Tran

Olivia³, Dasque Eric⁴, Cacoub Patrice^{5,1,2}, Hartemann Agnes^{6,2}, Bodaghi Bahram^{7,1}, Saadoun

- 2 autoimmune disease trials.
- 3

4

5

David^{5,1,2}, Berenbaum Francis^{8,2}, Grateau Gilles^{9,2}, Ronco Pierre^{10,2}, Benveniste Olivier^{11,2}, 6 Mariampillai Kuberaka^{11,2}, Sellam Jeremie^{8,2}, Seksik Philippe^{12,2}, Rosenzwajg Michelle^{1,2}, Six 7 Adrien^{1,2}, Bernard Claude², Aheng Caroline², Vicaut Eric³, Klatzmann David^{1,2,**}, Mariotti-8 Ferrandiz Encarnita^{1,2**} 9 *,** these authors equally contributed to the work 10 ¹ Sorbonne Université, INSERM, UMR S 959, Immunology-Immunopathology-11 Immunotherapy (I3); F-75005, Paris, France; 12 ² Biotherapy (CIC-BTi) and Inflammation-Immunopathology-Biotherapy Department (DHU 13 14 i2B), Hôpital Pitié-Salpêtrière, AP-HP, F-75651, Paris, France; ³ Unité de recherche clinique, UMR 942, Univ Paris 07, Hôpitaux Saint Louis Lariboisière, 15 16 APHP, Paris, France; ⁴CIC-1421, Hôpital Pitié-Salpêtrière, AP-HP, Paris, France; 17 ⁵UMR 974, UPMC, Department of Internal Medicine and Clinical Immunology, Hôpital Pitié-18 19 Salpêtrière, AP-HP, Paris, France; 20 ⁶Department of Diabetology, Hôpital Pitié-Salpêtrière, AP-HP, France; Faculty of Medicine, 21 Sorbonne Université; Institute of Cardiometabolism and Nutrition (ICAN), Paris, France; ⁷Département Hospitalo-Universitaire Vision and Handicaps 'ViewMaintain', Pitié-22 23 Salpêtrière University Hospital, Paris, France 24 ⁸Department of Rheumatology, Sorbonne Université, INSERM UMR S938, Hôpital Saint-25 Antoine, APHP, Paris, France;

- ⁹Sorbonne Université, INSERM, UMR_S 933, Department of Internal Medecine Hôpital
- 27 Tenon, APHP, Paris, France;
- 28 ¹⁰Sorbonne Université; INSERM, UMR_S 1155, Paris, France; Hôpital Tenon, AP-HP,
- 29 Department of Nephrology and Dialysis, Paris, France;

- 30 ¹¹UMR 974, UPMC, Department of Internal Medicine and Clinical Immunology, Hôpital Pitié-
- 31 Salpêtrière, AP-HP, Paris, France;
- 32 ¹²Department of Gastroenterology, Hôpital Saint Antoine, AP-HP, and GRC-UPMC 03,
- 33 Sorbonne Université, Paris, France;

34

35 Keywords: multi-disease; harmonization; clinical trial; clinical coding

36

37 **ABSTRACT**

38 **Objectives:** Autoimmune and inflammatory diseases (AIDs) form a continuum of 39 autoimmune and inflammatory diseases, yet AIDs' nosology is based on syndromic 40 classification. The TRANSIMMUNOM trial (NCT02466217) was designed to re-evaluate AIDs 41 nosology through clinic-biological and multi-omics investigations of patients with one of 19 selected AIDs. To allow cross-analyses of clinic-biological data together with omics data, we 42 43 needed to integrate clinical data in a harmonized database. Materials and Methods: We 44 assembled a clinical expert consortium (CEC) to select relevant clinic-biological features to 45 be collected for all patients and a cohort management team comprising biologists, clinicians 46 and computer scientists to design an electronic case report form (eCRF). The eCRF design 47 and implementation has been done on OpenClinica, an open-source CFR-part 11 compliant electronic data capture system. Results: The CEC selected 865 clinical and biological 48 49 parameters. The CMT selected coded the items using CDISC standards into 5835 coded 50 values organized in 28 structured eCRFs. Examples of such coding are check boxes for 51 clinical investigation, numerical values with units, disease scores as a result of an automated 52 calculations, and coding of possible treatment formulas, doses and dosage regimens per 53 disease. Discussion: 21 CRFs were designed using OpenClinica v3.14 capturing the 5835 54 coded values per patients. Technical adjustment have been implemented to allow data 55 entry and extraction of this amount of data, rarely achieved in classical eCRFs designs. 56 Conclusions: A multidisciplinary endeavour offers complete and harmonized CRFs for AID 57 clinical investigations that are used in TRANSIMMUNOM and will benefit translational 58 research team.

- 59
- 60

1 BACKGROUND AND SIGNIFICANCE

62 Autoimmune and auto-inflammatory diseases (AID) are the third cause of morbidity and mortality in the world ¹. The development of more effective and better tolerated treatments 63 64 for these chronic and severely disabling diseases is an important public health issue. 65 Recently, genetic studies have highlighted altered biological processes that are common to several AIDs², and others studies have shown that an imbalance between effector T cells 66 and regulatory T cells resulting in the rupture of immune tolerance is associated with AIDs^{3–} 67 ⁶. This collection of evidence is in line with the proposed reclassification of AIDs to form a 68 69 continuum of diseases ranging from pure autoimmune to pure inflammatory diseases with a 70 number of diseases displaying variable degrees of both autoimmune and inflammatory disorders ⁷. This is further sustained by immune markers common to several diseases, such 71 as cytokines, which are currently targeted in therapeutics^{8,9}. The complexity of these 72 diseases, due to the various genetic and environmental factors as well as patient 73 74 heterogeneity, prompted the scientific community to reconsider research practices with a 75 view to a more integrative approach. In particular, AIDs are associated with multiple and 76 variable immune-related disorders, including dysregulation of the innate immune response 77 or of the adaptive immune response or of both. Systems biology approaches raise the hope that a more comprehensive understanding of cells and tissues in health and disease will 78 open up new avenues for the treatment of patients ^{10,11}. These approaches will transform 79 80 disease taxonomies from syndromic classification to molecular classification, and their 81 combination, and will allow physicians to select optimal therapeutic regimens for individual 82 patients ¹². Recent studies have successfully identified molecular signatures associated with specific autoimmune diseases ^{4,13-16} as well as in physiological and pathological contexts ¹⁷⁻ 83 19 84

Those results led us to setup an observational clinical trial, TRANSIMMUNOM, (NCT02466217) the main goal of which was to revisit the nosology of AIDs through a systems immunology approach. TRANSIMMUNOM participants include patients diagnosed with one out of 19 selected AIDs or one out of 5 control diseases (Figure 1), and healthy donors with no history of autoimmune disorders. The systems immunology approach used a multi-scale deep immunophenotyping on peripheral blood (including transcriptome, TCR repertoire, cytokine expression) and microbiome NGS studies. Importantly, classic routine

4

biology assays as well as clinical investigations are fully part of the data collection strategy.
Our aim was to integrate all these data (biology, routine biology and clinical data) so as to
allow further cross-analysis of all patients and data to better characterize the immunome of
each patient regardless of the initial diagnosis. A similar strategy was initiated by the
National Institute of Allergy and Infectious Diseases (NIAID) under the Human Immunology
Project Consortium.

98 2 OBJECTIVES AND OUR CONTRIBUTION

99 Therefore, we needed to develop data integration approaches to efficiently record and 100 store collected data such that we could easily analyze them afterwards through 101 computational biology approaches. The first challenges of the project were to implement a 102 comprehensive case report form (CRF) covering all diseases in terms of clinical data and biomarkers and to provide a user-friendly, vocabulary-controlled and not expensive 103 104 platform with standard vocabulary to record all data collected by the clinical assistant during patient interviews. To meet these challenges, we assembled the multidisciplinary "Cohort 105 106 Management Team (CMT)" composed of clinicians from different specialties, nurses, biology 107 medical doctors, clinical trial methodologists, immunologists and computer scientists.

Here we present our electronic CRF (eCRF), designed using an open-source electronic data capture (EDC) tool, capturing more than 5000 multiparametric coded values from 865 harmonized clinical and biological parameters per subject included in a multi-disease clinical trial focusing on 24 diseases, 22 areas of clinical investigation and one vast set of routine biology assays. Altogether, we believe that this effort could be of interest for small cohort studies for which the commercially available eCRF services are not accessible.

114 **3 MATERIAL AND METHODS**

115 **3.1 Study population**

Patients with one of the following AIDs, of the AID continuum are recruited for TRANSIMMUNOM trial (Figure 1): familial mediterranean fever (FMF), ulcerative colitis, Crohn's disease, spondyloarthritis, uveitis, myositis (polymyositis, dermatomyositis, inclusion-body myositis, necrotizing and anti-synthetase related myositis), ANCA-related 120 vasculatis (Churg-Strauss' disease and granulomatosis with polyangiitis (ex Wegener), non-121 ANCA-related vasculitis (such as Behçet's disease, cryoglobulinaemia and Takayasu), 122 rheumatoid arthritis (RA), type-1-diabetes and systemic lupus erythematosus (SLE). We also 123 included patients with diseases exhibiting symptoms similar to those of some AIDs but 124 linked to different gene mutations (control diseases), such as TRAPS and CAPS as a control for FMF, or diseases with a similar autoimmune mechanism with overlapping 125 126 clinical/biological features, such as antiphospholipid syndrome (APLS) as a control of for SLE, 127 or degenerative diseases that do not have the same mechanism as AIDs such as 128 osteoarthritis for RA and muscular dystrophy for myositis. Finally, healthy volunteers are 129 included.

130 3.2 Cohort Management Team

131 Set up to interact with a Clinical Expert Consortium (CEC), a Cohort Management Team 132 (CMT) of biological experts, routine laboratory personnel, clinical trial methodologists and 133 clinical investigation centre harmonized the clinical and laboratory outcomes/results. The 134 CMT ensured that all required data are collected in an appropriate format for analyses and 135 that the questions are unambiguous. The computer scientist defined the data and metadata 136 structure required to minimize non-controlled data entry and to specify the expected 137 values. The overall design was supervised by an immunologist involved in the scientific part 138 of the clinical trial, who liaised between the clinicians and the computer scientist.

139 **3.3 Data collection for eCRF design and coding**

Each clinician received an Excel form to be filled in with the description of the item to be recorded in a standardized manner: item ID, item value type (string, decimal); list of predetermined item values; item value unit (if applicable); item value range (if applicable). Afterwards, all the data collected from the different specialties were grouped and harmonized using CDISC standards.

145 **3.4 OpenClinica implementation**

Given the amount of data to be collected across 19 AIDs and 5 control diseases, OpenClinica
v3.14, an open-source CFR-part 11 compliant electronic data capture platform has been
selected for the design and capture of selected clinico-biological data. A test and production

instances have been installed on dedicated and secured CentOS virtual machines with 16Go
RAM, 8 cores and 15 Go disk space each. OpenClinica's application server (Tomcat v9.0.6)
and database server (PostgreSQL v.9.5) parameters have been upgraded to fit multiple
simultaneous data entry and data extraction, in particular JAVA_OPTS for heap memory
have been upgraded to 8 Go instead of 1Go Mo by default.

154 **3.5 Patient anonymization**

To have completely anonymized subjects who are also unique (no double entries for the same subject in our database because of anonymity) we developed the Anonymized Subject Unification (ASU) system as a completely autonomous system that can be used for any clinical trial. Briefly, ASU takes advantage of a unique identifier of each subject (like Paris Hospital patient number [NIP] or French healthcare registration number [INSEE]) to produce a simple 4-letter code by using a one-way encryption technique.

161 **4 RESULTS**

162 **4.1 OpenClinica as the compromise in designing a multi-disease**

163 **eCRF**

164 The TRANSIMMUNOM observational trial targeted recruitment of 1,000 patients suffering from one out of 24 diseases and healthy controls. During a single visit, patient medical 165 166 history and clinical investigations are performed together with the collection of samples 167 (blood, serum and feces) for further multi-omics analyses. The goal of the trial is to revisit 168 the nosology of AIDs by defining groups/clusters of patients based on clinical and molecular signatures that cut across disease classification. To deal with the expected amount of 169 170 heterogeneous (such as disease severity scores, imaging data, biological measures) from 171 routine clinical investigations, and to allow the cross-evaluation of clinical and omics data, 172 we needed to develop an eCRF with a system that allows further omics data integration. We 173 selected OpenClinica (OC) as an electronic data capture (EDC) tool to support our eCRF design. OC is an open-source CRF-part 11 compliant EDC able to design complex eCRFs for 174 large studies ^{20,21}. One of the major features of OC is to rely on Clinical Data Acquisition 175 176 Standards Harmonization (CDASH) from the Clinical Data Interchange Standards Consortium

(CDISC)²², which allows the harmonization of clinical and biological data coding. Finally, OC 177 includes the mandatory validation of all recorded data to ensure data quality ²⁰. In addition, 178 179 the main strategy of TRANSIMMUNOM is to cross-analyze data from multiple AIDs, each of 180 which is usually characterized by particular clinical investigation records and biological data measures. We anticipated the final cross-analysis, which would require the same 181 information for all the diseases. Finally, the eCRF had to follow regulatory guidelines and 182 183 Good Clinical Practices to ensure data entry, traceability and integrity throughout the 184 patient recruitment period. Although installation and implementation of OC is not trivial, as 185 it requires computer science expertise and time, we decided to favour the landscape of 186 possibilities offered by OC to fulfil our study requirement.

4.2 A multidisciplinary workflow ensuring the design of a robust

188

multi-disease eCRF

189 Expertise in different but converging fields was pooled in the CMTs, each of which 190 participated in a 3-step workflow to (1) define the protocol, (2) design and (3) validate the 191 eCRF (Figure 2). The first step of protocol definition involved a Clinical Expert Consortium 192 (CEC) to define the list of items for all the patients with the aim of collecting exactly the 193 same information regardless of the disease. All the clinical specialists together selected a 194 sample of items per specialty so that the CRF was reasonably comprehensive and synthetic. 195 Biology lab experts were also questioned to ensure the feasibility of sample drawing and of 196 the required biology assays. Upon collection and validation of the actual items to be 197 recorded, the specification of the database started with the design of an e-template where 198 the computer scientist structured the information for each item by imposing the format of 199 the data and metadata. Once the e-template was defined, we proceeded to the eCRF 200 design: the CEC, in close collaboration with the computer scientist, designed the clinical 201 coding of clinical investigation data following an unambiguous format for each item with 202 maximized use of a predefined list of responses in order to avoid erroneous data entry. 203 Biology lab experts defined for each parameter measured the value type (string, integer, 204 decimal, Boolean), as well as the unit and range, when applicable. All the information was 205 summarized in a spreadsheet and converted by the computer scientist into a PostgreSQL 206 relational database following the OpenClinica structure. Finally, clinical research technicians

evaluated the user-friendliness of the eCRF, the clinical research assistant evaluated the
item relationship constraints, and finally the CMT validated the eCRF with a patient "Zero"
simulation before release for production.

210 4.3 An integrated multi-disease eCRF

211 As AIDs belong to different medical specialities, the CEC comprised clinicians working in 212 rheumatology, internal medicine, gastroenterology, diabetology, ophthalmology, medical 213 biology, nephrology and genetics who ensured the feasibility of data collection in terms of 214 cost, patient morbidity and examination invasiveness. The list of information to be collected 215 for all the participants was organized in 4 categories. For each recorded item, we defined 216 the type of value such as free text field, free numerical field, automated calculation, check-217 box, drop-down list and calendar/date field (Figure 3). The first group of CRFs was built 218 under the "Patient description" category and included classic clinical information required 219 to assess the biology and social environment of the patient. Altogether, we selected 70 220 items organized as 7 CRFs (Figure 3A & Supplementary material). Each CRF collects 4 to 30 221 different items. The second set of CRFs focuses on "Common clinical monitoring" and was 222 organized as 5 CRFs collecting generic clinical data at the day of the visit and accounting in 223 all for 88 items (Figure 3B & Supplementary material). The third category explore the 224 "Routine biology monitoring" (Figure 3C, Supplementary material & Table 1) and covered a 225 wide spectrum of tests.

Hematology	Biochemistry	Protein electrophoresis	Urine	Immunochemistry	Genetic	Serology
			analysis			
Basophils	25-OH Vitamin D	Albuminemia	Creatinuria	ANA	B cell clonality	HIV
Eosinophils	Alkaline phosphatases	Alpha 1 globulin	Hematuria	ANCA	HLAB27	
ESR	ALT	Alpha 2 globulin	Proteinuria	Anti-CCP	HLAB51	
Ferritin	AST	Beta globulin		Anti-dsDNA	HLADR4	
Hematocrite	Calcium	Gamma globulin		Anti-EJ	HLADR8	
Hemoglobin	CH50	Protein electrophoresis		Anti-ENA		
ron	Cholesterol	peaks		Anti-GAD		
Leucocytes	C-Peptide			Anti-HM CR		
Lymphocytes	СРК			Anti-IA2		
M CH	Creatinine			Anti-Jo1		
MCHC	Dyslipidemia			Anti-OJ		
M CV	GGT			Anti-PL12		
Monocytes	Glycemia			Anti-PL7		
Neutrophils	HDL			Anti-SRP		
Platelet	LDL			Anti-ZNT8		
Red blood cells	Phosphate			ASCA		
Transferrin	Triglyrecides			C3		
Transferrin saturation	us-CRP			C4		
				CH 50		
				Cryoglobulin		
				Anti-KU		
				Anti-MAD5		
				Anti-MI2		

	Anti-PM/Scl Rheumatoid factor Anti-TIF 1 gamma Anti-RNP	
--	--	--

Table 1: List of routine biology assay in the TRANSIMMUNOM trial

227 Table abbreviation legend: ALT - alanine aminotransferase, ANA - antinuclear antibodies, ANCA - anti-228 neutrophil cytoplasmic antibodies, Anti-CCP - anti-cyclic citrullinated peptide antibodies, Anti-dsDNA - Anti-229 double stranded DNA antibodies, Anti-EJ - anti-glycyl-transfer RNA synthetase antibodies, Anti-ENA - anti-230 extractable nuclear antigens antibodies, Anti-GAD - anti-glutamic acid decarboxylase antibodies, Anti-HMGCR -231 anti-3-hydroxy-3-methylglutaryl-coenzyme A reductase antibodies, Anti-IA2 - anti-Islet antigen-2 antibodies, 232 Anti-Jo1 - anti-histidy tRNA synthetase antibodies, Anti-Ku - anti- Ku antigen antibodies, Anti-MDA5 -233 melanoma differentiation-associated gene 5 antibodies, Anti-MI2 - anti-Mi-2 antibodies, Anti-OJ - anti-234 isoleucyl-tRNA synthetase antibodies, Anti-PL7 - anti-threonyl-tRNA synthetase antibodies, Anti-PL12 - anti-235 alanyl-tRNA synthetase antibodies, Anti-PM/Scl - anti- nucleolar macromolecular complex PM/Scl, Anti-RNP -236 anti-nuclear ribonucleoprotein antibodies, Anti-SRP - anti-signal recognition particle antibodies, Anti-TIF1-237 gamma – anti-transcriptional intermediary factor 1-gamma antibodies, Anti-ZnT8 -anti-zinc transporter 8 238 antibodies, ASCA - anti-Saccharomyces cerevisiae antibodies, AST - aspartate aminotransferase, C3 -239 complement fraction 3, C4 - complement fraction 4, CH50 - total complement activity, CPK - Creatinine 240 phosphokinase, ESR - erythrocyte sedimentation rate, GGT - gamma-glutamyl transferase, HDL - high-density 241 lipoprotein, HIV - Human Immunodeficiency Virus, HLA-B27-B51-DR4-DR8 Human leukocyte antigen -B27-B51-242 DR4-DR8, LDL - low-density lipoprotein, MCH - mean corpuscular haemoglobin, MCHC - mean corpuscular 243 hemoglobin concentration, MCV - mean corpuscular volume, us-CRP - ultrasensitive c-reactive protein.

244

245 These included biological assessment of organ function (liver, kidney, bone marrow) and of 246 inflammation state and safety, organized in 6 CRF and covering 91 parameters. Finally, the 247 last set of CRFs recorded "Disease-specific monitoring" data and was subdivided into 3 CRFs 248 (Figure 3D & Supplementary material) capturing 616 items, including disease activity scores 249 as described in Table 2. This is thought to be as wide as possible in identifying clinical 250 parameters not usually collected in a particular disease including imaging and histology 251 features to allow the identification of disease profile, disease severity and features possibly 252 shared by diseases. Each clinician of the CEC identified a collection of features observed in 253 his/her specialty as classic or rare parameters. The CMT gathered all the parameters from 254 the different specialties and listed them in the clinical status and clinical evaluation CRFs. 255 Altogether, we selected 865 parameters to describe each patient regardless of the disease.

Disease	Diagnostic criteria	Activity score	
Familial Mediterranean Fever/TRAPS/CAPS	Heller ²³ Gene mutation: MEFV; TNFRSF1; NLRP3	AIDAI 24	
Ulcerative colitis	clinical and histological features	Mayo ²⁵	
Crohn's disease	clinical and histological features	HBI ²⁶	

Spondyloarthritis	ASAS ²⁷	BASDAI ²⁹
	Modified New-York criteria	
Uveitis	non-infectious uveitis	NA
Myositis/dystrophy	clinical and biological	NA
Vasculitis	Behcet's disease ICBD ³⁰ Churg-Strauss ACR ³¹ Cryoglobulinemia ³² Wegener ACR ³³ Takayasu ACR ³⁴	BVAS ³⁵ NIH ³⁶
Rheumatoid Arthritis	ACR; EULAR ³⁷	DAS-28 ³⁹
Osteoarthritis	Kellgren-Lawrence ³⁸	
Type-1-Diabetes	ADA 40	IDAA1C ⁴¹
Systemic Lupus Erythematosus /	ACR 42,43	SLEDAI ^{45,46}
Anti-phospholi pid syndrome	Sapporo 44	

Table 2: Disease specific diagnostic criteria and activity score

257 4.4 Clinical coding and CDASH harmonization

258 Because of the heterogeneity of the selected parameters, clinical coding was designed as an 259 unambiguous format based on CDASH standards with maximized use of a predefined list of 260 responses, and was developed as a pragmatic, clinically-validated medical terminology with 261 an emphasis on ease-of-use data entry, retrieval and data analysis. We therefore defined 262 and validated for each parameter, wherever possible, the data-type (numerical, text, date, 263 predefined lists of options, value ranges) and units (when applicable) for all the parameters 264 identified in order to harmonize the information regardless of the collection time and 265 person and to avoid errors due to mistyping. Examples are Yes/No check boxes for clinical 266 investigation, numerical values with a list of relevant units according to the parameter, 267 disease scores as a result of the automated sum of several scores, treatment description 268 including the coding of possible formulas, doses and dosage regimens (Table 3). We then 269 coded all the possible/expected values that each item could take and identified 1 to 8 270 possible variables per item coded as one of the value type. This work was especially critical 271 for the description of patient treatments. The list of all possible treatments regimen within 272 each specialty was fully generated with clinicians and is available in the database as a menu 273 list of 637 variables. Altogether, we built a database with 3815 uniquely coded variables. 274 However, since clinical status and evaluation of several diseases share identical CRFs, we 275 reached 5835 possible variables per patient. Altogether, we designed a collection of 21 276 CDASH harmonized CRFs recording 865 parameters with 5835 coded variables systemically 277 for all the patients and healthy donors included in the TRANSIMMUNOM trial.

eCRF	Coded question	Coded answer	Value type
Medical History	Medical parameter > AID family history	Presence YES or NO	•
Hematology	Clinical parameter > Leucocyte count	Numerical (min-max) unit Decimal (4 - 10) 10 ⁹ /L	123
Specific activity score	Disease > Ulcerative colitis	Disease score calculation Mayo Score (Sum of 3 scores)	× ×
Treatment	Medicine name > Azathiopine	Formula Dosage Posology Tablet 25/50 mg 1/2/3mg/kg/day	▼ 123 abc

278 Table 3: Clinical item coding

For 5 exemplary eCRF, a coded question and expected coded answer are described with the type of values to be entered following Figure 3 legend.

281 **5 DISCUSSION**

282 Clinical data management is of utmost importance for any clinical study. This includes 283 clinical information collection, validation and storage, usually completed through the use of 284 CRFs. While generally clinical research organizations (CROs) propose and use eCRFs, most 285 academic sponsored clinical studies still take advantage of the cost-benefit of paper versions 286 of CRFs, sometimes in combination with Excel based databases²⁰. However, such tools, 287 although convenient, lack validation and data traceability. In addition, they do not usually

288 use harmonized vocabulary and allow free text data entry. These drawbacks were 289 particularly counterproductive in our multi-disease clinical trial from several points of view. 290 First, the main goal of our trial is cross-analysis of multi-omics data obtained with clinical 291 and lab biology data from 1,000 patients selected for one out of 24 AIDs or control diseases. 292 Therefore, we needed an efficient and homogenized set of clinical and routine biology lab 293 for all the patients, which led to the selection of 865 parameters and to the coding of more 294 than 5000 values. This vast amount of data would have been unmanageable using classic 295 paper CRFs and spreadsheets. Second, the amount of information to be collected requires a 296 thorough validation with automated rules and limited free text data entry to avoid 297 mistyping and errors. Again, this cannot be handled using classic methods. Third, for cross-298 analysis, we need to be able to extract clinical and routine biology data efficiently so that we 299 can filter for parameters as variables of interest (such as gender, BMI, disease activity, 300 autoantibody level). Again, considering the number of patients to be recruited in the 301 TRANSIMMUNOM trial, this would have been impossible. And finally, as regards the disease 302 heterogeneity, it would have been too expensive and complicated to ask an eCRF provider 303 to design such integrated CRFs. For all these reasons, we decided to take advantage of an 304 open-source EDC, Open-Clinica, for the implementation of our eCRFs. Although we 305 anticipated that the design and computer-based requirements would be time-consuming, 306 we found in this tool a number of advantages that allow (i) the integration of a very 307 significant amount of multi-parametric data, (ii) the possibility to design constraints rules 308 between entries to control data entry errors, associated with red flags in the case of errors 309 (for instance a man cannot be pregnant), (iii) the validation of the data entry by a third 310 person who double-checks (the latter advantages being CFR 21 - part 11 compliant) and (iv) 311 the addition of short instructions on the CRF page when needed to guide the data entry and 312 explain to the investigator how to fill in the eCRFs.

Altogether, this choice allowed the design of a controlled series of CRFs using harmonized vocabulary to record data across 19 AID patients, 5 control disease patients and healthy donors. This was made possible by the workflow we dedicated to the project, going from the selection of parameters to be collected for all patients regardless of the disease to the coding of all possible values per parameter in a harmonized manner based on CDASH coding. 26 persons were involved in the process, including 14 clinicians, 1 computer scientist, 7 scientists, 3 clinical research technician and assistant as well as 2 medical biologists for more than 100 hours of meetings and discussion over a year and a half. Clinical data coding has the enormous advantages that it (i) pools reported terms in medically meaningful groups, (ii) facilitates identification of common data sets for evaluation of clinical information, (iii) supports consistent retrieval of specific cases or medical conditions from a clinical database and (iv) smooths electronic data interchange of clinical safety information.

326 Finally, our CRFs covers a wide spectrum of clinical and routine biology data of interest for 327 most AIDs, offering the community a pre-designed set of CRFs that can be used together or 328 individually. Although clinical safety was not added to our set of CRFs, because of the non-329 interventional nature of the TRANSIMMUNOM trial, this could easily be done if needed. This 330 complex set of data has been harmonized and the database designed to store and query 331 efficiently the massive amount of data stored. Altogether, a truly multidisciplinary 332 endeavour led to the design and implementation a collection of 21 CRFs capturing more 333 than 5000 coded values that are now used in TRANSIMMUNOM and could benefit the 334 academic clinical community studying AIDs.

Acknowledgments: We are grateful to Frédéric Mariotti as an informatic subcontractor for helping in the implementation and maintenance of the OpenClinica instance and to the OpenClinica community, in particular Gerben Rienk Visser from Trial Data Solution for providing help in OpenClinica parameter setup.

Funding: The work of RL, ID, CR, SH, CA, DK, EMF is funded by the LabEx Transimmunom
(ANR-11-IDEX-0004-02) as well as by Assistance Publique-Hôpitaux de Paris and Sorbonne
Université. TRiPoD funded the TCR-relevant part of the study.

342 Author contributions: RL, ID, CR, CA, AS, DK and EMF composed the Cohort Management 343 Team (CMT) for the design and implementation of the eCRF. RL, ED, PC, AH, BB, DS, FB, GG, 344 PR, OB, KM, JS, PS, MR, CB formed the Clinical Expert Consortium (CEC) and defined the 345 selected clinical and biological data. RL and CR wrote the CRF in agreement with the CMT 346 recommendations. ID performed the computer science part of the work. CM, SH, TO worked 347 on the eCRF validation. EMF coordinated the design and implementation. EMF and RL wrote 348 the manuscript with input from all authors. EMF and DK conceived and supervised the 349 entire work.

350 **Competing interests**: Authors have no competing interests to declare.

351 6 FIGURES

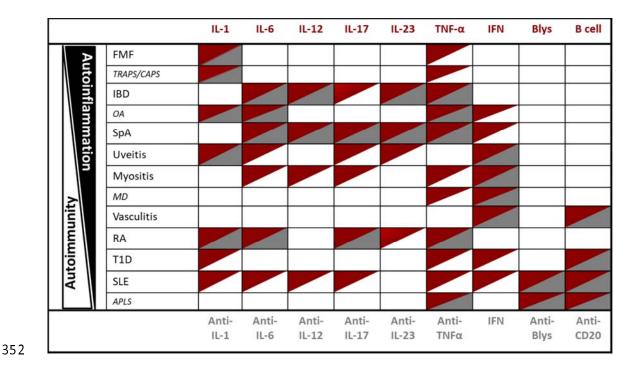
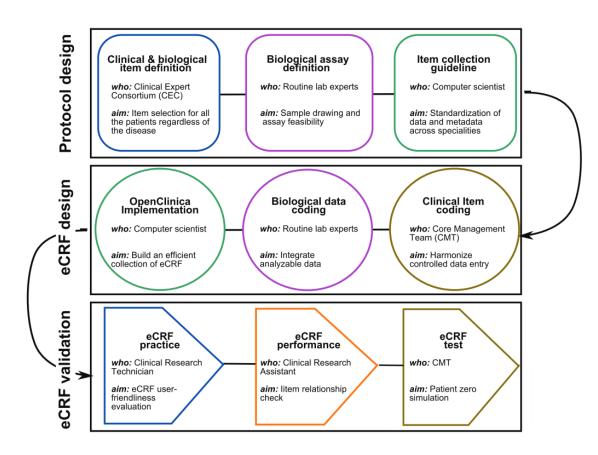


Figure 1: TRANSIMMUNOM selected AIDs and control diseases share immune markers and therapeutic strategies

355 This table shows the list of AIDs selected for the TRANSIMMUNOM trial, belonging to the AID continuum and 356 their association with cytokines modulation (red) as well as immunotherapies targeting immune markers 357 (grey). Abbreviation legend: Diseases: APLS anti-phospholipid syndrome, CAPS cryopyrin associated periodic 358 syndromes, FMF familial mediterranean fever, IBD - inflammatory bowel disease, MD muscular dystrophy, OA 359 osteoarthritis, RA rheumatoid arthritis, SLE systemic erythematosus lupus, SpA spondyloarthritis, T1D type 1 360 diabetes, TRAPS tumor necrosis factor receptor-associated periodic syndrome. Cytokines : IFN interferon, IL-1 361 interleukin-1, IL-6 interleukin-6, IL-12 interleukin-12, IL-17 interleukin-17, IL-23 interleukin-23, TNF-α tumor 362 necrosis factor alpha. Immunotherapies: Anti-BLyS anti-BLyS monoclonal antibody, Anti-CD20 anti-CD20 363 monoclonal antibody Anti-L-1 anti-interleukin-1 monoclonal antibody, Anti-L-6 anti -interleukin-6 364 monoclonal antibody, Anti-IL-12 anti-interleukin-12 monoclonal antibody, Anti-IL-17 anti-interleukin-17 365 monoclonal antibody, Anti-IL-23 anti-interleukin-23 monoclonal antibody, Anti-TNFa tumor necrosis factor 366 alpha-blockers.





368

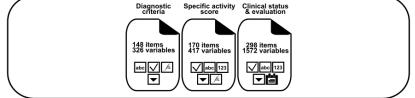
369 Figure 2: eCRf workflow

The figure represents the 3-steps workflow adopted for the eCRF design and implementation: (1) Protocol design, (2) eCRF design and (3) eCRF validation. In each box are listed the actions, its aim and the person in charge of it. Color code: Blue: clinical team, purple: biological team, green: computer scientist, and orange: the trial means and the person in the computer scientist, and orange: the

trial monitor team and brown the Core management team (see methods for description).

374

Α PATIENT DESCRIPTION Legend Demography Life style Medical History Treatment Educational level Serological Status Eligibility Criteria Check box abc free text field drop-down list 1 items 3 variables 4 items 19 variables 4 items 12 variables 4 items 4 variables 14 items 28 variables 30 items 637 variables 13 items 26 variable 123 free numerical field A automatic calculation \checkmark \checkmark abc 🗸 $\overline{}$ 🗸 abc 123 ▼ abc 當 calendar/date field **–** /x В COMMON CLINICAL MONITORING Vital signs Weight/Height ECG Quality of life Physical Visual Analog scale Examination 3 items 3 variables items variables 8 items 10 variables 48 items 228 variable 24 items 48 variabl 123 /x V abc 123 123 123 🗸 $\overline{}$ С ROUTINE BIOLOGY MONITORING Biochemistry Genetic Hematology Protein electrophoresis Urine analysis Immuno-chemistry 18 items 103 variable 3 items 18 variables 18 items 108 variabl 6 items 31 variables 41 items 207 variables 5 items 10 variables 123 🗸 123 🗸 123 🗸 123 🗸 abc 123 🔻 123 🗸 D DISEASE SPECIFIC MONITORING



375

376 Figure 3: Schematic representation of the TRANSIMMUNOM integrated eCRF

Four categories of eCRFs were designed (A-D). Each category is composed of several eCRFs (form icon), each of which contained the indicated number of items for which 1 to 8 variables were coded. The type of values are indicated in the square boxes (see legend), so as to check-box, free text field, drop-down list, free numerical field, automatic calculation and calendar/date field. Altogether, 865 items were coded resulting in 5835 variables organized in 21 eCRFs. See Supplementary material for details on eCRF

382 7 REFERENCES

- 383 1. Getts DR et al. *Immunol. Rev.* **255**, 197–209 (2013).
- 384 2. Farh KK-H et al. *Nature* **518**, 337–343 (2014).
- 385 3. Allenbach Y et al. *Am J Pathol* **174**, 989–98. (2009).
- 386 4. Allenbach Y et al. *PLoS One* **9**, e88788 (2014).
- 387 5. Buckner JH Nat Rev Immunol 10, 849–59 (2010).
- 388 6. Rosenzwajg M et al. *Curr. Diab. Rep.* **14**, 553 (2014).
- 389 7. McGonagle D et al. *PLoS Med.* **3**, (2006).
- 390 8. Magyari L et al. World J. Orthop. 5, 516–536 (2014).
- 391 9. Moran EM et al. *Clin. Exp. Immunol.* **178**, 405–415 (2014).
- 392 10. Germain RN et al. Annu. Rev. Immunol. 29, 527–585 (2011).

- 393 11. Pepperkok R et al. *Genome Biol.* **9**, 314 (2008).
- 394 12. Bielekova B et al. *Front. Neurol.* 5, (2014).
- 395 13. Chaussabel D et al. Nat. Rev. Immunol. 14, 271–280 (2014).
- 396 14. Chaussabel D et al. *Immunity* **29**, 150–164 (2008).
- 397 15. Saadoun D et al. N. Engl. J. Med. 365, 2067–2077 (2011).
- 398 16. Terrier B et al. *Arthritis Rheum*. **64**, 2001–2011 (2012).
- 399 17. Godec J et al. *Immunity* **44**, 194–206 (2016).
- 400 18. Nehar-Belaid D et al. J. Immunol. **196**, 678–690 (2016).
- 401 19. Querec TD et al. *Nat. Immunol.* **10**, 116–125 (2009).
- 402 20. Franklin JD et al. J. Biomed. Inform. 44, S103–S108 (2011).
- 403 21. Leroux H et al. Stud. Health Technol. Inform. 168, 89–95 (2011).
- 404 22. http://www.cdisc.org ICD
- 405 23. Livneh A et al. *Arthritis Rheum.* **40**, 1879–1885 (1997).
- 406 24. Piram M et al. Ann. Rheum. Dis. 73, 2168–2173 (2014).
- 407 25. Lewis JD et al. Inflamm. Bowel Dis. 14, 1660–1666 (2008).
- 408 26. Harvey RF et al. Lancet Lond. Engl. 1, 514 (1980).
- 409 27. Rudwaleit M et al. Ann. Rheum. Dis. 68, 777–783 (2009).
- 410 28. van der Linden S et al. Arthritis Rheum. 27, 361–368 (1984).
- 411 29. Garrett S et al. J. Rheumatol. **21**, 2286–2291 (1994).
- 30. International Team for the Revision of the International Criteria for Behçet's Disease
 (ITR-ICBD) J. Eur. Acad. Dermatol. Venereol. JEADV 28, 338–347 (2014).
- 414 31. Masi AT et al. *Arthritis Rheum.* **33**, 1094–1100 (1990).
- 415 32. Quartuccio L et al. *Rheumatol. Oxf. Engl.* **53**, 2209–2213 (2014).
- 416 33. Leavitt RY et al. *Arthritis Rheum.* **33**, 1101–1107 (1990).
- 417 34. Arend WP et al. Arthritis Rheum. 33, 1129–1134 (1990).
- 418 35. Stone JH et al. Arthritis Rheum. 44, 912–920 (2001).
- 419 36. Kerr GS et al. Ann. Intern. Med. 120, 919–929 (1994).
- 420 37. Aletaha D et al. *Arthritis Rheum.* **62**, 2569–2581 (2010).
- 421 38. Kellgren JH et al. Ann. Rheum. Dis. 16, 494–502 (1957).
- 422 39. van der Heijde DM et al. Ann. Rheum. Dis. 49, 916–920 (1990).
- 423 40. American Diabetes Association *Diabetes Care* **35 Suppl 1**, S11-63 (2012).
- 424 41. Mortensen HB et al. *Diabetes Care* **32**, 1384–1390 (2009).
- 425 42. Petri M Rheum. Dis. Clin. North Am. **31**, 245–254, vi (2005).
- 426 43. Hochberg MC *Arthritis Rheum.* **40**, 1725 (1997).
- 427 44. Wilson WA et al. *Lupus* **10**, 457–460 (2001).
- 428 45. Bombardier C et al. Arthritis Rheum. 35, 630–640 (1992).
- 429 46. Petri M et al. Lupus 8, 685–691 (1999).
- 430