

1 **The impacts of landscape composition, marginality, and climatic stability on the**
2 **patterns of endemism of Cerrado woody plants**

3

4 **Running title:** Endemism and climate of the Cerrado

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27 **Conflict of interest:**

28 The authors have no conflicts of interest to declare.

29

30 **Author contributions:**

31 JDV designed the study, performed the analysis, and wrote the manuscript; APS and IK

32 assisted with the discussion of results and wrote the manuscript.

33

34 **Biosketch**

35 João de Deus Vidal Jr. is a postdoctoral researcher in the Laboratory of Genetics and
36 Molecular Analysis at Universidade Estadual de Campinas. He is interested in spatial patterns
37 of diversity of Neotropical plants, with a special focus on the role of past climatic changes in
38 the generation of modern patterns of diversity, at both community and population levels.

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44

45 **ABSTRACT**

46 **Aim:** Many theories were proposed to explain the outstanding endemism of plants in the
47 Cerrado. Among the diversification mechanics suggested, the most widely discussed are the
48 plateau/valley, stable/unstable, and core/peripheral hypotheses. The first argues that plateaus
49 harbor more ancient lineages than valleys and should therefore present higher levels of
50 endemism. The second theory suggests that climate stability in certain regions promoted
51 more suitable environments for the maintenance of paleoendemic species. The last scenario
52 attributes the distribution of endemism in the Cerrado to gradients of optimal conditions
53 available to locally adapted species, predicting higher endemism levels in core regions of the
54 domain than in marginal areas. We compared the endemism patterns of Cerrado flora with
55 the predictions of each theory to discuss their role in the distribution of endemism nuclei
56 across the domain.

57 **Location:** Brazil.

58 **Time period:** Quaternary.

59 **Major taxa studied:** Angiosperms.

60 **Methods:** We generated an endemism map using records of 174 woody plant species,
61 comprising most vegetation types of the Cerrado domain. We applied spatial analysis and
62 distribution models to summarize the importance of each predictor of endemism.

63 **Results:** We found correlations among endemism, elevation, and marginality, supporting the
64 plateau/valley and core/peripheral hypotheses. Although plateaus are more stable climatic
65 environments, their elevation and centrality, not their climatic stability, predict higher
66 endemism. Our vegetation models using simulated datasets supported the expansion of
67 Cerrado plateau vegetation and retraction in valleys during the Last Glacial. However, real
68 occurrence data models for gallery and riverine forests indicated that species in valleys
69 presented contrasting responses to past climatic shifts.

70 **Main conclusions:** We identified regions of higher plant endemism within the Cerrado
71 domain and verified elevation and centrality as stronger predictive factors of this endemism
72 compared with climatic stability. Our results also indicate that different vegetation types
73 within valleys presented different responses to the Quaternary climate.

74

75 **Keywords:** Biogeography, Community ecology, Species distribution modeling, Last Glacial

76 Maximum, Savanna, SDTF

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110

111 INTRODUCTION

112 The Cerrado is the largest savanna and the second largest major bioregion in South
113 America, with a complex and unique biogeographic history. The Cerrado harbors more plant
114 species than any other savanna in the world (> 7000 species; Mendonça et al., 1998), with
115 over 44% of its flora being endemic, making it the richest tropical savanna in the world in
116 this regard (Klink & Machado, 2005). The Cerrado landscape is divided into ancient plateaus,
117 which are large extension areas with relatively high elevations (500–1700 m), and peripheral
118 valleys, which are lower elevation regions (100–500 m) that surround the highlands (Silva,
119 1997; Silva & Bates, 2002). These landscape unities present different biotic compositions and
120 geological histories, indicating that their biogeographical histories were also distinct. The
121 biota of valleys is heterogeneous, composed of gallery, riverine, and dry forests, marshlands,
122 and enclaves of species from adjacent biomes (Silva & Bates, 2002), while plateaus present
123 the savanna as a dominant vegetation type (Silva, 1997).

124 The outstanding diversity of plant species in the Cerrado is a topic of present
125 discussion in Neotropical biogeography. Many theories have been proposed to explain the
126 high degree of endemism found for several taxa, but due to the variety of responses of
127 organisms and the limited studies concerning this environment (Turchetto-Zolet, Pinheiro,
128 Salgueiro, & Palma-Silva, 2013), no prevailing process acting on the biogeographic history
129 of this domain has emerged thus far. Regarding the currently discussed theories, three
130 particular hypotheses of diversification are the most supported scenarios: (1) the geographic
131 compartmentalization of the landscape in highlands and depressions of the Late Miocene as a
132 driver of allopatric speciation between disjoint landscape units; (2) the differences in
133 Pleistocene climatic stability along regions within the domain promoting the differential
134 maintenance of diversity in stable and unstable regions; and (3) the gradient of optimal
135 environmental conditions between the core and periphery of the Cerrado that promoted lower

136 extinction rates in nuclear regions, which favored the maintenance of endemism in the center
137 of the domain. Although they are not mutually exclusive, the relative importance of these
138 theories remains a major focus of debate because most individual patterns of taxa seem to
139 support different scenarios (e.g., Turchetto-Zolet et al., 2013).

140 The first hypothesis attributes differential endemism across the Cerrado to the older
141 geological origin of plateau formations, which date from the Late Tertiary, while valleys are
142 the result of recent erosive processes (Ab'Sáber, 1983; Silva, 1997; Werneck, 2011). Given
143 this scenario, ancient geomorphological processes acting on these environments might have
144 promoted the isolation of older lineages in the highlands. Consequently, the recently formed
145 valleys were gradually occupied by neoendemic species (Silva, 1997). Therefore, for this
146 hypothesis, higher elevation regions are expected to present more endemism than
147 depressions. This model is consistent with endemism patterns reported for birds (Silva,
148 1997), plants of the genus *Mimosa* (Simon & Proença, 2000), and squamate lizards
149 (Nogueira, Ribeiro, & Costa, 2011).

150 In addition to the geomorphological processes of the Late Miocene, the Pleistocene
151 climate was also proposed as a major driver of diversification of species and lineages within
152 the Cerrado (Werneck, Nogueira, Colli, Sites, & Costa, 2012; Santos, Nogueira, & Giugliano,
153 2014; Bueno et al., 2017; Arruda, Schaefer, Fonseca, Solar, & Fernandes-Filho, 2018; Costa
154 et al., 2018). This hypothesis is closely associated with both plateau/valley and
155 core/periphery hypotheses, as both valleys (Ab'Sáber, 1983) and marginal regions (Costa et
156 al., 2018) of the Cerrado were more sensitive to Quaternary climatic shifts than highlands or
157 the central regions of the domain, as suggested by their pollen record and the frequent
158 presence of species from adjacent biomes (Ledru, 2002; Costa et al., 2018). In this scenario,
159 during cycles of cold and dry climates, the distribution of moist vegetation from valley
160 environments underwent strong retractions. By contrast, the plateau vegetation expanded into

161 valleys, possibly even penetrating the modern distribution of adjacent domains (van der
162 Hammen, 1974). Indeed, stable climatic regions are considered to predict diversity at both
163 interspecific (Werneck, 2011) and intraspecific levels (Carnaval & Moritz, 2008; Carnaval,
164 Hickerson, Haddad, Rodrigues, & Moritz, 2009), as areas presenting less stable
165 environmental conditions are more prone to face local extinction (Harrison & Noss, 2017).

166 The third hypothesis argues that core regions of the Cerrado historically presented
167 relatively more optimal conditions for the maintenance of regionally adapted populations of
168 its species, supporting the diversification of endemics through time (Soule, 1973; Eckert,
169 Samis, & Lougheed, 2008). In this scenario, nuclear regions of the Cerrado are expected to
170 show higher levels of endemism, whereas communities in peripheral regions are more prone
171 to undergo stochastic events of local extinction, given the exposure of populations of species
172 to sub-optimal conditions in comparison with nuclear regions (Soule, 1973). An example
173 supporting this scenario is the genetic diversity of populations of the squamate lizards of the
174 genus *Micrablepharus* (Santos et al., 2014).

175 Multiple species niche models are useful for detecting general patterns, which are
176 important for assembling large-scale scenarios. Large-scale hypothesis testing for the
177 identification of refugia has been conducted for several regions around the world, with
178 important biogeographic outcomes (Thuiller, 2004; Svenning, Normand, & Kageyama,
179 2008). Mostly, single species patterns or simulated dataset models have been applied in past
180 distributional reconstructions for the Cerrado (Collevatti et al., 2012; Werneck et al., 2012;
181 Lima, Telles, Chaves, & Lima-Ribeiro, 2017; Arruda et al., 2018), while multiple species
182 approaches are less common (de Siqueira & Peterson, 2003; Bonaccorso, Koch, & Peterson
183 2006; Bueno et al., 2017). These studies addressed future climatic changes based on a
184 conservation biology approach (de Siqueira & Peterson, 2003), simulated the history of
185 Amazonian forest fragmentation and the potential occupation of open areas by Cerrado

186 species (Bonaccorso et al., 2006) and reconstructed the distribution of woody savanna and
187 seasonally dry tropical forests (SDTF) vegetation under past scenarios (Bueno et al., 2017).
188 These studies also identified an important relationship between landscape composition and
189 shifts in the distribution of the savanna during glaciations (Bueno et al., 2017). However,
190 none of these studies included simulations that explicitly incorporated the differences in the
191 abiotic and biotic compositions of valleys and plateaus. Here, we compared the levels of
192 endemism of the woody flora of the Cerrado domain with the three scenarios proposed to
193 explain its diversification. We tested whether higher elevations, central regions or
194 climatically stable areas show higher levels of endemism. In addition, we modeled real and
195 simulated occurrence data of woody plants from valley and plateaus to test the scenarios of
196 retraction/expansion of different vegetation types occurring in these landscapes during glacial
197 cycles.

198

199 **METHODS**

200 **Species occurrence data and endemism calculation**

201 To generate the maps of endemism for woody species of the Cerrado, we gathered
202 occurrence data for 63 savanna species, 54 SDTF species, 36 gallery forest species, and 21
203 riverine forest species (Table 1). We elaborated the species list for savanna, gallery and
204 riverine forests based on the NeoTropTree database (Oliveira-Filho 2017), the Cerrado
205 physiognomy description published by Ribeiro and Walter (1998), and the SDTF catalogue
206 proposed by Prado and Gibbs (1993). The savanna list included species solely present in
207 Cerrado arid woodlands following the NeoTropTree database. The SDTF species list
208 included all valid species listed in Prado and Gibbs (1993), not considering infraspecific
209 classification. The riverine forest species list and the gallery forest species list included
210 typical species of each vegetation type, following the classification system proposed by

211 Ribeiro and Walter (1998). We considered riverine forests and gallery forests to be different
212 vegetation types due to their composition and the restricted distribution of riverine forests in
213 association with major rivers of the domain (Ribeiro & Walter, 1998; Silva & Bates, 2002).
214 We excluded species present in multiple vegetation types to reduce the bias of widespread
215 species in models. We retrieved species occurrence data from GBIF using the R package
216 “rgbif” (Chamberlain, Ram, Barve, & Mcglinn, 2016). To ensure the quality of the data, we
217 adopted search parameters that restrict data without original coordinates and with spatial
218 issues. A full list of material data sources is presented in Appendix 2. We manually checked
219 points by plotting individual maps and discarding outliers. We also discarded species with
220 poor occurrence information or a low number of registries (< 10 registries).

221 We generated a map of weighted endemism using the algorithm proposed by Guerin,
222 Ruokolainen, and Lowe (2015), applying a geographic grid with 0.25×0.25 degrees cells to
223 generate the presence/absence matrix. We calculated the number of unique species that
224 occurred in each cell and weighted this statistic for each species by dividing it by the number
225 of grid cells in which they occurred (Guerin et al., 2015). By doing so, we expected to reduce
226 the bias of widespread generalist species in the estimates of endemism.

227

228 **Endemism correlation with elevation, marginality and climate**

229 To evaluate the relative climatic stability of plateaus and valleys, we divided the
230 entire Cerrado domain into highlands (≥ 500 m elevation) and depressions (< 500 m
231 elevation). We randomly sampled 1000 points for each landscape unity and extracted values
232 of 19 WorldClim bioclimatic variables (Hijmans, Cameron, Parra, Jones, & Jarvis, 2005) to
233 compare the present environmental conditions between areas. To compare climatic stability,
234 we sampled the same random points for past climatic scenarios (mid-Holocene, Last Glacial
235 Maximum and Last Interglacial; Hijmans et al., 2005). We calculated the variances of each

236 environmental variable of plateaus and valleys and compared them using a bootstrap
237 approach by sampling 1000 repetitions of the variances and comparing the significance of
238 outcomes with Welch's t-test (Welch, 1938) for each variable in each landscape unit.

239 To test the correlation of endemism with elevation and marginality, we extracted
240 values of elevation and distance from the Cerrado shape centroid for 1000 random points. We
241 extracted the values of endemism for these same points and fitted them into linear models
242 using Pearson's product-moment correlation test to estimate the significance of these
243 associations. To test the relationship between endemism and stability, we fitted a generalized
244 linear model with endemism as a response variable, with the variances of each of the 19
245 bioclimatic variables between the present, mid-Holocene, LGM, and LIG as predictors, as
246 well as elevation and distance to the core. We then compared the p-values of each predictor
247 to discuss their relative importance.

248

249 **Historical vegetation distribution**

250 To test the historical climate hypothesis, we assessed whether plateau vegetation
251 expanded or retracted during glaciations and whether the response of valleys was the
252 opposite, as proposed in the refugia scenario. We generated models for simulated points of
253 valleys and plateaus and for the real occurrence of species associated with gallery forests,
254 riverine forests, SDTF and savanna (Table 1). We sampled 1000 random points from all 19
255 Worldclim bioclimatic variables to calculate Pearson's pairwise correlation and discard
256 variables with a high correlation (≥ 0.75). We obtained a set of 12 less correlated variables:
257 annual precipitation, precipitation seasonality, precipitation of the driest quarter, precipitation
258 of the warmest quarter, precipitation of the coldest quarter, mean diurnal range, isothermality,
259 temperature seasonality, maximum temperature of the warmest month, mean temperature of
260 the wettest quarter, mean temperature of the driest quarter, and elevation. For past scenarios

261 (mid-Holocene, Last Glacial Maximum and Last Interglacial), we used the variables from
262 MIROC-ESM and CCSM4-scaled climatic models (Hijmans et al., 2005). We averaged
263 models between both scenarios (MIROC-SM and CCSM4) and presented a consensus model
264 for each of these time periods. For the Last Interglacial (LIG; ~120,000 – 140,000 years BP),
265 we adopted a projection of bioclimatic values from WorldClim following Otto-Bliesner et al.
266 (2006).

267 We used the maximum entropy machine-learning algorithm Maxent v. 3.3.3 (Phillips,
268 Anderson, & Schapire, 2006) to model the potential distribution for each species and for the
269 simulated datasets. We processed the models and bioclimatic layers using R packages
270 “dismo” (Hijmans, Phillips, Leathwick, & Elith, 2013) and “raster” (Hijmans & van Etten,
271 2014). For species distribution models, we used 1000 background points for each species to
272 estimate background information with pseudo-absences. The model performance was
273 evaluated by AUC-ROC values. We converted present models into binary models by
274 establishing a threshold based on the lower presence training (LPT) value from which a
275 presence point is recorded in the actual data for the present model (Pearson, Raxworthy,
276 Nakamura, & Townsend Peterson, 2007). Then, we applied this same procedure to past
277 scenarios using the present model threshold. We summarized information from models by
278 counting per species presence cells for each scenario reconstruction. To facilitate comparison
279 between species, we converted the values into percentages by dividing areas by the larger
280 range registered for each species. In so doing, we were able to detect under which climatic
281 scenario a species reached the maximum range of its distribution, and we were able to
282 compare glacial and interglacial distributions of species. We also combined all binary
283 models, for each species and for the whole dataset, to identify individual- and community-
284 level stable areas. The same approach was implemented for the simulated datasets.

285

286 **RESULTS**

287 **Species list and endemism calculation**

288 In our survey, we obtained 9452 registries for 54 SDTF species, 1085 occurrence
289 records for 21 riverine forest species, and 6903 records for 36 gallery forest species. For the
290 savanna (*Cerrado sensu stricto*), we recovered 9145 occurrences for 63 species (Appendices
291 S1, S2, S3 and S4). Our estimates of weighted endemism for the Cerrado domain returned
292 higher levels of endemism in the states of Minas Gerais, Goiás, Mato Grosso, Mato Grosso
293 do Sul, São Paulo, and Bahia (Figure 1). We were able to identify endemism nuclei in (1)
294 Chapada dos Guimarães, (2) Serra do Roncador, (3) Araguaia Valley, (4) Chapada das Emas,
295 (5) Serra dos Pirineus, (6) Chapada dos Veadeiros, (7) Chapada do São Francisco, (8)
296 Planalto do Distrito Federal, (9) Serra do Cipó, and (10) Serra de Botucatu.

297

298 **Endemism correlation with elevation, marginality and climate**

299 All 19 bioclimatic variables analyzed presented significant differences between
300 valleys and plateaus (Table 1). A total of 16 variables (84%) presented lower variances for
301 plateaus than for valleys, suggesting that plateau climatic conditions were slightly more
302 stable during the time periods that we compared. The other three variables displayed lower
303 variances in valleys. Welch's two-sample t-test returned p-values lower than 0.01 for all
304 comparisons. We observed a positive correlation for elevation and endemism, while distance
305 to the core and endemism showed a negative correlation (Figure 2).

306

307 **Historical vegetation distribution**

308 The AUC for simulated datasets models was 0.736 for plateaus and 0.722 for valleys.
309 For these data, our results recovered a pattern of glacial expansion for plateaus, while valleys
310 presented glacial retraction in terms of suitability (Figure 3). In fact, the lowest proportional

311 distribution for valleys and the highest for plateaus occurred during the LGM (Figure 4). For
312 real occurrence data, average AUC values were 0.892 for SDTF models, 0.956 for riverine
313 forest models, 0.879 for gallery forest models and 0.915 for savanna models, indicating high
314 predictive accuracy of models. We found that 38% of savanna species presented their
315 maximum range during glacial periods, while gallery species, riverine species and SDTF
316 species presented variable patterns (Figure 5; Appendices S1, S2, S3 and S4). For the forest
317 vegetation types, 81% of the modeled riverine species displayed wider distribution ranges
318 during interglacial periods. SDTF and gallery forests presented an opposite pattern to that of
319 the riverine forests, with 72% of gallery forest species and 54% of SDTF species presenting
320 their wider distribution during the LGM. With respect to the savanna, we found that 65.08%
321 of the species presented wider LGM ranges than the present distribution. Combined models
322 for all species binary distributions resulted in community-level reconstructions of vegetation
323 types (Figure 5). For these combined reconstructions, we also observed a similar response of
324 a wider occurrence of SDTF and gallery forests during the LIG and LGM, while the savanna
325 presented a more local expansion within the Cerrado. Riverine forests, in comparison,
326 presented a slightly narrower distribution during the LGM and were greatly restricted during
327 the LIG.

328 The potential distribution of species from the different vegetation types indicated that
329 suitable areas for the occurrence of species from the savanna, gallery forests, and riverine
330 forests increased from LIG to LGM. Expansion for the savanna, however, was much more
331 subtle than that for the gallery and riverine forests. In comparison, SDTF models showed a
332 clearer retraction in overall species potential distribution, with a notable retraction in the
333 LGM. From the mid-Holocene to the present scenario, potential areas for all vegetation types
334 decreased.

335

336 **DISCUSSION**

337 We generated endemism maps for Cerrado woody plants and compared the patterns
338 observed with biogeographical theories of the Cerrado, which assumed plateau/depression,
339 stability/instability and core/peripheral processes involved in the generation of endemism.
340 We demonstrated that the climate presented an overall higher stability in the plateaus than in
341 the valleys. This stability, however, showed a weak correlation with endemism. Additionally,
342 we reconstructed the distribution of these species and environments for the recent Quaternary
343 period. We managed to link the distribution of higher endemism nuclei to elevation and
344 centrality, reinforcing the importance of these elements in the biogeographic processes that
345 generated the Cerrado diversity. For vegetation history reconstructions, we concluded that the
346 savanna, a vegetation type associated with plateaus, expanded during the Last Glacial period,
347 while the different valley vegetation types presented variable responses. With respect to
348 valleys, SDTF retracted during the Last Glacial period, while riverine forests and gallery
349 forests expanded. Moreover, we found evidence that plateaus configure stable scenarios for
350 most climatic variables, but this stability is not necessarily linked with higher levels of
351 endemism. In sequence, we discuss our results within the context of the climate changes,
352 tectonic history and biogeographic processes of the domain.

353

354 **Elevation and marginality as predictors of endemism**

355 The identification of nuclei of endemism in Chapada dos Guimarães, Chapada dos
356 Veadeiros, Serra do Cipó, and Distrito Federal is consistent with endemism patterns
357 identified for other taxa (Simon & Proença 2000; Nogueira et al., 2011). Most of these areas
358 are located at high elevation, with a single valley region (Vale do Araguaia) identified as
359 highly endemic, supporting the results of our correlation analysis. Elevation, along with
360 landscape compartmentalization, is considered an important driver of diversification

361 worldwide, as differences in elevation often promote isolation, a key process for speciation
362 (Slaton, 2015). Although the concentration of endemism levels of plants at high elevations
363 has already been suggested on a global scale (Steinbauer et al., 2016), a finer scale pattern for
364 the Cerrado, as we presented here, reinforces the role of this relationship for this specific
365 domain, as previously suggested (e.g., Fiaschi & Pirani, 2009).

366 In addition to elevation, marginality is also an important predictor of endemism. This
367 pattern might be explained by the fact that species that are adapted to a particular
368 environment tend to present higher survivability, reproductive success and population growth
369 under their optimal conditions (Eckert et al., 2008). These conditions are gradually
370 distributed across space and are expected to be more available in nuclear areas of
371 distributions. This result is also supported by the higher historical suitability of nuclear region
372 habitats for plants of the Cerrado, proposed by Bueno et al. (2017) and Costa et al. (2018).
373 The real importance of interactions between marginality and endemism is controversial,
374 however, as differential performances for nuclear populations have not been demonstrated by
375 the available studies so far (Abeli, Gentili, Mondoni, Orsenigo, & Rossi, 2014; Pironon,
376 Villellas, Morris, Doak, & García, 2015). However, we were able to demonstrate a
377 convergence of endemism and centrality for the Cerrado. Hence, these variables are not
378 independent of each other; due to the uplift of the Central Brazilian plateau in the late
379 Miocene, the central region of Brazil displays considerably higher elevations than the
380 marginal regions of the Cerrado (e.g., Werneck, 2011).

381

382 **Climate dynamics in the Cerrado, vegetation changes and climatic refugia**

383 The plateaus presented higher climatic stability for most (16) of the environmental
384 variables included in our analysis. Valleys, comparatively, only presented lower variances
385 than plateaus for annual mean temperature, precipitation seasonality, and precipitation of the

386 warmest month. The absence of a correlation between climatic stability and endemism is
387 justified by the fact that although the climatic component is a major driver of species
388 distribution, it does not determine the species distribution by itself. Distributions and range
389 shifts are determined by complex interactions between abiotic and biotic components and
390 species mobility (Soberón & Peterson, 2005). Therefore, constraints to dispersal, such as the
391 heterogeneous landscape composition of the central Brazilian region, most likely configured
392 fundamental barriers, shaping the distribution of the flora of the Cerrado throughout the
393 Quaternary.

394 For the potential distribution models, we observed very similar patterns for simulated
395 and real occurrence datasets of the savanna and plateau points, supporting the close
396 association of this vegetation with highlands in the Cerrado. Our results differed, however,
397 with the widespread savanna scenario in the LIG with posterior glacial retraction proposed by
398 Bueno et al. (2017). Their models indicated a retraction for plateaus of the savanna during the
399 LGM, which was interpreted as evidence of the role of the valleys as refugia during
400 glaciations. Our models, in contrast, suggested a progressive retraction from the LGM to the
401 present, with no significant glacial retraction, coinciding with the pollen registry for Central
402 West Brazil (van der Hammen, 1974). Our interpretation of our results is that interglacial
403 climates enhanced humidity, instead of glacial aridity, promoting retraction of the range of
404 most species from the savanna formations of the Cerrado into interglacial refugia; this
405 scenario was previously proposed for the lineage diversification of highland restricted dry-
406 adapted taxa in the Cerrado, such as *Pilosocereus aurisetus* (Bonatelli et al., 2014) and
407 *Tibouchina papyrus* (Collevatti et al., 2012).

408 While simulated dataset models fit the previously suggested (Werneck, 2011)
409 scenario of glacial expansion under plateau and retraction under valley environmental
410 conditions, real occurrence data models agreed with the savanna expansion but showed a

411 variety of responses for the different valley vegetation types. For the glacial scenario, most
412 savanna, gallery forest, and riverine forest species presented expansion, while SDTF
413 retracted. The closest relationships of riverine forests and gallery forests with rivers and
414 watersheds (Ribeiro & Walter, 1998) are potential indicators that these species, although also
415 occurring in valleys, show different tolerance and adaptation than species of SDTF. This may
416 also be interpreted as an effect of the heterogeneity of climatic conditions observed for valley
417 landscapes, which comprise more complex environmental gradients than plateaus. Our
418 models support this assumption, indicating that even though the overall environmental space
419 in valleys decreased during the LGM, riverine and gallery forests were able to expand their
420 ranges. With respect to the mid-Holocene (6000 ybp), the driest phase of Central Brazil, most
421 regions in South America maintained their vegetation types or experienced the advance of
422 more arid/dry vegetation types (Marchant et al., 2009; Costa et al., 2018). As the savanna is
423 the dominant vegetation type in plateaus (Silva & Bates, 2002; Werneck, 2011), the overall
424 stability of savanna models during the climatic scenarios recovered for our models supports
425 the assumption of more stable climates for these regions compared to valleys.

426 Among the vegetation types of valleys, riverine forests and gallery forests showed
427 different responses than SDTF, which was coincident with the simulated dataset model for
428 valleys. STDF models were consistent with a scenario of glacial retraction, followed by mid-
429 Holocene expansion. Previous distribution models for SDTF also refuted the proposal of a
430 wider distribution for this vegetation type during glacial periods (Werneck, Costa, Colli,
431 Prado, & Sites, 2011). Our results differ from those of Werneck et al. (2011) by suggesting
432 the expansion of SDTF during the LGM into the eastern corridor of the Amazon Basin, which
433 was a scenario previously proposed by Pennington, Prado and Pendry (2000). The
434 discrepancy between the simulated models and the species models is mostly associated with
435 the fact that taxa associated with plateaus or valleys are not restricted to their environments,

436 as species often occur in different habitats than those to which they are mostly adapted, due
437 to ecological plasticity (e.g., Hortal, Triantis, Meiri, Thébault, & Sfenthourakis, 2009). Using
438 real occurrence data, we were able to identify a wide corridor in the eastern Amazon,
439 potentially suitable for most savanna, SDTF and gallery forest species throughout the LGM,
440 as well as gradually reduced suitability over the last 22,000 years. Although we noticed that
441 models of species from the savanna and SDTF responded similarly to the simulated models,
442 gallery and riverine forests did not. The variety of vegetation types occurring in valleys is
443 also an indicator of the higher habitat diversity (Silva & Bates, 2002) and, possibly, of a
444 complex biogeographic history, which may not be fully explained by a single vegetation
445 response model.

446

447 **CONCLUSION**

448 We conclude that climatic stability alone is not able to predict endemism distribution
449 in the Cerrado, which is mostly strongly correlated with the elevation and centrality of the
450 environments in this domain. The majority of endemics is concentrated in higher elevation
451 nuclear regions, while peripheral depressions present lower endemism levels. The Quaternary
452 climate was indeed more stable in plateaus than in valleys, which demonstrates the overlap of
453 these hypotheses. We conclude that the three hypotheses interacted in the origin and
454 distribution of the diversity of the domain, with different magnitudes. Additionally, we
455 demonstrate how the different vegetation types of the Cerrado responded individually to the
456 climatic shifts of the last 130,000 years. We expect our results to provide new hypotheses and
457 possible scenarios for further investigations about how past climatic changes affected the
458 endemism distribution of Neotropical biota. By demonstrating that vegetation types across a
459 domain may present differential responses to climatic changes, we recommend that
460 approaches in reconstructions aiming to estimate the impacts of future climatic changes on

461 wider scales take in account the different patterns of responses of these vegetation types.

462 Additionally, we recommend further studies on community-level approaches, as we

463 implemented here, with other organisms, to test the extent of our interpretations.

464

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- 621

622 **Data accessibility statement:** The weighted endemism raster data generated in this study are
623 available as raster grids from the Pangaea database: [https://issues.pangaea.de/browse/PDI-](https://issues.pangaea.de/browse/PDI-17312)
624 [17312](https://issues.pangaea.de/browse/PDI-17312). The GBIF material list used for the endemism maps and models is available as
625 supplementary material.
626

627 **Table 1.** Mean values and confidence intervals of the variances of 19 bioclimatic variables
 628 for valley and plateau environments in the Brazilian Cerrado based on 1000 bootstrap
 629 replicates. Variances were calculated by comparing cell values for 1000 random points within
 630 each landscape unity for the present, mid-Holocene (6,000 years before present), Last Glacial
 631 Maximum (22,000 years before present), and Last Interglacial (130,000 years before present).
 632 *t-values*: t-values calculated with a paired t-test. (*) p-value > 0.01.

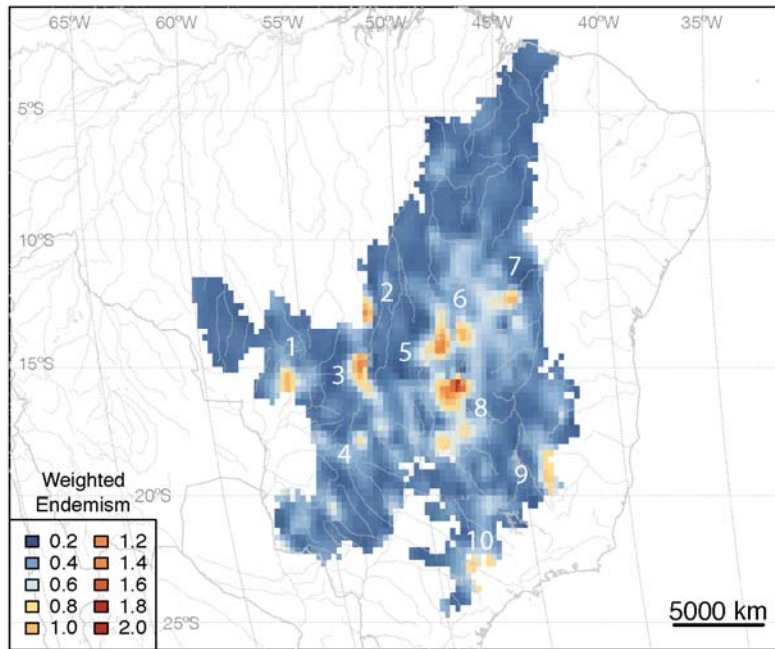
| Variable | Description | Valleys | | Plateaus | | t-value |
|----------|-------------------------------------|---------|--------|----------|--------|---------|
| | | Mean | 95% ci | Mean | 95% ci | |
| bio1 | Mean Annual Temperature | 386 | 6 | 392 | 7 | 27 * |
| bio2 | Mean Diurnal Range | 132 | -19 | 113 | -20 | -221 * |
| bio3 | Isothermality | 22 | -5 | 16 | -5 | -440 * |
| bio4 | Temperature Seasonality | 28558 | - | 20304 | - | -538 * |
| bio5 | Max. Temperature of Warmest Month | 2 | 82242 | 0 | 82843 | -538 * |
| bio5 | Min. Temperature of Coldest Month | 569 | 47 | 615 | 46 | 152 * |
| bio6 | Annual Temperature Range | 909 | -232 | 676 | -234 | -501 * |
| bio7 | Mean Temperature of Wettest Quarter | 468 | -139 | 329 | -140 | -469 * |
| bio8 | Mean Temperature of Driest Quarter | 282 | 47 | 329 | 46 | 287 * |
| bio9 | Mean Temperature of Warmest Quarter | 820 | -115 | 705 | -116 | -252 * |
| bio10 | Mean Temperature of Coldest Quarter | 422 | -6 | 416 | -7 | -31 * |
| bio11 | Annual Precipitation | 555 | -29 | 525 | -30 | -81 * |
| bio12 | Precipitation of Wettest Month | - | - | - | - | - |
| bio12 | Precipitation of Driest Month | 89629 | 18262 | 71271 | 18455 | -373 * |
| bio13 | Precipitation of Driest Month | 3440 | -1174 | 2263 | -1180 | -764 * |
| bio14 | Precipitation Seasonality | 137 | -44 | 92 | -45 | -313 * |
| bio15 | Precipitation of Wettest Quarter | 194 | -45 | 149 | -45 | -304 * |
| bio16 | Precipitation of Driest Quarter | 25724 | -9928 | 15775 | -9970 | -923 * |
| bio17 | Precipitation of Warmest Quarter | 1871 | -623 | 1245 | -630 | -338 * |
| bio18 | Precipitation of Coldest Quarter | 46822 | -9568 | 37211 | -9655 | -431 * |
| bio19 | Precipitation of Coldest Quarter | 58886 | 28905 | 29912 | 29043 | -821 * |

633

634 **Table 2.** Generalized linear model for endemism, elevation, marginality and variances of
 635 bioclimatic variables among the Last Interglacial, Last Glacial Maximum, mid-Holocene and
 636 present.

| | Description | Estimate | Std. error | t-value | Pr(> t) | |
|-------------|-------------------------------------|-----------|------------|---------|----------|----|
| (Intercept) | | 9.14E-02 | 4.24E-02 | 2.156 | 0.031 | |
| | | 1.30E-04 | 1.39E-05 | 9.406 | <2e-16 | * |
| Elevation | | -1.13E-07 | 1.01E-08 | 11.18 | <2e-16 | ** |
| Marginality | Distance to centroid | 1.09E-05 | 2.48E-04 | 0.044 | 0.964 | |
| bio1 | Mean Annual Temperature | -2.09E-04 | 2.54E-04 | 0.825 | 0.409 | |
| bio2 | Mean Diurnal Range | -4.65E-04 | 5.89E-04 | 0.789 | 0.429 | |
| bio3 | Isothermality | 1.28E-08 | 4.26E-08 | 0.301 | 0.763 | |
| bio4 | Temperature Seasonality | -7.00E-05 | 3.97E-05 | 1.763 | 0.078 | |
| bio5 | Max. Temperature of Warmest Month | -7.21E-05 | 7.96E-05 | 0.906 | 0.365 | |
| bio6 | Min. Temperature of Coldest Month | 8.14E-05 | 7.38E-05 | 1.104 | 0.269 | |
| bio7 | Annual Temperature Range | 1.14E-04 | 8.39E-05 | 1.354 | 0.175 | |
| bio8 | Mean Temperature of Wettest Quarter | -4.92E-05 | 8.67E-05 | 0.567 | 0.570 | |
| bio9 | Mean Temperature of Driest Quarter | 4.50E-05 | 8.47E-05 | 0.532 | 0.595 | |
| bio10 | Mean Temperature of Warmest Quarter | 1.04E-04 | 1.01E-04 | 1.028 | 0.304 | |
| bio11 | Mean Temperature of Coldest Quarter | 1.12E-06 | 1.08E-06 | 1.04 | 0.298 | |
| bio12 | Annual Precipitation | 4.85E-06 | 8.27E-06 | 0.586 | 0.557 | |
| bio13 | Precipitation of Wettest Month | -2.86E-04 | 3.44E-04 | 0.833 | 0.404 | |
| bio14 | Precipitation of Driest Month | 2.01E-05 | 2.77E-05 | 0.073 | 0.942 | |
| bio15 | Precipitation Seasonality | -2.31E-06 | 2.11E-06 | 1.097 | 0.273 | |
| bio16 | Precipitation of Wettest Quarter | 8.16E-06 | 2.94E-06 | 0.277 | 0.781 | |
| bio17 | Precipitation of Driest Quarter | 2.17E-07 | 1.33E-07 | 1.63 | 0.103 | |
| bio18 | Precipitation of Warmest Quarter | | | | 2 | |

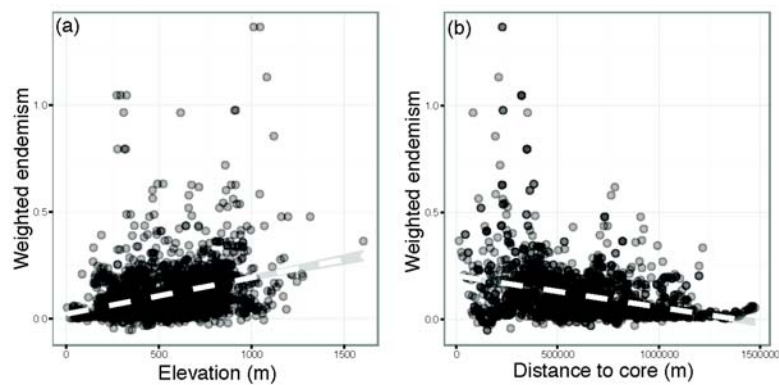
| | | | | | | |
|-----|-------|----------------------------------|----------|----------|-------|-------|
| 637 | bio19 | Precipitation of Coldest Quarter | 6.99E-08 | 9.61E-08 | 0.727 | 0.467 |
|-----|-------|----------------------------------|----------|----------|-------|-------|



638

639 **Figure 1.** Weighted endemism (Guerín et al., 2015) map for woody species of the Cerrado
640 domain. Grid cells are 0.25×0.25 degrees wide. Map in Mollweide projection. Numbers
641 indicate geographic regions with higher endemism, identified as the following: (1) Chapada
642 dos Guimarães; (2) Serra do Roncador; (3) Araguaia Valley; (4) Chapada das Emas; (5) Serra
643 dos Pirineus; (6) Chapada dos Veadeiros; (7) Chapada do São Francisco; (8) Planalto do
644 Distrito Federal; (9) Serra do Cipó; (10) Serra de Botucatu.

645



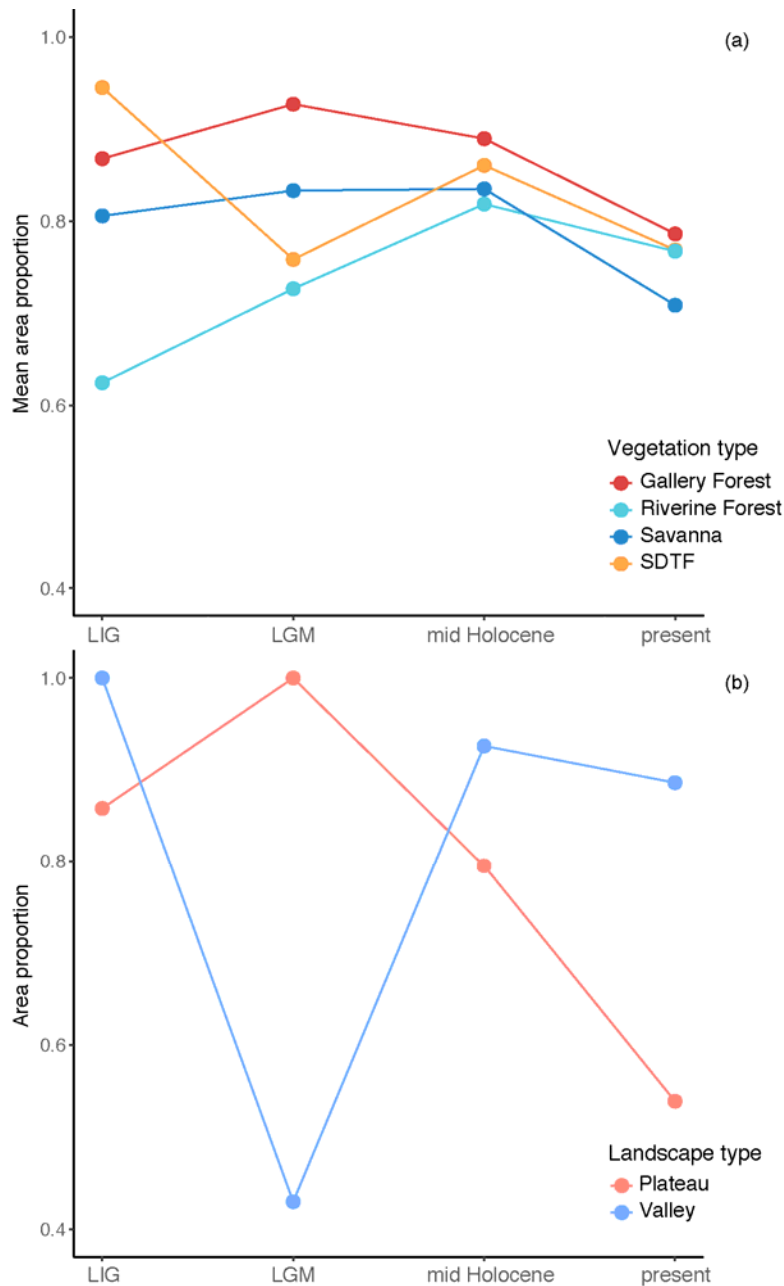
646

647 **Figure 2.** Linear models showing the correlation between weighted endemism, elevation, and

648 distance to the core. (a) Weighted endemism vs. elevation; (b) Weighted endemism vs.

649 distance to the core.

650



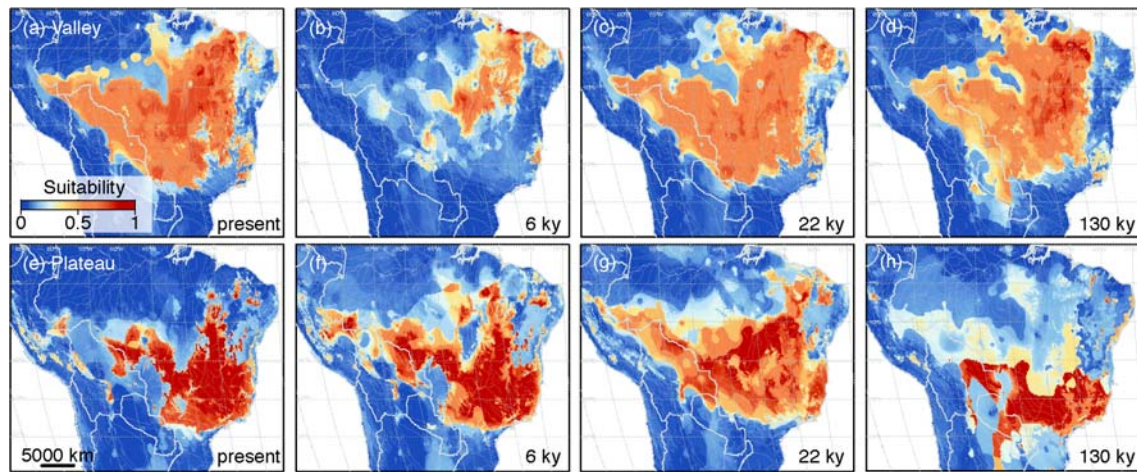
651

652 **Figure 3.** Potential suitable area for vegetation types of the Cerrado and environmental
653 spaces for valley and plateau biotas based on simulated and real occurrence species dataset
654 models of presence/absence generated with Maxent (Phillips et al., 2006). (a) Mean area
655 proportion of species for each vegetation type calculated by the relative size of the predicted
656 presence area compared to the observed maximum range for each landscape unit among the

657 four modeled scenarios. (b) Estimated environmental space proportion for plateaus and

658 valleys among the four modeled scenarios.

659



660

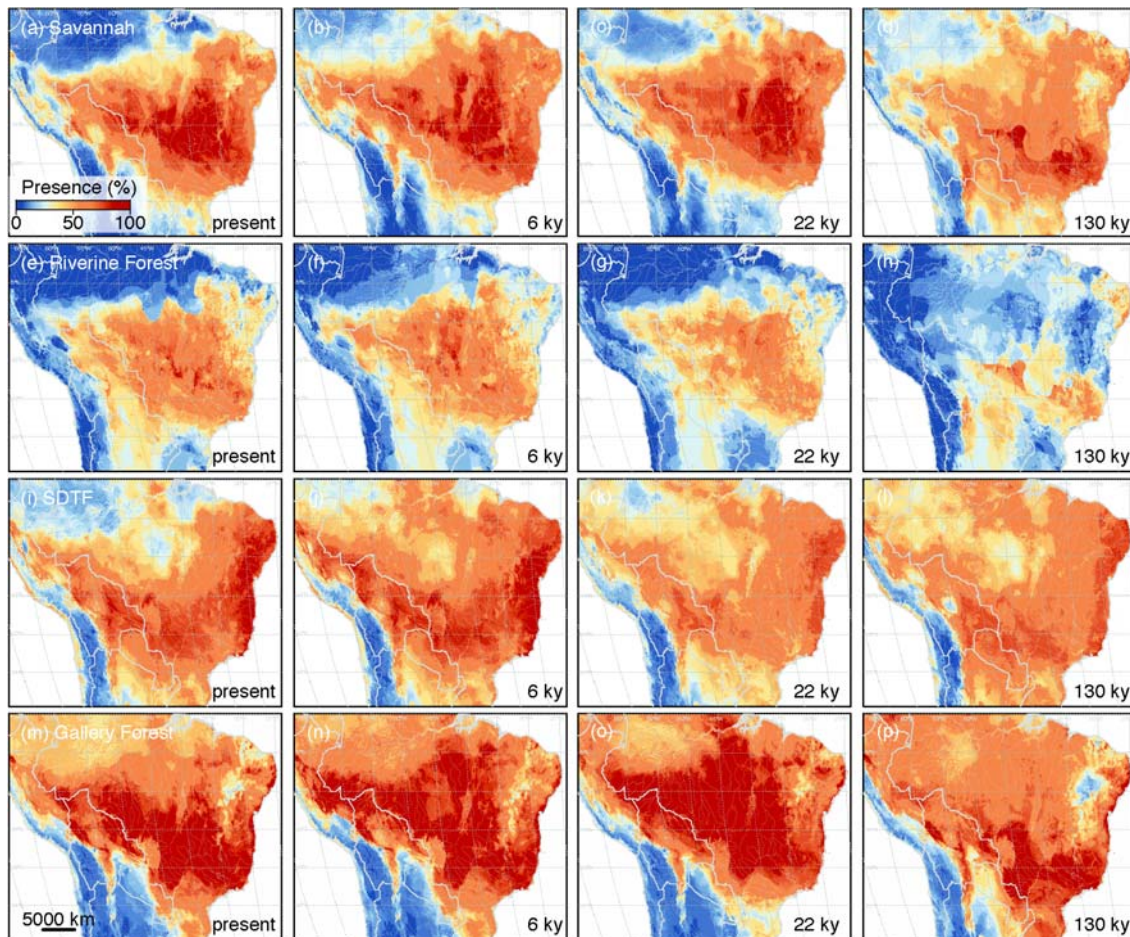
661 **Figure 4.** Potential suitable area for valley and plateau biotas of the Cerrado domain based on

662 simulated dataset models of presence/absence generated with Maxent (Phillips et al., 2006).

663 The area proportion is the relative size of the predicted presence compared to the maximum

664 observed range for each landscape unit among the four modeled scenarios.

665



666

667 **Figure 5.** Potential suitable area for valley and plateau biotas of the Cerrado domain based on

668 simulated dataset models of presence/absence generated with Maxent (Phillips et al., 2006).

669 The area proportion is the relative size of the predicted presence compared to the maximum

670 observed range for each landscape unit among the four modeled scenarios.

671

672