1   **Genomic signatures of honey bee association in an acetic acid symbiont**

3   Eric A. Smith[1] and Irene L. G. Newton[1*]

5   [a]Department of Biology, Indiana University, Bloomington, Indiana, USA

6   *Author for Correspondence: Irene L. G. Newton, Department of Biology, Indiana University,

7   Bloomington, Indiana, USA, (812) 855-3883, irnewton@indiana.edu

Abstract

Honey bee queens are central to the success and productivity of their colonies; queens are the

only reproductive members of the colony, and therefore queen longevity and fecundity can

directly impact overall colony health. Recent declines in the health of the honey bee have startled

researchers and lay people alike as honey bees are agriculture's most important pollinator. Honey

bees are important pollinators of many major crops and add billions of dollars annually to the US

economy through their services. One factor that may influence queen and colony health is the

microbial community. Although honey bee worker guts have a characteristic community of bee-

specific microbes, the honey bee queen digestive tracts are colonized by a few bacteria, notably

an acetic acid bacterium not seen in worker guts: *Bombella apis.* This bacterium is related to

flower-associated microbes such as *Saccharibacter floricola* and other species in the genus

Saccharibacter, and initial phylogenetic analyses placed it as sister to these environmental

bacteria. We used comparative genomics of multiple honey bee-associated strains and the nectar-

associated *Saccharibacter* to identify genomic changes associated with the ecological transition

to bee association. We identified several genomic differences in the honey bee-associated strains,

including a complete CRISPR/Cas system. Many of the changes we note here are predicted to

confer upon them the ability to survive in royal jelly and defend themselves against mobile

elements, including phages. Our results are a first step towards identifying potential benefits

provided by the honey bee queen microbiota to the colony's matriarch.

Key words

microbiome, evolution, horizontal gene transfer, *Bombella apis*

Introduction

The honey bee (*Apis mellifera*) is extremely important economically because of the pollination services it provides to numerous agricultural crops. As a result, there is increasing interest in determining how the microbiome supports and influences bee function. While a honey bee colony is made up of bees with diverse roles, or castes, the majority of studies on bee microbiomes have focused on workers specifically. The microbial community of worker bees consists of eight to ten core bacterial species [1-5]. The characterization of these groups led to speculation about their role in honey bee health and whether or not they provision nutrients [3] or assist in the breakdown of plant-derived carbohydrates [6], as is the case in other insect-microbe interactions [7, 8]. There has also been speculation as to the role of the microbiome in resistance to pathogens, as microbial communities have been shown to protect the bumble bee (*Bombus terristris*) from the parasite *Crithidia bombi* [9]. Honey bee-associated microbes interact with each other in diverse ways both *in vitro* and *in vivo*, suggesting that they may interact syntrophically within workers [2, 10]. While these studies focused on honey bee workers are intriguing, it is surprising that only recently was the microbiome of queen bees throughout development recently characterized [11-13].

Interestingly, the microbial community associated with queen bees is vastly different than associated workers and comprises a large percentage of acetic acid bacteria, a group of bacteria present only at very small percentages in workers. One of the primary bacteria that differentiate queens from workers is the recently described *Bombella apis* [14], formerly *Parasaccharibacter apium* [15]. *Bombella apis* is in the family *Acetobacteraceae* and occupies defined niches within

the hive, including: queen guts, nurse hypopharyngeal glands, nurse crops, and royal jelly, and is

only rarely found outside of these areas [16-18]. Evidence suggests that it might play a role in

protecting developing larvae and worker bees from fungal pathogens [15, 19] .Given that *B. apis*

makes up a large proportion of the queen gut microbiome, it is possible that it plays important

roles in queen health and physiology, as well [13, 20].

Bombella apis is part of a clade of acetic acid bacteria (AAB, a group within the family

Acetobacteraceae) that contains both free-living and bee-associated members. Comparative

genomics, then, can give us insights into the changes associated with the transition to bee-

association in this clade. This comparison can also help elucidate what sets *B. apis* apart from

closely related species and the role(s) it might be playing in the hive environment. To that end,

we used the genomes of eight *B. apis* strains [21-24], a *Bombella sp.* genome assembly, as well

as five genomes of the closely-related genus *Saccharibacter* [25, 26] and a genome of the

bumblebee symbiont, *Bombella intestini* [27], to begin to tease apart the unique capabilities of *B.*

apis. Insights gained here could prove critical in determining the factors responsible for

maintaining queen health in colonies and could ultimately lead to the development of

interventions to improve queen health and mitigate the detrimental impacts of queen failure on

this economically critical species.

Materials and methods

Phylogenetic relationship of Bombella *and* Saccharibacter

To determine the placement of *Bombella* and *Saccharibacter* strains used here, we

initially used the 16S rRNA gene sequences from the Silva database [28-30] that met the

following criteria: 1) from a species belonging to either *Bombella* or *Saccharibacter,* 2) of length

at least 1200 bases, and 3) sequence quality >90. Additionally, the 16S rRNA gene sequence for

Gluconobacter oxydans was included as an outgroup. The 16S rRNA sequences for *Bombella*

intestini [27] and *Bombella apis* [14] were included. We used BLAST to find the 16S rRNA

gene sequences in the *Bombella* and *Saccharibacter* genomes (Table 1) to pull out their

respective 16S rRNA sequences for use in this phylogeny. All sequences were aligned using the

SINA aligner [31]; parameters used were set using the --auto option. A maximum likelihood

phylogeny was constructed using RAxML with the GTRGAMMA substitution model and 1000

bootstrap replicates (v8.2.11, [32]). The final tree was visualized using FigTree (v1.4.2,

http://tree.bio.ed.ac.uk/software/figtree/).

Orthology analysis

To facilitate downstream analyses, we clustered genes from all genomes in Table 1 – plus

Gluconobacter oxydans H24 as an outgroup – into groups of orthologous genes (GOGs) using

OrthoMCL (v.2.0.9, [33]) (Table S1). Amino acid sequences were downloaded from NCBI and

clustering was performed using default OrthoMCL parameters, namely percentMatchCutoff=50

and evalueExponentCutoff=-5. These clusters were then classified as single-copy orthologs

(defined as containing exactly one representative from each genome), variable (defined as

missing a representative from at least one genome and having varying numbers of

representatives from each of the other genomes), multi-copy ortholog (containing at least one

representative from each genome, but multiple copies from at least one genome), or genome-

specific (containing at least two genes that all came from the same genome) using an in-house

Perl script.

Bombella *and* Saccharibacter *core ortholog phylogeny*

We constructed a phylogeny using concatenated amino acid alignments of all single-copy

GOGs. The amino acid sequences were aligned using the MAFFT L-INS-I algorithm (v7.310,

[34]), and alignments were then concatenated, and used to construct a maximum likelihood

phylogeny using RAxML with substitution model PROTGAMMALGF and 1000 bootstrap

replicates (v8.2.11, [32]). The final tree was visualized using FigTree (v1.4.2,

http://tree.bio.ed.ac.uk/software/figtree/).

Calculation of genomic similarity

To determine relatedness and species assignment, we calculated genome-wide Average

Nucleotide Identity (gANI) and aligned fraction (AF) for each pairwise comparison using

ANIcalculator .[35] Predicted transcript sequences for each pairwise comparison were passed to

the software, which output gANI and AF in each direction for the pairwise comparison. As gANI

and AF can vary depending on the direction of comparison due to differences in genome length,

we report the average of the pairwise calculations in each direction.

Synteny analysis

Before our analysis of synteny, we subjected all of our assemblies to Quast v. 5.0.2 run

with default parameters on contigs from our genomes compared to the circularized genome of *B.*

apis strain G7_7_3 using the --pe1 and --pe2 flags to include raw reads and calculate genome

completeness as well as determine potential misassemblies. Few potential within-contig

misassemblies were identified in each genome, with most having no flagged misassemblies. We

identified some within-contig misassemblies for the following strains: 9 for *Bombella apis* A29,

and 7 for *B. apis* C6 and *B. apis* SME1. Importantly, we cannot rule out misassembly of the

G7_7_3 genome or natural genomic inversions and rearrangements between these strains over

time. Also, no misassemblies were identified in regions hosting HGTs mentioned below. We

then used Mauve [36, 37] to determine the syntenic regions between the *Bombella* spp genomes.

The *Bombella apis* G7_7_3c reference genome is resolved to a single chromosome, so it was

used as the reference sequence in Mauve's "move contigs" tool, and the likely order and

orientation of contigs in the other genomes was determined. To facilitate downstream analyses,

the output of Mauve's "move contigs" tool was used to order, orient, and concatenate contigs

into single pseudo-chromosomes for each genome. Structural rearrangements were then

visualized using Mauve's built-in graphical interface.

Annotation of CRISPR arrays and phage sequences

Pseudo-chromosomes for each genome were uploaded to CRISPRFinder to determine

location and sequence of CRISPR arrays [38]. To assess whether CRISPR arrarys differ genome-

to-genome (an indication that the arrays were incorporated after the strains diverged) we used an

in-house Perl script to determine the maximum intergenomic percent identity of spacer

sequences by aligning each spacer from a given genome to every spacer in every other genome

and calculating percent identity. We also used the SEA-PHAGES databased to identify phages

from which these spacers might be derived (Table S6). We used PHAge Search Tool Enhanced

Release (PHASTER) [39, 40] to define phage-like regions. Any region determined to be

"questionable" or "intact" by PHASTER was labeled as likely to be of phage origin.

Determination of bee-associated bacteria-specific orthologs

We identified all GOGs that contained at least one gene from each genome of *Bombella*

spp. and no genes from *Saccharibacter* spp. We then took the *B. apis* G7_7_3c genome

representative for each of these GOGs and got KEGG annotations for as many as possible using

BlastKOALA [41]. Any hit that was given a definitive KO number by BlastKOALA was

considered valid. For those genes that we were not able to get KEGG annotations, we used

NCBI's BLAST to aid in determining potential function of these bee-associated bacteria-specific

genes (Table S2). This list of genes and their potential functions was then manually inspected to

hypothesize genes that may have allowed for the transition to bee-association.

Analysis of horizontal gene transfers

To determine whether or not genes in any of the *Bombella* spp. genomes were

horizontally transferred, we employed a combination of sequence-composition, phylogenetic,

and synteny approaches. We mapped genes of particular interest (e.g. genes unique to certain

clades, species, or strains) to their locations on the linear pseudo-chromosomes constructed

during synteny analysis. Additionally, we calculated the %GC for each gene. We then

determined how many standard deviations each gene was from its genome-wide mean %GC. The

third prong of this analysis involved identifying genes that were phylogenetically aberrant. To do

this, we used Darkhorse [42] to calculate the lineage probability index (LPI) for each gene. LPI

measures the likelihood that a particular gene was inherited vertically, from the ancestor of the

species of interest. Higher LPIs indicate a higher likelihood that the gene is ancestral and has not

been horizontally transferred into the resident genome, while lower LPIs indicate that horizontal

gene transfer (HGT) may have occurred. Darkhorse calculates LPI using the taxonomy string for

the best BLAST hits for each gene. We ran LPI twice, once including BLAST hits to *Bombella*

and *Saccharibacter* subject sequences and once excluding such hits from the analysis. In doing

so, genes with orthologs in close relatives of the *Bombella*/*Saccharibacter* clade (likely vertically

inherited) will have high LPIs in both analyses, whereas genes without orthologs in close

relatives of the clade (potentially horizontally transferred) will have a high LPI in the analysis

that included *Bombella* and *Saccharibacter* BLAST hits, but a low LPI in the analysis that

excluded those BLAST hits. For each gene, then, we can calculate the difference in LPI between

the two analyses to determine how far from the genome-wide mean LPI difference (in standard

deviations) each gene is. In doing so, genes that are likely to be horizontally transferred will have

a larger discrepancy between LPI values than genes that were vertically inherited. We then

classified regions as likely to be HGTs if they met the following criteria: 1) a block of at least

three syntenic genes that show interesting phylogenetic distributions (e.g. unique to clade,

species, or strain) where 2) a majority of genes in the region are at least 1 standard deviation

from the mean %GC or LPI difference (or both).

Domain annotation of genes of interest

We used HHpred (https://toolkit.tuebingen.mpg.de/#/tools/hhpred, [43]) to determine

domain architecture and gain an understanding of potential function of the genes in each HGT.

For genes of interest that were part of a GOG, all members of the GOG were first aligned using

the MAFFT L-INS-I algorithm (v7.310, [34]). These multiple sequence alignments (or single

amino acid sequences in the case of strain-unique genes) were then uploaded to HHpred's online

tool and homology was determined using HMMs in the COG_KOG_v1.0, Pfam-A_v31.0, and

SMART_v6.0 databases; only domains scoring above 60% probability are discussed here. Gene

models for each region of interest were then constructed and visualized using the HHpred results

and in-house R scripts to "draw" the gene models. The fifth HGT identified (HGT5) occurs at

the junction of two contigs in the linear pseudo-chromosomes we constructed. The abutting ends

of each contig have annotations for partial pseudogenes, such that when they are joined a

complete gene is created. A BLAST search using the nucleotide sequence of this gene against the

NCBI nr database was used to determine a putative function.

Results

Saccharibacter *and* Bombella *are sister clades*

To robustly determine the relationship between *Bombella* and *Saccharibacter* spp., we

constructed a maximum likelihood phylogeny using 16S rRNA sequences. Our final tree largely

agrees with previously published phylogenies for this group (Figure 1) [18, 19]. Sequences were

largely grouped into two monophyletic clades by genus. *Bombella* plus *Saccharibacter* comes

out as sister to the *Gluconobacter* outgroup. The taxonomic nomenclature of this entire group

has recently been revised but clearly *Bombella* and *Saccharibacter* are separate clades [14, 27].

Core ortholog phylogeny of Bombella *and* Saccharibacter *strains*

We used OrthoMCL (v2.0.9 [33]) to define groups of orthologous genes (GOGs) using

the *Bombella* and *Saccharibacter* genomes listed in Table 1; *Gluconobacter oxydans* H24 was

used as an outgroup. In total, 3,017 GOGs were defined, with an average of 10.3 genes per GOG.

Of these, 1,209 GOGs were present as single copies in every genome in the analysis, while an

additional 34 GOGs were present in every genome, but in varying numbers in each genome.

There were 1,526 GOGs that were variable (i.e. missing a representative from at least one

genome, and present in varying numbers in the other genomes); and 248 GOGs that consisted of

at least two genes that all came from the same genome (Table S1).

To better resolve the phylogenetic relationships between *Bombella* spp. and

Saccharibacter spp., we constructed a second maximum likelihood phylogeny using aligned and

concatenated amino acid sequences of the 1,209 single-copy GOGs (Figure 2). This robustly

supported amino acid phylogeny broadly agrees with our previously constructed 16S phylogeny.

In the core ortholog tree, *B. intestini* interrupts the monophyly of honey bee-associated *Bombella*

genomes. Notably, this tree groups *B. intestini* more closely to the majority of the *Bombella apis*

strains, while *P. apium* AS1 is more distantly related, and possibly a different species. Similar to

the 16S tree, we again see quite short branch lengths within the honey bee-associated acetic acid

bacteria, particularly among those in the clade including all *Bombella apis* genomes (Figure 2).

Identification of sequences of phage origin

Movement and insertion of bacteriophage sequences in a genome can have profound

effects on the evolution of that genome [44-46]. Mobile genetic elements can also provide

insight into the lifestyle of a bacterium, as the fraction of mobile DNA varies significantly with

host ecology [47]. We identified two regions of phage origin among the 15 genomes analyzed.

One region in *S. floricola* was identified as "intact", stretching approximately 60 kilobases (kb),

and containing 89 proteins, all of which are identified by BLAST hits as being of phage origin or

hypothetical. Synteny alignments indicate that this region is unique to *S. floricola* and not

contained in any other genome. Likewise, OrthoMCL did not cluster any of the genes within this

region with any other genes in our analysis, further supporting the idea that these genes are

unique to *S. floricola*. The second region is in the *B. apis* G7_7_3c genome and was identified as

"questionable" (one step below "intact"). This phage region is approximately 31kb long and

contains 40 proteins, all of which were identified as either being of phage origin or hypothetical.

Like Phage 1, synteny mapping and OrthoMCL clustering showed that this region is unique to *B.*

apis G7_7_3c and shows no homology to any of the other genomes (Figure 2).

Signatures of honey bee association in the B. apis *genomes*

To identify genes associated with the transition to honey bee association, we identified

GOGs that contained at least one gene from each *Bombella* spp. and were also missing in

Saccharibacter spp. There were a total of 1,542 GOGs containing at least one gene from each of

the aforementioned genomes, but only 74 were also missing in all *Saccharibacter* spp. We

determined the putative functions of these genes using the *B. apis* G7_7_3c genome

representative for each GOG (Table S2). It should be noted that all annotations discussed from

here forward are putative and require further functional characterization.

Several bee-associated unique genes stood out as particularly interesting, the first being

gluconolactonase. Lactonases, such as gluconolactonase, reversibly catalyze the hydrolysis of

lactones (such as gluconolactone) to the hydroxyl acid form (such as gluconic acid).

Gluconolactone is found in both honey and royal jelly and is thought to be partially responsible

for the antibacterial properties of both compounds [48]. In water, this compound can be

hydrolyzed into gluconic acid, acidifying the environment and preventing bacterial growth [49-

51]. The presence of this gene, encoding an enzyme capable of reversing this acidification – at

least locally – may explain how *B. apis* is able to thrive in the presence of royal jelly [18, 52].

Alternatively, it is possible that *B. apis* is contributing to the production of gluconic acid in this

environment. BLAST searches of the metatranscriptomes and metagenomes of bacteria in the

"core" honey bee microbiome [3, 6] resulted in zero hits, indicating that none of the "core"

microbiome members possess a homolog of this gene. The presence of gluconolactonase may

help explain the unique distribution of *B. apis* within the hive. Another bee-associated unique

gene is an HdeD family acid-resistance protein, which in *E. coli* participates in resistance to

acids at high cell densities [53]. The presence of this gene in *B. apis* may indicate an adaptation

to living in low pH environments – such as the queen bee digestive tract or royal jelly [54].

An AI-2 E family transporter was identified as unique to *Bombella* spp. AI-2 is an auto-

inducer responsible for activating cascades associated with quorum sensing. While *B. apis* does

not contain any AI-2 synthesis genes, the presence of an AI-2 E family transporter indicates that

it may be responding to exogenous AI-2 produced by other bacteria, possibly in a competitive

interaction. It is possible, also, that *B. apis* simply consumes this metabolite, using it as a source

of carbon and energy. Bolstering the competition hypothesis is the presence of fusaric acid

resistance (FUSC) genes in *B. apis*. Fusaric acid and its analogs can be quorum sensing

inhibitors [55], so the presence of FUSC genes might be an adaptation that allows *B. apis* to

evade quorum sensing inhibition attempts by other microbes. Alternatively, these FUSC genes

may play a role in competition with fungal species. Fusaric acid is produced by several species

of fungus and is antibacterial [56]. Therefore, the FUSC genes may play a role in *B. apis*'s

protection of honey bee larvae and queens from infection with *Nosema* and other pathogens by

allowing it to tolerate antibacterial capabilities of fungi and exert its antifungal properties [15,

19]. Interestingly, none of the canonical honey bee gut symbionts encode FUSC genes, further

suggesting a unique role for this gene in *B. apis* among honey bee symbionts.

An invasion-associated locus B (Ialb) protein was identified as present in bee-associated

AAB, but absent in *Saccharibacter spp*. In *Bartonella bacilliformis*, *ialb* mutants are impaired in

their ability to colonize human erythrocytes, suggesting a role for this protein in eukaryotic cell

invasion [57]. While it is not clear whether *Bombella* strains are ever intracellular, the presence

of *ialb* suggests that it may have this capability.

The final set of genes of particular interest in this analysis is a complete Type I-E

CRISPR/Cas cassette. To determine if this CRISPR/Cas cassette was active, we annotated the

genomes for the presence of CRISPR arrays, and found that all of the genomes that have this

CRISPR/Cas cassette contain multiple CRISPR arrays. It is possible that these CRISPR arrays

were present in the most recent common ancestor of the *Bombella* clade and have simply

remained in these current genomes; if that were the case, we would expect the spacers in these

CRISPR arrays to be highly similar between all strains. However, if these arrays are part of an

active CRISPR/Cas system, we would expect the spacers to differ from strain to strain, reflecting

unique challenges encountered by each strain. To rule out the possibility that these arrays are

ancestral, we aligned each spacer sequence from a given genome to all other spacer sequences

from the other genomes and calculated the percent identity. The minimum best intergenomic

match for any spacer was 40%, while the maximum was just 65% identical over the length of the

spacer, indicating that the spacer sequences are unique from genome to genome and the

CRISPR/Cas systems identified here are likely active and/or were incorporated after the *B. apis*

strains diverged from one another. Importantly, the spacers also do not match the existing

prophage genomes in the *Saccharibacter* or *Bombella* genomes and have homology to known,

sequenced phages (Table S6). Top SEA-PHAGES hits for each spacer in each genome are quite

distinct and reflect the fact that each of these sequenced *B. apis* strains has likely interacted with

a different pool of phages.

B. intestini was isolated from a bumble bee gut, so we also looked at genes that were

unique to this bacterium. There were a total of 65 genes that were unique to *B. intestini*,

including a complete type IV secretion system (T4SS) and several genes involved in antibiotic

production or resistance. Putative annotations of these 65 genes are in Table S3.

Identification of horizontally transferred gene regions

Horizontal transfer of DNA between unrelated bacteria is a commonly known mechanism

by which bacteria can acquire new traits and adapt to novel environments [58-61]. We identified

two regions of phage origin, one in *S. floricola* and one in *B. apis* G7_7_3c (discussed above,

Figure 2). To determine whether the bacteria in the *Bombella* clade have undergone other

potential horizontal gene transfer (HGT) events, we determined the spatial distribution of genes

of particular interest (e.g. clade-specific, species-specific, or strain-specific genes) across the

bacterial genomes (Figure 3). Some of the genes specific to different clades occur in clusters, an

indication that they may have originated elsewhere and been horizontally inherited as a chunk of

contiguous DNA. We then looked for anomalies in sequence composition (%GC) and phylogeny

to determine whether they were putatively horizontally transferred. Using this combination of

methods, we identified a total of five HGT regions in the *Bombella* clade, which we have

numbered 1-5 (see Table S4 for %GC and lineage probability index (LPI)-difference deviations

for each gene in each HGT).

HGT1 (Figure 4A) is present in all genomes in the *Bombella* clade, and contains 10

genes, although *B. apis* C6 is missing one of the genes (the second-to-last gene at the 3' end of

the HGT, annotated as an ABC transport auxiliary component). The three most 5' genes show

homology to YfaP (an uncharacterized conserved protein), SrfB (part of the surfactin antibiotic

synthesis machinery), and an uncharacterized bacterial virulence factor. The genes in the 3' half

of this HGT contain a number of domains involved in membrane transport. We hypothesize that

the two halves of this HGT work together to synthesize and export antibiotics as a form of

defense or regulation of competing bacteria. Lending support to the hypothesis that this HGT is

involved in defense or immunity is the fact that a CRISPR array lies immediately 5' of this HGT

in each genome (Table S5). Bacterial defense mechanisms tend to occur in clusters of "defense

islands" [1] so the presence of this CRISPR array is perhaps a further indication of this HGTs

role in bacterial immunity.

HGTs 2 and 3 (Figure 4B and 4C) are restricted solely to *B. apis* G7_7_3c and are both

bacterial restriction-modification (R-M) systems. Bacterial R-M systems are a defense against

invading DNA (i.e. bacteriophage). They act by methylating host DNA at specific sites; invading

DNA with the same recognition site will be un-methylated, recognized as foreign, and targeted

for degradation [62]. HGT2 contains 6 genes, which make up the core components of a bacterial

(R-M) system. Interestingly, the domain architecture in this R-M system has been recognized as

an evolutionary precursor to eukaryotic defenses against transposable elements [63]. HGT3

(Figure 4C) consists of 3 genes comprising 5 domains; the 5'-most gene consists of a predicted

restriction-modification DNA methylase coupled to a specificity domain, the middle gene is

predicted to be an XhoI restriction enzyme, and the 3'-most gene is a PHP phosphoesterase

coupled to a RecN DNA repair ATPase. Taken together, it appears that HGTs 2 and 3 are

responsible for recognition of and defense against foreign DNA.

HGT4 (Figure 4D) is present in *B. apis* strains G7_7_3c, A29, B8, and C6 and contains 3

genes: two GDP-D-mannose dehydratases (GMD) and an O-linked N-acetylglucosamine

transferase (OGT). GMD plays a role in the metabolism of mannose and fructose, sugars

commonly found in nectar [64]. The presence of GMD in *B. apis* genomes might allow for the

consumption of nectar or nectar components by these bacteria. OGT, on the other hand, plays a

role in post-translational modification of thousands of identified proteins [65]. However, while

OGT-mediated post-translational modification is common in eukaryotes, it is far more rare in

bacteria [66]. To date, only a handful of prokaryotic OGTs have been identified, and the targets

of these OGTs remain unclear [67, 68]. Given the role OGTs play in eukaryotic post-

translational modification and the fact that many bacterial effector proteins show homology to

eukaryotic proteins [69], it is possible that the presence of OGT in *B. apis* represents a pathway

for host-microbe interaction and symbiont-mediated protein modification.

HGT5 (Figure 4E) is unique to *B. apis* strains A29, B8, and C6, all strains that had been

isolated from honey bee larvae. Like HGTs 1-3, HGT5 contains genes that may play a role in

protection against foreign DNA. There are four genes in the 5' section of HGT5, three of which

are kinases, and the fourth contains a SAD/SRA domain in its 5' end, and an HNH endonuclease

domain in its 3' end. In bacteria, the SAD/SRA domain is often found associated with an HNH

domain [70] and it is thought that the two domains act together to recognize and cleave foreign

DNA [63]. The 3' section of HGT5 consists of a conjugative relaxase, a TraG/TraD family

ATPase (a coupling protein involved in bacterial conjugation and/or T4SS), a homolog of the

pyocin activator protein PrtN, a homolog of a yeast RNA polymerase I subunit, and two

additional genes with no annotations. The presence of a PrtN homolog is particularly interesting,

as in *Pseudomonas aeruginosa* pyocins are antibacterial agents, often acting to depolarize the

membrane of target cells [71, 72]. Interestingly, one of the two unannotated genes in the 3'

region of HGT5 shows weak homology to a phage shock protein, which are proteins involved in

the response to stress that may weaken the energy status of the cell [73]. This protein, then, may

play a part in immunity to membrane depolarization. Given the presence in HGT5 of: an HNH

endonuclease coupled to a SAD/SRA domain, a conjugative relaxase, a TraG/TraD family

ATPase, a pyocin activator protein, and a protein with at least some homology to a phage shock

protein, we hypothesize that it may play a role in pathogenesis or defense.

Discussion

Here, we used the genomes of ten *Bombella* spp. and five *Saccharibacter* spp. to gain

insight into the genomic changes associated with the transition to honey bee symbiosis in this

group. We note several genomic differences – some of which were horizontally acquired –

between bee-associated bacteria and the flower-associated *Saccharibacter* that may have allowed

for the expansion of *B. apis* into previously unoccupied niches within the honey bee colony.

These differences can be classified as changes that introduce: 1) novel metabolic capabilities, 2)

defense and/or virulence mechanisms, and 3) mechanisms for interaction with other microbes

and/or the host.

Metabolic genes identified here include gluconolactonase, which may allow for the de-

acidification of royal jelly [48-51], and two copies of GMD, a gene that plays a role in the

metabolism of mannose and fructose, components of nectar and honey [64]. Distinct defense

and/or virulence mechanisms were identified, including: a CRISPR/Cas system, two R-M

systems, and an HGT with some homology to known virulence mechanisms. Interestingly, the R-

M systems were identified in the only strain in the clade that also contains a phage sequence (*B.*

apis G7_7_3c). Restriction modification systems, like phages, can act as selfish genetic elements

[74], so their presence in this genome may indicate that it was historically more permissive to

invading DNA. These R-M systems may also have been coopted by the prophage to prevent

super-infection with additional phages [75].

Genes involved in the interaction with other microbes and/or the host that we identified

include: an AI-2 family transporter, fusaric acid resistance genes, *ialb*, and *ogt*. Given that *B.*

apis does not encode any of the canonical genes for the production of quorum-sensing

molecules, it seems likely that *B. apis* is responding to exogenous AI-2 (and/or fusaric acid and

its analogs) produced by other members of the bee microbiome [76]. The *ialb* and *ogt* genes

provide routes for interaction with the host, as *ialb* may play a role in eukaryotic cell invasion

[57] and *ogt* is known to modify thousands of eukaryotic proteins [65]. Taken together, we

hypothesize that the novel combination of metabolic, quorum-sensing, defense/virulence, and

eukaryotic interaction genes in the *Bombella* clade genomes allowed for the utilization of a

unique food source and protection from an onslaught of previously un-encountered challenges

and facilitated the transition to honey bee association in this clade.

Bombella apis has been shown to benefit honey bee larval development and provide

protection against *Nosema* and other pathogens [15, 19]. Some of the genes identified here, while

allowing *B. apis* to transition to honey bee symbiosis, may also be related to its ability to protect

the bee host from infection with *Nosema* or other pathogens. If indeed these genes are

responsible for the transition to, and maintenance of, honey bee symbiosis, we would expect to

see a modified evolutionary trajectory relative to those genes not involved in the symbiosis. We

currently lack sufficient sampling of non-bee-associated bacteria in this clade to do such

analyses; however, future studies addressing this question should allow for further elucidation of

the genes involved in the transition to honey bee association. Those analyses, coupled with

functional characterization of the genes of interest identified here, should lay the foundation for

the development of beneficial intervention strategies in this economically critical insect.

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450   **Tables**

451   **Table 1. Genome names, accession number, and isolation sources for genomes used in these analyses.** *Bombella apis* G7_7_3c is

452   the *Bombella apis* reference genome.

Genome	GenBank Accession	Isolation Source	Genome Size (Mb)	Contigs	%GC	Number of Genes	% N sequence	BUSCO String
Bombella apis G7_7_3c	GCA_002079945.1	*Apis mellifera* hindgut	2.01	1	59.42	1873	0	C:98.2%[S:98.2%,D:0.0%],F:0.9%,M:0.9%,n:221
Bombella apis SME1	GCA_009362775.1	*Apis mellifera* honey	2.09	11	59.51	1984	0	C:98.2%[S:98.2%,D:0.0%],F:0.9%,M:0.9%,n:221
Bombella apis A29	GCA_002917995.1	*Apis mellifera* larva	2.01	27	59.39	1846	0	C:98.2%[S:98.2%,D:0.0%],F:0.9%,M:0.9%,n:221
Bombella apis B8	GCA_002917945.1	*Apis mellifera* larva	2.01	29	59.38	1854	0	C:98.2%[S:98.2%,D:0.0%],F:0.9%,M:0.9%,n:221
Bombella apis C6	GCA_002917985.1	*Apis mellifera* larva	2.01	34	59.38	1852	0	C:98.2%[S:98.2%,D:0.0%],F:0.9%,M:0.9%,n:221
Bombella apis AM169	GCA_000723565.1	*Apis mellifera* stomach	1.98	9	59.32	1816	0	C:95.9%[S:95.9%,D:0.0%],F:2.7%,M:1.4%,n:221
Bombella apis 3.A.1	GCA_002150125.1	Honey	2.01	24	59.41	1864	0	C:98.2%[S:98.2%,D:0.0%],F:0.9%,M:0.9%,n:221
Bombella apis M18	GCA_002150105.1	*Apis mellifera* stomach	2.01	11	59.35	1972	0	C:97.7%[S:97.7%,D:0.0%],F:0.9%,M:1.4%,n:221
Bombella intestini R-52487	GCA_002003665.1	*Bombus lapidarius* crop	2.02	12	54.94	1910	0	C:98.6%[S:98.6%,D:0.0%],F:0.9%,M:0.5%,n:221
Bombella sp. AS1	GCA_002592045.1	*Apis melliera* larva	1.85	13	52.64	1738	0	C:96.4%[S:96.4%,D:0.0%],F:0.9%,M:2.7%,n:221
Saccharibacter sp. EH611	GCA_009834765.1	*Anthophora sp.* Crop	2.28	25	51.47	2253	0	C:99.1%[S:99.1%,D:0.0%],F:0.0%,M:0.9%,n:221
Saccharibacter sp. EH60	GCA_009834775.1	*Anthophora sp.* Crop	2.32	30	51.47	2304	0	C:99.1%[S:99.1%,D:0.0%],F:0.0%,M:0.9%,n:221

Saccharibacter sp. EH70	GCA_009834795.1	*Anthophora sp.* Crop	2.29	26	50.47	2215	0	C:99.1%[S:99.1%,D:0.0%],F:0.0%,M:0.9%,n:221
Saccharibacter floricola DSM15669	GCA_000378165.1	Flower	2.38	43	51.22	2352	0.0018	C:99.1%[S:99.1%,D:0.0%],F:0.0%,M:0.9%,n:221
Saccharibacter sp. 17.LH.SD	GCA_009834805.1	*Melissodes sp.* Crop	2.10	13	48.61	1996	0	C:98.2%[S:98.2%,D:0.0%],F:0.9%,M:0.9%,n:221
Gluconobacter oxydans H24	GCA_000311765.1	Industrial sample	3.82	2	56.24	3742	0	C:98.6%[S:97.7%,D:0.9%],F:0.5%,M:0.9%,n:221

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466   **Figures**

467   **Figure 1**

483   **Figure 2**

Figure 3

Positions of genes of interest in *Bombella apis* genomes

494   **Figure 4**

Figure legends

Fig. 1. Maximum likelihood phylogenetic tree of *Bombella* and *Saccharibacter* species

constructed from full-length 16S rRNA sequences, *Gluconobacter oxydans* as an outgroup.

Bootstrap scores are indicated at each node.

Fig. 2. Maximum likelihood phylogenetic tree of the *Bombella*/*Saccharibacter* clades

constructed from concatenated amino acid alignment of 1259 single copy orthologous genes.

Bootstrap scores are indicated at each node. Colored boxes represent the presence (red) or

absence (black) of each of 7 genomic regions of interest. Genome size and %GC are also

displayed.

Fig. 3. Genomic locations of genes of interest in *Bombella* and *Saccharibacter* genomes. Each

gray bar is a representation of the genome, with each dot representing the location of a gene in

each of four categories (see legend). Regions of interest mentioned in the text are highlighted and

labeled. %GC for every gene is plotted above each genome representation, with the green line

indicating the genome-wide average %GC.

Fig. 4. Gene models for each of 5 genomic regions of interest. Gene models are drawn to scale

within each panel, but not across panels. A) HGT1. Abbreviations are: CrpC: cysteine rich

protein C, AM: automated matches, SMF2: sulfatase modifying factor 2, GsvP: gas vesicle

protein C. B) HGT2. Abbreviations are: CrpC: cysteine rich protein C, AIPR: abortive infection

phage resistance protein. C) HGT3. Abbreviations are: RM: restriction-modification. D) HGT 4.

Abbreviations are: Gmd: GDP-D-mannose dehydratase, OGT: O-linked N-acetylglucosamine

transferase OGT. E) HGT5. Abbreviations are: SAD/SRA: SET and Ring finger Associated,

PrtN: pyocin activator protein.

Supplemental figures and table legends

Table S1. OrthoMCL clusters and gene counts for each type of COG in each genome

Table S2. Accession number, annotation source, annotation score, and putative annotation for

each of the *Bombella*-specific genes identified

Table S3. Accession number, annotation source, annotation score, and putative annotation for

each of the *Bombella intestini* genes identified

Table S4. %GC and LPI-difference standard deviations for each gene in each genome harbing

each HGT.

Table S5. Positions and spacer counts for each CRISPR array identified in the *Bombella* clade

genomes.

Table S6. SEA-PHAGES analysis of CRISPR spacers identified in each genome with top hit, hit

length, and percent identity information.

Table S7. Top BLAST hits, percent identity, e-value, and query coverage, for each HGT

identified in this analysis.

Supplemental file S1. BLAST results for genes present in phage 1, present in *Saccharibacter*

floricola.

Supplemental file S2. BLAST results for genes present in phage 2, present in the *Bombella apis*

G7_7_3c sequence.

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Positions of genes of interest in *Bombella apis* genomes

%GC

Genomic position

- ● clade–specific
- ● B. apis g7_7_3c, A29, B8, C6–specific
- ● B. apis A29,B8,C6–specific
- ● genome–specific