

For comparing phylogenetic diversity among communities, go ahead and use synthesis phylogenies

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Running headline: Phylogenetic diversity based on different trees

Abstract:

Should we build our own phylogenetic trees based on gene sequence data, or can we simply use available synthesis phylogenies? This is a fundamental question that any study involving a phylogenetic framework must face at the beginning of the project. Building a phylogeny from gene sequence data (purpose-built phylogeny) requires more effort and expertise than subsetting

20 an already available phylogeny (synthesis-based phylogeny). If phylogenetic diversity estimates
21 based on these two types of phylogenies are highly correlated, using readily available
22 synthesis-based phylogenies is justified for comparing phylogenetic diversity among communities.
23 However, a comparison of how these two approaches to building phylogenetic trees influence the
24 calculation of phylogenetic diversity has not been explicitly tested. We generated three
25 purpose-built phylogenies and their corresponding synthesis-based trees (two from Phylomatic
26 and one from the Open Tree of Life). We then used a simulation approach to generate 1000
27 communities with a fixed number of species per site and compared the effects of different trees on
28 estimates of phylogenetic alpha and beta diversity using Spearman's rank-based correlation and
29 linear mixed models. Synthesis-based phylogenies generally over-estimated phylogenetic diversity
30 when compared to purpose-built ones. However, their resulting measures of phylogenetic diversity
31 were highly correlated (Spearman's $r > 0.8$ in most cases). Mean pairwise distance (both alpha and
32 beta) is the index that is most robust to the differences in tree construction that we tested.
33 Measures of phylogenetic diversity based on the Open Tree of Life showed the highest correlation
34 with measures based on the purpose-built phylogenies. For comparing phylogenetic diversity
35 among communities, our results justify taking advantage of recently developed and continuously
36 improving synthesis trees such as the Open Tree of Life.

37 Key words: alpha diversity, beta diversity, community phylogenetic structure, open tree of life,
38 phylogenetic diversity, purpose-built phylogeny, synthesis tree.

39 **Introduction**

40 Phylogenies describe the evolutionary history of species and provide important tools to study
41 ecological and evolutionary questions (Baum and Smith 2012). Recently, phylogenies have been
42 used to better understand patterns of community assembly. The phylogenetic structure of
43 ecological communities can lend insight into the processes by which local communities assemble

44 from regional species pools (Webb et al. 2002). For example, if closely related species are more
45 likely to co-occur in the same habitats, we might suspect that these species share traits that allow
46 them to have a positive growth rate under the environmental conditions in these habitats. To test
47 whether closely related species are more or less likely to co-occur, one common approach is to
48 calculate the phylogenetic diversity of communities and then compare the observed phylogenetic
49 diversity with those expected by chance through different null models. There is a growing body of
50 literature using this community phylogenetic approach, documenting the phylogenetic structure
51 of ecological communities across taxa and scales (Webb et al. 2002, Cavender-Bares et al. 2006,
52 Helmus et al. 2007, Vamosi et al. 2009, Cardillo 2011, Smith et al. 2014, Li et al. 2017, Marx et al.
53 2017).

54 As an important facet of biodiversity, phylogenetic diversity (Faith 1992) also plays a crucial role in
55 conservation biology by complementing more traditional taxonomic measures of biodiversity (e.g.,
56 species richness). For example, two communities can have the same number of species but differ
57 drastically in their phylogenetic diversity depending on relatedness of the constituent species. The
58 community with higher phylogenetic diversity, representing taxa more distantly related to each
59 other, is expected to be more stable and productive given its greater evolutionary potential to
60 adapt to changing environmental conditions (Forest et al. 2007, Maherali and Klironomos 2007,
61 Lavergne et al. 2010). Therefore, all else being equal, a community with higher phylogenetic
62 diversity should have higher conservation priority.

63 The information gained from phylogenetic diversity analyses are only as good as the species
64 composition data and the phylogenies from which they are generated. In this manuscript, we
65 explore how tree generation affects these phylogenetic diversity metrics. Generally, ecologists and
66 evolutionary biologists use two common approaches to build phylogenies for community
67 phylogenetic analyses. The first approach is for a researcher to generate his/her own phylogenies
68 for a set of target species based on gene sequence data. We refer to such phylogenies as
69 purpose-built phylogenies. The second approach is to derive phylogenies based on available

70 synthesis trees, such as the Open Tree of Life¹, or classifications, such as the Angiosperm
71 Phylogeny Group (APG IV et al. 2016), by pruning or sampling, respectively, from the resource so
72 that the phylogeny contains only the target species. We refer to such phylogenies as
73 synthesis-based phylogenies. To a certain extent, one can argue that a synthesis tree could be a
74 purpose-built tree for a larger set of species, but the sources for deriving the synthesis-based trees
75 vary in scope, methodology, assumptions, and content (see Materials and Methods for further
76 description of source trees for synthesis-based phylogenies). From a researcher perspective, a
77 purpose-built phylogeny is a major undertaking but offers potential to utilize taxonomic and
78 phylogenetic expertise often needed in order to successfully construct trees. Synthesis trees, as
79 compilations of peer-reviewed phylogenetic hypotheses, offer an immediately available, but
80 typically less customizable output to researchers. We thus use these two terms (purpose-built and
81 synthesis-based) to categorize the underlying methods and researcher cost-benefits to obtain
82 phylogenies.

83 Generating a purpose-built tree requires more effort and expertise than subsetting a
84 well-developed phylogeny or sampling from a classification. Generally, purpose-built trees are
85 constructed by using newly generated sequence data and then combining those data with data
86 already available on GenBank; although in many cases the researcher may simply use what is in
87 GenBank. The first step requires gathering tissue for taxa of interest either from field or museum
88 collections, extracting DNA from these tissue samples, and then identifying, amplifying, and
89 sequencing appropriate loci. The gene regions selected are typically based on the taxa of interest
90 and discipline-accepted standards. Resulting sequences are aligned in programs like MUSCLE
91 (Edgar 2004). Sequences are also commonly sourced entirely or as an addition to sequence data
92 already in databases like GenBank with the help of computational pipelines such as PHLAWD
93 (Smith et al. 2009). Appropriate models of evolution for phylogenetic estimation are determined
94 using programs like PartitionFinder (Lanfear et al. 2012) such that each gene region in a set of
95 concatenated sequences can be treated separately. The most appropriate models of nucleotide

¹<https://tree.opentreeoflife.org/opentree>

96 evolution are used to estimate phylogenies in Maximum Likelihood (ML) and/or Bayesian
97 Inference (BI) frameworks in programs like RAxML (Stamatakis 2014), MrBayes (Ronquist and
98 Huelsenbeck 2003), and BEAST (Drummond and Rambaut 2007). Depending on the desired
99 application, it may be necessary to impose topological constraints to ease phylogenetic inference
100 or fossil constraints to scale branch lengths to time. Statistics for clade support are calculated using
101 bootstrap or jack-knifing techniques in an ML framework, and posterior probabilities in BI.
102 Despite the fact that multiple software programs are available to help automate these processes
103 (e.g., phyloGenerator (Pearse and Purvis 2013), SUPERSMART (Antonelli et al. 2017)), many
104 decisions at different steps must be made based on expert knowledge (e.g., Which genes to select?
105 How to select models? Which software program to use? How to estimate divergence time?).
106 Because of the effort, expertise, and cost required to generate purpose-built phylogenies, many
107 community phylogenetic studies use a second approach: deriving phylogenies from available
108 synthesis trees. Over the past few decades, tremendous advances in computational tools and
109 increasingly available genetic sequence data have led to vastly improved phylogenies for plants
110 (Zanne et al. 2014), birds (Jetz et al. 2012), fishes (Rabosky et al. 2013), and mammals
111 (Bininda-Emonds et al. 2007, Fritz et al. 2009). Such advances in phylogenetics have facilitated the
112 synthesis of all available information to make a comprehensive tree of life on Earth (Hinchliff et al.
113 2015). With these available synthesis trees and software programs such as Phylomatic (Webb and
114 Donoghue 2005), ecologists can derive phylogenies for the species or communities they are
115 interested in with less effort and limited cost. When different studies use the same synthesis tree to
116 derive their phylogenies, their phylogenetic diversity results are comparable. Importantly, this may
117 not be the case if they use purpose-built phylogenies. In addition, these approaches may avoid
118 some issues when generating phylogenies from sequence data such as taxon sampling effects (Park
119 et al. 2018). However, the tractability of phylogenies based on synthesis trees often comes with the
120 cost of decreased resolution (e.g., increase in polytomies) of the resulting phylogenies compared
121 with purpose-built ones; such trees also have taxonomic gaps, which are often filled using existing
122 classifications to become comprehensive.

123 Previous studies have demonstrated that most phylogenetic diversity metrics are robust to
124 terminal polytomies (Swenson 2009, Patrick and Stevens 2014, Boyle and Adamowicz 2015). These
125 studies, however, used simulated phylogenies or compared different posterior purpose-built
126 phylogenies. Therefore, they provided little practical advice about selecting between purpose-built
127 and synthesis-based phylogenies for ecological studies. In this study, we compared phylogenetic
128 diversity metrics calculated from purpose-built phylogenies and corresponding phylogenies
129 derived from three commonly used sources. It is important to note that we do not treat the
130 purpose-built phylogenies as a gold standard and we recognize that sampling bias of both taxa and
131 genes, combined with variation introduced through the tree-building process (e.g., tree
132 reconstruction methods, assessment of support, etc.), can compromise the accuracy of
133 purpose-built phylogenies. However, these issues – and others – apply also to the source trees
134 used for synthesis-based phylogenies, although perhaps at different scales. Our aim here is to
135 quantify the influence of the two tree construction techniques on measures of phylogenetic
136 diversity that are commonly employed in the rapidly growing field of community phylogenetics.

137 **Materials and Methods**

138 **Purpose-built phylogenies**

139 We collected three “purpose-built” phylogenies from published and unpublished sources. The first
140 purpose-built phylogeny is for 540 plant taxa in the globally critically imperiled pine rockland
141 ecosystem in South Florida, USA (Trotta et al. 2018). The second phylogeny consists of 1,064 alpine
142 plant taxa in France (Marx et al. 2017). The third purpose-built phylogeny has 1,548 plant species
143 with distributions in Florida, USA (Allen et al. in review). All three phylogenies were estimated
144 from sequence data and were time-calibrated (i.e., chronograms). When using time-calibrated
145 phylogenies, phylogenetic diversity measures the amount of evolution in time-units, and this is the
146 measure we focus on here. For details about phylogeny building processes, see the Appendix.

147 **Commonly available phylogenies**

148 For each of the three purpose-built phylogenies, we generated four phylogenies based on different
149 sources with which to compare phylogenetic alpha and beta diversity. The first two were
150 generated using Phylomatic v4.2 (Webb and Donoghue 2005) using two different backbone trees:
151 R20120829 (APG III 2009) and zanne2014 (Zanne et al. 2014). We call the first phylogeny
152 tree_apg and the second one tree_zanne. The phylogeny tree_zanne has branch lengths
153 because the backbone tree zanne2014 was inferred from seven gene regions for >32k plant species
154 and was time-calibrated using ‘congruification’ (Eastman et al. 2013). In contrast, the phylogeny
155 tree_apg has no branch lengths and is based, not on the result of a phylogenetic analysis *per se*,
156 but on a series of phylogenetic analyses as summarized by the Angiosperm Phylogeny Group III
157 (2009). APG classification now updated as APG IV (2016), but Phylomatic uses APG III. To add
158 branch lengths, we used the bladj algorithm in Phylocom (Webb et al. 2008) to convert the tree to
159 a chronogram using a set of the minimum node ages given by Wikström et al. (2001).

160 The third phylogeny was derived from the Open Tree of Life (Hinchliff et al. 2015), a recent
161 comprehensive phylogeny for ~ 2.3 million named species of life, including all eukaryotes, Archaea,
162 and Bacteria. This phylogeny, which we call tree_otl, is a supertree constructed from available
163 source trees, with missing species added based on taxonomy; this resulting tree therefore contains
164 many polytomies and also did not include branch lengths. To calculate branch lengths, we first
165 identified descendants for each of the internal nodes in tree_otl and then searched for their
166 divergence time in the TimeTree of Life database (Kumar et al. 2017). The TimeTree database was
167 compiled based on 3,163 studies and 97,085 species (as of October 10, 2017). For a pair of species
168 included in this database, we extracted their average divergence time from all previous studies.
169 Using the divergence date of internal nodes from the TimeTree database, we then determined
170 branch lengths of tree_otl using Phylocom (Webb et al. 2008) and its bladj function. Recently,
171 an updated phylogeny with branch lengths for seed plants based on the Open Tree of Life was
172 published (Smith and Brown 2018); however, we did not use this seed plant phylogeny as a source

173 because it contains only seed plants, and our purpose-built phylogenies also contain other clades
174 of vascular plants.

175 The fourth phylogeny was a random coalescent phylogeny generated using the `rcoal` function
176 from the R package `ape` (Paradis et al. 2004). The random tree was then scaled to have a root age
177 that was the average root age of `tree_apg`, `tree_zanne`, and `tree_otl`. Results based on the
178 random phylogeny should not correlate with those based on other phylogenies.

179 Not every species from the purpose-built phylogenies was found in all of the synthesis phylogenies.
180 For the pine rockland phylogeny, 514 out of 540 species (95.2%) were found in all phylogenies. For
181 the alpine plant phylogeny, 994 out of 1064 species (93.4%) were found in all phylogenies. For the
182 Florida flora phylogeny, 1472 out of 1548 species (95.1%) were found in all phylogenies. Therefore,
183 we pruned the purpose-built phylogenies to have the same species as their corresponding
184 synthesis tree. In practice, one could insert species that were missing from the derived phylogeny
185 as polytomies in the same genus, so that all species could be included in the analysis.

186 **Generation of community assemblages**

187 For each purpose-built phylogeny, we simulated 1000 presence/absence site-by-species matrices.
188 Each matrix has 30 sites, with species within each site randomly selected from the phylogeny tips
189 representing the species pool. We fixed species richness of each site to be 50 to remove any effects
190 of species richness on the phylogenetic diversity measures. Without setting all sites to have the
191 same number of species, results based on different phylogenies will correlate with each other. For
192 example, it is likely that results from `tree_random` will be highly correlated with results from
193 other phylogenies (Appendix Fig. A1). This is because most phylogenetic diversity metrics
194 correlate with species richness, which, in turn, will lead to correlations among them and confound
195 the comparisons of effects of phylogeny *per se* on the measurement of phylogenetic diversity.
196 Removing the constraint of using the same species richness does not affect our results and

197 conclusions (Appendix Fig. A1, A2). In our current setting, the maximum total number of species
198 across 30 sites is $30 \times 50 = 1500$, which is similar to the number of tips in the largest purpose-built
199 phylogeny in our study. We selected species from the species pool randomly because previous
200 studies demonstrated that different approaches to species selection give similar results (Swenson
201 [2009](#)).

202 **Phylogenetic diversity measurements**

203 For each site-by-species matrix, we calculated alpha and beta phylogenetic diversity for each of the
204 phylogenies using indices that are commonly used in community phylogenetic studies. For
205 phylogenetic alpha diversity, we used Faith's PD (PD), mean pairwise distance (MPD), and mean
206 pairwise distance between the closest relatives (MNTD). PD calculates the sum of the branch
207 lengths of all species present in an assemblage (Faith [1992](#)). We did *not* include the root of the
208 phylogeny when calculating PD. MPD calculates the average pairwise distance between all species,
209 and MNTD calculates the average pairwise distance between the closest relatives in an assemblage
210 (Webb et al. [2002](#)). We selected these three metrics for phylogenetic alpha diversity among the
211 myriad of metrics available because they are most commonly used and represent different but
212 complementary information about phylogenetic structure of communities (Miller et al. [2017](#),
213 Tucker et al. [2017](#)).

214 For phylogenetic beta diversity, we applied UniFrac (Unif), inter-assemblage MPD (MPD_beta),
215 inter-assemblage MNTD (MNTD_beta), and phylogenetic community dissimilarity (PCD) to all
216 possible unique combinations of assemblage pairs. Unif is derived from the Jaccard dissimilarity
217 index and calculates the total branch length unique to each assemblage relative to the total branch
218 length of all species in a pair of assemblages (Lozupone and Knight [2005](#)). Therefore, it measures
219 the fraction of evolutionary history unique to each assemblage. MPD_beta and MNTD_beta were
220 derived from MPD and MNTD, respectively, but instead of comparing species within the same
221 assemblage, they compare species from two different assemblages (Webb et al. [2008](#)). PCD

222 measures pairwise phylogenetic dissimilarity between assemblages by asking how much of the
223 variance of values of a hypothetical trait among species in one assemblage can be predicted by the
224 values of species from another. PCD is independent of species richness of the pair of assemblages
225 and has relatively higher statistical power than other common metrics (Ives and Helmus 2010).

226 As PD and MNTD are both correlated with species richness (Miller et al. 2017), null models that
227 retain species composition while randomly shuffling tips of the phylogeny are commonly used to
228 standardize phylogenetic diversity results. Despite the fact that MPD is independent of species
229 richness, its variance changes relative to species richness (Miller et al. 2017). Therefore, null
230 models are also frequently applied to MPD. Using the null model, standardized effect size (SES) for
231 each metric can be calculated as $SES = \frac{X_{obs} - \text{mean}(X_{null})}{sd(X_{null})}$, where X_{obs} is the observed value, and X_{null}
232 are the n values calculated based on null models. Recently, analytic solutions for the SES of
233 phylogenetic alpha diversity metrics were developed (Tsirogiannis and Sandel 2016). The analytic
234 solutions eliminate the need for computationally expensive simulations used to calculate SES
235 values, especially for studies in high-diversity systems. In our simulations, because all sites have
236 the same species richness, we expected that the SES values based on the analytic solutions would
237 have the identical results as the observed phylogenetic diversity values for the statistical analyses
238 we conducted (correlation and linear mixed models, see the Statistical analyses section below). Our
239 simulations confirmed this expectation (Appendix Fig. A3-A6). No analytic solutions for the SES of
240 Unif, MNTD_beta, and PCD are available. However, the pairwise beta diversity metrics share the
241 same core formula with their corresponding alpha diversity metrics. We thus expect that the
242 results based on SES of these beta diversity metrics will be the same as those based on the observed
243 diversity values in our simulations. Given the similarity in results between raw and standardized
244 phylogenetic alpha diversity measures and the large computational burden of calculating SES for
245 phylogenetic beta diversity metrics, we did not include the results for SES in this study.

246 **Statistical analyses**

247 We have two primary goals. First, we want to test the correlation between phylogenetic diversity
248 values calculated from purpose-built phylogenies and those calculated from synthesis phylogenies.
249 Second, we want to investigate whether phylogenetic diversity calculated from synthesis
250 phylogenies over- or under-estimates phylogenetic diversity when compared to purpose-built
251 phylogenies. For the first goal, we calculated the average Spearman's rank-based measure of the
252 correlation between phylogenetic diversity values from all phylogenies across the 1000
253 simulations. We used rank-based correlation because it is the relative phylogenetic diversity, not
254 the absolute one, that we are interested in. For the second goal, we used Linear Mixed Models
255 (LMMs) with phylogenetic diversity values from the purpose-built phylogeny as the response
256 variable, the phylogenetic diversity values from one of the synthesis phylogenies as the predictor,
257 and the simulation dataset as the random term. We scaled the diversity values to have mean zero
258 and standard deviation one before fitting the models. We also forced the regression line through
259 the origin. If the slope of the regression line is significantly different from zero, then phylogenetic
260 diversity based on purpose-built phylogenies and synthesis phylogenies is highly correlated.
261 Furthermore, if the slope is higher/lower than one, then the phylogenetic diversity based on the
262 synthesis phylogenies under-/over-estimates phylogenetic diversity. For pairwise beta diversity,
263 because of the large number of samples across all 1000 simulations ($\binom{30}{2} \times 1000 = 435,000$), we
264 randomly selected 100 simulations on which to conduct LMMs. In addition, for pairwise beta
265 diversity, because one site can be compared with all other sites, the beta diversity values are not
266 independent. To account for this, we included datasets, `site1` within each dataset (the first site in
267 the site pair), and `site2` within each site (the other site in the site pair) as random terms in the
268 LMMs (cf. Li and Waller 2017). The workflow of this study is outlined in Fig. 1. All analyses were
269 conducted with R v3.4.3 (R Core Team 2017).

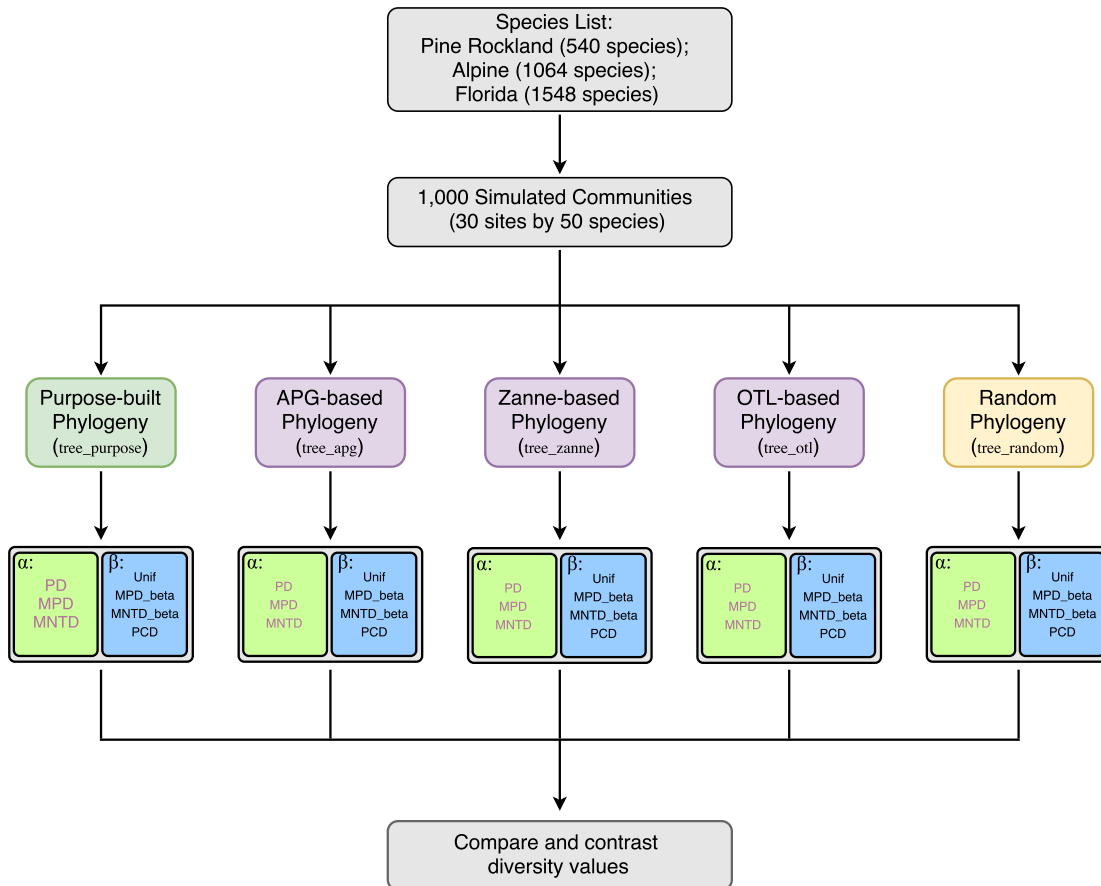


Figure 1: Workflow to assess effects of commonly used synthesis phylogenies on phylogenetic diversity estimations. Abbreviations: APG, Angiosperm Phylogeny Group; OTL, Open Tree of Life; PD, Faith's Phylogenetic diversity; MPD, Mean pairwise distance; MNTD, Mean nearest taxon distance; Unif, Unifraction; PCD, Phylogenetic community dissimilarity.

270 **Results**

271 **Alpha diversity**

272 Phylogenetic alpha diversity (PD, MPD, and MNTD) values calculated with different phylogenies
273 (tree_purpose, tree_apg, tree_zanne, and tree_otl) were highly correlated. The median
274 Spearman's correlation of the 1000 simulations was larger than 0.63 across all comparisons ($p <$
275 0.05 for all simulations and comparisons; Fig. 2). In most cases, the median Spearman's correlation
276 was larger than 0.85, especially for PD and MPD. Therefore, PD and MPD were more robust to
277 varying the source of the phylogeny than MNTD. Across all comparisons, diversity values based
278 on tree_otl showed the highest correlations with those based on tree_purpose, with an average
279 correlation across all comparisons of 0.902. As expected, diversity values based on the random
280 phylogeny tree_random were not correlated with diversity values based on other phylogenies,
281 with median Spearman's correlations close to zero (Fig. 2).

282 The slopes of linear mixed models (LMM) were all less than one (Table 1), suggesting that diversity
283 values based on synthesis phylogenies generally over-estimated the diversity values based on the
284 purpose-built phylogenies. The PD metrics based on the Open Tree of Life phylogeny (tree_otl)
285 had estimates closest to those calculated from the purpose-built phylogenies (Table 1).

286 **Beta diversity**

287 The phylogenetic beta diversity results (Unfi, MPD_beta, MNTD_beta, and PCD) show a similar
288 pattern to the alpha diversity results. Beta diversity of community pairs based on different
289 phylogenies was also highly correlated, with the median Spearman's correlation from the 1000
290 simulations greater than 0.69 across all comparisons (Fig. 3). Overall, phylogenetic beta diversity is
291 more sensitive to the source of the phylogeny than alpha diversity. MPD_beta is the most robust
292 beta diversity metric to the source of the phylogeny, followed by MNTD_beta, Unif, and PCD.

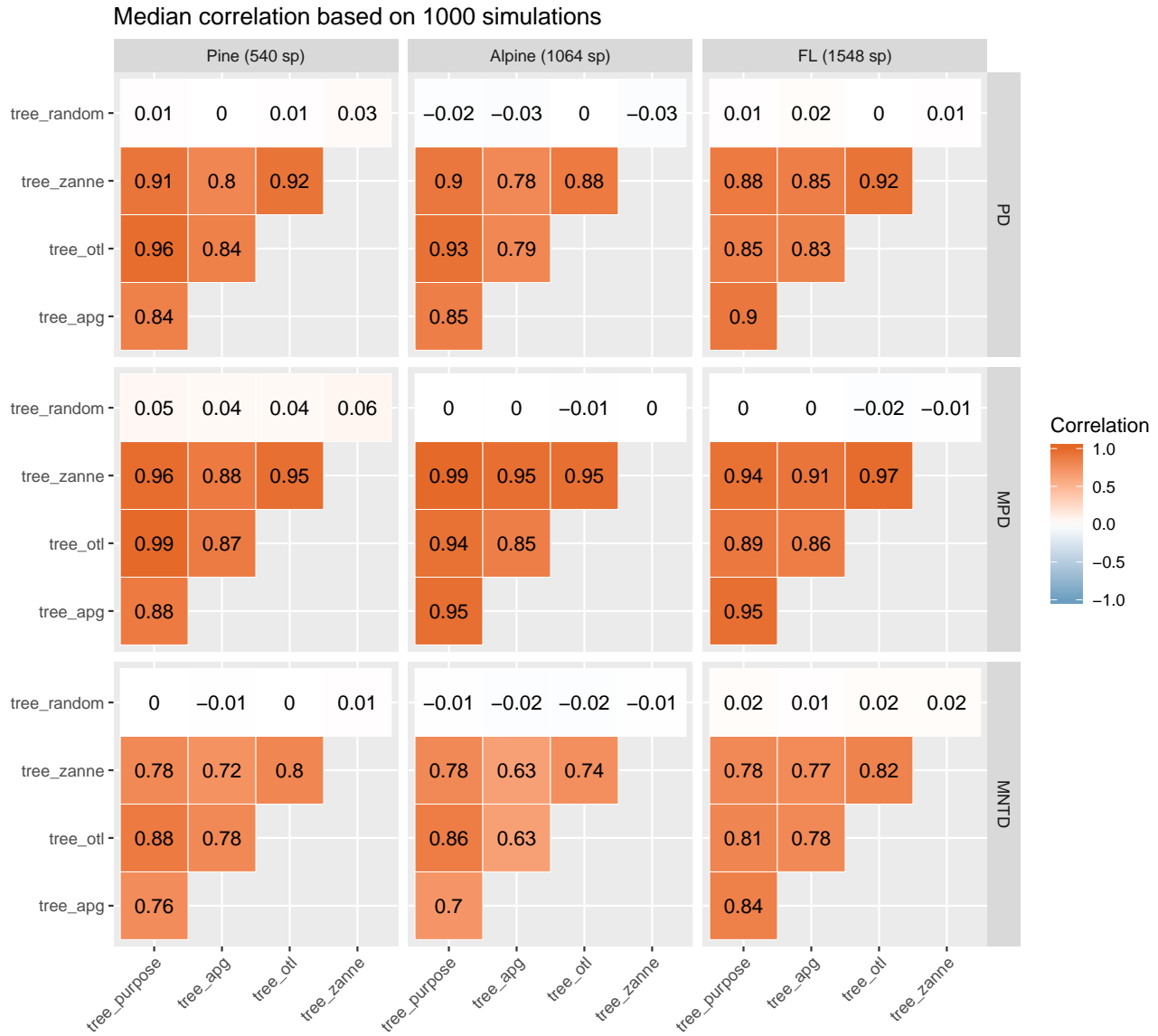


Figure 2: Median correlations of phylogenetic alpha diversity values based on different phylogenies.

Table 1: Slopes based on linear mixed models (LMMs). Within the model, the response variable is the phylogenetic alpha diversity values based on the purpose-built phylogeny; the predictor is the phylogenetic alpha diversity values based on one of the synthesis phylogenies (tree_apg, tree_zanne, tree_otl, and tree_random). Therefore, slopes less than one indicate overestimations. Numbers within parentheses are the 95% confidence intervals for the slopes.

index	dataset	tree_apg	tree_zanne	tree_otl	tree_random
PD	Pine (540 sp)	0.843 (0.837, 0.849)	0.917 (0.913, 0.922)	0.971 (0.969, 0.974)	-0.001 (-0.013, 0.01)
PD	Alpine (1064 sp)	0.854 (0.848, 0.86)	0.915 (0.91, 0.919)	0.937 (0.933, 0.941)	-0.022 (-0.034, -0.01)
PD	FL (1548 sp)	0.92 (0.916, 0.924)	0.891 (0.886, 0.896)	0.871 (0.865, 0.876)	0.006 (-0.005, 0.018)
MPD	Pine (540 sp)	0.891 (0.885, 0.896)	0.972 (0.969, 0.974)	0.996 (0.995, 0.997)	0.047 (0.036, 0.059)
MPD	Alpine (1064 sp)	0.957 (0.954, 0.96)	0.997 (0.997, 0.998)	0.941 (0.937, 0.945)	0.004 (-0.008, 0.015)
MPD	FL (1548 sp)	0.962 (0.958, 0.965)	0.95 (0.946, 0.953)	0.895 (0.889, 0.9)	-0.002 (-0.014, 0.009)
MNTD	Pine (540 sp)	0.78 (0.773, 0.788)	0.787 (0.78, 0.794)	0.897 (0.892, 0.902)	0.006 (-0.006, 0.017)
MNTD	Alpine (1064 sp)	0.713 (0.705, 0.721)	0.794 (0.787, 0.801)	0.874 (0.869, 0.88)	-0.016 (-0.028, -0.004)
MNTD	FL (1548 sp)	0.856 (0.85, 0.862)	0.797 (0.79, 0.804)	0.831 (0.824, 0.837)	0.03 (0.018, 0.041)

293 Again, PD metrics based on tree_otl showed the highest correlation with metrics based on the
 294 purpose-built tree, followed by tree_zanne and tree_apg. Beta diversity values based on
 295 tree_random did not correlate with values based on any other phylogeny.

296 The slopes of LMMs were generally less than one (Table 2), suggesting over-estimates of beta
 297 diversity from the synthesis-based phylogenies compared with the purpose-built phylogenies.
 298 However, slopes for MPD_beta values based on tree_otl were all greater than one, suggesting
 299 that beta PD metrics were under-estimated when compared to those calculated from the
 300 purpose-built trees. Metrics based on tree_zanne for the flora of Florida dataset were also
 301 under-estimated (Table 2). For the other beta diversity metrics (i.e., Unif, MNTD_beta, and PCD),
 302 tree_otl generally gave results closer to those based on the purpose-built trees than did the other
 303 synthesis-based phylogenies.

304 Discussion

305 We examined how different phylogenies, purpose-built and synthesis-based, influenced
 306 phylogenetic alpha and beta diversity measures commonly used in community phylogenetic

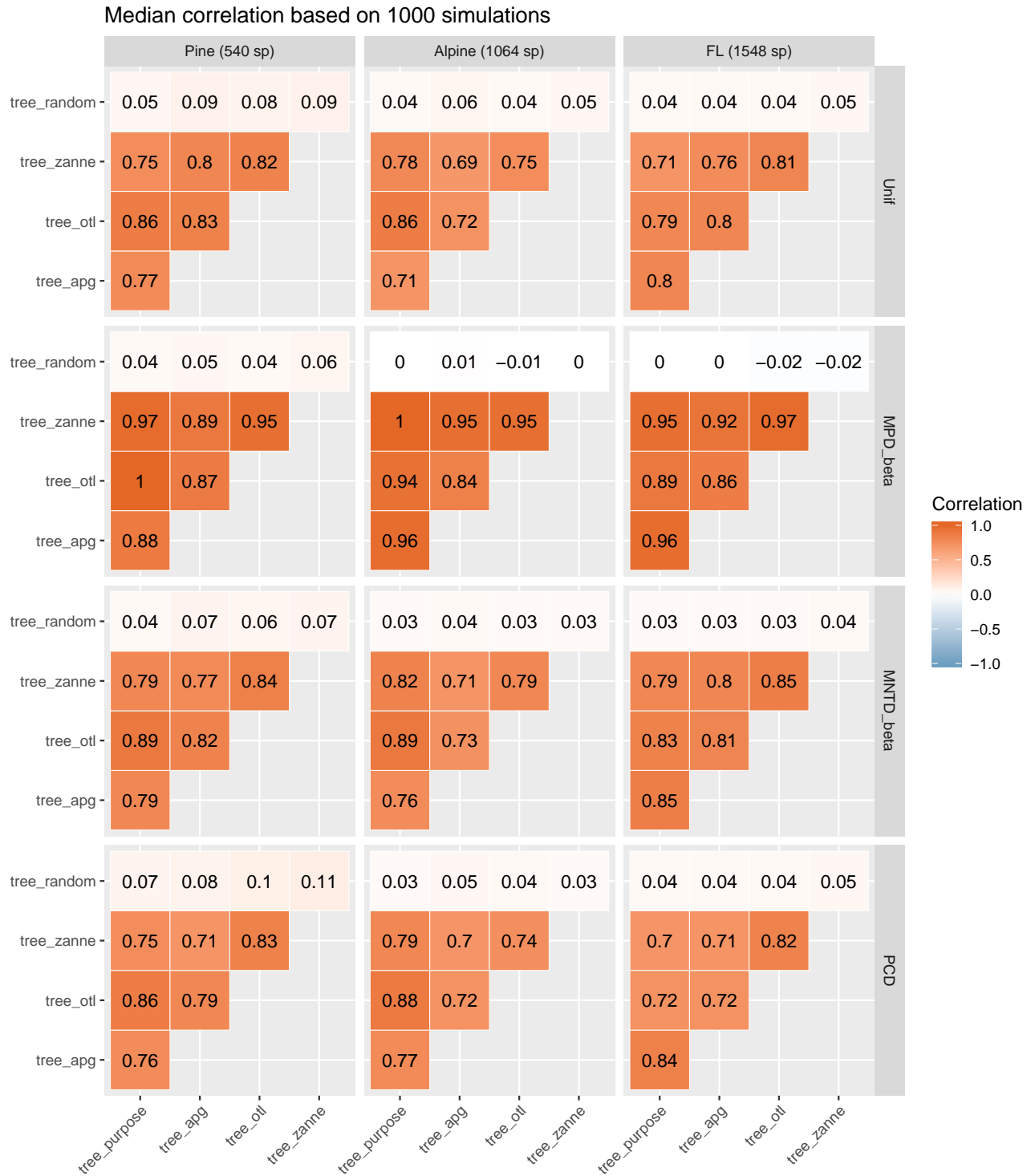


Figure 3: Median correlations of phylogenetic beta diversity values based on different phylogenies.

Table 2: Slopes based on linear mixed models (LMMs). Within the model, the response variable is the phylogenetic beta diversity values based on the purpose-built phylogeny; the predictor is the phylogenetic beta diversity values based on one of the synthesis phylogenies (tree_apg, tree_zanne, tree_otl, and tree_random). Therefore, slopes less than one indicate overestimations, and slopes greater than one are underestimates. Numbers within parentheses are the 95% confidence intervals for the slopes.

index	dataset	tree_apg	tree_zanne	tree_otl	tree_random
Unif	Pine (540 sp)	0.823 (0.816, 0.83)	0.791 (0.785, 0.797)	0.87 (0.866, 0.875)	0.054 (0.04, 0.067)
Unif	Alpine (1064 sp)	0.806 (0.798, 0.815)	0.87 (0.863, 0.876)	0.895 (0.89, 0.9)	0.063 (0.052, 0.074)
Unif	FL (1548 sp)	0.871 (0.865, 0.877)	0.792 (0.785, 0.8)	0.815 (0.809, 0.822)	0.065 (0.052, 0.078)
MPD_beta	Pine (540 sp)	0.343 (0.336, 0.35)	0.967 (0.959, 0.975)	1.249 (1.234, 1.263)	0.011 (0.004, 0.017)
MPD_beta	Alpine (1064 sp)	0.801 (0.794, 0.807)	0.977 (0.975, 0.98)	1.12 (1.104, 1.137)	0.002 (-0.002, 0.006)
MPD_beta	FL (1548 sp)	0.788 (0.78, 0.797)	1.347 (1.331, 1.363)	1.815 (1.787, 1.842)	-0.003 (-0.007, 0.001)
MNTD_beta	Pine (540 sp)	0.855 (0.846, 0.864)	0.854 (0.846, 0.862)	0.931 (0.926, 0.937)	0.049 (0.038, 0.061)
MNTD_beta	Alpine (1064 sp)	0.891 (0.883, 0.9)	0.948 (0.941, 0.955)	0.94 (0.935, 0.945)	0.062 (0.051, 0.073)
MNTD_beta	FL (1548 sp)	0.788 (0.782, 0.793)	0.759 (0.752, 0.765)	0.752 (0.746, 0.758)	0.035 (0.024, 0.045)
PCD	Pine (540 sp)	0.855 (0.847, 0.864)	0.836 (0.827, 0.846)	0.876 (0.869, 0.884)	0.083 (0.07, 0.095)
PCD	Alpine (1064 sp)	0.824 (0.816, 0.832)	0.909 (0.9, 0.918)	0.905 (0.898, 0.911)	0.076 (0.065, 0.088)
PCD	FL (1548 sp)	0.805 (0.798, 0.812)	0.755 (0.747, 0.763)	0.727 (0.718, 0.735)	0.052 (0.039, 0.066)

307 analyses. We found two main results. First, the synthesis phylogenies generally over-estimated
308 phylogenetic diversity compared with purpose-built phylogenies. This is not surprising because
309 synthesis phylogenies generally have higher proportions of polytomies than purpose-built ones,
310 which, in turn, leads to larger distances between species within these polytomies. This result
311 agrees with Boyle and Adamowicz (2015) and Qian and Zhang (2016) but contradicts Swenson
312 (2009), who found that phylogenies with more polytomies under-estimated phylogenetic diversity.
313 Second, phylogenetic diversity values calculated from synthesis trees were highly correlated with
314 those based on purpose-built phylogenies, even if they were over-estimated. These results hold for
315 both alpha and beta diversity and for phylogenies with different numbers of tips. While our study
316 focuses on plants, we expect that our results will generalize to any taxonomic group. Therefore,
317 phylogenies derived from synthesis trees can provide similar results to purpose-built phylogenies
318 while saving effort and time when quantifying and comparing phylogenetic diversity of
319 communities.

320 One main reason for this conclusion is that, as ecologists and conservation biologists, we mostly
321 care about the relative diversity among communities instead of their absolute diversity. For

322 example, for a set of communities within one region, we may be interested in which communities
323 have the highest/lowest phylogenetic diversity. The absolute phylogenetic diversity of each
324 community does not mean much without comparing it to other communities. Because
325 phylogenetic values based on different phylogenies are highly correlated with each other, the
326 information available for community phylogenetic questions does not differ much between
327 approaches. Even though such synthesis phylogenies may over-estimate absolute phylogenetic
328 diversity for communities, the relative phylogenetic diversity among communities will be similar
329 to those calculated from typically better resolved but less accessible phylogenies. Based on the
330 information provided by relative values of phylogenetic diversity, the potential improved
331 resolution of purpose-built trees for calculating the absolute PD may not be worth the effort for
332 community phylogenetic questions.

333 Our finding that phylogenetic diversity metrics are relatively insensitive to the phylogenies from
334 which they are derived has been supported by other recent studies. For example, using simulated
335 fully bifurcating and gradually unresolved phylogenies, Swenson (2009) found that phylogenetic
336 diversity measures are generally robust to the uncertainty of the phylogenies, especially if the
337 uncertainty is concentrated in recent nodes of the phylogeny. Using multiple posterior
338 phylogenies of bats, Patrick and Stevens (2014) rearranged branches across these phylogenies and
339 also found that phylogenetic diversity measures are robust to the phylogenies from which they are
340 calculated. More recently, Cadotte (2015) transformed a phylogeny with different evolution models
341 and found that phylogenetic diversity measures are insensitive to the branch lengths of the
342 phylogeny; getting the topology right is more important when calculating phylogenetic diversity.
343 Qian and Zhang (2016) found similar phylogenetic diversity values of the angiosperm tree flora of
344 North America based on phylogenies derived from Zanne et al. (2014) and Phylomatic (Webb and
345 Donoghue 2005). These studies, however, only focused on alpha diversity. Our study extends the
346 literature by also examining the effects of phylogenies on beta diversity. We found the same
347 pattern for beta diversity and alpha diversity. Taken together, a general pattern emerges:
348 community phylogenetic alpha and beta diversity metrics are robust to reasonably good modern

349 phylogenies.

350 Why are phylogenetic diversity values from purpose-built and synthesis phylogenies highly
351 correlated? There are two possible reasons. First, both purpose-built and synthesis phylogenies
352 likely share a similar systematic backbone and empirical resources such as genes, taxonomies, and
353 expert knowledge. This guarantees that phylogenetic diversity based on these phylogenies will not
354 be dramatically different. Second, phylogenetic diversity metrics aggregate (by summing or
355 averaging) all information into one value for each site, which could help buffer most uncertainty
356 and further mask most of the differences between different phylogenies.

357 Our results should encourage ecologists to increasingly include phylogenetic analyses in
358 community ecology studies given the growing accessibility of synthesis phylogenies and the
359 robustness of phylogenetic diversity measures based on them. However, our results should not
360 discourage the construction of purpose-built phylogenies, which are clearly valuable for many
361 ecological and evolutionary questions. This is especially the case for purpose-built trees
362 constructed from local DNA samples. The sequencing of species in a given community can yield
363 data for species that have never been sequenced before. These new sequences can then be
364 incorporated into synthesis trees, improving their resolution for future research. Direct
365 sequencing of samples collected for a community is also important when the community contains
366 un-described (Pons et al. 2006) or cryptic species (Hebert et al. 2004). Furthermore, for many
367 taxonomic groups, synthesis trees are not available or are far too poorly sampled, and constructing
368 purpose-built trees is the only approach possible for community phylogenetic analyses.

369 **Conclusion**

370 Community phylogenetics is rapidly becoming an important component of community ecology,
371 macroecology, and biodiversity conservation (Webb et al. 2002, Vamosi et al. 2009). For
372 calculations and comparisons of phylogenetic diversity of communities, an important question

373 arises: can we derive phylogenies from already-available synthesis trees, or should we generate
374 our own purpose-built phylogenies? Our results suggest that phylogenies derived from common
375 synthesis trees over-estimate phylogenetic diversity metrics when compared to purpose-built trees,
376 but values of phylogenetic diversity are highly correlated with purpose-built metrics. Particularly,
377 the Open Tree of Life, which includes all major phylogenetic groups (e.g. plants, birds, fishes,
378 mammals, fungi, Archaea, Bacteria, etc.), produced the most similar values of phylogenetic
379 diversity when compared to metrics derived from purpose-built trees. Furthermore, a recently
380 updated Open Tree of Life phylogeny for seed plants has branch lengths calculated based on
381 molecular data (Smith and Brown 2018). With new data and studies continuously being integrated
382 into synthesis trees such as the Open Tree of Life, these resources are poised to continue to
383 improve rapidly. As a result, for comparing phylogenetic diversity among communities, we
384 recommend taking advantage of recent well-developed products such as the Open Tree of Life.

385 **Data Accessibility**

386 All phylogenies and R code used will be uploaded to figshare upon acceptance.

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