

1 **Experimental demonstration that screening can enable the** 2 **environmental recruitment of a defensive microbiome**

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4 Tabitha Innocent^{1*†}, Neil Holmes^{2*}, Mahmoud Al Bassam^{2††}, Morten Schiøtt¹, István
5 Scheuring^{3,4}, Barrie Wilkinson⁵, Matthew I Hutchings^{2†}, Jacobus J Boomsma^{1†}, and
6 Douglas W Yu^{2,6,7†}

7
8 ¹ Centre for Social Evolution, Department of Biology, University of Copenhagen, 2100
9 Copenhagen Ø, Denmark

10 ² School of Biological Sciences, University of East Anglia, Norwich Research Park, Norwich,
11 Norfolk NR4 7TJ United Kingdom

12 ³ MTA-ELTE Theoretical Biology and Evolutionary Ecology Research Group, Pázmány Péter
13 sétány, 1/C, 1117, Budapest, Hungary

14 ⁴ MTA Centre for Ecological Research, Evolutionary Systems Research Group, Klebelsberg K. u.
15 3, 8237, Tihany, Hungary

16 ⁵ Department of Molecular Microbiology, John Innes Centre, Norwich Research Park, Norwich,
17 Norfolk NR4 7UH United Kingdom

18 ⁶ State Key Laboratory of Genetic Resources and Evolution, Kunming Institute of Zoology,
19 Chinese Academy of Sciences, Kunming Yunnan, 650223 China

20 ⁷ Center for Excellence in Animal Evolution and Genetics, Chinese Academy of Sciences,
21 Kunming Yunnan, 650223 China

22 * Joint first authors

23 † Correspondence authors: tabitha.innocent@bio.ku.dk, m.hutchings@uea.ac.uk,
24 jjboomsma@bio.ku.dk, dougwyu@mac.com

25 †† Current address: Division of Host-Microbe Systems & Therapeutics, Department of Pediatrics,
26 University of California, San Diego, La Jolla, California 92093, USA

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39 comments from all other authors.

40 **Abstract**

41 Many animals and plants recruit beneficial microbes from the environment, enhancing
42 their defence against pathogens. However, we have only a limited understanding of
43 the assembly mechanisms involved. A game-theoretical concept from economics,
44 *screening*, potentially explains how a host can selectively recruit antibiotic-producing
45 microbes from the environment, by fomenting and biasing competition among
46 potential symbionts in such a way that the likely winners are mutualists. The cuticular
47 microbiomes of *Acromyrmex* leaf-cutting ants inspired one of the first applications of
48 screening theory to mutualisms, and here we use inoculation experiments to test the
49 efficacy of screening *in vitro*. Using agar infused with antibacterial metabolites from
50 the ants' vertically transmitted *Pseudonocardia* symbionts, we show that secondary
51 antibiotic-producing bacteria have higher growth rates than do non-producer strains
52 and are more likely to win in direct competition. Our results demonstrate how game-
53 theoretical concepts from economics can provide powerful insight into host-
54 microbiome coevolution.

55 **Introduction**

56 Many, perhaps most, animal and plant species recruit multiple strains of beneficial
57 microbes from the environment, with one of the most common benefits being defence
58 against pathogens (Kaltenpoth 2009; Barke *et al.* 2011; Seipke *et al.* 2012; Antwis *et*
59 *al.* 2015; Loudon *et al.* 2016; Duarte *et al.* 2018; Engl *et al.* 2018). It is widely
60 expected that diverse microbiomes provide a more reliable defence against pathogens
61 than do single-species microbiomes, by allowing the equivalent of multi-drug therapy
62 (reviews in Scheuring & Yu 2012; Seipke *et al.* 2012; Antwis & Harrison 2018;
63 Duarte *et al.* 2018; Engl *et al.* 2018). However, despite an abundance of recent

64 studies, two recent reviews still conclude that “our ability to make predictions about
65 these dynamic, highly complex communities is limited” (Hoye & Fenton 2018), and
66 that “integration between theory and experiments is a crucial ‘missing link’ in current
67 microbial ecology” (Widder *et al.* 2016).

68 *The Problem of Hidden Information.* – We have previously shown that a game-
69 theoretical approach provides a powerful shortcut to modelling the coevolution of
70 host-microbiome assemblies. Specifically, screening theory from economics (Archetti
71 *et al.* 2011a; b; Scheuring & Yu 2012) conceptualises symbioses as a Principal-Agent
72 game (Edwards *et al.* 2006; Weyl *et al.* 2010), in which a Principal (host) tries to
73 recruit one or more suitable Agents (symbionts) out of all possible Agents (e.g. all
74 microbes in the environment), some or most of which are unsuitable. The challenge is
75 that the Principal does not know which Agents are suitable – that is, *the Agent’s*
76 *characteristics are hidden*. This is the Problem of Hidden Information. The equivalent
77 statement in evolutionary biology is that in horizontally acquired symbioses, a host is
78 under selection to recruit mutualistic symbionts out of a species pool that includes
79 both commensals and parasites, but the host is faced with the Partner Choice problem
80 of not being able to recognise which species are mutualistic (Bull & Rice 1991).

81 Principal-Agent theory provides two solutions to the Problem of Hidden Information:
82 *signalling* and *screening* (Archetti *et al.* 2011a; b). Honest signalling uses the display
83 of costly phenotypes to reveal the quality of potential partners (Spence 1973; Grafen
84 1990), but it is difficult to envision a cost that can signal cooperativeness *per se* (but
85 see Archetti *et al.* 2016). Also, even if the host is able to discern symbiont qualities,
86 the host might be unable to actively choose amongst the symbionts. Both problems
87 apply to the recruitment of defensive symbionts where the host cannot use *signalling*
88 because the host has no mechanism for actively choosing amongst symbiont lineages,

89 cannot identify their characteristics before establishment, or both (Scheuring & Yu
90 2012).

91 Here, we present a set of *in vitro* experiments to demonstrate that ant hosts can
92 instead use *screening* to selectively take up antibiotic-producing microbes from the
93 pool of bacteria in the environment. First, we recap how screening works.

94 *Screening*. – Imagine a host faced with multiple potential symbionts differing in their
95 benefit to the host. For simplicity, we assume two types, mutualistic and parasitic,
96 where the latter includes commensals because they can impose opportunity costs on
97 hosts (Yu 2001). It is possible for the host to selectively ‘screen-in’ mutualistic
98 symbionts, provided that the host evolves a ‘demanding environment’, which imposes
99 a cost on colonising symbionts that is easier to endure if they are mutualists. The
100 benefit of enduring the cost is a host-provided reward that is high enough for the
101 mutualist to enjoy a net benefit (see Box 1 for a human example).

102 Screening succeeds if the nature and size of the cost and benefit evolve so that only
103 the mutualist reaps a sufficiently large net benefit for natural selection to reward
104 colonisation of the host over any alternatives, including free living. Multiple
105 screening mechanisms appear to exist in nature (Archetti *et al.* 2011a; b; see also
106 Ranger *et al.* 2018). In particular, we have proposed that hosts evolve to foment
107 competition among potential symbionts in such a way that the winners have a high
108 probability of being mutualists, which we call *competition-based screening*. A good
109 example is given by Heil (2013), who studied *Acacia* ant-plants, which can be
110 colonised by ant species that either protect their hostplants (mutualists) or not
111 (parasites). *Acacia* species that provide high amounts of food and housing to incipient
112 ant colonies promote colony growth and worker activity, and the colony that wins the
113 hostplant is the one whose workers kill off the other incipient colonies. Having

114 numerous, aggressive workers is also the defining characteristic of a mutualistic
115 colony, since the workers attack herbivores. Thus, the demanding environment is
116 interference competition amongst ants, fueled by the hostplant, and the ant species
117 that are best able to endure this cost are the aggressive ones, which is correlated with
118 their mutualistic service to the plant. The benefit of enduring the demanding
119 environment is the high levels of plant-provided reward. This benefit, minus the cost
120 of the risk of colony death due to fighting, is presumably greater than the alternative
121 fitness from living in other microhabitats. What makes this an especially satisfying
122 example is that there also exist *Acacia* species that provide low amounts of food and
123 housing, and these species are regularly colonised by parasitic, non-defending ant
124 colonies, whereas high-reward *Acacia* species are rarely colonised by parasitic ants.

125 **Box 1. A human example of a successful screening mechanism**

126 Any automobile-breakdown insurance company is faced with a *hidden information*
127 problem. Potential clients differ in the probability that their cars will need rescue.

128 Owners of poor-quality cars are more willing to purchase insurance but will impose
129 higher costs on insurers with their more frequent callouts. Owners of high-quality cars
130 are less willing to pay for insurance but would be more profitable to insurers. The
131 challenge for insurers is to find a way to charge a higher price to owners of poor-
132 quality cars and a lower price to owners of high-quality cars, without needing to
133 inspect the cars.

134 One solution can be viewed at www.rac.co.uk/breakdown-cover (accessed 30 May
135 2018). Adding the ‘At home cover’ rescue option costs an additional £5/month, nearly
136 doubling the cost of the cheapest cover at £5.50/month. Poor-quality cars run a
137 nontrivial risk of failing to start in the morning. For high-quality cars, this risk is

138 negligible. In screening terms, the absence of ‘At Home’ recovery is *a burden that*
139 *owners of high-quality cars are better able to endure*. If priced and designed
140 correctly, the two types of owners will voluntarily sign up for the two different
141 coverage levels.

142 A similar design challenge applies to costly, honest signalling, in which the signal has
143 to be costly in a way that reveals a specific hidden quality. For instance, an expensive
144 car is good at signalling wealth and poor at signalling fidelity or niceness.

145 *Attine defensive microbiomes*. – We have argued that competition-based screening is
146 consistent with several biological details of the defensive cuticular microbiomes of
147 attine fungus-growing ants (Scheuring & Yu 2012). These microbiomes produce
148 antibiotics that can control specialised crop diseases, in particular mycopathogens of
149 the genus *Escovopsis* (Currie *et al.* 2006), and may also provide brood hygienic
150 protection (Mattoso *et al.* 2012). They are dominated by Actinobacteria, which are
151 renowned as antibiotic producers (Kaltenpoth 2009; Barka *et al.* 2016; Worsley *et al.*
152 2018), but only species of the actinobacterial genus *Pseudonocardia* are documented
153 to be vertically transmitted and sufficiently well-studied to qualify as a co-evolving
154 symbiont of attine ants (Cafaro *et al.* 2011; Andersen *et al.* 2013). Other detected
155 actinobacterial strains (a less restrictive term used in preference to ‘species’ in
156 microbiology) might also be resident but are horizontally acquired, or alternatively
157 might be transient and provide no benefits. Although the relevance of these two
158 transmission routes is not in doubt, their relative importance has been extensively
159 debated without consensus (Sen *et al.* 2009; Cafaro *et al.* 2011; Mueller 2012).
160 Scheuring and Yu’s (2012) model tried to resolve this debate by pointing out that the
161 *Pseudonocardia* biofilm coats the worker surface in antibacterial compounds,

162 rendering this a demanding environment that we expect antibiotic-resistant strains to
163 be best able to endure. This is because antibiotic-producing bacteria must also have
164 antibiotic resistance, or they would commit suicide when producing antibacterial
165 compounds. Thus, we expect the quality that allows potential symbionts to endure this
166 demanding environment (resistance to antibacterials) to be strongly correlated with
167 the quality that makes them mutualistic (antibiotic production). Attine biology
168 supports the assumption that the demanding environment is paired with high rewards,
169 because the genus-level lineages that host actinobacterial biofilms appear to feed them
170 with subcuticular exocrine glands (Currie *et al.* 2006) – although the secretions of
171 these glands have not yet been characterised.

172 Screening can potentially explain two other aspects of attine ant biology. Firstly, in
173 the crown-group genus *Acromyrmex*, newly hatched workers are inoculated with
174 *Pseudonocardia* by their sister workers (Poulsen *et al.* 2003b; Andersen *et al.* 2013;
175 Marsh *et al.* 2014), and the monoculture biofilm subsequently blooms over almost the
176 entire worker surface, then retreats to the ant's ventral chest plates after ca. 25 days,
177 when the workers start to forage outside the nest (Poulsen *et al.* 2003a; Andersen *et*
178 *al.* 2013). At this time it becomes possible to detect the presence of other bacteria on
179 the ant's surface, many of which are also Actinobacteria (Poulsen *et al.* 2005;
180 Andersen *et al.* 2013).

181 Secondly, Andersen *et al.* (2013) have documented that *Acromyrmex* colonies hosting
182 one of the native *Pseudonocardia* species (*P. octospinosus*, also known as 'Ps1') are
183 colonised by a more diverse secondary cuticular microbiome than are *Acromyrmex*
184 colonies hosting the other species (*P. echinator*, 'Ps2') (species described in Holmes
185 *et al.* 2016). For simplicity, we refer to these as Ps1 and Ps2. Screening theory
186 predicts that Ps2 imposes the more demanding environment for secondary invaders,

187 by making stronger or more antibacterials. This would reduce the likelihood of
188 successful colonisation by competitors, but may make the mutualist more costly for
189 the host that provides the resources for its growth. Genomic analysis has confirmed
190 that Ps1 and Ps2 have different sets of secondary metabolite clusters and thus likely
191 produce different spectra of antimicrobial compounds (Holmes *et al.* 2016).

192 *Tests of screening.* – Experimental tests of mechanisms that could promote selective
193 recruitment are missing from the microbiome literature (Widder *et al.* 2016; Hoyer &
194 Fenton 2018). We address this gap with an *in vitro* model emulating the dynamics on
195 the cuticle of *Acromyrmex* ants. Using *Pseudonocardia* cultures, we created two types
196 of environment on agar media: *demanding* (where growth media are infused with
197 secondary metabolites from *Pseudonocardia*), and *undemanding* (where symbiont
198 metabolites were absent). We then introduced a selection of different bacterial strains
199 representing two classes of potential colonisers from the environment – a set of
200 antibiotic-producing Actinobacteria (*producers*) and a set of bacteria that do not
201 produce antibiotics (*non-producers*) – and we tested the prediction that *producers* are
202 better invaders (by measuring growth rates in the demanding environment) than are
203 *non-producers*. We also staged direct-competition experiments between *producers*
204 and *non-producers* on both *demanding* and *undemanding* media.

205 We show that antibiotic-*producers* grow relatively more quickly on *demanding*
206 (toxic) media despite growing relatively more slowly on *undemanding* (non-toxic)
207 media, as predicted by screening theory. This result also parsimoniously explains the
208 outcome of the direct-competition experiment, in which antibiotic-*producers* are
209 superior competitors on toxic media, while *non-producers* are superior competitors on
210 non-toxic media. Finally, we also confirm the assumption that antibiotic-*producers*

211 are more resistant to antibiotics and the prediction that *Pseudonocardia* Ps2 strains
212 are stronger growth-suppressors of other bacteria.

213 **Materials & Methods**

214 We obtained:

215 (1) a set of *Pseudonocardia* Ps1 and Ps2 strains to grow on agar plates, after which
216 we flipped the agar to expose a surface that was open for colonisation but infused
217 with *Pseudonocardia* metabolites. We and others have shown that *Pseudonocardia*
218 releases antibacterials and antifungals when grown in culture (Barke *et al.* 2010;
219 Holmes *et al.* 2016; Van Arnam *et al.* 2016);

220 (2) a set of ‘environmental’ *antibiotic-producing* bacterial strains, defined as not
221 having an evolutionary history of growing on the cuticle of *Acromyrmex* ants;

222 (3) a set of ‘environmental’ *non-antibiotic-producing* bacterial strains, defined as not
223 having an evolutionary history of growing on the cuticle of *Acromyrmex* ants; and

224 (4) a set of bacterial strains that reside in the cuticular microbiomes of *Acromyrmex*
225 workers while being *non-antibiotic-producing*, which in theory should preclude their
226 survival in cuticular biofilms. We asked why these bacteria do not competitively
227 exclude the resident *antibiotic-producers*, since they are presumably resistant to
228 *Pseudonocardia* metabolites but do not pay the cost of producing antibiotics. We use
229 the term ‘resident’ only to indicate that we isolated these strains from *Acromyrmex*
230 cuticles; we do not imply that these strains are true native residents like
231 *Pseudonocardia*.

232 For bacterial growth media, we used Soya Flour + Mannitol (SFM) agar (20g soya
233 flour, 20g mannitol, 20g agar in 1L tap water) and Lysogeny Broth (LB) Lennox (10g
234 tryptone, 5g yeast extract, 5g NaCl in 1L deionised water).

235 *Collection and isolation of bacterial strains*

236 (1) Nineteen Ps1 and Ps2 strains were isolated from the cuticles of individual workers
237 of the leaf-cutting ant *Acromyrmex echinator* (Hymenoptera, Formicidae, Attini).
238 The 19 colonies of these workers were collected in Soberania National Park, Panama,
239 between 2001 and 2014, and reared in climate-controlled rooms at the University of
240 Copenhagen at ca. 25 °C and 70% relative humidity. The propleural chest plates of
241 individual ants – where the white *Pseudonocardia* biofilms grows most conspicuously
242 (Poulsen *et al.* 2003b; Currie *et al.* 2006) – were scraped with a sterile needle under a
243 stereomicroscope and immediately streak-inoculated across Lennox (LB) media under
244 sterile conditions. The plates were then incubated at 30 °C until visible growth of
245 distinctive white ‘cotton ball’ colonies of *Pseudonocardia* were clearly apparent.
246 These individual colonies were removed under sterile (LAF bench) conditions and
247 inoculated onto fresh plates, a process that was repeated until clean *Pseudonocardia*
248 cultures were isolated. The *Pseudonocardia* isolates were then grown on Mannitol
249 Soya Flour (SFM) media (optimal for actinobacterial growth and spore production),
250 from which spore stock solutions were prepared in 20% glycerol and kept at -20 °C
251 until use. Each isolate was genotyped to Ps1 or Ps2 (Supplementary Information S1).
252 Five strains from each of these two species have previously been genome-sequenced,
253 formally described, and functionally characterised as different *Pseudonocardia*
254 species by Holmes et al. (2016): *P. octospinosus* (Ps1) and *P. echinator* (Ps2).

255 (2) The 10 environmental antibiotic-producing strains (all *Streptomyces*) were taken
256 from general collections in the Hutchings lab (Tables 1, S2).

257 (3) The 10 environmental non-antibiotic-producing strains were obtained from the
258 Hutchings lab (2 strains) and from the ESKAPE suite (8 strains) – a set with varying
259 origins (human skin, soil, etc.) associated with hospital-acquired infections and used
260 to test antibiotic resistance or efficacy in clinical/research settings (Tables 1, S2).

261 (4) The 10 *Acromyrmex*-resident, non-antibiotic-producing strains were isolated from
262 *A. echinator* and *A. octospinosus* ants by culturing from cuticular washes or from the
263 *Pseudonocardia* isolations above. These strains were 16S-genotyped and where
264 possible assigned to genus level by comparison to the NCBI 16S RefSeq database
265 (Supplementary Information S1, Tables 1, S2).

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275 **Table 1.** The bacterial strains used in our experiments: the 19 *Pseudonocardia* strains isolated from
 276 *Acromyrmex echinatior* (columns 1 and 2); the 10 environmental *antibiotic-producer* strains (column 3);
 277 the 10 environmental *antibiotic-non-producer* strains (column 4); and the 10 *Acromyrmex-resident*,
 278 *antibiotic-non-producer* strains (column 5). Details for all strains not isolated from *Acromyrmex* in Table
 279 S2.

8 <i>Pseudonocardia</i> Ps1 strains (colony of origin)	11 <i>Pseudonocardia</i> Ps2 strains (colony of origin)	10 environmental antibiotic-producing strains (all <i>Streptomyces</i>)	10 environmental non-producer strains	10 <i>Acromyrmex-resident</i> non-producer strains
Ae356*	Ae406*	S1. <i>Streptomyces</i> M1146	St1. <i>Escherichia coli</i>	Sr1. <i>Ochrobactrum sp.</i>
Ae263*	Ae160	S2. <i>S. lividans</i>	St2. <i>Lysobacter antibioticus</i>	Sr2. <i>Erwinia sp.</i>
Ae322	Ae505*	S3. <i>S. coelicolor</i>	St3. <i>Bacillus subtilis</i>	Sr3. <i>Acinetobacter sp.</i>
Ae150a*	Ae717*	S4. <i>S. scabies</i>	St4. <i>Pseudomonas putida</i>	Sr4. <i>Sphingobacterium sp.</i>
Ae168*	Ae703	S5. <i>S. venezuelae</i>	St5. <i>Erwinia caratova</i>	Sr5. <i>Acinetobacter sp.</i>
Ae707-CP-A2*	Ae702	S6. <i>S. Ae150A-B1</i>	St6. <i>Enterobacter aerogenes</i>	Sr6. <i>Luteibacter sp.</i>
Ae712†	Ae707	S7. <i>S. Ae356-S1</i>	St7. <i>Acinetobacter baylyi</i>	Sr7. <i>Flavobacterium sp.</i>
Ae280†	Ae331*	S8. <i>S. KY5</i>	St8. <i>Staphylococcus epidermidis</i>	Sr8. <i>Brevundimonas sp.</i>
-	Ae706*	S9. <i>S. S4</i>	St9. <i>Micrococcus luteus</i>	Sr9. <i>Acinetobacter sp.</i>
-	Ae704	S10. <i>S. Amy1</i>	St10. <i>Serratia KY15</i>	Sr10. <i>Brachybacterium sp.</i>
-	Ae715	-	-	-

* *Pseudonocardia* strains that have been genome-sequenced (Holmes *et al.* 2016)

† *Pseudonocardia* strains that were only used in the growth-rate experiment with *Acromyrmex-resident non-producers*.

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281 *Individual growth-rate experiments*

282 We measured bacterial colony growth rates by growing all *producer* and *non-*
 283 *producer* strains on antibiotic-infused and control media.

284 To create the antibiotic-infused media, we grew lawns of the 19 *Pseudonocardia*
 285 isolates (Table 1), plating 30 µl on 90 mm SFM agar plates. The control plates were
 286 inoculated with glycerol only (20%; identical to that used for making solutions from

287 *Pseudonocardia* strains). We incubated these plates at 30 °C for 6 weeks, which
288 ultimately produced lawns from 17 strains that could be included in the experiments
289 (6 Ps1 and 11 Ps2 strains). Once each plate was fully (not necessarily uniformly)
290 covered, we flipped the agar to reveal a surface open for colonisation.

291 The sets of 10 environmental antibiotic-*producers* (all *Streptomyces* spp.) and of 10
292 environmental *non-producers* (Table 1) were inoculated onto the different media
293 types. Each agar plate was inoculated with 10 evenly spaced colonies, with 3
294 replicates, generating 2 invader types x 17 Ps-media-types x 3 replicates = 102 Ps1/2-
295 infused plates and 2 x 3 = 6 control plates, i.e. a total of 1020 treatment inoculations
296 and 60 control inoculations. Each strain inoculation used 5 µl of solution (at
297 approximately 1×10^6 cells per ml in 20% glycerol), spotted at evenly spaced
298 positions and without coming into direct contact. All plates were incubated at 30 °C
299 for five days, after which photographs were taken under sterile conditions.

300 Images were processed in Fiji software (Schindelin *et al.* 2012; Rueden *et al.* 2017),
301 creating binary negatives (black & white) so automated tools could identify discrete
302 areas of growth (regions in black) and measure growth areas for each invading strain;
303 in the few cases where binary image resolution was insufficient, outlines were added
304 manually before area calculation. 48 *producer*-inoculated and 57 *non-producer*-
305 inoculated treatment measurements were excluded because plate condition had
306 deteriorated to become unscorable or they were contaminated, leaving a final sample
307 size of $1020 - 48 - 57 = 915$ treatment inoculations and 60 controls.

308 The second growth-rate experiment compared the 10 *Acromyrmex*-resident, *non*-
309 *producer* strains with 9 of the environmental *producer* strains. All 19 *Pseudonocardia*
310 strains grew sufficiently to be included in this experiment, and each plate was again
311 inoculated with 10 or 9 evenly spaced colonies. Starting sample sizes were therefore 2

312 invader types x 19 Ps-media-types x 3 replicates = 114 Ps1/2-infused plates and 2 x 3
313 = 6 control plates, giving a total of 1083 treatment inoculations and 57 controls. 50
314 *producer* and 20 *non-producer* treatment measurements were excluded for the same
315 reasons as above, giving a final sample of 1083-50-20=1013 treatment inoculations
316 and 57 controls for scoring as described above.

317 *Pairwise competition experiment*

318 Building on the above experiment, where *producer* strains had relatively higher
319 growth rates on *Pseudonocardia*-infused media, we tested whether this growth
320 advantage (plus any direct interference interactions) could result in competitive
321 exclusion of *non-producers*. We co-inoculated pairs of environmental *producers* and
322 *non-producers* on both *Pseudonocardia*-infused media and control media (prepared as
323 in the individual growth-rate experiment), and we measured the outcome of
324 competition as a win, loss, or draw. We predicted that the *producer* strains would be
325 more likely to win when competing on *Pseudonocardia*-infused media.

326 To keep the number of tests manageable, we used only two combinations of
327 *Pseudonocardia* and *Streptomyces*: *Pseudonocardia* Ps1 (strain Ae707) +
328 *Streptomyces* S8 and *Pseudonocardia* Ps2 (strain Ae717) + *Streptomyces* S2 (Table
329 1). These combinations are representative of the results from the growth-rate
330 experiment: the two *Streptomyces* strains grew more slowly than most of the *non*-
331 *producers* on control media and either near the median growth rate of (S8) or faster
332 than (S2) the *non-producers* on *Pseudonocardia*-infused media.

333 We competed *Streptomyces* S8 and S2 against the 10 environmental *non-producer*
334 strains (20 pairings), using spore titrations of S8 and S2 consisting of 10⁶ spores per
335 ml for each strain in 20% glycerol. Non-producers were grown overnight in 10 ml

336 LB-Lennox, subcultured with a 1:100 dilution into a fresh 10 ml LB-Lennox, grown
337 at 37 °C for 3-4 hours, after which OD600 was measured, assuming that OD600 = 1
338 represented 8×10^9 cells. Similar dilutions of 10^6 cells per ml were made for each
339 *non-producer* strain in 20% glycerol, after which *producer* and *non-producer*
340 dilutions were mixed 1:1. Each pair of *producer* and *non-producer* was co-inoculated
341 as a mixture of 20 μ l (10^4 spore-cells of each) on the designated *Pseudonocardia*-
342 infused media (set up as above) with 5 replicates per pairing. We used 150 plates for
343 the S8 experiment (including 100 control plates; 10 replicates per pairing) and 100
344 plates for the S2 experiment (including 50 control plates; 5 replicates per pairing).
345 Plates were incubated at 30 °C for 5 days and then photographed, after which images
346 were scored with respect to the *producer* as: win (dominant growth), draw (both
347 strains growing with no clear dominant), or loss (little or no visible growth), with
348 reference to images of each strain grown alone on control media to minimise observer
349 bias. One plate's outcome was too ambiguous to score and was omitted. All plates
350 were independently scored by two observers, one using only photos, which produced
351 data sets giving the same statistical results. We report the results from the observer
352 who scored from direct observation.

353 *Antibiotic-resistance profiles*

354 The key assumption of screening theory is that antibiotic-*producers* are better at
355 resisting antibiotics, as measured by growth rates in the presence of antibiotics,
356 because this correlation is what allows *producer* strains to better endure the
357 demanding environment produced by *Pseudonocardia*. We tested this assumption by
358 growing the 10 environmental *producer* strains and the 10 environmental *non*-
359 *producer* strains (Table 1, S2) in the presence of 8 different antibiotics, representing a
360 range of chemical classes and modes of action. Antibiotics were added to 1 ml of LB-

361 Lennox medium in a 24-well microtitre plate at 6 different concentrations. The
362 relative concentration range was the same for each antibiotic, although actual
363 concentrations reflected activity (Table S3). *Producers* and *non-producers* were
364 inoculated onto plates and incubated at 30 °C for 7 days, then photographed (Table
365 S3). Lowest Effective Concentration (LEC, lowest concentration with inhibitory
366 effect) and Minimum Inhibitory Concentration (MIC, lowest concentration with no
367 growth) scores were assigned on a Likert scale of 1–6, where 1 was no resistance and
368 6 was resistance above the concentrations tested (adapted from generalised MIC
369 methods; reviewed by Balouiri *et al.* 2016).

370 *Statistical analyses*

371 Initial models revealed correlated residuals by *Pseudonocardia* strain media and by
372 inoculant strain, so we used mixed-effects models to incorporate strain identities as
373 random intercepts, and we nested plate replicate within the *Pseudonocardia* strains.
374 Statistical significance was determined by term deletion, and final-model residuals
375 were approximately homoscedastic and uncorrelated within random factor levels.
376 Analyses were carried out in R 3.4.4 (R Core Team 2017) with *lme4* 1.1-17 (Bates *et*
377 *al.* 2015), *tidyverse* 1.2.1 (Wickham 2017), and *RColorBrewer* 1.1-2
378 (www.colorbrewer.org, accessed 4 Mar 2018). R scripts and data are available in
379 Supplementary Information (S4) and at
380 github.com/dougwyu/screening_Innocent_et_al.

381 **Results**

382 *Individual growth-rate experiments.* – As predicted by the screening model, *non-*
383 *producers* grew more quickly on undemanding control media and *producers* had
384 higher growth rates on the demanding *Pseudonocardia*-infused media, producing a

385 highly significant statistical interaction effect (Fig. 1A). There was also a significant
386 main effect of *Pseudonocardia* genotype, with both *non-producers* and *producers*
387 exhibiting a lower growth rate on Ps2-infused media, relative to Ps1-infused media,
388 consistent with Andersen et al.'s (2013) observation that *Acromyrmex* colonies
389 hosting Ps2-dominated cuticular microbiomes were less prone to secondary invasion
390 by other bacteria.

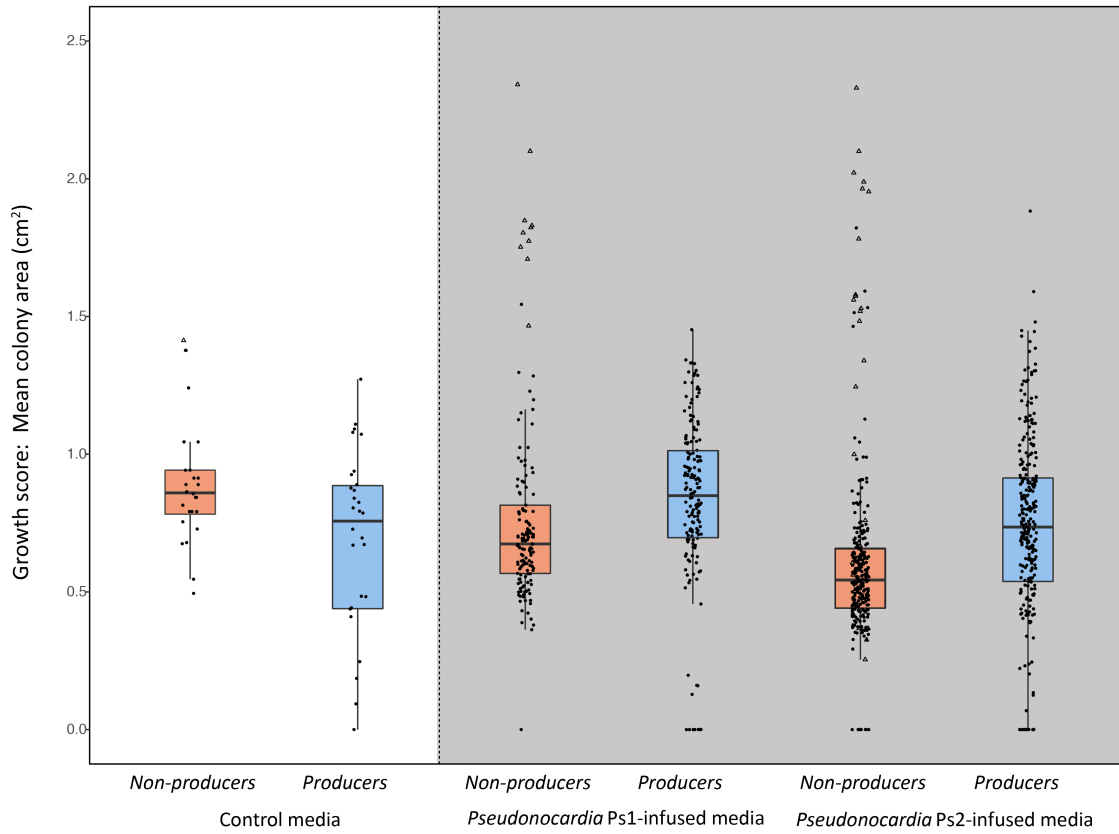
391 The resident *non-producers* isolated from cuticular microbiomes had significantly
392 slower growth rates overall, even on control media without antibiotics (Fig. 1B). This
393 suggests that they are unable to outcompete *producer* strains on the cuticle of
394 *Acromyrmex* ants and raises the question why these *non-producer* species can persist
395 at all.

396

397

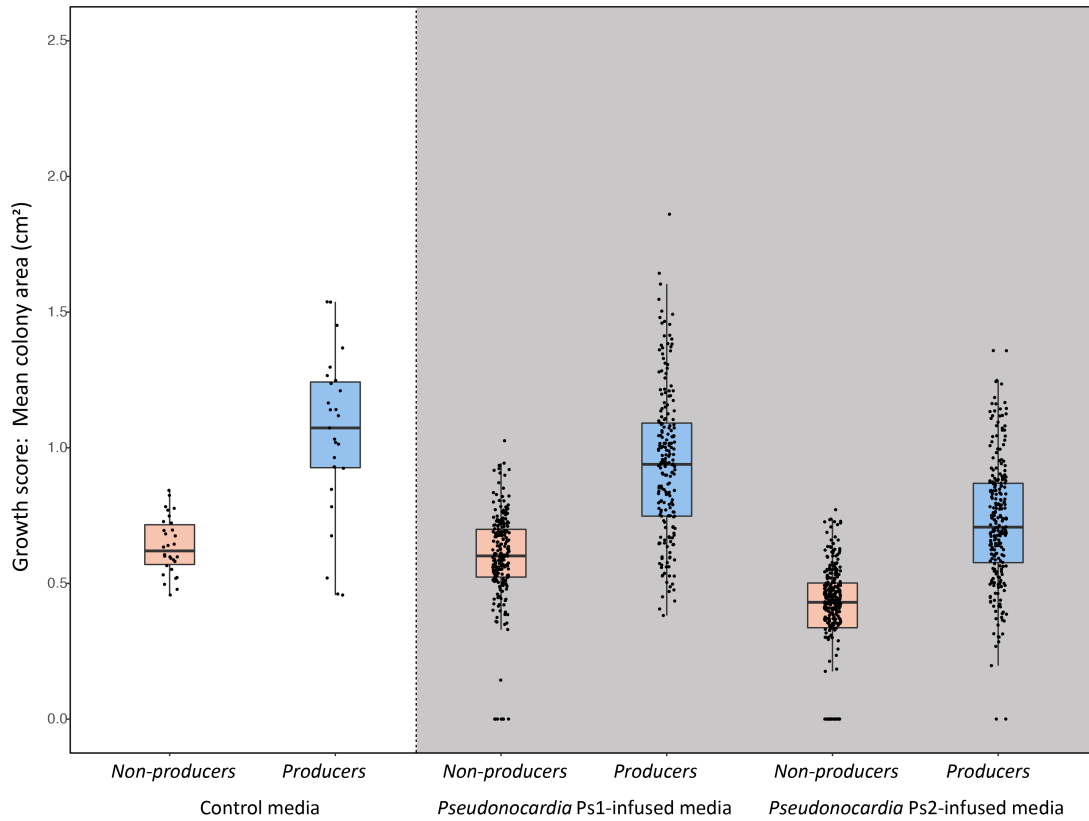
398

A. Growth rates of environmental *non-producers* vs. *producers*



399

B. Growth rates of *Acromyrmex* resident *non-producers* vs. *producers*



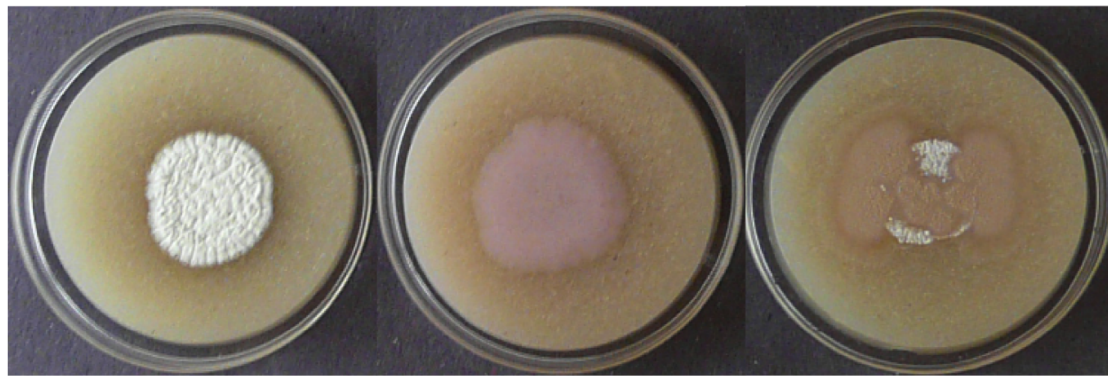
400

401 **Figure 1.** Individual growth-rate experiments. Bacterial colony sizes after 5 days at 30 °C,
402 with the boxplots indicating medians \pm one quartile. The white section shows growth rates
403 on control media, and the grey section shows growth rates on the *Pseudonocardia*-infused
404 media. Coral boxes represent *non-producer* strains, and blue boxes represent *producer*
405 strains. For analysis, a linear mixed-effects model, including *Pseudonocardia* strain
406 (*Ps.strain*, 17 groups) and inoculated bacterial species (*Inv.strain*, 20 groups) as
407 random intercepts, was used to test for interaction and main effects of growth media
408 (Control vs. Ps1.infused vs. Ps2.infused) and antibiotic production
409 (Non.producers vs. Streptomyces): (*lme4::lmer*(Growth.score ~ Media *
410 Antibiotic.production + (1|Ps.strain/Plate) + (1|Inv.strain)). **A.**
411 Environmental strains. There was a highly significant interaction effect, such that
412 environmental non-producer strains grow more rapidly on control media, while
413 *Streptomyces* grow more rapidly on *Pseudonocardia*-infused media ($n = 975$, $\chi^2 = 45.86$, $df =$
414 2 , $p < 0.0001$). There was also a highly significant main effect of *Pseudonocardia* genotype,
415 with growth being lower on Ps2-infused media ($n = 915$, $\chi^2 = 24.55$, $df = 1$, $p < 0.0001$,
416 control-media data omitted for this analysis). One non-producer strain (*Staphylococcus*
417 *epidermidis*) grew more rapidly than all other strains (open triangle points), demonstrating
418 the need to control for correlated residuals by strain. The y-axis has been truncated at 2.5
419 for clarity (the full figure is in Supplementary Information). **B.** *Acromyrmex*-resident, *non-*
420 *producer* strains. There was no interaction effect ($\chi^2 = 2.64$, $df = 2$, $p = 0.27$), but both main
421 effects were highly significant. The *non-producers* grow more slowly on all media types ($\chi^2 =$
422 20.96 , $df = 1$, $p < 0.0001$), and bacterial growth was generally slower on Ps2-infused media
423 ($\chi^2 = 21.43$, $df = 1$, $p < 0.0001$, control-media data omitted).

424

425 *Pairwise competition experiment.* – The two *producer* strains were much more likely
426 to win in direct competition against the *non-producer* strains when grown on the toxic
427 (*Pseudonocardia*-infused) media (Fig. 2), which demonstrates that growth rates in
428 isolation (Fig. 1A) are sufficient predictors of success in competitive interactions,
429 although other interactions, such as antibiotic production by the *Streptomyces*, likely
430 also contribute. These results support the screening model prediction that a
431 demanding environment (facilitated by a host) can determine the profile of successful
432 colonisers of the cuticular microbiome.

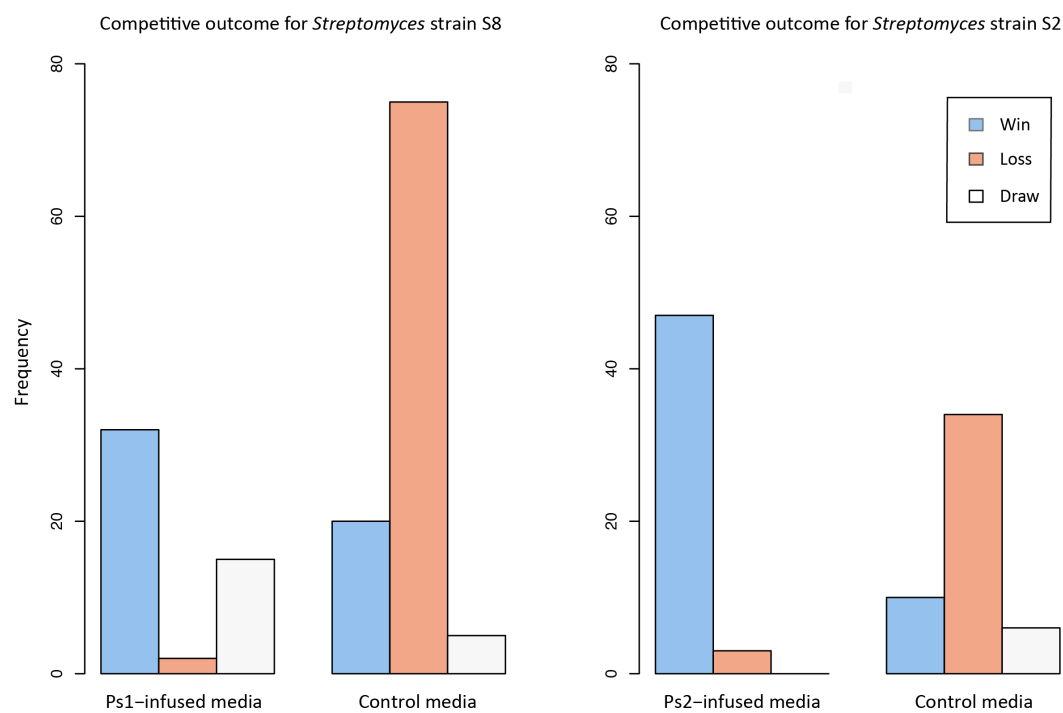
433



Streptomyces win

Streptomyces loss

Draw



434

435 **Figure 2.** Pairwise competition experiment, scoring whether or not *producers* prevail. **A.**

436 Representative images of agar plates at five days post-inoculation showing examples of the

437 three competitive outcomes: Win (S2 vs. St3 on Ps2 media), Draw (S2 vs. St3 on control

438 media), and Loss (S8 vs. St3 on control media; strain details in Table 1). **B.** Barcharts of

439 competitive outcomes for the two *Streptomyces* producer strains (S8 and S2; Table 1), each

440 pairwise co-inoculated with ten non-antibiotic-producer strains on *Pseudonocardia*-infused

441 media and on control media. For analysis, draw outcomes were omitted, and a general

442 linear mixed-effects model, including non-antibiotic-producer strain as a random intercept

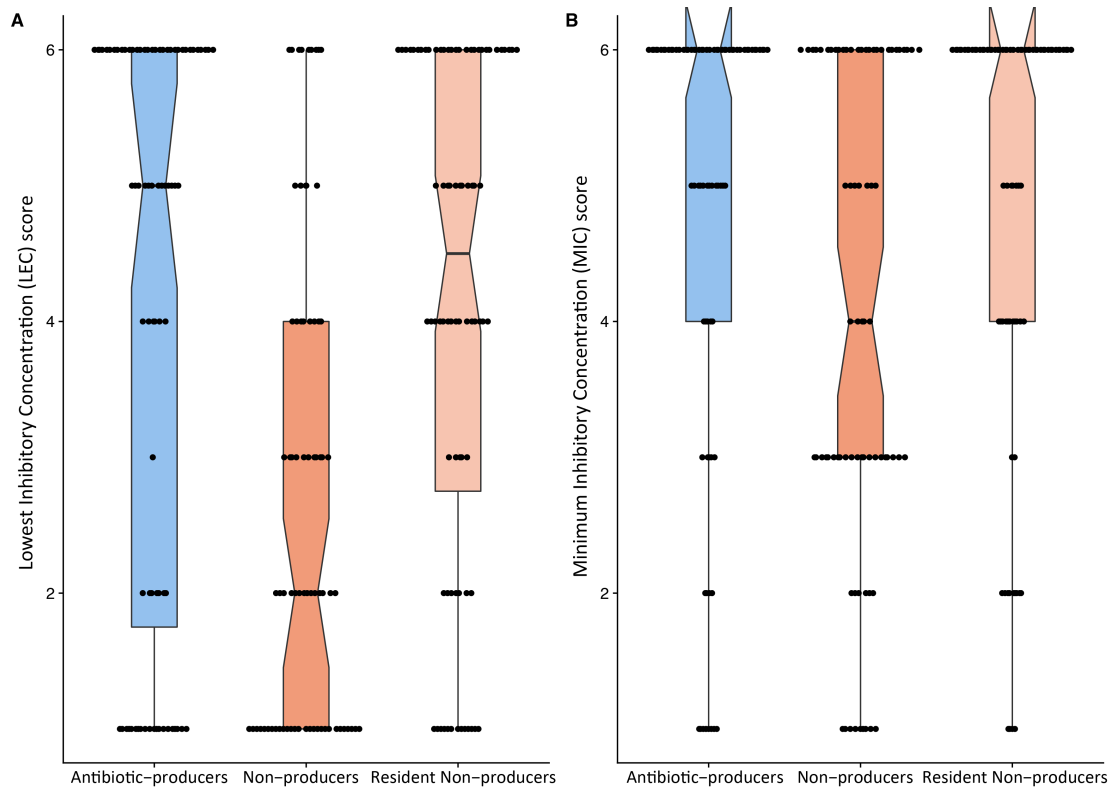
443 (10 groups), was used to test for an effect of the medium term (Control vs. Ps1/2-
444 infused) on competitive outcome (Win vs. Loss) (`lme4::glmer(outcome ~`
445 `medium + (1|non.producer.strain), family = binomial)`). Significance was
446 estimated using term deletion. In both experiments, *producer* strains (*Streptomyces*) were
447 more likely to be competitively superior when grown on the *Pseudonocardia*-infused media
448 (Left: $n = 129$, $\chi^2 = 103.6$, $df = 1$, $p < 0.0001$; Right: $n = 94$, $\chi^2 = 87.9$, $df = 1$, $p < 0.0001$).

449

450 The screening model assumes that *producer* strains have greater resistance to
451 antibiotics than do *non-producer* strains. We confirmed this assumption for this
452 experiment because both the Lowest Effective Concentration (LEC, lowest
453 concentration with inhibitory effect) and the Minimum Inhibitory Concentration
454 (MIC, lowest concentration with no growth) were greater for the *producer* strains
455 (Fig. 3). We also observed that the *resident non-producers* had high levels of
456 antibiotic resistance (Fig. 3), as expected (Fig. 1B).

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457

458 **Figure 3.** Antibiotic resistance profiles for *producer*, *non-producer*, and *resident non-*
459 *producer* strains (Table 1). Boxplots indicate medians (notches) \pm one quartile. For analysis,
460 we calculated each strain's mean growth score across the eight tested antibiotics (reducing
461 from $n = 155$ to $n = 20$; details in S3). *Producers* showed higher levels of resistance than did
462 *non-producers* for both measurements: Wilcoxon two-sided test (`wilcox.test`), $W =$
463 94.5 , $p = 0.0017$ for LEC (A) and $W = 80$, $p = 0.0253$ for MIC (B), after correction for two tests
464 (`p.adjust(method="fdr")`). *Producers* and *Resident Non-producers* showed no
465 difference in resistance levels ($p = 0.44$ and 0.25).

466 Discussion

467 We used *in vitro* experiments to test key predictions and assumptions of a screening
468 model (Scheuring & Yu 2012) that was designed to explain how leaf-cutting ants
469 could use a vertically transmitted symbiont, *Pseudonocardia*, to selectively recruit

470 additional antibiotic-producing bacterial strains from the large pool of potential
471 bacterial symbionts present in the environment.

472 We showed that *non-producer* invasive strains grow more slowly than do antibiotic-
473 *producer* strains on *Pseudonocardia*-infused media (Fig. 1A). Since the control plates
474 showed that *non-producers* have higher intrinsic growth rates, we attribute the
475 restricted growth on *Pseudonocardia*-infused plates to inhibition by *Pseudonocardia*
476 metabolites, consistent with the large number of antibacterials documented as
477 encoded in the genomes of both Ps1 and Ps2 (Holmes *et al.* 2016). We also showed
478 that Ps2 is a stronger suppressor of bacterial growth in general, consistent with
479 Andersen *et al.*'s (2013) observation that Ps2-hosting *Acromyrmex* colonies assemble
480 lower-diversity (and more Ps2-dominated) cuticular biofilms on foraging workers.
481 Finally, we confirmed that our *producer* strains (all genus *Streptomyces*) had higher
482 resistance to antibiotics than did our *non-producer* strains (Fig. 3).

483 Our pairwise competition experiment confirmed that growing on *Pseudonocardia*-
484 infused media renders *producer* strains competitively superior (i.e. they usually win)
485 or at least resistant to exclusion (they more often draw than lose, especially on the
486 less-toxic Ps1-infused media) (Fig. 2). This *in vitro* experiment mimics the conditions
487 we expect to occur on the cuticle of *Acromyrmex* workers after their full-body
488 *Pseudonocardia* biofilm (obtained shortly after hatching) has retreated to the
489 laterocervical chest plates (and possibly into the subcuticular glands), when the
490 workers begin to forage outside the nest, where they are exposed to many bacterial
491 colonists (Poulsen *et al.* 2005; Andersen *et al.* 2013; Marsh *et al.* 2014; Andersen *et*
492 *al.* 2015).

493 Taken together, these results show how a single, vertically transmitted, antibiotic-
494 producing symbiont such as *Pseudonocardia* can be used by the ant host to create a

495 demanding environment on the ant surface that favours the recruitment of a more
496 diverse set of antibiotic-producers. In short, vertical transmission of one mutualist
497 enables the successful horizontal acquisition of other mutualists. Vertical transmission
498 and horizontal symbiont acquisition are therefore not mutually exclusive
499 explanations, consistent with a mixture of both not being uncommon in other
500 symbioses (Ebert 2013; Bourguignon *et al.* 2018; da Costa & Poulsen 2018; Ivens *et*
501 *al.* 2018). Under some circumstances, mutualistic stability through screening may be
502 able to do without a vertically transmitted symbiont; Duarte *et al.* (2018) have argued
503 that a host might be able to create a suitably demanding environment by evolving the
504 right set of chemical exudates.

505 We note that in a colonial social insect, one needs to be precise about symbiont
506 transmission and symbiont inheritance. Since virgin *Acromyrmex* queens are
507 inoculated upon hatching by nurse workers that maintain monocultures of either Ps1
508 or Ps2, the secondary acquisition of other *producer* symbionts by foraging workers is
509 highly unlikely to disrupt verticality in the inheritance of native *Pseudonocardia*
510 symbionts down the generations.

511 *Acromyrmex-resident non-producers.* – We also ran a growth-rate experiment with a
512 set of *resident non-producer* strains that had been isolated from *Acromyrmex* ant
513 cuticles (Fig. 1B) and found that they generally had low intrinsic growth rates,
514 making it unlikely that they can competitively exclude *producers*. It seems surprising
515 that we found these *non-producer* strains at all, but we note that the fact that we could
516 isolate them does not imply that they are abundant. Resident *non-producer* taxa are
517 only found on some (not all) field-sampled *Acromyrmex* workers (Andersen *et al.*
518 2013; Innocent *et al.*, in prep) and generally below 1% prevalence when measured by
519 amplicon read number. They may only be transient environmental contaminants,

520 since many were isolated via whole-ant washes, or strains persisting in non-
521 equilibrium dynamics. In simulation models (Boza, G., Worsley, S., Yu, D.,
522 Scheuring, I., unpubl. data), we have found that if bacterial competition is limited to
523 nearest neighbours and if antibiotics slowly diffuse across the ant surface, even non-
524 antibiotic-resistant strains can sometimes persist for long periods of time.

525

526 It remains an open question why three different *Acromyrmex* species in Panama all
527 host the same two *Pseudonocardia* strains, Ps1 and Ps2 (Andersen *et al.* 2013). The
528 genomes of Ps1 and Ps2 strains each encode a single distinct nystatin-like antifungal,
529 plus variable and partially segregated sets of secondary metabolite biosynthetic gene
530 clusters with predicted antibacterial functions (Holmes *et al.* 2016). These two
531 *Pseudonocardia* species are almost never found in the same colony even though they
532 are encountered at approximately equal rates in field colonies (Poulsen *et al.* 2005).
533 The difference between Ps1 and Ps2 in microbiome invasibility (Fig. 1A) may reflect
534 a tradeoff between stronger endogenous production of antimicrobials early in life
535 against the recruitment of a more diverse set of secondary antibiotic producers later in
536 life.

537

538 Comparable studies to ours using other species of fungus-growing ants will be needed
539 to test the generalisability of screening to other attine ant lineages that maintain
540 actinobacterial biofilms, including species that appear to have cuticular microbiota
541 with abundant actinomycete genera other than *Pseudonocardia* (Sen *et al.* 2009;
542 Andersen *et al.* 2013; Meirelles *et al.* 2014). Our results suggest that cuticular
543 biofilms can be adequately simulated on agar plates, providing a tractable
544 experimental model with which to test microbiome assembly mechanisms. Such

545 studies might also shed light on why *Atta* and some other genus-level branches of the
546 attine fungus-growing ants have secondarily lost their cuticular biofilms, a
547 phenomenon that remains poorly understood. The screening requirement to pair a
548 demanding environment with a high reward imposes nontrivial costs on hosts, and in
549 some cases, this cost might have tipped the cost-benefit ratio in favour of chemical
550 pest control over biological control (Fernandez-Marin *et al.* 2009; Fernandez-Marin *et*
551 *al.* 2015).

552 *Toward a general game theory of hosted microbiomes*

553 Hosted microbiomes are coevolutionary metacommunities in which most of the
554 species interactions are difficult to observe. There are numerous calls to use
555 ecological theory to understand microbiomes (Widder *et al.* 2016; Hoyer & Fenton
556 2018), but we propose that a game theory approach may be more promising for
557 producing testable predictions. Screening (Archetti *et al.* 2011a) and password
558 signalling (Archetti *et al.* 2016) are candidate solutions to the Problem of Hidden
559 Information. When hosts evolve to use screening, the emergence of demanding
560 environments can be thought of as an exercise in applied ecology, where the host
561 evolves a mechanism to foment and bias competition by modifying resource and
562 toxicity levels to encourage cooperative microbial communities (see Foster *et al.*
563 2017; Duarte *et al.* 2018 for similar perspectives).

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581

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