## 1 Water quality and microbial load: a double-threshold identification procedure intended

- 2 for space applications
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## 18 Keywords

- 19 Water quality standards; confidence values; microbial contamination; aquatic bacteria
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- 21

# 22 Abstract

23	During longer-lasting future space missions, water renewal by ground-loaded supplies will
24	become increasingly expensive and unmanageable for months. Space exploration by self-
25	sufficient space-crafts is thus demanding the development of culture-independent
26	microbiological methods for in-flight water monitoring to counteract possible contamination
27	risks. In this study, we aimed at evaluating microbial load data assessed by selected
28	techniques with current or promising perspectives in space applications (i.e., HPC, ATP-
29	metry, qPCR, flow cytometry), through the analysis of water sources with constitutively
30	different contamination levels (i.e., chlorinated and unchlorinated tap waters, groundwaters,
31	river waters, wastewaters). Using a data-driven double-threshold identification procedure, we
32	identified and presented new alternative standards of water quality based on the assessment of
33	the total microbial load. Our approach is suitable to provide an immediate alert of microbial
34	load peaks, thus enhancing the crew responsiveness in case of unexpected events due to water
35	contamination and treatment failure. Finally, the backbone dataset could help in managing
36	water quality and monitoring issues for both space and Earth-based applications.
37	

# **1 Introduction**

40	Aquatic microbes are retained as primary constituents of all known water sources aboard the
41	International Space Station (ISS), as well as in future human spaceflights and planetary
42	outposts (Horneck et al., 2010). Since space missions are expected to become longer lasting,
43	space exploration is demanding the development of methods for in-flight monitoring, suitable
44	to face microbial contamination risks within human confined conditions (Allen et al., 2018;
45	Karouia et al., 2017; Yamaguchi et al., 2014). NASA has been developed microbial control
46	strategies to minimize detrimental cell growth during spaceflight by reducing humidity,
47	eliminating free water, and maintaining high-volume exchange and air filtration. The ISS is
48	maintained at around 22°C with a relative humidity of around 60%, with pressure and oxygen
49	concentrations very close to those at sea level on Earth (Pierson et al., 2013).
50	Currently on ISS, water samples are archived every 6 months for further post-flight analysis.
51	In addition, samples are processed in-flight once every three months with the US-supplied
52	Water Microbiology Kit for the quantification of heterotrophic bacteria (Heterotrophic Plate
53	Counts - HPC) and the presence of coliforms (Van Houdt et al., 2012). Leaving aside the
54	evidence that the microbial biomass is mainly composed by viable but not cultivable
55	microorganisms (Colwell, 2009), the development of culture-independent methods for space
56	applications is pushed fundamentally by the requirement of timeliness of results and the need
57	to avoid microbial regrowth from analytical wastes. Candidate methods have to comply with
58	limitations in volume, working time, power, safety and microgravity, thus being suitable for
59	automation, lightweight and with minimal consumables. Since first experiments conducted in
60	space, bioluminescence and PCR-based methods have been tested for monitoring the
61	microbial load under microgravity conditions (Castro et al., 2004; Guarnieri et al., 1997; La
62	Duc et al., 2004). Currently, joint scientific and industrial efforts have been focused on
63	developing an on-line self-loading ATP-based monitoring module within an integrated
64	breadboard system to control microbial contamination in water systems during human

65	spaceflights. The module contains ATP-releasing reagents to lyse cells and release ATP,
66	which reacts with d-luciferin in presence of luciferase to produce detectable light signals. The
67	light intensity is then measured as Relative Light Units (RLU), which can be interpreted as a
68	measure of ATP concentration (i.e., H2020 EU project "Biocontamination Integrated Control
69	of Wet Systems for Space Exploration", http://biowyse.eu/). Moreover on the ISS,
70	biomolecular methods and sample processing for DNA extraction and gene sequencing has
71	been tested within dedicated projects (e.g., Genes in Space-3, Wet-lab2) and through
72	customized devices (e.g., miniPCR, MinION, Razor EX PCR) (Boguraev et al., 2017;
73	Karouia et al., 2017; Parra et al., 2017). The quantitative real-time PCR (qPCR) could be
74	applied to assess the absolute bacterial abundance by measuring the number of 16S rRNA
75	housekeeping gene copies in the total DNA extracted from a water sample (Smith and
76	Osborn, 2009). Among the consolidated water monitoring approaches for the direct
77	quantification of aquatic microorganisms, flow cytometry (FCM) has to be also considered,
78	since it was defined as an unparalleled high-throughput technology for single cell counting
79	and characterization in a panoply of applications (Robinson and Roederer, 2015). The basic
80	cytometric detection combines laser light scatter and fluorescence signals, with the ability to
81	discriminate microbial cell subpopulations, phenotypes (e.g., size and shape), and constitutive
82	properties detected upon specific staining procedures (e.g., per-cell nucleic acid content)
83	(Wang et al., 2010).
84	By largely disregarding recent monitoring techniques and their methodological
85	improvements, current standards for microbiological evaluations are set on the occurrence of
86	few microorganisms, indicators of fecal pollution and hence of the possible co-presence of
87	pathogenic species (European Union, 1998). Microbial specifications and monitoring
88	requirements for ISS waters have fixed the limit of HPC $\leq$ 50 CFU/ml to meet the onboard
89	quality standards in U.S. and Russian segments (Duncan et al., 2008). However, the space
90	water microbiology was recently pushed beyond the standardized cultivation-based methods

91	(Moissl-eichinger et al., 2016), also due to the finding that spaceflight microgravity
92	conditions provided conflicting results, with insufficient and largely unpredictable indications
93	on the microbial growth patterns and the virulence of opportunistic human pathogens (Huang
94	et al., 2018).
95	In this study, we explored whether alternative methods to assess the water microbial load
96	could be supportive of routine monitoring practices, thus challenging conventional
97	heterotrophic plate counts in space applications. Through the analysis of water sources with
98	constitutively different microbial loads (i.e., chlorinated and unchlorinated tap waters,
99	groundwaters, river waters, wastewaters), we aimed to (i) cross-validate candidate techniques
100	suitable to assess the onboard water microbial load and selected among those consolidated in
101	terrestrial applications (i.e., HPC, ATP-metry, qPCR, FCM), and (ii) propose a data-driven
102	procedure to determine new water quality standards based on the cultivation-independent
103	assessment of the total microbial load.
104	By considering the high costs and logistic limitations of water renewal with Earth-supplied
105	resources, we hypothesized that a double-threshold identification procedure could be
106	applicable to identify water microbial contamination events and help counteracting health-
107	risks, which could unexpectedly occur during longer-lasting space missions.
108	
109	2 Material and methods
110	2.1 Selection and collection of water samples

111 Water samples were collected in one-liter sterile plastic bottles containing pre-dosed sodium

112 thiosulfate (1 ml of 10% solution per bottle), transported in refrigerated boxes and stored at 5

- 113  $\pm$  3°C for maximum 24 hours before analysis. A total of 35 samples was drawn from five
- 114 types of water sources with a naturally different microbial load. Each water type was
- 115 represented by seven independent samples: chlorinated Tap Water (cTW1-7), unchlorinated

116 Tap Water (uTW1-7), Ground Water (GW1-7), River Water (RW1-7), and Waste Water

117 (WW1-7).

118 Turbidity was measured in all samples and expressed as nephelometric turbidity units (NTU). 119 The chlorinated tap waters (cTWs = 0.2-0.3 NTU) were collected along the drinking water 120 distribution network, namely at the inlet of water kiosks in seven towns supplied by SMAT in 121 the province of Turin (Italy). All cTWs were disinfected with sodium hypochlorite (final 122 concentration 0.1-0.2 mg/l). Unchlorinated tap waters (uTWs = 0.1-0.5 NTU) were gathered 123 at the granular activated carbon filter outlets of a drinking water treatment plant, following 124 sedimentation, break-point chlorination, chlorine-dioxide peroxidation and clariflocculation 125 with polyhydroxy aluminium chloride. Groundwater samples (GWs = 0.2-7.2 NTU) were 126 collected from wells located in the urban area of Turin (Italy), before any kind of subsequent treatment. River water samples (RW = 0.2-1.8 NTU) were collected from water catchment 127 128 areas upstream different drinking water treatment plants. Wastewater samples (WWs = 1.0-129 9.6 NTU) were collected from secondary effluents of activated-sludge wastewater treatment 130 plants.

131

## 132 **2.2 Plate cultivation and heterotrophic plate counts**

133 Heterotrophic plate counts (HPC) were performed on 90-mm Petri dishes filled with either 134 Yeast Extract Agar (YEA) medium (Sifin Diagnostics, Germany) or Reasoner's 2A Agar 135 (R2A) medium (Thermofisher Diagnostics, US) (APHA, 2005; ISO6222, 1999). Following 136 lab incubation at 22°C for three or seven days, all results derived from the average of two 137 plates, each within the countable range. Depending on the expected microbial concentrations, 138 different volumes (from 1 ml to 100 ml) were analyzed in order to reach a measurable range 139 in terms of colony forming units (CFUs). Volumes up to 1 ml were included in molten 140 medium, while larger volumes (up to 100 ml) were filtered onto cellulose nitrate membranes 141 (0.45-µm pore size; Millipore).

142

## 143 **2.3 ATP-metry**

- 144 The total intracellular ATP content was measured to estimate the microbial load using the
- 145 technology developed by GL Biocontrol (Clapiers, France). Briefly, 2 drops of DENDRIAG
- 146 reagent were added to the water sample (10-50 ml) and measured using the GL Biocontrol
- 147 instrument to obtain the R1 (RLU) result. Then four drops of DENDRIAG reagent were
- 148 dispensed into the plastic packaging of the filter and the reactive was backflush by pressing
- 149 air through the filter. The reagent was pushed into the measuring tube and measured to obtain
- 150 the R1 (RLU) result. Then, one drop of STANDARD 1000 reagent was added and measured
- 151 to obtain the R2 (RLU) result. The concentration of intracellular ATP is given in picograms
- 152 per milliliter, by the following calculations:
- 153

154 Standard (RLU/pg) = 
$$\frac{R2-R1}{1000}$$

155 [ATP] (pg/ml) = 
$$\frac{R1}{Standard \times V}$$

156

With R1 (RLU): sample result; R2 (RLU): sample + standard result; V (ml) volume of water
analyzed.

159

#### 160 **2.4 DNA extraction and quantitative real-time PCR**

161 The qPCR Sybr Green assay was utilized to measure the 16S rDNA gene copy number in 25

- 162 µl of sample using the CFX96 Touch Real Time PCR Detection System (Bio-Rad, USA).
- 163 Reactions contained 5 µl of DNA template (from 50 to 5 ng DNA for reaction tube), 12.5 µL
- 164 of 2X SYBR Green Supermix (Bio-Rad USA), and primers at required concentrations (Di
- 165 Cesare et al., 2015). Triplicates samples and no template controls (NTCs) were analyzed. The
- 166 E.coli 16S rDNA was used as positive control, and standard curves were produced with gene

copy numbers from  $10^2$  to  $10^6$  genes per reaction tube. The concentration of the amplified 167 168 DNA was determined using NanoDrop spectrophotometer. The gene copy number per µl of 169 solution was calculated according to literature reports (Czekalski et al., 2012). The qPCR 170 results were reported as the mean of measurements of triplicates analysis with standard 171 deviations. Data were analyzed with the CFX ManagerTM software v3.1 (Bio-Rad, Italy). 172 2.5 Flow cytometry 173 174 The aquatic microbial cells were characterized by using the Flow Cytometer A50-micro 175 (Apogee Flow System, Hertfordshire, England) equipped with a solid state laser set at 20 mV

- and tuned to an excitation wave length of 488 nm. The volumetric absolute counting was
- 177 carried out on fixed (2% formaldehyde, final concentration) and unfixed water samples,
- stained with SYBR Green I (1:10000 dilution; Molecular Probes, Invitrogen) or with SYBR
- 179 Green I and propidium iodide (PI =  $10 \ \mu g \ ml^{-1}$ , f.c.) for 10 min in the dark at room
- 180 temperature. The light scattering signals (forward and side scatters), the green fluorescence
- 181 (530/30 nm) and red fluorescence (> 610 nm) were acquired for the single cell

182 characterization. A fluorescence threshold was set at 10 units on the green channel. Samples

183 were run at low flow rates to keep the number of events below 1000 events per second. The

- 184 total number of prokaryotic cells (i.e., total cell counts TCC) was determined by their
- 185 signatures in a plot of the side scatter vs the green fluorescence (Gasol and Morán, 2015).
- 186 Live and dead cells were differentiated in a plot of green vs red fluorescence. Viable cells

187 (i.e., intact cell counts - ICC) showed higher green fluorescence signals than the membrane

- 188 compromised dead cells selectively marked in red by propidium iodide (Grégori et al., 2018).
- 189 The instrumental settings were kept the same for all samples in order to achieve comparable
- 190 data. The data were analyzed using the Apogee Histogram Software v2.05.

191 Total cell counts were double-checked by epifluorescence microscopy on all samples by

192 following consolidated literature procedures (Porter and Feig, 1980). Briefly, aliquots of fixed

193 samples were filtered through 0.2  $\mu$ m polycarbonate filters (Ø 25 mm, Millipore) by gentle 194 vacuum (<0.2 bar), and stained for 5 min with DAPI (4', 6-diamidino-2-phenylindole; 1.5 µg 195  $ml^{-1}$  final concentration). Filters were stored at  $-20^{\circ}C$  until microscope inspection. Total cell 196 counts were performed by the epifluorescence microscope BX51 (Olympus, Germany) at 197 1500X magnification by counting a minimum of 300 cells in >10 microscopic fields 198 randomly selected across each filter. 199 200 2.6 Data elaboration and statistical analysis 201 All data were log(x+1) transformed to facilitate comparability among parameters derived 202 from different methods and waters sources. The nonparametric Kruskal-Wallis test, with 203 Mann-Whitney post-hoc pairwise comparisons, was used to verify whether statistical 204 differences in median values occurred among water groups according to each single 205 parameter. The one-way nonparametric multivariate analysis of variance (PERMANOVA), 206 based on the Euclidean distance measure, was used to test the overall significance of 207 difference between water groups. 208 A frequency distribution model (FDM) of log-transformed data was applied to discriminate 209 between two water groups, hereafter named waters with low and high microbial load. The 210 number of bins was set at 4 for each single-parameter data series (Wand, 1997). Following the 211 consolidated approach applied to assess upper confidence limits for natural background 212 chemical concentrations (USEPA, 2002), a first confidence threshold (hereafter named 213 warning threshold) was set as the 95th percentile of values assessed from the low microbial 214 load group. A second and higher confidence threshold (hereafter named alarm threshold) was arbitrarily set as the 5<sup>th</sup> percentile of values assessed from the high microbial load group. 215 216 The linear regression model (LRM) was applied in order to cross-validate the FDM thresholds 217 using the possible combinations of log-transformed independent parameters (i.e., HPC-R2A vs ATP; HPC-R2A vs qPCR; HPC-R2A vs FCM-ICC; ATP vs qPCR; ATP vs FCM-ICC; 218

219	qPCR vs FCM-ICC)	. Spearman's	correlation	coefficients (r)	) were used for the LRM
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- statistical endorsement. Warning and alarm threshold values, computed by the FDM on single
- 221 parameters, were applied to each LRM to calculate the corresponding values from linear
- 222 correlation equations. The mean values (± standard deviation) of four data-driven estimates of
- 223 warning and alarm thresholds (i.e., one from FDMs plus three from LRMs) of each
- 224 independent parameter were calculated and presented as alternative standards of water
- 225 quality. All data elaborations were performed by the software PAST v3.20 (Hammer et al.,
- 226 2001).
- 227

#### 228 3 Results

#### 229 **3.1 Heterotrophic plate counts**

- 230 The number of heterotrophic bacterial colonies (heterotrophic plate count HPC) varied
- 231 greatly depending on water origin and across the tested growth conditions, with values
- ranging from 0 to over  $10^6$  CFU/ml. TWs and GWs showed significantly lower values in
- 233 comparison to RWs and WWs (table 1). Only in TWs, HPC on YEA medium increased
- significantly passing from 3 to 7 days of incubation (Kruskal-Wallis test, p << 0.01). After the
- 235 longer incubation time, there was a statistically significant difference between colony
- 236 numbers found on YEA and R2A and between all water groups (Kruskal-Wallis test, p <
- 237 0.05), though HPC from cTWs was close to the method detection limit in all growth
- 238 conditions. HPC on R2A medium showed values higher than that on YEA medium.
- FDM thresholds were plotted in figure 1 and reported in table 2. Overall, we found significant
- 240 differences between contamination levels of all water groups, assessed in terms of
- cultivability and tested by PERMANOVA (p << 0.001).
- 242

#### 243 **3.2** Alternative parameters to assess the water microbial load

244	ATP concentrations varied over more than 6 log units, with a sharp increase from tap waters
245	(range 1.5 - 24.1 $\times 10^{-3}$ pg ATP/ml) to waste waters (range 1.9 - 59.0 $\times 10^{2}$ pg ATP/ml) (figure
246	2a). Apart from TWs and GWs which showed similar values (Kruskal-Wallis test, $p > 0.05$ ),
247	the differences in the mean ATP content among water groups were greater than would be
248	expected by chance (Kruskal-Wallis test, $p < 0.05$ ).
249	As assessed by qPCR, the abundance of 16S rDNA copies varied from $2.2 \times 10^2$ copies/ml
250	(with minimum values found in chlorinated tap waters) to $9.8 \times 10^7$ copies/ml (with maximum
251	values found in WWs) (figure 2b). GWs showed similar values to uTWs and RWs (Kruskal-
252	Wallis, $p > 0.10$ ), but there were statistically significant differences among all other water
253	groups (Kruskal-Wallis, p < 0.05).
254	As assessed by flow cytometry, TCC ranged over 3 log units, passing from $1.2 \times 10^4$ cells/ml
255	(minimum values in GWs) to $2.9 \times 10^7$ cells/ml (maximum values in WWs) (figure 2c). WWs
256	showed a different mean value from all other groups (Kruskal-Wallis test, $p < 0.01$ ), while
257	GWs did not show any statistical difference with cTWs and RWs (Kruskal-Wallis test, p >
258	0.07). Total cell counts double-checked by epifluorescence microscopy were similar and well
259	correlated with FCM data points on the 1:1 log-log line (Spearman's $r = 0.91$ , p << 0.001;
260	data not shown). On average, the great majority of total cells comprised membrane-intact cells
261	(ICC = $84.1 \pm 10.3$ % of TCC), with percentages lower in tap waters ( $78.1 \pm 11.9$ %) and
262	higher in ground waters (91.7 $\pm$ 5.0 %). Given the limited variation range, ICC followed
263	patterns very similar to TCC on the log scale (figure 2d). FDM thresholds were plotted in
264	figure 2 and reported in table 2. Overall, we found significant differences between the average
265	contamination levels of all water groups, also using the combination of alternative parameters
266	(i.e., ATP, 16S rDNA, ICC) (PERMANOVA, p < 0.01).
267	

**3.3 Data correlation and methodological cross-validation** 

269 Over the monitored wide range of microbial contamination levels, positive linear correlations 270 were observed between all parameters and methods applied in this study. HPC on R2A 271 showed the best correlations with other parameters in comparison to HPC on YEA. The best 272 fit was found in the log-log linear relation between ATP and HPC-R2A (Spearman's r = 0.91) 273 and qPCR data (Spearman's r = 0.97), while the weakest between ATP and FCM data 274 (Spearman's r = 0.81). HPC-R2A and ICC showed higher correlation coefficients than HPC-275 YEA and TCC, respectively. Thus, they were used in correlation plots against all other 276 parameters (figure 3). 277 Warning and alarm thresholds, computed according to the FDM of each single parameter and 278 the LRM correlations equations between all pairs of data (figure 3), were summarized in table 279 2. The mean values (± standard deviation) of warning and alarm thresholds were proposed as 280 alternative water quality standards based on the microbial load assessed by each independent 281 parameter (table 2).

282

283 4 Discussion

# **4.1 Suitability of warning and alarm thresholds of water microbial load for space**

285 applications

286 The appropriate consideration of microorganisms in human-confined habitats and their 287 interactions with the space environment are essential to start designing a self-sufficient 288 spacecraft for safe and successful future missions (Pierson, 2001). In extremely confined 289 habitability conditions, as those found onboard crewed space-crafts and during longer-lasting 290 flight missions, water renewal by ground-loaded supplies could be increasingly expensive and 291 unmanageable for months (McCleskey et al., 2012). Therefore, water consumption needs and 292 human health issues may fundamentally rely on a timely detection of unexpected 293 microbiological contamination events, e.g. owing to failure of onboard water recycling and 294 disinfection treatments. Here we presented a data-driven double-threshold procedure intended 295 to identify novel standards of water quality, using alternative cultivation-independent

296 parameters suitable for (near) real-time assessments of the total microbial load.

297 In current water regulations on Earth, several procedures have been recommended to 298 distinguish between elements of geogenic and anthropogenic origin, elucidate the spatial 299 distribution of chemical elements, identify the source of pollution, and estimate the related 300 risks for human health and activities. The detection of anomalies in the concentration of major 301 and trace chemical elements is one of the main tasks in the adopted statistical approaches 302 (Biddau et al., 2017; Reimann et al., 2005; Stefania et al., 2018). Probability plots, in 303 combination with a data pre-selection, were proposed to graphically represent trends and discontinuities, also identifying data exceeding fixed percentile values (generally the 90<sup>th</sup>, 304 95<sup>th</sup>, and 97.7<sup>th</sup> percentile outliers) (Preziosi et al., 2014). In this study, we followed a similar 305 306 approach but using the microbial load data assessed by different methodologies in order to determine warning and alarm threshold values, respectively set on the 95<sup>th</sup> and 5<sup>th</sup> percentiles 307 308 of values found in the water samples with low and high microbial load. By fundamentally 309 relying on the number and distribution of the available data, the described procedure was not 310 intended to provide fixed limits nor the risks associated with water microbial contamination 311 events. The identified threshold values (table 2) could constitute novel reference values, in 312 view of data deriving from real space conditions and human-confined environments. It is 313 worth noting that two drinking water samples (i.e., Russian potable spring waters), analyzed 314 after 5-years exposure to ISS microgravity conditions by using ATP-metry and flow 315 cytometry with the same full methods herein described, exceeded the alarm thresholds (Bacci 316 et al., 2018 - submitted). Thus, it is likely that some sort of water treatment should be 317 considered to comply with our novel water quality standards. 318 The microbial load has been retained a key driver of microbial alterations due to varying 319 environmental factors and water treatment settings in numerous studies focused on either 320 natural or engineered aquatic systems (Amalfitano et al., 2018; Besmer and Hammes, 2016;

321 Harry et al., 2016; Osman et al., 2008). However, the application of alternative parameters 322 into regulatory water quality monitoring is still prevented by methodological and procedural 323 issues, including inter-laboratory reproducibility, prioritization of water contaminants, and 324 cross-validation of applied methodologies (Chapman, 1996). In this study, all selected 325 monitoring techniques showed pioneering potential applicability to space and human-confined 326 environments, given the necessity to overcome some basic drawbacks of cultivation-based 327 approaches (i.e., time-to-result up to several days from sampling; growth of opportunistic 328 microorganisms from stored analytical wastes). 329 330 **4.2 HPC acceptability levels in space waters** 

331 For preflight and inflight waters, HPC acceptability levels were developed through space

analytical experience to mitigate risks to crew health and to maintain the integrity of water

treatment systems (e.g., prevention of biofouling in water recirculation and distribution

network; microbial growth on hardware components) (Pierson et al., 2013; Van Houdt et al.,

335 2012). According to the ISS Medical Operations Requirements Document (Duncan et al.,

336 2008), ISS waters must be free of coliforms, with a HPC values  $\leq$  50 CFU/ml, and sample

337 processing and analysis have to follow precise procedural steps using the US Environmental

Health System water kit (NASA, 2005).

339 When considering the cultivation conditions relatively more similar to those of the US water

340 kit (i.e., HPC on YEA after 3 incubation days at 22°C), TWs and GWs samples accomplished

341 the HPC limit of 50 CFU/ml, with warning and alarm thresholds respectively lower and

342 higher of that established for space requirements. However, the HPC thresholds were

343 considerably higher if estimated from other cultivation conditions (table 2). In drinking water

344 legislation and guidelines, maximum HPC limits can vary from 20 CFU/ml to 500 CFU/ml

345 depending on local regulations and sampling locations (Allen et al., 2004).

346	In line with recently reviewed data (Diduch et al., 2016), we found that HPC were influenced
347	significantly by cultivation conditions (i.e., HPC-R2A > HPC-YEA), time of incubation (i.e.,
348	HPC at 3 days < HPC at 7 days), and the initial microbial load level (figure 1). These results
349	are critical when considering that the ISS is provided with four different supplied waters from
350	the space agencies of USA (NASA), Europe (ESA), Russia (Roscosmos; Russian Federal
351	Space Agency), and Japan (JAXA; Japanese Aerospace Exploration Agency). Water
352	microbial communities (e.g., phylogenetic structure) and local treatment requirements (e.g.,
353	addition of different concentration of chlorine, silver, or iodine as biocide agents) may differ
354	considerably, so as HPC outcomes. Further differences in microbial cell cultivability under
355	space conditions are likewise expected owing to microgravity and varying cosmic radiation
356	levels, as it was found either for specific bacterial suspended cultures (Kacena et al., 1999) or
357	for strains isolated from built environments on Earth and cultivated on the ISS (Coil et al.,
358	2016). Experiments have been conducted to improve the speed and efficiency of microbial
359	cultivation assays on the ISS using disposable simple devices and microfluidic systems (e.g.,
360	https://www.nasa.gov/mission_pages/station/research/experiments/1033.html;
361	https://www.nasa.gov/mission_pages/station/research/experiments/2357.html). Major
362	advantages arise from target-specific isolation and characterization of different types of
363	microorganisms in pure cultures, including water-borne pathogens (Boitard et al., 2015).
364	However, the HPC reliability for total microbial load assessments in space waters might fall
365	far below the acceptable reproducibility levels, unless other cultivation-independent
366	techniques are applied to provide confirmatory data, as also observed in terrestrial studies.
367	
368	4.3 ATP-metry and advanced automation options for space applications
369	Based on a 20 years' experience on space microbial monitoring, ATP-metry has been retained
370	a consistent approach for estimating the viable microbial biomass in water samples (Guarnieri
371	et al., 1997; La Duc et al., 2004). By offering feasible automation options for space

372 applications, we found that ATP-metry allowed to consistently discriminate water types

- 373 according to their constitutive microbial contamination levels, also showing a wider variation
- 374 range in comparison to the other selected parameters (figure 2). The highest ratio between
- alarm and warning thresholds was also observed (table 2).
- 376 In drinking water and food industries, routine ATP measurements were added upon
- 377 commercially available ATP assay kits and compared in-depth to standard cultivation-based

378 outcomes (Bottari et al., 2015; Hammes et al., 2010; van der Wielen and van der Kooij,

- 379 2010). One caveat is that community structure variations, with a natural succession of
- 380 microbial cells with different ATP content (e.g., prokaryotic and eukaryotic cells), may be
- 381 overlooked owing to the ataxonomic resolution of ATP assays. Therefore, the microbial load
- 382 evaluations based on ATP-metry could be further strengthened by complimenting with

383 specific cell-targeting parameters (e.g., biomolecular information, total cell counts, cell size

measurements) (Siebel et al., 2008; Vang et al., 2014).

385

#### 386 **4.4 Space applicability of qPCR and biomolecular methods**

387 In space research, the successful application of biomolecular assays was found to rely on

388 procedural improvements for extracting cell nucleic acids and selecting appropriate control

389 samples (e.g., with the same amplification efficiency as the target sequence under

390 microgravity conditions), along with instrumental developments (Yamaguchi et al., 2014).

391 In this study, the abundance of 16S rRNA gene copies was significantly different among

392 water types, also showing significant correlations with values of total microbial load assessed

- 393 by the other parameters (figures 2). The estimated threshold values allowed discriminating
- 394 waters with low and high microbial load (table 2). Despite showing puzzling low values in
- 395 TWs and GWs (on average  $0.39 \pm 0.07$  16S rDNA/cell), the 16S rDNA per-cell ratio was
- highly variable among water types and consistent with literature data (Klappenbach, 2001;
- 397 Matturro et al., 2013). In view of recent technological developments of molecular methods for

398 space applications, we found that qPCR could be considered as a sensible method for water 399 monitoring, although time-to-results can rise up to several hours from sampling (Lopez-400 Roldan et al., 2013). Advantages and limitations of the 16S rDNA targeting PCR procedures 401 were reviewed extensively within the context of molecular techniques used to generate data 402 for biomonitoring (Porter and Hajibabaei, 2018; Smith and Osborn, 2009). In particular, it 403 was underlined that current protocols are definitely more informative when used to quantify 404 the occurrence of target functional genes and species of interest (e.g., human pathogens and 405 microorganisms of habitability concerns) rather than estimating the total microbial load 406 (Smith and Osborn, 2009; Sohier et al., 2014). 407

### 408 **4.5 Flow cytometry: a future alternative tool?**

409 Flow cytometry has been included in the roadmaps of space agencies for monitoring

410 spaceflight-associated requirements (Crucian and Sams, 2005). Though it was not yet

411 specifically tested for onboard water quality assessments, a customized FCM platform was

412 already successfully tested on board the ISS to assess physiological adaptations of astronauts'

413 blood cells to microgravity (Crucian and Sams, 2012; Dubeau-Laramée et al., 2014; Phipps et

414 al., 2014). In multiple full-scale terrestrial applications, detailed reasons were recognized and

415 meticulously described to argue that FCM could represent a suitable alternative for routine

416 microbiological water monitoring (Van Nevel et al., 2017).

417 Our results were in line with published data in terms of both total and intact cell counts

418 assessed from different water types including drinking waters (Vital et al., 2012), ground

419 waters (Amalfitano et al., 2014), river waters (Boi et al., 2016), and wastewaters (Foladori et

420 al., 2010). Evidences of significant cross-correlation among microbial quantification

421 techniques are widely reported in literature (Siebel et al., 2008; Vital et al., 2012).

422 Accordingly, we found significant correlations between FCM data and results from

423 epifluorescence microscopy, along with the water microbial load assessed by HPC, ATP-

424 metry, and qPCR (figure 3).

425	However, unexpected low TCC values particularly in some RWs and WWs could originate
426	from the presence of suspended cell aggregates (i.e., verified by microscopic direct
427	observations), which are acquired as single events (Casentini et al., 2016; Liu et al., 2016).
428	Moreover, the cytometric evaluations are susceptible to increased background levels and
429	debris found in very clean waters (Hammes et al., 2008), with possible TCC over-estimations
430	in TWs. This could partly explain why TCC showed the lower data variation (i.e., 3 log units)
431	in comparison to the other parameters among water types with such different origin and
432	contamination levels. Accordingly, the alarm threshold was only three times higher than the
433	warning threshold. Therefore, FCM routine analysis and developed protocols still require a
434	thorough calibration and validation of their performances and drawbacks for space
435	applications.

436

#### 437 **5** Conclusions

438 Our results allowed identifying alternative standards of water quality based on the assessment 439 of the water microbial load, thus providing a backbone dataset to develop and test innovative 440 monitoring approaches for space and Earth-based water settings. Cultivation-independent 441 techniques, selected among those consolidated in terrestrial studies and with current or 442 promising perspectives for space applications (i.e., HPC, ATP-metry, qPCR, flow cytometry), 443 could help in managing the ISS on-board water quality, and ultimately enhance the crew 444 responsiveness by providing an immediate alert of microbial load peaks. 445 446 Acknowledgments

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666 Table 1. Complete dataset of the water microbial load. Heterotrophic Plate Counts (HPC) 667 were assessed from different cultivation media (i.e., YEA, R2A) and incubation times (i.e., 3 668 and 7 days). Cultivation-independent techniques with current and promising perspectives for 669 space applications were selected (i.e., ATP-metry, qPCR, flow cytometry - FCM). Samples 670 included chlorinated Tap Waters (cTW1-7), unchlorinated Tap Waters (uTW1-7), Ground 671 Waters (GW1-7), Rivers Waters (RW1-7), and Waste Waters (WW1-7).

	Cultivation based techniques			Cultivation independent techniques				
	HPC YEA-3d CFU/ml	HPC YEA-7d CFU/ml	HPC R2A-7d CFU/ml	ATP-metry pg/ml	<b>qPCR</b> 16S rDNA copies	FCM Total cells/ml	FCM Intact cells/ml	
cTW1	1.00E+00	2.00E+00	4.00E+00	1.48E-03	4.21E+02	2.55E+04	2.23E+04	
cTW2	1.00E+00	1.80E+01	2.80E+01	2.41E-02	1.46E+03	4.65E+04	2.93E+04	
cTW3	1.00E+00	1.00E+00	4.00E+00	2.44E-03	2.23E+02	2.14E+04	1.92E+04	
cTW4	1.00E+00	0.00E+00	1.00E+00	8.02E-03	3.70E+02	1.50E+04	1.30E+04	
cTW5	0.00E+00	0.00E+00	3.00E+00	3.89E-03	5.00E+03	5.71E+04	5.10E+04	
cTW6	1.00E+00	4.00E+00	1.20E+01	3.13E-03	2.68E+02	1.57E+04	1.52E+04	
cTW7	1.00E+00	3.00E+00	5.00E+00	2.46E-03	3.70E+02	1.79E+04	1.55E+04	
uTW1	0.00E+00	1.25E+02	4.00E+02	1.95E+00	1.36E+04	1.12E+05	8.84E+04	
uTW2	2.00E+00	4.90E+01	3.00E+02	2.11E+00	1.54E+04	1.18E+05	8.49E+04	
uTW3	0.00E+00	1.83E+02	1.20E+03	2.75E+00	1.76E+04	1.45E+05	1.03E+05	
uTW4	1.00E+00	3.00E+02	3.00E+02	2.09E+00	1.87E+04	1.28E+05	1.02E+05	
uTW5	1.00E+00	3.00E+02	3.00E+02	1.55E+00	9.39E+03	1.19E+05	6.93E+04	
uTW6	0.00E+00	2.30E+01	5.40E+02	1.41E+00	8.85E+03	1.25E+05	8.94E+04	
uTW7	0.00E+00	1.20E+01	3.00E+02	1.57E+00	1.05E+04	1.30E+05	8.11E+04	
GW1	1.00E+00	1.00E+00	3.00E+02	2.18E-01	8.64E+03	4.61E+04	4.37E+04	
GW2	0.00E+00	2.00E+00	2.00E+02	1.67E-01	1.14E+04	2.92E+04	2.78E+04	
GW3	0.00E+00	0.00E+00	2.00E+02	7.65E-01	1.89E+04	6.39E+04	6.17E+04	
GW4	7.00E+00	1.00E+01	2.00E+01	1.95E-01	5.69E+03	2.48E+04	2.37E+04	
GW5	0.00E+00	1.00E+00	6.00E+00	1.48E+00	1.18E+04	1.41E+04	1.28E+04	
GW6	0.00E+00	0.00E+00	1.20E+01	2.00E+00	1.71E+04	1.19E+04	1.01E+04	
GW7	0.00E+00	0.00E+00	7.30E+01	1.43E+01	8.88E+04	3.42E+04	2.92E+04	
RW1	8.30E+01	1.53E+02	7.00E+02	3.41E+00	3.34E+04	3.43E+04	2.73E+04	
RW2	7.20E+02	8.50E+02	6.50E+03	2.92E+01	1.39E+05	1.44E+05	1.26E+05	
RW3	4.50E+01	4.90E+01	3.00E+02	8.66E-01	8.67E+03	2.09E+04	1.93E+04	
RW4	4.90E+02	6.20E+02	1.00E+04	3.60E+02	1.50E+06	1.42E+06	1.01E+06	
RW5	1.88E+03	1.96E+03	2.94E+03	3.96E+00	2.21E+04	3.23E+04	2.92E+04	
RW6	5.00E+02	5.90E+02	1.20E+04	1.58E+02	7.49E+05	4.32E+05	3.84E+05	
RW7	1.33E+03	1.51E+03	1.00E+04	5.60E+01	7.99E+05	5.98E+05	4.62E+05	
WW1	1.00E+02	3.00E+02	3.00E+04	1.94E+02	2.72E+06	2.07E+06	1.86E+06	
WW2	3.00E+04	3.00E+04	3.00E+06	5.90E+03	9.81E+07	2.92E+07	2.56E+07	
WW3	3.10E+03	4.70E+03	4.00E+04	2.80E+02	6.89E+06	6.62E+06	5.63E+06	
WW4	1.92E+04	3.00E+04	5.00E+04	2.77E+03	7.23E+07	1.04E+06	9.31E+05	
WW5	3.00E+04	3.00E+04	3.00E+06	2.72E+03	9.15E+06	1.51E+07	1.20E+07	
WW6	1.00E+02	2.00E+02	1.00E+04	2.09E+02	2.33E+06	1.32E+06	1.28E+06	
WW7	4.50E+03	7.90E+03	2.00E+04	3.55E+02	3.78E+06	2.85E+06	2.67E+06	

674 Table 2. Warning and alarm thresholds, computed according to the frequency distribution

675 model (FDM) of each single parameter (see also figure 1 and 2) and the correlation equations

676 of Linear Regression Model (LRM) between pairs of parameters (see also figure 4). Mean

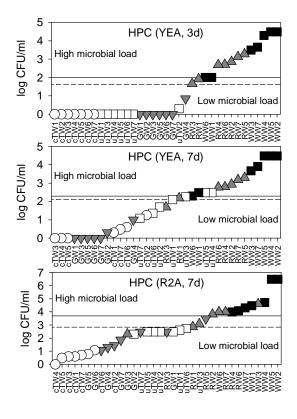
values (± standard deviation) of both thresholds were reported per each parameter and 677

678 retained as alternative water quality standards.

679

Model	Parameter	Warning threshold	Alarm Threshold
FDM	HPC-YEA3d (CFU/ml)	41	100
FDM	HPC-YEA7d (CFU/ml)	126	195
FDM	HPC-R2A7d (CFU/ml)	684	4898
LRM	HPC vs ATP	557	1604
LRM	HPC vs qPCR	381	4980
LRM	HPC vs FCM	428	4145
	Mean ±sd	512 ±137	$3907 \pm 1580$
FDM	ATP (pg/ml)	2.7	10.2
LRM	ATP vs HPC	3.3	26.1
LRM	ATP vs qPCR	1.7	37.9
LRM	ATP vs FCM	2.0	22.2
	Mean ±sd	2.4 ±0.7	24.1 ±11.5
FDM	$16S \text{ rDNA} (10^4 \text{ copies/ml})$	3.2	44.4
LRM	16S vs HPC	5.5	29.4
LRM	16S vs ATP	4.7	13.2
LRM	16S vs FCM	3.6	29.9
	Mean ±sd	4.2 ±1.0	29.2 ±12.8
FDM	ICC $(10^5 \text{ cells/ml})$	1.0	4.2
LRM	ICC vs HPC	1.3	3.6
LRM	ICC vs ATP	1.2	2.1
LRM	ICC vs qPCR	1.0	4.1
	Mean ±sd	1.1 ±0.2	3.5 ±1.0

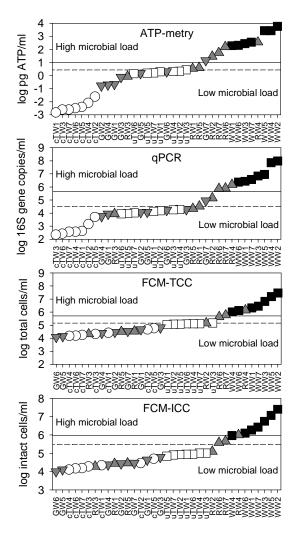
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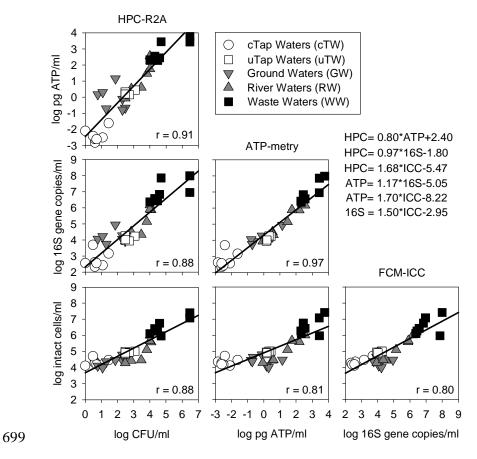
**Figure 1**. Water microbial load as assessed by plate cultivation on Yeast Agar extract (YEA) and R2A medium, upon 3 days and 7 days of incubation. HPC data were plotted in ascending rank order on a logarithmic scale in order to better visualize warning (dashed lines) and alarm (solid lines) thresholds, which discriminate waters with low and high microbial load. Samples included chlorinated Tap Waters (cTW1-7), unchlorinated Tap Waters (uTW1-7), Ground

688 Waters (GW1-7), Rivers Waters (RW1-7), and Waste Waters (WW1-7).



690

Figure 2. Water microbial load as assessed by alternative parameters (i.e., ATP content, 16S
rRNA gene abundance, total cell counts - TCC, intact cell counts - ICC). All data were plotted
in ascending rank order on a logarithmic scale in order to better visualize warning (dashed
lines) and alarm (solid lines) thresholds, which discriminate waters with low and high
microbial load. Samples included chlorinated Tap Waters (cTW1-7), unchlorinated Tap
Waters (uTW1-7), Ground Waters (GW1-7), Rivers Waters (RW1-7), and Waste Waters
(WW1-7).



700 Figure 3. Correlation matrix (log-log) among alternative parameters to assess microbial

701 contamination in waters of different origin (i.e., chlorinated and unchlorinated Tap Waters -

702 cTW and uTW; Ground Waters – GW; River Waters – RW; Waste Waters – WW). Equations

703 of Linear Regression Models (LRMs) are reported, along with Sperman's correlation

704 coefficients (r).