

1 **Management performance mapping: the value of information for**
2 **regional prioritization of project interventions**

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5 C. E. Buddenhagen¹²³, J. Andrade Piedra⁴, G. A. Forbes⁴, P. Kromann⁴, I. Navarrete⁴, S.
6 Thomas-Sharma⁵, Y. Xing¹²³, K. A. Garrett¹²³

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¹Plant Pathology Department, University of Florida, Gainesville, FL, USA

10 ²Institute for Sustainable Food Systems, University of Florida, Gainesville, FL, USA

11

12 ³Emerging Pathogens Institute, University of Florida, Gainesville, FL, USA

13

14 ⁴International Potato Center, Lima, Peru, and Quito, Ecuador

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16 ⁵Plant Pathology Department, Louisiana State University, LA, USA

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19 karengarrett@ufl.edu

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ABSTRACT

Identifying the locations and settings where technologies are most likely to have important effects can make the most of development or extension efforts. In the context of development and applied ecology, decisions must often be made by policy makers and donors about where to implement projects designed to improve management. Implementation in some regions may provide substantially higher payoffs to investment, and higher quality information may help to target the high-payoff locations. The value of information (VOI) in this context is formalized by comparing the benefits from decision making guided by a set of information and the results of acting without taking the information into account. We present a framework for management performance mapping and for evaluating the value of information for decision making about geographic priorities in regional intervention strategies. In our case studies of Andean and Kenyan potato seed systems, we evaluate seed health and yield information from farms, plots, and individual plant observations. We use Bayesian networks and recursive partitioning to efficiently characterize the relationship between these performance measures and the environmental and management predictors used in studies aimed at understanding seed degeneration. These analyses return the expected performance of an intervention for predictor variables mapped across the landscape. We link the scientific process and the learning cycle to the value of information assessments to support a culture of continuous improvement that informs strategic agricultural development. Assessment of the value of information demonstrates the value of science as an integral part of targeted development programs.

Additional keywords: agricultural development, disease, Ecuador, GIS, intervention ecology, Kenya, pest management, potato, seed degeneration, translational science, value of information, virus, yield gap

49 A central problem in applied spatial ecology is how to partition management efforts across
50 landscapes. Across larger spatial extents, interventions (e.g., by development organizations or
51 governments) may be designed to increase yield across a region by improving management of
52 agroecosystems. International governmental and non-governmental organizations that seek to
53 reduce poverty, enhance food security, and improve natural resources and ecosystem services,
54 need to understand how to prioritize regional interventions. We propose “management
55 performance mapping” as a tool for translating experimental results to support decision making
56 by policy makers and donors, and assessing the value of information (VOI) to support the
57 analysis. Assessing the VOI involves determining the expected benefit of reducing or eliminating
58 uncertainty (Canessa et al. 2015), as described below. In the absence of uncertainty, when the
59 true state of the system is known, optimal actions can more readily be identified. Often data
60 about agricultural management performance exist, or can be collected inside of existing
61 intervention projects, but the data are collected at the scale of fields, farms or individual plant
62 performance measures. Multiple factors influence plant productivity apart from management,
63 creating uncertainty about the pay-off even where data are relatively abundant. Scaling up
64 models based on limited observations is necessary to visualize how specific interventions are
65 likely to perform at a regional scale (Altieri and Nicholls 2008; van Bussel et al. 2015a; van Wart
66 et al. 2013; Grassini et al. 2015). Management performance mapping can be implemented to
67 visualize the impact of proposed interventions, to improve decision-making and policy setting, in
68 the development of innovations, and during project implementation – as a component of adaptive
69 management in development (Fig. 1).

70 Data available for identifying good geographic prioritization strategies are generally limited,
71 but evidence-based decision making can be designed to make the most of available data. VOI
72 analyses offer a means of both valuing information and assessing the role of uncertainty in
73 making good decisions (Hirshleifer and Riley 1979; Macauley 2006; Canessa et al. 2015). VOI
74 analyses indicate the value of outcomes for decision making with and without particular
75 information. The VOI should ideally be considered in the context of the mean outcome,
76 associated uncertainty, and what is at stake with respect to making good or bad decisions (e.g.,
77 the yield difference measured in yield or money) and how much stakeholders are willing to pay
78 to act on their choices. The utility functions in studies of willingness to pay are related to VOI
79 (Breider, Hahsler, and Reutterer 2006; Asante Bright Owusu et al. 2011; Hanemann 1991).
80 Many examples in the VOI literature focus on agriculture, such as the uncertainty risk
81 distribution for farm yield (Hirshleifer and Riley 1979), the value of weather forecasting for
82 farmers (Lave 1963), and risk assessment for crop futures (Danthine 1978). A related area of
83 application of VOI concepts is in invasion biology more generally and in conservation biology,
84 where decisions must also be made about where to prioritize efforts (Canessa et al. 2015;
85 Johnson et al. 2017; Wilson 2015). Of course, decision-maker willingness to act based on
86 information is an important prerequisite for information valuation to be meaningful. For
87 example, overly confident decision makers may not be influenced by new information, or they
88 may not reflect on the uncertainty that is inherent in the information available. To our
89 knowledge, VOI analyses have not been applied to plant pathology, crop epidemiology, or to
90 seed system development, where they have the potential to improve decision making.

91 Precision agriculture and species distribution models both address components of spatial
92 prioritization, at different scales. The question of how to optimize information use for decision
93 making is addressed at the within-field scale in precision agriculture (Tittonell and Giller 2013),
94 allowing well-resourced farmers to collect and utilize spatially explicit data sets (in near real

95 time) about crop performance (Devaux et al. 2010). Farmers are able to choose and optimally
96 apply inputs such as fertilizer, pesticides, and irrigation to areas of the field where they are most
97 needed to maximize yields. Species distribution models address the problem of optimal targeting
98 indirectly, by providing information about where invasive (or endangered) species including
99 pathogens are most likely to be found. They generally are designed to draw inference beyond
100 regions where data were collected by assessing species niche parameters based on occurrences
101 throughout a species' native and introduced range (Hijmans and Graham 2006; Condori et al.
102 2014; Sparks et al. 2014; Aguirre-Gutiérrez et al. 2017; Austin 2007; Sutherst 1985).
103 Management performance mapping can draw on pathogen species distribution models as one of
104 the components determining how important disease management is likely to be, and how likely it
105 is to be successful.

106 We present a case study that focuses on smallholder management of seed degeneration in
107 agricultural systems. "Seed degeneration" is the reduction in yield or quality caused by an
108 accumulation of pathogens (especially viruses) and pests in planting material over successive
109 cycles of propagation, where vegetatively propagated crops deserve particular attention because
110 of their higher risk of disease transmission (Sharma and Kang 2003; Thomas-Sharma et al. 2016;
111 Thomas-Sharma et al. 2017; Iritani 1968; Kawakami 1962). Establishing improved seed systems
112 is challenging, especially in low-income countries, due in part to the many system components
113 that must be integrated for seed system success (Jaffee and Srivastava 1994; McGuire and
114 Sperling 2016; McQuaid et al. 2016; Sperling 2008; Gildemacher et al. 2009; Bentley and
115 Vasques 1998). Seed system improvement has been a major focus of agricultural development
116 efforts funded by many agencies (e.g., national plant protection agencies, The Bill and Melinda
117 Gates Foundation, USAID, and FAO), but has often proven to be challenging to implement
118 (Jaffee and Srivastava 1994; Almekinders C. J. M., Louwaars N. P., and De Bruijn G. H. 1994;
119 McGuire and Sperling 2016). In informal seed systems in low-income countries farmers
120 typically use seed saved from the previous season for replanting, often leading to reduced yields,
121 e.g., 5-50% reduction (Devaux et al. 2010), especially when farmers are unfamiliar with
122 approaches for selecting seed with reduced pathogen risk.

123 Optimizing yield by reducing disease impacts, and improving seed quality, is a primary goal
124 of many seed system interventions. Governments and institutions with a strong focus on science
125 for development, such as CGIAR, work on a suite of factors linked to seed system health. Farmer
126 training efforts focus on options for disease management and optimal decision-making.
127 International development efforts to improve seed systems seek to increase farmer access to
128 disease-free, disease-resistant, high-quality seed, and improve farmer practices to implement
129 "integrated seed health strategies" (Thomas-Sharma et al. 2017; Thomas-Sharma et al. 2016).
130 Attempts to formalize seed systems by imposing seed quality standards may fail if thresholds are
131 unrealistic (Choudhury et al. 2017) and despite many interventions systems may revert to largely
132 informal systems, with sub-optimal seed sourced from on farm much of the time, e.g., 98% of
133 potato seed sources in the Andes are informal (Louwaars, de Boef, and Edeme 2013; Devaux et
134 al. 2010). Interventions are more likely to succeed if they are affordable, and help farmers to be
135 profitable (McGuire and Sperling 2013; Sperling, Ortiz, and Thiele 2013). As an example,
136 positive selection is an on-farm management intervention that can provide large yield benefits,
137 e.g. 28-55% increases (mean 32%) (Gildemacher et al. 2012, 2011). Positive selection is often
138 recommended as part of an integrated seed health strategy. Under positive selection, farmers
139 select healthy appearing plants and mark them for later harvesting of seed potato tubers.

140 Training farmers in the techniques of positive selection is an attractive potential intervention to
141 support integrated seed health strategies.

142 A risk framework for an integrated seed health strategy (Thomas-Sharma et al. 2017)
143 examines the factors influencing seed system success (e.g., on farm seed management, disease-
144 free seed sources, amount of inoculum) and provides a useful starting point for the type of
145 interventions that could be included in a management performance mapping analysis for seed
146 systems. Management performance maps for seed systems should use reference data sets that
147 focus on these key factors. In practice, the best available data sets, collected via literature
148 reviews, experiments or on-farm observational studies, will fall short of an ideal data set. As
149 such, scientists, policy-makers and funders interested in evidence-based decision-making often
150 must make decisions based on the available data, even if data are sub-optimal. Management
151 performance mapping can provide a useful starting point for assessing the most effective
152 interventions for large scale (large extent, low resolution) interventions. We envisage that
153 management performance maps could be useful for assessing the likely relative value of
154 management interventions across regions, and as a means of reporting on the effectiveness of
155 interventions. Management performance maps can be used to target limited resources to places in
156 the landscape where impacts are likely to be highest.

157 Our objectives in this study are to (i) introduce and illustrate the concept of management
158 performance mapping and associated methods, (ii) introduce the use of VOI analysis in this
159 context, (iii) compare methods for identifying predictor variables for management outcomes, and
160 (iv) illustrate the application of management performance mapping to potato seed degeneration
161 data from the Ecuadorian Andes and Kenya.

162 163 **MATERIALS AND METHODS**

164
165 A primary decision for agricultural development is where to invest limited resources for an
166 intervention. For the case of seed systems, potential interventions include training, seed
167 multiplier subsidies, and improved variety dissemination. We start by describing the steps
168 involved in producing management performance maps, using the example of training in positive
169 selection by farmers to identify plants more likely to produce healthy seed (Fig. 2). Then we
170 illustrate management performance mapping using a detailed seed degeneration data set from a
171 potato seed study in Ecuador. As a step in preparing the management performance maps, we
172 illustrate the application of Bayesian networks and recursive partitioning for assessing the
173 influence of disease, environmental factors, and management on yield, and similarly assess
174 factors that influence virus incidence. We also evaluate the potential value of the information for
175 guiding selection of locations in development interventions, if the estimated mean performance
176 is correct, for potato seed health in Ecuador and Kenya.

177
178 **Management performance maps: steps.** Linking real world farm or field level management
179 data to mapped landscape level data involves the following steps (Fig. 2): (i) gather management
180 performance data, (ii) identify performance measures and predictor variables, (iii) evaluate
181 management performance for the proposed interventions, (iv) identify the best management
182 intervention or policy based on currently available data, (v) assess the value of information,
183 (vi) link predictor variables to GIS data layers, (vii) implement interventions, (viii) facilitate and
184 assess technology adoption by farmers. We illustrate steps i through v, while steps vi and vii
185 would be key to achieving outcomes in the field.

186

187 **Gathering management performance data**

188

189 To illustrate the process, we use two data sets, the first being data related to potato production in
190 Ecuador, the elements of which are designed to support parameter estimation for a seed
191 degeneration model (Thomas-Sharma et al. 2017). The main components of this model relate to
192 seed health (virus incidence, seed age since certified seed was produced), variety, environmental
193 factors (weather), management (seed propagation and selection) and yield data (Kromann et al.
194 2017). A single field represented each of the scenarios (treatment combinations) in this data set,
195 so variability within a scenario can only be evaluated at the individual plant level. We focus on
196 yield data as the response as an example, and the intervention of positive selection versus
197 roguing and random seed management strategies. Second, we used published data about seed
198 health management, and positive selection training and adoption rates in Kenya to explore how
199 information about the likelihood that farmers in a region will adopt a technology can be
200 integrated (Gildemacher et al. 2012).

201

202 **Identifying performance measures and predictor variables**

203

204 A variety of methods are useful for selecting predictor variables for performance indicators. We
205 focus on two types of machine learning algorithms: Bayesian networks and recursive partitioning
206 trees (Therneau, Atkinson, and Ripley 2010). These were selected because of their under-
207 appreciated utility in applications such as disease or pest management, and their utility for our
208 problem. As performance indicators, we focused on yield.

209 Classification and regression trees have been applied in agricultural systems for the
210 purposes of land and soil classification, climate change impact assessment, risk assessment, toxin
211 levels and disease conduciveness for plants (Langemeier et al. 2016; Novak and LaDue 1999;
212 Etter et al. 2006; Caley and Kuhnert 2006; Paul and Munkvold 2004; Tittonell and Giller 2013).
213 These models are known for their ability to identify important variables in classification
214 problems, identify hierarchically the most important predictor variables, and to support decision
215 making processes. Recursive partitioning is a type of decision tree model. The strength of the
216 recursive partitioning method lies in its ability to deal with non-linearity in the data, and depict
217 and interpret the outputs in decision-tree format. A limitation of this method is that it may
218 perform relatively poorly with continuous variables or large numbers of unordered variables. In
219 R there are several packages that perform recursive partitioning, where randomForest, caret,
220 party and rpart are among the most used (Therneau, Atkinson, and Ripley 2010). We used rpart,
221 designed to perform well even when missing data are common.

222 Bayesian networks have been applied in natural resource management systems for
223 vegetation classification, optimal decision making, disease management and expert elicitation
224 (Geenen and Van Der Gaag 2005; Aguilera et al. 2011; Kristensen and Rasmussen 1997; Perez-
225 Ariza, Nicholson, and Flores 2012). A Bayesian network is a directed, acyclic graph whose
226 nodes represent predictor variables, and links represent dependencies. The relationships between
227 variables are quantified by conditional probability tables. All of these tables together represent
228 the full joint distribution. These models are designed to incorporate learning from both empirical
229 data and from expert assessment, to build conditional probability tables. Important strengths of
230 the Bayesian network method lie in its ability to infer probabilistic relationships between many
231 variables simultaneously. The network structure can be set manually by the user or learned from

232 the data using a variety of algorithms. In the case of exact estimation algorithms, it is possible to
233 set values for any combination of nodes and produce new posterior probabilities for each
234 variable in the network. A limitation of this method is the cost of some of the most advanced
235 Bayesian network software. In addition, mixed data of continuous and categorical data can be
236 problematic for commonly used Bayesian network algorithms. These algorithms tend to work
237 best for cases where all the variables are continuous, or all are discretized. Tools available for
238 Bayesian network analysis include BI-CAMML, Hugin and Netica (Aguilera et al. 2011). R
239 packages include bnlearn, gRain and pcalg (Nagarajan, Scutari, and Lèbre 2013). We selected
240 Netica for this illustration because it is relatively affordable, the algorithms it uses allow for
241 immediate updating of conditional probabilities based on selected levels for variables, it has a
242 powerful graphical interface, and it is widely used in ecological and environmental analyses
243 (Aguilera et al. 2011).

244

245 **Evaluating management performance**

246

247 Training in positive selection methods is often useful for familiarizing farmers with disease
248 symptoms and benefits (Gildemacher et al. 2012). We consider data (Kromann et al. 2017) from
249 potato seed production in Ecuador, asking at what locations the benefits of training in positive
250 selection are likely to be greatest. These data (Kromann et al. 2017) focus on potato yield and
251 virus incidence for six viruses, under different management practices, such as positive selection
252 and the number of plantings since disease-free seed was obtained. Using Bayesian network
253 analysis, as described above, the estimated yield with and without positive selection was
254 evaluated for each of three generations of seed at elevations above and below 2895 m a.s.l., the
255 threshold elevation identified in the Bayesian network analysis. Thus, the decision about where
256 to invest in positive selection training might be phrased in terms of (a) uninformed (random) site
257 selection, where each location is equally likely to be selected for training, and (b) informed site
258 selection, where locations above or below 2895 m a.s.l. may be targeted for training, depending
259 on which provides greater benefits, and (c) misinformed site selection, selecting higher or lower
260 elevations based on an incorrect belief about where positive selection will provide greater
261 benefits. For example, there could be a prior belief that a particular pathogen will be more
262 prevalent at lower elevations, due to a higher abundance of vectors, thus making positive
263 selection more important there.

264

265 ***Yield evaluated in Bayesian networks*** - The benefit of positive selection (in the third year after
266 disease-free seed purchase) was estimated using a Bayesian network in Netica. Netica's Tree-
267 Augmented Naive Bayes (*TAN*) classifier algorithm was used to estimate the conditional
268 probability tables and the network structure. From the conditional probability tables we obtained
269 estimated yields above (7.7 t/ha) and below (3.2 t/ha) 2895 m.a.s.l.

270

271 ***Interacting factors evaluated with recursive partitioning.*** We can assess scenarios where we
272 have information about interacting predictors, and these are or are not taken into account in the
273 targeting of training. Here we use experimental data about yield under positive selection (as
274 opposed to roguing or random seed selection), at different altitudes and for certified seed that has
275 been propagated for three seasons or less than three seasons.

276

277 **Considering variety with recursive partitioning.** The two most important ware potato varieties
278 in Ecuador, Superchola and Fripapa, were sold at a ratio of 2:1 by volume by growers in a
279 cooperative in Tungurahau, Ecuador (Buddenhagen et al. 2017), and there was a similar ratio of
280 farmers growing each type. For this illustration, let us ignore the other 14 varieties that were
281 grown in this cooperative, as the volumes reported were less than 10%. Some farmers grow both
282 varieties. We consider variety, altitude, and management by estimating mean per plant yields
283 under different conditions, and by using recursive partitioning in rpart. The observed proportion
284 of Ecuadorian farmers using certified seed was reported at 2% (Devaux et al. 2010), though for
285 some organized groups the proportion can be as high as 46% (Buddenhagen et al. 2017). In
286 summary, the reported ratios for this example are as follows: farm altitude (high to low, 51:49),
287 potato variety (Fripapa to Superchola, 33:66) and potato seed age (old to new, 98:2).

288
289 **Regional differences in adoption of training recommendations.** Another example of evaluating
290 management performance, and where management performance is greatest, is based on regional
291 differences in adoption of positive selection after training (Table 1). In one study, adoption
292 varied for three Kenyan counties: Nakuru 46%, Nyadarua 19%, and Narok 18% (Gildemacher et
293 al. 2012). The average benefit of positive selection was 3.4 tons per ha (~USD 350 per ha). This
294 translated to a per-household benefit of \$156 per season for a farm of average size for the region.
295 Meanwhile the cost of training was \$38 per farmer. In this case, the average value for each
296 region combined gives the expected benefit of \$44 where training occurs in a random region.

297 298 **Applying models to a map of the relevant region**

299
300 We selected for analysis and extrapolation a major potato growing region stretching from
301 southern Ecuador to southern Colombia. Using data from mapSPAM (HarvestChoice 2014), we
302 identified the region for evaluation by selecting pixels with >200 ha potato production per pixel
303 (where a pixel represents 5-arc minutes, approximately 10,000 ha). Here 51% of potato
304 production is above 2895 m based on MapSpam estimates (You et al. 2012), the elevation cut-off
305 for predicting yield from the Bayesian network analysis.

306
307 The data layer of management performance estimates is one important factor for deciding about
308 where to prioritize management efforts. This analysis effectively treats each location as
309 independent from other locations. However, some locations will have more important roles in
310 epidemics than others, due to factors such as environmental conduciveness to disease and
311 position in spatial epidemic networks. We evaluated the layer of management performance
312 estimates for positive selection with a data layer of the potato cropland connectivity risk index, a
313 measure of the likely importance of locations for spatial movement through potato growing areas
314 (Xing et al. 2017).

315 316 **Estimating the value of information**

317
318 We assess the value of information for decisions about where to invest management
319 interventions. For the purposes of this study we consider cases where decision makers either
320 have or do not have information about geographic differences in management performance. In
321 the absence of information, they might select any location for management with equal
322 probability. An estimate of the value of information in this case would be the difference in the

323 benefit of investment for locations selected based on the information (“informed location
324 selection”), and the benefit for locations selected randomly (“uninformed location selection”). In
325 the case where decision makers have a prior belief that is not supported by the data, or a
326 misconception, the value of information would be the difference between investments based on
327 the misconception (“misinformed location selection”), versus informed investments. We look at
328 the uninformed and informed management choices related to spatially distributed differences in
329 yield, disease, variety and rates with which best practices are adopted.

330
331 We evaluated uncertainty through the lens of how frequently the better management choice
332 would be made (in terms of managing seed degeneration over the three years) based on the data
333 available, as follows. For each of the 28 potential pairwise comparison of management scenarios
334 (each pair of treatment (positive selection versus random) x year (year 1 or 3) x altitude
335 combinations), the difference in yield randomly drawn from the set of observed yields for the
336 treatment combination was collected 10,000 times. (Note that yields were available at the
337 individual plant level, rather than the individual farm level across multiple farms.)

338 339 **RESULTS**

340 341 **Identifying performance measures and predictor variables, and evaluating management** 342 **performance**

343
344 *Positive selection and yield for Andean potato.* The Bayesian network analysis (Fig. 3) showed
345 that, compared to low yield plants, high yielding plants were found in plots more commonly
346 where first generation seed was used, at higher altitudes, with the Fripapa variety, and with lower
347 minimum temperature and higher rainfall six months after planting, as well as low levels of
348 PVX, PLRV, and PVY. The uncertainty is high compared to the observed values. In the
349 recursive partitioning analysis (Fig. 4), higher per plant yields were generally obtained from
350 Fripapa (compared to Superchola) in the first two years after certified seed was bought, the
351 highest yields being obtained for altitudes over 3278 m. Meanwhile the highest yields for
352 Superchola were found above 2895 m altitude. If a farmer can afford to replace seed more
353 frequently, and the farm is over 3200 m, Fripapa might be a better choice than Superchola if only
354 a single variety is grown, based solely on yield and assuming the value in the market is
355 comparable (0.29 versus 0.33 cents per kg respectively).

356
357 Year 3 plants yield ~300 g per plant less on average, and the benefits of positive selection allow
358 yields to approach the average yields for recently purchased certified seed (if values from cycle 1
359 and 2 are combined). Since there are no differences with respect to variety in year/cycle 3 after
360 certified seed it would seem positive selection is equally valuable in both varieties for seed that
361 has gone through more than a two planting cycles.

362
363 The price farmers obtain for each variety was estimated to be \$0.33 USD per kg for Superchola
364 and \$0.29 USD per kg for Fripapa (Navarrete, personal observation). The variety Superchola
365 has entered into the common parlance and is widely regarded as superior, even though there is
366 some evidence that consumers cannot easily recognize the difference between ware potato of it
367 and other varieties (Kromann, personal observation).

368

369 **Positive selection and yield for Kenyan potato.** This analysis was based on the probability of
370 adoption of positive selection, where higher adoption rates result in a higher payoff for
371 investment. Adoption rates were 46, 19 and 18% in three regions (Table 1) (Gildemacher et al.
372 2012, 2011). Thus, based on this measure alone, selection of the region with better training
373 uptake would double the benefits obtained of a training intervention focused only in the other
374 areas.

375

376 **Applying models to a map of the relevant region and integrating data layers**

377

378 The mapped estimates of the management performance of positive selection for Andean potato
379 yield (Fig. 5A) and the locations where cropland connectivity risk was highest (Fig. 5B) could be
380 combined to identify locations both independently likely to be successful and of importance for
381 regional management.

382

383 **VOI for targeted implementation of positive selection (yield as response, Bayesian networks to**
384 **identify predictors)** For equivalent farm sizes above and below 2895 m, we can estimate the
385 benefit of training under uninformed (random) site selection by using the weighted mean of the
386 benefit above and below 2895 m.a.s.l., which is 6.5 t/ha ($0.51 * 7.7 + 0.49 * 3.2 = 6.5$).

387

388 The estimated benefit under informed site selection, selecting locations above 2895 m, is 7.7 t/ha
389 – a difference of 1.2 t/ha from random site selection. Under misinformed site selection, if the
390 assumption was that positive selection provides more benefits at low altitude due to greater
391 pathogen load, then the benefit is 3.2 tons per ha, 4.5 tons per ha less than the optimal allocation,
392 and 3.3 tons per ha less than the uninformed (random) site selection option.

393

394 **VOI for targeted implementation of positive selection (yield as response, rpart to identify**
395 **predictors)** Assuming that positive training targeted farmers randomly with respect to the
396 observed frequency of the variables, the weighted mean benefit of positive selection would be
397 8.7 tons per ha. Preferentially targeting sites at high altitude (but sampling randomly with respect
398 to seed age and variety) provides higher benefits of 9 tons per ha, otherwise targeting low
399 altitude sites provides lower returns at 8.3 tons per ha. Targeting farmers who plant old seed (3
400 years since certification) provides little benefit: 8.8 tons per ha compared to random targeting of
401 farmers (under the scenario where use of certified seed is rare at 2%), but targeting the 2% that
402 do use new seed provides a benefit of 3.1 tons per ha. By far the greatest benefit is provided by
403 targeting farmers that grow Fripapa (benefit of 10.9 tons per ha) as opposed to Superchola
404 (benefit of 7.6 tons per ha).

405

406 **Regional differences in adoption of training recommendations in Kenya.**

407 In the example of Kenyan potato seed technology adoption, targeted selection of high adoption
408 rate areas for training (where the per-farmer benefit was \$72) would increase the return by \$28
409 per farmer trained. Realized benefits would vary depending on farm sizes for the targeted
410 farmers.

411

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414

415 DISCUSSION

416

417 The value of information was assessed by comparing (a) allocating development resources (with
418 the example of positive selection training for farmers) without regard to the observed site
419 characteristics, and (b) targeted allocation of training resources toward sites with known
420 characteristics. In our example, the data (and expert expectation) show that positive selection is
421 often an effective way to reduce seed degeneration. An NGO or government extension agency
422 could implement a rural development intervention where farmers are trained to use positive
423 selection without regard to their specific farm conditions, variety used or the frequency with
424 which they can buy improved seed. However we showed that this option is not optimal as a
425 means of obtaining higher yield, and lower disease incidence. We compared uninformed and
426 informed allocation of resources, to assess the value of the information used for targeting
427 interventions. In the simplest scenario, using results from Bayesian networks, we showed that the
428 benefit of positive selection was highest (4.5 tons per ha) at high altitudes, and uninformed
429 allocation of farmer training would provide a net benefit of 1.2 tons per ha less than targeted
430 training.

431 Using data about adoption rates from Kenya, we showed that unless adoption rates were
432 higher than 24%, the first-year benefit per household would not exceed the \$38 per farmer cost
433 of training (though presumably the benefits would continue to accrue in subsequent years). Also
434 random allocation of training effort would only yield a \$44 dollar benefit (over the cost of the
435 training) per household. Gildemacher et al. (2017) also point out that adoption rates were lower
436 in drought years, suggesting that prediction of adoption rates could be difficult if based on
437 regional patterns in a single year. It is easy to imagine scenarios where observed adoption rates
438 (say in a training scheme) would vary in predictable ways based on in season weather conditions,
439 language spoken, literacy, cultural differences between trainer and trainee, wealth or other
440 factors. Further spatial data could be available for key predictor variables, and could form a part
441 of selection criteria for farmer training initiatives (and the approach to the training could be
442 altered to improve adoption rates).

443 Then in a more complex scenario, we showed that targeting farmers growing the variety
444 Fripapa, or at high altitude was the optimal strategy. Not examined was the size of the farms,
445 which would logically determine the returns on training efforts per farmer. Over and above the
446 availability of data, the value of information in this scenario requires that the data be linked to
447 real world distributions of farm or farmer characteristics and behavior (we used altitude, variety
448 use, rate of seed replacement).

449 We showed that recursive partitioning and Bayesian networks provide easily interpreted
450 graphics and estimates of the predictor variables related to yield in seed degeneration studies. We
451 anticipate that these tools will be useful in other studies examining disease incidence or yield and
452 predictor variables related to management or environmental conditions.

453 Combining data layers for evaluating optimal intervention strategies can provide more
454 insight, along with challenges due to uncertainty. Evaluating the risk of disease due to cropland
455 connectivity (Xing et al. 2017) in combination with independent location traits can position the
456 analysis in the larger context of disease management for the region. A broader systems analysis
457 – for example, impact network analysis (Garrett 2018; Garrett et al. 2018), which integrates
458 across management performance, socioeconomic networks, and biophysical networks such as
459 epidemic networks – can aid in identifying intervention locations that prioritize across multiple
460 goals.

461 Management performance mapping is potentially applicable to any problem in
462 intervention agriculture or ecology with measurable outcomes. Yield gap analyses that
463 incorporate maps can address some of the same goals as management performance mapping
464 (Schulthess et al. 2013; Silva et al. 2017; Lobell, Cassman, and Field 2009; Lobell et al. 2015;
465 van Ittersum et al. 2016; Grassini et al. 2015; van Bussel et al. 2015b). For example, yield gap
466 analysis attempts to identify the most important factors that influence yield, and are controllable.
467 The focus of management performance mapping, however, is on providing spatial information
468 about intervention impact of management options. Management performance maps would
469 ideally incorporate and account for interacting human dimensions (e.g., learning, financial
470 liquidity, capital, institutions) and biophysical aspects of crop production (Arneth et al., 2014)

471 To make maps, management performance measures are modelled as a function of
472 predictor variables available in geographic data layers, e.g., climate variables, altitude, or soil
473 fertility. Useful outcome variables include yield, disease incidence, measures of crop quality,
474 technology adoption levels, or measures of food security. As in species distribution modeling,
475 data to inform management performance mapping may come from experiments, surveys or
476 monitoring of management carried out at any scale. Species distribution models for crops can,
477 themselves, be a useful predictor variable for evaluating the importance of management of
478 invasive species. Worldwide crop distribution models are valuable for defining the relevant
479 locations for consideration of management options (You et al. 2014; Monfreda, Ramankutty, and
480 Foley 2008). Alternatively, there could be cases where maps are derived from crop distributions
481 are well understood via more direct means such as remote sensing, surveys or farm level
482 measurements (e.g., Lobell et al. 2015). The extent and depth of the reference data, the
483 magnitude of management effect sizes, and the degree to which geographic extrapolation is
484 appropriate will determine the level of confidence decision makers have in management
485 performance maps.

486 Our example decision, deciding where to implement training for improved disease
487 management, represents a class of decisions where there is confidence that the activity will
488 provide a benefit. Management performance mapping is applied to guide implementation to
489 locations where there is some evidence that the benefit will be greater than in other locations.
490 For this class of decisions, the risk is low that limited data is “worse than no data at all”. In the
491 language of hypothesis testing, there is not a strong motivation to avoid Type I error (rejecting a
492 null hypothesis when the null hypothesis is true), because a Type II error (failing to reject a null
493 hypothesis when the null hypothesis is false) is arguably just as bad. In the management
494 performance mapping context, the null hypothesis is that the benefit of implementation will be
495 the same in all locations. The main risk of “bad data” would be from data with a strong bias that
496 would lead to misinformed decisions. The cost of “bad data” may also go up if the logistical
497 costs (of transport, communications, etc.) of targeting locations incorrectly identified
498 is higher than targeting locations at random or selecting locations based on convenience.

499 We addressed management performance mapping with performance defined in terms of
500 the mean performance observed. Other potential criteria for selecting regions for investment
501 might emphasize different priorities (Table 2). Going forward with applying management
502 performance mapping, it will be important to consider not only the value of information under a
503 reasonable set of assumptions, but also the role of uncertainty. One of the applications of VOI
504 analysis is to determine whether collecting more or better data about management performance is
505 justified, not just for the sake of more statistical power but because the information improves
506 farmer decision-making under a realistic range of conditions. Scientists, funders and policy

507 makers will need to evaluate whether decreasing uncertainty is likely to lead to shifts in the mean
508 of the performance measures. There may be little value in collecting more evidence about
509 management performance if the mean is little influenced. Estimates of uncertainty were obtained
510 from the Bayesian and recursive partitioning methods we used, but we emphasized the
511 differences in the estimated value of the management rather than the spread of the uncertainty.
512 In our example data, our only estimate of uncertainty within a scenario was based on variability
513 among individual plants, while a person making decisions about regional priorities would
514 strongly prefer to have information about farm-to-farm variability within each scenario.
515 However, emphasizing mean differences in management outcomes could be justified, in general,
516 even if uncertainty is high, particularly if there is reason to believe more data will not lead to
517 major shifts in the ranking of mean management performance. Management performance
518 mapping provides a process to extrapolate from available data to make evidence-based decisions
519 about where to invest in disease and crop management or training initiatives.

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530 **LITERATURE CITED**

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722 Table 1. Regional adoption rates after positive selection training in Kenya and the expected
723 realized benefit of training given the adoption rate. The average benefit is that expected under
724 random allocation of training effort to the regions without regard to adoption rates.

Region	Observed adoption rate	Per household benefit \$USD	Expected realized benefit of training in year 1
Nakura	0.46	156	72
Nyadarua	0.19	156	30
Narok	0.18	156	28
Average Benefit			44

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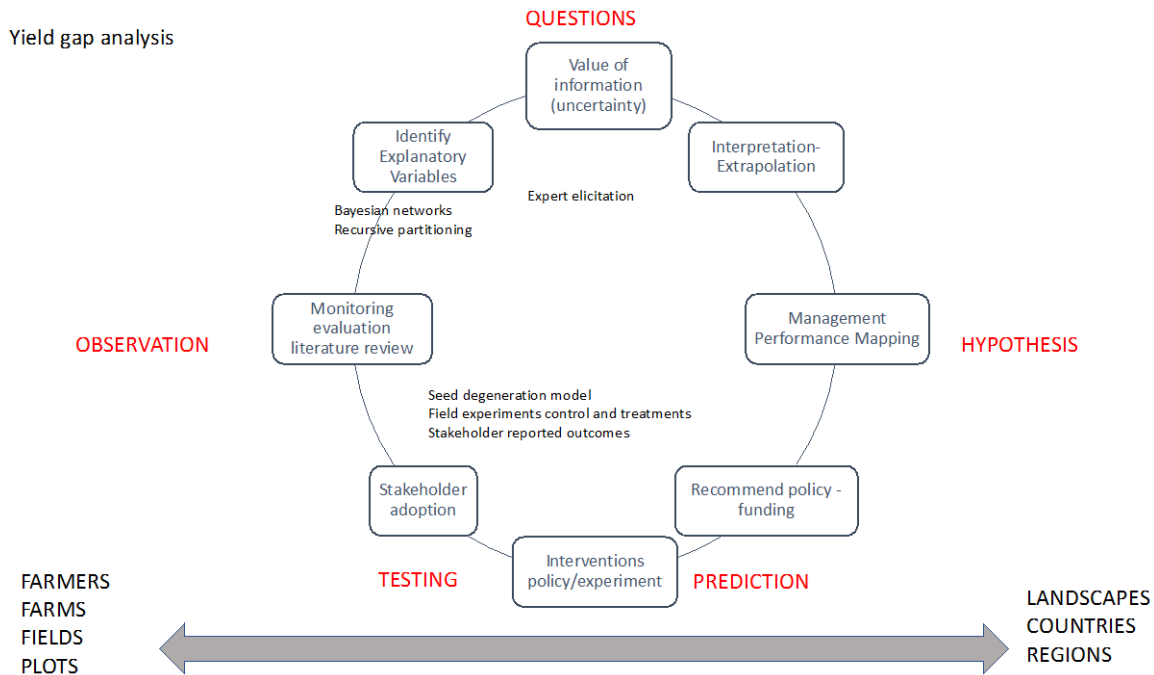
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727 Table 2. Potential criteria for identifying priority sites for interventions (such as training farmers
728 to use positive selection for improved seed health).
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Criterion	Rationale
Regions where expected absolute benefit is greatest	Greatest benefit to regional food production
Regions where expected proportional gain is greatest	Greatest benefit to regional farmers
Regions where outcomes before intervention are lowest	Benefit to regions in greatest need
Regions where outcomes before intervention are highest	Benefit to regions currently best adapted for production
<i>Incorporating measures of uncertainty at the farm level</i>	
Regions where the 5 th percentile benefit is greatest	Consistent benefit across farmers
Regions where the trimmed mean is greatest	Greatest benefit for typical farmers

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Fig 1. Development efforts ideally take place in a culture of continuous improvement, based on continuous monitoring and evaluation, and incorporating experimentation to facilitate adaptive management. The cycle described here closely resembles the learning cycle and the scientific process, in general. Management performance mapping operates in this context by scaling up field, farm, and plot derived information to larger scale landscapes, regions or countries.

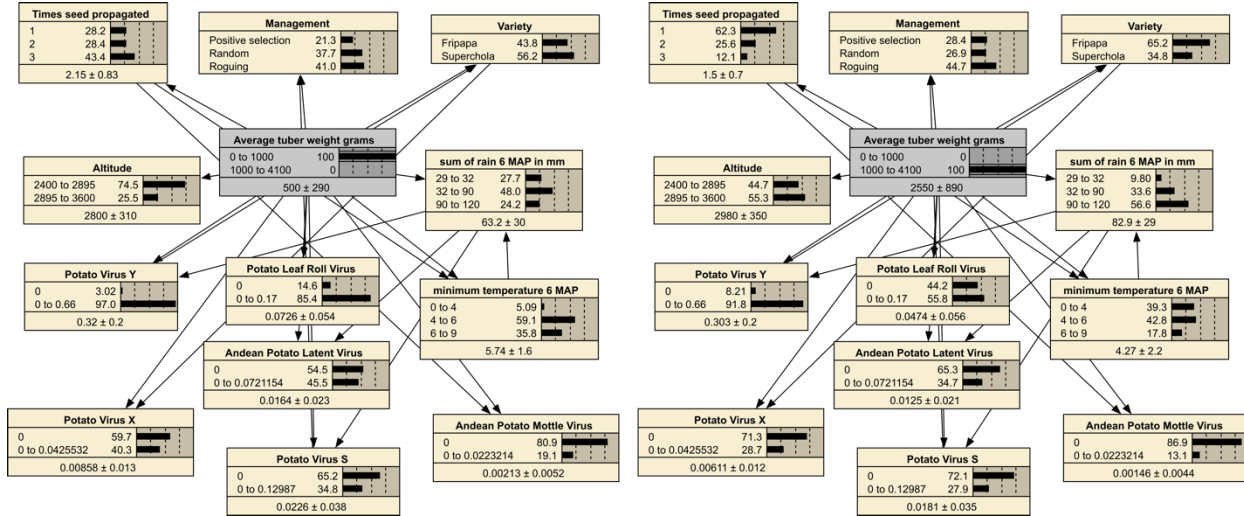
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Management performance mapping	Example of the study of potato yield in Ecuador
(i) Assemble data related to management performance and potential predictor variables	Evaluation of how positive selection by farmers for better seed affects yield
(ii) Identify predictor variables for the performance measures	Bayesian networks and rcart used for identification
(iii) Evaluate management impacts of the different interventions	Positive selection compared to random selection
(iv) Identify highest-performing management intervention or policy	Elevation combined with other factors
(v) Assess the value of information	Evaluation of how informed decision-making increases yield
(vi) Link predictor variables to GIS data layers	Results mapped to potato regions of Ecuador
(vii) Implement interventions	
(viii) Facilitate and assess technology adoption by farmers	

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Figure 2. The steps in a management performance mapping “pipeline”, illustrated for the use of positive selection for seed potato in Ecuador.

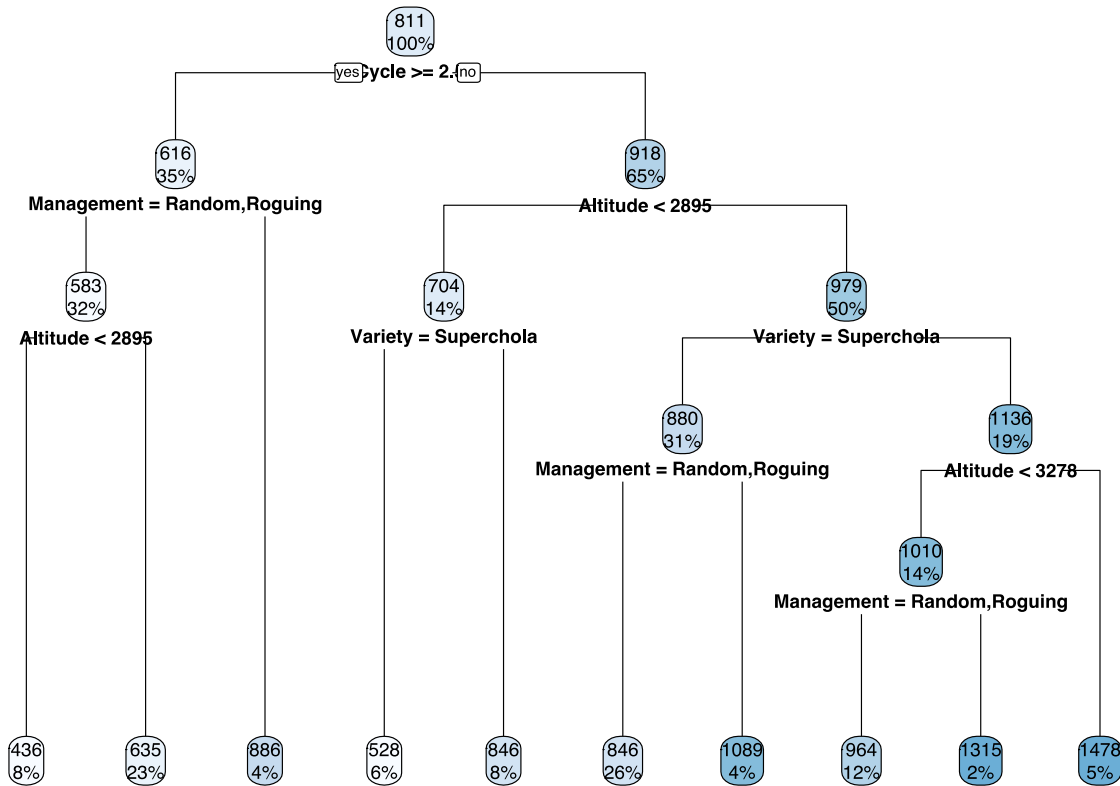
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Figure 3. Ecuadorian potato yield and the factors associated with yield from a Bayesian network analysis in Netica, where the impact of selected conditions can be seen for plants with low yield (left) and high yield (right) and the posterior probabilities in other nodes is returned. The lower text for each node gives the estimated mean and uncertainty.

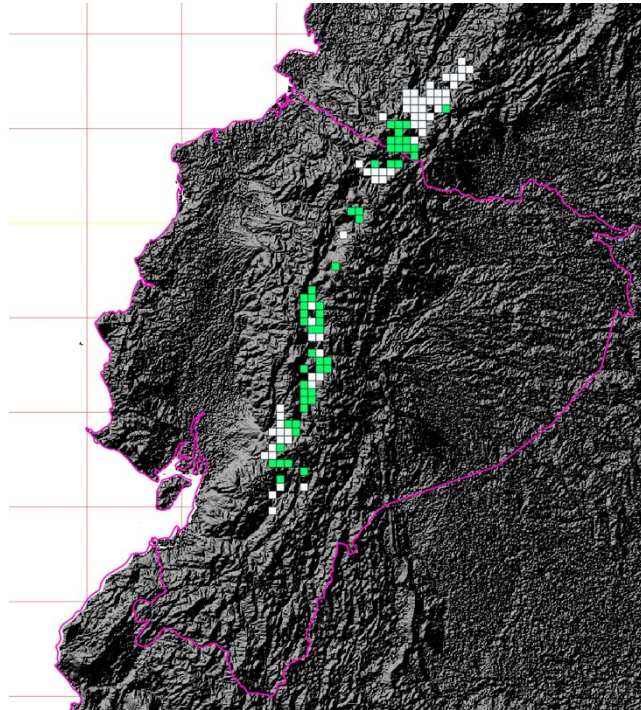
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762 Fig. 4. Recursive partitioning results in decision tree format, with per plant yield (g) as the
763 dependent variable. Branches to the left are results when the logical statements at the nodes are
764 true, and branches to the right are results when the logical statements are false. The upper
765 numbers in the boxes are the mean yields for that condition, and the percent values are the
766 proportion of the data for which the condition applies.

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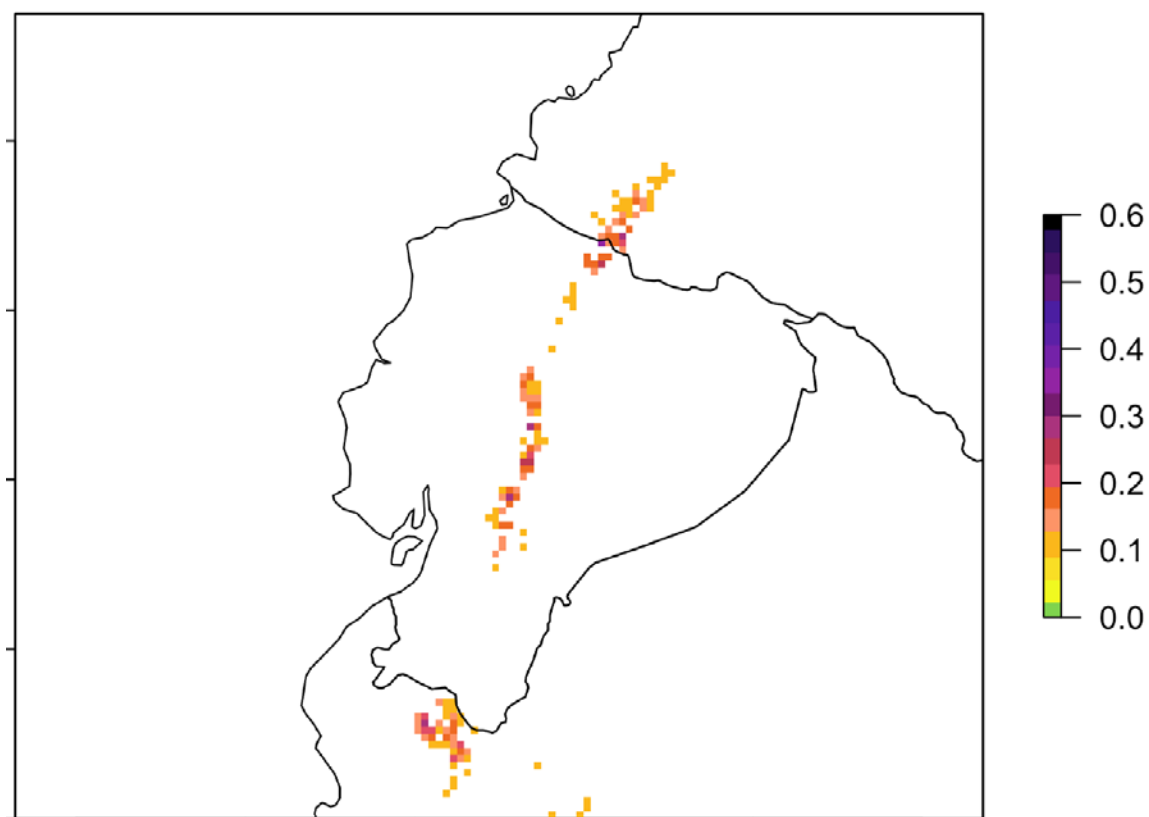
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773 Figure 5A. Ecuador and surroundings, with potato production indicated based on MapSpam
774 estimates. Pixels above and below 2895 m.a.s.l. are indicated in green and white, where pixels
775 are included if the harvested area estimate is greater than 200 ha. We find that 51% of the
776 harvested is above this threshold. The country border is for Ecuador, and the graticules are 1
777 degree squares.

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Figure 5B. The potato cropland connectivity risk index estimated for Ecuador and southern Colombia, based on the mean from uncertainty quantification across multiple parameter combinations (Xing et al., 2017).