1 **Using machine learning to predict antimicrobial minimum inhibitory concentrations and**
2 **associated genomic features for nontyphoidal *Salmonella***
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4 Marcus Nguyen[1,2], S. Wesley Long[3,4], Patrick F. McDermott[5], Randall J. Olsen[3,4], Robert Olson[1,2],
5 Rick L. Stevens[2,6], Gregory H. Tyson[5], Shaohua Zhao[5] and James J. Davis[1,2]
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8
[1]University of Chicago Consortium for Advanced Science and Engineering, University of Chicago,
Chicago, Illinois, 60637, USA
[2]Computing, Environment and Life Sciences, Argonne National Laboratory, Argonne IL, 60439,
USA
[3]Center for Molecular and Translational Human Infectious Diseases Research, Department of
Pathology and Genomic Medicine, Houston Methodist Research Institute and Houston
Methodist Hospital, Houston, Texas, 77030, USA
[4]Department of Pathology and Laboratory Medicine, Weill Cornell Medical College, New York,
New York, 10065, USA
[5]Food and Drug Administration, Center for Veterinary Medicine, Office of Research, Laurel, MD
20708, USA
[6]University of Chicago, Department of Computer Science, Chicago, IL, 60439, USA
21
22 To whom correspondence should be addressed:
23 Email: jimdavis@uchicago.edu
24 Tel: +1 (630) 225-1190
25
26 **Present Address:**
27 Argonne National Laboratory
28 Computing, Environment and Life Sciences
29 9700 S. Cass Avenue
30 Argonne, IL 60439

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Abbreviations
AMP: ampicillin
AMR: antimicrobial resistance
AUG: amoxicillin/clavulanic acid (Augmentin)
AXO: ceftriaxone
AZI: azithromycin
CDC: United States Centers for Disease Control and Prevention
CHL: chloramphenicol
CIP: ciprofloxacin
CLSI: Clinical and Laboratory Standards Institute
COT: trimethoprim/sulfamethoxazole (co-trimoxazole)
FDA: United States Food and Drug Administration
FIS: sulfisoxazole

FOX: cefoxitin
FSIS: USDA Food Safety and Inspection Service
GEN: gentamicin
KAN: kanamycin
ME: major error
MIC: minimum inhibitory concentration
NAL: nalidixic acid
NARMS: National Antimicrobial Resistance Monitoring System
SNP: single nucleotide polymorphism
STR: streptomycin
TET: tetracycline
TIO: ceftiofur
USDA: United States Department of Agriculture
VME: very major error
WGS: whole genome sequencing
XGBoost: Extreme Gradient Boosting

Keywords
Machine learning
Deep learning
Antimicrobial susceptibility testing
Genome sequencing
Diagnostics
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Nontyphoidal *Salmonella* species are the leading bacterial cause of food-borne disease in the
United States. Whole genome sequences and paired antimicrobial susceptibility data are
available for *Salmonella* strains because of surveillance efforts from public health agencies. In
this study, a collection of 5,278 nontyphoidal *Salmonella* genomes, collected over 15 years in
the United States, were used to generate XGBoost-based machine learning models for
predicting minimum inhibitory concentrations (MICs) for 15 antibiotics. The MIC prediction
models have average accuracies between 95-96% within ± 1 two-fold dilution factor and can
predict MICs with no *a priori* information about the underlying gene content or resistance
phenotypes of the strains. By selecting diverse genomes for training sets, we show that highly
accurate MIC prediction models can be generated with fewer than 500 genomes. We also show
that our approach for predicting MICs is stable over time despite annual fluctuations in
antimicrobial resistance gene content in the sampled genomes. Finally, using feature selection,
we explore the important genomic regions identified by the models for predicting MICs. To
date, this is one of the largest MIC modeling studies to be published. Our strategy for
developing whole genome sequence-based models for surveillance and clinical diagnostics can
be readily applied to other important human pathogens.
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Introduction

Nontyphoidal *Salmonella* species are the leading bacterial cause of food-borne disease in the United States[1, 2], causing over one million illnesses per year[3] and an estimated 80 million illnesses annually world-wide[4]. Nontyphoidal *Salmonella* causes acute gastroenteritis and is usually contracted via fecal contamination of food sources[5]. Although these infections are usually self-limiting and typically do not require antibiotic treatment[6], severe infections can occur[7]. Antimicrobial resistance (AMR) is prevalent in *Salmonella* isolates and infections caused by highly antimicrobial resistant *Salmonella* strains result in worse outcomes than infections caused by susceptible strains[8-11].

In 1996, the National Antimicrobial Resistance Monitoring System (NARMS) was established as a collaboration between the United States Centers for Disease Control and Prevention (CDC), U.S. Food and Drug Administration (FDA), U.S. Department of Agriculture (USDA), and state and local health departments. A primary goal of NARMS is to monitor antimicrobial resistance in *Salmonella* and other food-borne bacteria, including *Campylobacter, Escherichia* and *Enterococcus*[12]. The data collected by NARMS is used to inform public health decisions aimed at identifying contaminated food sources and reducing the spread of AMR through enhanced stewardship. In recent years, NARMS has adopted whole genome sequencing (WGS) as a routine monitoring tool. The WGS data are used to determine the source of outbreak strains, the virulence factor and AMR genes carried by each strain. As a result, a large collection of bacterial whole genome sequences with extensive metadata is available for downstream research efforts[13].

Whole genome sequencing is now routinely used for public health surveillance and to guide diagnostic and patient care descisions[14-18]. For routine surveillance, WGS provides the highest possible resolution for individuating traits in bacteria, assessing phylogenetic relationships, conducting outbreak investigations, and predicting virulence and epidemicity. From the clinical perspective, rapid diagnostics are key to improving patient care. For a conventional microbiology laboratory diagnosis, the total time for organism growth, isolation, taxonomic identification, and antimicrobial minimum inhibitory concentration (MIC) determination may exceed 36 hours for relatively fast-growing bacteria and several days for slower growing organisms[19-21]. Since reducing the time to optimal antimicrobial therapy significantly improves patient outcomes[22-24], rapid sequencing-based approaches that predict MICs may have clinical utility. The extensive WGS datasets generated by health agencies and the scientific community, such as nontyphoidal Salmonella strains, provides the necessary training sets required for building predictive models.

Several investigations have recently built models for predicting AMR phenotypes from WGS data. To date, the most common approach has relied on using a curated reference set of genes and polymorphisms that are implicated in AMR[25-33]. This reference-guided approach best predicts susceptibility and resistance when organisms are well studied and the AMR mechanisms are known. As larger collections of genomes have become available, several studies have used machine learning algorithms to predict susceptible and resistant phenotypes[27, 29, 31, 34-38]. By using WGS and AMR phenotype data to train a machine

learning model, predictions without *a priori* information about the underlying gene content of
the genome or molecular mechanism for resistance to each agent are possible. Although this
reference-free approach requires many genomes, it is unbiased and can potentially be used to
enable the discovery of new genomic features that are involved in AMR[36, 37]. These two
general approaches have also been used to predict MICs for *Streptococcus*, *Neisseria*, and
Klebsiella[35, 38-40]. When a curated reference collection of genes and SNPs is used for
predicting MICs, a rules-based or machine learning algorithm is required for determining how
much a given feature contributes to the MIC. Thus, for MIC prediction, both reference-guided
and reference-free approaches are expected to have similar advantages and disadvantages if
the collection of genes and SNPs used by the reference-guided method is sufficient for
predicting all MICs, including those that are in the susceptible range. For example, in previous
work, we built a machine learning model to predict MICs for a comprehensive population-based
collection of 1,668 *Klebsiella pneumoniae* clinical isolates[38]. For each genome, we used
nucleotide 10-mers and the MICs for each antibiotic as features to train the model. Extreme
gradient boosting (XGBoost) was chosen as the machine learning algorithm[41]. The model
could rapidly predict the MICs for 20 antibiotics with an average accuracy of 92%. This
demonstrated that it is possible to successfully predict MICs without using a precompiled set of
AMR genes or polymorphisms.
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159   In this study, we build models that use whole genome sequence data to predict MICs for
160   nontyphoidal *Salmonella* based on strains collected and sequenced by NARMS from 2002-2016.
161   Our strategy can be used to guide responses to outbreaks and inform antibiotic stewardship
162   decisions.
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165 **Materials and Methods**
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Genomes and Metadata
A total of 5,278 nontyphoidal *Salmonella* genome sequences were used in this study. All strains
were collected and sequenced as part of the NARMS program. The strains were recovered
from either raw retail meat and poultry or directly from livestock animals at slaughter.
Antimicrobial susceptibility testing was performed using broth microdilution on the Sensititre®
system (Thermo Scientific) for 15 antibiotics: ampicillin (AMP), amoxicillin/clavulanic acid
(AUG), ceftriaxone (AXO), azithromycin (AZI), chloramphenicol (CHL), ciprofloxacin (CIP),
trimethoprim/sulfamethoxazole (COT), sulfisoxazole (FIS), cefoxitin (FOX), gentamicin (GEN),
kanamycin (KAN), nalidixic acid (NAL), streptomycin (STR), tetracycline (TET), and ceftiofur (TIO)
at FDA and USDA NARMS laboratories[13]. Clinical breakpoints are based on CLSI and FDA
guidelines[42]. Whole genome sequencing was performed using the Illumina HiSeq and MiSeq
platforms using standard methods[25]. Accession numbers and MICs for each isolate are listed
in Table S1. All non-AMR metadata including serotypes, host, geographic location of isolation
and isolation year were taken from the metadata associated with each NCBI SRA entry.
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Genomic Analyses
The short read sequence data for each strain was assembled with the PATRIC genome assembly
service[43], using the "Full SPAdes" pipeline which uses BayesHammer[44] for read correction
and SPAdes for assembly[45]. All genomes were annotated using the PATRIC annotation
service[43], which uses a variation of the RAST tool kit annotation pipeline[46]. Annotated
genomes are available on the PATRIC website (https://patricbrc.org). PATRIC genome
identifiers are displayed in Table S1. Protein annotations, including those specifically asserted
to be involved in AMR[47] were downloaded from the PATRIC workspace and used for
subsequent analyses. A phylogenetic tree was generated for the strains in the analysis by
aligning the genes for the beta and beta prime subunits of the RNA polymerase using
MAFFT[48], concatenating the alignments, and computing a tree with FastTree[49]. The tree
was rendered using iTOL[50].
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MIC Prediction
Model Generation. A model for predicting minimum inhibitory concentrations for the 15
antibiotics was built following the methods previously described by Nguyen and colleagues[38].
Briefly, each genome was divided into the set of nonredundant overlapping nucleotide 10-mers
using the k-mer counting program KMC[51]. A matrix was built where the k-mers, antibiotics,
and MICs are treated as features for each genome. Each row in the matrix contains the k-mers
for a genome as well as the MIC for a single antibiotic. The MIC prediction model was built
using an XGBoost[41] regressor predicting linearized MICs. All model parameters were identical
to those used by Nguyen et al[38]. Ten-fold cross validations were used to assess the overall
accuracy and sensitivity of every model used in this study. A non-overlapping training set (80%
of the data), validation set (10% of the data), and test set (10% of the data) were generated for
each fold. The validation set was used to monitor each model to prevent overfitting. Unless
otherwise stated, the accuracy is reported as the ability to predict the correct MIC within ± 1
two-fold dilution step of the laboratory-derived MIC. Defining an accuracy to be within one
two-fold dilution step is consistent with FDA requirements for automated MIC measuring
device standards and is consistent with established clinical microbiology practices[20, 52, 53].
A comparison of raw accuracies and accuracies within ±1 two-fold dilution step is shown in
Table S2. To assess the accuracy of a model over various metadata categories including date,
serotype source, and location, the training set genomes are used to make the model. The test
set genomes are used to assess the model accuracy for a given fold. For models based on date
ranges, all parameters are identical and the accuracy is reported over the genomes from the
held-out dates.
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Subsampling. In order to perform the model building on a machine with 1.5 TB of RAM
(machines with more memory are currently somewhat uncommon), we reduced the matrix size
to sets of size n, where $n \leq 250$, 500, 1000, 2000, 3000, 4000, and 4500 genomes respectively.
To create a diverse subset of size n, a hierarchical clustering method[54] was used to create n
clusters by using the 10-mer distribution of each genome as input features. To avoid the curse
of dimensionality[55, 56], the taxicab/Manhattan distance (L_1 norm) was used, rather than the
Euclidean distance (L_2 norm), since previous research has shown it to be both computationally
fast and more accurate for high dimensional data[57]. From the resulting n clusters, one

genome from each cluster was randomly selected from a uniform distribution to create the
subset containing n genomes. For each subset of genomes, a matrix was generated, and
models were generated as described above.
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Feature identification. In order to unambiguously identify k-mers that are important to MIC
prediction, we built separate models for each individual antibiotic using the method described
above, except that we increased the k-mer length to 15 nucleotides in order to reduce the
number of redundant k-mers within each genome and to enable analyses with BLAST[58]. We
also measured k-mer hits as presence versus absence, rather than counts, in order to simplify
the analysis. Each model was built using the set of 1,000 diverse genomes from the
subsampling experiment described above and 10-fold cross validations were performed on
each model.
238
XGBoost's internal feature importance was computed for each fold within the 10-fold cross
validation. This results in an importance score per feature (15-mer) from each fold. In order to
generate an overall importance score for the top features, we summed the feature importance
scores from each fold for the top ten features. This overall importance score captures both the
importance of the 15-mer to a given fold and the number of times that 15-mer was chosen as a
top feature within each of the ten folds.
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XGBoost's internal feature importance is unable to provide correlations between features and
label values, and thus does not provide an indication of whether a k-mer is related to antibiotic
resistance or susceptibility. This is partially due to the fact that many non-linear correlations
exist that may use multiple features. In order to see if the high scoring k-mers correlate with
resistance or susceptibility, we computed the distribution of MICs for the genomes containing
each high scoring k-mer. For example, a k-mer conferring susceptibility should be found in
more genomes with lower MICs, while a k-mer conferring resistance should exist in genomes
with higher MICs. Each high scoring k-mer was also compared to the set of protein encoding
genes within each *Salmonella* genome. If a k-mer was found within a known AMR gene, that
gene was reported. Otherwise, all protein-encoding genes within 3kb of the k-mer were
reported in order to assess the neighborhood of the k-mer.
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To find k-mers that are being used by the individual antibiotic models to predict susceptible
MICs, we computed the presence or absence of each k-mers with high XGBoost feature
importance values (described above) for the entire data set of 5278 genomes. The k-mers with
the largest difference in occurrence between the susceptible and resistant genomes are the
ones that are being chosen by the models for predicting susceptible MICs. To demine if there
were significant SNPs in these k-mers, we found the genomic features containing the k-mer—
protein encoding gene, RNA gene, or intergenic region—using BLASTn[58]. The corresponding
feature or region was then found for all genomes in the collection. The features were aligned
using MAFFT[48] and manually curated using Jalview[59]. Poor quality sequence was removed,
all duplicates and paralogs were removed, and the subalignment covering the k-mer was
extracted. To prevent possible biases due to clonality that may exist in the full set of genomes,
the analysis was repeated on the diverse subset of 1000 genomes (described above). We

report a SNP in a k-mer region as being significant if the susceptible and resistant sets are
significantly different (P-value < 0.001) for a given nucleotide position based on a Chi-square
test for both the full set of 5278 genomes and the set of 1000 diverse genomes. Sequence
logos for k-mers containing significant SNPs were generated using WebLogo[60]. K-mers from
the Azithromycin and Ciprofloxacin models were excluded from this analysis because they each
had seven resistant genomes. Comparisons of codon usage were computed versus the genome
average, genome mode, and high expression gene sets as described previously[61, 62].
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278 **Software availability**
279 The *Salmonella* MIC prediction model based on 4,500 genomes—including the software and
280 documentation for running the model—is available at our GitHub page,
281 https://github.com/PATRIC3/mic_prediction.
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284 # Results
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Model Construction
For this study, we used a publicly available collection of 5,278 *Salmonella* whole genome
sequences generated by the NARMS project between 2002 and 2016. The strains were isolated
from retail meat and food animal sources in the United States. The collection includes 98
different serotypes, including Heidelberg (678 genomes), Kentucky (618 genomes), and
Typhimurium var. 5- (588 genomes) from 41 states (Table S1). Isolates were tested for
resistance to up to 15 antimicrobial agents using the broth microdilution method. Many of the
strains were randomly selected for sequencing as part of a compulsory nation-wide collection
program (Table 1).
295
The nontyphoidal *Salmonella* MIC prediction model was built similar to our previously
described strategy used to predict MICs for *K. pneumoniae* clinical isolates[38]. Since the
Salmonella data set has many more genomes and greater sampling in the range of susceptible
MICs, it provides a critical test case for determining if the approach remains robust for other
pathogens. In the *Klebsiella* study, we built individual models for each antibiotic, as well as a
single large integrated model by combining the data from all antibiotics. We found that the
combined model achieved slightly higher overall accuracies (by ~1-2%), however the matrix
that was necessary to train this model had a large memory footprint. Indeed, if we were to
build a similar matrix for the current *Salmonella* data set using all 5,278 genomes, the model
training would exceed 1.5 TB of RAM. Therefore, we first built models for all antibiotics using
subsets of the genomes ranging in size from 250-4,500 genomes that were rationally selected
to maximize genetic diversity (Figure 1). A matrix built from 4,500 genomes is the largest we
can train on a 1.5 TB machine using this protocol. As the training set size increases from 250 to
1000 genomes, the accuracy increases from 88.5% to 91.4%. Then as the training set increases
beyond 1000 genomes, the accuracy continues to improve more modestly, with the 4,500-
genome model having an average accuracy of 95.2%. Results indicate that the overall MIC
prediction approach, which was developed previously for *Klebsiella pneumoniae,* also works for
Salmonella despite the differences in sampling, genetic diversity and MICs. Also, we discovered

that a smaller number of well-chosen diverse genomes can serve as a useful proxy for
representing the entire set, since models built from ≥500 genomes have accuracies exceeding
90%.
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Model Accuracy
We computed the overall accuracy for each antibiotic using the model that is based on 4,500
genomes. For this model, all 15 antibiotics have average accuracies ≥90%, with their Q_1 quartile
bound ≥89% (Figure 2). Chloramphenicol and ceftiofur had the highest accuracies (99%), and
gentamicin and tetracycline had the lowest accuracies (91% and 90%, respectively) (Table S2).
Since the model is robust to the various mechanisms of resistance for the 15 antibiotics, it is
possible that the slightly lower accuracies for gentamicin and tetracycline could be due to the
distribution of multiple AMR genes/mechanisms across the population of strains with resistant
genomes (which will be analyzed in more detail below). Figure 3 depicts the accuracy of the
4,500-genome model for each MIC. Overall, the model is robust for both the resistant and
susceptible MICs, and it tends to be more accurate when a MIC is represented by many
genomes. The model tends to have lower accuracies for the highest and lowest MICs, perhaps
because of underlying genetic differences between strains that have been reported with ≥ or ≤
values, which represents a range of MICs rather than a discrete value.
332
The utility of AMR diagnostic devices is often described in terms of error rate. Major errors
(MEs) are defined as susceptible genomes that have been incorrectly assigned resistant MICs by
the model. Very major errors (VMEs) are defined as resistant genomes that have been
incorrectly assigned susceptible MICs by the model. FDA standards for automated systems
recommend a major error rate ≤ 3%[53]. All antibiotics used in the model have ME rates within
this range (Table 2). The FDA standards for VME rates indicate that the lower 95% confidence
limit should be ≤1.5% and upper limit should be ≤7.5%[53]. Seven of the 15 antibiotics—
amoxicillin/clavulanic acid, ceftriaxone, chloramphenicol, cefoxitin, streptomycin, tetracycline
and ceftiofur—have acceptable VME rates by this measure. Ampicillin and sulfisoxazole have
VME rates with 95% confidence intervals approaching this range: [0.022, 0.033] and [0.026,
0.053] respectively. The VME rates are higher for some of the remaining antibiotics because
there are fewer resistant genomes. As more resistant genomes are collected, and the data set
becomes more balanced, we expect VME rates to be reduced.
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In addition to the extensive MIC data, NARMS reports rich metadata including isolation date,
food or animal source, collection year, geographic location and serotype. We computed the
accuracy of the model over each available metadata category to determine if the model is
robust to these differences and to ensure that no subset is biasing the model. The genomes
span a 15-year collection period, with all the years except 2002 (the oldest) and 2016 (the most
recent) having over 100 isolates. The model accuracy ranges from 94-97% over each collection
year (Table 3). That is, the genetic factors that contribute to the MICs have either remained
stable over the 15-year period or have been learned as the model was trained.   Although the
data set is mostly comprised of poultry meat or live animal isolates, the accuracy ranges
between 94-96% over the four contamination sources: turkey, beef, pork, and chicken (Table
4). No obvious biases were detected in the accuracies based on the state of isolation (an

average of 95% accuracy over 41 states with a 95% CI equal to [0.95-0.96]) (Figure 4) or the
serovars of each isolate (94% accuracy over 97 serovars with a 95% CI equal to [0.94-0.96])
(Table S3). Since the traditional *Salmonella* serotyping scheme is based the lipopolysaccharide
O and flagellar H antigens, which are encoded by genes that influence the cell surface[63], we
also constructed a phylogenetic tree for *Salmonella* genomes to observe the model accuracy
over the various clades. Overall, no phylogenetic bias in the model accuracy was detected
(Figure S1).
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One concern of using a model that is trained on the data from previous years, in some cases
over 15 years old, is that the training set is not representative of currently circulating strains.
That is, the model may be inaccurate for predicting MICs for genomes of strains that are
currently circulating or will emerge in the future. For example, shifts in clonal groups, evolution
of AMR-associated genes, or introduction of AMR genes by horizontal gene transfer is
possible[64, 65]. We evaluated this possibility by building models from subsets of the whole
genome sequence data using strains collected in earlier years and measuring the accuracy of
the models on genomes collected in later years. Models were built for years prior to 2009
through 2014 and tested on the remaining genomes (Table 5). These models have accuracies
ranging from 86-92%. As the number of years used for building the models increases, the
number of genomes available for testing decreases, so we also tested each model on only the
462 genomes from 2015 and 2016. Similarly, the accuracy of each model on the 2015 and 2016
genomes ranges from 87-90% (Table S4). The results indicate that within this data set, models
generated from genomes collected at earlier dates yield stable MIC predictions for genomes
collected at later dates. This finding is consistent with the pattern of AMR genes that is
observed within the data set. Although AMR gene content may vary from year to year, we do
not observe any major sweeps or fixation events that drastically alter the AMR gene content of
the collection between years, which would cause the MIC predictions to fail for a large fraction
of the genomes (Table S5). Taken together, these data suggest that the MIC prediction models
generated in this study are likely to be sustainable over time.
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Genomic regions important for MIC prediction
The 4,500-genome model described above contains data from all antibiotics and MICs, making
feature extraction to determine which k-mers contribute to the MIC predictions for each
antibiotic difficult. To address this limitation, we modified the protocol by building separate
models for each antibiotic. Instead of using 10-mers, we increased the k-mer length to 15
nucleotides to reduce redundancy and make them identifiable using BLAST[58]. We also
searched for presence or absence of k-mers, rather than using k-mer counts, to simplify the
analysis of the XGBoost decision trees. Since a 15-mer matrix can be 4^5 times larger than a 10-
mer matrix, we used <= 1000 diverse genomes to reduce the memory footprint during training.
Overall, the average accuracy for the individual models is nearly identical to the average
accuracy for the combined 4,500-genome model (96% vs. 95%, respectively), and in nearly all
cases, the 95% confidence intervals overlap between the combined and single antibiotic models
(Table S6). Thus, for this data set, single antibiotic models with fewer genomes and larger k-
mers perform as well as a combined model (Figure S2).
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During model training, XGBoost assigns an importance value to each k-mer used in a decision
tree. When the model is used to predict the MICs for a new genome, the k-mers with the
highest importance values are the most informative for the MIC prediction. Thus, by analyzing
the feature importance values of each k-mer, we can use the models as a tool for
understanding the genomic regions that differentiate MICs. For each antibiotic-specific model,
we parsed the XGBoost decision trees from each fold of the ten-fold cross validation to extract
the importance values for each k-mer. To understand the relationship between known AMR
genes and the important k-mers that were chosen by each model, we then searched for k-mers
with high importance values within AMR genes that occur in close proximity to an AMR gene (in
this case, we consider a window of 3kb, approximately 3 genes, to be a close proximity). Table
6 lists the highest-ranking k-mers from each model that occur within or in close proximity to an
AMR gene. In most cases, the k-mers correspond to known AMR genes including class A and C
beta-lactamases for the beta lactam antibiotics, aminoglycoside nucleotidyl- and
acetyltransferases for the aminoglycosides, DNA gyrase and QnrB for the fluoroquinolones,
TetA and TetR for tetracycline, and dihydrofolate reductase and dihydropteroate synthase for
co-trimoxazole and sulfisoxazole. In the case of azithromycin, the collection contains mostly
susceptible genomes (Table 1), so the first macrolide resistance gene observed corresponds
with the eighth ranking k-mer. The top ten k-mers with the highest feature importance values
from each of the ten folds used in model training are listed in Tables S7-S21. In addition to the
top AMR k-mers displayed in Table 6, these tables show other highly ranking k-mers from the
same AMR genes as well as k-mers from related genes that are known to confer resistance to
the given antibiotic. In some cases, k-mers matching regions or genes from unrelated AMR
mechanisms have high importance values, suggesting a pattern of co-occurrence on
horizontally transferred genetic elements.
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Since each model is predicting the entire range of MICs, some of the highly ranking k-mers will
be used to predict susceptible MICs. To assess this, we computed the fraction of susceptible
and resistant genomes with each k-mer from Tables S7-21. The set of k-mers that are most
enriched in the susceptible genomes is shown in Table 7. Overall, seven of the top ten k-mers
represent significantly different SNPs (P-value < 0.001) in both the complete set of 5,278
genomes and in the set of 1,000 diverse genomes used to build the models (Figure S3). The top
k-mer associated with susceptibility is from the nalidixic acid model and occurs in the DNA
gyrase *gyrA* gene. This is also the top k-mer that was found in an AMR gene for nalidixic acid
from Table 6. In this case, the model is relying more heavily on the "wild type" version of the k-
mer rather than any of the resistant versions (the remaining k-mers from Table 6 occur almost
exclusively in resistant genomes). The same *gyrA* k-mer is also found as a highly ranking k-mer
in the case of ciprofloxacin (Table S12). Two significant *gyrA* SNPs are captured by this k-mer
(Figure S3). These are missense mutations in the resistant genomes occurring at Ser-83 and
Asp-87, and changes at these positions have been shown to confer quinolone resistance in in *E.*
coli [66, 67]. The remaining significant mutations from Figure S3 that occur in the protein-
encoding genes are same sense (not amino acid changing) mutations. In the cases of *eptA* (Ser,
TCG to TCA), *oadA* (Ala, GCC to GCA), the AraJ precursor gene (Leu, CTG to CTA), and the second
gcd mutation (Thr, ACG to ACA), the codon changes from a commonly used codon in the
susceptible genomes to the least preferred codon in the resistant genomes. In the cases of the

nrfE/nrfF mutation (Asn, AAT to AAC) and the first *gcd* mutation (Asp, GAC to GAT), the
resistant genomes have the preferred codon of the pair. Whether these SNPs have a
modulating effect on protein translation or contribute to the fitness of the resistant organisms
requires further analysis.
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Discussion
In this study, we have built machine learning-based MIC prediction models for nontyphoidal
Salmonella genomes using XGBoost[41] that achieve overall accuracies of 95-96% within ± 1
two-fold dilution factor. To our knowledge, this is one of the largest and most accurate MIC
prediction models to be published to date. Importantly, it provides a model strategy for
performing MIC prediction directly from genome sequence data that could be applied to other
human or veterinary pathogens.
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The success of our MIC prediction model was dependent on the large, publicly available,
population-based collection of genomes with associated metadata. Since researchers often
focus on collecting highly resistant or otherwise unusual strains, the opportunities to generate
balanced models are rare. We demonstrate the many benefits from comprehensive sampling
for the entire range of possible MICs. First, diverse and balanced data sets improve model
accuracies because there is better sampling across all MIC dilutions. Second, having balanced
data enabled us to achieve acceptable ME and VME rates for 7 of the 15 antibiotics used in the
study. Third, compared with our recent model for *Klebsiella pneumoniae*, the larger and more
balanced data set in nontyphoidal *Salmonella* enabled us to build models for individual
antibiotics that had similar accuracies to the combined model. This enabled us to begin to
disambiguate the important genomic regions relating to resistant and susceptible MICs. Finally,
we show that MICs in the susceptible range can be accurately predicted with the algorithm
using all genomic data rather than scoping it to known AMR genes or gene polymorphisms.
This contrasts with prior work correlating MICs to known resistance mechanisms in
Salmonella[68]. In future studies, our strategy could be used as a starting point for identifying
the subtle genomic changes that result in different MICs.
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For each single-antibiotic model, we analyzed the k-mers that had high feature importance
values and were important to the models for predicting MICs. The highly ranking k-mers that
were enriched in the resistant genomes mainly occurred within or in close proximity to well-
known AMR genes. With the exception of the *gyrA* k-mer, the highly ranking k-mers that were
enriched in the susceptible genomes were significant in several cases, but more difficult to
interpret. Some of these susceptibility k-mers hint at a possible relationship between AMR and
oxidative stress or electron transport, such as the k-mers matching components of the nitrate
and nitrite reductases and pqq-dependent glucose dehydrogenase, which is consistent with the
known link between antibiotics to oxidative stress[69, 70]. Determining the molecular
mechanisms underlying the susceptibility k-mers and AMR phenotypes should be further
investigated.
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The genomes in this study were collected over a 15-year period from 41 U.S. states. By building
models encompassing ranges of earlier dates, we demonstrated stable and accurate MIC
prediction for genomes collected at later dates. Presently, we are not aware of any large
publicly available collections of *Salmonella* genomes with MIC data from other countries. Since
AMR gene content may vary across pathogen populations, validation of the *Salmonella* models
using strains from other countries is important to its application in global health. Nevertheless,
the present analysis clearly demonstrates that current model provides accurate MIC predictions
for United States isolates. Similarly, an analysis of this model on *Salmonella typhi* strains would
provide information about the utility of the model over broader phylogenetic distances.
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Author contribution statement

MN: study design, experiments, data generation manuscript preparation, SWL: study design,
PFM: study design, data generation, RJO: study design, RO: software engineering, RLS: study
design, GHT: study design, data generation, SZ: study design, data generation, JJD: study design,
data generation, manuscript preparation

Additional Information
Accession Codes: Data are available under bioprojects PRJNA292661 and
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514
515 **Competing financial interests:** The authors claim no competing financial interests.
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717 **Tables**
718
719 **Table 1.** The number of susceptible, intermediate and resistant genomes across the 15
720 antibiotics for the 5278 *Salmonella* genomes used in this study.

Antibiotic	Susceptible genomes	Intermediate genomes	Resistant genomes
AMP	3682	2	1593
AUG	4145	355	778
AXO	4508	1	769
AZI	2409	0	7
CHL	5026	87	164
CIP	5217	53	7
COT	5219	0	58
FIS	3356	0	1573
FOX	4501	98	679
GEN	4577	68	633
KAN	837	3	84
NAL	5233	0	45
STR	872	0	1919
TET	2364	28	2885
TIO	4517	8	753

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725   **Table 2.** Very major error (VME) rate, defined as resistant genomes predicted as being
726   susceptible, and major error (ME) rate, defined as susceptible genomes predicted as being
727   resistant, for the 4500-genome model.

Antibiotic	VME Avg[1]	VME 95% CI[2]	ME Avg[1]	ME 95% CI[2]	Resistant Samples	Susceptible Samples
All	0.027	[0.024-0.030]	0.001	[0.001-0.002]	10979	47366
AMP	0.028	[0.022-0.033]	0.000	[0.000-0.001]	1442	3054
AUG	0.012	[0.000-0.025]	0.000	[0.000-0.000]	746	3449
AXO	0.022	[0.011-0.032]	0.000	[0.000-0.001]	740	3758
AZI	0.857	[0.508-1.207]	0.000	[0.000-0.000]	7	2040
CHL	0.000	[0.000-0.000]	0.000	[0.000-0.001]	149	4271
CIP	0.417	[-0.099-0.933]	0.000	[0.000-0.000]	7	4445
COT	0.670	[0.515-0.825]	0.000	[0.000-0.001]	55	4443
FIS	0.039	[0.026-0.053]	0.000	[0.000-0.000]	1479	2757
FOX	0.009	[-0.001-0.020]	0.000	[0.000-0.000]	651	3754
GEN	0.090	[0.066-0.113]	0.000	[0.000-0.000]	579	3862
KAN	0.074	[0.012-0.136]	0.000	[0.000-0.000]	82	662
NAL	0.917	[0.819-1.014]	0.000	[0.000-0.001]	39	4460
STR	0.014	[0.008-0.020]	0.027	[0.013-0.040]	1703	744
TET	0.000	[0.000-0.000]	0.018	[0.012-0.025]	2575	1901
TIO	0.004	[-0.001-0.009]	0.000	[0.000-0.000]	725	3766

728   [1] Reported within ±1 two-fold dilution step
729   [2] 95% confidence interval

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735   **Table 3.** Model accuracy for the genomes from each sample collection year.

Collection Date	Accuracy	Genomes	Bins[*]
2002	0.97	55	624
2003	0.95	159	1809
2004	0.96	235	2850
2005	0.95	274	3384
2006	0.95	313	3880
2007	0.94	258	3192
2008	0.95	388	4821
2009	0.95	436	5367
2010	0.94	230	2820
2011	0.95	214	2968
2012	0.96	257	3694
2013	0.97	265	3793
2014	0.95	506	7100
2015	0.95	689	9646
2016	0.96	83	1161

736   [*]The total number of MICs available for the genomes isolated in that year
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739   **Table 4.** Model accuracy for the genomes isolated from various sources.

Source	Accuracy	Genomes	Bins[*]
Chicken	0.96	1981	25869
Cow/Beef	0.94	419	5688
Pig/Pork	0.95	448	6144
Turkey	0.94	1651	21260

740   [*]The total number of MICs available for the genomes of each category
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Table 5. The ability of models trained on genomes from prior years to predict MICs for genomes collected in later years.

Training set years	Test set years	Accuracy	95% CI	Training Bins[*]	Testing Bins[*]	Training Genomes	Testing Genomes
2002-2008	2009-2016	0.88	[0.88-0.89]	36563	22412	1819	2681
2002-2009	2010-2016	0.88	[0.88-0.89]	31196	27779	2255	2245
2002-2010	2011-2016	0.88	[0.88-0.88]	28376	30599	2485	2015
2002-2011	2012-2016	0.88	[0.88-0.89]	25408	33567	2699	1801
2002-2012	2013-2016	0.88	[0.87-0.88]	21714	37261	2956	1544
2002-2013	2014-2016	0.86	[0.86-0.87]	17921	41054	3221	1279
2002-2014	2015-2016	0.92	[0.92-0.92]	10807	48168	3728	772

[*]The total number of genome/antibiotic combinations

Table 6. The highest-ranking AMR-related protein function (or genomic region) with a matching k-mer from the XGBoost models.

Antibiotic	K-mer Rank	Type of match[1]	k-mer	PATRIC Annotation(s)
AMP	1	direct	CTTAATCAGTGAGGC	Class A beta-lactamase (EC 3.5.2.6) => TEM family
AUG	1	direct	AAACGTCTTACTAAC	Class C beta-lactamase (EC 3.5.2.6) => CMY/CMY-2/CFE/LAT family
AXO[2]	1	proximity	AAAGAGAAAGAAAGG	Class C beta-lactamase (EC 3.5.2.6) => CMY/CMY-2/CFE/LAT family
AZI	8	direct	CCCATTTCCGCCGCC	Macrolide 2'-phosphotransferase => Mph(A) family
CHL[2]	1	proximity	AGACAAGTAAGCCGC	Chloramphenicol/florfenicol resistance, MFS efflux pump => FloR family
CIP	1	proximity	ACAGTCCATCCAGGA	Pentapeptide repeat protein QnrB family => Quinolone resistance protein QnrB10
COT[2]	1	proximity	AAAAACGATAGCTGC	Dihydrofolate reductase (EC 1.5.1.3)
FIS[2]	1	proximity	CGCAACGGCTCAAGC	Dihydropteroate synthase type-2 (EC 2.5.1.15) @ Sulfonamide resistance protein
FOX	1	direct	AAAAAAACCTTGGCA	Class C beta-lactamase (EC 3.5.2.6) => CMY/CMY-2/CFE/LAT family
GEN	1	proximity	AGTTAAGCCGCGCCG	Aminoglycoside 3''-nucleotidyltransferase (EC 2.7.7.-) => APH(3'')-Ia (AadA family); Aminoglycoside N(3)-acetyltransferase (EC 2.3.1.81) => AAC(3)-II,III,IV,VI,VIII,IX,X
KAN	1	direct	AAAAAGCCGTTTCTG	Aminoglycoside 3'-phosphotransferase (EC 2.7.1.95) => APH(3')-I
NAL	1	direct	ATTCCGCAGTGTATG	DNA gyrase subunit A (EC 5.99.1.3)
STR	1	direct	ATTTGTACGGCTCCG	Aminoglycoside 3''-nucleotidyltransferase (EC 2.7.7.-) => APH(3'')-Ia (AadA family)
TET	1	proximity	CGTTCTGCCTTGCGC	Tetracycline resistance regulatory protein TetR; Tetracycline resistance, MFS efflux pump => Tet(A)
TIO[2]	1	proximity	AAAGAGAAAGAAAGG	Class C beta-lactamase (EC 3.5.2.6) => CMY/CMY-2/CFE/LAT family

[1]A "direct" match means that the k-mer is an exact match to the protein-encoding gene, a "proximity" match means that an AMR gene occurs within 3kb of the k-mer. The k-mer sequences and the top k-mers for each antibiotic are shown in Tables S7-21.
[2]Has other AMR genes within 3kb listed in Tables S7-21.

Table 7. Important k-mers used by the individual antibiotic models for predicting susceptible MICs.

Antibiotic	k-mer	Sus[1]	Res[1]	Frac Sus[2]	Frac Res[2]	Genomic region[3]	PATRIC annotation or genomic region
NAL	ATTCCGCAGTGTATG	5233	45	1.00	0.38	PEG	DNA gyrase subunit A (EC 5.99.1.3)
AXO	TGGTATTCGCATCAA	4508	769	0.78	0.48	PEG	Phosphoethanolamine transferase EptA
KAN	CTGGCTTTTTTTTTT	837	84	0.30	0.00	RNA	RyhB RNA
STR	CCCTTATCCAACACG	872	1919	0.85	0.55	PEG	Respiratory nitrate reductase delta chain (EC 1.7.99.4)
AXO	CAGAACCAGAATTTG	4508	769	0.74	0.46	PEGs	Formate-dependent nitrite reductase complex subunit NrfF, and Cytochrome c-type heme lyase subunit nrfE, nitrite reductase complex assembly
TIO	AGAGAAGCCTGCCGC	4517	753	0.68	0.40	PEG	Oxaloacetate decarboxylase alpha chain (EC 4.1.1.3)
AXO	ATCCCCGCCATTACA	4508	769	0.73	0.46	PEG	Tagatose-1,6-bisphosphate aldolase GatY (EC 4.1.2.40)
AXO	TGCTGCAAAACGCCA	4508	769	0.69	0.45	PEG	Protein AraJ precursor
AXO	GAAAACAGGGTGTAG	4508	769	0.47	0.23	INT	Upstream of IlvGMEDA operon leader peptide
FOX	GGATACCACGCCGGG	4501	679	0.58	0.35	PEGs	Glucose dehydrogenase, PQQ-dependent (EC 1.1.5.2), and IncF plasmid conjugative transfer protein TraP

[1] Total number of susceptible and resistant genomes in the entire collection
[2] Fraction of susceptible and resistant genomes with the k-mer in the entire collection
[3] PEG is protein-encoding gene, RNA is RNA gene, INT is intergenic region

Figure Legends

Figure 1. MIC prediction model accuracy for subsamples of genomes. Diverse subsamples of genomes were chosen and the model accuracy within ± 1 two-fold dilution step based on a 10-fold cross validation is shown with the red plot line. The dashed line represents the high and low values for the 95% confidence interval for the average accuracy at each given plot point.

Figure 2. Box plot of the overall accuracies within ± 1 two-fold dilution step for each antibiotic in the 4500-genome model. The Y-axis depicts each antibiotic (abbreviations are defined in Materials and Methods). The X-axis depicts the accuracy. Each vertical red line represents the median accuracy over the holdout sets for each fold in the ten-fold cross validation. The blue box encompasses the data of the first and third quartiles. The dashed blue horizontal lines bounded by black vertical lines (or "whiskers") depict the entire distribution of accuracies for each fold and antibiotic. The accuracy of the entire 4500 genome model over all antibiotics and folds is depicted in the row marked "ALL".

Figure 3. The accuracy of the MIC prediction model based on 4,500 diverse genomes. The heat map depicts the accuracy within ±1 two-fold dilution step of the laboratory-derived MIC. The X-axis shows the MIC (µg/ml) and each antibiotic is shown on the Y-axis. The accuracy for each antibiotic-MIC combination is depicted by color with bright yellow/green being the most accurate and red being the least accurate. The values shown in each cell are the number of genomes with that MIC for a given antibiotic.

Figure 4. The average accuracy of the model based on 4,500 diverse genomes for predicting MICs for the *Salmonella* genomes from each state. Light blue is most accurate and dark blue/black is least accurate. Note that the scale starts at an accuracy of 0.90. Each state is labeled with the number of genomes collected from that state. States without a label contain no samples and are colored in grey; no genomes exist in the collection from Alaska and Hawaii.

Overall Accuracy by Antibiotic

4500 Genome Model