Effect of *de novo* transcriptome assembly on transcript quantification

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Abstract

Background: Correct quantification of transcript expression is essential to understand the

functional products of the genome in different physiological conditions and developmental

stages. Recently, the development of high-throughput RNA sequencing (RNA-Seq) allows the

researchers to perform transcriptome analysis for the organisms without the reference genome

and transcriptome. For such projects, *de novo* transcriptome assembly must be carried out prior

to quantification. However, a large number of erroneous contigs produced by the assemblers

might result in unreliable estimation on the abundance of transcripts. In this regard, this study

comprehensively investigates how assembly quality affects the performance of quantification

for RNA-Seq analysis based on *de novo* transcriptome assembly.

Results: Several important factors that might seriously affect the accuracy of the RNA-Seq

analysis were thoroughly discussed. First, we found that the assemblers perform comparatively

well for the transcriptomes with lower biological complexity. Second, we examined the over-

extended and incomplete contigs, and then demonstrated that assembly completeness has a

strong impact on the estimation of contig abundance. Lastly, we investigated the behavior of

the quantifiers with respect to sequence ambiguity which might be originally present in the

transcriptome or accidentally produced by assemblers. The results suggest that the quantifiers

often over-estimate the expression of family-collapse contigs and under-estimate the expression

of duplicated contigs. While it remained challenging to detect the inaccurate abundance

estimation on family-collapse contigs, on the contrary, we observed that the situation of under-

estimation on duplicated contigs can be warned through analyzing the read distribution of the

contigs in the same connected component.

Conclusions: In summary, we explicated the behavior of quantifiers when erroneous contigs

are present and we outlined the potential problems that the assemblers might cause for the

downstream analysis of RNA-Seq. We anticipate the analytic results conducted in this study

provides valuable insights for future development of transcriptome assembly and quantification.

Background

Quantification and comparison of transcript expression are essential to understanding the role

of RNA in different physiological conditions or developmental stages. Such experiments and

analyses are widely used in the studies of molecular biology. Over the past decades, several

biological technologies have been developed to quantify the abundance of transcripts, such as

expression microarray [1] and high-throughput RNA sequencing (RNA-Seq) [2]. For organisms

with sufficient genomic information, the design of microarray provides a high throughput and

cost-effective solution to examine transcript expression. On the other hand, RNA-Seq is

superior in delivering lower background signals and larger dynamic ranges [3]. Despite the fact

that many genome sequencing projects have been carried out, such as Genome10K [4], 5000

arthropod genomes initiative (i5K) [5] and Bird10K [6], whole genome studies are still

demanding efforts for many research groups because of the immense cost and time-consuming

process. For non-model organisms, the expression microarray needs to rely on cross-species

hybridization [7]. On the contrary, RNA-Seq is more suitable owing to its capability of

detecting novel transcripts without additional genomic information [3].

When the reference genome and transcriptome are not available, RNA-Seq reads are first

used to reconstruct the transcriptome [8, 9]. Nowadays, many programs have been developed

for *de novo* transcriptome assembly, such as Oases [10], rnaSPAdes [11], SOAPdenovo-Trans

[12], Trans-ABySS [13] and Trinity [14]. After transcriptome sequences are reconstructed,

quantification methods including BitSeq [15], Kallisto [16], RSEM [17] and Salmon [18] can

be applied. These methods are able to inference the abundance of expression without the need

of genomic sequences, using the number of RNA-Seq reads that overlap with the assembled

contigs [9]. Nevertheless, quantification is much more challenging without reliable reference

sequences because of the erroneous contigs produced by the assemblers, which often result

from sequencing errors, insufficient sequencing depth and biological variability [19]. To

address these problems, a great number of comparative studies have been published recently.

While many studies evaluated transcriptome assembly [19-21] or quantification [22, 23]

programs independently, few have discussed how transcriptome assembly influences the

downstream quantification analysis. In 2013, Vijay, N., *et al*. performed an *in silico* assessment

of RNA-Seq experiments. That study examined the impact of various aspects of sequencing

reads on transcriptome assembly and differentially expressed genes (DEG) analysis [7], but the

effect of redundant contigs and multiple-mapping reads on quantification was not well

discussed. Another study conducted by Wang, S. and M. Gribskov evaluated the quality of

assembled contigs and their effects on DEG analysis [24]. However, their study mainly focused

on the evaluation of entire workflow from assembly, quantification, to DEG analysis, which

makes it obscure to unravel how the erroneous contigs affect the authenticity of downstream

analysis.

This study aims comprehensively discussing the effect of biological complexity on

transcriptome assembly, as well as the influence of incomplete and over-extended contigs on

quantification We used both *in silico* simulated and authentic RNA-Seq data from three species

(yeast, dog, and mouse) to examine the effect of biological complexity on *de novo* transcriptome

assembly. Three state-of-the-art assemblers, namely rnaSPAdes, Trinity and Trans-ABySS,

were evaluated under different biological complexities based on TransRate [19] scores, which

were previously proposed to assess the quality of *de novo* transcriptome assemblies using the

alignments of sequencing reads to the assembled sequences. After *de novo* assembly, the

reference transcripts were assigned to assembled contigs according to the BLASTn [25]

alignments. Each transcript-contig alignment was then categorized based on accuracy, recovery

and sequence ambiguity. Subsequently, we thoroughly examined the impact of erroneous

contigs on the quantifiers Kallisto, RSEM and Salmon. By exploring the interplay between each

stage in RNA-Seq analysis workflow, this study provides valuable insights into conducting

RNA-Seq analysis and we anticipate these discoveries would be useful in the future

development of assembly or quantification algorithms.

Materials and Methods

Datasets

Three experimental and three simulated RNA-Seq datasets were used in this study. Both

experimental and simulated data included three species: yeast (*Saccharomyces cerevisiae*), dog

(*Canis lupus familiaris*) and mouse (*Mus musculus*). The experimental datasets were collected

from the Sequence Read Archive (SRA). The yeast dataset (SRR453566) was from the study

of Nookaew *et al.* [26], comprising 5.5 million non-stranded paired-end reads cultivated under

the batch condition. The dog dataset (SRR882109) was produced by Liu, *et al.* [27], comprising

20.8 million non-stranded paired-end reads sampled from normal mammary gland tissues of

domestic dogs. Finally, the mouse dataset (SRR203276) was collected from the study of

Grabherr, *et al* [14], containing 43.4 million stranded paired-end reads extracted from dendritic

cells. For the simulated datasets, Flux Simulator (ver. 1.2.1) [28] was adopted to synthesize

RNA reads for yeast, dog and mouse, respectively, based on the genomic sequences and

annotations from the Ensembl database [29]. To facilitate the analysis, only the transcripts

annotated as messenger RNA (mRNA) and with over 500 nucleotides in length were extracted.

The parameters used for the simulation are shown in Additional File 1: Table S1. In total, 81.7

million non-stranded paired-end reads were generated for the assembly and quantification

analysis. The quality of both experimental and simulated datasets was examined using FastQC

(ver. 0.11.5) [30] and the low-quality subsequences of the reads were trimmed using

Trimmomatic (ver. 0.36) [31] with parameters *SLIDINGWINDOW:4:20 MINLEN:30*. The

resultant RNA reads that were unable to maintain the paired relation were discarded. The

detailed information of the processed RNA reads is provided in Additional File 1: Table S2

(The mean and standard deviation of the insert sizes were estimated based on the alignments

produced by Burrows-Wheeler Aligner [32]).

Expression Metrics

In order to evaluate the performance of transcript quantification, the ground truth of expression

abundance for each transcript must be first determined. For simulated datasets, the numbers of

the generated RNA reads for each transcript was recorded during the simulation process. Since

transcriptome assemblers sometimes generate duplicated, incomplete or over-extended contigs,

the metrics we use for quantifying expression must consider the normalization with respect to

both sequence length and the number of total nucleotides. In this regard, the number of

generated RNA reads was transformed into a simplified version of Transcripts per Million

(TPM) [33] using the following equation:

$$\text{Ground Truth TPM}_i = \frac{f_i/l_i}{\Sigma_{k=1}^{n} f_k/l_k} \times 10^6,$$

where n is the total number of transcripts, f_i is the number of RNA reads generated from

transcript i and l_i is the effective length [34] of transcript i. In contrast, because the ground

truth abundance for each RNA molecule is unknown for experimental datasets, we calculated

the average TPM inferred by Kallisto (ver. 0.43.0), RSEM (ver. 1.2.31) (default parameters)

and Salmon (ver. 0.8.2) for the reference transcript as the ground truth expression when

evaluating the abundance of an assembled contig. Although the estimated expression might not

perfectly reflect the real number of RNA molecules in a biological sample, it still provides

valuable information when comparing the performance of quantification before and after *de*

novo transcriptome assembly.

de novo **Transcriptome Assembly and Quantification**

The processed RNA-Seq reads were assembled into contigs using the following three programs:

(1) rnaSPAdes (ver. 3.11.1), (2) Trans-ABySS (ver. 1.5.5) along with ABySS (ver. 1.5.2) [35]

and (3) Trinity (ver. 2.4.0) with default parameters. To minimize the effect of fragmented

contigs, only the contigs with over 500 nucleotides in length were kept for the quantification

analysis. The assemblies were evaluated based on the length of contigs, the number of

recovered transcripts, the number of erroneous contigs and the evaluation scores provided by

TransRate. The TransRate scores that we used in this study are the *score of bases covered*, *score*

of good mapping, *score of not segmented* and *overall score*. The score of bases covered

represents the proportion of nucleotide bases in a contig that are covered by reads. The score of

good mapping represents the proportion of read pairs of which both reads are aligned in the

correct orientation on a single contig. The score of not segmented represents the proportion of

contigs that might be a chimera of multiple transcripts. Subsequently, the expression abundance

for each contig was estimated using one alignment-based and two alignment-free quantifiers,

namely (1) Bowtie2 (ver. 2.3.0) [36] (*--dpad 0 --gbar 99999999 --mp 1,1 --np 1 --score-min*

L,0,-0,1 -k 200 --sensitive --no-mixed --no-discordant) followed by RSEM (ver. 1.2.31) (default

parameter*s*); (2) Kallisto (ver. 0.43.0) (indexing with *-k 31* and quantifying with default

parameters); and (3) Salmon (ver. 0.8.2) (indexing with *-k 31* and quantifying with default

parameters).

Transcript Assignment

For the purpose of comparing the estimated abundance of contigs with the ground truth

expression from the corresponding transcripts, we assigned the reference transcripts to

assembled contigs based on BLASTn (2.5.0+) [25] alignments. Here, only the high scoring

pairs (HSPs) with identity over 70% and E-value smaller than 1E−5 were considered. We

integrated the remained HSPs onto the coordinates of both transcript and contig to obtain the

global alignment. Similar to a previous study [7], we calculated the *recovery* and *accuracy* for

each global alignment, which refer to the proportion of matched nucleotides on the transcript

and the proportion of correctly matched nucleotides on the contig respectively (Additional File

2: Fig. S1). Furthermore, we defined the overall *alignment score* as $\sqrt{recovery \times accuracy}$. A

transcript is assigned to a contig if either *accuracy* or *recovery* of the global alignment between

them is above 90%. In this manner, we were able to identify all the corresponding transcripts

for each contig. Note that it is possible that a contig can be associated with multiple transcripts,

and a transcript can assign to multiple contigs as well. We considered multiple assignments here

in order to understand the impact of redundant sequences on the quantification. Once the

transcripts have been assigned to the contigs, we used the following equation to calculate the

relative error of expression, in order to evaluate the quality of transcript quantification for each

transcript-contig pair:

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$$\text{Relative Error}_{(i,j)} = \frac{TPM_i^{est} - TPM_j^{g.t}}{TPM_i^{est} + TPM_j^{g.t}} \times 100\%,$$

186 where the TPM_i^{est} is the expression estimated by quantifiers for $contig_i$, and the $TPM_j^{g.t}$ is the

187 ground truth expression for $transcript_j$ given ($contig_i$, $transcript_j$) is a valid global alignment

188 (either *accuracy* or *recovery* of the global alignment between them is above 90%).

Sequence Ambiguity

To determine the origin of the RNA-Seq reads that can be mapped to multiple transcripts is an

important issue for the development of quantification algorithms. In this regard, it is of interest

to understand the impact of sequence ambiguity on transcript quantification. We performed

pairwise sequence alignment on both transcripts and contigs using BLASTn, respectively. Here,

only the HSPs with identity over 70% and E-value smaller than 1E−5 were considered as

potential ambiguity. In addition, to better explicate the relation between sequences that share

similar subsequences, we build a connected component graph, where two sequences were

grouped into the same connected component if the proportion of identical nucleotides between

them is over 90% of the either sequence (Fig. 1). The size of a connected component is defined

as the number of sequence members inside. We call the sequences in a connected component

containing only one sequence as *unique sequence*. Furthermore, we used the read proportion of

estimated abundance (RPEA) to investigate the behavior of quantifier while ambiguous

sequences are presented. Given n contigs $c_1, c_2, c_3...c_n$ in the same connected component C,

the RPEA score for each contig is defined as follow:

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$$\text{RPEA}_i = \frac{\text{Allocated Read Count for } c_i}{\sum_{j=1}^{n} \text{Allocated Read Count for } c_j}.$$

If the highest RPEA in the connected component is close to 1, it suggests that the quantifier

allocates all the reads in the connected component to one specific contig. In contrast, if the

highest RPEA in the connected component is close to $1/n$, then it suggests that the quantifier

tends to allocate the reads evenly in the connected component.

Contig Categories

The assembled contigs are categorized into five particular categories in this study: (1) *full-*

length, (2) *incompleteness*, (3) *over-extension*, (4) *family-collapse* and (5) *duplication* (Fig. 2).

The analysis of the first three categories were not affected by the factor of sequence ambiguity,

allowing us to investigate the impact of assembly completeness on quantification independently.

Given the length of contig l_c and the length of the corresponding transcript l_t, the

completeness of a contig was examined through the difference in length:

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$$\text{Difference in Length}_{(c,\, t)} = \frac{l_c - l_t}{l_c + l_t} \times 100\%.$$

In contrast, *family-collapse*, *duplication* and *multiple-alignment* focused on the contigs that

completely recovered the transcripts (*recovery* $\geq$ 90) but considered the influence of sequence

ambiguity. To be more specific, *family-collapse* represents contigs which are assigned with

multiple transcripts and *duplication* stands for the multiple contigs assigned by a single

transcript. By examining these contigs, the problems caused by the assemblers that fail to

distinguish similar transcripts from each other or generate a large number of redundant contigs

are investigated. The detailed definitions for contig categories are provided in Additional File

1: Table S3.

Results

de novo **Transcriptome Assembly**

Based on pairwise BLASTn, yeast has the simplest transcriptome, with 94.11% of the

transcripts sharing no similar subsequences with others. We call these sequences unique

transcripts in the transcriptome. On the other hand, 66.29% of the dog transcripts are unique,

while only 28.45% of the mouse transcripts are unique (Additional File 1: Table S4). First, we

performed transcriptome assembly on the RNA reads of the three species with different

biological complexities. We adopted three assemblers, rnaSPAdes, Trans-ABySS and Trinity,

to construct contigs for both experimental and simulated RNA-Seq reads. Next, we built

connected components for the assembled contigs. The statistics of sequence length and

sequence ambiguity for the assembled contigs are shown in Additional File 1: Table S5, while

the numbers of contigs that were categorized in each contig category are shown in Additional

File 1: Table S6. The proportion of recovered transcripts (*recovery* $\geq$ 90) and accurate contigs

(*accuracy* $\geq$ 90) is shown in Additional File 2: Fig. S2, and finally, the TransRate scores for

each assembly are shown in Additional File 2: Fig. S3.

In general, rnaSPAdes constructed the least amount of contigs with the highest overall

TransRate score for most of the datasets (5 among 6 datasets). As shown in Additional File 1:

Table S5, rnaSPAdes also delivered the lowest average size of the connected components across

species, suggesting that the contigs generated by rnaSPAdes are less redundant when compared

to those from the other two assemblers. Trinity outperformed other assemblers in terms of N50

and the proportion of the recovered transcripts in all the simulated datasets. Despite the fact that

Trinity generated longer contigs, the overall TransRate scores and the proportions of accurate

contigs from Trinity assembly are marginally lower. Trans-ABySS constructed the contigs with

comparatively high accuracy, with 66.37% of the contigs aligned with at least one transcript

that show accuracy higher than 0.90. Nonetheless, Trans-ABySS constructed smaller numbers

of unique and long contigs relatively. In other words, Trans-ABySS generated shorter contigs

with more redundancy. The summary of the assemblies also demonstrates that the proportion

of recovered transcripts are significantly higher in the datasets of yeast than that of dog or

mouse. With higher complexity, it appears to become more difficult for the assemblers to

properly reconstruct the transcriptome. For the estimated abundance of assembled contigs, the

estimation made by quantifiers RSEM, Kallisto and Salmon shows considerably high

consistency (Additional File 2: Fig. S4), with both Pearson's and Spearman's correlation

coefficients higher than 0.95 between any of the two quantifiers.

Impact of Assembly Completeness

In this study, the influence of *de novo* transcriptome assembly on expression quantification is

mainly discussed with respect to two major issues: assembly completeness and sequence

ambiguity. We would like to primarily look into the impact of assembly completeness on

quantification in this section. In order to reduce the possible effect of sequence ambiguity

generated by the assemblers, only the unique contigs (contigs in a connected component

containing only itself) that are assigned with a single transcript were examined here. The unique

contigs were further categorized into *full-length*, *incompleteness* and *over-extension* (see

Methods for detailed definitions). The reliability of quantification was examined based on the

relative error for contigs with different extent of assembly completeness (Fig. 3).

In summary, full-length contigs show the lowest relative error of quantification, with the

medians of error smaller than $\pm10\%$. The scatter plots and correlation coefficients also suggest

that the estimated abundance of full-length contigs is highly reliable, with Pearson's and

Spearman's correlation coefficient between the estimated and ground truth abundance both

larger than 0.97 in all the datasets (Fig. 4, Fig. 5). The incomplete contigs yield slight over-

estimation on the expression abundance. Overall, the quantification errors gradually increased

as the assembly completeness decreased. This phenomenon can be observed more obviously

on the dog and mouse datasets. When compared with the full-length contigs, the correlation

coefficients are comparatively lower, ranging from 0.70 to 0.94 (Fig. 4, Fig. 5). Lastly, for the

category of over-extended contigs, the quantifiers underestimated the expression abundance

and the correlation coefficients slightly dropped (Fig. 4, Fig. 5). Nevertheless, the number of

over-extended contigs is much fewer than those of other categories (Additional File 1: Table

S6), which indicates that the assemblers did not overly extend the assembled contigs in most of

the cases. In other words, only a limited number of contigs in the quantification will be affected

in the practical RNA-Seq analysis. Although the TPM metrics has already been normalized for

sequence length and total nucleotides, researchers might still need to be aware of the length

bias caused by incomplete or over-extended contigs while using TPM as the metrics to estimate

the expression of contigs.

Impact of Sequence Ambiguity

In this section, the impact of sequence ambiguity on quantification was thoroughly discussed.

Similarly, to reduce the compound effect from assembly completeness, only the accurately

assembled contigs ($accuracy \geq 90$) were examined here. In the first part of this sub-section,

we looked through the reliability of quantification when the assemblers report only one contig

for many similar transcripts, denoted as *family-collapse*. In the second part, we examined the

impact of contigs with similar sequence content which are assigned with the same transcript,

denoted as *duplication* (see Methods for detailed definition). By using these contigs, we

examined the behavior of the quantification algorithms while sequence ambiguity is present in

the assembly.

For the contigs categorized as family-collapse, it is much more difficult to analyze the

accuracy of quantification because of multiple transcripts being assigned to a contig. Based on

our observation, there are in average 2 to 3.16 transcripts being assigned to a family-collapse

contig across the six datasets. Since there is only one contig that were assigned by multiple

transcripts, we would like to find out of which transcript expression delivers the estimated

abundance of the contig actually reflects. To our surprise, the estimated abundance is closer to

the transcript with the highest expression rather than the one with highest alignment score (Fig.

6, Additional File 2: Fig. S5).

In contrast with family-collapse, duplication represents the redundant contigs which are

clustered into the same connected component and are assigned with a single transcript. Here,

we use the maximum estimated abundance in the connected component to investigate the

behavior of quantifiers (see Methods for detailed definition). We observed that quantification

algorithms tend to allocate most of the RNA reads to a single contig within the connected

component in most of the cases (15 out of 18 of the datasets show that over 50% of the

connected component has one contig with the proportion of estimated abundance over 75%)

(Additional File 2: Fig. S6). Furthermore, we would like to understand which estimated

abundance of the contig in the connected component can accurately reflect the expression of

corresponding transcript. Here, we used three approach to select the estimated abundance of

the contig in the connected component: (1) the contigs with the highest alignment score, (2) the

contigs that are allocated with the most RNA reads and (3) the total expression of connected

component of the contigs. Consequently, we found that the estimated abundance of contigs that

were allocated with the most amount of RNA reads in the connected component show

significantly low quantification error with the transcript expression. However, in the cases when

the quantifiers distribute the RNA reads evenly to the duplicated contigs, the ground truth

expression for the transcripts cannot be accurately represented by the estimated abundance of

contigs. (Fig. 7). To address this problem, it is advisable to use the total expression of the

connected component for duplicated contigs to measure the expression of corresponding

transcripts.

Discussions

Component-level Quantification

Based on the observation discussed in the previous section, we first discovered that once the

assemblers failed to distinguish the RNA reads generated from similar transcripts and reported

one single contig, the estimated abundance of family-collapse contigs often reflect the

expression of the transcripts generating the most amount of RNA reads. In contrast, to estimate

the expression of transcripts corresponding to the duplicated contigs, researchers might need to

use to abundance of the contigs that are allocated with the majority of the RNA-reads (highest

RPEA score) in order to get accurate estimation. Nevertheless, these conclusions are drawn

from the strictly defined scenario. In most of the practical RNA-Seq analysis, the information

of the transcriptome sequence is not available for non-model organisms. Therefore, it is

essential to understand which approach is the best suited to select the contigs and the

corresponding transcripts with the closest estimation on abundance. Here, we looked through

all the valid assignment of transcripts for each contig and we demonstrated four different

methods: (1) selecting the transcripts with the highest alignment score for each contig, followed

by selecting the contig with the highest alignment score for each transcript, (2) selecting the

transcripts with the highest alignment score for each contig, followed by selecting the contig

with the highest RPEA score in the connected component, (3) selecting the transcripts with the

highest expression in the connected component, followed by selecting the contig with the

highest alignment score for each transcript, and (4) selecting the transcripts with the highest

expression in the connected component, followed by selecting the contig with the highest RPEA

score in the connected component. As a result, it seems to be more appropriate to use the

estimated abundance of contigs with the highest RPEA score in the connected component to

reflect the expression of transcripts (Fig. 8). Despite the fact that this strategy forgo the concept

of quantification for individual transcripts, many advantages emerge such as higher accuracy

on the quantification, reliable read inference, robust statistical performance and clear

interpretation of the data [37]. More importantly, this strategy does not have to deal with the

thorny problem resulting from multiple transcript assignment in contig annotation.

The Selection of Programs

We argue that the difficulties discussed in this research emerge mainly because the goals for

each step of the analysis is not specifically designed to suit *de novo* RNA-Seq analysis. Most

of the assemblers are optimized to reconstruct the whole transcriptome, which sometimes leads

to many false predictions on SNPs or isoforms. These artificial or incorrect contigs thereafter

deteriorate the accuracy of quantifiers because most of the quantification algorithms infer the

expression based on the overlapping relation between RNA-Seq reads and the given sequences

(transcripts or contigs). Furthermore, the annotation step often utilizes programs that excel in

sequence alignment without considering the abundance of expression. This observation

demonstrates that the selection of the programs has a strong impact on the *de novo* RNA-Seq

analysis. For instance, to minimize the effect of assembly completeness on quantification,

assemblers that construct the sequences with appropriate length are preferable. On the other

hand, to reduce the problem in contig annotation resulting from sequence ambiguity, the

assemblers that report less false prediction for SNPs or isoforms are better choices. The

performance for the quantifiers we analyzed throughout this study demonstrates high

consistency in terms of accuracy of quantification. Therefore, we recommended to use the

alignment independent quantifiers such as Kallisto and Salmon for significantly lower

computational time [16, 18]. The selection of programs for contig annotation is relatively

irrelevant because the bottleneck mainly lies in the insufficient transcript information. Since

there are no reference transcripts available in the practical *de novo* RNA-Seq analysis, most of

the research utilizes the protein sequences from proximate species with small genetic changes

because of comparatively higher conservation in protein sequences. However, to align the

contigs with proteins of other species might reduce the precision and accuracy of sequence

alignment, which makes it more difficult to find the correct annotation for the assembled contigs.

Other Important Factors in RNA-Seq Analysis

Although we mainly discussed the impact of assembly completeness and sequence ambiguity

on quantification and annotation throughout this study, there are many other factors that might

as well result in unreliable experimental results in the practical *de novo* RNA-Seq analysis. For

instance, the read length or the fragment size directly determine the maximum length of the

nucleotides that overlap with the reference sequences for each read pair. Therefore, the number

of RNA-Seq reads that can be aligned to multiple origins of the transcripts reduces when longer

reads are adopted, which mitigates the problem of sequence ambiguity on the inference of the

origin of the reads [33, 34]. The strand specificity provides the information for the strand of the

RNA-Seq reads, which improves the precision of sequence alignment and quantification [38].

Last but not least, the sequence-specific and positional bias derived from library construction

might lead to RNA-Seq reads that over- or under-represent the number of transcripts in the

molecules In this regard, it is important to model the fragment bias in the process of

quantification [39]. However, considering all the combined effects of the factors mentioned

above will significantly increases the complexity of this research. Therefore, we generated non-

stranded RNA-Seq with uniform read length, and the fragment bias for the reads were excluded.

Moreover, the start coordinates of the RNA-Seq reads uniformly distributed. By this means, we

excluded the potential impact of these factors and focused only on the effect of assembly

completeness and sequence ambiguity. But the importance of these factors should not be

ignored in the practical *de novo* RNA-Seq analysis.

Sequencing Technologies

406 In this section, we briefly highlight two sequencing technologies that provide another new

407 perspective and mitigate the problems in RNA-Seq analysis: single-cell RNA sequencing

408 (scRNA-Seq) and long-read RNA sequencing. By isolating individual cell prior to sequencing,

409 scRNA-Seq technology allows the researchers to extract mRNA from a single cell. The

410 development of scRNA-Seq allows the researchers to identify uncharacterized cell types, to

411 observe the stochastic nature of gene expression, and to compare the expression profiles for the

412 individual cells within the population [9, 40]. Nevertheless, the number of reads generated using

413 scRNA-Seq is relatively small since only a small number of mRNA molecules are expressed in

414 a single cell. This drawback makes it difficult to reconstruct the transcriptome for each cell

415 [40]. Moreover, assembly algorithms that take the advantages of unique molecular identifiers

416 (UMIs) for each read are still in demand. In the *de novo* RNA-Seq analysis, it is advisable to

417 aggregate the scRNA-Seq from all cells as the bulk RNA-Seq reads to reconstruct the

418 transcriptome and subsequently estimate the abundance of each cell subsequently. The

419 restriction makes the exploitation of scRNA-Seq mainly limit to species with highly-curated

420 transcript sequences available.

421  Another technology that developed with increasing interests recently is long-read RNA

422 sequencing. Unlike next-generation RNA-Seq technology, long-read RNA sequencing

generates a single read for each mRNA molecule in real-time, which results in considerably

longer RNA-Seq data that allows the full-length reconstruction for transcripts without the need

of assembly [9]. Although the demanding cost for sequencing in higher coverage makes it hard

to be considered for quantification at this moment, this technology still provides an

extraordinary breakthrough for identifying the transcriptome in non-model organisms [41]. If

the research expenditure is sufficient, we recommend combining the long-read technology with

scRNA-Seq reads for the identification and quantification of the novel transcriptome

respectively.

Conclusion

While most of the related studies focused on optimizing the quantification or assembly

algorithms independently, few studies have discussed how the erroneous contigs generated by

the assemblers affect the downstream analysis of RNA-Seq. In this study, we comprehensively

examined the impact of biological complexity, assembly completeness and sequence ambiguity.

We comparatively evaluated the performance of rnaSPAdes, Trans-ABySS and Trinity for *de*

novo transcriptome assembly under three transcriptomes with different complexities. All of the

selected assemblers showed a lower proportion of the fully-reconstructed transcripts as the

complexity of transcriptome increases. In general, rnaSPAdes constructed the least number of

contigs with the highest TransRate score, Trinity produced the longer contigs, and Trans-

ABySS generated the contigs with the higher accuracy. As for quantification, we measured the

reliability of RSEM, Kallisto and Salmon. The estimation made by three algorithms shows

marginal differences. For each erroneous contig, the incomplete or over-extended contigs lead

to unreliable estimation of the abundance of contigs. Moreover, we have found that if the

redundant contigs are present in the assembly, the quantifiers tended to allocate the RNA-Seq

reads to one of the duplicated contig. However, in rare cases, the quantifiers distributed the

reads evenly to the contigs that share similar sequence content. On the contrary, the quantifiers

tended to over-estimate the contigs that were assigned with multiple transcripts since the

assemblers failed to distinguish the difference of these transcripts and reported only a single

contig. To circumvent these issues, it is advisable to estimate the abundance on gene-level rather

than for individual transcripts using tools such as Corset. By exploring how these factors

deteriorate the reliability of *de novo* RNA-Seq analysis, we provided valuable insights for the

interplay between transcriptome assembly, quantification and sequence annotation. We

anticipated these discoveries will be useful in the future development of assembly or

quantification programs.

Declarations

Ethics approval and consent to participate

Not applicable.

Consent for publication

Not applicable.

Availability of data and material

The analysis pipelines used in this study are available and can be downloaded from GitHub repository: https://github.com/dn070017/QuantEval. The RNA reads supporting the results of this article are available in the NCBI Short Read Archive, with the accession numbers SRR453566 (yeast) and SRR882109 (dog), SRR203276 (mouse).

Competing interests

The authors declare that they have no competing interests.

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Authors' contributions

Ping-Han Hsieh performed the analysis and wrote the manuscript. Chien-Yu Chen and Yen-Jen Oyang commented on the draft and revised the manuscript. All authors read and approved the final manuscript.

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Figure Legends

Fig. 1: Construction of Ambiguity Network. The diagram illustrates pairwise alignments in a contig set and how the ambiguity network was constructed. The connected component was first initialized given the contig sequences, creating a single cluster for each sequence. By analyzing the global alignment between contigs (the blue dot lines), the cluster expanded by union two contig cluster at a time if the alignment length between the sequence is over 90% of the length for either sequence. Note that the ambiguity network was constructed for both contig and transcript set. For the purpose of simplicity, we only illustrated the scenario for contigs in this figure.

Fig. 2: Examples of Contig Categories. The diagram gives examples for each contig category we analyzed throughout this study. The middle column shows an example for each category, while the right column portrays the relation of contigs and transcripts in network representation. The sequence nodes are connected together in solid line if they are in the same ambiguity cluster. On the other hand, the blue dot arrow represents the transcript assignment for the contigs. The analysis of contigs labeled as full-length, incompleteness and over-extended exclude the factor of sequence ambiguity. On the contrary, family-collapse and duplication remove the potential effect of assembly completeness, focusing only on the impact of redundant or duplicated sequences.

Fig. 3: Quantification Error for Unique Sequences. The box plots illustrate the relative quantification error for unique contigs for simulated dataset. The estimation of contig abundance is made by Kallisto based on Trinity assembly. The contigs are separated by the extent of assembly completeness, the numbers on the X-axis represents the lower bound of difference in length. For instance, the contigs locate on -10 means that the percentage of difference in length is in the range of $[-10, 0)$. The data is color coded based on the contig categories. The box plots suggest that the estimation made on full-length contigs yield the smallest relative error, while the incomplete contigs show over-estimation and over-extended contigs show under-estimation on quantification

Fig. 4: Scatter plots of Estimated Abundance and Ground Truth Expression for Unique Sequences. The scatter plots illustrate the estimated and ground truth abundance for contigs categorized as full-length, incompleteness and over-extension of simulated dog dataset. The

estimation of contig abundance is made by Kallisto based on Trinity assembly. The metrics are

recorded in $log_2(TPM + 1)$. The data points are color coded based on the relative

quantification error, with blue represents under-estimation and orange for over-estimation. In

general, the estimation on expression for full-length contigs is highly reliable. There are some

incomplete contigs with over-estimated abundance. Moreover, the correlation coefficients for

the estimation of incomplete contigs are also relatively lower than that of full-length contigs.

As for over-extended contigs, a marginal under-estimation in quantification can be observed.

Fig. 5. Correlation Coefficients between Estimated Abundance and Ground Truth

Expression for Unique Sequences. The figures illustrate the Pearson's and Spearman's

correlation coefficients between estimated abundance and ground truth expression. In general,

the estimation based on full-length contigs have considerably high correlation with the ground

truth expression of corresponding transcripts. In contrast, the incomplete and over-extended

contigs show relatively lower correlation coefficients. There are significantly low correlation

coefficients in the rnaSPAdes assembly based on simulated yeast data; however, due to a small

number of data (n = 11), it should not be used to draw conclusion on this dataset.

Fig. 6: Scatter plots of Estimated Abundance and Ground Truth Expression for Family-

Collapse Sequences. The scatter plots illustrate the estimated and ground truth abundance for

contigs categorized as family-collapse of simulated dog dataset. The estimation of contig

abundance is made by Kallisto based on Trinity assembly. The metrics are recorded in

$log_2(TPM + 1)$. The data points are color coded based on the relative quantification error, with

blue represents under-estimation and orange for over-estimation. Since there are more than one

transcripts correspond to one contig, we categorize the expression of corresponding transcript

into (1) transcript with the maximum alignment score, (2) transcript with the highest expression,

and (3) others. In general, the estimated abundance of the contig actually reflect to the transcript

with the highest expression.

Fig. 7: Box Plots for the Relative Error of Duplicated Contigs. The box plots illustrate the

relative quantification error for duplicated contigs of experimental mouse dataset. The contigs

are separated by the proportion of maximum estimated abundance in the connected component,

the numbers on the X-axis represents the lower bound of the proportion. For instance, the

contigs locate on 0.45 means that the percentage of difference in length is in the range of

[0.45, 0.50). Since there are more than one contigs which are assigned with the same transcripts.

We would like to find out which contigs' estimated abundance can accurately reflect the
expression of the transcripts. Here, we categorize the quantification error into three categories:
(1) based on the contigs with the highest alignment score, (2) based on the contigs that are
allocated with the most RNA reads and (3) the total expression of connected component of the
contigs. The box plots suggest that contigs with the highest alignment score or the highest
estimation made within the connected component have considerably lower quantification error
if most of the reads are assigned to one specific contig. However, when the quantifiers allocate
the RNA reads evenly to the contigs within the connected component, it is advisable to use the
total expression of connected component in order to get the accurate estimation upon the
expression of transcripts.

Fig. 8: Scatter plots of Estimated Abundance and Ground Truth Expression for All
Sequences. The scatter plots illustrate the estimated and ground truth abundance for all the
contigs of simulated dog dataset. The estimation of contig abundance is made by Kallisto based
on Trinity assembly. The metrics are recorded in $log_2(TPM + 1)$. The data points are color
coded based on the relative quantification error, with blue represents under-estimation and
orange for over-estimation. We looked through all the valid assignment of transcripts for each
contig and we demonstrated four different methods: (1) selecting the transcripts with the highest
alignment score for each contig, followed by selecting the contig with the highest alignment
score for each transcript (ATAC), (2) selecting the transcripts with the highest alignment score
for each contig, followed by selecting the contig with the highest RPEA score in the connected
component (ATHC), (3) selecting the transcripts with the highest expression in the connected
component, followed by selecting the contig with the highest alignment score for each transcript
(HTAC), and (4) selecting the transcripts with the highest expression in the connected
component, followed by selecting the contig with the highest RPEA score in the connected
component (HTHC) We would like to understand whether the estimated abundance for both
methods can be used to accurately estimate the expression of transcript. In general, the
estimated abundance of the contig with the highest number of allocated reads in the connected
component yield a more accurate estimation.

Additional Files

695   **Additional Files**

696   **Additional File 1.** Title: Supplementary Tables. Description of data: Table S1 to Table S6.

698   **Additional File 2.** Title: Supplementary Figures. Description of data: Fig. S1 to Fig S6.

sequences

contig a   TTACACAATCGTAGCATGTATG
contig b   TACACAATCGTAGCATGTATGG
contig c   AATCGTAG
contig d   CAATCGTAGCATGTATGG
contig e   GACCTTTGTTCACGTTTGCCCAT

network initialization

alignments

contig a   TTACACAATCGTAGCATGTATG
contig b   TACACAATCGTAGCATGTATGG

alignment length ≥ 90% length of both sequences

contig c   AATCGTAG
contig d   CAATCGTAGCATGTATGG

alignment length ≥ 90% length of either sequence

contig a   TTACACAATCGTAGCATGTATG
contig c   AATCGTAG

alignment length ≥ 90% length of either sequence

network operation

$union(a, c)$ $union(a, b)$ $union(c, d)$

Full-length	*contig* *transcript*	TTACACAATCGTAG TACACAATCGTAGC	

Incompleteness	*contig* *transcript*	TACA TACACAATCGTAGC

Over-extended	*contig* *transcript*	TTACACAATCGTAG CACA

Family-collapse	*contig* *transcript a* *transcript b* *transcript c*	TTACACAATCGTAG TACACAATCGTAGC TTACACGATCGTA CTACACAATGGTAG

Duplication	*contig a* *contig b* *contig c* *transcript*	TTACACAATCGTAG TACACAATCGTAGC TTACACGATCGTA CTACACAATGGTAG