

1 **Genetic and phenotypic differentiation of lumpfish (*Cyclopterus lumpus*)**
2 **across the North Atlantic: implications for conservation and aquaculture**

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15 **ABSTRACT**

16

17 Demand for lumpfish (*Cyclopterus lumpus*) has increased exponentially over the last decade,
18 both for their roe, which is used as a caviar substitute, and increasingly also as cleaner fish to
19 control sea lice in salmon farming. The species is classified as Near Threatened by the UICN
20 and there are growing concerns that over-exploitation of wild stocks and translocation of
21 hatchery-reared lumpfish may compromise the genetic diversity of native populations. We
22 carried a comparative analysis of genetic and phenotypic variation across the species' range
23 to estimate the level of genetic and phenotypic differentiation, and determined patterns of
24 gene flow at spatial scales relevant to management. We found five genetically distinct
25 groups located in the West Atlantic (USA, and Canada), Mid Atlantic (Iceland), East Atlantic
26 (Faroe Islands, Ireland, Scotland, Norway, and Denmark), English Channel (England) and
27 Baltic Sea (Sweden). Significant phenotypic differences were also found, with Baltic
28 lumpfish growing more slowly, attaining a higher condition factor and maturing at a smaller
29 size than North Atlantic lumpfish. Estimates of effective population size were consistently
30 low across the NE Atlantic (Iceland, Faroe Islands, Norway), the area where most wild
31 lumpfish are fished for their roe, and also for the aquaculture industry. Our study suggests
32 that some lumpfish populations are very small and have low genetic diversity, which makes
33 them particularly vulnerable to over-exploitation and genetic introgression. To protect them
34 we advocate curtailing fishing effort, closing the breeding cycle of the species in captivity to
35 reduce dependence on wild stocks, restricting the translocation of genetically distinct
36 populations, and limiting the risk of farm escapes.

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38 Key Words: translocation, conservation genetics, migration, aquaculture, cleaner fish

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41 1. INTRODUCTION

42

43 The control of parasitic sea-lice (*Lepeophtheirus salmonis*) is one of the most pressing
44 problems facing salmon farming (Torrissen et al. 2013; Treasurer 2002), as sea-lice have
45 become resistant to chemical treatment (Aaen et al., 2015; Lees et al., 2008) and threaten the
46 sustainability of the industry. Several species of cleaner fish have been used as an alternative
47 to the use of antiparasitic therapeutants (Treasurer 2018), but the lumpfish (*Cyclopterus*
48 *lumpus*) is probably the most useful as, in contrast to other cleaner fish like wrasse, it
49 continues to feed on sea lice at low temperatures and is easy to rear in captivity (Imsland et
50 al., 2014; Powell et al., 2017). Demand for lumpfish has increased exponentially since 2012
51 (Powell et al., 2017; Treasurer, 2018). However, nearly all lumpfish used in salmon farming
52 are still derived from wild broodstock (Jonassen et al., 2018a), and as they are generally used
53 in a single salmon production cycle (Powell et al., 2017), satisfying aquaculture demands can
54 put considerable pressure on wild stocks.

55

56 Lumpfish has been classified as Near Threatened in the IUCN Red List (Lorance et al. 2015),
57 but information on the conservation status of different populations is very limited, and it is
58 likely that some populations are already overexploited (Myers & Sjare, 1995; Powell et al.,
59 2017). Ripe females have traditionally been targeted for their roe, which is processed and
60 sold as a cheap alternative to caviar, and while the Icelandic and Greenland lumpfish fisheries
61 are closely monitored, others are largely unregulated (Powell et al., 2017; Kousoulaki et al.,
62 2018). A strong reduction in catch per unit effort has been detected in some lumpfish
63 fisheries over the last 25 years (Lorance et al., 2015), and there are concerns that removing
64 additional spawners for the expanding lumpfish aquaculture industry could impact on some
65 small populations (Hedeholm et al., 2014; Powell et al. 2017, Powell et al., 2018), as it has
66 been reported for other cleaner fish fisheries (Halvorsen et al., 2017).

67

68 Stock movements represent an additional risk to wild lumpfish as large numbers of hatchery-
69 reared lumpfish are being translocated across the North Atlantic to supply salmon farms
70 (Jonassen et al., 2018b; Treasurer et al., 2018) and this could pose a potential threat to local
71 populations. For example, over 85% of all lumpfish deployed in Scotland during 2017
72 originated from eggs imported from Iceland and Norway, and none came from local sources
73 (Treasurer et al., 2018). In Ireland, 70% of lumpfish deployed during 2015-2016 were
74 derived from eggs imported from Iceland and Norway (Bolton-Warberg et al., 2018), while in
75 the Faroe Islands nearly all lumpfish used during 2014-2016 were of Icelandic origin
76 (Steinarsson and Arnason, 2018; Johanssen et al., 2018). There is a danger that if non-native
77 lumpfish escape from salmon farms they could interbreed with local populations and result in
78 genetic introgression (Powell et al., 2017), as has been reported for farmed salmonids (e.g.
79 Consuegra et al., 2011). Lumpfish translocations are likely to intensify in the near future
80 (Jónsdóttir et al., 2017), and while escapes of lumpfish have not yet been reported, these
81 seem largely inevitable in open salmon net-pens, as have already been documented for two
82 species of wrasse (Jansson et al., 2017; Faust et al., 2018). Whether escapes can have a
83 genetic impact on local lumpfish populations will depend on the number of escapees, their
84 reproductive success, and the extent of genetic differentiation between local and introduced
85 fish, but none of these parameters are currently known.

86

87 Lumpfish are distributed across a vast marine area, extending to both sides of the North
88 Atlantic and into the Baltic (Davenport 1985; Powell et al., 2018), and there is thus scope for
89 substantial differentiation. Soon after hatching, the larvae attach to the substrate using a
90 specialized suction cup, which probably limits larval dispersal (Davenport, 1985). Tagging

91 studies suggest that, although adults can swim up to 49 km/day, some individuals remain
92 within a restricted 80 km range after +250 days at liberty (Kennedy et al., 2015). There is
93 also evidence of homing (Kennedy et al., 2014), which will favour reproductive isolation and
94 may result in stock differentiation. For example, spawning time may vary by two months
95 within single populations (Wittwer and Treasurer, 2018), but as much as seven months
96 among populations, from January in the English channel (Powell et al., 2018) to August near
97 the Arctic circle (Jónsdóttir et al., 2018). Population differences also exist in growth and
98 delousing behaviour (Johannesen et al., 2018) and, as these are maintained under common
99 rearing conditions (Imsland et al., 2016; Bolton-Warberg et al., 2018), they are likely to be
100 inherited. Such differences suggest that lumpfish may form discrete populations, and that
101 these may be adapted to local conditions. Yet, the extent of genetic differentiation in lumpfish
102 is uncertain. Thus, while significant genetic differences have been found at large spatial
103 scales (i.e. Canada vs Norway; Pampoulie et al., 2014), populations at smaller scales appear
104 to be relatively homogenous. For example, lumpfish sampled in the English channel appear
105 to be largely undifferentiated (Consuegra et al., 2015), as do fish sampled along the
106 Norwegian coast (Jónsdóttir et al., 2017). In contrast, in Greenland two genetically distinct
107 groups have been found in the north and south (Garcia-Mayoral et al., 2016) suggesting that
108 there can also be some fine scale genetic structuring.

109 110 **1.1. Aims**

111
112 The aims of our study were three. First, given their limited larval dispersal and evidence for
113 homing, we hypothesised that lumpfish might display genetic isolation by distance, with
114 populations closer together being more genetically similar than those further apart (Rousset
115 1997). By sampling across the whole range, we aimed to estimate the level of genetic and
116 phenotypic differentiation, and determine the patterns of gene flow across the species' range,
117 at spatial scales relevant to management.

118
119 Secondly, given the level of recent stock transfers, we wanted to know to what extent
120 translocations could pose a potential genetic risk to local populations. For this, we provide
121 genetic baselines on wild populations against which genetic introgression from farm escapees
122 can be latter assessed, as done for Atlantic salmon (Gilbey et al., 2017).

123
124 Finally, as some lumpfish populations may be endangered, we provide estimates of effective
125 population size, and test for the existence of genetic bottlenecks to better understand their
126 conservation status and the extent to which gene flow could mitigate the impacts of over-
127 exploitation.

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129 2. MATERIAL AND METHODS

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131 2.1. Collection of samples

132 Fin tissue was obtained from 410 lumpfish originating from 15 sites across the species' range
133 (Table 1) and were stored in 96% ethanol at -20°C until analysis. Sites located within an 80
134 km radius (the estimated maximum range of dispersal, Kennedy et al., 2015) were pooled
135 together to minimise the risk of spatial pseudo-replication. Samples were pooled from the
136 Faroe Islands (Klasvík and Kollafjørður, c. 20km), Denmark (Køge Bay and Mosede Havn,
137 c. 13km) and Sweden (survey hauls from Bornhölm to Öland, and from Gotland to Gotska
138 Sandön). Pooled groups were named after the site contributing the largest number of samples.
139 Biometric data on length (mm) and weight (g) were available for eight of the 15 sites (Table
140 1).

141

142 2.2. DNA extraction and amplification

143 DNA was extracted using the Nexttec Isolation kit (Nexttec, UK) following the
144 manufacturer's protocol. The concentration of extracted DNA was quantified using a
145 Nanodrop 2000 (Thermo Fisher Scientific Inc., USA) and diluted with DNA free water to
146 50ng/µl where necessary. A 2µl of sample DNA was used for amplification using a QIAGEN
147 Multiplex PCR kit (QIAGEN, UK) in a total reaction volume of 9µl. Ten microsatellite loci
148 (*Clu29*, *Clu34*, *Clu36*, *Clu45* and *Clu12*, *Clu26*, *Clu33*, *Clu37*, *Clu40*, *Clu44* (Skirnisdottir et
149 al., 2013) were genotyped in two separate multiplex reactions (Table S1). Amplification
150 consisted of a single initial activation step at 95°C for 15 minutes followed by eight cycles of
151 touchdown PCR denaturation at 94°C for 30 seconds, annealing from 64°C or 60°C to 56°C in
152 descending two-cycle steps of 2°C and an extension at 72°C for 90 seconds, 24 additional
153 cycles with an annealing temperature of 56°C and a single final extension at 60°C for 30
154 minutes. An Applied Biosystems ABI3130xl Genetic Analyser (Applied Biosystems, UK)
155 was used to resolve the fragments using GeneScan 500-LIZ(-250) as a size standard.
156 Fragment length was established using GeneMapper v5.0 (Applied Biosystems, UK).
157 Genotyping consistency was validated by repeating PCR, fragment analysis and scoring for
158 10% of samples.

159

160 2.3. Estimates of genetic diversity

161 We used Microchecker v2.2.3 (Van Oosterhout et al., 2004) to identify null alleles, allele
162 dropout and stutter peaks, and Bayescan v2.1 (Foll & Gaggiotti, 2008) to test for loci
163 neutrality. GENEPOP v4.2 (Rousset, 2008) was used to test for linkage disequilibrium,
164 deviations from Hardy-Weinberg equilibrium, and to calculate allelic frequencies across
165 populations. GeneAIEx v6.502 (Peakall & Smouse, 2012) was used to assess the number of
166 alleles (N_A), effective alleles (N_E), private alleles (N_{PA}), expected heterozygosity (H_E),
167 observed heterozygosity (H_O), and to carry out a Mantel test of genetic isolation by distance.

168

169 2.4. Population genetic structure and patterns of migration

170 We conducted an Analysis of Molecular Variance (AMOVA) to partition genetic variation at
171 three hierarchical levels (among populations, within populations, and among individuals),
172 and calculated pairwise F_{ST} values between populations using Arlequin v3.5.2.2. (Excoffier
173 & Lischer, 2010). A Bayesian cluster analysis was conducted in STRUCTURE v2.3.4
174 (Falush et al., 2007; Hubisz et al. 2009; Pritchard et al., 2000) to estimate the most likely
175 number of genetic clusters (K) informed by individual genotypes. Admixture models with K
176 values ranging from 2 to 15 were considered using twenty iterations, a burn-in length of
177 10,000 and 50,000 Markov Chain Monte Carlo repeat simulations to quantify the likelihood
178 of each K value. Results were fed into STRUCTURESELECTOR (Li & Liu, 2018) to

179 identify the most likely number of clusters present based on the median of means
180 (MedMeaK), maximum of means (MaxMeaK), median of medians (MedMedK) and
181 maximum of medians (MaxMedK) criteria (Puechmaille, 2016). Bayesian cluster analysis
182 informed by spatial data was conducted using TESS v2.3.1 (Chen et al., 2007) to better
183 understand the extent of spatial genetic structure. Admixture models were run with 50,000
184 total sweeps, 10,000 burn-in sweeps, and 200 runs per K_{\max} ranging from 2 to 15. The
185 average Deviance Information Criterion (DIC) of the lowest 10 DIC values was calculated
186 for each K_{\max} to assess the most likely number of clusters. Runs within 10% of the lowest
187 (DIC) for a given K_{\max} were used for analysis. CLUMMP v1.1.2 (Jakobsson & Rosenberg,
188 2007) was used to average variation between repeated iterations for the most likely K values,
189 and the resulting output was visualised using DISTRUCT v1.1.1 (Rosenberg, 2004). A
190 neighbour joining tree assessing the genetic distance of populations was constructed with
191 Populations v1.2.32 (Langella, 2002) using Nei's standard genetic distance with 1,000
192 bootstraps per locus and the resulting tree was visualised using TreeView (Page, 2003). The
193 effective number of migrants (N_m) and extent of asymmetrical migration were calculated
194 using div-Migrate (Sundqvist et al., 2016). A matrix was created using 5,000 bootstrap
195 iterations under three alpha values to simulate high ($\alpha = 0.05$), moderate ($\alpha = 0.005$) and low
196 ($\alpha = 0.0025$) levels of gene flow.

197

198 **2.5. Effective population size, population expansion and evidence of genetic bottlenecks**

199 Estimates of effective population size (N_e) for sites containing at least 19 individuals were
200 calculated using the Linkage Disequilibrium Model (LDM) with a critical value of 0.02 in
201 NeEstimator v2.1 (Do et al., 2014). Evidence of population expansion was assessed through
202 the k intralocus and g interlocus tests (Reich et al., 1998), using the application provided in
203 Bilgin (2007). Evidence of genetic bottlenecks was evaluated in Bottleneck v2.1 (Cornuet &
204 Luikart, 1996) using 1,000 replicates and according to the Two-Phase (TPM) and the
205 Stepwise (SMM) Mutation Models.

206

207 **2.6. Phenotypic variation**

208 Variation in the length-weight relationship between regions (West Atlantic, $n = 30$; East
209 Atlantic, $n = 65$; English Channel, $n = 60$; Baltic Sea, $n = 40$), was examined by regression
210 analysis on log-transformed data. We calculated relative weight (W_r) as the ratio of the
211 observed weight divided by the predicted weight (from the regression obtained above) to
212 obtain an index of body condition that is more appropriate for fish like lumpfish that have an
213 unusual body shape (Nahdi et al., 2016). The most plausible number of age classes
214 represented in the samples, and the mean size at age (Macdonald & Pitcher, 1979) were
215 calculated through mixture analysis of length-frequency data using PAST v3.17 (Hammer et
216 al, 2001). The Von Bertalanffy growth equation (Kirkwood, 1983) was fitted to estimate
217 growth parameters in each region.

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229 3. RESULTS

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231 3.1. Population genetic diversity

232 All microsatellites exhibited polymorphism. The mean number of alleles (N_A) ranged from
233 4.5 (Ro) to 6.8 (Kl, VB), mean expected heterozygosity (H_E) ranged from 0.592 (Öl) to 0.700
234 (Kl), and mean F_{IS} varied from -0.056 (Ro) to 0.110 (Öl) across all loci (Table 1). Initial
235 analysis suggested that null alleles might be present at multiple loci (*Clu34*, *Clu36*, *Clu12*,
236 *Clu33*, *Clu37* and *Clu40*, Table S2). However, repeatedly removing each locus in turn
237 showed little variation in F_{ST} values (Tables S3-S8), and therefore all markers were retained
238 for further analyses. No evidence of departures from neutrality or linkage disequilibrium was
239 found after Bonferroni corrections for multiple tests (Rice, 1989). Deviations from Hardy-
240 Weinberg equilibrium (HWE) were detected at 5 of the 15 sites (Table 1), but these involved
241 only 12% of loci after Bonferroni correction (Table S9). The mean number of private alleles
242 (N_{PA}) was relatively low, ranging from 0.00 to 0.40, with sites in the West Atlantic (FB =
243 0.30, WB = 0.40) and Baltic Sea (GS = 0.30) showing the highest values.

244

245 3.2. Population structure and gene flow

246 Global F_{ST} was 0.095 ($P < 0.001$) indicating a moderate but significant degree of genetic
247 differentiation. Results of AMOVA indicated that 83.5% of molecular variation was due to
248 variation within individuals, 7% amongst individuals within populations, and 9.5% amongst
249 populations. Pairwise F_{ST} showed a significant level of genetic differentiation across most
250 populations (Table 2), but populations closer together were genetically similar after
251 Bonferroni correction. On the basis of F_{ST} values, the strongest differentiation was found
252 between West Atlantic and Baltic Sea populations. Results of a Mantel test support the
253 existence of a significant, albeit weak, isolation by distance ($R^2 = 0.1229$, $P = 0.01$).
254 The most likely number of genetically distinct groups (K) ranged from $K = 5$ (MedMedK,
255 MedMeaK) to $K = 6$ (MaxMedK, MaxMeaK) using STRUCTURESELECTOR (Figure S1).
256 Spatial cluster analysis using TESS suggested a $K_{max} = 10$ (Figure S2), though only six of
257 these genetic groups showed substantial representation, and four groups contributed only
258 3.3% to the genetic background. Distinct clusters were detected in the West Atlantic and
259 Baltic Sea by both STRUCTURE and TESS, with a greater level of admixture across the East
260 Atlantic (Figure 1). Results were consistent in attributing a genetically unique pattern to the
261 Mid Atlantic, English Channel clusters and a Norwegian site at Averøy. A neighbourhood
262 joining tree (Figure 2) showed similar patterns to that of the structuring analyses, highlighting
263 the separation between the West Atlantic and Baltic Sea populations, and the higher degree of
264 admixture within the East Atlantic group.

265

266 The effective number of migrants (N_m) ranged from 1.00 between sites in the English
267 Channel to 0.03 between sites in the West Atlantic and Baltic Sea. The exchange of migrants
268 was much higher within genetic clusters than among clusters (Table S10), with the highest
269 levels of gene flow found within the East Atlantic and within the English Channel (Figure 3).
270 The only evidence of moderate asymmetric gene flow was from Norway towards the Faroe
271 Islands ($N_m = 0.507$), but this was only detected at $\alpha = 0.05$, and not at the lower α -values
272 (0.005 or 0.0025).

273

274 3.3. Effective population size, population expansion and evidence of genetic bottlenecks

275 Estimates of effective population size (N_e) based on a Linkage Disequilibrium Model (LDM)
276 varied from 19 (Norway) to 70,148 (Denmark; Table S11). Sites with low N_e values (<75)
277 were found across Iceland, Faroe Islands and Norway (Figure 3). No evidence of recent
278 population expansions was found according to the intra-locus k and inter-locus g tests (Table

279 S12). A significant deficiency of heterozygotes was identified in Ireland and Scotland using
280 the Single Mutation Model (SMM) in BOTTLENECK (Wilcoxon signed-rank test, $P =$
281 0.0033 after Bonferroni correction), suggesting that these populations could have undergone
282 a recent genetic bottleneck (Table S13), but this was not detected by the Two-Phase Model of
283 Mutation (TPM).

284

285 **3.4. Phenotypic variation**

286 The relationship between length and weight differed significantly between regions ($F_{4,192} =$
287 917.2, $P < 0.001$; Figure 4). Lumpfish in the Baltic Sea were heavier relative to their size
288 than lumpfish in the East Atlantic and the English Channel (pairwise comparisons: Baltic -
289 East Atlantic, estimate = -0.090 ± 0.036 , $t = -2.530$, $P = 0.012$; Baltic - English Channel,
290 estimate = -0.145 ± 0.046 , $t = -3.171$, $P = 0.002$), but were similar to those in the West
291 Atlantic (pairwise comparison Baltic - West Atlantic, estimate = -0.094 ± 0.050 , $t = -1.891$, P
292 = 0.060). The relative weight of lumpfish differed between regions ($F_{3,191} = 2.841$, $P =$
293 0.039) and was highest in the Baltic Sea and the West Atlantic, and lowest in the East
294 Atlantic and the English Channel.

295

296 Mixture analysis identified multiple plausible age classes present amongst lumpfish sampled
297 in the Baltic Sea (7 age classes), East Atlantic (4 age classes) and English Channel (3 age
298 classes), but only a single plausible age class in the West Atlantic. Based on the parameters of
299 the Von Bertalanffy Growth equation, the maximum age was estimated to be 6.0 years for
300 Baltic populations, 5.7 yrs for populations in the East Atlantic and 7.5 yrs for southern
301 populations spawning in the English Channel. Fitted growth equations differed significantly
302 between regions (Table 3), with lumpfish in the Baltic Sea showing the slowest growth and
303 those in the English Channel showing the fastest.

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306 4. DISCUSSION

307

308 Our study reveals a significant degree of structuring in lumpfish populations which is
309 consistent with moderate isolation by distance, and should inform the translocation of
310 lumpfish across salmon farms. Genetically distinct groups were found in the West Atlantic
311 (USA, Canada), Mid Atlantic (Iceland), East Atlantic (Faroe Islands, Ireland, Scotland,
312 Norway, Denmark), English Channel (England), Averøy (Norway) and Baltic Sea (Sweden).
313 Whilst significant gene flow was detected within each of these groups, little exchange of
314 migrants was found between these areas. Our results also indicate the existence of significant
315 phenotypic differences across the range, that mimic to some extent the observed genetic
316 differences. Thus, lumpfish from the Baltic Sea were not only genetically distinct, they were
317 also found to be smaller, grow at a slower rate and weigh more relative to their size than
318 lumpfish from the North Atlantic. Although our growth estimates were based on length
319 frequency data and did not distinguish between males and females, they are in line with
320 estimates based on mark and recapture studies in Norway and Iceland ($L_{\infty} = 527 \pm 64$ mm, K
321 $= 0.26 \pm 0.14$ year⁻¹; Kasper et al., 2014), and suggest that Baltic lumpfish grow more
322 slowly and mature at a much smaller size (c. 150 g) than lumpfish from the North Atlantic
323 (2.0-3.0 Kg). The slow growth shown by Baltic lumpfish may be of interest for selective
324 breeding programmes in aquaculture, as slow growing cleaner fish may be better suited for
325 feeding on sea lice (Powell et al., 2017).

326

327 Pampoulie et al. (2014) first suggested that lumpfish in the West and East Atlantic were
328 separated by cold southward polar currents, and that populations in the Baltic Sea may have
329 become isolated during the Last Glacial Maximum. Though our analysis supports this broad
330 division, it also indicates a finer population structure, revealing that lumpfish in the mid
331 Atlantic and English Channel are genetically distinct from other populations. The conclusion
332 of our genetic analyses is consistent with recent tagging studies in Norway and Iceland
333 showing that whilst lumpfish can move offshore to feed, they return to spawn in their home
334 waters (Kennedy et al., 2015; Kennedy et al., 2016) and do not migrate between Iceland and
335 Norway (Kasper et al., 2014). There is little information on southern lumpfish populations,
336 though lumpfish in the English Channel appear to spawn earlier in the season than
337 populations further north (Powell et al., 2018), probably due the warmer temperatures and
338 better feeding opportunities, which are known to influence maturation and spawning of
339 lumpfish (Hedeholm et al., 2017). It is thus possible that the warmer waters found at the
340 species' southern range may favour an early spawning and lead to some degree of
341 reproductive isolation, hence limiting gene flow along a latitudinal gradient. With the
342 exception of the Averøy population, the remaining sites in the East Atlantic appear to be
343 genetically uniform, as reported along the Norwegian coast (Jónsdóttir et al., 2017).

344

345 The level of genetic diversity, and therefore the ability to adapt and respond to selection,
346 differed substantially among regions. Our estimates of effective population size, the first for
347 this species, were particularly low across the North East Atlantic (Iceland, $N_e = 43$; Faroe
348 Islands, $N_e = 30$; Norway, mean $N_e = 51$), and some evidence of genetic bottlenecks was also
349 detected at sites in Ireland and Scotland, though the evidence for this was not strong. The
350 North East Atlantic supports the largest lumpfish roe fishery (Jónsdóttir et al., 2018), with a
351 production of 4,000 tonnes of roe per year (Johannesson, 2006). Given a maximum yield of
352 c. 4kg roe/female (Johannesson, 2006), this level of harvest likely surpasses 1 million mature
353 females every year. Harvesting for lumpfish roe is both size and sex-selective, which
354 increases the vulnerability of populations to over-exploitation (Hoenig & Hewitt, 2005;
355 Ratner & Lande, 2001) and may explain the low estimates of effective population size found

356 across this area. The North East Atlantic populations appear to be small and reducing
357 pressure on these stocks would decrease the risk of over exploitation.

358

359 **4.1. Conclusions and management implications**

360 By 2020 c. 50 million lumpfish will be required by the salmon farming industry (Powell et
361 al., 2017; Treasurer, 2018) and most of these will come from the stripping of wild broodstock
362 (Wittwer & Treasurer, 2018) caught in Iceland and Norway, and then shipped as eggs or
363 larvae to salmon farms elsewhere. Information on lumpfish escapees is lacking but corks
364 wrasse (*Symphodus melops*) deployed as cleaner fish in Norway have recently been found to
365 escape and hybridise with local populations (Faust et al., 2018), and the same could happen
366 with lumpfish. Efforts should thus be made to reduce the risk of lumpfish escaping from fish
367 farms and interbreeding with local populations, as high propagule pressure associated with
368 open-net pens is the single most important factor determining the impact of escapees
369 (Consuegra et al., 2011).

370

371 Our study suggests that lumpfish translocations should be restricted within genetically
372 homogenous groups to reduce the risk of genetic introgression between native and non-native
373 populations. In this sense, lumpfish from some areas of Norway, and particularly from
374 Iceland, may be ill-suited for deployment in Ireland, Scotland and the Faroe Islands, and
375 vice-versa. Ultimately, closing the breeding cycle of the species in captivity, and producing
376 sterile lumpfish for deployment in salmon farms, must be a research priority for both the
377 conservation of the species and the cleaner fish industry (Powell et al., 2017), as this will
378 lessen dependency on wild broodstock and reduce the risk of genetic introgression.

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390

391 **DECLARATION OF INTEREST**

392 The authors declare no conflict of interest

393

394 **AUTHORS' CONTRIBUTIONS**

395 CGL and SC designed the study, wrote the project and secured the funding. BAW processed
396 the samples and carried out the genetic analysis with assistance from SC. BAW and CGL
397 wrote the MS with input from SC. BAW and CGL carried out the statistical analysis. All
398 authors approved the final submission.

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- 598

599 **Table 1.** Details of study sites sampled for lumpfish (N : sample size for genetic analysis; Nb :
600 sample size for biometric analysis; N_A = mean number of alleles (\pm SE), N_E = mean number
601 of effective alleles, N_{PA} = number of private alleles, H_O = observed heterozygosity, H_E =
602 expected heterozygosity, F_{IS} = fixation index; *denotes deviation from HWE due to
603 heterozygote deficiency after Bonferroni correction at $P < 0.0033$
604

Year	Country	Site	Lat.	Long.	N	Nb	N_A	N_E	N_{PA}	H_O	H_E	F_{IS}	
2016	USA	Frenchman Bay (FB)	44.33	-68.15	30	-	mean \pm SE	6.0 0.775	3.100 0.548	0.300 0.213	0.566 0.058	0.613 0.044	0.100 0.050
2016	USA	Cobscook Bay (CB)	44.90	-67.05	30	-	mean \pm SE	6.1 0.862	3.452 0.456	0.100 0.100	0.640 0.063	0.668 0.038	0.078 0.055
2016	Canada	Witless Bay (WB)*	47.21	-52.69	30	30	mean \pm SE	6.7 0.870	3.459 0.425	0.400 0.163	0.630 0.049	0.673 0.036	0.080 0.050
2016	Iceland	Hafnir (Ha)	63.93	-22.69	30	-	mean \pm SE	5.5 0.500	2.971 0.222	0.000 0.000	0.637 0.041	0.643 0.031	0.019 0.050
2016	Faroe Is.	Klasvík (KI)*	62.23	-6.58	30	-	mean \pm SE	6.8 0.359	3.713 0.453	0.200 0.133	0.668 0.049	0.700 0.030	0.065 0.047
2014	Ireland	Ventry Bay (VB)	52.20	-10.12	30	26	mean \pm SE	6.8 0.389	3.255 0.346	0.100 0.100	0.647 0.056	0.658 0.038	0.032 0.050
2017	Scotland	Outer Hebrides (OH)	58.16	-6.38	30	18	mean \pm SE	6.5 0.453	3.247 0.448	0.000 0.000	0.623 0.055	0.644 0.041	0.060 0.036
2015	England	Weymouth (We)	50.61	-2.46	30	30	mean \pm SE	5.8 0.593	2.979 0.423	0.000 0.000	0.607 0.062	0.597 0.059	-0.012 0.053
2015	England	Guernsey (Gu)	49.47	-2.59	30	30	mean \pm SE	5.6 0.476	3.068 0.431	0.000 0.000	0.618 0.083	0.608 0.055	0.032 0.084
2017	Norway	Namsen (Na)	59.15	6.01	21	21	mean \pm SE	6.3 0.539	3.080 0.470	0.100 0.100	0.576 0.076	0.614 0.050	0.105 0.078
2016	Norway	Averøy (Av)	63.05	7.48	30	-	mean \pm SE	5.7 0.496	3.077 0.336	0.000 0.000	0.677 0.051	0.638 0.038	-0.038 0.030
2015	Norway	Rogaland (Ro)	64.45	11.41	19	-	mean \pm SE	4.5 0.342	2.625 0.291	0.000 0.000	0.600 0.071	0.594 0.026	-0.056 0.046
2012	Denmark	Køge Bay (KB)*	55.46	12.18	30	-	mean \pm SE	5.7 0.423	3.168 0.273	0.000 0.000	0.626 0.036	0.660 0.033	0.067 0.029
2017	Sweden	Öland (ÖI)*	55.72	16.39	16	16	mean \pm SE	4.7 0.423	2.838 0.320	0.100 0.100	0.548 0.077	0.592 0.061	0.110 0.073
2017	Sweden	Gotska Sandön (GS)*	57.95	18.97	24	24	mean \pm SE	5.3 0.448	3.192 0.449	0.300 0.213	0.481 0.073	0.611 0.064	0.241 0.081

605

606 **Table 2.** Pairwise F_{ST} values (lower) and Bonferroni adjusted P values (upper; Bonferroni
 607 correction $P < 0.00022$) between 15 study populations of lumpfish distributed across the
 608 natural range of the species using 10 microsatellite loci.
 609

	FB	CB	WB	Ha	KI	VB	OH	We	Gu	Na	Av	Ro	KB	Öl	GS
FB		0.036	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
CB	0.013		0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
WB	0.030	0.030		0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Ha	0.130	0.112	0.117		0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
KI	0.120	0.101	0.098	0.050		0.081	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
VB	0.117	0.093	0.102	0.042	0.011		0.000	0.000	0.000	0.018	0.000	0.000	0.243	0.000	0.000
OH	0.152	0.111	0.124	0.049	0.034	0.021		0.000	0.009	0.252	0.000	0.000	0.000	0.000	0.000
We	0.177	0.154	0.146	0.065	0.056	0.042	0.024		0.324	0.000	0.000	0.000	0.000	0.000	0.000
Gu	0.188	0.160	0.152	0.083	0.060	0.057	0.014	0.003		0.009	0.000	0.000	0.000	0.000	0.000
Na	0.157	0.121	0.128	0.080	0.035	0.020	0.004	0.029	0.029		0.036	0.000	0.018	0.000	0.000
Av	0.153	0.122	0.108	0.102	0.027	0.021	0.042	0.061	0.061	0.018		0.000	0.000	0.000	0.000
Ro	0.142	0.132	0.138	0.057	0.039	0.041	0.043	0.065	0.061	0.048	0.075		0.000	0.000	0.000
KB	0.113	0.085	0.095	0.034	0.021	0.004	0.028	0.048	0.067	0.022	0.037	0.046		0.000	0.000
Öl	0.194	0.139	0.176	0.087	0.129	0.115	0.097	0.105	0.113	0.126	0.152	0.149	0.088		0.216
GS	0.187	0.154	0.181	0.097	0.140	0.132	0.134	0.136	0.152	0.159	0.175	0.152	0.110	0.011	

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616 **Table 3.** Von Bertalanffy growth parameters (L_{∞} = asymptotic length, t_0 = initial condition
617 parameter, and K = Brody growth rate or curvature parameter) and estimated mean weight at
618 first maturity (\pm 95 CI) for lumpfish from different genetically distinct regions.
619

Region	Von Bertalanffy Growth parameters			Weight at 1 st maturity (g)
	L_{∞} (mm)	t_0	K (yr ⁻¹)	
Baltic Sea	200 \pm 6	0.14 \pm 0.02	0.51 \pm 0.02	150 \pm 12.5
East Atlantic	461 \pm 14	0.36 \pm 0.23	0.56 \pm 0.09	2,019 \pm 265.5
English Channel	571 \pm 22	-1.08 \pm 0.59	0.35 \pm 0.20	3,007 \pm 519.5

620

621

622 **Figure legends.**

623

624 **Figure 1.** Lumpfish genetic structuring according to STRUCTURESELECTOR with (a)
625 MedMedK and MedMeanK of $K = 5$, (b) MaxMedK and MaxMeanK of $K = 6$, and (c) TESS
626 with $K_{\max} = 10$ based on lowest mean DIC value. Each bar represents one individual with
627 colours indicating probability of belonging to different genetically distinct groups.

628

629 **Figure 2.** Neighbourhood joining tree (based on Nei's Standard Genetic Distance) of 15
630 lumpfish populations genotyped with 10 microsatellite loci.

631

632 **Figure 3.** Patterns of gene flow among lumpfish populations with colours indicating genetic
633 groups, symbol size proportional to effective population size (N_e), line thickness proportional
634 to effective number of migrants (N_m), and line direction indicative of significant asymmetric
635 gene flow.

636

637 **Figure 4.** Length-weight relationships (\log_{10} scale) for lumpfish sampled in the Baltic Sea,
638 English Channel, East Atlantic and West Atlantic.

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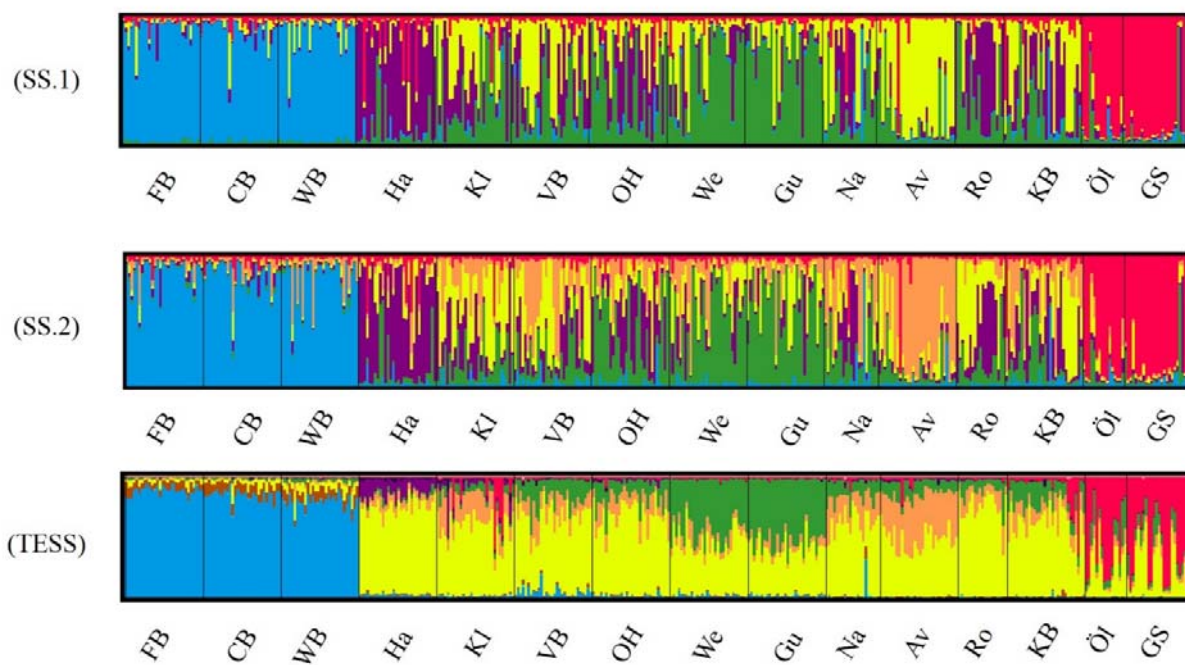
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643 **Figure 1.**

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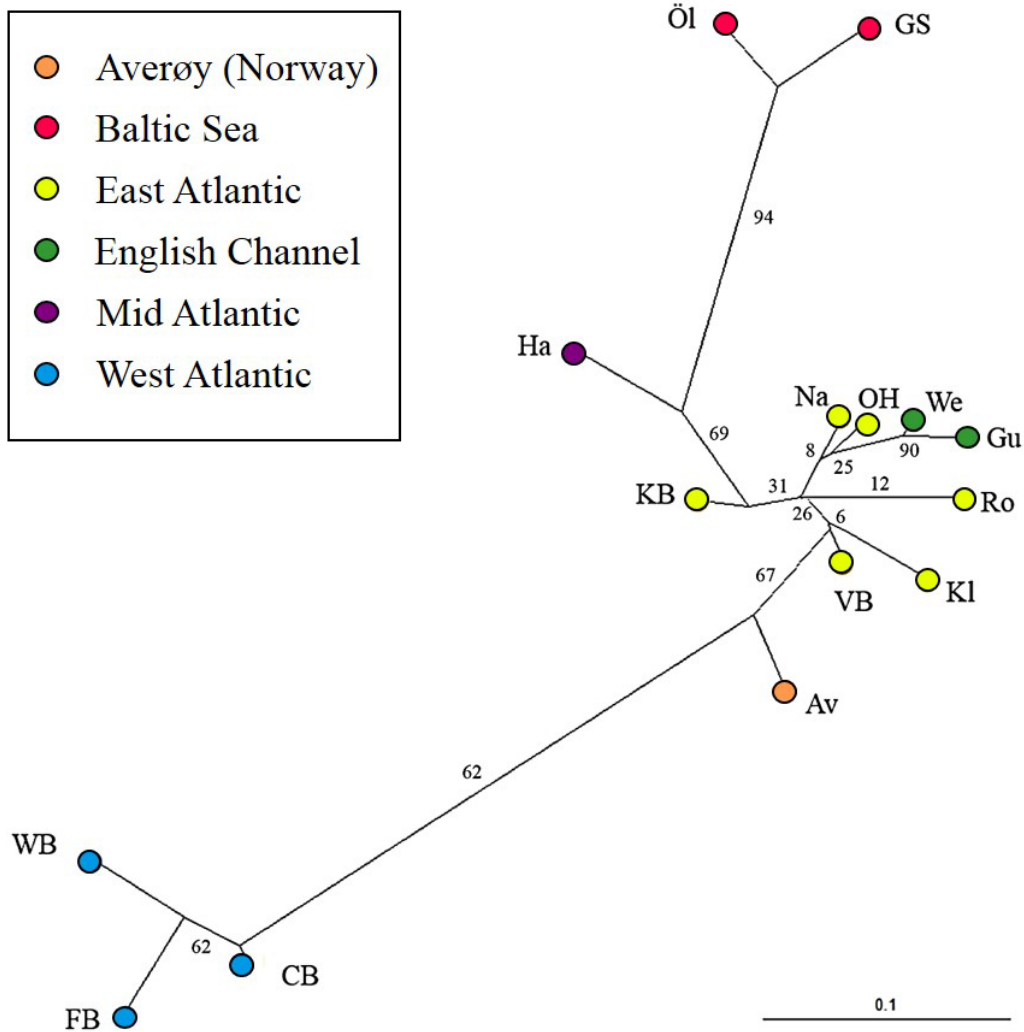
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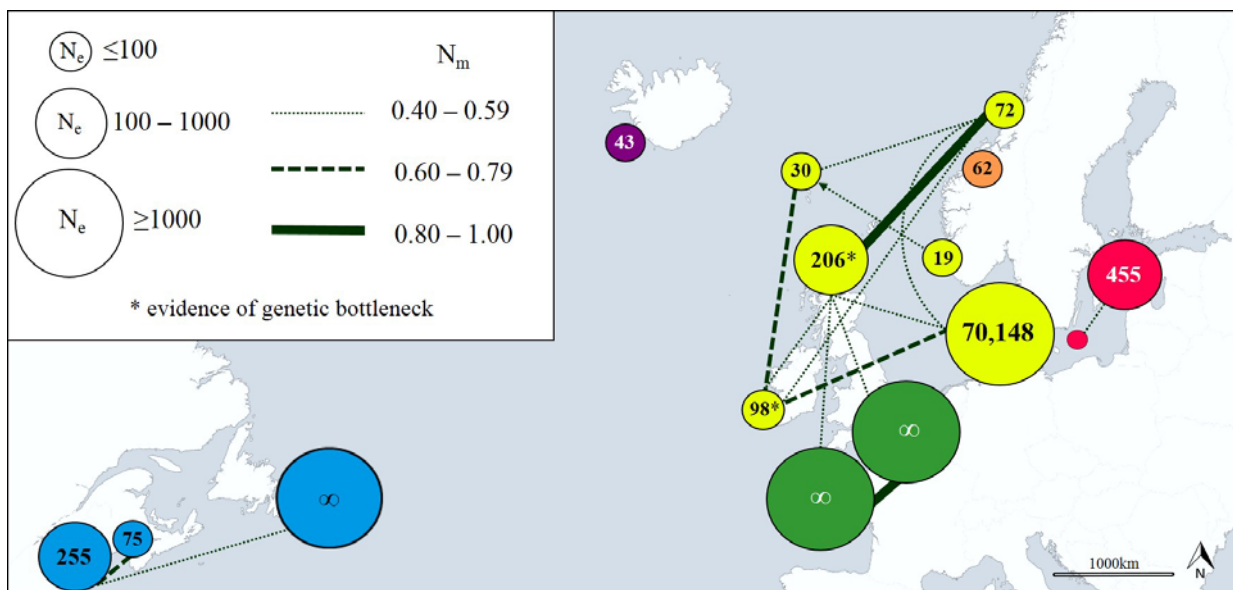
654 **Figure 2.**
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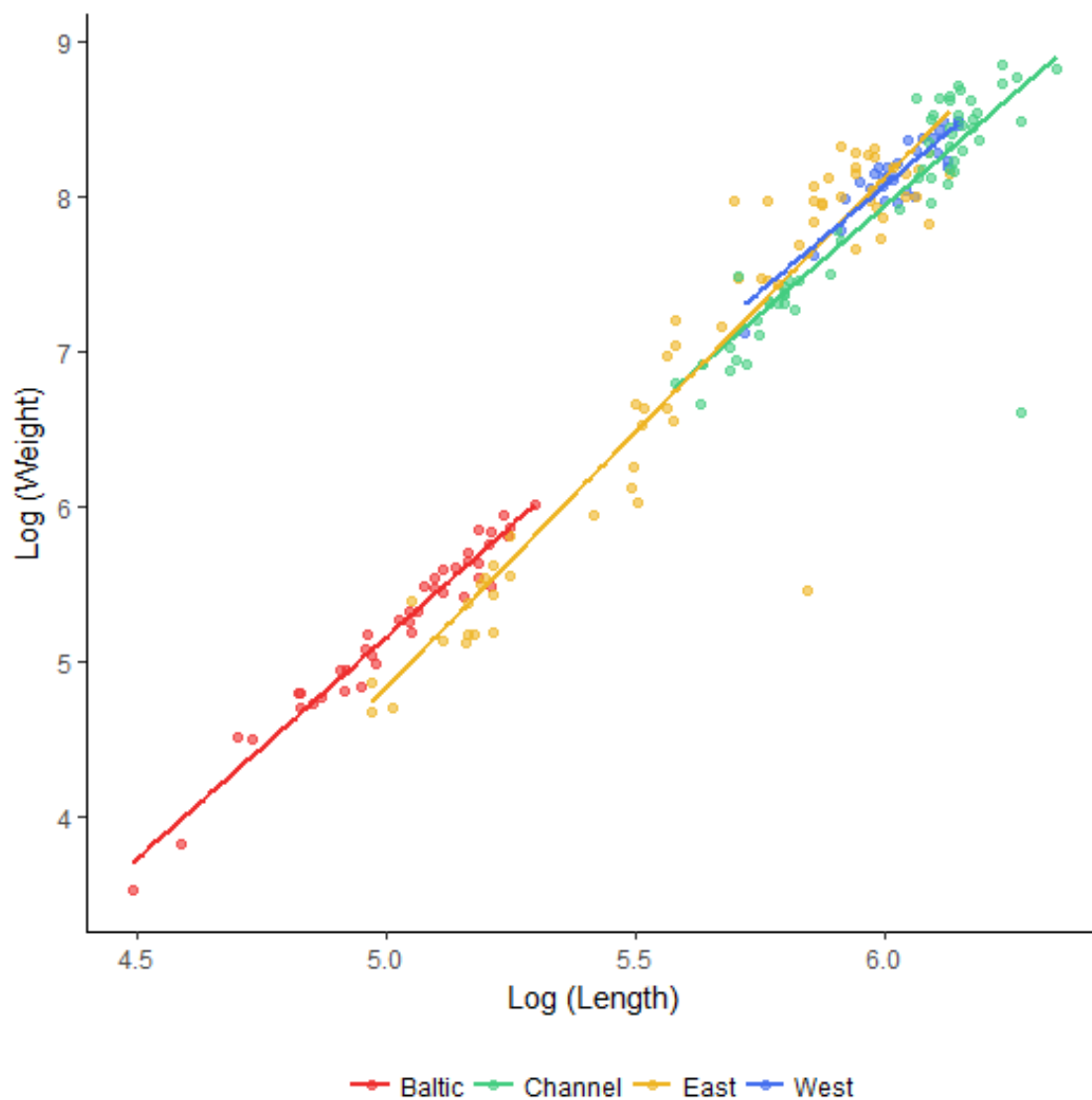
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660 **Figure 3.**

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662 **Figure 4.**



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