Cerebellar folding is initiated by mechanical constraints on a fluid-like layer without a cellular pre-pattern

Andrew K Lawton ${ }^{1}$, Tyler Engstrom ${ }^{2}$, Daniel Rohrbach ${ }^{3}$, Masaaki Omura ${ }^{3,4,5}$, Daniel H Turnbull ${ }^{4}$, Jonathan Mamou ${ }^{3}$, Teng Zhang ${ }^{6}$, J. M. Schwarz ${ }^{2}$, and Alexandra L Joyner ${ }^{1,7, *}$<br>${ }^{1}$ Developmental Biology Program. Sloan Kettering Institute. New York, NY 10065, USA<br>${ }^{2}$ Department of Physics, Syracuse University, Syracuse, NY 13244, USA<br>${ }^{3}$ Lizzi Center for Biomedical Engineering, Riverside Research, NY 10038, USA<br>${ }^{4}$ Skirball Institute of Biomolecular Medicine and Department of Radiology, NYU School of Medicine, NY 10016, USA<br>${ }^{5}$ Graduate School of Science and Engineering, Chiba University, Chiba 263-8522, Japan<br>${ }^{6}$ Department of Mechanical \& Aerospace Engineering, Syracuse University, Syracuse, NY 13244, USA<br>${ }^{7}$ Biochemistry, Cell and Molecular Biology Program, Weill Graduate School of Medical Sciences of Cornell University, New York, NY, 10065 USA.<br>* Corresponding author: joynera@mskcc.org, 212-639-3962

Keywords: cerebellum, development, brain folding, elastic bi-layer, wrinkling, fluid.


#### Abstract

Models based in differential expansion of elastic material, axonal constraints, directed growth, or multi-phasic combinations have all been proposed to explain brain folding. However, the cellular and physical processes at the time of folding have not been defined. We used the murine cerebellum to challenge the standard folding models with in vivo data from the time of folding initiation. We show that at folding initiation differential expansion is created by the outer layer of proliferating progenitors expanding faster than the core. However, the stiffness differential, compressive forces, and emergent thickness variations required by elastic material models are not present. We find that folding occurs without an obvious cellular pre-pattern, that the outer layer expansion is uniform and fluid-like, and that the cerebellum is under radial and circumferential constraints. Lastly, we find that a multi-phase model incorporating differential expansion of a fluid outer layer and radial and circumferential constraints approximates the in vivo shape evolution observed during initiation of cerebellar folding. We discuss how our findings provide a new mechanistic framework to understand brain folding.


Recent work to elucidate the mechanics of in brain folding has primarily focused on the human cerebral cortex and involved models of directed growth, axonal tension, or differential expansion of elastic materials that generate compressive forces to drive mechanical instabilities leading to folding (1-8). Current elastic material models are able to create three-dimensional shapes strikingly similar to the final folds seen in the adult human cortex (9). A recent multi-phase model (10) that includes elastic and fluid-like layers, differential expansion and radial constraints takes into consideration that multiple factors could lead to folding in the developing brain. However, the cell and tissue level mechanics actually present at the initiation of folding have not been considered or defined, as technological limitations are significant in animals with a folded cerebrum.

The murine cerebellum has a simple alignment of 8-10 stereotypical folds along the anteriorposterior axis. Combined with the genetic tools available in mouse this allows for precise developmental interrogation to identify and analyze the in vivo cellular and tissue level behaviors driving growth and folding. The developing cerebellum is distinct from the cerebral cortex, as it has a temporary external granule cell layer (EGL) of proliferating granule cell precursors that cover the surface and generate growth primarily in the anterior-posterior (AP) direction (11-13). During development a thickening occurs in the EGL at the base of each forming fissure, termed anchoring center (AC) (14), whereas in the adult cerebellum the inner granule cell layer (IGL), generated by the EGL during the first two weeks of life, is thinnest at the ACs. Previous work on cerebellar folding utilized a tri-layer elastic model incorporating the EGL, the adjacent molecular layer, rich in axons and dendrites, and the IGL (7). However, neither the molecular layer nor the IGL are present when folding is initiated in the embryo. Therefore we argue that a bilayer system
consisting of the EGL and underlying core, is a more appropriate approximation for cerebellar folding.

Here we show that cerebellar folding emerges from differential expansion between an unpatterned, rapidly expanding EGL and an underlying core. Additionally, we demonstrate that the measured stiffness differential, compressive forces, and the thickness variation in the EGL are all inconsistent with traditional elastic wrinkling models driven by differential growth. Furthermore, we demonstrate that the expansion of the EGL is uniform, and fluid-like, and that the cerebellum is under radial and circumferential constraints when folding initiates. Lastly, we constrain the recent multi-phase model with our in vivo data and find we can capture the temporal shape evolution seen during mouse cerebellum folding initiation. The implications of our findings for human cerebral cortex folding are discussed.

## Tissue level mechanics drive folding

It is well known that differentially expanding bilayer systems can wrinkle to relax building stress (15-19). We reasoned that in the cerebellum the EGL could behave as a quickly expanding outer layer and its attachment to a more slowly growing core could generate forces that result in a wrinkling-like phenotype. To test whether the cerebellum has differential expansion between the two layers, we measured the expansion of the EGL and the core during the time of initiation of folding from midline sagittal sections (Fig 1a-d). Unlike the cerebral cortex, the unfolded murine cerebellum is a simple cylinder-like structure elongated in the medio-lateral axis (Fig. 1e) (20). All folds in the medial cerebellum (vermis) are aligned in the same axis allowing 2-D measurements to estimate expansion in the anterior-posterior axis of the vermis. Therefore the
length of the surface of the EGL was used as a measure of the cerebellum surface area and the area of the core as an approximation of cerebellum volume (Fig. 1d), and measurements were made each day from embryonic day 16.5 (E16.5) through postnatal day 0 (P0). In cross-section the unfolded cerebellum approximates a semicircle, therefore we reasoned that if the cerebellum were to remain unfolded then the ratio of expansion between the length of the EGL and the area of the core should approximate the ratio of the circumference of a semi-circle to its area. Of significance, we found that at E16.5 and E17.5 the ratios of growth between the EGL and core closely approximated the expansion of a semi-circle. However, at E18.5 and P0 the expansion rate of the EGL was greater than the rate of core expansion (Fig. 1f). Thus we uncovered that the cerebellum does indeed go through a phase of differential expansion. We next determined whether differential expansion correlates with when folding occurs by calculating a folding index (the convex curvature of the EGL divided by the length of the EGL) at each stage (21). Indeed, we found that the cerebellum remains unfolded during the initial proportional expansion between the EGL and core and only folds when the differential expansion is initiated (Fig. 1g). These results provide quantitative evidence that cerebellar folding involves tissue level mechanical forces arising from differential expansion.

## In vivo data contradict elastic bilayer models

Since there is differential expansion between the EGL and the core and as this type of expansion is the driver of elastic bilayer models we tested whether the properties of cerebellar tissue are consistent with the requirements and predictions of such models. Briefly, the initial resulting wrinkling instability defines the distance between folds as the initial sinusoidal undulations increase in amplitude to ultimately turn into lobules. The folding wavelength depends on the
thickness of the external layer (EGL) and the ratio of the stiffness of the two layers (EGL/core). In particular, for a planar geometry, with the stiffness of the external layer defined as $E_{o}$, the stiffness of the core as $E_{i}$, and the thickness of the external layer denoted as $t$, the folding wavelength $\lambda$ is given by (22)

$$
\lambda=2 \pi t\left(\frac{1}{3} \frac{E_{o}}{E_{i}}\right)^{1 / 3} .
$$

If the length of the system is $l$, then the number of folds is inversely proportional to the thickness of the EGL

$$
n=\frac{l}{\lambda} \propto \frac{l}{t}\left(\frac{E_{i}}{E_{o}}\right)^{1 / 3}
$$

We explored a standard elastic bilayer model in a circular geometry using the observed ratio of thickness of the EGL to radius of the cerebellum near the onset of shape change (E16.5) and invoked a neo-Hookean elastic solid for both layers (23). The resulting shape change was studied as a function of the ratio of the layer stiffness values (Fig. 2a). We found that to produce the observed number of folds (three in the semi-circular cerebellum and 6 in the circular model) at initiation of folding through wrinkling based models constrained by our measurements of the embryonic cerebellum, a large stiffness ratio was required of around 50 . To map the stiffness contrast in the cerebellum we used scanning acoustic microscopy to measure the bulk modulus of the cerebellum daily from E16.5 to P18.5 (Fig. 2b-c, Supplemental Fig. 1). For small deformations, we expect the instantaneous bulk modulus to be linearly related to the stiffness and, therefore, the ratio of the instantaneous bulk moduli should scale similarly to the ratio of stiffnesses (assuming the same Poisson's ratio for the EGL and for the core, neither of which have been directly measured). While this qualitative approach may not be able produce the absolute values of the elastic properties of the tissues, it can give a reasonable indication of the
stiffness of different parts in the cerebellum. Using this estimation, we found that the EGL has a slightly higher instantaneous bulk modulus than the core at all stages measured. Unsurprisingly, the ratio ( $\sim 1: 1.05$ ) was not close to being sufficient to produce a folding wavelength similar to that in the cerebellum (Fig. 2d). Consistent with our finding, small modulus contrasts have been reported for other brain regions with multiple loading modes, such as shear, compression, and tension (4,7,24). Elastic material models with graded growth profiles have been developed that predict folding of cerebral cortex without a large stiffness differential (1). However, these models are still bound by other measurable requirements as discussed below.

Elastic bi-layer wrinkling models predict compressive forces in the outer layer. Simulations performed of cuts through the outer layer and into the inner layer predict that upon relaxation the outer layer should not open (Fig. 2e). We tested whether this prediction reflects the biology using surgical dissection blades to make radial cuts across the meninges, EGL, and into the core of live E16.5 tissue slices. Time-lapse imaging revealed that, in contrast to the prediction, the EGL opens as well as part of the underlying cut in the core (Fig. 2f-h, Supplemental Fig. 2a-c, and Supplemental Movie 1). This result indicates there is circumferential tension within the outer layers of the cerebellum. This finding also rules out the elastic models with graded growth profiles as they predict compressive forces in outer region as well.

The elastic bi-layer model requires the EGL to be thinnest at the base of each AC, which are the lowest parts of the cerebellar surface. Thus, the EGL should have an "in-phase" thickness variation. Without this feature, a purely elastic model - bi-layer based or even graded growth profile based - cannot be in mechanical equilibrium (in the quasistatic limit). However, we previously reported that the embryonic EGL is thickest in the ACs when folding initiates, i.e., it
has an "out-of-phase" thickness variation (14). To validate this observation, we quantified the thickness variations in the EGL centered at the ACs present at E16.5-18.5. Not all cerebella have visible AC at E16.5. However in the subset that do and in the three ACs present at E17.5, the EGL was found to be 1.2-1.4 times thicker in the ACs than in the surrounding EGL (Fig. 2i-1 and Supplemental Fig. 3). Moreover, the thickness ratio increased to 1.7 times at E18.5 (Fig 21). As described above, the final thickness variations of the IGL (as well as the molecular layer) of the cerebellar cortex are in-phase, just as the layers of the adult cerebral cortex. These results further show that traditional elastic wrinkling models cannot capture the initiation of cerebellum folding, and highlight the importance of making biological measurements at the time of folding rather than when it is complete.

## Uniform outer layer expansion without a cellular pre-pattern

As elastic bi-layer models do not align with the biology of cerebellar folding, we looked for other drivers of morphometric changes. Since the EGL drives the majority of cerebellar growth (11-13), we first tested whether regional differences in EGL proliferation rates are present that could influence the folding pattern of the cerebellum. Proliferation rates (S phase index) were measured in the EGL during folding initiation (E16.5 and E17.5) in the inbred FVB/N strain to reduce variation between samples. First we asked if the regions that will give rise to distinct sets of lobules have different rates of proliferation that could contribute to the larger and smaller sizes that the lobules ultimately attain. We focused on the anterior cerebellum that divides into a larger region with lobules 1-3 (L123) and smaller region (L45), as well as the central area that comprises lobules 6-8 (L678) of the cerebellum (Figure 3a-b). The more posterior cerebellum does not consistently fold at this stage, thus measurements were not included. Interestingly, we
found that the proliferation rates were similar in the three regions at E16.5 (Fig. 3c). The EGL proliferation rate at E17.5 in L678 was slightly reduced compared to the L123 region, but no other differences were found (Fig. 3d). Thus proliferation is uniform just before initiation of folding and the small difference found during folding does not correlate with lobule size. This result indicates that lobule size is not determined by modulating the levels of proliferation at the onset of folding. Rather, lobule size could be set by both the timing of invagination, and the distance between ACs as granule cell precursors in one lobule do not cross the surrounding ACs to contribute to an adjacent lobule (12).

Each AC is first detected as a regional inward thickening of the EGL (14) (Fig 2i-l and Supplemental Fig.3). We measured the proliferation of the EGL specifically within the forming AC regions to test whether altered proliferation rates could explain the thickenings and therefore the initiation of an AC . We found the rate of proliferation within each forming AC region at E16.5 and E17.5 was the same as in the surrounding EGL (Fig. 3e,f), thus proliferation within all regions of the EGL at the initiation of folding is uniform. Furthermore, regional modulation of proliferation does not form or position the ACs.

At E18.5, after the initiation of folding, we found that the rate of proliferation was significantly lower in the L678 region compared with the L123 and L45 regions (Supplemental Fig. 4a). However, proliferation within the ACs at E18.5 remained uniform with the surrounding regions (Supplemental Fig .4b). Since ACs compartmentalize the EGL, our results show that regional differences in proliferation rates arise in lobule regions after initiation of folding, which thus could be important for determining the ultimate size of the folds.

Changes in cell size and shape have been shown to induce morphological changes (25-28). To test if regionally specific regulation of cell shape or size directs folding, we fluorescently labeled cell membranes of scattered granule cell precursors (GCPs) in the EGL using genetics (AtohlCreER/+; R26 $6^{M T M G /+}$ mice injected with tamoxifen two days prior to analysis). We then segmented the cells in 3D and quantified their sphericity (Fig. 3g). We discovered that GCPs in the EGL take on a large variation of shapes and sizes at E16.5 and E18.5. However, we found no difference in cell shape in the different lobule regions of the EGL or between the AC areas and the surrounding EGL at each age (Fig. 3h,i). Cell size was uniform at both stages except for a slight reduction in L678 at E16.5 when compared with L123 and the AC regions. However, the size of cells is reduced at E18.5 compared to E16.5 (Fig 3 j,k and Supplemental Fig 4c). Thus, the proliferating GCPs that drive expansion of the EGL have both uniform proliferation rates and similar shapes and sizes across the lobule regions defined by the first three ACs at folding initiation.

## Uniform fiber distribution and radial tension at folding initiation

The EGL is traversed by fibers of Bergmann glial and radial glial cells (29-31). We tested whether the fibers are distributed in patterns that could locally change the physical properties of the EGL and induce invaginations. Genetics was used to fluorescently label cell membranes of scattered glial cells (nestin-creER/+;R26 ${ }^{M T M G /+}$ mice injected with tamoxifen at E14.5) (Fig 4a). Fibers crossing the EGL at E16.5 were counted in sagittal slices and aligned relative to the ACs (Fig. 4b). This analysis showed that the Bergmann glial and radial glial fibers are distributed
evenly along the AP axis of the EGL, and therefore are not directing the positions where folding initiates based on an uneven regional distribution.

Tension based folding models suggest constraints from axons and other fibers could direct folding $(4,32)$. Since the cerebellum is under circumferential tension, as demonstrated above, we examined evidence of radial tension between the EGL and the ventricular zone (VZ) at the initiation of folding. Cuts were made in live E16.5 tissue slices between the EGL and VZ running approximately parallel to them so that they cut across radial fibers in the anterior cerebellum (Fig 4c). As predicted, after cutting the tissue relaxed revealing tension directed radially within the cerebellum (Fig. 4d,e and Supplemental Fig 2 and Supplemental Movie 2). Interestingly, quantification of how the radial and horizontal cuts open revealed that only the horizontal cuts opened along the full length of the cut although they opened more slowly than radial cuts (Supplemental Fig 2g-j), indicating different stress profiles in the two orientations.

Taken together, at the time of folding initiation the EGL, which is driving the differential expansion, is itself growing uniformly and the cerebellum is under both radial and circumferential constraints. Finally, there is no evidence of any pre-patterning in the EGL in either cellular behaviors or fiber distribution.

## The EGL is fluid-like as cells undergo dynamic rearrangement

As the granule cells within the EGL have such varied shapes as shown above, we looked to see if the cells within the EGL were undergoing any rearrangement movements that may indicate fluid properties. A small, scattered fraction of nuclei in the EGL were fluorescently labeled (Atoh1-

CreER/+; R26 ${ }^{\text {Ai75/+ }}$ injected with tamoxifen two days prior to imaging) and ex vivo slice-culture time-lapse imaging was performed for up to five hours. Tracking the cell positions through time revealed that granule cells within the EGL are highly motile within the EGL. Furthermore, there was no obvious directionality or collectivity to the movement. However, the dynamic motility resulted in the constant exchanging of nearest neighbors over the course of tens to hundreds of minutes and shows that at the timescale of folding the EGL is more fluid-like than a solid epithelial layer (Figure 5 and Supplemental Movies 3 and 4).

## Multi-phase wrinkling model simulates cerebellar shape change during folding initiation

We recently developed a model for folding from differentially expanding bi-layer tissues that takes into account the out-of-phase thickness of the outer layer of several systems and possible contribution of radial mechanical constraints present in neurological tissue (10). We applied the model here to the initiation of cerebellar folding based on five primary assumptions. First, the core is an incompressible material $(\mu)$ as indicated by the bulk modulus measurements. Second the outer layer, i.e. the EGL, expands uniformly $\left(k_{t}\right)$ as shown by the proliferation rate. Third, the EGL is assumed to be a fluid-like material as demonstrated by the live-imaging of neighbor exchanges. Fourth, there is an elastic component radially to the entire cerebellum $\left(\mathrm{k}_{\mathrm{r}}\right)$, seen in the cutting and relaxation experiment and possibly mediated by radial glia. Fifth, the EGL is constrained towards a uniform thickness ( $\beta$ ), possibly by Bergmann glia fibers spanning the EGL. Given the interplay between incompressible material, compressible fibrous material, and a proliferating non-elastic EGL, this model is multi-phase.

An energy functional parameterized by both the inner and outer boundary of the EGL and incorporating the above five assumptions into three dimensionless parameters $\left(\mu / k_{r}, k_{r} / k_{t}, k_{t} / \beta\right)$ is minimized to yield an equation for a driven harmonic oscillator resulting in sinusoidal shapes for both the inner and outer boundary of the EGL given an initial elliptical shape. In contrast with the elastic bilayer wrinkling model, EGL thickness oscillations are found to be out-of-phase with the surface height (radius) oscillations when $0<\mu / \mathrm{k}_{\mathrm{r}}<1$. Additionally, the model predicts that the ratio of the measured surface height amplitude $\left(A_{r}\right)$ and the EGL thickness amplitude $\left(A_{t}\right)$ is given by

$$
\frac{A_{r}}{A_{t}}=\frac{\frac{\mu}{k_{r}}}{1-\frac{\mu}{k_{r}}}
$$

which need not be >> 1 as is typical of elastic bilayer wrinkling, and the number of initial folds at E16.5 is determined by

$$
n=\sqrt{\frac{k_{t}}{\beta}} \sqrt{1+\frac{\frac{\mu}{k_{t}}}{1-\frac{\mu}{k_{r}}}} .
$$

Note that in contrast with elastic wrinkling, the number of initial folds does not depend on the thickness (a length scale) of the EGL, but only on material properties.

Given that our tissue cutting and relaxation experiment revealed circumferential tension in the cerebellum at folding initiation (Fig. 2f-h, Supplemental Movie 1), we returned to the mathematics and found a previously unrealized geometric relationship in the circular limit of the model that in fact assumes circumferential tension in addition to the previously discussed radial tension given that the perimeter of a circle is determined by its radius.

To rigorously test the shape prediction of the model, we first constrained 3 of the 5 parameters for a circular version of the model by using both the thickness amplitude, and average thickness of the EGL, as measured at E16.5, and the number of initial folds. Secondly, the parameter $\mu / \mathrm{k}_{\mathrm{r}}$ (denoted as $\varepsilon$ ) was assumed to scale linearly with time. Together, this allowed for the generation of shape predictions at later developmental stages (E17.5 and E18.5) from the E16.5 starting approximation. Solving the numerical model as constrained by our measured embryonic data we found that it closely approximates the phase and amplitude behavior of EGL thickness and radius oscillations from E16.5 through E18.5. (Fig. 6a-c). However, the model is not able to produce self-contacting folds or hierarchical folding, both of which are seen in the cerebellum at later stages.

## Hierarchical folding involves differential growth

The cerebellum has hierarchical folding in which the initial folds become subdivided. Given that ACs hold their position during development and compartmentalize granule cells within lobules of the EGL (12) we reasoned that the ACs could be acting as mechanical boundaries enabling similar mechanics to drive the secondary folding. To test this possibility we measured the expansion of the EGL and the core of the individual lobule regions from E18.5 to P3. We found that indeed in the lobule regions that undergo folding there is a temporal correlation between when the onset of sub-folding and differential expansion occur (Supplemental Fig. 5a-d). In contrast, the region (L45) that does not fold during the same time period has a different, more rectangular shape, and the ratio of EGL growth to core growth is proportional for a rectangle during the time measured (Supplemental Fig. 5).

## Discussion

Here we have provided experimental evidence that cerebellar folding emerges without obvious pre-patterning. Additionally, the outer layer has fluid-like properties and expands uniformly, and the growth creates a differential expansion between the outer layer and the core. Thus, traditional morphometric cellular behaviors such as changes in cell shape, size and proliferation do not direct where cerebellar folding initiates. Furthermore, our developmental interrogation revealed tissue moduli, mechanical constraints, and emergent thickness variations in the EGL that are fundamentally inconsistent with traditional elastic bilayer wrinkling models. Therefore our results call for a new understanding of brain folding.

By applying a multi-phase model constrained by our measured data we were able to capture the correct shape variations and number of folds at the onset of folding. Our new framework accounts for: the rapidly expanding fluid EGL, whose thickness is proposed to be regulated by Bergmann glial fibers, the slower growing incompressible core, and fibrous material in the form of glial fibers and possibly axons as well as the meninges that potentially provide radial and circumferential tension (Supplemental Fig. 6). This multi-phase model of folding makes many new predictions. One such prediction is that adjusting the amount of tension spanning the cerebellum will change the degree of folding. Indeed, alterations of the cells that likely create tension-based forces could explain the dramatically disrupted folding seen in mouse mutants in which radial glia do not produce Bergmann glia (33). Without Bergmann glia, the EGL would be expected to not form a layer with regular thickness and it should be more sensitive to variations in radial glial tension. Consistent with this prediction, mutants without Bergmann glia have more
localized and less regular folds (34). Our combination of experimental studies and modeling thus provide new insights into cerebellar folding, including an underappreciated role for tension.

Under the new framework revealed by our measurements made in the developing mouse cerebellum, to approximate the observed shape changes in the murine cerebellum from E17.5 to E18.5 the ratio of the core stiffness over the radial tension must increase. Yet, the measured bulk modulus of the core shows no increase during development. Therefore a second prediction is that radial tension must decrease during development. While the cerebellum is crossed by many fibers at folding initiation, radial glial fibers are an attractive candidate to mediate this change in radial tension $(34,35)$. First, they span from the VZ to the surface of the cerebellum at E16.5. Additionally, during folding initiation the radial glia undergo a transition into Bergmann glia where they release their basal connection to the VZ and the cell body migrates towards the surface (21). This transition could lead to a reduction in the global radial tension and thus would be consistent with our model prediction.

The mechanics underlying hierarchical folding remain an open challenge. However, our developmental data may provide a way forward. As ACs maintain their spatial positions, and as they compartmentalize granule cells within the EGL into the lobule regions (12), we propose that they create fixed mechanical boundaries that divide the cerebellum into self-similar domains. These domains, with their similar physical properties to the initial unfolded cerebellum, can then undergo additional folding. Furthermore since ACs compartmentalize granule cells within the lobule regions, once separated the lobule regions can develop distinct characteristics, like the observed differential proliferation rates at E18.5. We speculate, therefore, that the folding
patterns seen across cerebella in different species evolved by adjustment of global as well as regional levels of differential expansion and tension which ultimately mold the functionality of the cerebellum.

Finally it is interesting to note the similarities and differences between the developing cerebellum and the cerebral cortex. Radial glia span the entire cerebral cortex just as in the cerebellum (36). Furthermore, species with folded cerebrums have evolved outer radial glial cells for which the cell body leaves the ventricular zone and to become positioned near the surface while retaining fibers anchored on the surface, similar to Bergmann glia in the cerebellum (29, 37). While we have emphasized the notion of tension via glial fibers in the developing cerebellum, axonal tension has been discussed in the context of shaping the developing cerebrum (32). Tissue cutting in the cerebral cortex of ferrets has revealed a similar tension pattern during folding as we found in the cerebellum (4). We therefore submit that our work calls for a revival of the notion of how tension affects the shape of the developing cerebrum.

Unlike the cerebellum, the cerebral cortex is not divided into a simple bilayer system. However, outer radial glial cells proliferate, much like the GCPs of the EGL, to drive the expansion of the outer regions of the cerebral cortex around the time of initiation of folding (38-40). Moving the zone of proliferation out from the VZ gives more space for the increased proliferation required in folding systems. The cerebellum, housing $80 \%$ of the neurons in the human may be an extreme example requiring the region of proliferation to be completely on the outer surface (41).

Constraining models of folding of different brain regions with developmental data will bring about a more accurate quantitative understanding of the shaping of the developing brain.

## Materials and Methods

All experiments were performed following protocols approved by Memorial Sloan Kettering Cancer Center's Institutional Animal Care and Use Committee. All materials and methods used to support this study are described in SI Materials and Methods.

Acknowledgements We are grateful to Anna-Katerina Hadjantonakis and Songhai Shi for use of Imaris software. We thank Jennifer Zallen and Anna-Katerina Hadjantonakis for experimental advice, Nathanael Kim for help with the acoustic microscopy and Professor Tadashi Yamagushi for his support for MO's visit to New York. We appreciate the discussions we have had with Alexandre Wojcinski and the entire Joyner Laboratory, and the administrative support from Cara Monaco. This work was supported by grants from the NIH to A.L.J. (R37MH085726 and R01NS092096) and A.K.L. (F32 NS086163) and a National Cancer Institute Cancer Center Support Grant (P30 CA008748-48). T.A.E. and J.M.S. acknowledge financial support from NSF-DMR-CMMT Award Number 1507938 and NSF-PHY-PoLS Award Number 1607416. FE simulations were performed at the Comet cluster (Award no. TG-MSS170004) in XSEDE. The development of the acoustic microscopy instrument and signal-processing methods was supported by NIH grant R21EB016117 awarded to J.M.

## Author Contributions

A.K.L. and A.L.J. conceived the project; T.E., T.Z. and J.M.S. designed and performed the modeling, A.K.L and A.L.J. designed the experimental research; A.K.L performed the experiments; D.R., M.O., J.M. and D.T. performed the acoustic microcopy experiments and
analyzed the data; all authors discussed the data; A.K.L. and A.L.J. wrote the manuscript with contributions from all authors.

Competing Interests The authors declare no competing interests.
Correspondence and requests for materials should be addressed to A.L.J.

## Materials and Methods

## Animals.

The inbred $\mathrm{FVB} / \mathrm{N}$ stain was used for all proliferation rate, area, length, and expansion rate measurements. Atoh1-CreER (42), Nestin-CreER (43), Rosa26 ${ }^{M T M G}$ (44), Rosa26 ${ }^{\text {Ai75 (45) were }}$ used to quantify cell shape and size as well as fiber distribution and were maintained on the outbred Swiss Webster background. The Swiss Webster strain was used for scanning acoustic microscopy. Both sexes were used for the analysis. Animals were kept on a 12 hour light/dark cycle and food and water were supplied ad libitum. All experiments were performed following protocols approved by Memorial Sloan Kettering Cancer Center's Institutional Animal Care and Use Committee.

The appearance of a vaginal plug set noon of the day as Embryonic day 0.5 (E0.5). All animals were collected within two hours of noon on the day of collection. Tamoxifen (Tm, SigmaAldrich) was dissolved in corn oil (Sigma-Aldrich) at $20 \mathrm{mg} / \mathrm{mL}$. Pregnant females carrying litters with Atoh1-CreER/+;R26 ${ }^{\text {MTMG/MTMG }}$ or NestinCER/+;R26 ${ }^{M T M G / M T M G}$ embryos were given one $20 \mu \mathrm{~g} / \mathrm{g}$ dose of TM via subcutaneous injection two days prior to analysis. $25 \mu \mathrm{~g} / \mathrm{g}$ of 5-
ethynyl-2-deoxyruidine (EDU; Invitrogen) was administered via subcutaneous injection one hour prior to collection.

## Tissue processing, immunohistochemistry, and Imaging

For embryonic stages heads were fixed in $4 \%$ paraformaldehyde overnight at $4^{\circ} \mathrm{C}$. For postnatal animals, the brain was dissected out first before fixation. Tissues were stored in $30 \%$ sucrose. For all proliferation, area, length, and thickness measurements brains were embedded in optimal cutting temperature (OCT) compound. Parasagittal sections were collect with a Leica cryostat (CM3050s) at $10 \mu \mathrm{~m}$.

Prior to IHC, EdU was detected using a commercial kit (Invitrogen, C10340). Following EdU reaction the following primary antibodies were used either overnight at $4^{\circ} \mathrm{C}$ or 4 hours at room temperature: mouse anti-P27 (BD Pharmingen, 610241), rabbit anti-GFP (Life Technologies, A11122), rat anti-GFP (Nacalai Tesque, 04404-84). All antibodies were diluted to 1:500 in 2\% milk (American Bioanalytical) and $0.2 \%$ Triton X-100 (Fisher Scientific). Alexa Fluor secondary antibodies (1:500; Invitrogen) were used: Alexa Fluor 488 donkey anti-rabbit, A21206, Alexa Fluor 488 donkey anti-rat, A21208, Alexa Fluor 488 donkey anti-mouse, A21202, Alexa Fluor 647 donkey anti-mouse, A31571. EdU was detected using a commercial kit (Invitrogen, C10340).

For cell size, shape and fiber density analysis $60 \mu \mathrm{~m}$ parasagittal sections were collected on a Leica vibratome (VT100S). Primary and secondary antibodies were diluted $1: 500$ in $2 \%$ milk and incubated overnight at $4^{\circ} \mathrm{C}$.

For scanning acoustic microscopy brains were processed for paraffin embedding and parasagittal sections of $10 \mu \mathrm{~m}$ thick were collected on a microtome (Leica RM2255). Structured illumination and confocal Imaging was done with Zeiss Observer Z.1 with Apatome or Zeiss LSM 880 respectively.

## Quantification of Proliferation, Length, Area, Folding Index and Thickness

Measurements for all analysis were taken from the three most midline sagittal sections and averaged. The most midline section was determined by dividing the distance in half between the lateral edges where the third ventrical and the mesencephalic vesicle are no longer connected. Quantifications were made using Imaris (Bitplane) and Matlab (Mathworks) software.

EGL Proliferation rate was calculated as EDU+/(Dapi+;P27-) cells. All cells were counted within the lobule region to the midpoint of the Anchoring Centers. For proliferation measurements through the ACs and the surrounding EGL at E16.5 and E17.5 a $50 \mu \mathrm{~m}$ window measured from the outer surface of the EGL was centered at the AC. The measuring window was centered at every $25 \mu \mathrm{~m}$ anterior and posterior to the EGL for a total distance of $250 \mu \mathrm{~m}$ anterior and posterior to the AC. At E18.5, when the AC is fully formed, everything proximal to the centroid of the cerebellum under the midpoint of the AC was counted as the AC. Nonoverlapping regions of $50 \mu \mathrm{~m}$ also were measured in either direction for a total of $200 \mu \mathrm{M}$ anterior and posterior to the AC. Proliferation was measured in 3 cerebella at E16.5 and E17.5 and in 4 cerebella at E18.5

EGL length was measured from the outer surface of the EGL following the curvature of the EGL. Cerebellar area was calculated as the area within the outer surface of the EGL and the ventricular zone. A short strait edge was made perpendicular to the ventricular zone to close the area back upon to the anterior end of the EGL. The convex curvature of the cerebellum was measured by following only the positive curvature of the EGL. The folding index was determined as FI $=1-($ Positive curvature/EGL length $)$. Data collected for E16.5, E17.5, E18.5 and P 0 came from $6,8,7$, and 9 cerebella respectively.

EGL thickness was measured by defining the outer and inner curvature of the EGL. The shortest distance lines were drawn to the outer curvature from discrete points distributed at every $12.5 \mu \mathrm{~m}$ along the inner curvature of the EGL. Nine ACs and surrounding regions from five cerebella were quantified at E16.5 and 13 ACs from five cerebellar were analyzed at E17.5. At E18.5 six ACs from two cerebellar were quantified.

## Quantification of Cell Shape

Midline sections were imaged with a Zeiss LSM 880. Serial images were taken to cover the entire EGL of lobule regions L123, L45, and L678 and the ACs. Manual cell masks were created with Imaris software defining the curvature at every z-slice. Every cell that was completely included in the imaging window and that was distinguishable from surrounding cells was counted to reduce sampling bias. Cells from three brains were measured at each stage for a total of 131 at E16.5 and 201 at E18.5. Shape was defined via sphericity, which is the surface area of a sphere having the same volume as the cell of interest divided by the surface area of the cell of interest.

## Quantification of Fibers within the EGL

Midline sections were imaged with a Zeiss LSM 880. Image tiling was used to cover the EGL. Using Python, $\mathrm{a} 4^{\text {th }}$ or $5^{\text {th }}$ order polynomial was fitted to the outer edge of the EGL in each image, and five scan lines were positioned at $12.2 \mu \mathrm{~m}$ intervals beneath the surface, and parallel to it. A bin width of $50 \mu \mathrm{~m}$ as measured along the polynomial contour was centered at the AC . Bins of equal distance were extended both anteriorly and posteriorly. Staining intensity was counted along each scan line at every z-slice of the confocal stack. Each image was normalized to the mean intensity and smoothed with a Gaussian filter. Peak counting was done using minimum and maximum filters, keeping neighborhood size and threshold parameters constant for all datasets. The results from the 5 scan lines were averaged.

## Tissue cutting

Live cerebella of E16.5 FVB/N mice were collected in dissection buffer as previously described (46) and embedded in low-melting point agarose (Invitrogen). Sagittal slices at a thickness of $250 \mu \mathrm{~m}$ were collected. Slices were removed from the agarose and place in petri-dishes coated with Poly(2-hydroxyethyl methacrylate)(Sigma-Aldrich). Tissue cuts were made with a $30^{\circ}$ Premier Edge stab knife (Oasis Medical). Slices were allowed to relax for 10 minutes. Timelapse images were acquired on a Leica MZ75 dissection scope.

## Live Imaging Analysis

Live cerebella of E16.5 Atoh1-CreER/+; R26Ai75/+ mice were collected and slices of a thickness of $250 \mu \mathrm{~m}$ were cultured on Millicell cell culture inserts (Millipore) in glass bottom plates (Matek) as previously described (46). Image stacks were acquired on a Zeiss LSM 880 at
intervals of around 3.5 minutes for up to 5 hours. Cell positions were tracked using Imaris (Bitplane) software.

## Scanning Acoustic Microscopy

Mechanical tissue properties were analyzed using a 250 MHz Scanning Acoustic Microscope (SAM), described previously $(47,48)$. Briefly, $12 \mu \mathrm{~m}$ paraffin sections of mouse embryonic brains were de-parafinized, hydrated in de-ionized water and scanned on the SAM to generate maps of amplitude, sample thickness, speed of sound, acoustic impedance, attenuation, bulk modulus, and mass density. Co-registered histology and SAM amplitude images were used to identify regions-of-interest (ROIs) corresponding to the EGL layer and underlying core of the cerebellum in each sample. Bulk modulus was analyzed as a measure of tissue stiffness: ROI measurements were acquired from 3 sections from 3 embryos at each developmental stage.

## Finite element simulations

The wrinkle of a circular bilayer structure in Fig. 3a was simulated with commercial software ABAQUS. Both film and substrate were modeled as incompressible neo-Hookean materials. The ratio between shear moduli of the film and substrate was 50 and the initial radius of the simulated structure was 16 times that of the film thickness. The differential growth of the EGL and core was modeled by an isotropic expansion of the film in the bilayer structure.

To test the elastic wrinkling model, we conducted finite element (FE) simulations for bilayer structures with a film bonded on a substrate, which represents the EGL layer and core structure, respectively. The structures were assumed to be under 2D plane strain deformation to mimic the
quasi-2D nature of cerebellum wrinkles. Neo-Hookean model was adopted to describe the elastic properties of both film and substrate, whose strain energy can be expressed as

$$
U=\frac{1}{2} \mu\left(I_{1}-3\right)
$$

where $\mu$ is the shear modulus and $I_{1}$ represents the first invariant of the right Cauchy-Green strain tensor. The Poisson's ratios for the film and substrate were set to be 0.5 , based on experimental observations that the bulk modulus of EGL and core are in the order of GPa, much larger than the shear modulus of soft tissues $(\sim \mathrm{kPa})$.

We carried out FE simulations through commercial software ABAQUS. A second order 6 node hybrid element (CPE6MH) was utilized to discretize the film and substrate. Very fine FE meshes were used to make sure the results independent of mesh size. To incorporate differential growth in real EGL layer and core, an isotropic growth deformation tension was applied to the modeled film by decoupling the deformation tenor $\boldsymbol{F}$ into elastic deformation part $\boldsymbol{A}$ and growth part $\boldsymbol{G}$.

$$
\boldsymbol{F}=\boldsymbol{A} \cdot \boldsymbol{G}
$$

For simplicity, we assume the growth part is isotropic and controlled by a scalar variable $g$

$$
\boldsymbol{G}=g\left[\begin{array}{lll}
1 & 0 & 0 \\
0 & 1 & 0 \\
0 & 0 & 1
\end{array}\right]
$$

where $g>1$ represents a faster growth in EGL than the core. To trigger instabilities in numerical simulations, random perturbations (e.g., White Gaussian noise with $0.001 t$ mean magnitude) were applied to the nodal positions at the top surface of the film and the interface between the film and substrate.

To qualitatively understand the cut experiments we ran a FE simulation of a pre-cut circular bilayer structure and then assigned swelling strain to the film. This neglected the dynamical
process in the real cut experiments and only focused on the final equilibrium of the cerebellum after long time relaxation. All the simulation parameters were the same as those in the wrinkling simulation. The initial cut length $a$ is equal to $8 t$. The minimum in-plane principal stress corresponds to the hoop stress in the film.

## Details of multi-phase model as applied to initiation of cerebellar folds

We, formulated a two-dimensional model based on the parameters of a midsagittal section of the cerebellum. The distance of the outer edge of the EGL and, hence, the outer edge of the cerebellum from the center of the cerebellum was defined as $r(\theta)$ with $\theta$ as the angular coordinate. We assumed that $\mathrm{r}(\theta)$ was single-valued. The thickness of the EGL was defined as $t(\theta)$. See model schematic below.

Taking into account the four assumptions discussed in the main text, we constructed the following energy functional to be minimized

$$
E\left[r, t, \frac{d t}{d \theta}\right]=\int d \theta\left\{k_{r}\left(r-r_{0}\right)^{2}-k_{t}\left(t-t_{0}\right)^{2}+\beta\left(\frac{d t}{d \theta}\right)^{2}\right\},
$$

with $\mathrm{k}_{\mathrm{r}}$ as the stiffness modulus (a spring constant in one-dimension) of the radial glial fibers and the pial surface contained in the meninges surrounding the cerebellum since the cerebellar radius is proportional to its perimeter, $\mathrm{r}_{0}$ as the preferred radius of the cerebellum, $\mathrm{k}_{\mathrm{t}}$ denoting a growth potential due to cell proliferation, $\mathrm{t}_{0}$ as thickness of the EGL (cortex), and, $\beta$ quantified the mechanical resistance to changing the thickness of the EGL. Given our first assumption of an incompressible cerebellar core, we imposed the constraint
$\frac{1}{2} \int d \theta(r-t)^{2}=A_{0}$,
with $\mathrm{A}_{0}$ as a preferred cerebellar area. We applied the variational principle to minimize the energy functional subject to the core constraint, i.e.
$\delta\left(E-\mu \int d \theta(r-t)^{2}\right)=0$,
where $\mu$ is a Lagrange multiplier. Assuming the preferred radius of the cerebellum is constant and the thickness of the EGL/cortex is also constant, then the preferred cerebellar shape was a circle and the EGL an annulus.

The variational analysis yielded the following equation of shape for $t(\theta)$;

$$
\frac{d^{2} t}{d \theta^{2}}+q^{2} t(\theta)=\frac{k_{t}}{\beta}\left(t_{0}+\frac{\frac{\mu r_{0}}{k_{t}}}{1-\frac{\mu}{k_{r}}}\right)
$$

with $q^{2}=\frac{k_{t}}{\beta}\left(1+\frac{\frac{\mu}{k_{t}}}{1-\frac{\mu}{k_{r}}}\right)$. The solution to the equation of shape was

$$
t(\theta)=A_{t} \sin (q \theta+\phi)+C_{1}\left(r_{0}, t_{0}, k_{r}, k_{t}, \mu\right),
$$

with $\mathrm{C}_{1}$ independent of $\theta$ and $A_{t}=\sqrt{2}\left(1-\frac{\mu}{k_{r}}\right) \sqrt{\frac{A_{0}}{\pi}-C_{2}\left(r_{0}, t_{0}, k_{r}, k_{t}, \mu\right)}$ such that $A_{0}>\pi C_{2}$. There was an additional equation of shape for $\mathrm{r}(\theta)$ from the variational principle that depended on $t(\theta)$ and so was determined
$r(\theta)=-\frac{\frac{\mu}{k_{r}}}{1-\frac{\mu}{k_{r}}} A_{t} \sin (q \theta+\phi)+C_{3}\left(r_{0}, k_{r}, \mu\right)$.
We used the measured data at E16.5 to set the parameters to make predictions for the shape of both the EGL and core (and so the relationship between the two) at later times. Plots assumed a circular preferred shape, and with other parameters as follows: $\epsilon=\mu / k_{r}$ is shown in Fig. 5f, $c=$ $k r / k t=0.06 / \epsilon, A_{t} / r_{0}=\epsilon / 9.6, t_{0} / r_{0}=\epsilon / 4.8$, and $q=6$. Note that for $\epsilon=0.3$, these parameters are numerically consistent with our E16.5 measurements: $A_{t} / t_{0}=$ 0.5 and $r_{0} / t_{0}=16$, as well as the observed number of invaginations in the half circle: $q / 2=3$. All of these parameters are either constant or depend on the time-like parameter $\epsilon$. One of these dependencies has a functional form that is physically justifiable $\left(A_{t} \sim \epsilon\right)$, another has a form that is biologically justifiable $(c \sim 1 / \epsilon)$, owing to the decrease in the number of radial glia over time.

We defined a dimensionless "shape factor" as half of the perimeter divided by the square root of half of the area as appropriate for a semi-circle. To compare the model's predictive deviation of this quantity form the semi-circular value we assumed a linear relationship between $\epsilon$ and time $t$ measured in embryonic days: $\epsilon(t)=0.3(t-15.5)$.

## Statistical analyses

Statistical analyses were performed using Matlab software. Significance was determined at $\mathrm{P}<$ 0.05. Two-way ANOVA was used for proliferation analysis as two variables were tracked,
mouse and region. Cell shape, volume, fiber distribution, EGL thickness and bulk modulus were run under a standard ANOVA. After ANOVA analysis a multiple comparison was run with Tukey's honestly significant difference criterion. F-test for variance and two-tailed student's paired t-test were used for slice cutting and relaxation quantifications. The degrees of freedom, where appropriate, and $P$ values are given in the figure legends. All error bars are standard deviations. No statistical methods were used to predetermine the sample sizes. We used sample sizes aligned with the standard in the field. No randomization was used nor was data collection or analysis performed blind.

## Data Availability

Data that support the findings of this study are available from the corresponding authors upon reasonable request.

## Supplemental Movie Text

Movie S1: Live slice cutting and relaxation reveals circumferential tension along the EGL.
Time-lapse movie shows relaxation of live tissue slice after cutting radially through the EGL and into the underlying core. Images were acquired every 10 seconds for 10 minutes. The time-lapse was started moments after the tissue was collected in frame after the cut. The slice shown in the video is the same as in Fig. 2 f -h.

Movie S2: Live slice cutting and relaxation reveals radial tension between the EGL and The VZ. Time-lapse movie shows relaxation of live tissue slice after cutting horizontally into the core between the EGL and the VZ. Images were acquired every 10 seconds for 10 minutes. The
time-lapse was started moments after the tissue was collected in frame after the cut. The Slice shown in the video is the same as in Fig. 4c-e.

Movie S3: Live slice imaging reveals fluid-like behavior in the EGL. Time-lapse movie shows dynamic cell rearrangement of progenitors within the EGL. Image stacks were acquired every $\sim 3.5$ minutes for 5 hours. Cells undergo mixing and neighbor exchange in the tens to hundreds of minutes.

Movie S4: Live slice imaging reveals fluid-like behavior in the EGL. Time-lapse shown in Movie S3, with a subset of cells tracked through time and their positions marked with colored spheres.

## References:

1. Tallinen T, Chung JY, Biggins JS, Mahadevan L. Gyrification from constrained cortical expansion. Proc Natl Acad Sci U S A. 2014;111(35):12667-72.
2. Ronan L, Voets N, Rua C, Alexander-Bloch A, Hough M, Mackay C, et al. Differential tangential expansion as a mechanism for cortical gyrification. Cereb Cortex. 2014;24(8):2219-28.
3. Bayly PV, Okamoto RJ, Xu G, Shi Y, Taber LA. A cortical folding model incorporating stress-dependent growth explains gyral wavelengths and stress patterns in the developing brain. Phys Biol. 2013;10(1):016005.
4. Xu G, Knutsen AK, Dikranian K, Kroenke CD, Bayly PV, Taber LA. Axons pull on the brain, but tension does not drive cortical folding. J Biomech Eng. 2010;132(7):071013.
5. Hohlfeld E, Mahadevan L. Unfolding the sulcus. Phys Rev Lett. 2011;106(10):105702.
6. Bayly PV, Taber LA, Kroenke CD. Mechanical forces in cerebral cortical folding: a review of measurements and models. J Mech Behav Biomed Mater. 2014;29:568-81.
7. Lejeune E, Javili A, Weickenmeier J, Kuhl E, Linder C. Tri-layer wrinkling as a mechanism for anchoring center initiation in the developing cerebellum. Soft Matter. 2016;12(25):5613-20.
8. Karzbrun E, Kshirsagar A, Cohen SR, Hanna JH, Reiner O. Human Brain Organoids on a Chip Reveal the Physics of Folding. Nature physics. 2018;14(5):515-22.
9. Tallinen T, Chung JY, Rousseau F, Girard N, Lefèvre J, Mahadevan L. On the growth and form of cortical convolutions. Nature Physics. 2016;12:588-93.
10. Engstrom TA, Zhang T, Lawton AK, Joyner AL, Schwarz JM. Buckling without Bending: A New Paradigm in Morphogenesis. Phys Rev X. 2018;8(4).
11. Leto K, Arancillo M, Becker EB, Buffo A, Chiang C, Ding B, et al. Consensus Paper: Cerebellar Development. Cerebellum. 2016;15(6):789-828.
12. Legue E, Riedel E, Joyner AL. Clonal analysis reveals granule cell behaviors and compartmentalization that determine the folded morphology of the cerebellum. Development. 2015;142(9):1661-71.
13. Legue E, Gottshall JL, Jaumouille E, Rosello-Diez A, Shi W, Barraza LH, et al. Differential timing of granule cell production during cerebellum development underlies generation of the foliation pattern. Neural Dev. 2016;11(1):17.
14. Sudarov A, Joyner AL. Cerebellum morphogenesis: the foliation pattern is orchestrated by multi-cellular anchoring centers. Neural Dev. 2007;2:26.
15. Richman DP, Stewart RM, Hutchinson JW, Caviness VS, Jr. Mechanical model of brain convolutional development. Science. 1975;189(4196):18-21.
16. Nelson CM. On Buckling Morphogenesis. J Biomech Eng. 2016;138(2):021005.
17. Hannezo E, Prost J, Joanny JF. Mechanical instabilities of biological tubes. Phys Rev Lett. 2012;109(1):018101.
18. Shyer AE, Tallinen T, Nerurkar NL, Wei Z, Gil ES, Kaplan DL, et al. Villification: how the gut gets its villi. Science. 2013;342(6155):212-8.
19. Wiggs BR, Hrousis CA, Drazen JM, Kamm RD. On the mechanism of mucosal folding in normal and asthmatic airways. J Appl Physiol (1985). 1997;83(6):1814-21.
20. Szulc KU, Lerch JP, Nieman BJ, Bartelle BB, Friedel M, Suero-Abreu GA, et al. 4D MEMRI atlas of neonatal FVB/N mouse brain development. Neuroimage. 2015;118:49-62.
21. Mota B, Herculano-Houzel S. BRAIN STRUCTURE. Cortical folding scales universally with surface area and thickness, not number of neurons. Science. 2015;349(6243):74-7.
22. Allen HG. Analysis and design of structural sandwich panels. 1st ed. Oxford, New York,: Pergamon Press; 1969. xvi, 283 p. p.
23. Zhao R, Zhao X. Multimodal Surface Instabilities in Curved Film-Substrate Structures. Journal of Applied Mechanics. 2017;84(8):081001--13.
24. Budday S, Sommer G, Haybaeck J, Steinmann P, Holzapfel GA, Kuhl E. Rheological characterization of human brain tissue. Acta Biomater. 2017;60:315-29.
25. Mammoto T, Ingber DE. Mechanical control of tissue and organ development. Development. 2010;137(9):1407-20.
26. Harding MJ, McGraw HF, Nechiporuk A. The roles and regulation of multicellular rosette structures during morphogenesis. Development. 2014;141(13):2549-58.
27. Stemple DL. Structure and function of the notochord: an essential organ for chordate development. Development. 2005;132(11):2503-12.
28. He B, Doubrovinski K, Polyakov O, Wieschaus E. Apical constriction drives tissue-scale hydrodynamic flow to mediate cell elongation. Nature. 2014;508(7496):392-6.
29. Leung AW, Li JYH. The Molecular Pathway Regulating Bergmann Glia and Folia Generation in the Cerebellum. Cerebellum. 2018;17(1):42-8.
30. Yuasa S. Bergmann glial development in the mouse cerebellum as revealed by tenascin expression. Anat Embryol (Berl). 1996;194(3):223-34.
31. Yamada K, Watanabe M. Cytodifferentiation of Bergmann glia and its relationship with Purkinje cells. Anat Sci Int. 2002;77(2):94-108.
32. Van Essen DC. A tension-based theory of morphogenesis and compact wiring in the central nervous system. Nature. 1997;385(6614):313-8.
33. Li K, Leung AW, Guo Q, Yang W, Li JY. Shp2-dependent ERK signaling is essential for induction of Bergmann glia and foliation of the cerebellum. The Journal of neuroscience : the official journal of the Society for Neuroscience. 2014;34(3):922-31.
34. Sillitoe RV, Joyner AL. Morphology, molecular codes, and circuitry produce the threedimensional complexity of the cerebellum. Annual review of cell and developmental biology. 2007;23:549-77.
35. Rahimi-Balaei M, Afsharinezhad P, Bailey K, Buchok M, Yeganeh B, Marzban H. Embryonic stages in cerebellar afferent development. Cerebellum Ataxias. 2015;2:7.
36. Gotz M, Hartfuss E, Malatesta P. Radial glial cells as neuronal precursors: a new perspective on the correlation of morphology and lineage restriction in the developing cerebral cortex of mice. Brain Res Bull. 2002;57(6):777-88.
37. Reillo I, de Juan Romero C, Garcia-Cabezas MA, Borrell V. A role for intermediate radial glia in the tangential expansion of the mammalian cerebral cortex. Cereb Cortex. 2011;21(7):1674-94.
38. Hansen DV, Lui JH, Parker PR, Kriegstein AR. Neurogenic radial glia in the outer subventricular zone of human neocortex. Nature. 2010;464(7288):554-61.
39. Heng X, Guo Q, Leung AW, Li JY. Analogous mechanism regulating formation of neocortical basal radial glia and cerebellar Bergmann glia. Elife. 2017;6.
40. Nowakowski TJ, Pollen AA, Sandoval-Espinosa C, Kriegstein AR. Transformation of the Radial Glia Scaffold Demarcates Two Stages of Human Cerebral Cortex Development. Neuron. 2016;91(6):1219-27.
41. Andersen BB, Korbo L, Pakkenberg B. A quantitative study of the human cerebellum with unbiased stereological techniques. The Journal of comparative neurology. 1992;326(4):549-60.
42. Machold R, Fishell G. Math1 is expressed in temporally discrete pools of cerebellar rhombic-lip neural progenitors. Neuron. 2005;48(1):17-24.
43. Isaka F, Ishibashi M, Taki W, Hashimoto N, Nakanishi S, Kageyama R. Ectopic expression of the bHLH gene Math1 disturbs neural development. Eur J Neurosci. 1999;11(7):2582-8.
44. Muzumdar MD, Tasic B, Miyamichi K, Li L, Luo L. A global double-fluorescent Cre reporter mouse. Genesis. 2007;45(9):593-605.
45. Daigle TL, Madisen L, Hage TA, Valley MT, Knoblich U, Larsen RS, et al. A Suite of Transgenic Driver and Reporter Mouse Lines with Enhanced Brain-Cell-Type Targeting and Functionality. Cell. 2018;174(2):465-80 e22.
46. Wojcinski A, Lawton AK, Bayin NS, Lao Z, Stephen DN, Joyner AL. Cerebellar granule cell replenishment postinjury by adaptive reprogramming of Nestin(+) progenitors. Nat Neurosci. 2017;20(10):1361-70.
47. Rohrbach D, Lloyd HO, Silverman RH, Mamou J. Fine-resolution maps of acoustic properties at 250 MHz of unstained fixed murine retinal layers. J Acoust Soc Am. 2015;137(5):EL381-7.
48. Rohrbach D, Silverman RH, Chun D, Lloyd HO, Urs R, Mamou J. Improved HighFrequency Ultrasound Corneal Biometric Accuracy by Micrometer-Resolution AcousticProperty Maps of the Cornea. Transl Vis Sci Technol. 2018;7(2):21.


Fig. 1. Initiation of cerebellar folding correlates with timing of differential expansion. (A-D), H\&E stained midline sagittal sections of $\mathrm{FVB} / \mathrm{N}$ mice at the indicated embryonic (E) and postnatal days (P). Anterior to the left. Stars: ACs. Brackets: anterior/posterior ends of the EGL. Black line and red line in (D): EGL and convex length, respectively. Shaded area: core. (E) manganese enhanced magnetic resonance imaging of P11 cerebellum outlined in white adapted from (20). Anterior to the top. Vermis indicated by dotted yellow lines. (F), At E16.5 and E17.5 expansion of EGL length and cerebellar area fit the proportional expansion of a semi-circle (curve). At E18.5 and P0 EGL expansion is greater than core area growth creating differential expansion. (G), Folding index [ 1 - (convex length/EGL length) x 100] reveals folding initiates during differential expansion. Scale bars: $200 \mu \mathrm{~m}$.



## D

$\mu f / \mu s=7$

E $\mu \mathrm{f} / \mu \mathrm{s}=2$





Fig. 2. Measured tissue stiffness, stress, and shape at folding initiation are inconsistent with wrinkling models. (A), Inducing the correct number of folds through a wrinkling model requires a stiffness differential between the layers of 50 fold $(\mu \mathrm{f} / \mu \mathrm{s}=50, \mathrm{~g}=1.05)$. $(\mathrm{B}, \mathrm{C})$ Acoustic mapping of cerebellar slices show a slightly stiffer EGL than core at each stage (anova $\mathrm{df}=5 ; \mathrm{P}=1.0 \mathrm{e}^{-4} \mathrm{~F}=13.59$ ), but not the required differential. Stars indicate statistical differences. (D) Wrinkling simulations constrained by developmental data produce wavelengths inconsistent with the embryonic mouse cerebellum. (E) Elastoc simulations predict the EGL remains closed after cutting. (F,G) Images of a live cerebellar slice before and after cutting, and images from time lapse movie, (H) show the EGL opens, revealing circumferential tension along the EGL. Red and yellow dots: cut edges. Lines: relaxation angle. (I) Staining of nuclei with EGL outlined and lines used to measure thickness. (J-L) Normalized EGL thickness (thickness/mean thickness) at the ACs increases during folding initiation (anova $\mathrm{E} 16.5 \mathrm{df}=29, \mathrm{P}=8.2 \mathrm{e}^{-20} \mathrm{~F}=12.59 . \mathrm{E} 17.5 \mathrm{df}=29$, $\left.\mathrm{P}=3.4 \mathrm{e}^{-116} \mathrm{~F}=62.78, \mathrm{E} 18.5 \mathrm{df}=57, \mathrm{P}=6.8 \mathrm{e}^{-67} \mathrm{~F}=13.28\right)$. At E 16.5 only brains with visible ACs were included. Error bars: S.D.


Fig. 3. At folding initiation the EGL has uniform proliferation, cell size, and cell shape. (A,B) Low and high power images of immuno-histochemical (IHC) staining of sagittal cerebellar sections to measure proliferation in the lobules (L) indicated at $25 \mu \mathrm{~m}$ windows surrounding the ACs (stars). Scale bar: $200 \mu \mathrm{~m}$. (C,D) EGL proliferation rates are shown before and during the onset of invagination (Two-way anova: $\mathrm{df}=2$. (C) $\mathrm{P}=0.10, \mathrm{~F}=4.36(\mathrm{D}) \mathrm{P}=$ $0.03, \mathrm{~F}=10.31) .(\mathrm{E}, \mathrm{F})$ Proliferation rates are shown in the AC and in the surrounding EGL showing uniformity (Two-way anova: $\mathrm{df}=18$. ( E ) $\mathrm{P}=0.03, \mathrm{~F}=2.15(\mathrm{~F}) \mathrm{P}=2.1 \mathrm{e}^{-3} \mathrm{~F}=3.06$ ). (G) Section of Atoh1-CreER/+; R26 ${ }^{\text {MTMG/+ }}$ E16.5 cerebellum showing masked labeled cells. Scale bar: $20 \mu \mathrm{~m}$. (H,I) Cell shape (sphericity) measurements before and during folding (anova $\mathrm{df}=3$. (H) $\mathrm{P}=0.34, \mathrm{~F}=1.13$ i $\mathrm{P}=0.61, \mathrm{~F}=0.61)$. ( $\mathrm{J}, \mathrm{K}$ ) Cell size measuements before and during folding (anova $\mathrm{df}=3$. (J) $\mathrm{P}=3.6 \mathrm{e}^{-3} \mathrm{~F}=4.75$, (K) $\mathrm{P}=0.85, \mathrm{~F}=0.26$ ). Stars indicate statistical differences. Error bars: S.D.

distance ( $\mu \mathrm{m}$ )
D
E


Fig. 4. The EGL has a uniform distribution of crossing fibers at folding initiation. (A) E16.5 nestin-CreER/+; R26 ${ }^{\text {MT- }}$ ${ }^{M G /+}$ cerebellum section showing flourescent labeling of radial and Bergmann glial fibers. Stars: AC. Dotted lines denote EGL. Scale bar: $50 \mu \mathrm{~m}$. (B) Measurements of fiber density in the ACs compared to the surrounding EGL (anova $\mathrm{df}=10 ; \mathrm{P}=0.76, \mathrm{~F}=0.66$ ). Error bars: S.D. $(\mathrm{C}-\mathrm{E})$ Still images of a tissue cutting experiment to test for radial tension between the EGL and the VZ. Red and yellow dots: cut edges at $t=0,10$. Lines: relaxation angle.

A


B


C
$t=0$ minutes
36


180
216252


Fig. 5. During folding initiation, cells within the EGL are motile and undergo rearrangement. (A) Image of E16.5 live cerebellar slice (Atohl-creER/+ R26 ${ }^{A i 75 /+}$ ) showing scattered labeling within the EGL. (B) Red dots indicate starting position, displacement arrows show final position of marked cells after 5 hours. White dashed line indicates outer edge of EGL. (C) Still images from timelapse, inset above. Cells tracked and marked with colored spheres exchange nearest neighbors over a time-scale of tens of minutes. Scale bars are $20 \mu \mathrm{~m}$.


Fig. S1. Examples of the regions measured regions by acoustic microscopy. (A-F) Examples of the Region of Interest (ROI) measured for each for core and EGL. (H-J), Representative bulk modulus maps.


B


EGL


C


Fig. 6. A multiphase model with radial and circumferential constraints and liquid-fibrous EGL composition approximates evolution of cerebellar shape. (A) Schematic of multiphase model showing types of tension. (B) Thickness variations that arise concomitant with folding approximate those seen in the cerebellum. (C) Shape factor analysis: model for semicircle (red), multi-phase model shape prediction (blue) and actual shape measured from sections (black). Assumed linear relationship between $\varepsilon$ and time, $\varepsilon(\mathrm{t})=0.3(\mathrm{t}-15.5)$.




H



I




Fig. S2. The stress patterns within the cerebellum are different between the EGL and the VZ. (A-C), Example of a live cerebellar slice before (A) and after (B) a radial cut through the EGL, and still images from a time-lapse (C). Time $=0$ minutes is at the time it takes to remove the knife and start the imaging, therefore the cut has already begun opening. (D-F) Example of a live cerebellar slice before (D) and after (E) a horizontal cut through between the EGL and ventricular zone (VZ), and still images from a movie (F). (G-I) radial cuts through the EGL open more quickly initially than horizontal cuts between the EGL and the Ventricular zone, but the latter continue to relax for longer ((G) f-test for unequal varience $\mathrm{P}=0.09$, two tailed t -test $\mathrm{df}=16, \mathrm{p}=0.03, \mathrm{~T}=-2.43$; $(\mathrm{H})$ f-test $\mathrm{P}=0.04$ and unequal varience two-tailed $t$-test $\mathrm{df}=12.8 \mathrm{P}=0.16, \mathrm{~T}=-1.48$; (I) f -test $\mathrm{P}=0.49$ and two tailed t -test $\mathrm{df}=16, \mathrm{P}=0.03, \mathrm{~T}=$ 2.43). (J) The degree of opening is tightly related to the length of the opening in horizontal cuts but not in radial cuts (f-test $\mathrm{P}=0.02$, unequal varience two tailed t -test $\mathrm{df}=11.89, \mathrm{P}=0.02, \mathrm{~T}=-2.80$ ). Stars: statistical differences. Error bars: S.D.
 Fig. S3. EGL thickness increases in the ACs during the initiation of folding. Only E16.5 cerebella that showed regional thickening in the geometry where ACs normally arise were used for the measurements, and one embryo did not yet have an AC3. (A-C) Thickness variation in and surrounding AC1 (anova (A) df = $17, \mathrm{P}=0.13, \mathrm{~F}=1.55$ (B) $\mathrm{df}=29, \mathrm{P}=7.0 \mathrm{e}^{-14} \mathrm{~F}=6.82(\mathrm{C}) \mathrm{df}=57, \mathrm{P}=9.1 \mathrm{e}^{-11} \mathrm{~F}=4.05$ ). (D-F) Thickness variation in and surrounding AC 2 (anova $(\mathrm{D}) \mathrm{df}=17, \mathrm{P}=0.08 \mathrm{~F}=1.74$ (E) $\mathrm{df}=29 \mathrm{P}=3.9^{\mathrm{e}-22} \mathrm{~F}=11.88$ (F) $\mathrm{df}=57 \mathrm{P}=2.9 \mathrm{e}^{-35} \mathrm{~F}=16.35$ ). (G-I) Thickness variation in and surrounding AC3 (anova (G) df $=17, \mathrm{P}=$ $\left.0.59 \mathrm{~F}=0.89(\mathrm{H}) \mathrm{df}=29, \mathrm{P}=2.4 \mathrm{e}^{-17} \mathrm{~F}=9.81(\mathrm{I}) \mathrm{df}=57, \mathrm{P}=7.6 \mathrm{e}^{-33} \mathrm{~F}=14.57\right)$. Error bars are S.D.



Fig. S4. Proliferation rate is reduced in the central zone of the cerebellum after folding initiation. (A) Proliferation is reduced in lobule region L678 compared to other lobules (anova: $\mathrm{df}=2 ; \mathrm{P}=0.01, \mathrm{~F}=9.24$ ). ( B ) Proliferation through the AC regions is uniform with the surrounding EGL (anova: $\mathrm{df}=10 ; \mathrm{P}=0.17, \mathrm{~F}=1.64$ ). (C) At E 18.5 cells within the EGL are smaller than at earlier stages (two-tailed $t$-test $d f=330 \mathrm{P}=2.92 \mathrm{e}^{-20}, \mathrm{~T}=9.85$ ). Stars: statistical differences. Error bars: S.D.


Fig. S5. Differential expansion correlates with progressive subfolding of initial folds. (A,B) H\&E stained midline sagittal sections of $\mathrm{FVB} / \mathrm{N}$ cerebella at P 1 and P3 with three lobule regions highlighted in red (L123), green (L45), and blue (L678). (C) Expansion of lobule length and lobule area for L123 and L678 approximate the proportional expansion of a semi-circle (curve) in both regions at E18.5 and P0. After P0 the EGL expansion in both regions increases more than the underlying area creating differential expansion. (D) Folding initiates during regional differential expansion. (E) The expansion in length and area of L45 is proportional to a columnar shape (curve and inset figure) from E18.5 to P3. (F) the L45 region remains unfolded through P3. Scale bars: $200 \mu \mathrm{~m}$.

- Uniform expansion of fluid-like EGL
- Uniform radial fiber distribution
- slow growing elastic core


D


Fig. S6. Uniform cell behaviors within a fluid-like EGL create differential expansion based folding approximated by a multiphase model with radial and circumferential tension. (A) Cartoon of E16.5 cerebellum showing the EGL (dark purple) overlying the in incompressible core (light purple) with fibers (lines) of radial glia (closed ovals) and Bergmann glia (open ovals) crossing the cerebellum and the EGL. (B) Map of stress within the cerebellum at the onset of foliation. (C) Schematics showing that an AC is first detected as a regional inward thickening of the EGL (left). The constraining tensions shape the fluid-like EGL such that the EGL becomes thicker at the AC (middle). As radial glia transition to Bergmann glia, modeling predicts a reduction in radial tension (right). (D) Since ACs hold their position in space, and compartmentalize the cells within the EGL, we propose that they behave as mechanical boundaries allowing local domains of differential expansion to arise and progressive folding to occur.

