

1 **Information Theory as a consistent framework for**
2 **quantification and classification of landscape patterns**

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6 **Abstract** *Context* Quantitative grouping of similar landscape patterns is an
7 important part of landscape ecology due to the relationship between a pattern
8 and an underlying ecological process. One of the priorities in landscape ecology
9 is a development of the theoretically consistent framework for quantifying,
10 ordering and classifying landscape patterns.

11 *Objective* To demonstrate that the Information Theory as applied to a bivari-
12 ate random variable provides a consistent framework for quantifying, ordering,
13 and classifying landscape patterns.

14 *Methods* After presenting Information Theory in the context of landscapes,
15 information-theoretical metrics were calculated for an exemplar set of land-
16 scapes embodying all feasible configurations of land cover patterns. Sequences
17 and 2D parametrization of patterns in this set were performed to demonstrate
18 the feasibility of Information Theory for the analysis of landscape patterns.

19 *Results* Universal classification of landscape into pattern configuration types
20 was achieved by transforming landscapes into a 2D space of weakly corre-
21 lated information-theoretical metrics. An ordering of landscapes by any single
22 metric cannot produce a sequence of continuously changing patterns. In real-
23 life patterns, diversity induces complexity – increasingly diverse patterns are
24 increasingly complex.

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²⁵ *Conclusions* Information theory provides a consistent, theory-based frame-
²⁶ work for the analysis of landscape patterns. Information-theoretical parametriza-
²⁷ tion of landscapes offers a method for their classification.

²⁸ **Keywords** information theory · landscape classification · pattern complexity ·
²⁹ pattern diversity · pattern sequences

1 Introduction

There is a continuing interest in assessing a degree of similarity between landscape patterns, ordering landscapes by a property of interest, and landscape classification. This is because of a relationship between an area's pattern composition and configuration and ecosystem characteristics such as vegetation diversity, animal distributions, and water quality within this area (Hunsaker and Levine, 1995; Fahrig and Nuttle, 2005; Klingbeil and Willig, 2009; Holzschuh et al., 2010; Fahrig et al., 2011; Carrara et al., 2015; Arroyo-Rodríguez et al., 2016; Dufflot et al., 2017).

The main focus of research on quantitative assessment of landscape patterns has been a development and application of landscape indices (see statistics of topics published in *Landscape Ecology* collected by Wu (2013)). Landscape indices (LIs) are algorithms that quantify specific spatial characteristics of landscape patterns; a large number of LIs have been developed and collected (McGarigal et al., 2002). In principle, it should be possible to quantify the whole landscape using a collection of different LIs, which together characterize the entire pattern. Multi-indices description of landscape patterns has indeed been used (see, for example, Cain et al. (1997) or Long et al. (2010)) and continue to be used. The problem with such an approach is an uncertainty as to which subset of the large number of existing LIs to choose without introducing an undue bias toward some aspects of the pattern.

This problem can be partially addressed by performing the principal components analysis (Riitters et al., 1995; Cushman et al., 2008) on LIs calculated for all landscapes in the dataset and using vectors consisting of top principal components instead of indices themselves. Principal components suppose to represent the few latent variables, which, although not directly measurable, represent fundamental and independent elements of the pattern's structure. Nowosad and Stepinski (2018) applied principal components analysis to over 100,000 landscape patterns taken from the European Space Agency (ESA) global land cover map (ESA, 2017). They found that the two top components, which together explained 70% of the variance in the dataset, were sufficient to parametrize all patterns in this dataset. It enables assessment of similarity between patterns and, thus, their classification.

Another way to achieve landscape classification is through clusterings of their patterns. Cardille and Lambois (2009) and Partington and Cardille (2013) clustered land cover patterns using the Euclidean distance between their principal components calculated from LIs. Niesterowicz and Stepinski (2013, 2016) clustered land cover patterns using the Jensen-Shannon Divergence between co-occurrence matrices representing the patterns. Both methods yielded reasonable results. This notwithstanding, there is a number of issues with using clustering to find landscape pattern types (LPTs). The number of clusters needs to be set a priori and mostly arbitrarily, and a within-cluster pattern variation is not well-controlled (Niesterowicz and Stepinski, 2017). LPTs are a posteriori interpretations of clusters, but clusters change from one dataset to

74 another. This means that LPTs obtained via clustering are not universal and
75 apply only to a dataset from which they were derived.

76 Wickham and Norton (1994) were the first to propose a classification
77 of landscapes into universal LPTs. Their classification scheme divides pat-
78 tern configurations into three classes: matrix, matrix and patch, and mosaic.
79 Thresholds on minimum and maximum values of areas constituting matrix and
80 patches determine a configuration type assigned to a given pattern. This clas-
81 sification was used for classifying land cover patterns across the conterminous
82 United States (Riitters et al., 2000). In this paper, we are going to present
83 a method of parameterizing landscape pattern configurations that leads to
84 the universal classification of landscapes into landscape pattern configuration
85 types (LPCTs).

86 A separate but related research track pertains to an ordering of landscapes.
87 Ordering is arranging landscapes in a linear sequence according to an increas-
88 ing value of a parameter. The expectation is that such sequence shows a con-
89 tinuous progression of the pattern’s character. Frequently, this sought after
90 character is its complexity. In general, complexity is a concept defying a pre-
91 cise definition. For example, the Webster’s dictionary defines a complex object
92 to be “an arrangement of parts, so intricate as to be hard to understand or deal
93 with.” In the case of landscapes, their complexity is related to the intricacy of
94 their patterns.

95 Recently, several works (Claramunt, 2012; Altieri et al., 2018; Wang and
96 Zhao, 2018) proposed to order landscapes using a concept of spatial entropy.
97 Spatial entropy is a modification of the Shannon entropy designed to measure
98 spatial intricacy of a pattern. Boltzmann entropy is another concept aiming
99 at ordering landscape patterns by their complexity. It is named after a physi-
100 cist, Ludwig Boltzmann, who used it (Boltzmann, 1866) to show a relation-
101 ship between thermodynamic entropy and the number of ways the atoms or
102 molecules of a thermodynamic system can be arranged. In a context of land-
103 scape patterns, a macrostate is an overall configuration of a pattern (which
104 can be measured using a single index) and a microstate is a specific assign-
105 ment of categories to individual cells under the condition of fixed landscape
106 composition.

107 Cushman (2016, 2018) proposed to measure a macrostate by the total
108 edge (TE) of the landscape. Thus, TE corresponds to a “temperature” in
109 the original Boltzmann entropy as applied to thermodynamics. It is easy to
110 imagine that many different landscape microstates correspond to the same
111 value of TE . The Boltzmann entropy of a given pattern, S , is a logarithm
112 of the number of microstates having the value of TE as calculated for this
113 pattern. Thus, a set of landscapes could be ordered by their S values. Gao
114 et al. (2017) proposed a different approach to calculating S in the context of
115 gradient instead of the mosaic model of the landscape.

116 Whether the proposed orderings of landscapes yield sequences that indeed
117 reflect continuously increasing complexity of patterns remains to be deter-
118 mined. To make such a determination a representative set of real-life land-
119 scapes needs to be ordered and evaluated. Most of the evaluations done so

120 far used simulated landscapes which lack the character and diversity of form
121 found in real-life landscapes. Demonstrations of orderings on real-life land-
122 scapes (Wang and Zhao, 2018; Gao et al., 2017) used two few landscapes to
123 make a judgment.

124 The above overview of different approaches to quantification, ordering, and
125 classification of landscape patterns reveals a lack of consistent methodology.
126 Different aspects of pattern analysis were addressed using different approaches,
127 and those approaches, with the exception of the Boltzmann entropy, were not
128 rooted in any theory. The principal objective of this paper is to demonstrate
129 that the Information Theory (IT) (Shannon, 1948), as applied to a bivariate
130 random variable representing a landscape, constitutes a consistent, theory-
131 based quantitative methodology addressing all aspects of pattern analysis.
132 Information-theoretical measures describe composition and configuration of
133 landscape patterns, one-dimensional parametrizations of patterns using these
134 measures correspond to orderings, and two-dimensional parametrizations cor-
135 respond to classifications.

136 In the second section, we describe our methodology which is consistent
137 with IT as applied to a bivariate random variable. Because our description
138 is thorough and customized to the case of the mosaic model of a landscape,
139 this section doubles as a guide for the use of IT of a bivariate random vari-
140 able for applications in landscape ecology. In the third section, we describe
141 our evaluation dataset of landscape patterns which has been carefully chosen
142 to represent all major configurational types. In the fourth section, we show
143 orderings of the evaluation set with respect to different IT metrics and com-
144 pare them to orderings based on the two principal components (Nowosad and
145 Stepinski, 2018) and to an ordering based on the Boltzmann entropy (Cush-
146 man, 2018). In the fifth section, we show a two-dimensional parametrization of
147 landscape patterns and demonstrate that it provides a basis for classification
148 of landscapes into universal LPCTs. Discussion and conclusions follow in the
149 sixth section.

150 **2 Methodology: Information Theory**

151 Consider a mosaic model of landscape represented by a grid of cells with each
152 cell assigned a categorical class label from the set $\{c_1, \dots, c_K\}$ where K is the
153 number of landscape classes. Our basic units of analysis are not single cells
154 but pairs of ordered adjacent cells. A pair is regarded as a bivariate random
155 variable (x, y) taking values (c_i, c_j) , $i = \{1, \dots, K\}$, $j = \{1, \dots, K\}$, where
156 x is a class of the focus cell and y is a class of an adjacent cell. Using ad-
157 jacent cells is the simplest way to take into account spatial relations when
158 analyzing a pattern. We start our analysis by calculating the co-occurrence
159 matrix (Haralick et al., 1973) which tabulates frequencies of adjacencies be-
160 tween cells of different classes. The co-occurrence matrix can be thought of
161 as a 2D histogram of cell pairs in a pattern; each bin of the histogram in-
162 dicates the number of (c_i, c_j) pairs. The adjacency is defined by the rook's

163 rule (4-connectivity) and we distinguish between frequencies of (c_i, c_j) pairs
 164 and frequencies of (c_j, c_i) pairs. Using other definitions of adjacency and/or
 165 unordered pairs is also possible (Riitters et al., 1996).

166 Probabilities of (x, y) are given by a joint probability $p(x = c_i, y = c_j)$ – a
 167 probability of the focus cell having a class c_i and an adjacent cell having a class
 168 c_j . We calculate the values of $p(x = c_i, y = c_j)$ by dividing the co-occurrence
 169 matrix by the total number of pairs in the pattern. The informational content
 170 of bivariate random variable (x, y) is given by the IT concept of joint entropy
 171 which is computable directly from $p(x, y)$,

$$H(x, y) = - \sum_{i=1}^K \sum_{j=1}^K p(x = c_i, y = c_j) \log_2 p(x = c_i, y = c_j). \quad (1)$$

172 The value of $H(x, y)$ is the number of bits needed on average to specify the
 173 value of a pair (x, y) . It is also referred to as “an uncertainty.” We can inter-
 174 pret the uncertainty as the expected number of yes/no responses needed to
 175 determine a class of the focus cell and the class of the adjacent cell.

176 $H(x, y)$ measures the diversity of heights of bins in a co-occurrence his-
 177 togram. Recall that bins represent adjacencies, the larger the bin the more
 178 adjacencies of a corresponding type. If $H(x, y)$ is small the histogram has few
 179 large bins – a landscape contains only a few types of adjacencies and thus its
 180 pattern is simple. If $H(x, y)$ is large the histogram has many bins of similar
 181 height – a landscape contains many types of adjacencies and thus its pattern
 182 is complex. Thus, $H(x, y)$ is a metric of an overall complexity of a pattern (see
 183 the $H(x, y)$ ordering of the evaluation set of landscapes in Fig. 1).

184 Next, we consider subsets of cell pairs such that a class of the focus cell
 185 is fixed. In such subset, the class of the adjacent cell is an univariate random
 186 variable $y|x = c_i$ taking values $y = \{c_1, \dots, c_K\}$. We can construct a 1D
 187 histogram, where bins correspond to frequencies of classes of adjacent cells
 188 in such subset. The variable $y|x = c_i$ has a probability distribution $p(y|x =$
 189 $c_i)$. The entropy of this distribution is $H(y|x = c_i) = - \sum_j p(y = c_j|x =$
 190 $c_i) \log_2 p(y = c_j|x = c_i)$. The value of $H(y|x = c_i)$ is the amount of bits
 191 needed on average to specify a class of an adjacent cell if the class of the
 192 focus cell is c_i . It is also a diversity of adjacencies with class c_i . If the value
 193 of $H(y|x = c_i)$ is small, cells of class c_i are adjacent predominantly to only
 194 one class of cells, but if the value of $H(y|x = c_i)$ is large, cells of class c_i are
 195 adjacent to many cells of many different classes. To obtain the full account of
 196 distribution of adjacencies we use the IT concept of conditional entropy,

$$H(y|x) = - \sum_{i=1}^K \sum_{j=1}^K p(x = c_i, y = c_j) \log_2 p(y = c_j|x = c_i). \quad (2)$$

197 The conditional entropy, $H(y|x)$ is an abundance-weighted average of values
 198 of $H(y|x = c_i)$ calculated for subsets of cells with different classes of the focus
 199 cell. $H(y|x)$ is a metric of a configurational complexity of a pattern (see the
 200 $H(y|x)$ ordering of the evaluation set of landscapes in Fig. 1). Note that the

201 landscape with the highest configurational complexity is not the same as the
 202 landscape with the highest overall complexity because, even so it has a more
 203 intricate geometry it has fewer categories.

204 Finally, we consider a univariate variable y – a class of the adjacent cell
 205 in a pair of cells. Probability distribution of $p(y)$ is obtained by marginalizing
 206 $p(x, y)$, $p(y_j) = \sum_i p(x_i, y_j)$. Informational content of y is computed using a
 207 standard Shannon entropy,

$$H(y) = - \sum_{j=1}^K p(y = c_j) \log_2 p(y = c_j). \quad (3)$$

208 The value of $H(y)$ is the number of bits needed on average to specify a class
 209 of cell. $H(y)$ is a metric of a compositional complexity of a pattern, which is
 210 also frequently referred to as pattern diversity (see the $H(y)$ ordering of the
 211 evaluation set of landscapes in Fig. 1).

212 We could also focus on variable x (a class of the focus cell) and calculate
 213 $H(x)$. Because of the way the variables are defined, $H(x) \approx H(y)$, a small
 214 difference is due to a non-perfect symmetry of the co-occurrence matrix due
 215 to finite size of the landscape; for landscapes with a large number of cells the
 216 difference between $H(x)$ and $H(y)$ is negligible.

217 The IT chain rule formula (see, for example, Cover and Thomas (2012))
 218 connects $H(x, y)$, $H(y|x)$, and $H(x)$,

$$H(x, y) = H(x) + H(y|x). \quad (4)$$

219 This formula shows that the informal statement – landscape patterns are char-
 220 acterized by both their composition and their configuration, which collectively
 221 define landscape structure – which is often found in landscape ecology papers,
 222 is not only a verbal description but has a quantitative justification.

223 One of the most useful concepts of IT is the mutual information, $I(y, x)$,
 224 which quantifies the information that variable y provides about variable x
 225 (mutual information is symmetric so $I(x, y) = I(y, x)$). $I(y, x)$ is given by the
 226 formula,

$$I(y, x) = H(y) - H(y|x) \quad (5)$$

227 $I(y, x)$ is a difference between uncertainty about the class of randomly
 228 drawn cell and a composition-weighted average uncertainty as to the class of
 229 the adjacent cell if drawn from subsets of pairs defined by a fixed value of the
 230 focus cell. It is also a difference between a diversity of cells' categories and
 231 an average diversity of adjacencies (see the $I(y, x)$ ordering of the evaluation
 232 set of landscapes in Fig. 1). The Jensen's inequality (Jensen, 1906) assures
 233 that $I(y, x) \geq 0$, so a diversity of adjacencies cannot exceed a diversity of
 234 categories.

235 Note that for real-life landscapes the value of $I(x, y)$ tends to grow with
 236 a diversity of the landscape due to the spatial autocorrelation. The relative
 237 mutual information, $U = I(y, x)/H(y)$, often referred to as an uncertainty

238 coefficient, adjusts this tendency and has range between 0 and 1. It measures
 239 a difference between diversity of categories and diversity of adjacencies in terms
 240 of diversity of categories (see the U ordering of the evaluation set of landscapes
 241 in Fig. 1).

242 3 Evaluation dataset

243 In the introduction, we stressed the importance of using a complete dataset of
 244 real-life landscapes for an evaluation purpose. Such dataset needs to contain
 245 all feasible types of landscape pattern configurations. Global land cover maps
 246 offer a large dataset which contains rich variety of land cover patterns. We
 247 use a dataset (Nowosad et al., 2019) containing over a 1,600,000 (9km \times 9km)
 248 landscapes extracted worldwide from the 300m resolution ESA 2015 global
 249 land cover map (ESA, 2017). To make the landscape more lucid, we reclassified
 250 the ESA map from the original 22 classes to 9 classes as listed in the legend
 251 to Fig. 1.

252 For these landscapes, we computed a set of 17 configurational landscape
 253 metrics (see Table 1 in Nowosad and Stepinski (2018) for details). Next, we
 254 calculated values of the top two principal components, $RC1$ and $RC2$ using
 255 the model of Nowosad and Stepinski (2018). Using these principal components
 256 we grouped landscapes into 35 types of pattern configurations (irrespective of
 257 their thematic content). For our evaluation dataset, we chose one exemplar
 258 from each of the 35 types of pattern configurations. We select exemplars only
 259 from landscapes with forest as a dominant theme so they are easier to compare
 260 visually, however, our results are theme-independent. The evaluation dataset
 261 is configurationally complete, at least for land cover landscapes at mesoscale.

262 For each of the 35 landscapes we calculated values of $H(y)$, $H(y|x)$, $H(x, y)$,
 263 $I(y, x)$, and U . We also calculated the value of Boltzmann entropy, S using
 264 the formula given in Table 6 of Cushman (2018) paper, and the values of the
 265 two top principal components, $RC1$ and $RC2$.

266 4 Orderings of landscape patterns

267 Fig. 1 depicts orderings of evaluation patterns with respect to different metrics
 268 as indicated. All orderings are in the increasing value of a metric. They start
 269 from the upper-left corner of the grid of patterns and progress row-wise. The
 270 rankings for the $H(y)$ ordering double as pattern labels; they are used in
 271 remaining orderings for quicker identification.

272 Because each metric (with the exception of $RC1$ and $RC2$) has an interpre-
 273 tation, it is interesting to see whether these interpretations agree with visual
 274 inspection of orderings. $H(y)$ is interpreted as a diversity of cell categories.
 275 Although a visual inspection of landscapes sequenced by $H(y)$ seems to con-
 276 firm the overall tendency of increased compositional diversity, it also makes it
 277 very clear that very different patterns may have very similar levels of diversity

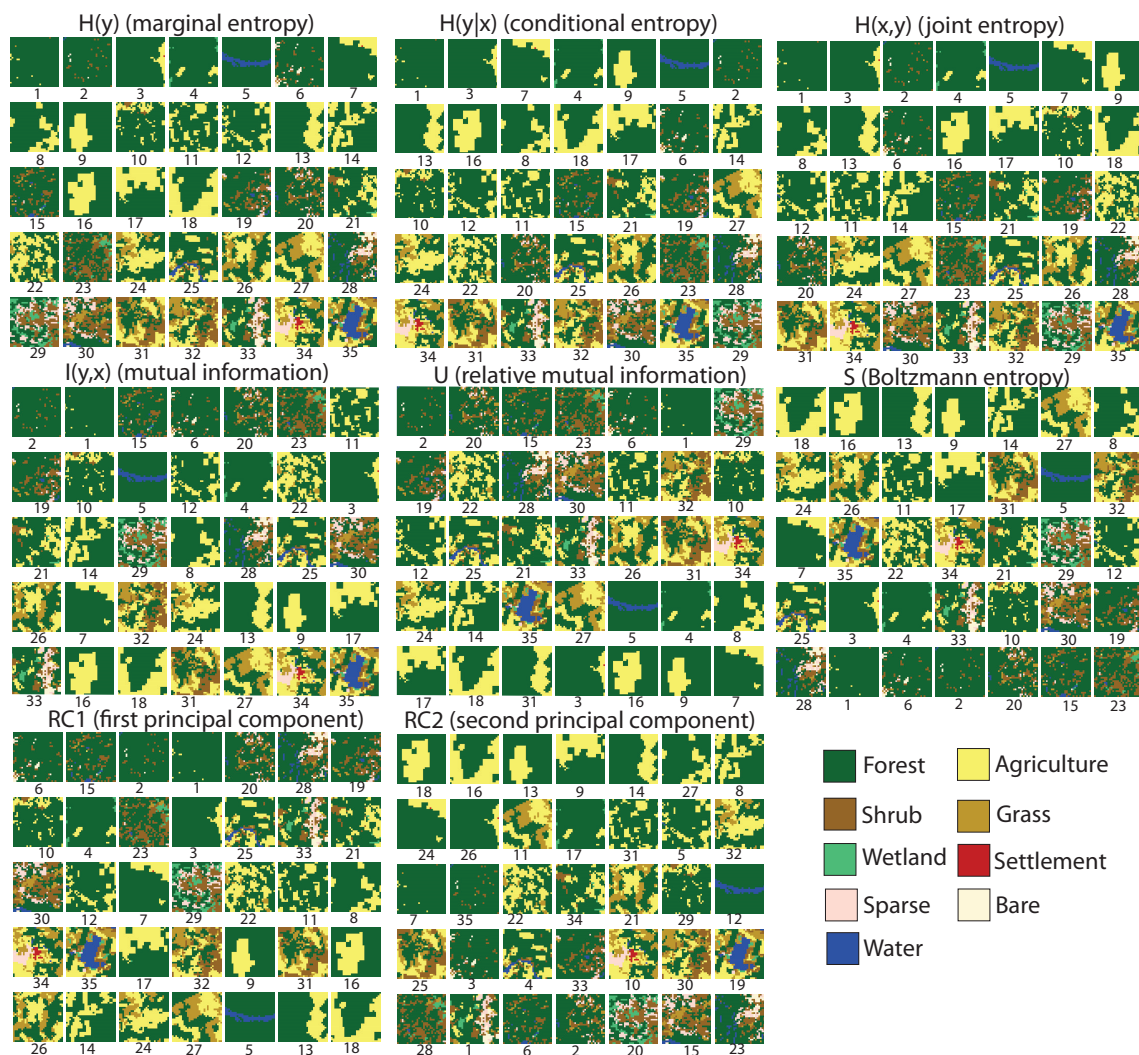


Fig. 1 Linear orderings of evaluation landscape patterns by increasing value of indicated metrics. In each case, an ordering starts at the upper-left corner of a grid and proceeds row-wise. Numbers are the labels of patterns which are also ranks in $H(y)$ ordering.

278 (see, for example, landscapes #15 and #16). $H(y|x)$ is interpreted as a diver-
 279 sity of cell adjacencies. Based on this interpretation, a sequence of landscapes
 280 ordered by $H(y|x)$ should display increasingly heterogeneous (fine scale) pat-
 281 terns. Visual inspection shows that overall the heterogeneity of patterns in the
 282 sequence increases, but it also brings to our attention that landscapes with
 283 very similar values of $H(y|x)$ are perceived as having very different hetero-
 284 geneities (for example, see landscapes #5 and #2). Similar discrepancies can
 285 be observed in remaining orderings shown in Fig. 1.

Table 1 Spearman’s rank correlation coefficients between different orderings

ordering	$H(y)$	$H(y x)$	$H(x, y)$	$I(y, x)$	U	$RC1$	$RC2$	S
$H(y)$	1	0.93	0.97	0.59	-0.13	0.25	0.55	-0.06
$H(y x)$	0.93	1	0.99	0.30	-0.42	0.02	0.75	0.17
$H(x, y)$	0.97	0.99	1	0.41	-0.30	0.12	0.68	0.07
$I(y, x)$	0.59	0.30	0.41	1	0.67	0.71	-0.22	-0.67
U	-0.13	-0.42	-0.30	0.67	1	0.68	-0.72	-0.77
$RC1$	0.25	0.02	0.12	0.71	0.68	1	-0.49	-0.93
$RC2$	0.55	0.75	0.68	-0.22	-0.72	-0.49	1	0.70
S	-0.06	0.17	0.07	-0.67	-0.77	-0.93	0.70	1

$H(y)$ - marginal entropy, $H(y|x)$ - conditional entropy, $I(y, x)$ - mutual information, U - relative mutual information, $RC1$ - first principal component, $RC2$ - second principal component, S - Boltzmann entropy

286 These observations are explained by the fact that entropy is not an injective
 287 function of a histogram – different histograms may yield the same value of
 288 entropy. Thus, no linear ordering, based on entropy measure (note that $RC1$
 289 and $RC2$ are indirectly also based, to some degree, on entropy-based indices)
 290 cannot be expected to produce a sequence with continuously changing character
 291 of pattern configuration, even if they show an overall trend in accordance
 292 with their interpretations. This brings into question practical values of complexity
 293 metrics such as the spatial entropy or the Boltzmann entropy. It is not
 294 that there is something wrong with these metrics, rather that they measure
 295 the same values for (sometimes) strikingly different patterns.

296 Table 1 lists rank correlations for orderings shown in Fig. 1. Values in
 297 this table confirm what is observed in Fig. 1, orderings of $H(y)$, $H(y|x)$, and
 298 $H(x, y)$ are strongly correlated. Thus, in real-life landscapes diversity induces
 299 complexity. Because landscapes chosen for evaluation represent all feasible
 300 land cover pattern configurations, we expect that this observation extends
 301 to all land cover patterns. Thus, if a land cover pattern is diverse it is also
 302 complex. In fact, linear dependence between landscape complexity and its
 303 diversity has been observed in patterns present in Landsat images representing
 304 major Canadian ecoregions (Proulx and Fahrig, 2010).

305 The two mutual information metrics, $I(y, x)$ and, especially, U , are poorly
 306 correlated with metrics $H(y)$, $H(y|x)$, and $H(x, y)$, suggesting that mutual
 307 information provides a mostly independent, additional channel of information
 308 about a pattern. The first principal component, $RC1$ is moderately correlated
 309 with the mutual information and the second principal component, $RC2$, is
 310 moderately correlated with $H(y)$, $H(y|x)$, and $H(x, y)$. Boltzmann entropy
 311 is moderately inversely correlated with the mutual information, strongly
 312 inversely correlated with $RC1$, and moderately correlated with $RC2$.

313 5 Landscape pattern configuration types

314 Rank correlations in Table 1 suggest using $H(y)$ and U as the two parameters
 315 to utilize in a 2D parametrization of landscape patterns because they are

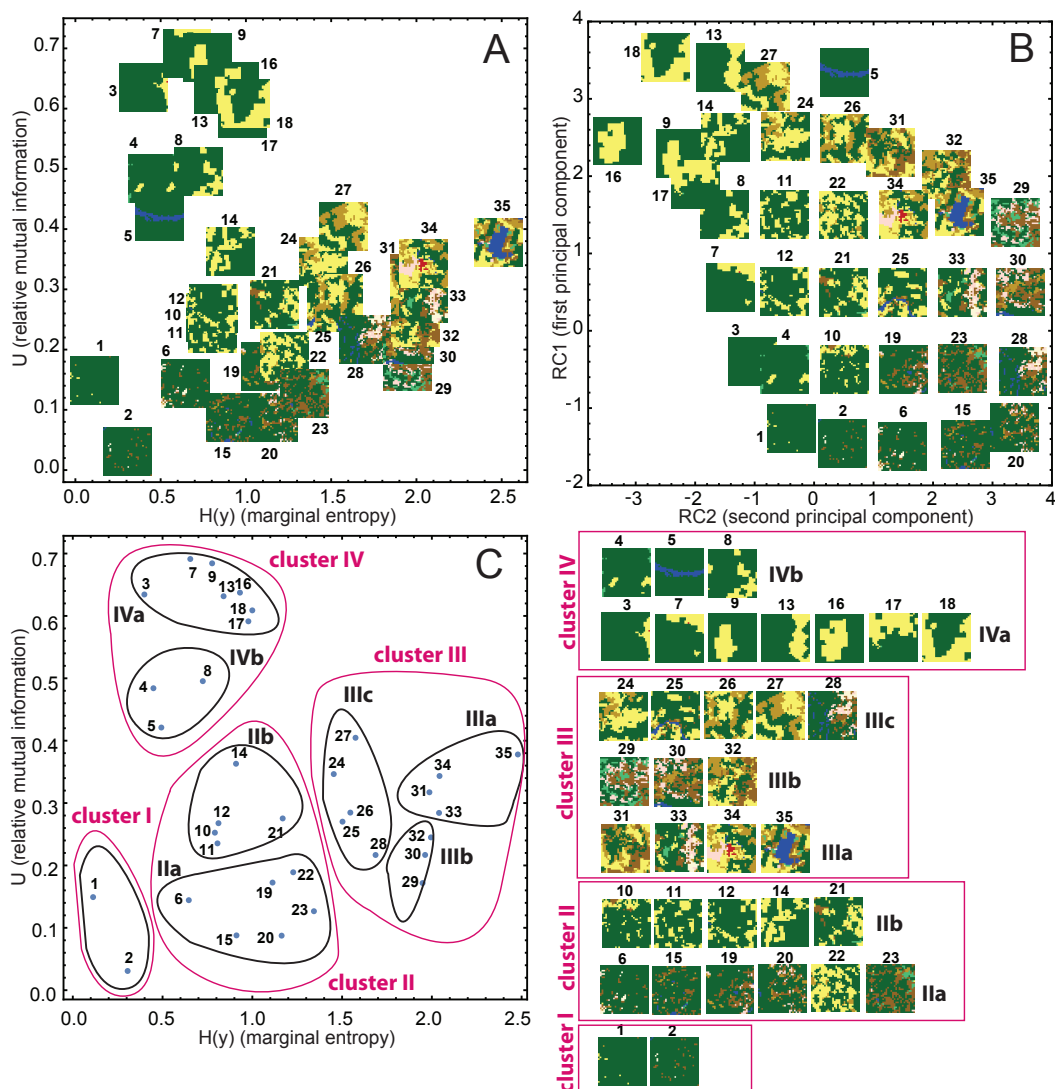


Fig. 2 (A) Organization of evaluation landscape patterns by $H(y)$ and U . (B) Organization of evaluation landscape patterns by the top two principal components $RC2$ and $RC1$. Landscapes are marked using labels introduced in Fig. 1. (C) Hierarchical clustering of landscapes into four LPCTs (red-colored contours) and eight LPCTs (black-colored contours). (D) Depiction of landscapes assigned to different LPCTs.

316 the least correlated of all information-theoretical metrics. Fig. 2A is a graph
 317 showing such parametrization, hereafter we refer to it as the HYU diagram
 318 for short. Diagrams (not shown here) which use $H(y|x)$ or $H(x,y)$ instead
 319 of $H(y)$ differ in details from the HYU diagram but have a similar overall
 320 character.

321 By analyzing the *HYU* diagram (possibly referring to Fig. 2D for an un-
 322 obscured view of landscape patterns if necessary) it can be verified that it
 323 organizes landscape patterns in such a way that patterns placed in nearby
 324 locations on the diagram have similar configurations, and patterns placed in
 325 distant locations of the diagram have different configurations. Thus, there is
 326 a continuous relation between location of points on the $H(y) - U$ plane and
 327 configurations of landscapes represented by these points. Because our evalua-
 328 tion landscapes have been chosen to represent all feasible land cover pattern
 329 configuration types this desirable feature of the *HYU* diagram extends to all
 330 land cover patterns.

331 The reason why a 2D parametrization succeeds in grouping similar patterns
 332 while 1D parametrization doesn't is the presence of additional information that
 333 brakes a degeneracy (many-to-one mapping) of entropy-based measures. For
 334 example, very different patterns #15 and #16 are mapped to very similar
 335 values of $H(y)$, but additional information – U – makes a distinction between
 336 them possible.

337 LPCTs can be extracted from the *HYU* diagram by clustering landscapes
 338 using the Euclidean distance between points on the *HYU* diagram as a mea-
 339 sure of dissimilarity between patterns. Fig. 2C shows the result of hierarchical
 340 clustering (with Ward's linkage) on 35 exemplar landscapes. Red-colored con-
 341 tours indicate clustering into four LPCTs and black-colored counters indicate
 342 clustering into eight LPCTs. Fig. 2D depicts landscapes belonging to individ-
 343 ual clusters. It is clear from examining Fig. 2D that clusters group landscapes
 344 with similar configurations and thus can serve as LPCTs. Thematic content of
 345 landscapes within a single LPCT may differ as the *HYU* does not take it into
 346 consideration. To obtain a classification based on configuration and thematic
 347 content, LPCTs need to be further classified with respect to their themes.

348 Fig. 2B shows the *RC2-RC1* diagram, which is an empirical counterpart of
 349 the *HYU* diagram. In the majority of cases, patterns placed in nearby locations
 350 on the *RC2-RC1* diagram have similar patterns, but the relationship between
 351 pattern similarity and landscapes closeness in the 2D plane is not as good as in
 352 the *HYU* diagram. Also, the logic of the organization of pattern placements on
 353 the *RC2-RC1* diagram is different from the logic of pattern placements on the
 354 *HYU* diagram. Most importantly, the *RC2-RC1* diagram is not universal.
 355 Landscapes patterns coming from a dataset other than the nine-classes ESA
 356 2015 map cannot be placed on this diagram, because the principal components
 357 model used to construct this diagram does not apply to them. For this reason,
 358 the IT-based *HYU* diagram is a better classification tool than the empirically-
 359 based *RC2-RC1* diagram.

360 6 Discussion and conclusions

361 This paper makes several contributions to the theory of quantification of land-
 362 scape patterns. The major contributions are as follows. (a) Demonstrating
 363 that fundamental properties of landscape patterns can be quantified within

364 the framework of the Information Theory as applied to a bivariate random
365 variable. (b) Showing that ordering landscapes by values of a single metric
366 cannot yield a sequence of continuously changing patterns. (c) Observing that
367 in real-life land cover landscapes diversity induces complexity; pattern's con-
368 figurational complexity is proportional to the pattern's diversity. (d) Finding
369 a 2D parametrization of landscape configurations based on two weakly cor-
370 related IT metrics that groups similar patterns into distinct regions of the
371 parameters space thus providing the basis for classification of landscapes into
372 LPCTs.

373 The first contribution is of conceptual nature. We demonstrated that land-
374 scape patterns can be quantified by calculating the distribution of information
375 in a bivariate variable which describes a pattern. This is conceptually different
376 from using ad hoc landscape indices. Note that IT of bivariate random variable
377 provides information about composition (diversity) and configuration (adja-
378 cencies), thus providing all fundamental information about landscape config-
379 uration that is needed (Riitters, 2018). From equation 5 and the Jensen's
380 inequality, it follows that $H(y) \geq H(y|x)$ or that composition is a dominant
381 property of the pattern. Also, we found that, at least for landscapes in our
382 evaluation set, configuration follows composition. Together, these results are
383 almost identical to conclusions recently reached by Riitters (2018) on the basis
384 of long experience in working with landscape patterns.

385 Could we come up with our parametrization by just using landscape in-
386 dices? Yes, but only in the retrospect. Presented parametrization emerges nat-
387 urally from the IT-based analysis. Once emerged it can be expressed in terms of
388 landscape indices. $H(y)$ is equivalent to the Shannon's diversity index (SHDI)
389 and $H(x, y)$ is inversely proportional to the contagion index, $\text{contagion} =$
390 $1 - H(x, y)/\max[H(x, y)]$, (O'Neill et al., 1988; Li and Reynolds, 1993). From
391 those correspondences and using equations 4 and 5, it follows that $I(y, x)$ can
392 be expressed as a linear function of the contagion and the SHDI.

393 Using IT for quantification of ecologically relevant patterns was proposed
394 before (Proulx and Parrott, 2008; Parrott, 2010) but only in the context of
395 measuring the complexity of ecological systems, that is, in terms of our nomen-
396 clature, in the context of linear ordering. Another distinctive feature of the
397 present paper is a thorough explanation of IT concepts in the context of land-
398 scape ecology, which can serve as a guide for future applications.

399 The second contribution is important because it brings into question whether
400 orderings of landscape patterns (for example, by values of their complexity)
401 are useful. For such ordering to be useful ordered landscapes should display
402 a continuously changing pattern. Our results (see Fig. 1) shows that this is
403 not the case for $H(x, y)$ and $H(y|x)$, the two IT-based measures of complexity
404 and also not the case for the Boltzmann entropy. We suggested a simple ex-
405 planation of why this must be so. This shortcoming of orderings has not been
406 noticed before because proposed orderings were tested on either synthetic pat-
407 terns or on small and incomplete samples of real-life patterns (Wang and Zhao,
408 2018; Cushman, 2018). In contrast, we used an evaluation set of landscapes
409 that includes all types land cover configurations.

410 Because $H(x, y)$ is inversely proportional to the contagion index, the or-
 411 dering of landscape patterns by values of $H(x, y)$ (see Fig. 1) demonstrates
 412 that the contagion index, which is considered to be a measure of clumpiness,
 413 is not really a good indicator of this property. Although a deficiency of conta-
 414 gion index as a measure of landscape clumpiness has been previously pointed
 415 out by (Li and Reynolds, 1993; Riitters et al., 1996; He et al., 2000), here we
 416 demonstrate it clearly on real-life landscapes.

417 The third finding – landscape diversity induces landscape compositional
 418 complexity – agrees with intuition. A diversity of categories is a prerequisite
 419 of pattern intricacy. Moreover, we demonstrated that, in real-life landscapes,
 420 there is a high correlation between pattern’s diversity and its complexity. Di-
 421 verse but geometrically simple landscapes are just not found in nature. The
 422 high correlation between $H(y)$ and $H(y|x)$ in real-life landscapes points to
 423 an additional interpretation of relative mutual information U and the HYU
 424 diagram. An equation $H(y|x) = \alpha H(y) + \delta$ states that the complexity of a pat-
 425 tern is equal to its prediction from the linear model, $\alpha H(y)$, which reflects an
 426 observed correlation, plus a “residual” δ . Note that $\alpha \leq 1$ due to the Jensen’s
 427 inequality and that there is no intercept in the linear model because for the
 428 homogeneous landscape $H(y) = H(y|x) = 0$. Thus eq. 5 can be rewritten as

$$I(y, x) = H(y) - [\alpha H(y) + \delta] = (1 - \alpha)H(y) - \delta \quad (6)$$

429 and the relative mutual information U can be expressed as

$$U = I(y, x)/H(y) = (1 - \alpha) - \frac{\delta}{H(y)} \quad (7)$$

430 The term $(1 - \alpha)$ is an “expected” value of U , consistent with the observed
 431 correlation between composition and configuration. For our evaluation set of
 432 landscapes, this term is equal to 0.25. The second term is a part of U unac-
 433 counted for by the linear model. If a pattern is simpler than the linear model
 434 predicts δ is negative; such patterns are located above the $U = 0.25$ horizontal
 435 line on the HYU diagram. If a pattern is more complex than the linear model
 436 predicts δ is positive; such patterns are located below the $U = 0.25$ horizon-
 437 tal line on the HYU diagram. Note that as the diversity of the composition
 438 increases the predictions of the linear model become more accurate.

439 Finally, our forth contribution has direct relevance to landscape classifica-
 440 tion into LPCTs. We have demonstrated that by using two weakly correlated
 441 IT metrics we can organize landscapes in such a way that landscapes with
 442 similar LPCTs are located in nearby locations on the 2D diagram. Thus, our
 443 HYU diagram is a de facto universal classifier of landscape pattern configu-
 444 ration types. It is an improvement over the classic method of Wickham and
 445 Norton (1994) inasmuch as it provides a more detailed classification of pat-
 446 terns’ configurations. However, our method does not consider thematic con-
 447 tent of landscapes. For landscape classification based on configuration and
 448 thematic content, a post-processing step that further divides LPCTs on basis
 449 of themes is needed. This is a straightforward task, which, however, is beyond

the scope of this paper. To facilitate classification of landscapes configurations via the *HYU* diagram we implemented $H(x, y)$, $H(x)$, $H(y|x)$, and $I(y; x)$ as the `lsm.l.joint`, `lsm.l.ent`, `lsm.l.condent`, and `lsm.l.mutinf` functions in the R package `landscapemetrics` (Hesselbarth et al., 2019). The function accepts raster data as an input. Parameters include cells adjacency type (4-connected or 8-connected), and the type of pairs considered (ordered and unordered). Once these metrics are calculated for a set of landscapes, the *HYU* diagram can be constructed. Classification follows from a division of the *HYU* diagram by either manual or computational (clustering) means.

Since landscape patterns change with scale, future work will test the notion of the *HYU* diagram as the universal classifier on landscapes at different scales than in our present evaluation set. In particular, we plan on using land cover dataset having finer resolution than the ESA dataset, such as the National Land Cover dataset (NLCD), to test the *HYU* diagram on landscapes as small as $1\text{km} \times 1\text{km}$. Because the *HYU* diagram is constructed on solid theoretical grounds, we expect that it would classify well landscapes at any scale.

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