

1 **Genome-wide identification and functional analysis of circRNAs in**

2 *Zea mays*

3
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27 **Abstract**

28 Circular RNAs (circRNAs) are a class of endogenous noncoding RNAs, which increasingly drawn
29 researchers' attention in recent years as their importance in regulating gene expression at the
30 transcriptional and post-transcriptional levels. With the development of high-throughput sequencing
31 and bioinformatics, circRNAs have been widely analysed in animals, but the understanding of
32 characteristics and function of circRNAs is limited in plants, especially in maize. Here, 3715
33 unique circRNAs were predicted in *Zea mays* systematically, and 8 of 12 circRNAs were validated
34 by experiments. By analysing circRNA sequence, the events of alternative circularization
35 phenomenon were found prevailed in maize. By comparing circRNAs in different species, it
36 showed that part circRNAs are conserved across species, for example, there are 273 circRNAs
37 conserved between maize and rice. Although most of the circRNAs have low expression levels, we
38 found 213 differential expressed circRNAs responding to heat, cold, or drought, and 1782 tissue-
39 specific expressed circRNAs. The results showed that those circRNAs may have potential
40 biological functions in specific situations. Finally, two different methods were used to search
41 circRNA functions, which were based on circRNAs originated from protein-coding genes and
42 circRNAs as miRNA decoys. 346 circRNAs could act as miRNA decoys, which might modulate
43 the effects of multiple molecular functions, including binding, catalytic activity, oxidoreductase
44 activity, and transmembrane transporter activity. Maize circRNAs were identified, classified and
45 characterized systematically. We also explored circRNA functions, suggesting that circRNAs are
46 involved in multiple molecular processes and play important roles in regulating of gene expression.
47 Our results provide a rich resource for further study of maize circRNAs.

48

49 **Keywords: *Zea mays*, CircRNAs, Identification, Function, miRNA-Decoys**

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53 Introduction

54 Circular RNAs (circRNAs) are a class of endogenous noncoding RNA molecules formed by
55 backsplicing [1-5]. Although circRNAs or circular isoforms (e.g., muscleblind gene, sodium
56 transporter NCX1, the rat cytochrome P450 2C24 gene, ETS and the cytochrome P450 2C18 genes)
57 have been discovered in *Drosophila*, mice, and humans many years [6-10], they have increasingly
58 drawn researchers' attention in recent years for their important roles in regulating gene expression.

59 Unlike mRNA, circRNA transcripts usually lack of the 5' cap and 3' poly(A) tail, and the
60 majority of circRNAs are expressed at low levels compared with linear RNAs [1, 3, 5, 11].
61 However, some specific circRNAs have prominent expression compared to their corresponding
62 linear isoforms, for instance, two antisense transcripts of CDR1as and cANRIL [2, 12]. Different
63 circRNAs are often expressed in specific tissues, cell types or developmental stages [2, 5, 13, 14],
64 and particular time courses, suggesting that circRNAs exhibit spatiotemporal-specific expression
65 patterns [15]. Additionally, certain circRNAs showed evolutionary conservation between humans
66 and mice [2, 3], play roles in regulating gene expression, and are often present in some diseases [12,
67 16-19]. Intriguingly, circRNAs can act as miRNA or RNA binding protein (RBP) sponges, which
68 sequester miRNAs away from their mRNA targets [2, 14, 20-22], for example, CDR1as and Sry as
69 miRNA sponges [2, 23], inferring circRNA regulatory functions in the genetic network [11, 13].
70 With development of high-throughput sequencing, the methods identifying circRNAs have been
71 developed [1-5, 13], and a number of circRNAs have been identified in animals. However, plant
72 circRNAs are still underappreciated with the exception of those in thale cress (*Arabidopsis*
73 *thaliana*), rice (*Oryza sativa*), tomato (*Solanum lycopersicum*), barley (*Hordeum vulgare*), maize
74 (*Zea mays L.*) and trifoliate orange (*Poncirus trifoliata L. Raf.*) [11, 15, 24-30].

75 Maize (*Zea mays L.*) is one of the most important crops worldwide and serves as model
76 organism in biological research. With the development of high-throughput sequencing technology,
77 more and more data have been produced, now it is possible for us to study circRNAs in maize
78 systematically. In this article, maize circRNAs were firstly identified from multiple resources. Then

79 circRNA characteristics, such as genomic distribution, alternative circularization, conservation and
80 expression patterns, were analyzed. Whether CircRNAs act as miRNA decoys to mediate the
81 regulation of gene expression in maize or not were analysed. Finally, maize circRNA functions
82 were inferred in our study. The discovery of maize circRNAs enriches the repositories of plant
83 circRNAs.

84

85 **Results**

86 **Identification and Classification of maize circRNAs**

87 In order to identify circRNAs in *Zea mays*, transcriptome data were firstly collected from 5
88 resources (Table 1), then find_circ, one of methods widely used in circRNA prediction [2], was
89 carried out for the genome-wide identification of circRNAs (S1 Fig), finally we predicted 7011
90 circRNAs totally. After merging the circRNAs with same loci, 3715 unique circRNAs candidates
91 were used for further analysis (S1 Table).

92 All predicted circRNAs can be classified into three different groups based on positional
93 relationship between the circRNA and their related gene: "circRNAs within genes", "circRNAs
94 overlap with genes" and "intergenic circRNAs", with proportions of 47.6%, 19.7%, and 32.7%,
95 respectively (S1 Table). In addition, based on the positional relationship between the circRNA and
96 their related exons, circRNAs can be divided into exonic circRNAs (ecircRNAs) and non-
97 ecircRNAs. In maize circRNAs, there are 2007 ecircRNAs, the backsplice sites of which are
98 located in the CDS-CDS, CDS-3' UTR, CDS-5' UTR, 3' UTR-3' UTR, 3' UTR-5' UTR, and 5' UTR-
99 5' UTR. EcircRNAs account for 54% of the total circRNAs, which constitute the main part of the
100 circRNAs (Fig 1A).

101

102 **Characteristics of circRNAs in maize**

103 When comparing numbers of circRNAs with backsplice sites located in different regions (Fig 1A),
104 we found more circRNAs at 3' UTR-3' UTR than at 5' UTR-5' UTR, and the same case happened

105 between CDS-3' UTR and CDS-5' UTR. The results showed that circRNAs are more frequency in 3'
106 UTR than in 5' UTR. Interestingly, some circRNAs also found in introns. The un-random
107 distributions of circRNAs in genome suggest that they have biological functions. Majority of
108 circRNAs contained 1 to 4 exons less than their corresponding parent genes, most of which had
109 more than 6 exons (Fig 1B). Likewise, the comparison of transcript lengths between the circRNAs
110 and their parent genes displayed circRNAs with shorter lengths ($P < 2.2e-16$, Wilcoxon rank-sum
111 test) (Fig 1C). The two results are in accordance with previous reports [15, 25]. Interestingly,
112 circularized exons from single exon circRNAs have a slightly longer length than exons from
113 circRNAs with multiple circularized exons (Fig 1D), suggesting that longer exons may facilitate
114 circularization [3, 31, 32].

115 The intron length distributions were also compared between the exonic circRNAs and linear
116 genes. We found that introns flanking circularized exon were significantly longer than that of the
117 linear genes ($P < 2.2e-16$, Wilcoxon rank sum test) (Fig 2A), as was demonstrated in previous
118 studies [1, 3, 15, 33, 34]. This observation suggests that longer flanking introns may promote exon
119 circularization. However, determining whether the existence of these structures is necessary for
120 circRNA formation requires further investigation.

121 Because different forms of linear mRNAs are produced during the alternative splicing (AS)
122 process in *Z. mays* [35], we hypothesized that alternative circularization (multiple circRNAs
123 produced from one gene) happened on circRNAs. Based on our results, 905 exon circularization
124 events from 237 parent genes were discovered, with two circRNA isoforms from one gene making
125 up larger proportion (54.0%), three different circRNAs accounting for 18.1%, four for 8.0%, five
126 for 5.5%, and the rest of the genes possessed at least six circRNAs (Fig 2B and S2 Table). Among
127 these alternative circularization events, one parent gene possessed the most 32 circRNAs based on
128 annotated information. Examples of alternative circularization are shown in S2A Fig. Obviously,
129 circRNAs comprise single or multiple exons with or without known exon boundaries from the same
130 gene. Most circRNAs (1431/1768) used new exon boundary, only 90 circRNAs had two annotated

131 exon boundaries, and 247 circRNAs with one known boundary (S2 Table). As a result, ubiquitous
132 alternative circularization increased the complexity of circRNAs in *Z. mays*.

133 134 **Conservation of circRNAs between maize and other species**

135 To evaluate circRNA conservation among different species, we firstly compared circRNAs in *Z.*
136 *mays* with circRNAs in *O. Sativa* and *A. thaliana* [15]. 291 orthologous gene pairs were found in
137 parent genes of circRNA, and a total of 232 circRNAs were found conserved between *Z. mays* and
138 *O. sativa* (Fig 2C and S3A Table), which comprised 14.5% circRNAs parent genes in *Z. mays*
139 higher than the proportion in *O. sativa* (12.2%) in a previous publication [15]. For parent genes in *Z.*
140 *mays* and *A. thaliana*, 109 orthologous gene pairs were found, and a total of 122 circRNAs were
141 conserved between *Z. mays* and *A. Thaliana*. In addition, the ratio of orthologous genes to the total
142 parent genes (10.9%) in *Z. mays* was slightly lower than that in *A. thaliana* (14.5%) [15] (S2B Fig
143 and S3B Table). When merging the conservation information of maize circRNAs in the above two
144 parts, totally 307 conserved maize circRNAs can be identified, in which 47 circRNAs are conserved
145 among maize, rice and arabidopsis. In addition, when comparing maize circRNAs with published
146 circRNAs from tomato and soybean, 115 and 149 maize circRNAs are conserved, respectively
147 (S3C and S3D Table).

148 149 **Validation of circular RNAs in maize**

150 To confirm our identification of maize circRNAs, twelve randomly selected circRNAs from the
151 highly expressed maize circRNAs were used in experimental validation. Divergent primers (S4
152 Table) were firstly designed for each circRNA, then reverse transcription PCR were used to amplify
153 both cDNA and genomic DNA, respectively. Theoretically, it was expected that positive and
154 negative results of amplification would be obtained for cDNA and genomic DNA, respectively. As
155 a control, convergent primers were also designed for each circRNA and used to amplify the linear
156 mRNAs in verification. The amplified PCR products using divergent primers were sequenced to

157 confirm the presence of the back spliced junctions of circRNAs. As a result, 8 of the 12 circRNAs
158 were validated (Fig 3).

159

160 **Expression pattern of maize circRNAs**

161 Twenty-one samples were used to measure the expression abundance of the circular RNAs. The
162 result of two different measurements both showed that expression levels of maize circRNAs were
163 primarily concentrated in 0-0.5 ratio or RPM bin (Fig 4A and S3 Fig). Apparently, the quantitative
164 distribution of circRNAs in the various intervals was more dispersed than the distribution of their
165 parent genes (Fig 4A and S3 Fig). Interestingly, the number of circRNAs with outstanding
166 expression level in the sample of heat was more than other conditions (Fig 4B). Additionally, the
167 Pearson correlation coefficient values were calculated to examine whether the circRNAs were
168 capable of regulating the expression of their parent genes as reported in animals [36, 37]. We found
169 791 significantly positively correlated pairs and 2 significantly negatively correlated pairs amongst
170 1818 total pairs of circRNAs and their parent genes ($P < 0.05$), suggesting their correlation for gene
171 expression regulation (S5 Table).

172 To investigate whether circRNAs have tissue/developmental stage-specific expression patterns
173 [2, 13], transcriptome data from 10 tissues containing 21 samples were used to calculate the tissue-
174 specific expression levels. The results showed that half of the circRNAs possessed tissue-specific
175 expression characteristics with a tissue-specific index greater than 0.9. The number of these
176 circRNAs (1782) with tissue-specific expression was similar in all tissues with the exception of the
177 embryo sac (Fig 4C and S3C Fig). Most circRNAs (1537) were expressed in single tissue, although
178 a few circRNAs (13) were commonly expressed in all 10 tissues. The tissue-specific expression
179 patterns of the circRNAs indicated that they might have specific spatial functions.

180 To examine the differential expression levels of the circRNAs, we compared the expression
181 profiles of circRNAs and genes under control and stress conditions in the different transcriptome
182 data groups. We found that 213 circRNAs were differentially expressed, of which 132 and 117 were

183 up-regulated and down-regulated under heat or cold in seedling, and under drought in stem, leaf, or
184 root, respectively (Table 2). CircRNAs were inclined to be up-regulated or down-regulated with
185 their parent genes simultaneously, except few circRNAs with reverse patterns comparing with their
186 parent genes (Fig 4D and S3 Fig).

187

188 **Possible functions of circRNAs**

189 **Function of circRNAs originated from protein-coding genes**

190 As circRNAs have similar or reverse expression pattern with their parent protein-coding genes, we
191 first performed an enrichment analysis for their parent genes to indirectly infer circRNAs' roles in
192 maize. We found that 1821 of 3715 circRNAs enriched in 1127 parent genes. The GO enrichment
193 analysis showed that parent genes of these circRNAs were primarily associated with cytoplasm or
194 cytoplasmic parts and two other cellular components (plastid and thylakoid). They are also involved
195 in most of molecular functions including catalytic activity, different enzyme activities (lyase,
196 transferase and methyltransferase) and binding to unfolded proteins and ions. In addition, the parent
197 genes were associated with diverse biological processes, such as response to stress, photosynthesis,
198 and protein folding (S4 Fig). The KEGG results further showed that circRNAs' parent genes were
199 primarily related to the metabolism of some biomacromolecules (purine metabolism, porphyrin and
200 chlorophyll metabolism, starch and sucrose metabolism, *etc*), amino acids and cell respiration
201 (glycolysis and citrate cycle) (S6 Table).

202

203 **circRNAs acting as miRNA decoys**

204 In order to investigate the function of circRNAs as miRNA decoys in maize, we firstly identified
205 346 circRNAs which can act as miRNA decoys based on previous methods [38, 39]. Then genome-
206 wide miRNA-circRNA-mRNA networks were constructed to investigate the functions of circRNAs
207 acting as miRNA decoys. The networks were composed of 5269 nodes and 6861 edges; the nodes
208 included 144 miRNAs, 346 circRNAs and 4779 mRNAs (S4 Fig). There were 1314 interactions

209 between these 144 miRNAs and 346 circRNAs acting as miRNA decoys (Fig 5A and S7 Table).
210 We found that the majority of subnets are interconnected in the global regulatory networks (Fig 5B).
211 Interestingly, there were no separate sub-networks between the circRNAs and miRNAs in the
212 miRNA-circRNA-mRNA networks as described above (S4 Fig).

213 Based on the ceRNA hypothesis [40], the function of circRNAs acting as miRNA decoys can be
214 inferred from mRNAs which are in the same subnets with circRNAs. Totally we inferred the
215 functions of 346 circRNAs via 4779 mRNAs (Fig 6). The GO enrichment and functional analysis of
216 the mRNAs suggested that these 346 circRNAs might participate in diverse biological processes,
217 such as multiple metabolic processes, cellular processes, and single organism processes. These
218 circRNAs could also be involved in the formation of cells or cell parts, cytoplasm or cytoplasmic
219 parts, intracellular or intracellular parts and some organelles. Moreover, these circRNAs might
220 modulate the effects of multiple molecular functions, including binding, catalytic activity,
221 oxidoreductase activity, and transmembrane transporter activity. Consequently, circRNAs may play
222 significant roles in various cell locations, metabolic processes, and stress responses (S8 Table).
223 Several pathways about energy metabolism were found, like carbon fixation pathways (25
224 circRNAs with 7 parent genes, p-value < 0.05), nitrogen metabolism (11 circRNAs with 3 parent
225 genes, p-value < 0.05), starch and sucrose metabolism (22 circRNAs with 17 parent genes, p-value
226 = 0.055) and photosynthesis (1 circRNA with 1 parent gene, p-value = 0.018), showing that
227 circRNAs play important roles in plant yield (S6B Table).

228

229 **Discussion**

230 At present, the exploration of circRNA is particularly scarce in plants compared to animals. To date,
231 circRNAs studies in plant species have been confined to several model plants. In this article, 3715
232 unique circRNAs were identified systematically, which can be classified into three different groups.
233 Then the functional analysis of circRNAs was conducted and our results provide a basis for
234 subsequent researches.

235

236 **Complementary sequences and inverted repeat pairs are not the main driving force**
237 **underlying backsplicing of circRNAs**

238 In animals the driving force underlying backsplicing of circRNAs was complementary sequences or
239 repetitive sequences in the introns flanking back-spliced exons that form double-stranded RNA
240 structures to bring the splice sites adjacent to one another [23, 31, 41-43]. However, it is not clear
241 whether the intron pairing was also the predominant driving force for circRNA formation in plant.
242 Comparing the intron length distributions of circRNAs with that of linear gene, we found that
243 longer introns maybe involved in the formation of circRNAs in maize, which were also found in
244 tomato (S5 Fig). So this feature of longer introns flanking circularized exons found in maize,
245 tomato, rice and thale cress maybe a common feature of plant circRNAs [15]. In addition, a total of
246 0, 1, 3, 4, and 7 complementary sequences were present in the 20 nt, 50 nt, 100 nt, 200 nt and 500 nt
247 bins of introns flanking circularized exons in maize, respectively (S9 Table). The max percentage of
248 complementary sequences in introns was 0.19% for the total 3715 circRNAs. A total of 55.6% of
249 the complemented sequences had short lengths less than 100 nt, and the longest length was 472 nt
250 (S9 Table). The alignment of the intronic sequences to the repetitive sequences showed that only 14
251 out of 3715 circRNAs overlapped with annotated elements in the 500 bp intronic regions. However,
252 of the 7 circRNAs with reverse complements in the 500 bp region, 2 possessed repeats that were
253 detected only in the downstream region (i.e., with no pairing ability) (S5 Fig). In conclusion, only
254 handful of complementary sequences and inverted repeat pairs detected in the intron flanking
255 circRNAs, which markedly differed from the results obtained in animals and suggested that other
256 factors or driving forces were likely to be involved in the formation of circRNAs.

257

258 **The potential function of circRNAs**

259 To date, the functions of circRNAs are largely unknown. By using our developed methods, the
260 function of circRNAs can be inferred. To investigate whether circRNAs acted as miRNA decoys in

261 maize, the method used in our previous reports was used to identify circRNAs as miRNA decoys
262 [39]. Overall, 346 unique circRNAs out of 2007 exonic circRNAs were potential decoys for 144
263 unique miRNAs. Interestingly, when compared circRNAs as miRNA decoys with our previous
264 results (lincRNAs as miRNAs decoys) [39], we found that the ratio of circRNAs as miRNA decoys
265 was higher than that of lincRNAs as miRNA decoys, which may imply their different roles in
266 regulating gene expression.

267 In addition, the function of circRNAs was further predicted based on the ceRNA hypothesis and
268 GO analysis. By comparing the potential function of the circRNAs from the parent gene and acting
269 as miRNA decoys, the GO items (53) of the circRNAs as miRNA decoys more than the items (14)
270 of circRNAs from the parent gene. But the functional prediction results of circRNAs from this two
271 parts were consistent in several aspects, such as components of cytoplasmic, catalytic and binding
272 activity, response to stress and intracellular metabolic processes. Several pathway refer to energy
273 transformation (carbon fixation pathways, starch and sucrose metabolism, nitrogen metabolism,
274 and photosynthesis) suggests circRNAs may contribute to crop products. Therefore, these results
275 further prove that circRNAs could participate in a variety of biological processes, constitute
276 different cell components and exert a variety of molecular functions.

277 Although the results of circRNAs' functional prediction and expression profiling in this paper
278 show that maize circRNAs may be involved in a variety of metabolic processes and stresses in
279 plants, the function of plant circRNAs need to be further validated.

280

281 **Conclusions**

282 This study found 3715 unique circRNAs in maize across different experiments by employing a
283 computational pipeline for the genome-wide identification of circRNAs. Furthermore, the property
284 of circRNAs, patterns of differential expression and tissue-specific expression are investigated.
285 Finally, possible functions of circRNAs are inferred by two different methods. Our method and
286 results provide an in-depth analysis of maize circRNAs and can be expanded to more plant species.

287 In addition, future experimental studies are required to elucidate the mechanisms and functions of
288 circRNAs in plants.

289

290 **Materials and Methods**

291 **Data sets**

292 The *Zea mays* genomes and gene annotation files were downloaded from Phytozome v9.0
293 (<http://phytozome.jgi.doe.gov/pz/portal.html>). Transcriptome data were downloaded from NCBI
294 under accession number SRP006965 (containing embryo sac, ovule, mature pollen, and seedling),
295 SRP011480 (only the B73 line for unpollinated ear tip, seedling shoot, immature tassel, and
296 seedling root), SRP041183 (presenting the transcriptome of *Z. mays* genotypes under control and
297 stress conditions), SRP052520 (representing drought stress treatment of 14-day seedlings) and
298 SRP061631 (three tissue of leaf, root and stem under control and drought condition). The repetitive
299 sequence data were downloaded from the Plant Repeats Database ([http://plantrepeats.plantbiology.
300 msu.edu/downloads.html](http://plantrepeats.plantbiology.msu.edu/downloads.html)). Find_circ used to identify maize circRNAs was downloaded from
301 https://github.com/marvin-jens/find_circ.

302

303 **Computational identification and characteristics of circRNAs**

304 The algorithm find_circ [2] was mainly used to predict circRNAs in our study. Generally, the main
305 pipelines are as follows. Transcriptome data were transferred to the computational method
306 (find_circ) to identify circRNAs in the genome. Concisely, all reads were mapped to the reference
307 genome by Bowtie2 (v2.1.0)[44]. Then, 20 nucleotide sequences from both ends of the unmapped
308 reads were aligned independently to the reference genome to find unique anchor positions. The
309 anchors located in genomes with a reversed orientation from the initial order in the reads were
310 regarded as circRNA splicing events. The GU/AG splice sites should be satisfied when the splicing
311 event occurs around a breakpoint. The following additional filters were used: not less than two
312 junction reads to support the circRNA splicing and no more than a 100 kb splicing distance in the

313 genome. In-house perl scripts were used to analyze the characteristics of maize circRNAs.

314

315 **Conservation analysis of circRNAs**

316 To explore circRNA conservation, circRNA candidates predicted as exonic circRNAs were selected
317 in *Z. mays*, *A. thaliana* and *O. sativa* [15]. To determine the orthologous gene pairs between species,
318 *Z. mays* protein sequences were blasted against with *A. thaliana* and *O. sativa* protein
319 sequences (BlastP in BLAST+, v2.2.26, $E < 1e-10$), and the best paired genes were selected as
320 orthologs by using our in-house Perl scripts. Then circRNAs from orthologous parental genes in
321 both species were reanalyzed and regarded as conserved circRNAs by using BLAST (BLAST+,
322 v2.2.26). Another two species, *S. lycopersicum* and *G. max* were analyzed with the same method.
323 The alignment programme mVISTA was used to globally align DNA sequences to allow
324 identification of sequence similarities and differences ($P < 0.05$).

325

326 **Validation of maize circRNAs**

327 Maize (*Zea mays L.*) seedlings were first grown for 2-week-old in the greenhouse (30/22°C of
328 day/night temperature, a 16-h light/8-h dark cycle). Total RNA was isolated from leaves of the
329 maize seedlings using TRIzol reagent (Ambion) according to the manufacturer's instructions.
330 Partial total RNA samples were treated for 15 min at 37°C with 3 units μg^{-1} of RNase R (Epicentre).
331 RNase R could digest essentially linear RNAs. First-strand cDNA was synthesized from untreated
332 and RNase R-treated total RNA using the iScript cDNA synthesis kit (Bio-Rad) respectively.
333 Polymerase chain reaction (PCR) primers (divergent and convergent) were designed for circRNA
334 validation (S4 Table). The reagent 2 × Taq Master Mix (Vazyme, Nanjing, CN) was used for cDNA
335 and gDNA amplification. PCR amplification conditions were as follows: 5 min pre-denaturation at
336 94°C; 34 cycles of 30 s denaturing at 94°C, 40 s annealing at the suitable temperature according to
337 the primers, 30 s extension at 72°C; 10 min extension at 72°C. Then, Sanger sequencing was
338 performed on all PCR products.

339

340 **Expression analysis of circRNAs and their parent genes**

341 The expression level of each circRNA was evaluated by ratio of circular-to-linear and junction read
342 counts (RPM) [4, 33]. The expression levels of the corresponding mRNAs were determined by
343 RPKM values (reads per kilobase of exon model per million mapped reads in the sample). The
344 circRNAs were classified into five levels: 0-0.5, 0.5-1, 1-5, 5-10, and >10. The parent genes were
345 classified as 0-50, 50-100, 100-500, 500-2000, and >2000. Pearson's correlation coefficient was
346 used to evaluate the coexpression of circRNAs and their parent genes.

347 The degree of tissue specificity for circRNAs was evaluated with a tissue-specific index that

348 ranged from 0 to 1 [45]. The tissue-specific index = $\frac{\sum_{i=1}^n (1 - \exp_i / \exp_{max})}{(n-1)}$, in which n is the

349 number of tissues, \exp_i is the expression value of each circRNA in tissue i , and \exp_{max} is the
350 maximum expression value of each circRNA or linear gene among 10 selected tissues. The
351 circRNAs with an index greater than 0.9 were deemed as exhibit specific expression in one tissue.

352 The criteria for differential expression in circRNAs and their parent genes were determined by fold
353 changes based on the software edgeR ($P < 0.05$).

354

355 **Function predictions of circRNAs in maize**

356 **Functional analysis of circRNAs originated from protein-coding genes**

357 To explore whether circRNAs were generated from parent genes with special functions, the protein
358 sequences of the parent genes corresponding to the circRNAs were firstly blasted against the nr
359 database, then GO enrichment and KEGG analysis were performed for the parent genes generating
360 circRNAs by using Blast2GO with Fisher's exact test ($FDR < 0.05$)[46].

361

362 **Functional analysis of circRNAs acting as miRNA decoys**

363 To test whether circRNAs could act as miRNA decoys, 203 sequences of plant miRNAs
364 downloaded from miRBase (<http://mirbase.org/>) were aligned to the circRNA candidate sequences

365 using GSTAr.pl (<https://github.com/MikeAxtell/GSTAr>). The method used to predict miRNA
366 decoys from circRNAs was built from previous reports [38, 39]. The general criteria were as
367 follows: 1) one to six mismatched or inserted bases were allowed between the ninth to twentieth
368 nucleotides from the miRNA 5' end; 2) the position from the second to the eighth nucleotide of the
369 5' end of the miRNA sequence was requested to have perfect matching; 3) no more than 4
370 mismatches or indels were allowed in other regions.

371 We also constructed and visualized the circRNA-miRNA-mRNA network by integrating
372 miRNAs, circRNAs acting as miRNA decoys and mRNAs acting as miRNA targets [39]. The
373 functions of the circRNAs acting as miRNA decoys were speculated based on the circRNA-
374 miRNA-mRNA networks according to the ceRNA hypothesis and GO analysis. The IDs of all listed
375 mRNAs connected with circRNAs acting as miRNA decoys were firstly submitted to Blast2GO,
376 then conduct the GO enrichment analysis using Fisher's exact test ($FDR < 0.05$).

377

378 **The driving force underlying backsplicing of circRNAs**

379 According to the annotation file, the different gradient intronic sequences flanking the backsplice
380 sites (20 nt, 50 nt, 100 nt, 200 nt, and 500 nt) were extracted. To test the extent of reverse
381 complementation of intronic sequences flanking circRNAs, those sequences used as input and
382 database were blasted to itself (BlastN in BLAST+, v2.2.26, $E < 1e-5$, word size 5). The BLAST
383 results were examined using our custom Perl scripts. In addition, repetitive sequences were aligned
384 to 500 nt downstream and upstream of intronic sequences flanking the backsplice sites to determine
385 whether the repetitive elements played a role in the circularization mechanism.

386

387 **Supporting information**

388 **S1 Fig. Workflow used to predict circRNAs.** Schematic diagram for the prediction of circRNAs
389 in accordance with the method in a previous report.

390 **S2 Fig. Alternative circularization and conservation.** (A) Example of single and multiple

391 circularized exon and type of alternative circularization. Backspliced reads are marked. Orange
392 rectangles: exons in transcripts; yellow rectangles: exons in another transcript derived from the
393 same gene in the third example. Black line at the exon boundaries: backsplice site overlapped with
394 known exon boundaries; red line: novel splice site. Absolute green arrows: backsplice using both
395 annotated splice sites; green arrows with a blue rim: backsplice using two annotated splice sites
396 from different transcripts; blue arrows: backsplice using no known exon boundaries. (B) Another
397 example of sequence conservation analysis of circRNAs between *A. thaliana* and *Z. mays*. The label
398 is the same as Fig 2C.

399 **S3 Fig. Expression profiling of circRNAs and their parent genes.** Related to Fig 3. (A)
400 Expression level of circRNAs measured by the ratio of circular to linear in different scopes. (B)
401 Expression level of parent genes overlapped with circRNAs in different ranges. (C) Heatmap of
402 circRNAs with tissue-specific expression. (D) Differential expression of circRNAs and their parent
403 genes under heat conditions in the seedlings.

404 **S4 Fig. Functional analysis of circRNAs.** (A) Enrichment analysis for genes overlapped with
405 circRNAs that were significant (Fisher's test, $P < 0.05$). The x- and y-axes are the same as Figure 5.
406 (B) Genome-wide miRNA-regulated networks. Pink nodes: circRNAs; blue nodes: miRNAs; green
407 nodes: mRNAs. Grey edges: correlations.

408 **S5 Fig. Feature of intron flanking circularized exons.** (A) Mean length distribution of upstream
409 and downstream intron flanking circularized exons in *tomato*. (B) Example for intron pairing of
410 reverse complementation in flanking sequences of the backsplice sites. Repetitive elements exist in
411 the downstream of these complementary sequences (red colour). A part of the pair of the second
412 circRNA is shown.

413 **S1 Table. Prediction of circRNAs from various transcriptome data sets in *Z. mays*.**

414 **S2 Table. Alternative circularization phenomenon and whether the backsplice site is
415 annotated.**

416 **S3 Table. Result of Conservation analysis.** (A) Result of Conservation analysis between maize

417 and rice. (B) Result of Conservation analysis between maize and *Arabidopsis Thaliana*. (C) Result
418 of Conservation analysis between maize and Soybean. (D) Result of Conservation analysis between
419 maize and tomato.

420 **S4 Table. Primers used to validate maize circRNAs.** (A) Divergent primers for validation of
421 candidate circRNAs. (B) Convergent primers for validation of candidate circRNAs.

422 S5 Table. Correlation of circRNAs and their corresponding parent genes.

423 **S6 Table. Functional prediction of circRNAs originated from protein-coding genes indirectly.**

424 (A) CircRNAs and their parent genes function. (B) Pathway statistics

425 **S7 Table. List of circRNAs acting as miRNA decoys.** miRNA were listed in the first column.

426 CircRNA in the second column acting as the miRNA decoys. The following columns mean the
427 starting and terminating sites between circRNA and miRNA, MFE of a perfectly matched site, MFE
428 of the alignments, MFE_{site}/MFE_{perfect}, respectively. MFE: minimum free energy.

429 **S8 Table. Gene ontology (GO) analysis of circRNAs acting as miRNA decoys based on ceRNA
430 hypothesis.**

431 **S9 Table. Reverse complement in different intervals of intron flanking circularized exons.** The
432 length was extracted in 50 nt, 100 nt, 200 nt and 500 nt intronic regions.

433

434 **Abbreviations**

435 circRNAs: Circular RNAs; RPM: Reads per million mapped reads; ss: Splicing site; miRNAs:
436 microRNAs; RBP: RNA binding proteins; ecircRNA: Exonic circRNAs; CDS: Coding sequence;
437 UTR: Untranslated regions; AS: Alternative splicing; RPKM: Reads per kilobase of exon model per
438 million mapped reads; IRES: Internal ribosome entry site; ORF: Open reading frame; ceRNA:
439 Competing endogenous RNAs; GO: Gene ontology; lincRNAs: Long intergenic noncoding RNAs;
440 nt: Nucleotide; dr: Drought; ctrl: Control.

441

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449

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456

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573

574 **Table 1. The number of predicted circRNAs from five resources.**

Resource	The number of predicted circRNAs	Corresponding tissues
Resource 1	588	seedling_ctrl ^a ; seedling_dr ^b
Resource 2	1018	seedling; pollen; ovule; embryo sac
Resource 3	1768	cold; ctrl; heat; salt; UV
Resource 4	2276	leaf_ctrl; leaf_dr; root_ctrl; root_dr; stem_ctrl; stem_dr
Resource 5	1361	ear; root; shoot; tassel
Total	7011	
Total Unique	3715	

575 ^actrl: control; ^bdr: drought

576

577 **Table 2. Differential expression of circRNAs between control and treatment.**

Control	Treatment	Number of circRNAs	Up ^a	Down ^b
seedling_ctrl	seedling_dr	58	34	24
ctrl	cold	42	25	17
ctrl	heat	48	25	23
leaf_ctrl	leaf_dr	46	20	26
root_ctrl	root_dr	43	24	19
stem_ctrl	stem_dr	41	18	23

578 ^aNumber of circRNAs which up-regulated between control and treatment.

579 ^bNumber of circRNAs which down-regulated between control and treatment.

580

581

582 **Fig 1. General feature of the circRNA candidates.** (A) Genomic locations of the circRNAs. The
 583 backsplice site was predominantly located at CDS-only and intergenic-only. (B) Exon number
 584 distributions of circRNAs and their parent genes. (C) Length distributions of circRNAs and their
 585 parent genes. (D) Length distributions of back-spliced exons corresponding to different numbers of

586 exons.

587 **Fig 2. The genomic character and conservation of circRNAs.** (A) Mean length distribution of
588 upstream and downstream intron flanking circularized exons in *Z.mays*. Longer intron bracketing
589 circularized exons was observed than the parent gene. (B) The number of genes (y-axis) that
590 generated different numbers of circRNAs (x-axis). (C) An example of sequence conservation
591 analysis of circRNAs between *O. sativa* and *Z. mays*. Y-axis, levels of conservation based on
592 genomic sequence similarity. The locations of circRNAs in their respective parent genes are marked
593 with a red box. Conserved regions are labelled in different colours by VISTA according to the
594 annotations (exons in blue shadow, UTR in cyan shadow and CNS (non-coding sequences) in red
595 shadow).

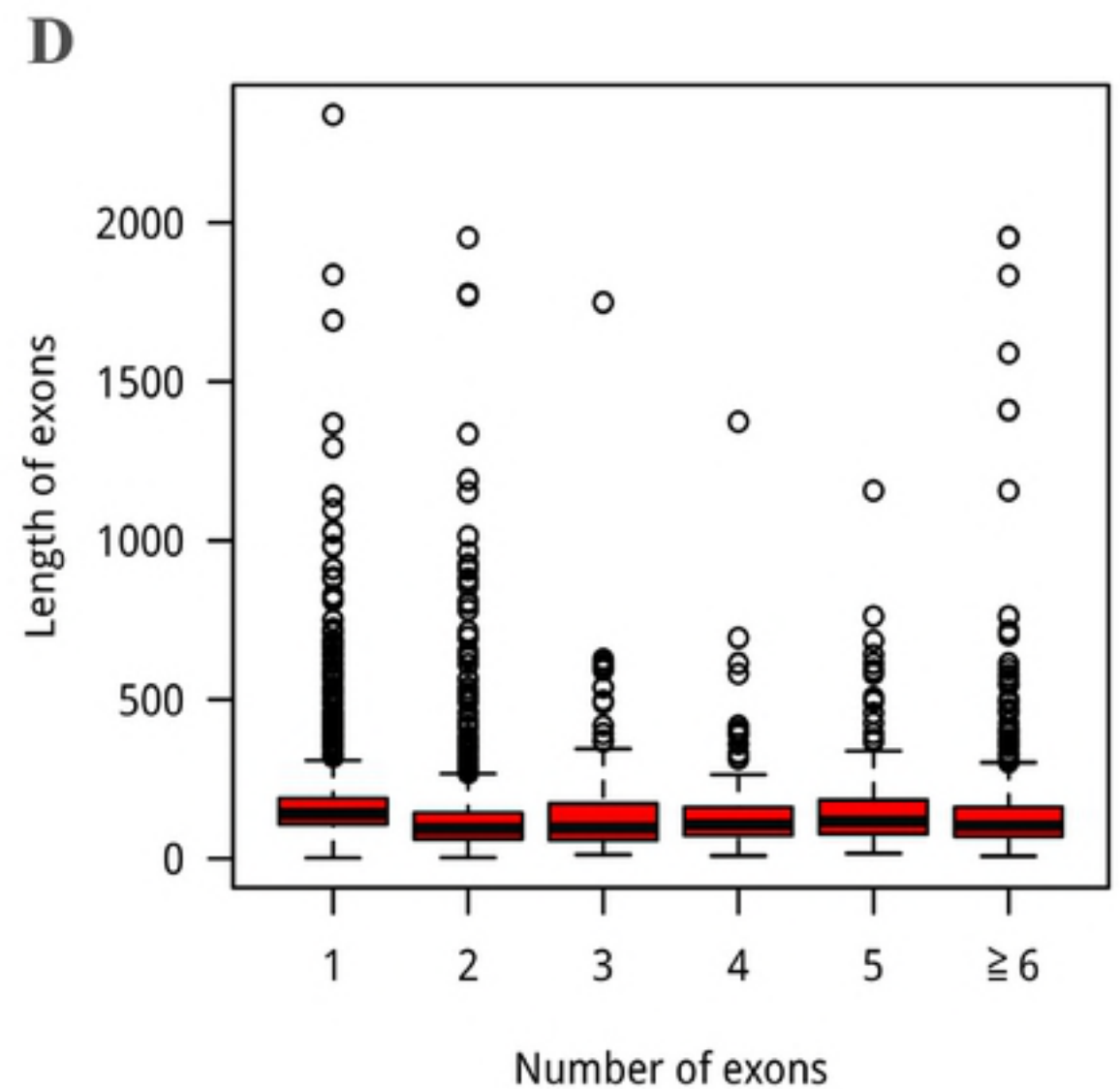
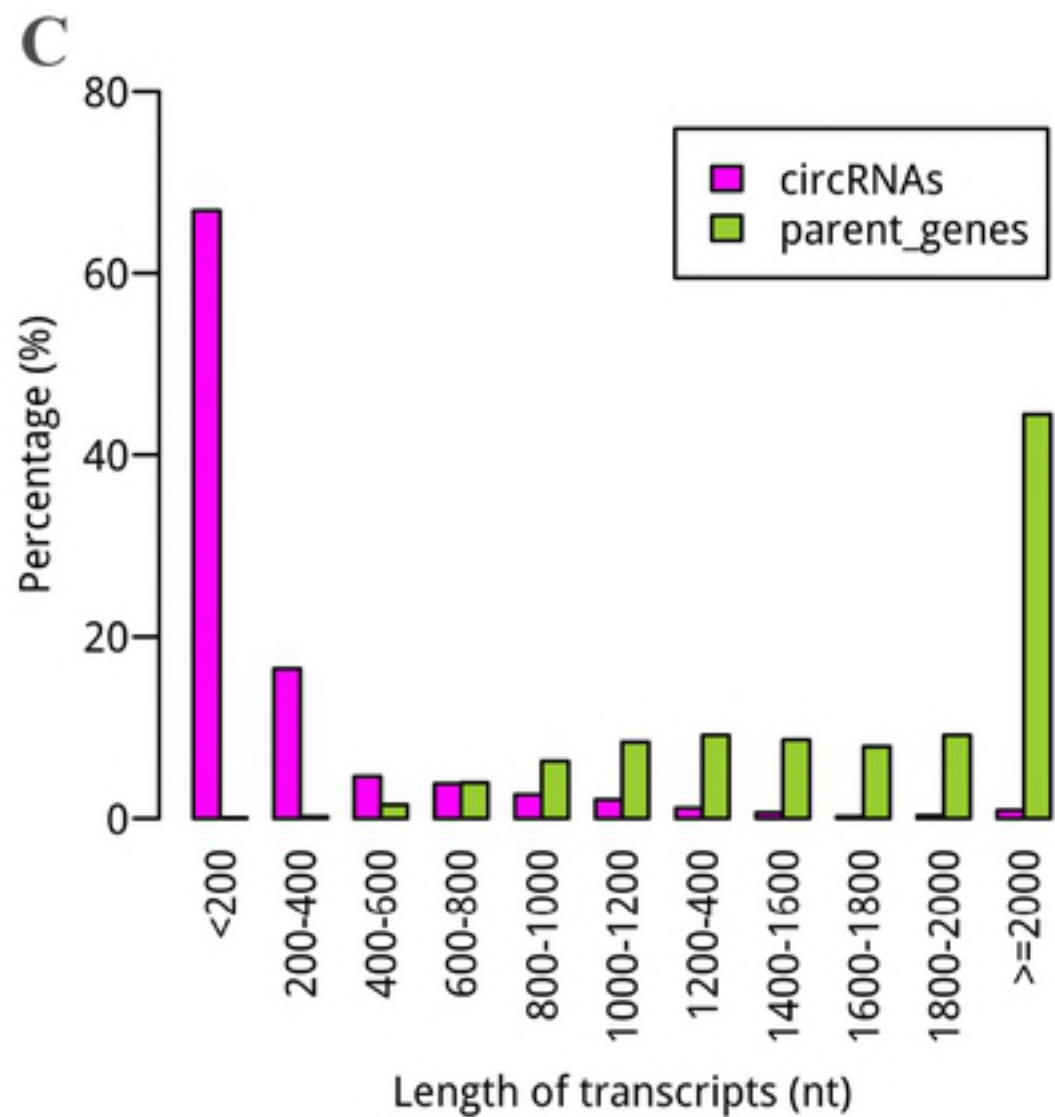
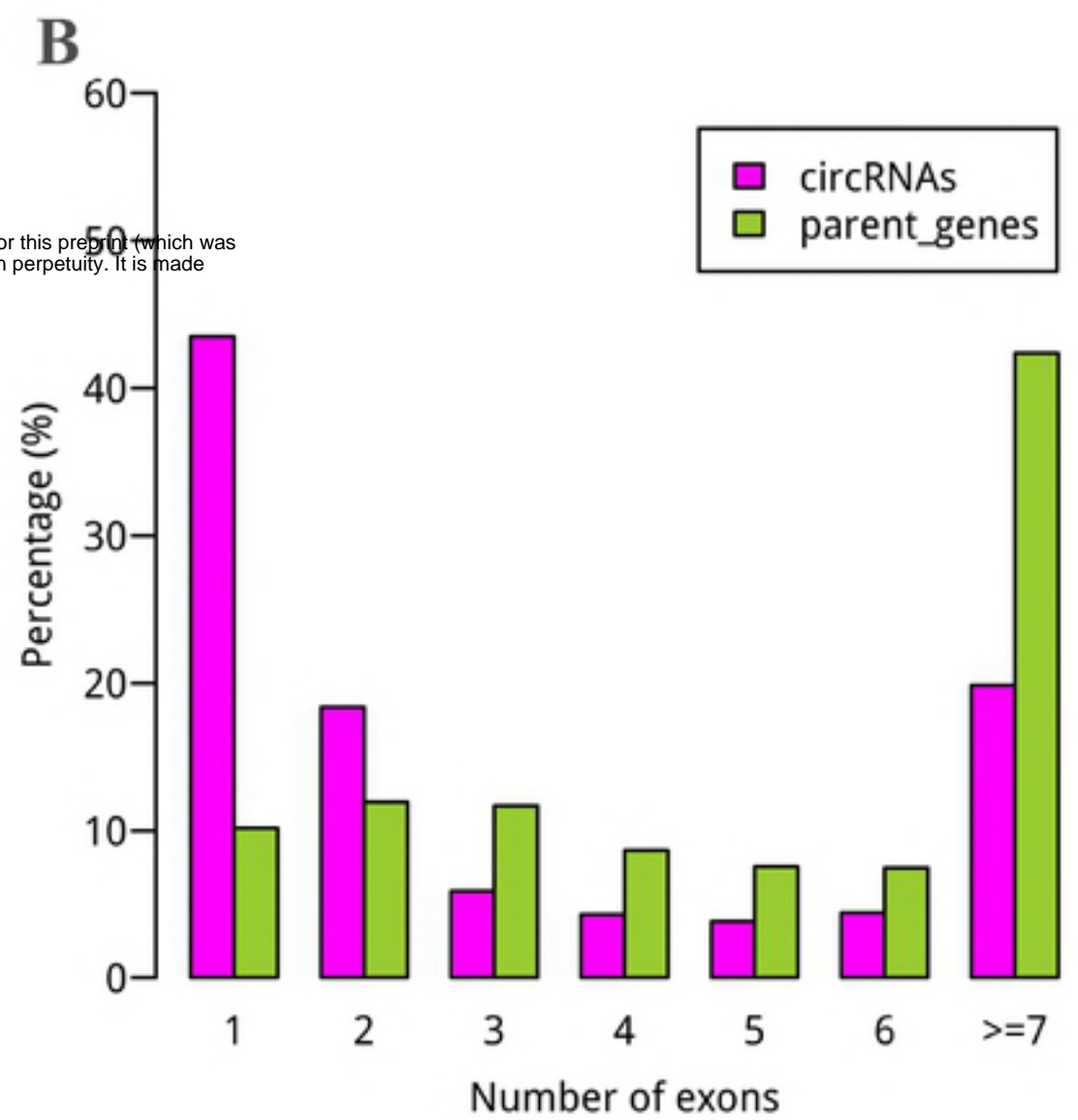
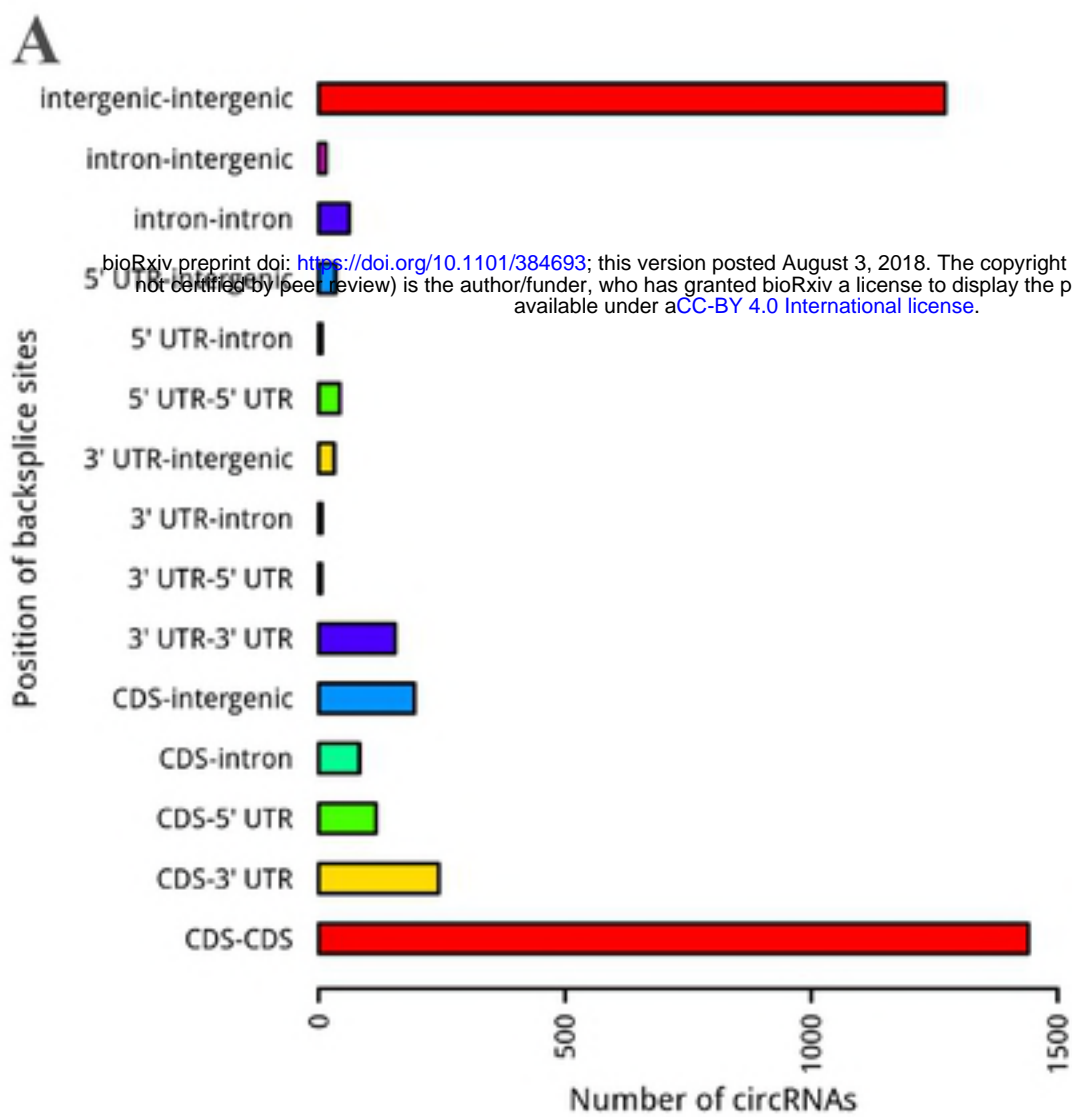
596 **Fig 3. Validation of maize circRNAs.** Validation of circular RNAs (circRNAs) by PCR
597 amplifications with divergent primers in maize. (A) Divergent and convergent primers for
598 amplification of circRNA and linear RNA are shown in a model, respectively. (B) A set of
599 divergent primers (black back-to-back triangle pairs) successfully amplified eight circRNAs in
600 cDNA. A set of convergent primers (black opposing triangle pairs) could work on both cDNA and
601 genomic DNA. Note: 1: seedling_test_circ_000962, 2: seedling_test_circ_001425, 3: seedling_test_
602 circ_000119, 4: seedlingd_test_circ_002114, 5: Actin, 6: seedlingc_test_circ_000623, 7: seedlingd_
603 test_circ_000813, 8: seedling_test_circ_001637, 9: control_test_circ_004099.

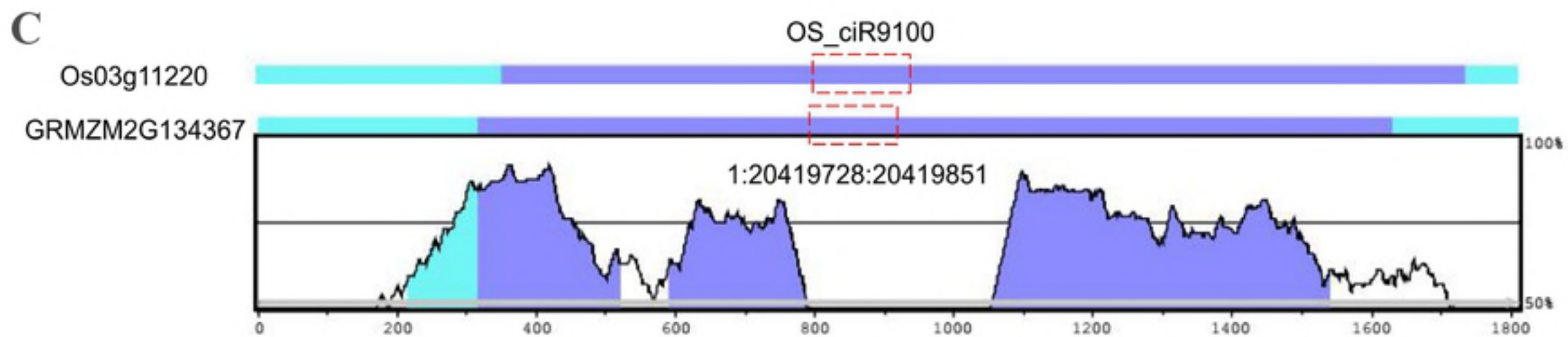
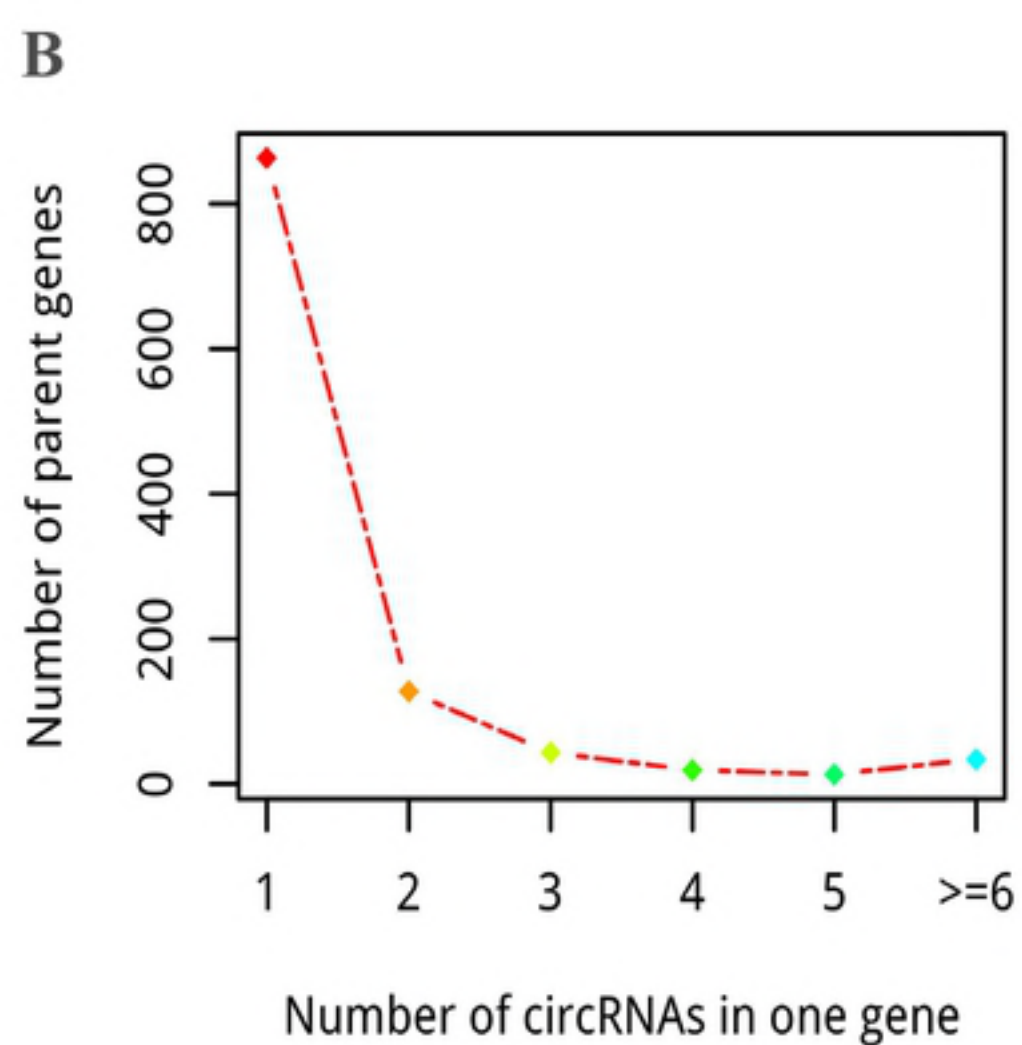
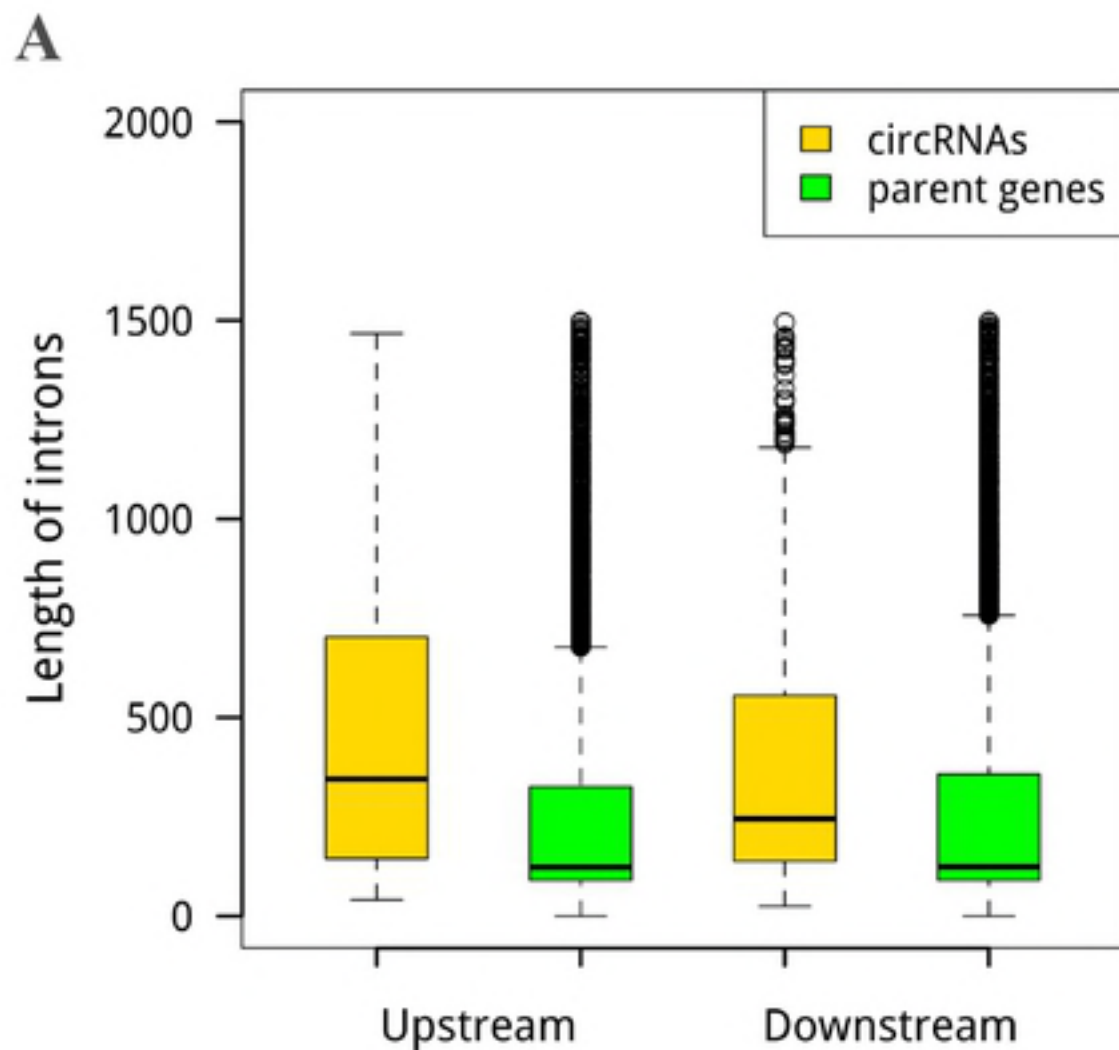
604 **Fig 4. Expression pattern of circRNAs.** (A) Expression level of circRNAs in different scopes.
605 CircRNAs were usually expressed at lower levels, which may explain their difficult detection
606 because they lack a poly(A) tail. ctrl and dr indicate control and drought, respectively. (B) The
607 degree of circRNA expression under five different treatments was shown by heatmap. More
608 circRNAs were present under heat stress. (C) Pie chart with rainbow colours showing the ratio of
609 circRNAs possessing tissue-specific expression in 10 different tissues. (D) Tendency for differential
610 expression of circRNAs and the overlapping genes was plotted using fold changes between the
611 drought and control in root as the standard. The number of both differentially expression circRNAs

612 and genes is marked.

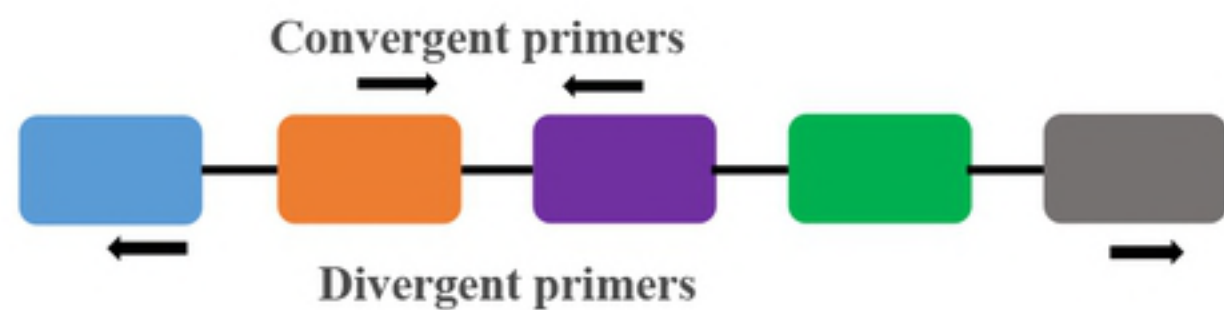
613 **Fig 5. circRNAs acting as miRNA decoys.** (A) Binding sites between a circRNA and the
614 corresponding miRNA. (B) The circRNA-miRNA regulatory network. The single network was
615 marked by orange circle. Representative single network were extracted from the integral network.
616 Pink nodes represent circRNAs and blue nodes represent miRNAs. The edges represent connected
617 nodes that exist as a correlation.

618 **Fig 6. Enrichment analysis for the function of circRNAs as miRNA decoys.** The GO terms
619 containing BP (biological processes), MF (molecular functions) and CC (cell components). The GO
620 annotation is presented on the x-axis legend and the percentage of genes on the y-axis legend
621 (Fisher's test, $P < 0.05$).





A



B

