Blood, sweat and tears: a review of non-invasive DNA sampling

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SUMMARY

The use of DNA data is ubiquitous across animal sciences. DNA may be obtained from an

organism for a myriad of reasons including identification and distinction between cryptic

species, sex identification, comparisons of different morphocryptic genotypes or

assessments of relatedness between organisms prior to a behavioural study. DNA should be

obtained while minimizing the impact on the fitness, behaviour or welfare of the subject

being tested, as this can bias experimental results and cause long-lasting effects on wild

animals. Furthermore, minimizing impact on experimental animals is a key Refinement

principle within the "3Rs" framework which aims to ensure that animal welfare during

experimentation is optimised. The term 'non-invasive DNA sampling' has been defined to

indicate collection methods that do not require capture or cause disturbance to the animal,

including any effects on the behaviour or fitness. In practice this is not always the case, as
the term 'non-invasive' is commonly used in the literature to describe studies where animals
are restrained or subjected to aversive procedures. We reviewed the non-invasive DNA
sampling literature for the past six years (346 papers published in 2013-2018) and uncovered
the existence of a significant gap between the current use of this terminology (i.e. 'non-
invasive DNA sampling') and its original definition. We show that 58% of the reviewed
papers did not comply with the original definition. We discuss the main experimental and
ethical issues surrounding the potential confusion or misuse of the phrase 'non-invasive DNA
sampling' in the current literature and provide potential solutions. In addition, we introduce
the terms 'non-disruptive' and 'minimally disruptive' DNA sampling, to indicate methods
that eliminate or minimise impacts not on the physical integrity/structure of the animal, but
on its behaviour, fitness and welfare, which in the literature reviewed corresponds to the
situation for which an accurate term is clearly missing. Furthermore, we outline when these
methods are appropriate to use.
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60 KEYWORDS: eDNA, animal behaviour, fitness, refinement, animal welfare
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1. DNA COLLECTION AND THE NON-INVASIVE MISNOMER

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DNA data are becoming increasingly important in animal biology [1], both for experimental
and observational studies. This is partially driven by the progressively cheaper and more
user-friendly ways of accessing genomic information [2]. Analysis of genetic material provides
data for myriad uses. In addition to analysis of phylogenetic relationships or population
genetics, DNA analysis is required to determine basic information about individuals of many
species [3]. When DNA analysis is required for purposes such as sexing, kinship and
differentiation between cryptic species prior to experimentation, the DNA sampling
procedure could bias the results of the subsequent experiment. It is therefore essential to
minimise the effect that DNA sampling can have on the fitness or behaviour of the subject
being tested. Furthermore, ethical use of animals in experimentation is guided by the '3Rs'
framework of Refinement, Replacement and Reduction (e.g. [4]). The impact of DNA collection
is particularly relevant to the principle of Refinement where techniques with the lowest

impact on the animal model should be used whenever possible. Refinement of
experimentation is only possible when impact on the animal is accurately identified.

Methods of DNA collection were originally defined as 'non-invasive' if "the source of the
DNA is left behind by the animal and can be collected without having to catch or disturb the
animal" [5,6], for example when genetic material was left behind in traces or scats (i.e. *sensu*
environmental DNA (eDNA)), implicitly avoiding any impact on animal welfare. However,
DNA collection is not restricted to that of eDNA, and the term non-invasive has often been
subsequently misapplied in the literature [7]. In practice, so-called 'non-invasive' methods
have often encompassed DNA collection techniques that preserve the physical integrity of
an organism but have an unmeasured, and potentially significant, impact on the fitness,
behaviour or welfare of the subject being studied. For example, the following DNA collection
methods were all defined as 'non-invasive' by the respective authors: gentle pressure
applied to the thorax and abdomen of carabid beetles (*Poecilus cupreus*) to trigger
regurgitation [8]; flushing of sage-grouses (*Centrocercus urophasianus)* from their roost sites
to collect fresh faecal pellets [9]; and trapping, handling and cloacal swabbing of lizards
(*Phrynosoma cornutum*) [10]. Misleading use of terminology in biology and ecology is a
longstanding concern [11–13]. As with many other terms in biology the phrase "non-invasive
DNA sampling" has been used in many different and inconsistent ways by various authors.
This is problematic for assessing impact on animals, identifying opportunities for refinement,
and for ensuring validity and quality of the data collected. To demonstrate the extent of the
issue, we conducted a systematic review of the recent literature (2013-2018) and evaluated
how well papers using the term "non-invasive DNA sampling" complied with the original
definition by Taberlet et al. [6].

2. METHOD
We conducted a keyword-based search on the Web Of Science core collection using the
keywords DNA and non-invasive or DNA and noninvasive (as both spellings were originally
proposed and are in common use [5,6]. We restricted our search to articles published in
relevant disciplines and between 2013 and 2018. The search command used was the
following:

(TS=((dna AND non-invasive) OR (dna AND noninvasive)) AND SU=(ecology OR zoology OR
ornithology OR ecology OR environmental sciences OR entomology OR fisheries OR
behavioural science OR Biodiversity & Conservation) AND PY=(2013 OR 2015 OR 2017 OR
2014 OR 2016 OR 2018))

Results were then refined to experimental papers written in English. On the 9th of July 2018, this search yielded 377 articles. We screened these articles retaining those in which animal DNA samples were actually collected, leading to 355 articles, and removed articles with insufficient methodological information. A total of 346 papers were retained in our final dataset (see list in supplementary file 1). Although this dataset may not be exhaustive; it is taken to be representative of the current literature on non-invasive DNA sampling.

During the same time period and in the same fields as above, we estimated the total number of articles focusing on invertebrates versus vertebrates using the following commands:

- (TS=(mammal) OR TS=(vertebrate) OR TS=(bird) OR TS=(amphibian) OR TS=(reptile) OR TS=(fish) NOT (TS=(insect) OR TS=(invertebrate) OR TS=(crustaceans) OR TS=(annelid) OR TS=(echinoderm) OR TS=(nemathelminth) OR TS=(arachnids) OR TS=(arthropod) OR TS=(plathelminth)) AND SU=(ecology OR zoology OR ornithology OR ecology OR environmental sciences OR entomology OR fisheries OR behavioural science OR Biodiversity & Conservation) AND PY=(2013 OR 2015 OR 2017 OR 2014 OR 2016 OR 2018))

- (TS=(insect) OR TS=(invertebrate) OR TS=(crustaceans) OR TS=(annelid) OR TS=(echinoderm) OR TS=(nemathelminth) OR TS=(arachnids) OR TS=(arthropod) OR TS=(plathelminth) NOT (TS=(mammal) OR TS=(vertebrate) OR TS=(bird) OR TS=(amphibian) OR TS=(reptile) OR TS=(fish)) AND SU=(ecology OR zoology OR ornithology OR ecology OR environmental sciences OR entomology OR fisheries OR behavioural science OR Biodiversity & Conservation) AND PY=(2013 OR 2015 OR 2017 OR 2014 OR 2016 OR 2018))

The results from these searches were used as non-exhaustive but comparable numeric estimates only, and were therefore not further curated.

3. THE SEVEN DEADLY SINS OF NON-INVASIVE DNA SAMPLING

Our systematic review revealed that 58% of papers using the phrase "non-invasive" or "noninvasive" did not comply with the original definition given by Taberlet et al. [6] (Fig 1a). This was the case even when this phrase was present in the title of the article (58% of non-complying articles). We summarise below the main issues exposed by our literature search in a list of seven sins.

3.1. Sin 1: Taxonomic bias

One conspicuous result from our review was that only 18 studies (~5% of the reviewed papers) focused on invertebrates compared to 324 focusing on vertebrates (Fig 1b). This striking imbalance implies that non-invasive methods are rarely considered for sampling invertebrate DNA. Even when authors claimed to use non-invasive DNA sampling on invertebrates, they used methods that alter the physical integrity of the organism in 40% of the cases (Fig 1d). For example, Rorat et al. [14] collected individual earthworms, which they then electrified "lightly" to induce coelomic secretion. Yet, truly non-invasive methods exist for invertebrates, for example through field collection of insect exuviae [15], pupal cases [16], empty mummies [17], dust [18] or water samples [19].

The use of non-invasive DNA sampling and the misuse of the term also varies in relation to the taxonomic group of interest within vertebrates (Fig.1c) (X^2 = 165.17, df = 30, p < 2.2e-16). For example, 43% of the studies on fish involved alteration of the physical integrity of the organism. These included fin clipping in eels (*Anguilla anguilla*) [20] and sting amputation in rays (*Aetobatus narinari*) [21] which were both considered non-invasive because these body parts can regenerate and despite the fact that fin clipping is known to be painful for fish [22]. It is difficult to imagine employing such sampling methods on mammals and still calling them non-invasive. Of the reviewed studies focused on mammals, only 3% involved biopsies.

3.2. Sin 2: Misclassification of faeces as non-invasive DNA samples

The majority of the literature on non-invasive DNA sampling included the collection of faecal samples (58% of all studies reviewed here). Faecal collection is so prevalent in the field that it seems almost automatically considered non-invasive by most authors. However, our analysis shows that 47 % of the studies focusing solely on faecal sampling did not comply with the original definition of non-invasive DNA sampling. This included detection of animals and collection of faecal samples using aircraft (e.g. [23]), which may increase stress in animals (e.g. [24]) or cases where the animal ware captured or even killed to obtain faecal samples. For example, Kierepka et al. [25] obtained faecal samples from feral pigs (*Sus scrofa*) by culling the animals and squeezing faecal pellets out of the pigs' rectum shortly after death. Such procedures clearly violate the definition proposed by Taberlet et al. [6] (see also Sin 6). Rather than the type of sample, it is the method of sampling that needs to be scrutinized for its invasiveness. Another key issue with faecal sampling is that many animals mark their

territory using faeces to dissuade potential intruders (e.g. in wolf communities, see [26]) and also use such marks to recognise individuals from neighbouring territories, avoid unnecessary conflict and promote non-agonistic social encounter such as mating. Therefore, even when collected opportunistically after the animal has left, faecal sampling can in some cases affect the marking behaviour of territorial species (e.g. [27]) (Fig 1a). Such effect will likely vary with the ecology of the taxa studied but can be particularly significant for small animals when the entire scat is collected, or if undertaking repeated sampling (e.g. [28]). The collection of samples from territory boundaries must therefore aim to preserve territory delineation and socially relevant information. Unless the species is known to be non-territorial or marks its territory with cues other than those collected (e.g. maned wolves (*Chrysocyon brachyurus*) mark their territories with urine [29]), precautions should be taken to avoid impacts on marking and other social behaviours. These risks could be easily alleviated by only collecting a small portion of a faecal sample. We recorded six studies where this issue was clearly addressed either by swabbing faeces without removal [30] or by only collecting scat subsamples [31–35].

3.3. Sin 3: Baiting DNA traps

In most studies using a DNA trapping strategy (89%), researchers employed bait or lures to increase the yield of their traps. Very few studies used non-lured DNA traps, for example, barb wire placed at sites that were known to be used by brown bears (*Ursus arctos*) [36,37] or modified body snares at otter (*Lontra canadensislatin*) latrine sites to collect hair [38]. Although it seems perfectly legitimate (and often essential) to increase the attractiveness of DNA traps with food [39], scent marks from other individuals [40] or other attractants (e.g. Valerian essence for cats) [41], the animal's behaviour will obviously be disturbed as a consequence and therefore, these methods cannot be considered fully non-invasive sensu Taberlet et al. [6].

3.4. Sin 4: Combining invasive and non-invasive methods

In a few examples the impact of the sampling strategy on the animal behaviour is obvious from the article's title itself, for example when baited traps are mentioned (e.g. [41]). However, in many more papers (n=31) confusion arises from authors who used the phrase

"non-invasive sampling" while a variety of sampling techniques were actually applied, some of which non-invasive and some of which were invasive sensu Taberlet et al. [6]. This lack of clarity about what is non-invasive and what is not can be misleading for the reader. Some authors clearly stated the invasiveness of the different methods used (e.g. [42–45]), however, most papers (71%) where mixed DNA sampling strategies were applied did not specify which of these methods were considered non-invasive.

Another facet of this issue arises when tools (e.g. new primers, extraction protocols, DNA conservation methods) are developed specifically for analysing samples collected non-invasively but are tested only (or partly) on samples that were collected invasively (n=18) for example by capturing animals to perform the sampling (e.g. [46,47]).

3.5. Sin 5: A bird in the hand is no better than two in the bush

Trapping and restraint of wild animals is recognised as a significant stressor that can result in distress, injury, and death (e.g. [48]). Capturing and/or handling animals for DNA sampling was observed in 26% of all articles reviewed here (Fig 1c), despite the clear definition given by Taberlet et al. [6] that non-invasive DNA is "collected without having to catch or disturb the animal". Indeed, capture and/or handling of individuals to obtain DNA samples (e.g. saliva swabbing) can induce long-lasting stress effects [49,50], and there are very few cases where capturing an animal might have no effects on its future behaviour. Therefore, when animals must be held captive, transported or restrained in order to perform DNA sampling, the method cannot meet the definition of non-invasive DNA sampling sensu stricto [6]. Skin swabbing of octopus (*Enteroctopus dofleini*) for example [51], is unlikely to be possible in the wild without disturbing the animal and the potential negative impacts on animal welfare (see [52] for a review) must still be recognised.

Another common scenario where the animals are held for DNA sampling relates to the use of museum specimens or killed for other purposes (n=6). Whether they were legally hunted or poached and confiscated (e.g. [53], this type of sampling does not qualify as non-invasive due to the disturbance and/or death of the animal through human activity. Often, a better term for such sampling is "non-destructive", which that does not damage the specimen [54,55] (Table 1). On the other hand, tissue sampling from animals that were found dead of natural causes is analogous to eDNA left behind by a free ranging animal and can be considered non-invasive (e.g. [56]). It should be noted, however, that opportunistic sampling from animals already killed for other purposes (e.g. culling, museum samples) may be an ethical option

243 because it reduces the need to otherwise target living animals and conforms to the principle
244 of Reduction (reducing the number of affected animals) under the 3Rs framework.
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3.6.   Sin 6: All or nothing

Only 42% of the reviewed studies fully met the criteria of the original definition of non-
invasive DNA sampling. In most cases, however, authors tried to minimise the impact of
sampling, but the nature of the definition proposed by Taberlet et al. [6] leaves no middle
ground between invasive and non-invasive sampling methods. One potential solution to this
is to use the term "minimally-invasive DNA sampling", which can be defined as obtaining
DNA with minimised effects on the animal's structural/physical integrity, and potential
impact on the behaviour and welfare of the organism (Table 1). In our dataset, this term was
used in six studies to qualify skin swabbing of fish [57], amphibians [58] and bats [59], feather
plucking of gulls [60], cloacal swabbing in rattlesnakes [61] and ear biopsies in rodents [62]. A
broader use of this term would lead to more accurate reporting, for which potential impacts
of the sampling are acknowledged, while still emphasising the aspiration of the authors to
minimise those impacts. The challenge associated with the use of such a term would be to
define where ambiguities fall between minimally-invasive and invasive sampling methods.
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3.7.   Sin 7: Using the common signification of non-invasive sampling

The lack of perceived stress or pain experienced by an animal is often used as a criterion to
support the non-invasive classification of the method used. For example, du Toit et al. [63]
stated that "Pangolin scales consist of non-living keratin, therefore taking scale clippings is
considered to be non-invasive". This statement relates to the common definition of a "non-
invasive" medical or veterinary procedure, i.e. one that does not involve puncture of the skin
or other entry into the body [64]. This definition (rather than the one by Taberlet et al. [6])
seems to be the one adopted by most authors as 92.5% of the reviewed papers complied
with this definition (Fig 1d). This was also the case for several articles at the frontier
between medical/veterinary fields. Kauffman et al. [65] for example, called the sampling of
vaginal swabs and urine from captive dogs non-invasive. Similarly, Reinardy et al. [66]
designated as 'non-invasive' a procedure consisting of "lightly anaesthetizing fish and
applying a slight pressure on their abdomen to expel sperm", which was then used for DNA
analysis. These examples were rare in our dataset (n=3) probably because of our strict
selection of articles from non-medical and non-veterinary domains (see selected fields in

section 2). Nonetheless, as science becomes increasingly transdisciplinary and genetic
methods developed in neighbouring fields are used in ecology, this type of confusion is likely
to become more prevalent in the future. The discrepancy with the common definition of a
non-invasive procedure comprises a significant limitation of the phrase non-invasive DNA
sampling as defined by Taberlet et al. [6], and importantly, could minimise the perceived
impacts of sampling methods on animal welfare, even if these impacts are significant in
reality. Although this issue was first highlighted in 2006 by Garshelis who stated that: "the
term noninvasive has 2 distinct meanings, 1 biological and 1 generic, which have become
intertwined in the wildlife literature" [7], the confusion continues to riddle the current
literature.
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4. INTRODUCING THE TERMS NON-DISRUPTIVE AND MINIMALLY DISRUPTIVE DNA
SAMPLING

In order to clarify some of the existing discrepancies exposed by our literature review, we
propose the introduction of the term, 'non-disruptive DNA sampling', that emphasises the
effects of the sampling method not on the physical integrity/structure, but on the fitness
and behaviour of the organism from which the sample is obtained. We define 'non-
disruptive DNA sampling' as obtaining DNA from an organism without affecting its fitness, or
causing any behaviour or welfare impact that may last longer than the duration of the
sampling (Table 1). We define 'minimally disruptive DNA sampling' as any sampling method
that minimises impacts on fitness, behaviour and welfare. Non-disruptive DNA sampling can
be differentiated from 'non-invasive DNA sampling' which in the current literature, largely
focuses on whether the method of sampling impacts physical structures of the animal (Fig
1d). The introduction of 'non-disruptive DNA sampling' terminology provides a functional
term that appropriately focuses on the impact to the individual and not on a specific quality
of the methodology (e.g. whether a physical structure is altered). We acknowledge that very
few current DNA sampling methods may be entirely non-disruptive, and recommend that
researchers aim at minimising disruption through protocol Refinement. This could be
achieved by testing the potential effects of different DNA sampling methods on 1) survival,
2) stress, 3) behaviour and 4) reproduction success as a proxy for fitness. In order to make
our intended meaning clear, we overlaid existing DNA sampling terms in relation to non-
disruptive DNA sampling methods in the following paragraphs and in Figure 2. Rather than
debating and refining existing terms, the essential point of Figure 2 is to distinguish between

disruptive methods, which are likely to cause lasting effects on the behaviour, welfare or

fitness of an organism, and non-disruptive ones, which may not.

4.1. Impact of DNA sampling on behaviour, fitness and welfare

Studies examining the effect of DNA sampling on behaviour, fitness and welfare are rare and

their results are not always predictable. For example, the fitness consequences of DNA

sampling methods, often measured using individual survival as a proxy for fitness (e.g. [67–69]),

depends on the taxa sampled. Responses may vary strongly between species [70] and even

between males and females of the same species. For instance, Vila *et al.* [71] showed that the

non-lethal but invasive DNA sampling through leg or hind wing clipping had an effect on

survivorship and reproductive behaviour of adult males of the protected moth *Graellsia*

isabelae, while mid leg clipping had a negative impact on female mating success. In

particular cases, DNA sampling can also increase the fitness of animals. For example,

supplementary feeding can have a direct positive impact on the fitness of birds [72], and this

may occur when animals are attracted to DNA traps baited with food or feeding cages where

animals are caught for DNA sampling (e.g. [73]). In mammals, remote DNA sampling using

biopsy darts is known to cause little reaction from marine mammals when conducted

correctly and is unlikely to produce long-term deleterious effects [74]. Gemmell and Majluf [75]

found that in most cases New Zealand fur seals (*Arctocephalus forsteri*) recoiled from the

impact and searched briefly for the assailant, but never abandoned their territory following

the darting. Another study found that bottlenose dolphins (*Tursiops* spp) reacted similarly to

the darting process regardless of being hit or not, suggesting that the reaction is mainly

caused by 'unexpected disturbance' rather than biopsy [76]. No sign of long term altered-

behaviours was observed, including probability of recapture. Despite this, all biopsy

sampling involves some level of risk [74], and different individuals from the same species may

react differently to similar stressful situations depending on gender [77] or individual

physiological and psychological factors [78,79]. With regards to animal welfare, Paris et al. [80]

assessed the impact of different DNA sampling methods on individual welfare in frogs. They

concluded that capture and toe clipping was significantly worse than capture and buccal

swabbing in terms of the level of suffering experienced by an animal, and the level of

suffering combined with shortened lifespan. These examples illustrate that the level of

disruptiveness of DNA sampling methods should not be presumed and studies assessing

their impact on fitness, behaviour and welfare should be encouraged prior to use.

4.2. Examples of non-disruptive or minimally disruptive DNA sampling

Non-disruptive DNA sampling comprises all non-invasive DNA sampling *sensu stricto* i.e when the DNA is collected without the subjects being aware of the researcher's presence or experiencing any detrimental effects (as suggested in Taberlet & Luikart [5]). For example, most eDNA sampling and DNA trapping methods do not require researcher and subject to be present at the same time and place. An important point of difference between these two methods is that eDNA is often collected somewhat opportunistically, while DNA trapping allows for strategic spatial distribution of sampling.

Examples of DNA trapping that are non-disruptive include remote plucking or hair trapping by means of unbaited hair snag traps [81,82] or tape [83,84] placed at well-used runs. Environmental DNA sampling includes field collection of faeces (e.g. [29]) as long as these do not affect territory marking (see section 3.2), DNA collection from footprints in the snow, such as those from the Swedish Arctic fox (*Vulpes lagopus*) [85], and from saliva on twigs, such as from ungulate browsing [86]. When DNA is collected in the presence of the animal, the effects of sampling can be minimised by avoiding or drastically limiting handling. For example, the swabbing of animals directly in the field with little [87] or no handling [88].

Sampling methods that are non-disruptive have many benefits for wildlife conservation, because they are unlikely to introduce bias or experimental effect or impact on animal welfare. However, they may be limited in their applicability. The main limitations associated with eDNA and DNA trapping include low DNA quantity and quality [89], as well as potential contamination from non-target species [90]. Another limitation of DNA trapping might be the mixture of DNA from several different target individuals. In such instances, next-generation sequencing (NGS) or other post-PCR analysis (e.g. cloning, single stranded conformation polymorphism, high resolution melting, denaturing gradient gel electrophoresis) might be required to differentiate and identify the DNA of each individual.

A shift in focus from sampling methods that aim at avoiding breaches to physical structures of an organism, to non-disruptive or minimally disruptive methods, (avoiding impact on behaviour, fitness or welfare), means in some cases the most appropriate method may be invasive but results in a lower impact on the animal. For example, invertebrate antenna clipping in the natural environment breaches a physical structure but may result in no effect on survival (e.g. [69]) and may have lower impacts than collecting and removing specimen to captivity for faecal sampling or forced regurgitation.

Similarly, remote dart biopsy or flipper notching of marine mammals are often a preferred
choice over stressful captures for DNA sampling because they only cause short term effect (if
any) on the behaviour of the animal [91,92]. Under our definitions, hair collection from the
environment, unbaited DNA traps, skin swabbing in the field or remote darting on wild sea
mammals could be considered non- or minimally disruptive (Figure 2).

5.   WHEN IS NON-DISRUPTIVE DNA REQUIRED OR PREFERRED?

Non-disruptive DNA sampling provides a compromise between minimising welfare and
ethical costs, and obtaining a quality DNA sample. DNA sampling methods where the
specimen is in hand generally results in fresher and better-quality DNA, despite the
potentially higher impact on animal behaviour or welfare. While the welfare of all
experimental animals should be considered, when the subject is endangered or afforded
legal protections there may be additional welfare and/or ethical issues surrounding the use
of invasive DNA sampling techniques [67,93]. Additionally, the test subject may be required to
be alive for further testing or return to their natural habitat. If further tests involve capturing
an animal for a laboratory experiment [94] or for translocation [95], then the effects of capturing
and holding the organisms for DNA sampling are of less concern as individuals will need to
be captured for these experiments anyway. However, stressful events can have a cumulative
effect [96] therefore the potential further exacerbation of stress by DNA sampling should be
carefully considered.
The importance of considering non-disruptive DNA sampling also depends on the type of
study undertaken. Below we describe experimental studies, field behavioural studies, and
capture mark recapture (CMR) research, as three types of situations in which collection and
use of non-disruptive DNA samples may be essential.

5.1.   Laboratory-based experimentations

Non-disruptive DNA sampling is necessary for species identification, sexing or genotyping of
individuals prior to laboratory-based experimentation where fitness and/or behavioural
traits are to be assessed. For example, many species of birds are monomorphic, and can only
be sexed using molecular analysis [97]. Similarly, many cryptic species complexes can only be
elucidated genetically [98]. Laboratory-based behavioural or fitness studies involving cryptic or
monomorphic species may therefore require DNA sexing or species identification of
individuals before conducting research on them [94,99] to ensure a balance of sex or species

across different treatments. Even when species identification is not an issue, the organisms
being studied may comprise different morphocryptic genotypes [99] that need to be
determined prior to experimentation in a way that does not affect their fitness or behaviour.
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5.2. Behavioural studies in the field

The second major use of non-disruptive DNA sampling is when relatedness between
individual subjects needs to be determined prior to a behavioural study conducted in the
field. For example, social interactions in mammals are often linked to kinship and can be
mediated by the physiological state of individuals [100]. The capture and handling of animals
can modify their physiology [101], thereby affecting their social behaviour. Recent studies also
suggest that although behaviours observed shortly after release may appear 'normal', stress
levels may still be high and impact activity budgets [102]. Such effects may remain undetected
but have significant implications for subsequent data reliability and validity.
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5.3. Capture Mark Recapture

The effects of DNA sampling on animal behaviour may also affect the results of studies that
are not directly examining behaviour or fitness. The third case when non-disruptive DNA
sampling is recommended is when doing Capture Mark Recapture (CMR) studies. CMR
studies using DNA tagging are often conducted to estimate population size (e.g. [103]), with the
additional benefit of enabling population genetic analysis on the samples collected. Invasive
or disruptive DNA sampling techniques may affect the survival rate of marked individuals, or
introduce avoidance behaviours, which may cause trap avoidance, and the population size
to be overestimated. For example, toe clipping combined with CMR is commonly used to
estimate population abundance of amphibians [104], but toe clipping has been shown to
decrease chances of frog recapture by 4 to 11 % for each toe removed [67]. Similarly, sampling
methods that may increase the fitness of animals (e.g. feeding cages or baited DNA traps)
could lead to previously sampled animals to be more attracted than naïve ones (Boulanger
et al. 2004, Gashelis 2006), thereby biasing the CMR results towards underestimating
population size.
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Such biases can be limited by the use of non-disruptive DNA sampling methods. Although
eDNA has been used in CMR studies and is in most cases non-disruptive, it can have some
limitations. The presence of mixed DNA samples and the lower quality of the collected DNA
can lead to false positives where animals not captured previously are believed to be

recaptured due to their DNA profile being an indistinguishable shadow of previously

captured animals [105]. Because of this, non-disruptive DNA sampling may provide an

appropriate balance between sample quality, data quality and impact on animals.

6. TAKE-HOME MESSAGES

1.   In practice, most papers using the phrase "non-invasive DNA sampling" only comply

to the medical definition of the term non-invasive, which is broader than the original

definition proposed by Taberlet et al. [6] and is concerned only with the preservation of the

physical integrity of the organism being sampled. We urge scientists using non-invasive DNA

sampling methods to always state whether they refer to the definition by Taberlet et al. [6]

sensu stricto or the medical definition of a non-invasive procedure (*sensu lato*).

2.   We propose the new terms, "non-disruptive" and "minimally-disruptive" DNA

sampling, to more appropriately address the potential behaviour, welfare and/or fitness

effects of DNA sampling methods, as opposed to physical integrity (invasiveness in the

medical sense). We can envisage situations in which the research aims are not impacted by

the sampling approach to obtaining DNA. However, researchers have an ethical obligation

to minimise the impacts on the animals. Therefore, whenever possible, non-disruptive or

minimally disruptive DNA sampling methods should be selected, in particular prior to

experimental or observational studies measuring fitness or behaviour, as well as studies

using techniques such as CMR where fitness or behaviour may affect results.

3.   It may in some cases be better to use a physically invasive method (e.g. remote

biopsy) that is minimally disruptive rather than a method that does not involve puncturing

the skin but causes severe stress and has long-lasting effects (e.g. stressful capture for saliva

swabbing).

4.   More research is required to better understand the consequences of different live

DNA sampling methods on behaviour, welfare and fitness in a variety of animal species and

contexts.

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AUTHOR CONTRIBUTIONS

Conceptualised the idea: MCL, SB, RHC ; recruited co-authors and organised literature review and writing workshops: MCL ; conducted the systematic review: MCL, SB ; prepared the figures: SB ; drafted and revised the manuscript MCL, RHC, NA, AB, KD, AK, JR, VRS, RS, WB, SB. The overall author percentage contributions are as follow: MCL[25], RHC[10], KD[8], NA[5], AB[5], AK[5], JR[5], VRS[5], RS[5], BW[2], SB[25].

DATA AVAILABILITY

The list of publications used for the review are available as Supplementary material 1.

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768   Figure 1. Summary statistics of the literature review on the use of "non-invasive DNA
769   sampling" between January 2013 and May 2018. For a, b, and c, y-axis is number of
770   papers. For d, y axis is the proportion of papers and the width of the bars represents
771   the number of papers for each taxonomic group.
772   **a**: Compliance of papers with the original definition proposed by Taberlet et al.
773   (1999). Dark colours correspond to papers where the phrase "non-invasive" was
774   present in the title, lighter colours correspond to papers where the phrase "non-
775   invasive" was not present in the title.
776   **b**: Taxonomic bias in the non-invasive DNA sampling literature. Number of papers
777   reviewed that focus on invertebrates or vertebrates compared to all papers on
778   invertebrate or vertebrate (see Method section for search command).
779   **c**: Number of papers complying or not complying with the no contact criteria
780   proposed by Taberlet et al. (1999)
781   **d**: Proportion of papers complying with different definitions of non-invasive sampling
782   in relation to the taxonomic group studied. Top: compliance with the common
783   definition of a non-invasive medical or veterinary procedure, (i.e. one not involving
784   puncture of the skin or other entry into the body (Miller-Keane Encyclopedia and
785   Dictionary of Medicine). Bottom: compliance with the definition of non-invasive DNA
786   sampling proposed by Taberlet et al. (1999). Orange boxes correspond to cases
787   where territory marking and social interactions may have been affected by the
788   removal of faecal samples.

Figure 2

795   Figure 2. The relationship between non-disruptive, non-invasive and non-lethal DNA

796   sampling methods.

Table 1. Glossary of terms as used in this review.

Term	Definition
DNA trapping	Remotely obtaining DNA from one or more unknown individual organisms by taking a sample while they are present. This usually involves some sort of trap or device, which may or may not be disruptive.
eDNA sampling	Obtaining trace DNA left behind by one or more unknown organisms, by sampling the environment when those organisms are no longer present at the point of sampling.
Minimally disruptive DNA sampling	Obtaining DNA with minimised effects on the animal's fitness, behaviour and welfare. To a minimised extent, such method may affect the structural/physical integrity of the organism.
Minimally invasive DNA sampling	Obtaining DNA with minimised effects on the animal's structural/physical integrity. To a minimised extent, such method may affect the behaviour and welfare of the organism.
Non-destructive DNA sampling	Obtaining DNA from a known individual organism in such a way that the organism may be killed, but not destroyed, so that it can be preserved as a voucher specimen.
Non-disruptive DNA sampling	Obtaining DNA without affecting the animal's fitness, behaviour and welfare.
Non-invasive DNA sampling *sensu lato*	Obtaining DNA without affecting the physical integrity of the animal's through puncturing the skin or other entry into the body (derived from the medical definition of a non-invasive procedure).
Non-invasive DNA sampling *sensu stricto*	Obtaining DNA that was left behind by the animal and can be collected without having to catch or disturb the animal (from Taberlet et al. 1999)
Non-invasive procedure	A procedure that does not involve puncture of the skin or other entry into the body (such as use of an endoscopic device).