# Blood, sweat and tears: a review of non-invasive DNA sampling

**M.-C. LEFORT***, *Laboratoire d'Écologie et Biologie des Interactions (EBI) – UMR 7267 CNRS, Université de Poitiers, 5 rue Albert Turpain, 86073 POITIERS Cedex 9, France | Environmental and Animal Sciences, Unitec Institute of Technology, 139 Carrington Road, Mt Albert, Auckland 1025, New Zealand.*
**R. H. CRUICKSHANK,** *Department of Ecology, Faculty of Agricultural and Life Sciences, PO Box 85084, Lincoln University, Lincoln 7647, Christchurch, New Zealand.*
**K. DESCOVICH**, *Environmental and Animal Sciences, Unitec Institute of Technology, 139 Carrington Road, Mt Albert, Auckland 1025, New Zealand. | Centre for Animal Welfare and Ethics, University of Queensland, Gatton 4343, Queensland, Australia*
**N. J. ADAMS**, *Environmental and Animal Sciences, Unitec Institute of Technology, 139 Carrington Road, Mt Albert, Auckland 1025, New Zealand.*
**A. BARUN,** *Department of Ecology, Faculty of Agricultural and Life Sciences, PO Box 85084, Lincoln University, Lincoln 7647, Christchurch, New Zealand.*
**A. EMAMI-KHOYI,** *Centre for Ecological Genomics and Wildlife Conservation, University of Johannesburg, Auckland Park 2006, South Africa | Department of Ecology, Faculty of Agricultural and Life Sciences, PO Box 85084, Lincoln University, Lincoln 7647, Christchurch, New Zealand.*
**J. RIDDEN,** *Department of Ecology, Faculty of Agricultural and Life Sciences, PO Box 85084, Lincoln University, Lincoln 7647, Christchurch, New Zealand.*
**V. R. SMITH,** *Department of Ecology, Faculty of Agricultural and Life Sciences, PO Box 85084, Lincoln University, Lincoln 7647, Christchurch, New Zealand.*
**R. SPRAGUE,** *Bio-Protection Research Centre, PO Box 85084, Lincoln University, Lincoln 7647, Christchurch, New Zealand.*
**B. R. WATERHOUSE,** *Bio-Protection Research Centre, PO Box 85084, Lincoln University, Lincoln 7647, Christchurch, New Zealand.*
**S. BOYER***, *Institut de Recherche sur la Biologie de l'Insecte (IRBI) – UMR 7261 CNRS / Université de Tours, Parc Grandmont, 37200 Tours, France*

*Corresponding authors: lefortmc@gmail.com and stephane.boyer@univ-tours.fr*

## SUMMARY

The use of DNA data is ubiquitous across animal sciences. DNA may be obtained from an organism for a myriad of reasons including identification and distinction between cryptic species, sex identification, comparisons of different morphocryptic genotypes or assessments of relatedness between organisms prior to a behavioural study. DNA should be obtained while minimizing the impact on the fitness, behaviour or welfare of the subject being tested, as this can bias experimental results and cause long-lasting effects on wild animals. Furthermore, minimizing impact on experimental animals is a key Refinement principle within the "3Rs" framework which aims to ensure that animal welfare during experimentation is optimised. The term 'non-invasive DNA sampling' has been defined to indicate collection methods that do not require capture or cause disturbance to the animal,

including any effects on the behaviour or fitness. In practice this is not always the case, as the term 'non-invasive' is commonly used in the literature to describe studies where animals are restrained or subjected to aversive procedures. We reviewed the non-invasive DNA sampling literature for the past six years (346 papers published in 2013-2018) and uncovered the existence of a significant gap between the current use of this terminology (i.e. 'non-invasive DNA sampling') and its original definition. We show that 58% of the reviewed papers did not comply with the original definition. We discuss the main experimental and ethical issues surrounding the potential confusion or misuse of the phrase 'non-invasive DNA sampling' in the current literature and provide potential solutions. In addition, we introduce the terms 'non-disruptive' and 'minimally disruptive' DNA sampling, to indicate methods that eliminate or minimise impacts not on the physical integrity/structure of the animal, but on its behaviour, fitness and welfare, which in the literature reviewed corresponds to the situation for which an accurate term is clearly missing. Furthermore, we outline when these methods are appropriate to use.

KEYWORDS: eDNA, animal behaviour, fitness, refinement, animal welfare

## 1. DNA COLLECTION AND THE NON-INVASIVE MISNOMER

DNA data are becoming increasingly important in animal biology [1], both for experimental and observational studies. This is partially driven by the progressively cheaper and more user-friendly ways of accessing genomic information [2]. Analysis of genetic material provides data for myriad uses. In addition to analysis of phylogenetic relationships or population genetics, DNA analysis is required to determine basic information about individuals of many species [3]. When DNA analysis is required for purposes such as sexing, kinship and differentiation between cryptic species prior to experimentation, the DNA sampling procedure could bias the results of the subsequent experiment. It is therefore essential to minimise the effect that DNA sampling can have on the fitness or behaviour of the subject being tested. Furthermore, ethical use of animals in experimentation is guided by the '3Rs' framework of Refinement, Replacement and Reduction (e.g. [4]). The impact of DNA collection is particularly relevant to the principle of Refinement where techniques with the lowest

impact on the animal model should be used whenever possible. Refinement of experimentation is only possible when impact on the animal is accurately identified.

Methods of DNA collection were originally defined as 'non-invasive' if "the source of the DNA is left behind by the animal and can be collected without having to catch or disturb the animal" [5,6], for example when genetic material was left behind in traces or scats (i.e. *sensu* environmental DNA (eDNA)), implicitly avoiding any impact on animal welfare. However, DNA collection is not restricted to that of eDNA, and the term non-invasive has often been subsequently misapplied in the literature [7]. In practice, so-called 'non-invasive' methods have often encompassed DNA collection techniques that preserve the physical integrity of an organism but have an unmeasured, and potentially significant, impact on the fitness, behaviour or welfare of the subject being studied. For example, the following DNA collection methods were all defined as 'non-invasive' by the respective authors: gentle pressure applied to the thorax and abdomen of carabid beetles (*Poecilus cupreus*) to trigger regurgitation [8]; flushing of sage-grouses (*Centrocercus urophasianus)* from their roost sites to collect fresh faecal pellets [9]; and trapping, handling and cloacal swabbing of lizards (*Phrynosoma cornutum*) [10]. Misleading use of terminology in biology and ecology is a longstanding concern [11–13]. As with many other terms in biology the phrase "non-invasive DNA sampling" has been used in many different and inconsistent ways by various authors. This is problematic for assessing impact on animals, identifying opportunities for refinement, and for ensuring validity and quality of the data collected. To demonstrate the extent of the issue, we conducted a systematic review of the recent literature (2013-2018) and evaluated how well papers using the term "non-invasive DNA sampling" complied with the original definition by Taberlet et al. [6].

## 2. METHOD

We conducted a keyword-based search on the Web Of Science core collection using the keywords DNA and non-invasive or DNA and noninvasive (as both spellings were originally proposed and are in common use [5,6]. We restricted our search to articles published in relevant disciplines and between 2013 and 2018. The search command used was the following:

(TS=((dna AND non-invasive) OR (dna AND noninvasive)) AND SU=(ecology OR zoology OR ornithology OR ecology OR environmental sciences OR entomology OR fisheries OR behavioural science OR Biodiversity & Conservation) AND PY=(2013 OR 2015 OR 2017 OR 2014 OR 2016 OR 2018))

Results were then refined to experimental papers written in English. On the 9th of July 2018, this search yielded 377 articles. We screened these articles retaining those in which animal DNA samples were actually collected, leading to 355 articles, and removed articles with insufficient methodological information. A total of 346 papers were retained in our final dataset (see list in supplementary file 1). Although this dataset may not be exhaustive; it is taken to be representative of the current literature on non-invasive DNA sampling.

During the same time period and in the same fields as above, we estimated the total number of articles focusing on invertebrates versus vertebrates using the following commands:

- (TS=(mammal) OR TS=(vertebrate) OR TS=(bird) OR TS=(amphibian) OR TS=(reptile) OR TS=(fish) NOT (TS=(insect) OR TS=(invertebrate) OR TS=(crustaceans) OR TS=(annelid) OR TS=(echinoderm) OR TS=(nemathelminth) OR TS=(arachnids) OR TS=(arthropod) OR TS=(plathelminth)) AND SU=(ecology OR zoology OR ornithology OR ecology OR environmental sciences OR entomology OR fisheries OR behavioural science OR Biodiversity & Conservation) AND PY=(2013 OR 2015 OR 2017 OR 2014 OR 2016 OR 2018))
- (TS=(insect) OR TS=(invertebrate) OR TS=(crustaceans) OR TS=(annelid) OR TS=(echinoderm) OR TS=(nemathelminth) OR TS=(arachnids) OR TS=(arthropod) OR TS=(plathelminth) NOT (TS=(mammal) OR TS=(vertebrate) OR TS=(bird) OR TS=(amphibian) OR TS=(reptile) OR TS=(fish)) AND SU=(ecology OR zoology OR ornithology OR ecology OR environmental sciences OR entomology OR fisheries OR behavioural science OR Biodiversity & Conservation) AND PY=(2013 OR 2015 OR 2017 OR 2014 OR 2016 OR 2018))

The results from these searches were used as non-exhaustive but comparable numeric estimates only, and were therefore not further curated.

## 3. THE SEVEN DEADLY SINS OF NON-INVASIVE DNA SAMPLING

Our systematic review revealed that 58% of papers using the phrase “non-invasive” or “noninvasive” did not comply with the original definition given by Taberlet et al. [6] (Fig 1a). This was the case even when this phrase was present in the title of the article (58% of non-complying articles). We summarise below the main issues exposed by our literature search in a list of seven sins.

### 3.1. Sin 1: Taxonomic bias

One conspicuous result from our review was that only 18 studies (~5% of the reviewed papers) focused on invertebrates compared to 324 focusing on vertebrates (Fig 1b). This striking imbalance implies that non-invasive methods are rarely considered for sampling invertebrate DNA. Even when authors claimed to use non-invasive DNA sampling on invertebrates, they used methods that alter the physical integrity of the organism in 40% of the cases (Fig 1d). For example, Rorat et al. [14] collected individual earthworms, which they then electrified "lightly" to induce coelomic secretion. Yet, truly non-invasive methods exist for invertebrates, for example through field collection of insect exuviae [15], pupal cases [16], empty mummies [17], dust [18] or water samples [19].

The use of non-invasive DNA sampling and the misuse of the term also varies in relation to the taxonomic group of interest within vertebrates (Fig.1c) ($X^2 = 165.17$, df = 30, $p < 2.2e-16$). For example, 43% of the studies on fish involved alteration of the physical integrity of the organism. These included fin clipping in eels (*Anguilla anguilla*) [20] and sting amputation in rays (*Aetobatus narinari*) [21] which were both considered non-invasive because these body parts can regenerate and despite the fact that fin clipping is known to be painful for fish [22]. It is difficult to imagine employing such sampling methods on mammals and still calling them non-invasive. Of the reviewed studies focused on mammals, only 3% involved biopsies.

### 3.2. Sin 2: Misclassification of faeces as non-invasive DNA samples

The majority of the literature on non-invasive DNA sampling included the collection of faecal samples (58% of all studies reviewed here). Faecal collection is so prevalent in the field that it seems almost automatically considered non-invasive by most authors. However, our analysis shows that 47 % of the studies focusing solely on faecal sampling did not comply with the original definition of non-invasive DNA sampling. This included detection of animals and collection of faecal samples using aircraft (e.g. [23]), which may increase stress in animals (e.g. [24]) or cases where the animal ware captured or even killed to obtain faecal samples. For example, Kierepka et al. [25] obtained faecal samples from feral pigs (*Sus scrofa*) by culling the animals and squeezing faecal pellets out of the pigs' rectum shortly after death. Such procedures clearly violate the definition proposed by Taberlet et al. [6] (see also Sin 6). Rather than the type of sample, it is the method of sampling that needs to be scrutinized for its invasiveness. Another key issue with faecal sampling is that many animals mark their

177 territory using faeces to dissuade potential intruders (e.g. in wolf communities, see [26]) and
178 also use such marks to recognise individuals from neighbouring territories, avoid
179 unnecessary conflict and promote non-agonistic social encounter such as mating. Therefore,
180 even when collected opportunistically after the animal has left, faecal sampling can in some
181 cases affect the marking behaviour of territorial species (e.g. [27]) (Fig 1a). Such effect will
182 likely vary with the ecology of the taxa studied but can be particularly significant for small
183 animals when the entire scat is collected, or if undertaking repeated sampling (e.g. [28]). The
184 collection of samples from territory boundaries must therefore aim to preserve territory
185 delineation and socially relevant information. Unless the species is known to be non-
186 territorial or marks its territory with cues other than those collected (e.g. maned wolves
187 (*Chrysocyon brachyurus*) mark their territories with urine [29]), precautions should be taken to
188 avoid impacts on marking and other social behaviours. These risks could be easily alleviated
189 by only collecting a small portion of a faecal sample. We recorded six studies where this
190 issue was clearly addressed either by swabbing faeces without removal [30] or by only
191 collecting scat subsamples [31–35].
192
193
194 **3.3. Sin 3: Baiting DNA traps**

195 In most studies using a DNA trapping strategy (89%), researchers employed bait or lures to
196 increase the yield of their traps. Very few studies used non-lured DNA traps, for example,
197 barb wire placed at sites that were known to be used by brown bears (*Ursus arctos*) [36,37] or
198 modified body snares at otter (*Lontra canadensislatin*) latrine sites to collect hair [38].
199 Although it seems perfectly legitimate (and often essential) to increase the attractiveness of
200 DNA traps with food [39], scent marks from other individuals [40] or other attractants (e.g.
201 Valerian essence for cats) [41], the animal's behaviour will obviously be disturbed as a
202 consequence and therefore, these methods cannot be considered fully non-invasive sensu
203 Taberlet et al. [6].
204
205
206 **3.4. Sin 4: Combining invasive and non-invasive methods**

207 In a few examples the impact of the sampling strategy on the animal behaviour is obvious
208 from the article's title itself, for example when baited traps are mentioned (e.g. [41]).
209 However, in many more papers (n=31) confusion arises from authors who used the phrase

"non-invasive sampling" while a variety of sampling techniques were actually applied, some of which non-invasive and some of which were invasive sensu Taberlet et al. [6]. This lack of clarity about what is non-invasive and what is not can be misleading for the reader. Some authors clearly stated the invasiveness of the different methods used (e.g. [42–45]), however, most papers (71%) where mixed DNA sampling strategies were applied did not specify which of these methods were considered non-invasive.

Another facet of this issue arises when tools (e.g. new primers, extraction protocols, DNA conservation methods) are developed specifically for analysing samples collected non-invasively but are tested only (or partly) on samples that were collected invasively (n=18) for example by capturing animals to perform the sampling (e.g. [46,47]).

### 3.5. Sin 5: A bird in the hand is no better than two in the bush

Trapping and restraint of wild animals is recognised as a significant stressor that can result in distress, injury, and death (e.g. [48]). Capturing and/or handling animals for DNA sampling was observed in 26% of all articles reviewed here (Fig 1c), despite the clear definition given by Taberlet et al. [6] that non-invasive DNA is "collected without having to catch or disturb the animal". Indeed, capture and/or handling of individuals to obtain DNA samples (e.g. saliva swabbing) can induce long-lasting stress effects [49,50], and there are very few cases where capturing an animal might have no effects on its future behaviour. Therefore, when animals must be held captive, transported or restrained in order to perform DNA sampling, the method cannot meet the definition of non-invasive DNA sampling sensu stricto [6]. Skin swabbing of octopus (*Enteroctopus dofleini*) for example [51], is unlikely to be possible in the wild without disturbing the animal and the potential negative impacts on animal welfare (see [52] for a review) must still be recognised.

Another common scenario where the animals are held for DNA sampling relates to the use of museum specimens or killed for other purposes (n=6). Whether they were legally hunted or poached and confiscated (e.g.[53], this type of sampling does not qualify as non-invasive due to the disturbance and/or death of the animal through human activity. Often, a better term for such sampling is "non-destructive", which that does not damage the specimen [54,55] (Table 1). On the other hand, tissue sampling from animals that were found dead of natural causes is analogous to eDNA left behind by a free ranging animal and can be considered non-invasive (e.g. [56]). It should be noted, however, that opportunistic sampling from animals already killed for other purposes (e.g. culling, museum samples) may be an ethical option

because it reduces the need to otherwise target living animals and conforms to the principle of Reduction (reducing the number of affected animals) under the 3Rs framework.

### 3.6. Sin 6: All or nothing

Only 42% of the reviewed studies fully met the criteria of the original definition of non-invasive DNA sampling. In most cases, however, authors tried to minimise the impact of sampling, but the nature of the definition proposed by Taberlet et al. [6] leaves no middle ground between invasive and non-invasive sampling methods. One potential solution to this is to use the term "minimally-invasive DNA sampling", which can be defined as obtaining DNA with minimised effects on the animal's structural/physical integrity, and potential impact on the behaviour and welfare of the organism (Table 1). In our dataset, this term was used in six studies to qualify skin swabbing of fish [57], amphibians [58] and bats [59], feather plucking of gulls [60], cloacal swabbing in rattlesnakes [61] and ear biopsies in rodents [62]. A broader use of this term would lead to more accurate reporting, for which potential impacts of the sampling are acknowledged, while still emphasising the aspiration of the authors to minimise those impacts. The challenge associated with the use of such a term would be to define where ambiguities fall between minimally-invasive and invasive sampling methods.

### 3.7. Sin 7: Using the common signification of non-invasive sampling

The lack of perceived stress or pain experienced by an animal is often used as a criterion to support the non-invasive classification of the method used. For example, du Toit et al. [63] stated that "Pangolin scales consist of non-living keratin, therefore taking scale clippings is considered to be non-invasive". This statement relates to the common definition of a "non-invasive" medical or veterinary procedure, i.e. one that does not involve puncture of the skin or other entry into the body [64]. This definition (rather than the one by Taberlet et al. [6]) seems to be the one adopted by most authors as 92.5% of the reviewed papers complied with this definition (Fig 1d). This was also the case for several articles at the frontier between medical/veterinary fields. Kauffman et al. [65] for example, called the sampling of vaginal swabs and urine from captive dogs non-invasive. Similarly, Reinardy et al. [66] designated as 'non-invasive' a procedure consisting of "lightly anaesthetizing fish and applying a slight pressure on their abdomen to expel sperm", which was then used for DNA analysis. These examples were rare in our dataset (n=3) probably because of our strict selection of articles from non-medical and non-veterinary domains (see selected fields in

276 section 2). Nonetheless, as science becomes increasingly transdisciplinary and genetic
277 methods developed in neighbouring fields are used in ecology, this type of confusion is likely
278 to become more prevalent in the future. The discrepancy with the common definition of a
279 non-invasive procedure comprises a significant limitation of the phrase non-invasive DNA
280 sampling as defined by Taberlet et al. [6], and importantly, could minimise the perceived
281 impacts of sampling methods on animal welfare, even if these impacts are significant in
282 reality. Although this issue was first highlighted in 2006 by Garshelis who stated that: "the
283 term noninvasive has 2 distinct meanings, 1 biological and 1 generic, which have become
284 intertwined in the wildlife literature" [7], the confusion continues to riddle the current
285 literature.
286
287 **4. INTRODUCING THE TERMS NON-DISRUPTIVE AND MINIMALLY DISRUPTIVE DNA**
288 **SAMPLING**

289 In order to clarify some of the existing discrepancies exposed by our literature review, we
290 propose the introduction of the term, 'non-disruptive DNA sampling', that emphasises the
291 effects of the sampling method not on the physical integrity/structure, but on the fitness
292 and behaviour of the organism from which the sample is obtained. We define 'non-
293 disruptive DNA sampling' as obtaining DNA from an organism without affecting its fitness, or
294 causing any behaviour or welfare impact that may last longer than the duration of the
295 sampling (Table 1). We define 'minimally disruptive DNA sampling' as any sampling method
296 that minimises impacts on fitness, behaviour and welfare. Non-disruptive DNA sampling can
297 be differentiated from 'non-invasive DNA sampling' which in the current literature, largely
298 focuses on whether the method of sampling impacts physical structures of the animal (Fig
299 1d). The introduction of 'non-disruptive DNA sampling' terminology provides a functional
300 term that appropriately focuses on the impact to the individual and not on a specific quality
301 of the methodology (e.g. whether a physical structure is altered). We acknowledge that very
302 few current DNA sampling methods may be entirely non-disruptive, and recommend that
303 researchers aim at minimising disruption through protocol Refinement. This could be
304 achieved by testing the potential effects of different DNA sampling methods on 1) survival,
305 2) stress, 3) behaviour and 4) reproduction success as a proxy for fitness. In order to make
306 our intended meaning clear, we overlaid existing DNA sampling terms in relation to non-
307 disruptive DNA sampling methods in the following paragraphs and in Figure 2. Rather than
308 debating and refining existing terms, the essential point of Figure 2 is to distinguish between

disruptive methods, which are likely to cause lasting effects on the behaviour, welfare or fitness of an organism, and non-disruptive ones, which may not.

### 4.1. Impact of DNA sampling on behaviour, fitness and welfare

Studies examining the effect of DNA sampling on behaviour, fitness and welfare are rare and their results are not always predictable. For example, the fitness consequences of DNA sampling methods, often measured using individual survival as a proxy for fitness (e.g. [67–69]), depends on the taxa sampled. Responses may vary strongly between species [70] and even between males and females of the same species. For instance, Vila *et al.* [71] showed that the non-lethal but invasive DNA sampling through leg or hind wing clipping had an effect on survivorship and reproductive behaviour of adult males of the protected moth *Graellsia isabelae,* while mid leg clipping had a negative impact on female mating success. In particular cases, DNA sampling can also increase the fitness of animals. For example, supplementary feeding can have a direct positive impact on the fitness of birds [72], and this may occur when animals are attracted to DNA traps baited with food or feeding cages where animals are caught for DNA sampling (e.g. [73]). In mammals, remote DNA sampling using biopsy darts is known to cause little reaction from marine mammals when conducted correctly and is unlikely to produce long-term deleterious effects [74]. Gemmell and Majluf [75] found that in most cases New Zealand fur seals (*Arctocephalus forsteri*) recoiled from the impact and searched briefly for the assailant, but never abandoned their territory following the darting. Another study found that bottlenose dolphins (*Tursiops* spp) reacted similarly to the darting process regardless of being hit or not, suggesting that the reaction is mainly caused by 'unexpected disturbance' rather than biopsy [76]. No sign of long term altered-behaviours was observed, including probability of recapture. Despite this, all biopsy sampling involves some level of risk [74], and different individuals from the same species may react differently to similar stressful situations depending on gender [77] or individual physiological and psychological factors [78,79]. With regards to animal welfare, Paris et al. [80] assessed the impact of different DNA sampling methods on individual welfare in frogs. They concluded that capture and toe clipping was significantly worse than capture and buccal swabbing in terms of the level of suffering experienced by an animal, and the level of suffering combined with shortened lifespan. These examples illustrate that the level of disruptiveness of DNA sampling methods should not be presumed and studies assessing their impact on fitness, behaviour and welfare should be encouraged prior to use.

### 4.2. Examples of non-disruptive or minimally disruptive DNA sampling

Non-disruptive DNA sampling comprises all non-invasive DNA sampling *sensu stricto* i.e when the DNA is collected without the subjects being aware of the researcher's presence or experiencing any detrimental effects (as suggested in Taberlet & Luikart [5]). For example, most eDNA sampling and DNA trapping methods do not require researcher and subject to be present at the same time and place. An important point of difference between these two methods is that eDNA is often collected somewhat opportunistically, while DNA trapping allows for strategic spatial distribution of sampling.

Examples of DNA trapping that are non-disruptive include remote plucking or hair trapping by means of unbaited hair snag traps [81,82] or tape [83,84] placed at well-used runs. Environmental DNA sampling includes field collection of faeces (e.g. [29]) as long as these do not affect territory marking (see section 3.2), DNA collection from footprints in the snow, such as those from the Swedish Arctic fox (*Vulpes lagopus*) [85], and from saliva on twigs, such as from ungulate browsing [86]. When DNA is collected in the presence of the animal, the effects of sampling can be minimised by avoiding or drastically limiting handling. For example, the swabbing of animals directly in the field with little [87] or no handling [88].

Sampling methods that are non-disruptive have many benefits for wildlife conservation, because they are unlikely to introduce bias or experimental effect or impact on animal welfare. However, they may be limited in their applicability. The main limitations associated with eDNA and DNA trapping include low DNA quantity and quality [89], as well as potential contamination from non-target species [90]. Another limitation of DNA trapping might be the mixture of DNA from several different target individuals. In such instances, next-generation sequencing (NGS) or other post-PCR analysis (e.g. cloning, single stranded conformation polymorphism, high resolution melting, denaturing gradient gel electrophoresis) might be required to differentiate and identify the DNA of each individual.

A shift in focus from sampling methods that aim at avoiding breaches to physical structures of an organism, to non-disruptive or minimally disruptive methods, (avoiding impact on behaviour, fitness or welfare), means in some cases the most appropriate method may be invasive but results in a lower impact on the animal. For example, invertebrate antenna clipping in the natural environment breaches a physical structure but may result in no effect on survival (e.g. [69]) and may have lower impacts than collecting and removing specimen to captivity for faecal sampling or forced regurgitation.

Similarly, remote dart biopsy or flipper notching of marine mammals are often a preferred choice over stressful captures for DNA sampling because they only cause short term effect (if any) on the behaviour of the animal [91,92]. Under our definitions, hair collection from the environment, unbaited DNA traps, skin swabbing in the field or remote darting on wild sea mammals could be considered non- or minimally disruptive (Figure 2).

## 5. WHEN IS NON-DISRUPTIVE DNA REQUIRED OR PREFERRED?

Non-disruptive DNA sampling provides a compromise between minimising welfare and ethical costs, and obtaining a quality DNA sample. DNA sampling methods where the specimen is in hand generally results in fresher and better-quality DNA, despite the potentially higher impact on animal behaviour or welfare. While the welfare of all experimental animals should be considered, when the subject is endangered or afforded legal protections there may be additional welfare and/or ethical issues surrounding the use of invasive DNA sampling techniques [67,93]. Additionally, the test subject may be required to be alive for further testing or return to their natural habitat. If further tests involve capturing an animal for a laboratory experiment [94] or for translocation [95], then the effects of capturing and holding the organisms for DNA sampling are of less concern as individuals will need to be captured for these experiments anyway. However, stressful events can have a cumulative effect [96] therefore the potential further exacerbation of stress by DNA sampling should be carefully considered.

The importance of considering non-disruptive DNA sampling also depends on the type of study undertaken. Below we describe experimental studies, field behavioural studies, and capture mark recapture (CMR) research, as three types of situations in which collection and use of non-disruptive DNA samples may be essential.

### 5.1. Laboratory-based experimentations

Non-disruptive DNA sampling is necessary for species identification, sexing or genotyping of individuals prior to laboratory-based experimentation where fitness and/or behavioural traits are to be assessed. For example, many species of birds are monomorphic, and can only be sexed using molecular analysis [97]. Similarly, many cryptic species complexes can only be elucidated genetically [98]. Laboratory-based behavioural or fitness studies involving cryptic or monomorphic species may therefore require DNA sexing or species identification of individuals before conducting research on them [94,99] to ensure a balance of sex or species

409 across different treatments. Even when species identification is not an issue, the organisms
410 being studied may comprise different morphocryptic genotypes [99] that need to be
411 determined prior to experimentation in a way that does not affect their fitness or behaviour.
412
413 **5.2. Behavioural studies in the field**
414 The second major use of non-disruptive DNA sampling is when relatedness between
415 individual subjects needs to be determined prior to a behavioural study conducted in the
416 field. For example, social interactions in mammals are often linked to kinship and can be
417 mediated by the physiological state of individuals [100]. The capture and handling of animals
418 can modify their physiology [101], thereby affecting their social behaviour. Recent studies also
419 suggest that although behaviours observed shortly after release may appear 'normal', stress
420 levels may still be high and impact activity budgets [102]. Such effects may remain undetected
421 but have significant implications for subsequent data reliability and validity.
422
423 **5.3. Capture Mark Recapture**
424 The effects of DNA sampling on animal behaviour may also affect the results of studies that
425 are not directly examining behaviour or fitness. The third case when non-disruptive DNA
426 sampling is recommended is when doing Capture Mark Recapture (CMR) studies. CMR
427 studies using DNA tagging are often conducted to estimate population size (e.g. [103]), with the
428 additional benefit of enabling population genetic analysis on the samples collected. Invasive
429 or disruptive DNA sampling techniques may affect the survival rate of marked individuals, or
430 introduce avoidance behaviours, which may cause trap avoidance, and the population size
431 to be overestimated. For example, toe clipping combined with CMR is commonly used to
432 estimate population abundance of amphibians [104], but toe clipping has been shown to
433 decrease chances of frog recapture by 4 to 11 % for each toe removed [67]. Similarly, sampling
434 methods that may increase the fitness of animals (e.g. feeding cages or baited DNA traps)
435 could lead to previously sampled animals to be more attracted than naïve ones (Boulanger
436 et al. 2004, Gashelis 2006), thereby biasing the CMR results towards underestimating
437 population size.
438
439 Such biases can be limited by the use of non-disruptive DNA sampling methods. Although
440 eDNA has been used in CMR studies and is in most cases non-disruptive, it can have some
441 limitations. The presence of mixed DNA samples and the lower quality of the collected DNA
442 can lead to false positives where animals not captured previously are believed to be

recaptured due to their DNA profile being an indistinguishable shadow of previously captured animals [105]. Because of this, non-disruptive DNA sampling may provide an appropriate balance between sample quality, data quality and impact on animals.

## 6. TAKE-HOME MESSAGES

1. In practice, most papers using the phrase "non-invasive DNA sampling" only comply to the medical definition of the term non-invasive, which is broader than the original definition proposed by Taberlet et al. [6] and is concerned only with the preservation of the physical integrity of the organism being sampled. We urge scientists using non-invasive DNA sampling methods to always state whether they refer to the definition by Taberlet et al. [6] *sensu stricto* or the medical definition of a non-invasive procedure (*sensu lato*).
2. We propose the new terms, "non-disruptive" and "minimally-disruptive" DNA sampling, to more appropriately address the potential behaviour, welfare and/or fitness effects of DNA sampling methods, as opposed to physical integrity (invasiveness in the medical sense). We can envisage situations in which the research aims are not impacted by the sampling approach to obtaining DNA. However, researchers have an ethical obligation to minimise the impacts on the animals. Therefore, whenever possible, non-disruptive or minimally disruptive DNA sampling methods should be selected, in particular prior to experimental or observational studies measuring fitness or behaviour, as well as studies using techniques such as CMR where fitness or behaviour may affect results.
3. It may in some cases be better to use a physically invasive method (e.g. remote biopsy) that is minimally disruptive rather than a method that does not involve puncturing the skin but causes severe stress and has long-lasting effects (e.g. stressful capture for saliva swabbing).
4. More research is required to better understand the consequences of different live DNA sampling methods on behaviour, welfare and fitness in a variety of animal species and contexts.

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## AUTHOR CONTRIBUTIONS

Conceptualised the idea: MCL, SB, RHC ; recruited co-authors and organised literature review and writing workshops: MCL ; conducted the systematic review: MCL, SB ; prepared the figures: SB ; drafted and revised the manuscript MCL, RHC, NA, AB, KD, AK, JR, VRS, RS, WB, SB. The overall author percentage contributions are as follow: MCL[25], RHC[10], KD[8], NA[5], AB[5], AK[5], JR[5], VRS[5], RS[5], BW[2], SB[25].

## DATA AVAILABILITY

The list of publications used for the review are available as Supplementary material 1.

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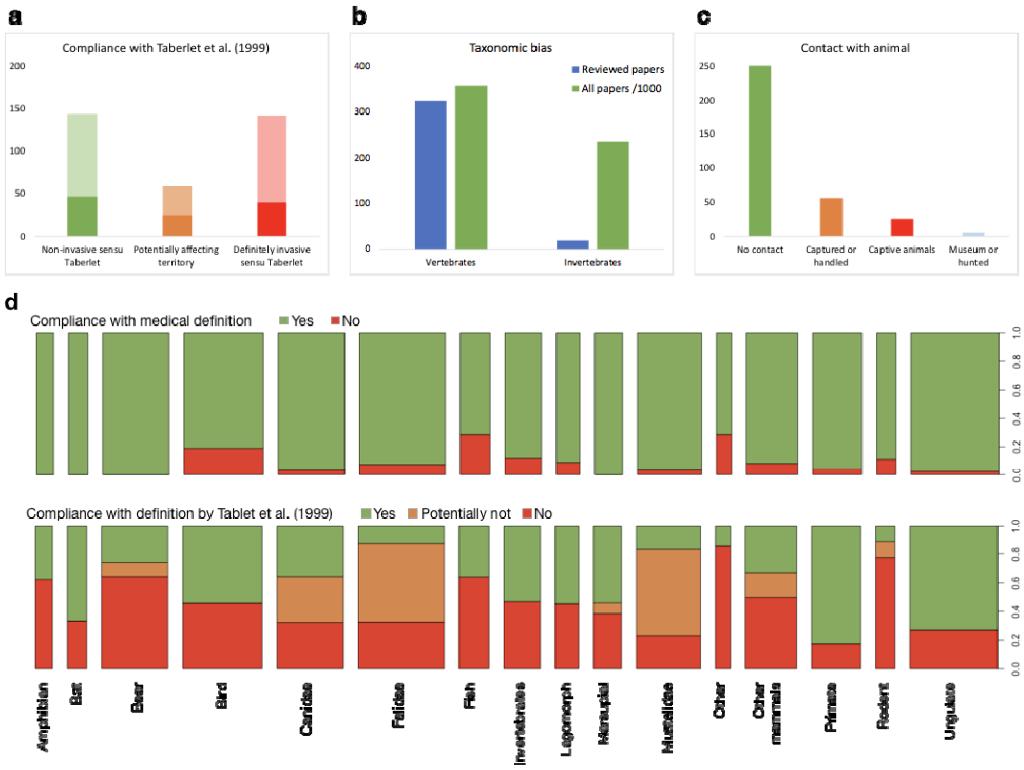


Figure 1. Summary statistics of the literature review on the use of "non-invasive DNA sampling" between January 2013 and May 2018. For a, b, and c, y-axis is number of papers. For d, y axis is the proportion of papers and the width of the bars represents the number of papers for each taxonomic group.

**a**: Compliance of papers with the original definition proposed by Taberlet et al. (1999). Dark colours correspond to papers where the phrase "non-invasive" was present in the title, lighter colours correspond to papers where the phrase "non-invasive" was not present in the title.

**b**: Taxonomic bias in the non-invasive DNA sampling literature. Number of papers reviewed that focus on invertebrates or vertebrates compared to all papers on invertebrate or vertebrate (see Method section for search command).

**c**: Number of papers complying or not complying with the no contact criteria proposed by Taberlet et al. (1999)

**d**: Proportion of papers complying with different definitions of non-invasive sampling in relation to the taxonomic group studied. Top: compliance with the common definition of a non-invasive medical or veterinary procedure, (i.e. one not involving puncture of the skin or other entry into the body (Miller-Keane Encyclopedia and Dictionary of Medicine). Bottom: compliance with the definition of non-invasive DNA sampling proposed by Taberlet et al. (1999). Orange boxes correspond to cases where territory marking and social interactions may have been affected by the removal of faecal samples.

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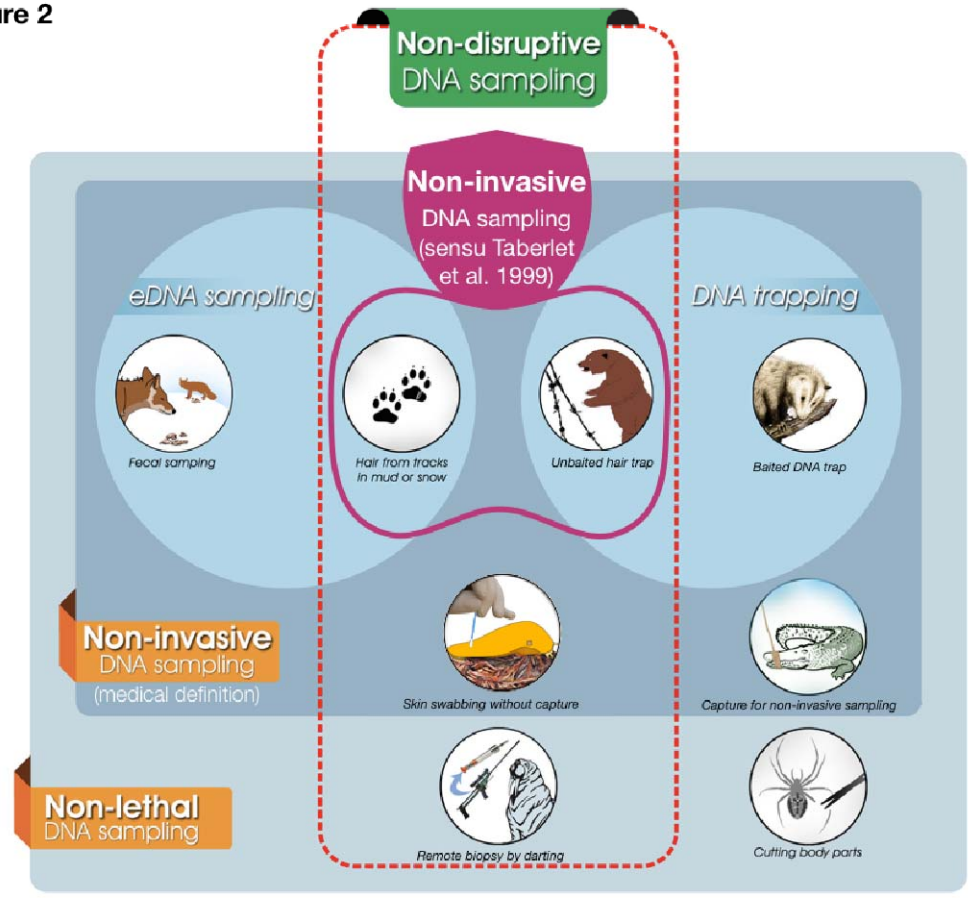
**Figure 2**




794

795 Figure 2. The relationship between non-disruptive, non-invasive and non-lethal DNA

796 sampling methods.

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798
799 **Table 1. Glossary of terms as used in this review.**

| Term | Definition |
|---|---|
| **DNA trapping** | Remotely obtaining DNA from one or more unknown individual organisms by taking a sample while they are present. This usually involves some sort of trap or device, which may or may not be disruptive. |
| **eDNA sampling** | Obtaining trace DNA left behind by one or more unknown organisms, by sampling the environment when those organisms are no longer present at the point of sampling. |
| **Minimally disruptive DNA sampling** | Obtaining DNA with minimised effects on the animal's fitness, behaviour and welfare. To a minimised extent, such method may affect the structural/physical integrity of the organism. |
| **Minimally invasive DNA sampling** | Obtaining DNA with minimised effects on the animal's structural/physical integrity. To a minimised extent, such method may affect the behaviour and welfare of the organism. |
| **Non-destructive DNA sampling** | Obtaining DNA from a known individual organism in such a way that the organism may be killed, but not destroyed, so that it can be preserved as a voucher specimen. |
| **Non-disruptive DNA sampling** | Obtaining DNA without affecting the animal's fitness, behaviour and welfare. |
| **Non-invasive DNA sampling *sensu lato*** | Obtaining DNA without affecting the physical integrity of the animal's through puncturing the skin or other entry into the body (derived from the medical definition of a non-invasive procedure). |
| **Non-invasive DNA sampling *sensu stricto*** | Obtaining DNA that was left behind by the animal and can be collected without having to catch or disturb the animal (from Taberlet et al. 1999) |
| **Non-invasive procedure** | A procedure that does not involve puncture of the skin or other entry into the body (such as use of an endoscopic device). |

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