Why panmictic bacteria are rare

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Abstract

Bacteria typically have more structured populations than higher eukaryotes, but this difference is

surprising given high recombination rates, enormous population sizes and effective geographical

dispersal in many bacterial species. We estimated the recombination scaled effective population size

$N_e r$ in 21 bacterial species and find that it does not correlate with synonymous nucleotide diversity as

would be expected under neutral models of evolution. Only two species have estimates substantially

over 100, consistent with approximate panmixia, namely *Helicobacter pylori* and *Vibrio*

parahaemolyticus. Both species are far from demographic equilibrium, with diversity predicted to

increase more than 30 fold in *V. parahaemolyticus* if the current value of $N_e r$ were maintained, to

values much higher than found in any species. We propose that panmixia is unstable in bacteria, and

that persistent environmental species are likely to evolve barriers to genetic exchange, which act to

prevent a continuous increase in diversity by enhancing genetic drift. Our results highlight the

dynamic nature of bacterial population structures and imply that overall diversity levels found within

a species are poor indicators of its size.

Introduction

Bacteria are paragons of adaptability and make up more biomass than all organisms other than plants

combined[1]. Many bacterial species have enormous population sizes, disperse effectively around the

globe[2] and exhibit high rates of within-species homologous recombination[3]. Recombination

progressively breaks down non-random associations between markers (linkage disequilibrium), so

that in large populations, where pairs of individuals distantly related, linkage equilibrium is expected

between all pairs of genomic sites. However, in most species for which data is available, there is

substantial genome-wide structure variation[4]. Here we propose a resolution of this paradox, namely

that large bacterial populations accumulate diversity progressively until that diversity acts as an

effective barrier to genetic exchange between lineages.

We examine the population structure of 21 bacterial species and find that only the Asian population of

Vibrio parahaemolyticus is close to genome-wide linkage equilibrium. We find that this population

has undergone a recent expansion. If the current population size was maintained over evolutionary

timescales, it would lead to a greater than 30 fold increase in diversity, to levels higher than found in

any well characterized bacterial species. We propose that other environmental species with large

census population sizes, for example *Vibrio cholerae* or *Klebsiella pneumoniae*, may have been

through a similar stage before accumulating diversity and then evolving mechanisms that disrupted

free recombination.

Specifically, we use pairwise genetic distances between strains calculated from core genome

sequences to estimate the recombination scaled effective population size parameter $N_e r$, where N_e is

the effective population size and r is the recombination rate per site per generation, an approach

briefly introduced by Cui et al[5]. Here, we test the method using simulated genomic datasets and find

that it provides accurate estimates in constant size populations for values of the scaled recombination

rate R (see below) of 5 or more, and responds to changes in population size or structure far more

quickly than estimates of N_e based on nucleotide diversity. We apply the method to real genomic

datasets from 21 species and find that some common species, such as *Escherichia coli*, have strikingly

low estimates of $N_e r$, implying that recombination is inefficient in distributing diversity across the

species, while for others the fit of model to data is poor, highlighting deviations from the assumption

of a freely recombining population. Only datasets from *V. parahaemolyticus* and *Helicobacter pylori*

give $N_e r$ estimates greater than 100. The former has had a recent increase in population size, whereas

the latter experienced repeated bottlenecks associated with geographical spread of its human host,

implying that neither population is close to demographic equilibrium.

Inference approach

Population genetics is a century-old discipline that provides a powerful set of theoretical and

statistical inference tools with which to interpret patterns of genetic variation between closely related

organisms. Central to the theory is the concept of a population, which in outbreeding eukaryotes is a

set of organisms that share a common gene pool. The simplest models assume panmixia which means

random mating of all individuals within a single closed population[6]. In most animals and plants

mating is structured by geography, however even low levels of migration between locations, of the

order of one individual per generation, can prevent differences from accumulating between local

populations, making random mating a reasonable first approximation for many species. Under neutral

theory[7], the expected level of genetic diversity π depends on the per generation mutation rate μ and

the effective population size N_e, which is the number of individuals contributing to the gene pool in

each generation[8].

Outbreeding eukaryotes receive genetic material from the gene pool when they are born and

contribute to it when they reproduce. Individuals are more similar to immediate relatives than they are

to other members of the population, but the proportion of the genome shared by descent from

particular ancestors decays rapidly with each successive generation, declining from 1/2 for full

siblings to 1/8 for first cousins and 1/32 for second cousins. In small random samples from

populations with more than a few hundred individuals, shared recent ancestry is rare and can be

neglected for many types of analysis.

Bacteria reproduce by binary fission and only receive material from the gene pool or contribute to it

via homologous recombination[9]. Many cell divisions can take place between consecutive

recombination events, and typically recombination only affects a small fragment of the genome.

These properties mean that the concept of a gene pool or a population is less straightforward to define

than for outbreeding organisms. It is still possible to estimate the effective population size N_e from the

average nucleotide diversity π, based on the standard assumptions of population genetic theory, but

the assumptions are both less reasonable and harder to test for bacteria than for outbreeding

eukaryotes, in which population boundaries can be delineated empirically using well established

methods[10]. For example, nucleotide diversity in a species like *E. coli* can be estimated for clonal

lineages, phylogroups, species or for the *Escherichia* genus as a whole. These choices lead to very

different values for π and hence for N_e and it is not obvious a priori which is most meaningful. All

methods lead to estimates of effective population size that are many orders of magnitude smaller than

the census number of bacteria[11].

Here, we take a different approach which is to estimate a scaled version of the effective population

size, $N_e r$, where r is the per generation rate at which a given site recombines. Note in particular that

this parameter r is the product of the per initiation site recombination rate ρ and mean tract length δ

used in many bacterial recombination models[12,13]. High values of $N_e r$ indicate that the population

structure is similar to that of outbreeding eukaryotes. Informally, in eukaryotic population each

individual is the product of a separate meiosis and therefore genetically distinct. N_e is the number of

genetically distinct individuals that contribute in each generation, which is typically in the thousands

or millions. $N_e r$ is designed to measure an analogous quantity in bacteria, namely the number of

genetically distinct organisms that contribute to the future bacterial gene pool. To this end, time is

rescaled; N_e measures the rate of genetic drift per bacterial generation, while $N_e r$ measures the

genetic drift in proportion to the time it takes for strains to become distinct from their ancestors other

by importing DNA by homologous recombination. The recombination rate of *V. parahaemolyticus* is

$r = 1.7 \times 10^{-4}$ per site per year[14]. After T years of evolution, the expected proportion of recombined

genome is e^{-rT}, so that it takes around 4,000 years on average for half of the genome to recombine.

Half of this time is approximately equivalent to a eukaryotic generation in the sense that two strains

that shared a common ancestor 2,000 years ago will be about as related as siblings. This represents a

very different time scale from that assumed based on bacterial generations. For example, in *V.*

parahaemolyticus, bacterial doubling times are likely to be similar to those in *V. cholerae*, which have

been estimated at around an hour[15].

We estimate $N_e r$ using the pairwise genetic distances between strains, based on a number of

simplifying assumptions which are likely to hold true in freely recombining bacterial populations but

may break down in species where recombination rates are low or genetic exchange is structured by

geography or lineage. Specifically, the calculations assume that recombination introduces many more

substitutions than mutation, happens at the same rate throughout the genome and that each

recombination event introduces unrelated DNA from the population into the imported stretch. If

unrelated strains differ on average at $d_{\text{unrelated}}$ nucleotides throughout the alignment, then the

expected number of SNPs distinguishing strains with a common ancestor at time T in the past is

$d = d_{\text{unrelated}} (1 - e^{-2rT})$.

To use these times to estimate the effective population size, we assume that the genealogy of clonal

relationships is generated by a coalescent model with a constant population size N_e[16,17]. This model

generates expectations for the times in the past at which common ancestors of strains in a sample

existed. Specifically, for a sample of n strains, there are $n-1$ coalescent nodes. The age of the most

recent node corresponds to the common ancestor of the two most closely related strains in the sample,

while the $(n-1)^{\text{th}}$ node corresponds to the common ancestor of all the strains in the sample.

Coalescent theory implies that the expected time in the past at which the mth most ancient coalescent

event occurs is $T_m = 2N_e \left(\frac{1}{m} - \frac{1}{n} \right)$. These times can be converted into expected genetic distances d_m

using the formula in the previous paragraph. We use the UPGMA algorithm to obtain $n-1$

coalescent distances from the $n(n-1)/2$ pairwise genetic distances between strains and find the

values of $N_e r$ and $\hat{n}$ (effective sample size, see below) that gives the best fit between observed and

expected distances for the $n-1$ coalescent nodes.

Note that for bacteria with high recombination rate, the genome is likely to have been scrambled up

sufficiently that strains will have inherited little or no DNA by direct descent from the common

ancestor of the entire sample. This means that the genetic distances expected for the oldest coalescent

events plateau at $d_{\text{unrelated}}$. In graphical representations, it is convenient to show the coalescent events

in chronological order with the oldest first, to aid comparisons between datasets with different sample

sizes.

The model assumes that the strains are randomly sampled from a homogeneous population at a single

time point, but pathogenic clones, epidemic outbreaks or strains from specific locations are often

overrepresented in real data, leading to oversampling of very closely related isolates, relative to their

frequency in the global population[4,18]. Therefore, in addition to $N_e r$ we estimate a second parameter $\hat{n}$

called the effective sample size, which is an estimate of the number of strains remaining when over-

sampled clonally related strains are removed. For simulated data where sampling is random, $\hat{n}$ is

correctly estimated to be very close to number of strains in the sample, so this additional parameter

makes little difference to the inference. For real data, estimating this additional parameter often

improves the qualitative model fit considerably.

Results

$N_e r$ can be estimated accurately for simulated data

Fig. 1 illustrates the effect of varying the recombination rate in genomes simulated using

FastSimBac[19]. The simulations include 200 genomes of length 2 Mb under a coalescent model with

constant effective population size N_e. We fix the recombination tract length $\delta = 1,000$ and vary the

rate of initiation of recombination events ρ per $2N_e$ generations, so that the scaled recombination rate

$R = \frac{\delta \rho}{2N_e}$ varies by two orders of magnitude, from 0.5 to 50. For low recombination rates, the

phylogenetic tree is highly structured but becomes progressively bushier as R increases, and gives the

impression of being a nearly perfect star for $R = 50$. For high R, the estimated $N_e r$ is close to R and

the observed genetic distances are well-fit by the model. For lower R, the fit is less good and the

estimate of R provided by $N_e r$ is also less accurate, although it remains of the right order. For these

parameter values, the size and shape of the phylogenetic tree are highly variable between runs, and the

poorer fit reflects this stochasticity as well as greater inaccuracy in the approximations made in

converting between genetic distances and coalescent times.

Figure 1. Recombination scaled effective population size (N_er) estimation of simulated constant populations under different recombination effects. The panels indicate populations with recombination effects (R) of 0.5 (a), 1 (b), 5 (c), 25 (d), 50 (e). From top to bottom, indicating the NJ trees, distribution of pairwise SNP distance and N_er estimation of each population. Blue triangles and red points show observed and expected coalescence curves, respectively. Dotted lines show SNP distance of unrelated strains ($d_{unrelated}$) in coalescence formula.

Further simulations of more complex scenarios (Supplementary Fig. 1) show that N_er estimates

reflect the current demography of the population more closely than estimates based on π, which is

more influenced by past demography and migration to and from different demes. Supplementary Fig.

1a shows the effect of a population expansion on estimates of N_er. At time t, a single population with

scaled recombination rate $R = 5$ splits into two. The blue population maintains the ancestral

population size while the red population becomes 10 times larger. At time $t + 0.05$ the red population

is only marginally more diverse than the blue one but its estimated value of N_er is 6 fold higher. The

fit of observed and expected genetic distances is poor for the red population, reflecting the inaccuracy

of the modelling assumption that there is a single unchanging population size. At time $t + 0.2$ the

estimate of N_er is close to its true post-split value for both populations and the model fit is

substantially improved. Merging data from the two populations gives intermediate estimates of N_er.

Supplementary Fig. 1b shows the effect of a population size reduction. A single population with $R =$

50 splits into two, with the blue population remaining unchanged while the red population undergoes

a 10 fold reduction in size. As in Supplementary Fig. 1a, the model fit for the red population is poor

immediately after the split but quickly improves, with the estimate of $N_e r$ approaching the correct

value of 5, while the nucleotide diversity of the population reduces much more slowly. Supplementary

Fig. 1c shows the effect of symmetric migration between two populations with a 10 fold difference in

effective population size. Migration has a large effect on nucleotide diversity, especially for the

smaller population, but has little effect on estimates of $N_e r$. Overall, these results show that our

inference approach provides accurate estimates of the recombination-scaled effective population size

for simulated data and that deviations between observed and expected genetic distances can be

informative about deviations from model assumptions.

Application to *V. parahaemolyticus* genomes

We first applied the method to the 1,103 *V. parahaemolyticus* genomes described in Yang et al[14]. For

this dataset, we obtained estimates of $N_e r = 484$ and $\hat{n} = 471$ (Fig. 2a). $\hat{n}$ is less than half of the

sample size principally because a large fraction of the isolates in the sample belong to pandemic

clonal lineages responsible for large numbers of human infections. Both lineages have most recent

common ancestors within the last few decades and are likely to represent a very small fraction of the

global population of *V. parahaemolyticus*. The genetic distances fit the model well except that the 33

oldest coalescent events, on the left hand side of the plot are larger than $d_{\text{unrelated}}$. The discrepancy is

largely due to population structure within the species, since the fit is better, although still not perfect

when analysis is restricted to the 944 isolates from the VppAsia population (Fig. 2a).

Figure 2. Recombination scaled effective population size ($N_e r$) estimation of *V. parahaemolyticus*.
(a) $N_e r$ estimation of all the samples and four populations (VppAsia, VppX, VppUS1 and VppUS2) of *V. parahaemolyticus*. Layout and colors are the same as in Figure 1. (b) $N_e r$ estimation of VppAsia population based on different sample sizes. 100-500 genomes were randomly selected from total 944 VppAsia genomes, 10 repeats were performed for each sample size to create the boxplot. (c) $N_e r$ estimation of VppAsia population based on different types of samples. Points and lines show observed and expected coalescence curves, respectively.

For the VppAsia population $N_e r$ was estimated to be 453 which is similar to that for the dataset as a whole, while other populations have substantially smaller values. These differences are not simply due to a larger sample size since estimates based on subsets of the VppAsia data are consistently greater than 200 (Fig. 2b). Sampling strategy does make some difference, since estimates are lower

200   for a dataset consisting only of clinical strains than of shellfish, fish or all non-clinical isolates (Fig.

201   2c). This difference presumably reflects variation in disease causing potential, which results in

202   samples of clinical isolates not representing the full diversity of clonal lineages within the species.

Application to multiple bacterial species

We also applied the method to a survey of other bacteria for which large numbers of genomes are

publically available (Supplementary Fig. 2). *V. cholerae* has an estimate of $N_e r$ of 29, while *Vibrio*

vulnificus has a value of 43. Although the sample sizes available are relatively limited, in contrast to

the Asian population of *V. parahaemolyticus*, the genetic distances do not show a clear plateau

corresponding to a single value for $d_{unrelated}$ and while there are a continuous range of coalescent

distances, the overall fit between model and data is poor. Thus, although the other *Vibrio* species in

our dataset recombine frequently they are far from being panmictic.

H. pylori has the largest estimated value of $N_e r$ of all datasets we analysed. *H. pylori* is characterized

by extremely high rates of recombination during mixed infection of the human stomach, with 10% or

more of the genome recombined during a single infection[20] and linkage disequilibrium decreases

much more rapidly as a function of genetic distance than for all other species, including *V.*

parahaemolyticus (Fig. 3a). Although the tree is approximately star-like, the fit of the model is not

perfect, with no clear plateau for a single value of $d_{unrelated}$, due to the complex geographical

population structure of the species[21].

Amongst the other species, $N_e r$ varies from 1 for *Chlamydia trachomatis* to 88 for *Salmonella*

enterica. The overall fit of the model varies considerably between species (Supplementary Fig. 2) and

is typically worst for the oldest coalescent events, as examined in more detail above for *V.*

parahaemolyticus. $N_e r$ values are correlated with linkage disequilibrium statistics in the chromosome,

but show no correlation with nucleotide diversity π (Fig. 3).

Figure 3. Correlation between $N_e r$ and short-range linkage disequilibrium (a) and between $N_e r$ and nucleotide diversity of synonymous sites (b) in 21 bacterial species. The r^2 values at pairwise distance of 3,000 bp were used in (a).

Discussion

We have estimated the recombination-scaled effective population size $N_e r$ in simulated data, where

accurate estimates were obtained, and in 21 bacterial species for which large numbers of genomes

were available. High values of $N_e r$ imply that there are many unrelated members in the population

and low genome-wide LD and thus is consistent with panmixia. We obtained estimates of 453 for the

Asian population of *V. parahaemolyticus* and 1,976 for the hpEurope population of *H. pylori,* but the

estimated value of $N_e r$ was lower than 100 in the other 19 species.

Since panmixia is possible within bacterial populations, it raises the question why it is not widespread.

There are several bacterial species in our sample which survive well in the environment, have

effective global dispersal, for example by birds, enormous census population sizes and high

recombination rates, such as *E. coli, Campylobacter jejuni* and *K. pneumoniae*. Species with these

characteristics are the most obvious candidates to be panmictic, whereas *V. parahaemolyticus* thrives

only in warm brackish waters and has oceanic gene pools, implying historical limits on its dispersal[14],

and *H. pylori* only survives in human stomachs and shows geographic differentiation associated with

historical migrations of its host[22].

A further puzzle lies in the lack of correlation between the estimated $N_e r$ and the nucleotide diversity

π (Fig. 3b). For example, *V. parahaemolyticus* has similar diversity to *V. cholerae* and much lower

diversity than *V. vulnificus*, both of which have estimated $N_e r$ lower than 50. Furthermore, estimates

of $N_e r$ vary over a factor of 1,000, while π varies only by a factor of 10, consistent with previous

observations of much lower variation in π between species than is expected based on variation in

census population size in many domains of life[23]. This phenomenon remains poorly understood,

despite decades of attempts at an explanation[24].

We propose that panmixia is rare in bacteria because it is unstable and does not persist over

evolutionary time. Specifically, high $N_e r$, if sustained, leads to an accumulation of diversity to levels

higher than observed in any species. We propose that an accumulation of diversity leads to the

evolution of barriers to genetic exchange within the species that reduce $N_e r$[25]. It follows that the

populations with high values of $N_e r$ we found have arisen recently on an evolutionary timescale.

As proposed in Yang et al[14], the Asian population of *V. parahaemolyticus*, VppAsia, has spread

within the last few decades due to human activity but has an ancestral range restricted to coastal

waters from India to Japan. The other *V. parahaemolyticus* populations that we have sampled, with

different ancestral ranges, have smaller estimated $N_e r$, perhaps because they have smaller or less

fecund ranges or experience greater competition or more frequent demographic bottlenecks.

Crucially, it seems that the ancestral population size of *V. parahaemolyticus* as a whole was smaller

than currently found in VppAsia and more similar to that in the other populations. First, the site

frequency spectrum of VppAsia, but not the other populations, is out of equilibrium and is

approximately consistent with a demographic scenario in which the effective population size

increased by a factor of ten 15,000 years ago (Supplementary Fig. 3). One possible scenario is that the

end of the last ice age around 11,000 years ago created a habitat that existed till the present and that

the population expansion implied by the site frequency spectrum is due to a demographic expansion at

the end of the ice age. Second, r/μ, the number of recombinant sites for each mutant site, has been

estimated for the species to be around 313[14]. Note that this parameter r/μ is not the same as the

parameter r/m often used in the literature[3] because the former considers all recombined sites whereas

the latter considers only recombinant sites that are substituted. If nucleotide diversity reached an

equilibrium, consistent with the current value of $N_e r$ of VppAsia, this would predict a value of

$2N_e\mu = 2N_e r\left(\frac{\mu}{r}\right) = 2 \times 453 \times \left(\frac{1}{313}\right) = 2.9$. At equilibrium in a neutrally evolving population,

with sites evolving according to the Jukes-Cantor model, π is predicted to be equal to $\frac{3}{4} \times$

$\left(1 - e^{-\frac{8N_e\mu}{3}}\right) = 0.73$ and therefore most synonymous sites should differ between individuals. This is

far from the current value of 0.024 or indeed diversity levels in any well-characterised bacterial

species. Our simulation results show that estimates of $N_e r$ respond much more quickly to changes in

demography than π, implying that diversity levels would be likely to continue a slow but steady rise if

the effective population size remains constant (ignoring the changes in population structure caused by

human activity in recent decades).

The equivalent argument is more complex in *H. pylori* due to its geographic population structure but

levels of variation are clearly far from demographic equilibrium, as illustrated by the substantial

variation between populations that reflects bottlenecks associated with historical human migration

rather than current population sizes[22].

Simulations of facultatively sexual organisms have shown that selection on multiple interacting loci

can lead to populations being dominated by small numbers of clones, even in the presence of frequent

recombination[26]. These simulations also show that a phase transition from panmixia can take place

due to a decrease in recombination rate or even an increase in population size.

There are several mechanisms which might lead to similar phase transition behaviour in bacterial

populations as diversity increases. In Cui et al[27], we observe nascent boundaries to genetic exchange

between lineages of *V. parahaemolyticus* associated with differentiation into ecological types. This

kind of genetic differentiation is more likely to be common, and more likely to lead to barriers to

exchange in more diverse bacterial populations, which tend to have more diverse accessory

genomes[28]. Such barriers can result in speciation[18,29] but can also lead to genetic structuring within a

single species, such as host-specific gene pools found in *C. jejuni*[30]. Another mechanism is changes in

the pattern or rate of recombination. Recombination requires homology between donor and recipient

DNA to be recognized by the cellular machinery and, in addition, most species have a mismatch

repair system, which aborts the process if there are too many differences. Therefore, as homology

decreases, so will recombination[20,29]. Mismatch dependent recombination is likely to be the main

reason why *E. coli* has an estimated value of $N_e r$ of only 12, implying that recombination is

ineffective in reassorting variation across the whole species[31].

Our arguments imply that many environmental organisms with large census population sizes are

likely to have gone through a stage of high $N_e r$ values, similar to that currently observed in the Asian

population of *V. parahaemolyticus,* followed by a phase transition which reduced the number of

lineages circulating in the population, which also acted to prevent a continuous increase in nucleotide

diversity by increasing the rate of genetic drift and led either to long-term stability in population

structure or to occasional speciation events such as described in *Salmonella*[29]. Further support for this

hypothesis could be provided by identifying species that have endured such a transition and

characterizing the factors that currently mitigate against recombination between lineages.

Despite decades of study by population geneticists, the factors determining the amount of diversity

within species are still poorly understood. Our results suggest that patterns of variation in bacteria are

likely to be dynamic, with ecological and genomic differentiation, barriers to gene flow and

demographic factors interacting with each other in complex ways to produce the wide variety of

bacterial population structures that are observed.

Material and methods

Genomic datasets used in analysis

Multiple genome sequences from 21 bacterial species were used in this analysis, including *Bacillus*

thuringiensis, Burkholderia pseudomallei, Campylobacter jejuni, Chlamydia trachomatis,

Enterococcus faecalis, Escherichia coli, Flavobacterium psychrophilum, Haemophilus influenzae,

Helicobacter pylori, Klebsiella pneumoniae, Legionella pneumophila, Leptospira interrogans,

Neisseria meningitidis, Porphyromonas gingivalis, Pseudomonas syringae, Salmonella enterica,

Streptococcus pneumoniae, Streptococcus pyogenes, Vibrio cholerae, Vibrio parahaemolyticus and

Vibrio vulnificus. The SNP data from 278 *H. pylori* and 1,103 *V. parahaemolyticus* genomes were

reused from previous studies[14,32]. Other genome sequences were publicly available and were

downloaded from NCBI database. For species that have more than 500 genomes in NCBI database,

such as *E. coli*, 500 genomes were randomly selected and were used first to reconstruct a Neighbour-

joining tree. Strains located on extremely long branches and belonging to clonal groups were

manually removed, finally resulting in a dataset of 6,355 genomes. The accessions of genomes used

(excluding *H. pylori* and *V. parahaemolyticus*) are listed in Supplementary Table 1, and the whole-

genome alignment files are available in the figshare data repository

(https://figshare.com/s/3f9d04a8229f30dd785b).

Simulation

The software FastSimBac[19] was used to generate the simulated bacterial populations under different

hypothetical evolution scenarios, including a constant population with different recombination effects

(Fig. 1), and the changing populations with population growth (Supplementary Fig. 1a), decline

(Supplementary Fig. 1b) and migration (Supplementary Fig. 1c). All the simulated genome length was

set to 2 Mb, mutation rate was fixed at 0.01 (per site per $2N_e$ generations). The detailed parameters

used in simulation are listed in Supplementary Table 2.

SNP calling, phylogeny reconstruction and LD decay calculation

The SNPs of publicly available genome sequences were called as previously described[5,14]. Briefly, the

individual genomes were aligned against a reference genome of the corresponding species

(Supplementary Table 1) using MUMmer[33], then the detected core bi-allelic SNPs were summarised

as a SNP matrix for each species. The Neighbour-joining trees were constructed using the software

Treebest (http://treesoft.sourceforge.net/treebest.shtml) based on concatenated SNPs. Haploview[34]

was used to calculate the r^2 value based on previous and new identified SNP sets, with the maximum

comparison distance set to 30 kb. A sliding window method was used to summarize the r^2 value with

a window of size 10 bp.

Recombination scaled effective population size estimation

For a freely mixing population in which recombination drives diversification, neutral theory predicts

that $N_e r$ (the product of the effective population size N_e and the recombination rate r) is in proportion

to genealogical coalescent rate, and the expected coalescent curves can be estimated based on the

formula $d = d_{\text{unrelated}}(1 - \exp(-4N_e r \left(\frac{1}{m} - \frac{1}{n}\right)))$ as mentioned in previous work[5]. In the formula, d

is the expected pairwise genetic divergence, $d_{\text{unrelated}}$ is the median pairwise SNP distance of a

population, n is the number of individual strains and m is the index of the ancestral node along the

coalescent tree of n strains. Coalescent curves can be estimated using the UPGMA algorithm based on

the pairwise SNP distance. By fitting the expected and observed coalescent curves with least square

method to search for the optimal parameters, we found the optimal values of $\hat{n}$ and $N_e r$ that were used

in further analysis.

Site frequency spectrum estimation

The minor allele frequency (MAF) of each SNP locus in a SNP matrix was calculated and then the

distribution of MAF was counted to generate the site frequency spectrum (SFS) for each dataset. To

get a comparable result, the SFS showed in each Figure or panel was calculated based on same sample

size. In Supplementary Fig. 3a, the merged population was generated by randomly selecting 100

genomes from each of Pop1 and Pop2. In Supplementary Fig. 3b, the same number of genomes as in

the real genome dataset were simulated to show populations with different evolution scenarios.

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Author Contributions

D. F., Y. C., and R. Y. designed the study and coordinated the project; C. Y., Y. C., X. D., and D. F.

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Competing Financial Interests statement

None

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