Basal ganglia and cortical control of thalamic rebound spikes

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1 Abstract

Basal ganglia output neurons transmit motor signals by decreasing their firing rate during movement. 2 This decrease can lead to post-inhibitory rebound spikes in thalamocortical neurons in motor 3 thalamus. While in healthy animals neural activity in the basal ganglia is markedly uncorrelated, 4 in Parkinson's disease neural activity becomes pathologically correlated. Here we investigated the 5 impact of correlations in the basal ganglia output on the transmission of motor signals to motor 6 thalamus using a Hodgkin-Huxley model of a thalamocortical neuron. We found that correlations in 7 the basal ganglia output disrupt the transmission of motor signals via rebound spikes by decreasing 8 the signal-to-noise ratio and increasing the trial-to-trial variability. We further examined the role of g brief sensory responses in basal ganglia output neurons and the effect of cortical excitation of motor 10 thalamus in modulating rebound spiking. Interestingly, both the sensory responses and cortical 11 inputs could either promote or suppress the generation of rebound spikes depending on their timing 12 relative to the motor signal. Finally, in the model rebound spiking occurred despite the presence 13 of moderate levels of excitation, indicating that rebound spiking might be feasible in a parameter 14 regime relevant also in vivo. Overall, our model provides novel insights into the transmission of 15 motor signals from the basal ganglia to motor thalamus by suggesting new functional roles for 16 active decorrelation and sensory responses in the basal ganglia, as well as cortical excitation of 17 motor thalamus. 18

19 Introduction

The basal ganglia have long been implicated in the selection and execution of voluntary movements 20 (Albin et al., 1989; Alexander and Crutcher, 1990b; Redgrave et al., 1999; Hikosaka et al., 2000). 21 Classic "box-and-arrow" models of the basal ganglia (Alexander and Crutcher, 1990a; Wichmann 22 and DeLong, 1996) presume a propagation of motor signals through the direct pathway. The direct 23 pathway consists of direct, inhibitory projections from the striatum to the basal ganglia output 24 regions. Therefore increased activity in the striatum reduces the activity e.g. in the substantia nigra 25 pars reticulata (SNr). SNr in turn disinhibits the motor thalamus (Deniau and Chevalier, 1985), 26 and thereby enables movement. Basal ganglia output neurons often have high baseline firing rates 27 and decrease their rate during movement in both rodents and primates (Hikosaka and Wurtz, 1983; 28 Schultz, 1986; Leblois et al., 2007; Schmidt et al., 2013). However, recent studies have suggested 29 a more complex picture on how basal ganglia output affects motor thalamus and motor cortex 30 (Bosch-Bouju et al., 2013; Goldberg et al., 2013). 31

Three different modes have been proposed for how the basal ganglia output can affect thalamic targets (Goldberg et al., 2013). In the first mode sudden pauses in basal ganglia inhibition of thalamus lead to "rebound" spikes in thalamocortical neurons due to their intrinsic T-type Ca²⁺ channels (Llinás and Jahnsen, 1982). Release from long-lasting hyperpolarisation (e.g. during movement) de-inactivates the T-type Ca²⁺ channels and thereby depolarises the membrane potential. For strong enough preceding hyperpolarisation, the membrane potential can even reach the spike threshold without any excitation (Person and Perkel, 2005; Person and Perkel, 2007;

Leblois et al., 2009; Kim et al., 2017). However, thalamocortical neurons also receive excitatory 39 input from cortex, which can change the effect of nigrothalamic inhibition. For moderate levels of 40 cortical excitation the nigrothalamic transmission operates in a disinhibition mode, in which the 41 basal ganglia effectively gate cortical excitation, so that during pauses of inhibition the excitatory 42 inputs can evoke spikes in the thalamocortical neuron (Kojima and Doupe, 2009; Bosch-Bouju et 43 al., 2014; Edgerton and Jaeger, 2014). If the cortical excitation is strong enough, the inhibition 44 from the basal ganglia no longer prevents action potentials in the thalamocortical neurons, but 45 instead controls their timing. In this "entrainment" mode the thalamocortical neuron spikes 46 after the inhibitory input spikes from SNr with a short, fixed latency (Goldberg and Fee, 2012; 47 Goldberg et al., 2012). 48

One prominent feature of the basal ganglia network is that neurons fire in an uncorrelated fashion, 49 despite their overlapping dendritic fields and local recurrent connections (Wilson, 2013). Specific 50 features of the basal ganglia such as pacemaking neurons and high firing rate heterogeneity may 51 act as mechanisms for active decorrelation of activity. This effectively prevents correlations among 52 neurons, and disrupting this mechanism leads to pathologically correlated activity as in Parkinson's 53 disease (Bar-Gad et al., 2003; Wilson, 2013). Increased correlated activity has also been observed 54 in basal ganglia output neurons in Parkinson's disease (Bergman et al., 1998), which can in 55 turn increase correlated activity in the thalamus (Reitsma et al., 2011). Previous computational 56 modelling has shown that pathological basal ganglia output can prevent the thalamic relaying of 57 cortical excitatory signals (Guo et al., 2008). Here we examined how pathological correlations 58

⁵⁹ in the basal ganglia output affect the transmission of motor signals from the basal ganglia to the ⁶⁰ thalamus and how this transmission is affected by cortical excitation. In addition to transmitting ⁶¹ motor signals, basal ganglia output neurons may also be involved in further sensory and cognitive ⁶² processing. For example, SNr neurons also respond to salient sensory stimuli instructing the ⁶³ initiation or stopping of movements (Pan et al., 2013; Schmidt et al., 2013). Therefore, we also ⁶⁴ investigated how these sensory responses may affect the motor transmission.

In the present study we used computational modelling to study the transmission from the basal 65 ganglia to the thalamus via postinhibitory rebound spikes. We found that uncorrelated basal 66 ganglia output ensures a clear transmission of motor commands with low trial-to-trial variability 67 in the thalamic response latency. In contrast, pathological correlations in SNr led to a noisy 68 transmission with high trial-to-trial variability. In addition, we found that sensory responses in 69 SNr can, depending on their timing relative to the movement-related decrease, either facilitate or 70 suppress rebound spikes leading to promote or suppress movement. Therefore, in the rebound 71 transmission mode, uncorrelated activity and sensory responses in the basal ganglia output have 72 functional roles in the coordinated transmission of motor signals. Finally, we found that the 73 rebound spiking mode persisted in the presence of excitation that is strong enough to maintain 74 baseline firing rates reported in vivo (Bosch-Bouju et al., 2014). 75

76 Materials and Methods

77 Model neuron

In this study we used a Hodgkin-Huxley type model of a thalamocortical neuron (Rubin and 78 Terman, 2004). The model has four different ionic currents: a leak current (I_L) , a Na⁺ current 79 (I_{Na}) , a K⁺ current (I_K) , and a T-type Ca²⁺ current (I_T) , which are determined by the membrane 80 potential v, the channel conductances g and reversal potentials E). While the conductance of the 81 leak current g_L is constant, the conductance of the Na⁺, K⁺ and T-type Ca²⁺ currents depends on 82 the membrane potential and varies over time. These voltage-dependent conductances are formed 83 by the product of the maximum channel conductance $(g_{Na}, g_K \text{ and } g_{Ca})$ and the voltage-dependent 84 (in)activation variables (m, h, p and r). 85

⁸⁶ The model neuron's membrane potential is described by

$$C_m \frac{dv}{dt} + I_L + I_{Na} + I_K + I_T + I_{SNr \to TC} + I_{CX \to TC} = 0$$
⁽¹⁾

with a leak current $I_L = g_L[v - E_L]$. The Na⁺ current $I_{Na} = g_{Na}m_{\infty}^3(v)h[v - E_{Na}]$ has an instantaneous activation gating variable $m_{\infty}(v) = \frac{1}{1 + \exp(-(v+37)/7)}$ and a slow inactivation gating variable h with $\frac{dh}{dt} = \frac{h_{\infty}(v) - h}{\tau_h(v)}$ and steady-state $h_{\infty}(v) = \frac{1}{1 + \exp(-(v+41/4))}$ that is approached with a time constant $\tau_h(v) = \frac{1}{a_h(v) + b_h(v)}$; $a_h(v) = 0.128 \exp(-(v+46)/18)$, $b_h(v) = \frac{4}{1 + \exp(-(v+84)/4)}$.

The activation variable of the K⁺ current $I_K = g_K [0.75(1-h)^4] [v - E_K]$ is described in analogy to the Na⁺ inactivation variable (*h*), which reduces the dimensionality of the model by one differential equation (Rinzel, 1985a).

The T-type Ca²⁺ current $I_T = g_T p_{\infty}^2(v) r[v - E_T]$ has an instantaneous activation $p_{\infty}(v) = \frac{1}{1 + \exp(-(v+60)/6.2)}$ and slow inactivation $\frac{dr}{dt} = \frac{r_{\infty}(v) - r}{\tau_r(v)}$ with the steady-state $r_{\infty}(v) = \frac{1}{1 + \exp((v+84)/4)}$ and time constant 96 $\tau_r(v) = 28 + 0.3(-(v+25)/10.5).$

The T-type Ca^{2+} channel can cause post-inhibitory rebound spikes by the following mechanism. Prolonged hyperpolarisation leads to de-inactivation of the T-type Ca^{2+} channel, i.e. the inactivation gate (*r*) opens while the activation gate (*p*) closes. After shutting down the hyperpolarisation, the inactivation gate closes slowly whereas the activation gate opens very fast. Therefore, while both gates are open, the T-type Ca^{2+} channel briefly opens, leading to a membrane depolarisation. If this depolarisation is strong enough, this can lead to Na⁺ spikes, which are then referred to as post-inhibitory rebound spikes.

The thalamic model neuron receives two types of synaptic inputs; one inhibitory from the basal 104 ganglia output region SNr ($SNr \rightarrow TC$) and one excitatory from cortex ($CX \rightarrow TC$). Synaptic 105 currents I_X are described by a simple exponential decay with the decay rate β_X , where X denotes 106 the synapse type (Gerstner and Kistler, 2002). Similar to the intrinsic ionic currents, each synaptic 107 current is described in terms of the membrane potential v, channel conductance g_X , and the reversal 108 potential v_X : $I_X = g_X[v - v_X] \sum_j s_j$; $X = \{SNr \to TC, CX \to TC\}$. When a presynaptic neuron j 109 spikes at time t_i , s_j becomes 1 and decays with time constant β afterwards $\frac{ds_j}{dt} = (1 - s_j)\delta(t - t_i) - \delta(t - t_i)$ 110 $\beta_X s_i$, where $\delta(t)$ is the Dirac delta function. With the conductance caused by a single presynaptic 111 spike $(s_j = 1)$ given by g_X , the net synaptic current is therefore the sum of all presynaptic events s_j 112 multiplied by g_X and the difference between the membrane potential and synaptic reversal potential. 113 In our model, the reversal potential for the inhibitory synapse is $v_{SNr \rightarrow TC} = -85mV$ (Rubin and 114 Terman, 2004), which is required by the model to generate rebound spikes. This reversal potential, 115

though very hyperpolarised, is in the range of the reversal potentials of thalamocortical neurons in
the thalamus (Huguenard and Prince, 1994; Ulrich and Huguenard, 1997; Herd et al., 2013) and is
in line with the presence of thalamic rebound spikes in vivo (Kim et al., 2017). The intrinsic and
synaptic parameters of the model neuron are described in Table 1.

Parameter type	Parameter, value and unit
Ionic channel conductance	$g_L = 0.05 \ nS/\mu m^2$
	$g_{Na} = 3 nS/\mu m^2$
	$g_T = 5 nS/\mu m^2$
	$g_K = 5 \ nS/\mu m^2$
Ionic channel reversal potential	$E_L = -70 \ mV$
	$E_{Na} = 50 \ mV$
	$E_T = 0 mV$
	$E_K = -90 \ mV$
Synaptic reversal potential	$v_{SNr \to TC} = -85 \ mV$
	$v_{CX \to TC} = 0 \ mV$
Synaptic decay constant	$\beta_{SNr \to TC} = 0.08 \ ms^{-1}$
	$\beta_{CX \to TC} = 0.18 \ ms^{-1}$

Table 1. Model parameters

Parameters were taken from Rubin and Terman, 2004 and Ermentrout and Terman, 2010.

120 Input spike trains

We generated uncorrelated and correlated Poisson spike trains as inputs to the model neuron. To 121 generate uncorrelated spike trains we simulated N independent Poisson processes, each with a 122 firing rate r. We generated the correlated input spike trains for a given average pairwise correlation 123 among them, denoted by ε . However, for $N \ge 3$ different realisations of spike trains with different 124 correlations of order 3 or higher are possible (Kuhn et al., 2003). For a convenient parametrisation 125 of the order of correlation, we used the distribution of the number of coincident spikes, referred to 126 as spike amplitudes (A), in a model of interacting Poisson processes (Staude et al., 2010). For a 127 homogeneous population of spike trains, the average pairwise correlation depends on the first two 128 moments of the amplitude distribution f_A : 129

$$\varepsilon = \frac{\frac{E[A^2]}{E[A]} - 1}{N - 1} \tag{2}$$

In the present study, we considered binomial and exponential amplitude distributions (Figure 1). While the binomial amplitude distribution has a high probability density around the mean of the distribution (Figure 1A), the exponential distribution has a higher probability density toward smaller amplitudes (Bujan et al., 2015, Figure 1B).

To generate spike trains with a binomial amplitude distribution we implemented a multiple interaction process (Kuhn et al., 2003, Figure 1A). For correlated outputs ($\varepsilon > 0$), this was done by first generating a so-called "mother" spike train, a Poisson spike train with rate λ . We then took this mother spike train to derive the set of spike trains used in our simulations as convergent inputs to the model neuron. Each spike train in this set was derived by randomly and independently copying spikes of the "mother" spike train with probability ε . The firing rate of each spike train generated via this algorithm is $r = \varepsilon \lambda$.

¹⁴¹ We also generated spike trains using exponentially distributed amplitudes described by:

$$f_A(\xi;\tau) = \frac{e^{-\tau\xi}}{\sum_{k=1}^N e^{-\tau k}}; \xi \in [1, N]$$
(3)

where $f_A(\xi; \tau)$ is the amplitude distribution with the parameter τ . According to Eq. 2, to compute ε for this distribution, we needed to compute the proportion of the second moment to the first moment for this distribution. We used $E[A^n] = \sum_{\xi=1}^N \xi^n f_A(\xi)$ to compute the first and second moments of the distribution and then applied it into Eq. 2, rewriting it to

$$\varepsilon = \frac{\frac{\sum_{\xi=1}^{N} \xi^2 e^{-\tau\xi}}{\sum_{\xi=1}^{N} \xi e^{-\tau\xi}} - 1}{N - 1}$$
(4)

This equation shows that ε depends on τ and we took a simple numerical approach to find τ for each desired ε . We computed ε for a range of τ (from 0 to 5 with steps of 0.001) and then selected the τ that yielded an ε closest to our desired ε (Figure 1C). The maximum error between the ε we calculated using Eq. 4 and the desired ε was 5×10^{-4} .

The next step was to generate the population spike trains using the probability distribution determined by the τ we already computed. We drew *N* independent Poisson spike trains each with rate r_ξ = Nrf_A(ξ)/ξ; ξ ∈ [1, N]. Since ξ represents the number of coincident spikes in
a time bin, spike times from independent spike trains should be copied ξ times to get the final
population spike train used as inputs to the model neuron. As the amplitude distribution described
in Eq. 3 has a high probability density toward lower amplitudes, high average pairwise correlations
cannot be achieved. For typical parameters of the inhibitory input spike trains in this study (N = 30,
r = 50 Hz), the maximum average pairwise correlation was less than 0.65 (Figure 1C).

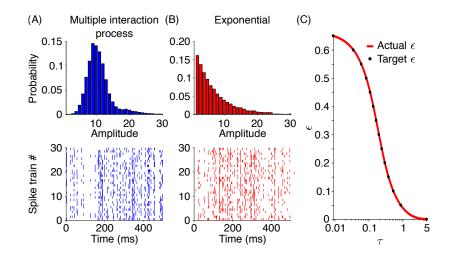


Figure 1. Generation of correlated Poisson spike trains used as input to the model neuron. (A, top) The amplitude distribution of the higher-order correlations was determined for spike trains generated by a multiple interaction process with $\varepsilon = 0.3$ and r = 50 Hz. The bottom panel shows the raster plot of 30 respective example spike trains. (B, top) Alternatively, the amplitude distribution of higher-order correlations followed an exponential amplitude distribution with $\varepsilon = 0.3$ and r = 50 Hz, and corresponding example spike trains (bottom panel). (C) The parameter τ of the exponential amplitude distributions determined the resulting average pairwise correlation ε (red trace). Black dots represent the average pairwise correlations that we used to generate input spike trains with an exponential amplitude distribution.

157

158 Input spike trains with mixture of binomial and exponential amplitude distributions

We computed the spike amplitude distribution of SNr model neurons using a large-scale network 159 model of the basal ganglia (Figure 2D; see also below). This amplitude distribution involved a 160 mixture of exponential and binomial distributions leading to an average pairwise correlation of 161 0.6 (black dot in Figure 2). To obtain spike trains following this mixed distribution, we first 162 created one spike train with an exponential amplitude distribution contributing 20% of the spikes 163 with an average pairwise correlation of 0.25. Next, another spike train with a binomial amplitude 164 distribution was generated (see above), contributing the remaining 80% of the spikes in the input 165 spike train. We changed the average pairwise correlations of these input spike trains by only 166 changing the average pairwise correlation of the subset with the binomial amplitude distribution. 167

168 Uncorrelated input spike trains with gradual decrease

We captured the gradual movement-related decrease, which is observed experimentally, by using a sigmoid function to describe the firing rate of the input spike trains as a function of time r(t) = $50(1 - 1/[1 + e^{-a(t - t_{mov})}])$ Hz. We varied the slope parameter, *a*, to change the slope of the firing rate decrease. t_{mov} is the time point (in this study at one second), when the firing rate decreases to the half maximum, i.e. $r(t_{mov}) = 25$ Hz.

174 Data analysis: identifying rebound spikes

¹⁷⁵ The model neuron can fire spikes in response to excitatory input or due to release from inhibition

Therefore, one challenge was to distinguish "normal" with post-inhibitory rebound spikes. 176 spikes driven by excitatory inputs from post-inhibitory rebound spikes. In mice studies, genetic 177 approaches are often used to knockout T-type Ca²⁺ channels, which are critical for generation 178 of post-inhibitory rebound spikes (Kim et al., 2017). We adopted this in our model by simply 179 removing the T-type Ca²⁺ channels in our model (i.e. $g_T = 0 nS/\mu m^2$). However, this also caused 180 changes in the intrinsic properties of the model neuron such as its excitability. We therefore took a 181 more elaborate approach tailored to each of the two excitation scenarios, single excitatory spikes 182 (Figure 5) and spontaneous excitation (Figure 6). 183

For the simulations with a single excitatory input spike the identification of rebound spikes was 184 straightforward because the used excitatory strengths were subthreshold and thus could evoke 185 no spikes. Therefore, we labelled all generated spikes as rebound spikes. However, for the 186 simulations with ongoing excitation, the excitatory input was able to evoke "normal" spikes as 187 well. To identify rebound spikes there, we simulated the model neuron with three different input 188 combinations, inhibition-only, excitation-only and inhibition-excitation. For inhibition-only input, 189 we determined the output firing rate of the model neuron purely due to rebound spiking (f_I) . In 190 addition, we determined the time window in which the model neuron fired those rebound spikes 191 (as this was typically in a short time window just after the movement-related decrease). We then 192 compared the rebound-driven firing rate in this time window with the firing rate f_E obtained from an 193 excitation-only simulation (i.e. without any inhibitory input, so no rebound spikes). Finally, we fed 194 our model with both inputs (inhibition-excitation) and computed the firing rate in that time window, 195

which involved both rebound and non-rebound spiking (f_{EI}) . We then computed the proportion of rebound spiking as: $\frac{f_{EI}-f_E}{f_I}$.

198 Data analysis: transmission quality

For our simulations shown in Figure 2, we needed to quantify the transmission quality for a variety 199 of inputs strengths and degrees of correlation. For a clear transmission of the motor signal the 200 thalamocortical neuron would ideally respond only to the movement-related decrease of activity 201 in SNr neurons with a rebound spike, and be silent otherwise. Any rebound spike before the 202 movement-related decrease would make the transmission noisy, in the sense that the decoding of 203 the presence and timing of the motor signal in thalamic activity would be less accurate. Therefore, 204 we used the number of spikes after the onset of the movement-related decrease, normalised by the 205 total number of spikes within -1 s to 0.5 s around the onset of the movement-related decrease as a 206 measure of the transmission quality. 207

208 Large-scale model of the basal ganglia

We utilised a large-scale network model of the basal ganglia (Lindahl and Kotaleski, 2016) to compute the distribution of spike amplitudes in SNr during pathological activity in dopamine-depleted basal ganglia. This network model mimics the pathological activity pattern observed experimentally in a rat model of Parkinson's disease. To achieve the pathological activity pattern in SNr, we ran this model using a default parameter set originally from this network model. This parameter set involved setting dopamine modulation factor to zero and inducing a 20-Hz modulation to the emulated cortical inputs to the basal ganglia regions (for details see Lindahl and Kotaleski, 2016).

216 Software packages

We implemented the model neuron in Simulink, a simulation package in MATLAB (R2016b) and used a 4th-order Runge-Kutta method to numerically solve the differential equations (time step = 0.01 ms). We wrote all scripts to generate input spike trains, handle simulations and analyse and visualise the simulation data in MATLAB. To run the simulations we used the "NEMO" high-performance computing cluster in the state of Baden-Wuerttemberg (bwHPC) in Germany.

222 Code accessibility

We provided our simulation scripts (in "BasicModelSimulations" directory) including the scripts generating input spike trains (in "SpikeTrains" directory) accessible via a git repository https: //github.com/mmohaghegh/NigrothalamicTransmission.git

226 **Results**

227 Uncorrelated activity promotes the transmission of motor signals

To determine whether uncorrelated activity in basal ganglia output is important for the transmission of motor signals, we simulated a thalamocortical neuron exposed to inhibitory Poisson input spike trains with varying degrees of correlation (Figure 2). We used binomial and exponential amplitude distributions to generate correlated Poisson spike trains (see Materials and Methods). In addition,

we modulated the input firing rate so that it mimicked the prominent movement-related decrease
of basal ganglia output neurons observed in experimental studies (Hikosaka and Wurtz, 1983;
Schultz, 1986; Leblois et al., 2007; Schmidt et al., 2013).

For uncorrelated inputs the model responded to the movement-related decrease with a single rebound spike (Figure 2A, left panel). However, for correlated inputs rebound spikes appeared not only after the movement-related decrease, but also at random times during baseline activity (Figure 2A, middle and right panels). The reason for this was that correlated SNr activity led not only to epochs with many synchronous spikes, but also to pauses in the population activity that were long enough to trigger rebound spikes.

In mammals multiple inhibitory projections from SNr converge on a single thalamocortical neuron 241 (Edgerton and Jaeger, 2014), which affects the strength of the inhibition on the thalamocortical 242 neuron. Since the degree of convergence is not known, we repeated our simulations for different 243 inhibitory strengths, but found that the transmission quality did not depend on the inhibitory 244 strength as long as the inhibition was strong enough to lead to rebound spikes (Figure 2D). 245 Furthermore, as for more than two inputs the input spike trains cannot be uniquely characterised 246 by pairwise correlations, we considered two different possibilities for higher-order correlations 247 (see Materials and Methods). We found that the transmission quality strongly depended on both 248 the input average pairwise correlation and higher-order correlations among input spike trains 249 (Figure 2B). 250

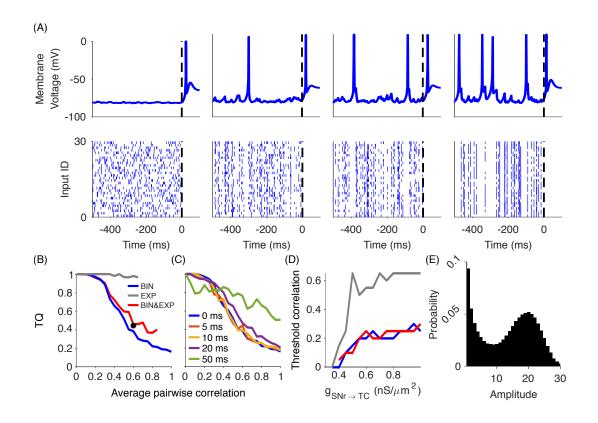


Figure 2. Input spike correlations impair the transmission quality (TQ) of motor signals from SNr to thalamus. (A) Top panels show the intracellular response of the thalamocortical model neuron to the inhibitory input spike trains from SNr displayed in the bottom panels. Uncorrelated Poisson spike trains ($\varepsilon = 0$) led to clear transmission (TQ = 1) via a single rebound spike after the firing rate decrease in the input (leftmost panel). Correlated Poisson spike trains, however, led to rebound spikes at random times, whenever there is a pause in the input spike trains (left middle panel: $\varepsilon = 0.2$ leading to TQ = 0.5, right middle panel: $\varepsilon = 0.35$ leading to TQ = 0.33 and rightmost panel: $\varepsilon = 0.7$ leading to TQ = 0.25). (B) Impact of input correlations on TQ depended on the correlation model (BIN, binomial; EXP, exponential; BIN&EXP, mixture of both). Note that the exponential amplitude distribution had a maximum average pairwise correlation of 0.65 (see Materials and Methods). The black dot marks the TQ for the spike trains generated using the amplitude distribution shown in (E). (C) For the binomial correlation model, jittering the input spike times decreased the TQ only for long jitter time windows (50ms), indicating that correlations on longer time scales are overall less detrimental. (D) The threshold correlation at which the transmission quality deteriorated (TQ < 0.95) only weakly depended on the inhibitory input strength (same legend as in B). (E) The simulation of Parkinson's disease in a large-scale model of the basal ganglia yielded an amplitude distribution of SNr spike times that corresponded to a mixture of the exponential and binomial amplitude distributions.

Pairwise correlations affected the transmission for a binomial amplitude distribution (Figure 2B, 251 dark blue trace). For a binomial amplitude distribution higher-order events ("population bursts") 252 are common, which increases the probability for pauses in the population activity. Thereby, even 253 weak correlations among SNr spike trains led to a sharp decrease in the transmission quality. 254 In contrast, for spike train correlations with an exponential amplitude distribution, the decrease 255 in transmission quality was less pronounced (Figure 2B, grey trace). This was because for the 256 exponential amplitude distribution lower-order events are more common, which are not sufficient 257 for pauses in the population activity of SNr neurons leading to thalamic rebound spikes. Therefore, 258 in particular higher-order correlations may be detrimental for the transmission of motor commands. 259

We further investigated whether the substantial decrease in the transmission quality observed for the 260 binomial amplitude distribution depended on millisecond synchrony of correlated spike times. We 261 jittered the synchronous spike events using different time windows (Figure 2C), which corresponds 262 to correlations on slower timescales. We found that the transmission quality decreased for jittering 263 timescales < 20 ms similar to inputs with correlations on a millisecond timescale (i.e. without 264 jittering), confirming that the decrease in transmission quality does not depend on millisecond 265 synchrony. However, correlations on the timescale of 50 ms did not substantially influence the 266 transmission quality, as was expected due to the lack of population pauses. 267

The purpose of our simulation of correlated activity was to mimic basal ganglia output patterns in Parkinson's disease. However, as the amplitude distribution of pathologically correlated activity in SNr is currently unknown, we employed a large-scale model of the basal ganglia (Lindahl and

Kotaleski, 2016), in which beta oscillations propagate through cortico-basal ganglia circuits (see 271 Materials and Methods). Beta oscillations are widely observed in animals with dopamine-depleted 272 basal ganglia including their output nuclei (Brown et al., 2001; Avila et al., 2010). While beta 273 oscillations can be generated in the pallido-subthalamic loop (Mirzaei et al., 2017), here we did 274 not assume a specific mechanism for the generation of correlated activity in Parkinson's disease, 275 but focussed on the amplitude distribution in SNr in a simulation of Parkinson's disease. We 276 found that the amplitude distributions in the dopamine-depleted state of the large-scale model were 277 somewhere in between binomial and exponential (Figure 2E). 278

To investigate the model with a correlation structure that might be relevant for Parkinson's disease. 279 we generated input spike trains based on a mixture of binomial and exponential distributions (see 280 Materials and Methods). We then investigated the effect of different average pairwise correlations 281 in this mixed distribution. We found that increasing the average pairwise correlation of the 282 binomial component of the mixed distribution had a similar effect on the transmission quality as 283 in the standard binomial amplitude distribution (Figure 2B, red and blue traces). Furthermore, 284 for the average pairwise correlation found from the large-scale model for Parkinson's disease 285 the transmission quality was low (Figure 2B, black dot). This confirms that under a correlation 286 structure similar to Parkinson's disease, even weak correlations in basal ganglia output can impair 287 the transmission of motor signals, potentially related to motor symptoms such as tremor or akinesia 288 (Magnin et al., 2000; Edgerton and Jaeger, 2014; Kim et al., 2017). 289

290 Uncorrelated activity increases transmission speed

To study the effect of input correlations on transmission speed, we used the same scenario as 291 above (Figure 2) and measured the time between the onset of the movement-related decrease and 292 the rebound spike. We found that the transmission speed was fastest for no or weak correlations, 293 and slower for stronger correlations (Figure 3A). Therefore, uncorrelated activity in basal ganglia 294 output regions may also promote the fast transmission of motor signals. To generalise our findings 295 on the transmission speed beyond the scenario using the movement-related decrease, we further 296 examined transmission speed using (rebound) spike-triggered averages of inputs. Instead of 297 simulating a movement-related decrease, we exposed the model neuron to inhibitory inputs with 298 a constant firing rate. To compute the spike-triggered average, we used the peak of each rebound 299 spike as the reference time point to compute the average of the preceding input. Since rebound 300 spikes occurred more often for stronger input correlations, we performed this analysis on inputs 301 having a correlation coefficient of either 0.3 or 1.0. These simulations confirmed that weak input 302 correlations induce faster transmission than strong correlations (Figure 3C). 303

304 Uncorrelated activity decreases transmission variability

For the transmission of motor signals via rebound spikes the trial-to-trial variability of the transmission speed may be important. For example, to coordinate motor signals across different neural pathways low variability (i.e. high precision) of the transmission speed might be necessary. To investigate the nigrothalamic transmission variability, we computed the variance over the latencies across 100 trials with movement-related decreases in SNr activity (i.e. the same scenario as in Figure 3A). We found that for uncorrelated inputs transmission was very precise in the

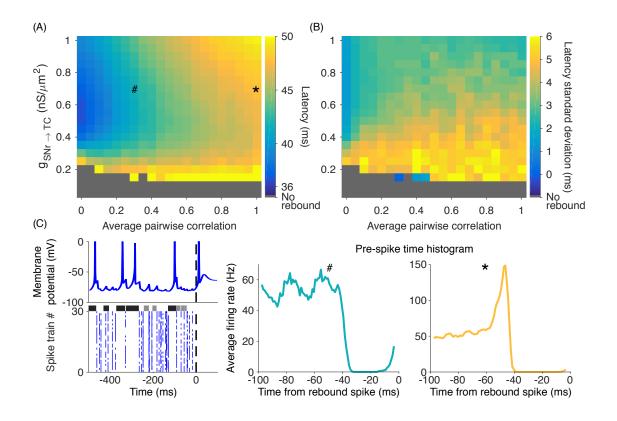


Figure 3. Correlated SNr spike trains decrease transmission speed and temporal precision of rebound spikes. Systematic investigation of average transmission latency (A) and its standard deviation (B) for different degrees of correlation and inhibitory strengths identified the range with fastest transmission speed and highest transmission precision, respectively. (C) Left panel shows a sample membrane potential ($g_{SNr\to TC} = 0.70 \ nS/\mu m^2$, $\varepsilon = 0.7$; top) of the thalamocortical model neuron and the corresponding inhibitory inputs (bottom). Note that rebound spikes were preceded by pauses in the input raster plot (indicated by black horizontal bars). However, for very short pauses (indicated by grey horizontal bars) no rebound spikes occurred. Averages triggered by rebound spikes for weakly correlated inputs (C, middle panel) and strongly correlated inputs (C, right panel) confirmed that pauses in the inhibitory input preceded rebound spikes. The duration of the pause preceding the rebound spikes reflected the transmission latency. The inset symbols (#, *) in (A) indicate the parameters used for the corresponding spike-triggered averages in (C).

sense that the trial-to-trial variability of the response latency was small (Figure 3B). In contrast, even weak correlations led to a high transmission variability due to changes in the amount of hyperpolarisation caused by correlated inputs preceding rebound spikes. We conclude that uncorrelated inputs ensure a high precision of the transmission via rebound spikes by reducing the trial-to-trial variability in response latency.

316 Sensory responses can promote or suppress rebound spiking

SNr neurons often have short-latency responses to salient sensory stimuli characterised by brief 317 increases in firing rate (Pan et al., 2013). In rats performing a stop-signal task these responses also 318 occurred in neurons that decreased their activity during movement (Schmidt et al., 2013). This 319 included responses to auditory stimuli, which cued the initiation of a movement (Go cue) or the 320 cancellation of an upcoming movement (Stop cue). We examined how such brief increases in SNr 321 activity affect rebound spiking in the thalamocortical model neuron (Figure 4). The thalamocortical 322 model neuron received inputs similar to the SNr firing patterns recorded in rats during movement 323 initiation (i.e. uncorrelated inputs with high baseline firing rate and a sudden movement-related 324 decrease). To model sensory responses in the SNr neurons, we added a brief increase in firing rate 325 at different time points relative to the movement-related decrease (Figure 4A). We generated the 326 brief increase by adding a single spike in each spike train having the sensory response at the desired 327 time point. This allowed us to observe the effect of the timing of sensory responses on rebound 328 spiking. 329

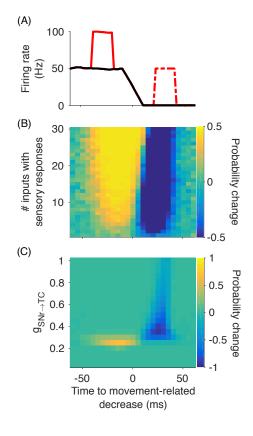


Figure 4. Sensory responses in SNr firing rate change the probability of rebound spikes in the thalamocortical model neuron. (A) The simulations used an average firing rate as input, which reflected the SNr firing rate with a movement-related decrease (black line). Sensory responses (red lines) were then added to the input at different time points relative to the movement-related decrease. Here two example timings are shown, before (solid) and after (dash-dot) the movement-related decrease. (B) The timing of the sensory responses relative to the movement-related decrease. (B) The timing of the sensory responses relative to the movement-related decrease was varied systematically (x-axis). For a given relative timing, we determined whether rebound spikes were suppressed (blue area) or facilitated (yellow area; here $g_{SNr \rightarrow TC} = 0.29 \ nS/\mu m^2$). Note the large impact of the timing of the sensory response on the probability of rebound spikes, even if it occurred in only a small subset of neurons. (C) The input strength $g_{SNr \rightarrow TC}$ affects the suppression and facilitation of rebound spikes. Here the change in rebound probability was averaged across the number of inputs with sensory responses (across y-axis in B).

To quantify the effect of sensory responses, we measured the difference in the probability of 330 generating a rebound spike after the movement-related decrease in simulations with and without 331 sensory responses. Interestingly, the sensory responses could either increase or decrease the 332 probability of generating a rebound spike, depending on their relative timing to the movement-related 333 decrease (Figure 4B). For sensory responses preceding the movement-related decrease for up 334 to 40 ms, the probability of generating a rebound spike was increased. This was because the 335 sensory response led to additional hyperpolarisation in the thalamocortical neuron, which promoted 336 rebound spiking. In contrast, for sensory responses occurring 10-40 ms after the movement-related 337 decrease, the probability of generating a rebound spike was decreased. This was because the 338 sensory response in that case partly prevented the movement-related pause of SNr firing. Together, 339 this points to the intriguing possibility that sensory responses in SNr can have opposite effects on 340 behaviour (either promoting or suppressing movement), depending on their timing (Figure 4B). 341 This could explain why SNr neurons respond to both Go and Stop cues with a similar increase in 342 firing rate (Schmidt et al., 2013; Mallet et al., 2016), a previously puzzling finding (see Discussion). 343

In addition to the timing of sensory responses relative to the movement-related decrease, also the inhibitory input strength modulated the probability of generating a rebound spike (Figure 4C). For weaker inhibitory inputs ($g_{SNr\to TC} = 0.25nS/\mu m^2$), the probability of generating a rebound spike was increased because the additional inhibitory inputs contributed to the hyperpolarisation of the thalamocortical neuron. However, for slightly stronger inputs ($g_{SNr\to TC} \ge 0.35nS/\mu m^2$), the sensory responses could not further facilitate rebound spiking because the probability of generating

a rebound spike was already one. Accordingly, sensory responses were most effective in reducing 350 the probability of generating a rebound spike for medium input strengths (i.e. with a relatively 351 high probability of generating a rebound spike). We found that the most effective strength for 352 suppressing rebound spikes was at $g_{SNr \rightarrow TC} = 0.35 nS/\mu m^2$. However, the suppressing effect 353 vanished for $g_{SNr \to TC} \ge 0.8 nS/\mu m^2$ because for this strength the sensory responses themselves 354 caused a hyperpolarization strong enough to trigger a rebound spike (Figure 4C). Therefore, the 355 effect of sensory responses in SNr on motor signals strongly depended on the nigrothalamic 356 connection strength. 357

358 Rebound spikes in the presence of excitation

Having studied basic properties of rebound spiking in the model under somewhat idealised 359 conditions, we next extended the model to account for further conditions relevant in vivo. For 360 example, we have assumed so far that the thalamocortical neuron receives input from SNr neurons 361 that decrease their activity during movement. However, electrophysiological recordings in SNr 362 and other basal ganglia output neurons have also identified neurons that do not decrease their 363 activity during movement (Schmidt et al., 2013). Therefore, we investigated the response of the 364 thalamocortical model neuron in a scenario in which only a fraction of SNr inputs decreased their 365 firing rates, while the remaining neurons did not change their rates (Figure 5). We found that the 366 thalamocortical model neuron elicited a rebound spike with high probability only when a large 367 fraction of input neurons decreased their firing rates to zero (Figure 5A). 368

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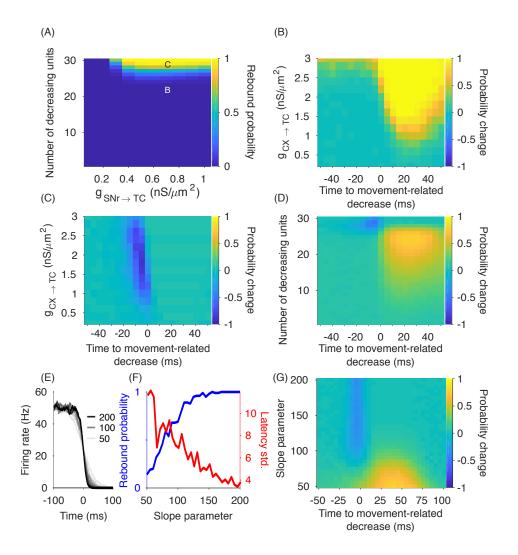


Figure 5. Effect of excitatory input spike timing on rebound spiking. (A) Rebound spikes occurred only when a large fraction of inhibitory input spike trains exhibited a movement-related decrease in firing rate, even for strong inhibitory inputs. (B) Single excitatory input spikes increased the probability of rebound spikes compared to pure inhibitory inputs (letter "B" in panel A) when they were presented briefly after the movement-related decrease (x-axis). Note that this occurred in a regime, in which usually no rebound spike were generated because not enough neurons decreased their firing rate (here 22 out of 30). (C) In contrast, in a regime in which rebound spikes were often generated (letter "C" in panel A), adding a single excitatory spike as input to the thalamocortical neuron decreased the probability of rebound spikes compared to pure inhibitory inputs, when they were presented briefly before the movement-related decrease (x-axis). (D) Systematic investigation of the parameter space indicated a narrow regime, in which single excitatory spikes can decrease, and a larger regime, in which they can increase the probability of a rebound spike. The change in probability was averaged over excitatory input strengths (i.e. over the y-axis in B and C). (E) SNr firing rate slopes reduced the probability of rebound spikes and increased the standard deviation of the rebound spike latencies across trials. (G) Single excitatory input spikes typically decreased the probability of rebound spikes for steep movement-related decreases in firing rate (i.e. high slopes), and increased the probability of rebound spikes for more gradual decreases.

The large fraction of SNr neurons required to exhibit a movement-related decrease in order 369 to elicit a rebound spike downstream constrains the scenario under which this transmission is 370 plausible in vivo. However, in a more realistic scenario the thalamocortical neuron also receives 371 excitatory inputs (e.g. from cortex). Therefore, we examined whether excitatory input can, under 372 some conditions, enhance the transmission via rebound spiking (Figure 5B-D). Importantly, the 373 excitatory inputs should be weak enough in order not to elicit spikes themselves. We simulated 374 the model neuron by adding a single excitatory input spike with variable timing with respect 375 to the movement-related decrease in the inhibitory inputs, and observed whether it promoted or 376 suppressed rebound spikes. We investigated the effect of the excitatory spike on the probability of 377 generating a rebound spike by comparing a simulation including excitatory and inhibitory inputs 378 with a simulation that included only inhibitory inputs. We found that for parameter regions in 379 which the probability of generating a rebound spike was usually small (i.e. in the dark blue region 380 in Figure 5A), additional excitatory spikes after the movement-related decrease increased the 381 rebound probability (Figure 5B). We confirmed that these spikes in the thalamocortical neuron are 382 actually rebound spikes (and not just driven by the excitatory input; see Materials and Methods). 383 However, for strong excitation, the thalamocortical model neuron spiked also before the SNr 384 movement-related decrease, indicating that these spikes were no longer rebound spikes. 385

For parameter regions in which the probability of generating a rebound spike was high (i.e. outside the dark blue region in Figure 5A), the excitatory input spikes could also suppress the generation of rebound spikes when they occurred before the movement-related decrease (Figure 5C). In contrast,

when the excitatory input spike occurred after the movement-related decrease, it enhanced the 389 probability of generating a rebound spike. Therefore, similar to the complex effect of sensory 390 responses in SNr neurons described above, also the excitatory input to the thalamocortical neurons 391 could either promote or prevent rebound spikes depending on its timing. Furthermore, if only a 392 fraction of SNr neurons exhibited a movement-related decrease, precisely timed excitatory input 393 could promote the transmission of the motor command to the thalamocortical neuron (Figure 5D). 394 Overall, our simulations indicate that rebound spikes can occur in a broad parameter regime 395 that also includes excitation. Furthermore, precisely timed excitation provides an additional 396 rich repertoire of rebound spike modulation, either promoting or suppressing movement-related 397 rebound spikes. 398

399 Role of the slope of the movement-related decrease

So far we assumed that the movement-related decreases in SNr firing rate are abrupt. However, 400 electrophysiological recordings in rodents (Schmidt et al., 2013) and non-human primates (Hikosaka 401 and Wurtz, 1983; Schultz, 1986; Leblois et al., 2007) indicate that, at least in data averaged over 402 trials, the firing rate decreases can also be more gradual. Therefore, we investigated the impact 403 of input spike trains with various slopes (see Methods) on rebound spikes (Figure 5E). We found 404 that steep slopes of the movement-related firing rate decrease led to rebound spikes with high 405 probability and small timing variability (Figure 5F). In contrast, more gradual movement-related 406 decreases reduced the probability of rebound spikes and increased the spike timing variability. 407

We further investigated the impact of single excitatory spikes (similar to above) on the probability 408 of rebound spikes for different SNr firing rate slopes (Figure 5G). We found that, if the slope 409 was too small to reliably evoke rebound spikes (low rebound probability), excitatory spikes briefly 410 after the onset of the movement-related decrease could increase the probability of rebound spikes. 411 In contrast, for steeper slopes, the probability of rebound spikes decreased when the excitatory 412 spike occurred before the movement-related decrease. These results further support that excitation 413 can powerfully modulate rebound spiking and promote rebound spikes even under circumstances 414 in which the inhibitory input characteristics are by themselves insufficient for the generation of 415 rebound spikes. 416

417 Transmission modes revisited: prevalence of rebound spiking

The interaction of excitation and inhibition in thalamocortical neurons is important because even 418 weak excitation may change the transmission mode from rebound to disinhibition (Goldberg et 419 al., 2013). As we observed rebound spiking in the presence of single excitatory spikes (Figure 5), 420 we further investigated how ongoing excitation affects the mode of nigrothalamic transmission. 421 As before, we simulated the model neuron with movement-related inhibitory inputs, but added 422 a background excitation in the form of a Poisson spike train with the firing rate of 100 Hz and 423 examined the effect of changing excitatory strength (Figure 6). In an idealised scenario the model 424 neuron spikes exclusively after the SNr movement-related decrease for both the rebound and 425 disinhibition transmission modes. These spikes are either post-inhibitory rebound spikes (in the 426 rebound mode), or the result of depolarisation through excitation (in the disinhibition mode). 427

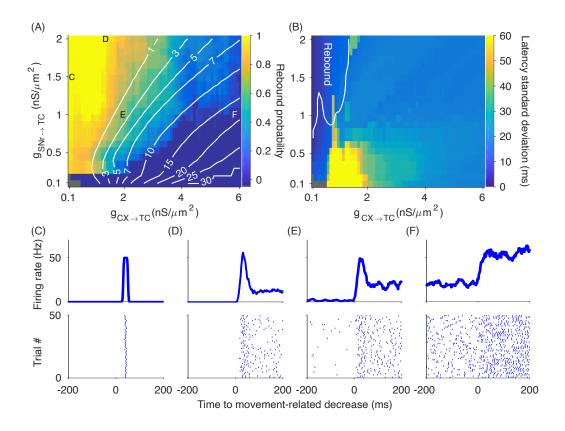


Figure 6. Smooth transition from rebound to disinhibition transmission mode. (A) The probability of rebound spikes only gradually decreased with stronger excitatory inputs, indicating a large parameter regime in which the rebound and disinhibition transmission modes coexisted. The yellow area marks the regime in which transmission was exclusively mediated by rebound spiking, while in dark blue areas the basal ganglia output only disinhibited cortical excitation. The white isolines illustrate the baseline firing rate of the model neuron (i.e. the firing rate before the onset of the movement-related decrease in the input). In the small grey region (bottom left) the model neuron did not fire. (B) The standard deviation of the latency (across trials) of the first thalamocortical spike relative the movement-related decrease distinguished rebound from disinhibition transmission modes. For the rebound mode (i.e. yellow area in A) the standard deviation was almost always the lowest, and the regime in which rebound and disinhibition coexisted the standard deviation was markedly higher. White contour line shows the boundaries of the yellow area in panel (A), where the transmission was exclusively mediated by rebound spiking. (C-E) Sample firing rate profiles and corresponding raster plots show the activity of the thalamocortical neuron in different parts of the parameter regime (as indicated by the corresponding letters in A) with rebound spiking only (C), coexistence of rebound and disinhibition (D-E) and disinhibition only (F).

However, we found that rebound and disinhibition modes could also coexist in regimes in which the model neuron has non-zero baseline firing rates (Figure 6A).

We characterised the nigrothalamic transmission mode (see Materials and Methods) according to 430 the proportion of trials with rebound spikes for a range of inhibitory and excitatory inputs strengths 431 (Figure 6A). Motor signals were transmitted via rebound spikes even in the presence of weak 432 excitatory inputs ($g_{CX \to TC} \le 1.5 nS/\mu m^2$; Figure 6A). Interestingly, the transition from rebound to 433 disinhibition mode was not abrupt, but there was a region where disinhibition and rebound spikes 434 coexisted (Figure 6B). In these overlapping regions rebound spiking seemed to be the dominant 435 firing pattern with a strong, transient firing rate increase in response to the movement-related 436 decrease, a phenomenon which was already observed in anesthetised songbirds (Kojima and 437 Doupe, 2009; Figure 6D, E; see also Discussion). We also examined the effects of varying the 438 firing rate of the excitatory inputs (200, 500, and 1000 Hz). While the rebound and disinhibition 439 spiking mode still overlapped, the corresponding parameter region was shifted towards lower 440 excitatory conductances. For moderate excitatory input firing rates (100 and 200 Hz), rebound 441 spiking occurred also in regions in which the model neuron was spontaneously active (Figure 6E). 442 This overlap was present for spontaneous activity up to 3 Hz in line with the average spontaneous 443 firing of motor thalamus neurons in rats during open-field behavior (Bosch-Bouju et al., 2014). 444 However, for higher spontaneous activity (>7 Hz) rebound spiking vanished (Figure 6F). We 445 conclude that the model neuron can transmit motor signals in the rebound mode in the presence of 446 excitatory inputs. 447

We also characterised the transmission precision for different transmission modes by computing 448 the standard deviation of the timing of the first spike after the movement-related decrease across 449 trials (Figure 6B). For the rebound transmission mode, the transmission precision was maximal 450 (i.e. minimal timing standard deviation), but as the proportion of trials with disinhibition mode 451 increased, the transmission precision decreased. In the weak inhibition and excitation regime, 452 where rebound and disinhibition modes coexisted and the baseline firing rate of the model neuron 453 was low (< 7 Hz), the precision was smallest. This is important because the spiking variability 454 can be characterised in electrophysiological recordings and may thus provide an indication of the 455 transmission mode in vivo. 456

In summary, our computational model points to new functional roles for uncorrelated basal ganglia output in the clear transmission of motor signals. Furthermore, we have characterised how motor signals transmitted via rebound spikes could either be suppressed or promoted through sensory responses indicating that thalamocortical neurons may be a key site for the integration of sensory and motor signals. Finally, we showed that excitatory inputs to the thalamocortical neurons do not necessarily prevent rebound spiking, but may as well support the generation of rebound spikes.

463 Discussion

We used computational modelling to study the impact of spike train correlations in the basal ganglia output on the transmission of motor signals. Based on previous studies (Hikosaka and Wurtz, 1983; Schultz, 1986; Leblois et al., 2007; Schmidt et al., 2013), we focused our

description on movement-related pauses in SNr that potentially drive rebound spikes in motor 467 thalamus. However, as e.g. also neurons in the superior colliculus can respond with a rebound 468 spike after prolonged hyperpolarisation (Saito and Isa, 1999), our modelling results might 469 apply more generally. Furthermore, while previous studies identified the important role of 470 excitation in determining regimes in which rebound spikes can occur (Goldberg et al., 2013; 471 Edgerton and Jaeger, 2014), our model produced rebound spikes in a wider parameter regime, 472 also in the presence of excitation (Figure 6). In addition, rebound spiking overlapped with the 473 disinhibition transmission mode, indicating that rebound spiking might apply more widely for 474 nigrothalamic communication in line with recent experimental evidence (Kim et al., 2017). In 475 our model, the impaired nigrothalamic transmission of motor signals for correlated inputs also 476 indicates a potential functional role of active decorrelation in basal ganglia output regions (Wilson, 477 2013). 478

479 Functional role of active decorrelation in the basal ganglia

One prominent feature of neural activity in the healthy basal ganglia is the absence of spike correlations (Bar-Gad et al., 2003). This might be due to the autonomous pacemaking activity of neurons in globus pallidus externa/interna (GPe/GPi), subthalamic nucleus (STN) and SNr, as well as other properties of the network such as heterogeneity of firing rates and connectivity that actively counteracts the synchronisation of activity (Wilson, 2013). While uncorrelated basal ganglia activity may maximise information transmission (Wilson, 2015), our simulations demonstrate that it further prevents the occurrence of random pauses in SNr/GPi activity that could drive thalamic rebound spikes. Thereby, uncorrelated basal ganglia output activity may ensure that rebound spikes in motor thalamus neurons occur only upon appropriate signals such as the movement-related decreases in basal ganglia output firing rate. In contrast, correlated basal ganglia output activity leads to rebound activity in motor thalamus also at baseline SNr activity, i.e. in absence of any motor signal. This decrease in the signal-to-noise ratio of motor signals may cause problems in motor control.

Evidence for the functional relevance of uncorrelated basal ganglia activity originates from the 493 prominent observation that basal ganglia activity becomes correlated e.g. in Parkinson's disease 494 (Bergman et al., 1998; Nevado-Holgado et al., 2014). Therefore, our simulations with correlated 495 basal ganglia output activity capture a key aspect of neural activity in Parkinson's disease. 496 Interestingly, our finding that basal ganglia correlations increase the rate of motor thalamus 497 rebound spikes is in line with recent experimental findings. In dopamine-depleted mice with 498 Parkinson-like motor symptoms, the rate of motor thalamus rebound spikes was also increased 499 compared to healthy controls (Kim et al., 2017). Furthermore, an increased trial-to-trial variability 500 of rebound spikes was found in dopamine-depleted mice, similar to our simulations (Figure 3). 501

Therefore, our results support a functional role for active decorrelation in the clear transmission of motor signals with low trial-to-trial variability from the basal ganglia to motor thalamus. In contrast, pathological correlations may lead to unreliable and noisy transmission of motor signals with high trial-to-trial variability, potentially contributing to motor symptoms in Parkinson's disease.

507 Role of rebound spikes for motor output

In our simulations we only examined the activity of a single thalamocortical neuron. However, 508 for motor signals propagating further downstream, the coordination of activity among different 509 thalamocortical neurons might be relevant. Due to the low trial-to-trial variability of the response 510 latency of rebound spikes in the model (Fig. 6B), pauses in population SNr activity would 511 lead to synchronous rebound spikes among thalamocortical neurons. In contrast, excitatory, 512 Poisson inputs from cortex enhanced trial-to-trial variability (Fig. 6B) and thus would not lead to 513 synchronous activity among thalamocortical neurons. Even though downstream regions cannot 514 directly distinguish thalamic rebound spikes from excitation-driven spikes, they might read out 515 synchronous activity that occurs primarily for rebound spikes. Thereby, only coordinated activity 516 in different thalamocortical neurons may lead to movement initiation (Gaidica et al., 2018) or 517 muscle contraction (Kim et al., 2017). This is in line with the experimental finding showing that, 518 despite no significant difference in the peak or average firing rates of single unit recordings from 519 intact and knockout neurons lacking T-type Ca²⁺ in the motor thalamus, multi unit recordings 520 from intact neurons reached a stronger peak firing rate earlier than the knockout neurons (Kim 521 et al., 2017). This early activation of a greater proportion of intact neurons after the termination 522 of the inhibition, which indicates a coordinated activity across neurons, was accompanied by a 523 muscular response whereas no muscular response was observed in the knockout state (Kim et al., 524 2017). Therefore, rebound activity in an individual motor thalamus neuron may not lead to muscle 525 contraction, but instead synchronous rebound spikes in several motor thalamus neurons may be 526

527 required.

⁵²⁸ Impact of sensory responses on the transmission of motor signals

⁵²⁹ SNr neurons that decrease their activity during movement also respond to salient sensory stimuli ⁵³⁰ such as auditory "Go" stimuli cueing movement (Pan et al., 2013; Schmidt et al., 2013). One ⁵³¹ proposed functional role for this brief firing rate increase is to prevent impulsive or premature ⁵³² responses during movement preparation in SNr neurons (Schmidt et al., 2013). In addition, in ⁵³³ our model we observed that, depending on the precise timing, sensory responses may also promote ⁵³⁴ thalamocortical rebound spikes and movement. This effect was present when the sensory responses ⁵³⁵ preceded the movement-related decrease by up to 40 ms (Figure 4).

In rats performing a stop-signal task the same SNr neurons that responded to the "Go" stimulus 536 also responded to an auditory "Stop" signal, which prompted the cancellation of the upcoming 537 movement (Schmidt et al., 2013). These responses were observed in trials, in which the rats 538 correctly cancelled the movement, but not in trials where they failed to cancel the movement. 539 These SNr responses to the "Stop" signal may delay movement initiation, allowing another 540 slower process to completely cancel the planned movement (Mallet et al., 2016). In line with 541 this "pause-then-cancel" model of stopping (Schmidt and Berke, 2017), we observed that the 542 SNr sensory responses can also prevent rebound spikes when they occur close to the time of the 543 motor signal. In our model this suppression effect was present up to 40 ms after the onset of the 544 movement-related decrease in SNr activity (Figure 4). Thereby, our model provides a prediction 545

for the temporal window of the functional contribution of sensory responses in SNr to behaviour. Importantly, sensory responses could either promote or suppress movements, depending on their relative timing to the motor signal, providing a highly flexible means to integrate sensory and motor signals in nigrothalamic circuits.

550 Effects of deep brain stimulation

In our model correlated basal ganglia activity increased the number of rebound spikes in thalamocortical 551 neurons. In particular, higher-order correlations lead to pauses in the SNr population activity 552 promoting rebound spikes, while pairwise correlations alone did not affect the nigrothalamic 553 transmission of motor signals (Figure 2B). This suggests that in Parkinson's disease higher-order 554 correlations are relevant for motor symptoms, which offers some insight into the potential 555 mechanisms by which deep-brain stimulation (DBS) might alleviate some of the motor symptoms 556 such as rigidity and tremor. DBS in the STN and GPi has complex and diverse effects on the 557 firing rate of neurons in SNr/GPi (Bar-Gad et al., 2004; Zimnik et al., 2015) and thalamus 558 (Muralidharan et al., 2017). According to our model strong increases in SNr and GPi firing 559 rates observed after STN DBS (Hashimoto et al., 2003; Maurice et al., 2003), would decrease 560 the duration of the spontaneous pauses in the population activity (Figure 3C). Thereby, even 561 for correlated SNr activity, the duration of the pauses would not be long enough to allow the 562 generation of a rebound spike in the thalamocortical neuron. This conclusion also holds when a 563 subset of neurons in SNr and GPi decrease their firing rate during STN DBS (Hahn et al., 2008; 564 Humphries and Gurney, 2012). The decrease in the firing rate would decrease the degree of 565

⁵⁶⁶ correlation by eliminating or displacing the synchronous spike times and therefore weaken the ⁵⁶⁷ inhibition preceding the pauses that could have potentially evoked rebound spikes.

568 Integration of decision making systems

In our model the generation of a rebound spike in thalamocortical neurons was strongly affected 569 by single excitatory cortical input spikes (Figure 5). This means that the transmission of a basal 570 ganglia motor signal could be prevented by a single, precisely-timed cortical spike preceding 571 the SNr movement-related decrease by up to 20 ms (Figure 5C). This indicates a powerful 572 mechanism by which cortex could affect basal ganglia motor output signals. It has previously 573 been argued that different decision making systems, incorporating different strategies, might 574 co-exist in the brain (Redgrave et al., 1999; Daw et al., 2005) and that the thalamus might be a key 575 site for their integration (Haber and Calzavara, 2009). Our model offers a potential mechanism 576 by which conflicts between different decision-making systems could be resolved. In this case 577 the precisely-timed cortical excitation would allow the cancellation of a basal ganglia motor 578 signal. Furthermore, it is possible that thalamocortical neurons integrate habitual and goal-directed 579 decision systems (Daw et al., 2005; Redgrave et al., 2010), and that cancellation of basal ganglia 580 motor signals serves as a means to prevent conflicting responses. Finally, the same mechanism for 581 cancelling basal ganglia motor signals could also be used to exert cognitive control to overcome 582 a habitual response. While this remains speculative at this point, our model provides a clear 583 description of the inhibitory and excitatory inputs that would enable the modulation of a basal 584 ganglia motor signal in thalamocortical neurons. 585

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594 **Competing Interests**

⁵⁹⁵ The authors declare no competing financial interests.

596 Author Contributions

⁵⁹⁷ Mohammadreza Mohagheghi Nejad and Robert Schmidt designed the research. Robert Schmidt ⁵⁹⁸ supervised the work. Mohammadreza Mohagheghi Nejad performed the simulations and analysed ⁵⁹⁹ the data. Mohammadreza Mohagheghi Nejad, Stefan Rotter and Robert Schmidt interpreted the ⁶⁰⁰ results and wrote the manuscript.

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