

Basal ganglia and cortical control of thalamic rebound spikes

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1 **Abstract**

2 Movement-related decreases in firing rate have been observed in basal ganglia output neurons.
3 They may transmit motor signals to the thalamus, but the effect of these firing rate decreases
4 on downstream neurons in the motor thalamus is not known. One possibility is that they
5 lead to thalamic post-inhibitory rebound spikes. However, it has also been argued that the
6 physiological conditions permitting rebound spiking are pathological, and primarily present in
7 Parkinson's disease. As in Parkinson's disease neural activity becomes pathologically correlated,
8 we investigated the impact of correlations in basal ganglia output on the transmission of motor
9 signals using a Hodgkin-Huxley model of thalamocortical neurons. We found that such correlations
10 disrupt the transmission of motor signals via rebound spikes by decreasing the signal-to-noise ratio
11 and increasing the trial-to-trial variability. We further examined the role of sensory responses in
12 basal ganglia output neurons and the effect of cortical excitation of motor thalamus in modulating
13 rebound spiking. Interestingly, both could either promote or suppress the generation of rebound
14 spikes depending on their timing relative to the motor signal. Finally, we determined parameter
15 regimes, such as levels of excitation, under which rebound spiking is feasible in the model, and
16 confirmed that the conditions for rebound spiking are primarily given in pathological regimes.
17 However, we also identified specific conditions in the model that would allow rebound spiking to
18 occur in healthy animals in a small subset of thalamic neurons. Overall, our model provides novel
19 insights into differences between normal and pathological transmission of motor signals.

20 **Introduction**

21 The basal ganglia have long been implicated in the selection and execution of voluntary movements
22 (Albin et al., 1989; Alexander and Crutcher, 1990b; Redgrave et al., 1999; Hikosaka et al., 2000).
23 Classic “box-and-arrow” models of the basal ganglia (Alexander and Crutcher, 1990a; Wichmann
24 and DeLong, 1996) presume a propagation of motor signals through the direct pathway. The direct
25 pathway consists of direct, inhibitory projections from the striatum to the basal ganglia output
26 regions. Therefore increased activity in the striatum reduces the activity e.g. in the substantia nigra
27 pars reticulata (SNr) (Kravitz et al., 2010). SNr in turn disinhibits the motor thalamus (Deniau
28 and Chevalier, 1985), and thereby enables movement. Basal ganglia output neurons often have
29 high baseline firing rates and decrease their rate during movement in both rodents and primates
30 (Hikosaka and Wurtz, 1983; Schultz, 1986; Leblois et al., 2007; Schmidt et al., 2013). However,
31 recent studies have suggested a more complex picture on how basal ganglia output affects motor
32 thalamus and motor cortex (Bosch-Bouju et al., 2013; Goldberg et al., 2013).

33 Three different modes have been proposed for how the basal ganglia output can affect thalamic
34 targets (Goldberg et al., 2013). In the first mode sudden pauses in basal ganglia inhibition
35 of thalamus could lead to “rebound” spikes in thalamocortical neurons due to their intrinsic
36 T-type Ca^{2+} channels (Llinás and Jahnsen, 1982). T-type Ca^{2+} channels are de-inactivated
37 during long-lasting hyperpolarization (e.g. due to inhibition from the basal ganglia output),
38 and then activated during the release of this hyperpolarisation (e.g. during a pause in basal
39 ganglia output), which depolarises the membrane potential of thalamocortical neurons. For strong

40 enough preceding hyperpolarisation, the membrane potential can even reach the spike threshold
41 without any excitation (Person and Perkel, 2005; Person and Perkel, 2007; Leblois et al., 2009;
42 Kim et al., 2017). However, thalamocortical neurons also receive excitatory input from cortex,
43 which can change the effect of nigrothalamic inhibition. For moderate levels of cortical excitation
44 the nigrothalamic transmission could operate in a disinhibition mode, in which the basal ganglia
45 effectively gate cortical excitation, so that during pauses of inhibition the excitatory inputs can
46 evoke spikes in the thalamocortical neuron (Kojima and Doupe, 2009; Bosch-Bouju et al., 2014;
47 Edgerton and Jaeger, 2014). If the cortical excitation is strong enough, it is possible that inhibition
48 from the basal ganglia can no longer prevent action potentials in the thalamocortical neurons,
49 but instead controls their timing. In this “entrainment” mode the thalamocortical neuron spikes
50 after the inhibitory input spikes from SNr with a short, fixed latency (Goldberg and Fee, 2012;
51 Goldberg et al., 2012).

52 One prominent feature of the basal ganglia network is that neurons fire in an uncorrelated fashion,
53 despite their overlapping dendritic fields and local recurrent connections (Wilson, 2013). Specific
54 features of the basal ganglia such as pacemaking neurons and high firing rate heterogeneity may
55 act as mechanisms for active decorrelation of activity. This effectively prevents correlations among
56 neurons, and disrupting this mechanism leads to pathologically correlated activity as in Parkinson’s
57 disease (Bar-Gad et al., 2003; Wilson, 2013). Increased correlated activity has also been observed
58 in basal ganglia output neurons in Parkinson’s disease (Bergman et al., 1998), which can in
59 turn increase correlated activity in the thalamus (Reitsma et al., 2011). Previous computational

60 modelling has shown that pathological basal ganglia output can prevent the thalamic relaying of
61 cortical excitatory signals (Guo et al., 2008). Here we examined how pathological correlations
62 in the basal ganglia output affect the transmission from the basal ganglia to the thalamus, and
63 how this transmission is affected by cortical excitation. We assumed that the movement-related
64 decreases in basal ganglia output transmit a motor signal to the thalamus and that this transmission
65 operates in the rebound transmission mode. In addition to transmitting motor signals, basal
66 ganglia output neurons may also be involved in further sensory and cognitive processing. For
67 example, SNr neurons also respond to salient sensory stimuli instructing the initiation or stopping
68 of movements (Pan et al., 2013; Schmidt et al., 2013). Therefore, we also investigated how these
69 sensory responses may affect the motor transmission.

70 In the present study we used computational modelling to study the transmission from the basal
71 ganglia to the thalamus via postinhibitory rebound spikes, with a focus on situations in which the
72 basal ganglia output activity exhibits sudden movement-related pauses in activity. We found that
73 for uncorrelated basal ganglia output this transmission had a high fidelity with low trial-to-trial
74 variability in the thalamic response latency, but also occurred only under specific conditions with
75 respect to synaptic connectivity, strength, and firing patterns. In contrast, pathological correlations
76 in SNr strongly increased thalamic rebound spiking and led to a noisy transmission with high
77 trial-to-trial variability. In addition, we found that sensory responses in SNr can, depending
78 on their timing relative to the movement-related decrease, either facilitate or suppress rebound
79 spikes. Therefore, in situations in which rebound spikes play a role for the transmission of motor

80 signals, uncorrelated activity and sensory responses in the basal ganglia output would support
81 the coordinated transmission of motor signals. Finally, we found that the rebound spiking mode
82 persisted in the presence of excitation that is strong enough to maintain baseline firing rates
83 reported in vivo (Bosch-Bouju et al., 2014), and we discuss implications for rebound spiking under
84 healthy and pathological conditions.

85 **Materials and Methods**

86 *Model neuron*

87 In this study we used a Hodgkin-Huxley type model of a thalamocortical neuron (Rubin and
88 Terman, 2004). The model has four different ionic currents: a leak current (I_L), a Na⁺ current
89 (I_{Na}), a K⁺ current (I_K), and a T-type Ca²⁺ current (I_T), which are determined by the membrane
90 potential v , the channel conductances g and reversal potentials E . While the conductance of the
91 leak current g_L is constant, the conductance of the Na⁺, K⁺ and T-type Ca²⁺ currents depends on
92 the membrane potential and varies over time. These voltage-dependent conductances are formed
93 by the product of the maximum channel conductance (g_{Na} , g_K and g_T) and the voltage-dependent
94 (in)activation variables (m , h , p and r).

95 The model neuron's membrane potential is described by

$$C_m \frac{dv}{dt} + I_L + I_{Na} + I_K + I_T + I_{SNr \rightarrow TC} + I_{CX \rightarrow TC} = 0 \quad (1)$$

96 with a leak current $I_L = g_L[v - E_L]$. The Na⁺ current $I_{Na} = g_{Na}m^3(v)h[v - E_{Na}]$ has an instantaneous
97 activation gating variable $m_\infty(v) = \frac{1}{1 + \exp(-(v+37)/7)}$ and a slow inactivation gating variable h with

98 $\frac{dh}{dt} = \frac{h_{\infty}(v)-h}{\tau_h(v)}$ and steady-state $h_{\infty}(v) = \frac{1}{1+\exp((v+41)/4)}$ that is approached with a time constant

99 $\tau_h(v) = \frac{1}{a_h(v)+b_h(v)}$; $a_h(v) = 0.128 \exp(-(v+46)/18)$, $b_h(v) = \frac{4}{1+\exp(-(v+84)/4)}$.

100 The activation variable of the K^+ current $I_K = g_K[0.75(1-h)^4][v-E_K]$ is described in analogy to
101 the Na^+ inactivation variable (h), which reduces the dimensionality of the model by one differential
102 equation (Rinzel, 1985a).

103 The T-type Ca^{2+} current $I_T = g_T p_{\infty}^2(v)r[v-E_T]$ has an instantaneous activation $p_{\infty}(v) = \frac{1}{1+\exp(-(v+60)/6.2)}$

104 and slow inactivation $\frac{dr}{dt} = \frac{r_{\infty}(v)-r}{\tau_r(v)}$ with the steady-state $r_{\infty}(v) = \frac{1}{1+\exp((v+84)/4)}$ and time constant

105 $\tau_r(v) = 28 + 0.3(-(v+25)/10.5)$. Accordingly, these steady states reach half their maximum

106 at -84mV (p_{∞}) and -60mV (r_{∞}), respectively. In Destexhe et al. (1998) slightly different values

107 (-80mV instead of -84mV and -56mV instead of -60mV) were used. Using these values in our

108 model did not lead to substantial changes in rebound spiking behavior of our model (Supplemental

109 Figure 1).

110 The T-type Ca^{2+} channel can cause post-inhibitory rebound spikes by the following mechanism.

111 Prolonged hyperpolarisation leads to de-inactivation of the T-type Ca^{2+} channel, i.e. the inactivation

112 gate (r) opens while the activation gate (p) closes. After shutting down the hyperpolarisation, the

113 inactivation gate closes slowly whereas the activation gate opens very fast. Therefore, while both

114 gates are open, the T-type Ca^{2+} conductance increases, inducing an inward current (described by

115 I_T) that leads to membrane depolarisation. If this depolarisation is strong enough, this can lead to

116 Na^+ spikes, which are then referred to as post-inhibitory rebound spikes.

117 The thalamic model neuron receives two types of synaptic inputs; one inhibitory from the basal
118 ganglia output region SNr ($SNr \rightarrow TC$) and one excitatory from cortex ($CX \rightarrow TC$). Synaptic
119 currents I_X are described by a simple exponential decay with the decay rate β_X , where X denotes
120 the synapse type (Gerstner and Kistler, 2002). Similar to the intrinsic ionic currents, each synaptic
121 current is described in terms of the membrane potential v , channel conductance g_X , and the reversal
122 potential v_X : $I_X = g_X[v - v_X]\sum_j s_j$; $X = \{SNr \rightarrow TC, CX \rightarrow TC\}$. When a presynaptic neuron j
123 spikes at time t_i , s_j becomes 1 and decays with time constant β afterwards $\frac{ds_j}{dt} = (1 - s_j)\delta(t - t_i) -$
124 $\beta_X s_j$, where $\delta(t)$ is the Dirac delta function. With the conductance caused by a single presynaptic
125 spike ($s_j = 1$) given by g_X , the net synaptic current is therefore the sum of all presynaptic events
126 s_j multiplied by g_X and the difference between the membrane potential and synaptic reversal
127 potential. In our model, the reversal potential for the inhibitory synapse is $v_{SNr \rightarrow TC} = -85mV$
128 (Rubin and Terman, 2004). With the given parameter settings, our model can evoke rebound
129 spikes for $v_{SNr \rightarrow TC} \leq -81mV$ (Supplemental Figure 1B). Therefore our model assumes a very
130 low GABA reversal potential, which is, however, in the range of experimentally measured reversal
131 potentials in thalamocortical neurons in the thalamus (Huguenard and Prince, 1994; Ulrich and
132 Huguenard, 1997; Herd et al., 2013). Since there is still some uncertainty on the GABA reversal
133 potential in different thalamic neurons, we checked whether the inhibitory postsynaptic potential
134 evoked by such hyperpolarised reversal potentials is similar to the inhibitory postsynaptic potentials
135 recorded from rats' motor thalamus in vitro. For the parameter settings used in our study (here
136 30 synchronous spikes and a GABA maximum conductance of $1 nS/\mu m^2$), we found that the
137 inputs in this synaptic settings hyperpolarised the membrane by $-17mV$, which is very similar to in

138 vitro recordings from rats' motor thalamus (-18mV, Edgerton and Jaeger, 2014; their Figure 5B).
139 Thalamic rebound spikes can also be driven by the basal ganglia in vivo (Kim et al., 2017), which is
140 in line with very low GABA reversal potentials enabling rebound spikes. The intrinsic and synaptic
141 parameters of the model neuron are described in Table 1.

142 *Input spike trains*

143 We generated uncorrelated and correlated Poisson spike trains as inputs to the model neuron. To
144 generate uncorrelated spike trains we simulated N independent Poisson processes, each with a
145 firing rate r . To generate correlated spike trains with a given average pairwise correlation (denoted
146 by ε), we considered that for more than 2 input spike trains ($N \geq 3$), different realisations of
147 spike trains with different correlations of order 3 or higher are possible (Kuhn et al., 2003). For a
148 convenient parametrisation of the order of correlation in input spike trains, we used the distribution
149 of the number of coincident spikes in a time bin, referred to as “event amplitudes” (A) (Staudé et
150 al., 2010). For a homogeneous population of Poisson spike trains, the average pairwise correlation
151 depends on the first two moments of the amplitude distribution f_A :

$$\varepsilon = \frac{\frac{E[A^2]}{E[A]} - 1}{N - 1} \quad (2)$$

152 In the present study, we considered binomial and exponential amplitude distributions (Figure 1).
153 While the binomial amplitude distribution has a high probability density around the mean of
154 the distribution (Figure 1A), the exponential distribution has a higher probability density toward

155 smaller amplitudes (Bujan et al., 2015, Figure 1B).

156 To generate spike trains with a binomial amplitude distribution we implemented a multiple
157 interaction process (Kuhn et al., 2003, Figure 1A). For correlated outputs ($\varepsilon > 0$), this was
158 done by first generating a so-called “mother” spike train, a Poisson spike train with rate λ . We then
159 subsampled from this mother spike train to derive the set of spike trains used in our simulations
160 as convergent inputs to the model neuron. Each spike train in this set was derived by randomly
161 and independently copying spikes of the “mother” spike train with probability ε . The firing rate of
162 each spike train generated via this algorithm is $r = \varepsilon\lambda$.

163 We also generated spike trains using exponentially distributed amplitudes described by:

$$f_A(\xi; \tau) = \frac{e^{-\tau\xi}}{\sum_{k=1}^N e^{-\tau k}}; \xi \in [1, N] \quad (3)$$

164 where $f_A(\xi; \tau)$ is the probability density function of event amplitudes ξ with the decay rate
165 parameter τ . According to Eq. 2, to compute ε for this distribution, we needed to compute
166 the proportion of the second moment to the first moment for this distribution. We used the
167 moment-generating function $E[A^n] = \sum_{\xi=1}^N \xi^n f_A(\xi)$ to compute the first and second moments of
168 the distribution and then applied it into Eq. 2, rewriting it to

$$\varepsilon = \frac{\frac{\sum_{\xi=1}^N \xi^2 e^{-\tau\xi}}{\sum_{\xi=1}^N \xi e^{-\tau\xi}} - 1}{N - 1} \quad (4)$$

169 This equation shows that ε depends on τ and we took a simple numerical approach to find τ for
170 each desired ε . We computed ε for a range of τ (from 0 to 5 with steps of 0.001) and then selected
171 the τ that yielded an ε closest to our desired ε (Figure 1C). The maximum error between the ε we
172 calculated using Eq. 4 and the desired ε was 5×10^{-4} .

173 The next step was to generate the population spike trains using the probability distribution
174 determined by the τ we already computed. We drew N independent Poisson spike trains each
175 for a given event amplitude ξ with rate $r_{\xi} = Nrf_A(\xi)/\xi$; $\xi \in [1, N]$. Since ξ represents the
176 number of coincident spikes in a time bin, spike times from independent spike trains should be
177 copied ξ times to get the final population spike train used as inputs to the model neuron. As the
178 amplitude distribution described in Eq. 3 has a high probability density toward lower amplitudes,
179 high average pairwise correlations cannot be achieved. For typical parameters of the inhibitory
180 input spike trains in this study ($N = 30$, $r = 50$ Hz), the maximum average pairwise correlation
181 was less than 0.65 (Figure 1C).

182 *Input spike trains with mixture of binomial and exponential amplitude distributions*

183 We computed the event amplitude distribution of SNr model neurons using a large-scale network
184 model of the basal ganglia (Figure 2D; see also below). This amplitude distribution involved a
185 mixture of exponential and binomial distributions leading to an average pairwise correlation of
186 0.6 (black dot in Figure 2). To obtain spike trains following this mixed distribution, we first
187 created one spike train with an exponential amplitude distribution contributing 20% of the spikes

188 with an average pairwise correlation of 0.25. Next, another spike train with a binomial amplitude
189 distribution was generated (see above), contributing the remaining 80% of the spikes in the input
190 spike train. We changed the average pairwise correlations of these input spike trains by only
191 changing the average pairwise correlation of the subset with the binomial amplitude distribution.

192 *Uncorrelated input spike trains with gradual decrease*

193 We captured the gradual movement-related decrease, which is observed experimentally, by using
194 a sigmoid function to describe the firing rate of the input spike trains as a function of time $r(t) =$
195 $50(1 - 1/[1 + e^{-a(t-t_{mov})}])$ Hz. We varied the slope parameter, a , to change the slope of the firing
196 rate decrease. t_{mov} is the time point (in this study at one second), when the firing rate decreases to
197 the half maximum, i.e. $r(t_{mov}) = 25$ Hz.

198 *Data analysis: identifying rebound spikes*

199 The model neuron can fire spikes in response to excitatory input or due to release from inhibition
200 with post-inhibitory rebound spikes. Therefore, one challenge was to distinguish “normal”
201 spikes driven by excitatory inputs from post-inhibitory rebound spikes. In mice studies, genetic
202 approaches are often used to knockout T-type Ca^{2+} channels, which are critical for generation
203 of post-inhibitory rebound spikes (Kim et al., 2017). We adopted this in our model by simply
204 removing the T-type Ca^{2+} channels in our model (i.e. $g_T = 0 \text{ nS}/\mu\text{m}^2$). However, this also caused
205 changes in the intrinsic properties of the model neuron such as its excitability. We therefore took a
206 more elaborate approach tailored to each of the two excitation scenarios, single excitatory spikes

207 (Figure 5) and spontaneous excitation (Figure 6).

208 For the simulations with a single excitatory input spike the identification of rebound spikes was
209 straightforward because the used excitatory strengths were subthreshold and thus could evoke
210 no spikes. Therefore, we labelled all generated spikes as rebound spikes. However, for the
211 simulations with ongoing excitation, the excitatory input was able to evoke “normal” spikes as
212 well. To identify rebound spikes there, we simulated the model neuron with three different input
213 combinations, inhibition-only, excitation-only and inhibition-excitation. For inhibition-only input,
214 we determined the output firing rate of the model neuron purely due to rebound spiking (f_I). In
215 addition, we determined the time window in which the model neuron fired those rebound spikes
216 (as this was typically in a short time window just after the movement-related decrease). We then
217 compared the rebound-driven firing rate in this time window with the firing rate f_E obtained from an
218 excitation-only simulation (i.e. without any inhibitory input, so no rebound spikes). Finally, we fed
219 our model with both inputs (inhibition-excitation) and computed the firing rate in that time window,
220 which involved both rebound and non-rebound spiking (f_{EI}). We then computed the proportion of
221 rebound spiking as: $\frac{f_{EI}-f_E}{f_I}$.

222 *Data analysis: transmission quality*

223 For our simulations shown in Figure 2, we needed to quantify the transmission quality for a variety
224 of inputs strengths and degrees of correlation. For a high-fidelity transmission of the motor signal
225 the thalamocortical neuron would ideally respond only to the movement-related decrease of activity

226 in SNr neurons with a rebound spike, and be silent otherwise. Any rebound spike before the
227 movement-related decrease would make the transmission noisy, in the sense that the decoding of
228 the presence and timing of the motor signal in thalamic activity would be less accurate. Therefore,
229 we used the number of spikes after the onset of the movement-related decrease, normalised by the
230 total number of spikes within -1 s to 0.5 s around the onset of the movement-related decrease as a
231 measure of the transmission quality.

232 *Large-scale model of the basal ganglia*

233 We utilised a large-scale network model of the basal ganglia (Lindahl and Kotaleski, 2016) to
234 compute the distribution of event amplitudes in SNr during pathological activity in dopamine-depleted
235 basal ganglia. This network model mimics the pathological activity pattern observed experimentally
236 in a rat model of Parkinson's disease. To achieve the pathological activity pattern in SNr, we ran
237 this model using a default parameter set originally from this network model. This parameter set
238 involved setting dopamine modulation factor to zero and inducing a 20-Hz modulation to the
239 emulated cortical inputs to the basal ganglia regions (for details see Lindahl and Kotaleski, 2016).

240 *Software packages*

241 We implemented the model neuron in Simulink, a simulation package in MATLAB (R2016b) and
242 used a 4th-order Runge-Kutta method to numerically solve the differential equations (time step =
243 0.01 ms). We wrote all scripts to generate input spike trains, handle simulations and analyse and
244 visualise the simulation data in MATLAB. For our simulations we used the bwForCluster NEMO,

245 a high-performance compute resource at Freiburg University.

246 **Results**

247 *Uncorrelated activity prevents rebound spiking*

248 Correlated activity in the basal ganglia is usually considered pathological (Bergman et al., 1998;
249 Bar-Gad et al., 2003; Wilson, 2013) and might lead to the generation of rebound spikes (Edgerton
250 and Jaeger, 2014). To determine how correlated activity in basal ganglia output affects rebound
251 spiking, we simulated a thalamocortical neuron exposed to inhibitory Poisson input spike trains
252 with varying degrees of correlation (Figure 2). Including only inhibitory inputs, in the first
253 step, enabled us to elucidate the characteristics of inhibition that are essential for generating
254 rebound spikes and facilitated the identification of post-inhibitory rebound spikes by excluding
255 the possibility for evoking excitatory-driven spikes. We used binomial and exponential amplitude
256 distributions to generate correlated Poisson spike trains (see Materials and Methods). In addition,
257 we modulated the input firing rate so that it mimicked the prominent movement-related decrease
258 of basal ganglia output neurons observed in experimental studies (Hikosaka and Wurtz, 1983;
259 Schultz, 1986; Leblois et al., 2007; Schmidt et al., 2013).

260 For uncorrelated inputs the model responded to the movement-related decrease with a single
261 rebound spike (Figure 2A, left panel). However, for correlated inputs rebound spikes appeared
262 not only after the movement-related decrease, but also at random times during baseline activity
263 (Figure 2A, middle and right panels). The reason for this was that correlated SNr activity led not

264 only to epochs with many synchronous spikes, but also to pauses in the population activity that
265 were long enough to trigger rebound spikes.

266 While studies on songbirds suggest strong one-to-one projections from Area X (basal ganglia
267 output equivalent in avians) to the medial portion of the dorsolateral nucleus of the anterior
268 thalamus (DLM) (Person and Perkel, 2005; Leblois et al., 2009), in rats multiple inhibitory
269 projections from SNr converge on a single thalamocortical neuron (Edgerton and Jaeger, 2014),
270 which affects the strength of the inhibition on the thalamocortical neuron. Electron microscopic
271 studies of these projections show a similar synaptic structure in rats and monkeys suggesting that
272 the rodent nigrothalamic pathway can be a valid model for studying GABAergic transmissions in
273 primates (Bodor et al., 2008). Similar to the synaptic strength, the precise degree of nigrothalamic
274 convergence is not known. Edgerton and Jaeger (2014) estimated that 3-13 SNr neurons project
275 to a single thalamocortical neuron. As they noted that this may be an underestimate due to
276 experimental limitations of opsin expression, we chose a larger number (30) for the degree of
277 convergence. However, in our model rebound spikes also occurred for a smaller number of inputs
278 ($20 < N < 30$; Supplemental Figure 2). To determine whether these factors are relevant for our
279 findings on the transmission quality, we repeated our simulations for different inhibitory strengths,
280 but found that the transmission quality did not depend on the inhibitory strength as long as the
281 inhibition was strong enough to lead to rebound spikes (Figure 2D). As for more than two inputs
282 the input spike trains cannot be uniquely characterised by pairwise correlations, we considered
283 two different possibilities for higher-order correlations (see Materials and Methods). We found

284 that the transmission quality strongly depended on both the input average pairwise correlation and
285 higher-order correlations among input spike trains (Figure 2B).

286 Pairwise correlations affected the transmission for a binomial amplitude distribution (Figure 2B,
287 dark blue trace). For a binomial amplitude distribution higher-order events (“population bursts”)
288 are common, which increases the probability for pauses in the population activity. Thereby, even
289 weak correlations among SNr spike trains led to a sharp decrease in the transmission quality.
290 In contrast, for spike train correlations with an exponential amplitude distribution, the decrease
291 in transmission quality was less pronounced (Figure 2B, grey trace). This was because for the
292 exponential amplitude distribution lower-order events are more common, which are not sufficient
293 for pauses in the population activity of SNr neurons leading to thalamic rebound spikes. Therefore,
294 in particular higher-order correlations may be responsible for pathological increases in rebound
295 spiking and disrupt motor signalling.

296 We further investigated whether the substantial decrease in the transmission quality observed for the
297 binomial amplitude distribution depended on millisecond synchrony of correlated spike times. We
298 jittered the synchronous spike events using different time windows (Figure 2C), which corresponds
299 to correlations on slower timescales. We found that the transmission quality decreased for jittering
300 timescales ≤ 20 ms similar to inputs with correlations on a millisecond timescale (i.e. without
301 jittering), confirming that the decrease in transmission quality does not depend on millisecond
302 synchrony. However, correlations on the timescale of 50 ms did not substantially influence the
303 transmission quality, as was expected due to the lack of population pauses.

304 The purpose of our simulation of correlated activity was to mimic basal ganglia output patterns
305 in Parkinson's disease. However, as the event amplitude distribution of pathologically correlated
306 activity in SNr is currently unknown, we employed a large-scale model of the basal ganglia
307 (Lindahl and Kotaleski, 2016), in which beta oscillations propagate through cortico-basal ganglia
308 circuits (see Materials and Methods). Beta oscillations are widely observed in animals with
309 dopamine-depleted basal ganglia including their output nuclei (Brown et al., 2001; Avila et al.,
310 2010). While beta oscillations can be generated in the pallido-subthalamic loop (Kumar et al.,
311 2011; Mirzaei et al., 2017), here we did not assume a specific mechanism for the generation
312 of correlated activity in Parkinson's disease, but focussed on the event amplitude distribution
313 in SNr in a simulation of Parkinson's disease. We found that the amplitude distributions in
314 the dopamine-depleted state of the large-scale model were somewhere in between binomial and
315 exponential (Figure 2E).

316 To investigate the model with a correlation structure that might be relevant for Parkinson's disease,
317 we generated input spike trains based on a mixture of binomial and exponential distributions (see
318 Materials and Methods). We then investigated the effect of different average pairwise correlations
319 in this mixed distribution. We found that increasing the average pairwise correlation of the
320 binomial component of the mixed distribution had a similar effect on the transmission quality as
321 in the standard binomial amplitude distribution (Figure 2B, red and blue traces). Furthermore,
322 for the average pairwise correlation found from the large-scale model for Parkinson's disease
323 the transmission quality was low (Figure 2B, black dot). This suggests that under a correlation

324 structure similar to Parkinson's disease, even weak correlations in basal ganglia output may impair
325 the transmission of motor signals in the rebound transmission mode. Whether this mechanism
326 could contribute to motor symptoms in Parkinson's disease, also depends on the structure of
327 excitatory inputs (Magnin et al., 2000; Edgerton and Jaeger, 2014; Kim et al., 2017).

328 *Uncorrelated activity increases transmission speed and reduces variability*

329 Having demonstrated that correlated activity can increase pathological rebound spiking, we next
330 examined whether correlations can also affect transmission speed and trial-to-trial variability. We
331 assumed here that movement-related decreases in the firing rate of basal ganglia output neurons
332 transmit motor signals to the thalamus via the rebound transmission mode.

333 To study the effect of input correlations on transmission speed, we used the same scenario as
334 above (Figure 2) and measured the time between the onset of the movement-related decrease and
335 the rebound spike. We found that the transmission speed was fastest for no or weak correlations,
336 and slower for stronger correlations (Figure 3A). Therefore, at least in this simplified scenario
337 without excitation, uncorrelated activity in basal ganglia output regions may also promote the
338 fast transmission of motor signals. To generalise our findings on the transmission speed beyond
339 the scenario using the movement-related decrease, we further examined transmission speed using
340 (rebound) spike-triggered averages of inputs. Instead of simulating a movement-related decrease,
341 we exposed the model neuron to inhibitory inputs with a constant firing rate. To compute the
342 spike-triggered average, we used the peak of each rebound spike as the reference time point to

343 compute the average of the preceding input. Since rebound spikes occurred more often for stronger
344 input correlations, we performed this analysis on inputs having a correlation coefficient of either
345 0.3 or 1.0. These simulations confirmed that weak input correlations induce faster transmission
346 than strong correlations (Figure 3C).

347 For the transmission of motor signals via rebound spikes the trial-to-trial variability of the
348 transmission speed may be important. For example, to coordinate motor signals across different
349 neural pathways low variability (i.e. high precision) of the transmission speed might be necessary.
350 To investigate the nigrothalamic transmission variability, we computed the variance over the
351 latencies across 100 trials with movement-related decreases in SNr activity (i.e. the same scenario
352 as in Figure 3A). We found that for uncorrelated inputs transmission was very precise in the
353 sense that the trial-to-trial variability of the response latency was small (Figure 3B). In contrast,
354 even weak correlations led to a high transmission variability due to changes in the amount of
355 hyperpolarisation caused by correlated inputs preceding rebound spikes. This simplified scenario,
356 without excitation, suggests that uncorrelated inhibitory inputs may enable a high precision of the
357 transmission via rebound spikes by reducing the trial-to-trial variability in response latency.

358 *Sensory responses can promote or suppress rebound spiking*

359 SNr neurons often have short-latency responses to salient sensory stimuli characterised by brief
360 increases in firing rate (Pan et al., 2013). In rats performing a stop-signal task these responses
361 also occurred in neurons that decreased their activity during movement (Schmidt et al., 2013).

362 This included responses to auditory stimuli, which cued the initiation of a movement (Go cue) or
363 the cancellation of an upcoming movement (Stop cue). While in these experiments sensory cues
364 prompted movement, we assume here that similar responses also occur in other behavioural
365 contexts. We examined how brief increases in SNr activity, similar to sensory responses,
366 affect transmission in the rebound spiking mode (Figure 4). The thalamocortical model neuron
367 received inputs similar to the SNr firing patterns recorded in rats during movement initiation
368 (i.e. uncorrelated inputs with high baseline firing rate and a sudden movement-related decrease).
369 To model sensory responses in the SNr neurons, we added a brief increase in firing rate at different
370 time points relative to the movement-related decrease (Figure 4A). We generated the brief increase
371 by adding a single spike in each spike train having the sensory response at the desired time point.
372 This allowed us to observe the effect of the timing of sensory responses on rebound spiking.

373 To quantify the effect of sensory responses, we measured the difference in the probability of
374 generating a rebound spike after the movement-related decrease in simulations with and without
375 sensory responses. Interestingly, the sensory responses could either increase or decrease the
376 probability of generating a rebound spike, depending on their relative timing to the movement-related
377 decrease (Figure 4B). For sensory responses preceding the movement-related decrease for up
378 to 40 ms, the probability of generating a rebound spike was increased. This was because the
379 sensory response led to additional hyperpolarisation in the thalamocortical neuron, which promoted
380 rebound spiking. In contrast, for sensory responses occurring 10-40 ms after the movement-related
381 decrease, the probability of generating a rebound spike was decreased. This was because the

382 sensory response in that case partly prevented the movement-related pause of SNr firing. Together,
383 this points to the intriguing possibility that sensory responses in SNr can have opposite effects on
384 behaviour (either promoting or suppressing movement), depending on their timing (Figure 4B).
385 This could explain why SNr neurons respond to both Go and Stop cues with a similar increase in
386 firing rate (Schmidt et al., 2013; Mallet et al., 2016), a previously puzzling finding (see Discussion).
387 However, in an *in vivo* situation, there would likely be additional excitatory inputs to both SNr and
388 the thalamus, which would affect whether rebound spikes are generated in this situation.

389 In addition to the timing of sensory responses relative to the movement-related decrease, also the
390 inhibitory input strength modulated the probability of generating a rebound spike (Figure 4C).
391 For weaker inhibitory inputs ($g_{SNr \rightarrow TC} = 0.25nS/\mu m^2$), the probability of generating a rebound
392 spike was increased because the additional inhibitory inputs contributed to the hyperpolarisation
393 of the thalamocortical neuron. However, for slightly stronger inputs ($g_{SNr \rightarrow TC} \geq 0.35nS/\mu m^2$), the
394 sensory responses could not further facilitate rebound spiking because the probability of generating
395 a rebound spike was already one. Accordingly, sensory responses were most effective in reducing
396 the probability of generating a rebound spike for medium input strengths (i.e. with a relatively
397 high probability of generating a rebound spike). We found that the most effective strength for
398 suppressing rebound spikes was at $g_{SNr \rightarrow TC} = 0.35nS/\mu m^2$. However, the suppressing effect
399 vanished for $g_{SNr \rightarrow TC} \geq 0.8nS/\mu m^2$ because for this strength, without any excitatory inputs, the
400 sensory responses themselves caused a hyperpolarization strong enough to trigger a rebound spike
401 (Figure 4C). Therefore, the effect of sensory responses in SNr on motor signals strongly depended

402 on the nigrothalamic connection strength.

403 *Rebound spikes in the presence of excitation*

404 Having studied basic properties of rebound spiking in the model under somewhat idealised
405 conditions, we next extended the model to account for further conditions relevant in vivo. For
406 example, we have assumed so far that the thalamocortical neuron receives input from SNr neurons
407 that decrease their activity during movement. However, electrophysiological recordings in SNr
408 and other basal ganglia output neurons have also identified neurons that do not decrease their
409 activity during movement (Schmidt et al., 2013). Therefore, we investigated the response of the
410 thalamocortical model neuron in a scenario in which only a fraction of SNr inputs decreased their
411 firing rates, while the remaining neurons did not change their rates (Figure 5). We found that the
412 thalamocortical model neuron elicited a rebound spike with high probability only when a large
413 fraction of input neurons decreased their firing rates to zero (Figure 5A). If we assume random
414 connectivity, this would mean that only a very small percentage of thalamic neurons receives
415 inputs from a sufficient number of nigral neurons with a movement-related decrease to elicit
416 rebound spikes. Therefore, in order for this mechanism to apply to healthy animals, non-random
417 connectivity would be required, so that different nigral neurons with movement-related decreases
418 in firing rate preferentially converge onto the same thalamic target neuron.

419 The large fraction of SNr neurons required to exhibit a movement-related decrease in order
420 to elicit a rebound spike downstream constrains the scenario under which this transmission is

421 plausible in vivo. However, in a more realistic scenario the thalamocortical neuron also receives
422 excitatory inputs (e.g. from cortex). Therefore, we examined whether excitatory input can, under
423 some conditions, enhance the transmission via rebound spiking (Figure 5B-D). Importantly, the
424 excitatory inputs should be weak enough in order not to elicit spikes themselves. We simulated
425 the model neuron by adding a single excitatory input spike with variable timing with respect
426 to the movement-related decrease in the inhibitory inputs, and observed whether it promoted or
427 suppressed rebound spikes. Using single input excitatory spikes enabled us to accurately determine
428 the minimal excitatory conductance that was required for modulating rebound spikes. While
429 our results below indicate that single spikes can have a powerful effect on modulating rebound
430 spikes, this does not necessarily mean that these processes also rely on single spikes in vivo. We
431 investigated the effect of the excitatory spike on the probability of generating a rebound spike by
432 comparing a simulation including excitatory and inhibitory inputs with a simulation that included
433 only inhibitory inputs. We found that for parameter regions in which the probability of generating
434 a rebound spike was usually small (i.e. in the dark blue region in Figure 5A), additional excitatory
435 spikes after the movement-related decrease increased the rebound probability (Figure 5B). We
436 confirmed that these spikes in the thalamocortical neuron are actually rebound spikes (and not just
437 driven by the excitatory input; see Materials and Methods). However, for strong excitation, the
438 thalamocortical model neuron spiked also before the SNr movement-related decrease, indicating
439 that these spikes were no longer rebound spikes.

440 For parameter regions in which the probability of generating a rebound spike was high (i.e. outside

441 the dark blue region in Figure 5A), the excitatory input spikes could also suppress the generation of
442 rebound spikes when they occurred before the movement-related decrease (Figure 5C). In contrast,
443 when the excitatory input spike occurred after the movement-related decrease, it enhanced the
444 probability of generating a rebound spike. Therefore, similar to the complex effect of sensory
445 responses in SNr neurons described above, also the excitatory input to the thalamocortical neurons
446 could either promote or prevent rebound spikes depending on its timing. Furthermore, if only a
447 fraction of SNr neurons exhibited a movement-related decrease, precisely timed excitatory input
448 could promote the transmission of motor signals to thalamocortical neurons (Figure 5D). Overall,
449 our simulations indicate that rebound spikes can also occur in a parameter regime that includes
450 excitation. Furthermore, precisely timed excitation provides an additional mechanism of rebound
451 spike modulation. In monkeys performing a learned reaching movement, thalamic excitation seems
452 to precede basal ganglia motor output (Schwab et al., 2020), which according to our model could
453 therefore indicate that excitatory inputs to the thalamus are timed to suppress rebound spiking in
454 healthy animals.

455 *Role of the slope of the movement-related decrease*

456 So far we assumed that the movement-related decreases in SNr firing rate are abrupt. However,
457 electrophysiological recordings in rodents (Schmidt et al., 2013) and non-human primates (Hikosaka
458 and Wurtz, 1983; Schultz, 1986; Leblois et al., 2007) indicate that, at least in data averaged over
459 trials, the firing rate decreases can also be more gradual. Therefore, we investigated the impact
460 of input spike trains with various slopes (see Methods) on rebound spikes (Figure 5E). We found

461 that steep slopes of the movement-related firing rate decrease led to rebound spikes with high
462 probability and small timing variability (Figure 5F). In contrast, more gradual movement-related
463 decreases reduced the probability of rebound spikes and increased the spike timing variability.

464 We further investigated the impact of single excitatory spikes (similar to above) on the probability
465 of rebound spikes for different SNr firing rate slopes (Figure 5G). We found that, if the slope was
466 too small to reliably evoke rebound spikes (low rebound probability), excitatory spikes briefly
467 after the onset of the movement-related decrease could increase the probability of rebound spikes.
468 In contrast, for steeper slopes, the probability of rebound spikes decreased when the excitatory
469 spike occurred before the movement-related decrease. These results further support that excitation
470 can powerfully modulate rebound spiking and even promote rebound spikes under circumstances
471 in which the inhibitory input characteristics are by themselves insufficient for the generation
472 of rebound spikes. Furthermore, rebound spiking is reduced, if cortical excitation precedes the
473 movement-related decrease. This may be the case in healthy animals performing learned reaching
474 movements (Schwab et al., 2020).

475 *Transmission modes revisited: prevalence of rebound spiking*

476 The interaction of excitation and inhibition in thalamocortical neurons is important because even
477 weak excitation may change the transmission mode from rebound to disinhibition (Goldberg
478 et al., 2013). As we observed rebound spiking in the presence of single excitatory spikes
479 (Figure 5), we further investigated how ongoing excitation affects the mode of nigrothalamic

480 transmission. As before, we simulated the model neuron with movement-related inhibitory inputs,
481 but added a background excitation mimicking input from many cortical neurons in the form
482 of a Poisson spike train with the firing rate of 100 Hz and examined the effect of changing
483 excitatory strength (Figure 6). In an idealised scenario the model neuron spikes exclusively after
484 the SNr movement-related decrease for both the rebound and disinhibition transmission modes.
485 These spikes are either post-inhibitory rebound spikes (in the rebound mode), or the result of
486 depolarisation through excitation (in the disinhibition mode). However, we found that rebound and
487 disinhibition modes could also coexist in regimes in which the model neuron has non-zero baseline
488 firing rates (Figure 6A).

489 We characterised the nigrothalamic transmission mode (see Materials and Methods) according to
490 the proportion of trials with rebound spikes for a range of inhibitory and excitatory inputs strengths
491 (Figure 6A). Motor signals were transmitted via rebound spikes even in the presence of weak
492 excitatory inputs ($g_{CX \rightarrow TC} \leq 1.5 \text{ nS}/\mu\text{m}^2$; Figure 6A). Interestingly, the transition from rebound to
493 disinhibition mode was not abrupt, but there was a region where disinhibition and rebound spikes
494 coexisted (Figure 6B). In these overlapping regions rebound spiking seemed to be the dominant
495 firing pattern with a strong, transient firing rate increase in response to the movement-related
496 decrease, a phenomenon which was already observed in anaesthetised songbirds (Kojima and
497 Doupe, 2009; Figure 6D, E; see also Discussion). We also examined the effects of varying the
498 firing rate of the excitatory inputs (200, 500, and 1000 Hz). While the rebound and disinhibition
499 spiking mode still overlapped, the corresponding parameter region was shifted towards lower

500 excitatory conductances. For moderate excitatory input firing rates (100 and 200 Hz), rebound
501 spiking occurred also in regions in which the model neuron was spontaneously active (Figure 6E).
502 This overlap was present for spontaneous activity up to 3 Hz in line with the average spontaneous
503 firing of motor thalamus neurons in rats during open-field behavior (Bosch-Bouju et al., 2014).
504 However, for higher spontaneous activity (>7 Hz) rebound spiking vanished (Figure 6F). We
505 conclude that the model neuron can transmit motor signals in the rebound mode also in the
506 presence of excitatory inputs.

507 We also characterised the transmission precision for different transmission modes by computing
508 the standard deviation of the timing of the first spike after the movement-related decrease across
509 trials (Figure 6B). For the rebound transmission mode, the transmission precision was maximal
510 (i.e. minimal timing standard deviation), but as the proportion of trials with disinhibition mode
511 increased, the transmission precision decreased. In the weak inhibition and excitation regime,
512 where rebound and disinhibition modes coexisted and the baseline firing rate of the model neuron
513 was low (< 7 Hz), the precision was smallest. This is important because the spiking variability
514 can be characterised in electrophysiological recordings and may thus provide an indication of the
515 transmission mode *in vivo*.

516 In summary, our computational model points to new functional roles for uncorrelated basal
517 ganglia output in the high-fidelity transmission of motor signals. We characterised conditions
518 and parameter regimes under which rebound spikes can occur in the thalamus as a response to
519 movement-related decreases in firing rate of basal ganglia output neurons. In our model neuron,

520 rebound spiking requires that most input from basal ganglia neurons exhibits movement-related
521 pauses or synchronous baseline activity, and that inhibitory inputs are strong relative to the
522 excitatory inputs. Therefore, our model is in line with previous studies arguing that the conditions
523 for rebound spiking are primarily given in pathological situations. However, our model also points
524 to the possibility that rebound spikes could occur in healthy animals, but only under rather specific
525 conditions with respect to the connectivity and inputs, in a small subset of thalamic neurons.

526 **Discussion**

527 We used computational modelling to study the impact of spike train correlations in the basal ganglia
528 output on the transmission of motor signals. Based on previous studies we focused our description
529 on movement-related pauses in SNr activity (Hikosaka and Wurtz, 1983; Schultz, 1986; Leblois
530 et al., 2007; Schmidt et al., 2013) and examined their effect on motor thalamus in the rebound
531 transmission mode. However, as also neurons in e.g. the superior colliculus can respond with
532 a rebound spike after prolonged hyperpolarisation (Saito and Isa, 1999), our modelling results
533 might apply more generally. Furthermore, while previous studies identified the important role
534 of excitation in determining regimes in which rebound spikes can occur (Goldberg et al., 2013;
535 Edgerton and Jaeger, 2014), our model produced rebound spikes in a wider parameter regime,
536 also in the presence of excitation (Figure 6). In addition, rebound spiking overlapped with the
537 disinhibition transmission mode, indicating that the different transmission modes might not always
538 be clearly separable. In our model, the impaired nigrothalamic transmission of motor signals for
539 correlated inputs also indicates a potential functional role of uncorrelated activity in basal ganglia

540 output regions, possibly as a result of active decorrelation (Wilson, 2013).

541 While we focussed here on the conditions and properties of rebound spiking, our model did
542 not support that rebound spiking is the dominant nigrothalamic transmission mode in healthy
543 animals. In line with previous studies, we found that rebound spiking primarily occurs for
544 correlated activity (mimicking pathological conditions). Furthermore, rebound spiking depended
545 on three main parameters of the nigrothalamic connections: the strength of inhibition, the degree
546 of convergence, and the number of rate-decreasing SNr neurons. Currently, the values of these
547 parameters are unknown, but experimental studies provide rough estimates, and our computational
548 approach allowed us to determine the range of parameter values under which rebound spikes
549 can occur. In addition, basal ganglia output neurons have heterogeneous firing patterns during
550 motor tasks (Hikosaka and Wurtz, 1983; Schultz, 1986; Leblois et al., 2007; Schmidt et al., 2013;
551 Schwab et al., 2020). Therefore, it seems plausible that also the transmission mode may vary across
552 neurons and over time. Accordingly, rebound spiking may only occur under specific circumstances
553 in motor thalamus and involve only a subset of neurons. Similarly, our modelling results indicated
554 that there may be specific conditions in which rebound spiking could also occur in healthy animals.
555 For example, synchronous movement-related pauses in many SNr neurons might constitute a
556 transient signal that could lead to rebound spiking in a subset of neurons in the motor thalamus.
557 However, this would require strong and specific connections from SNr to thalamus so that SNr
558 neurons with the same firing pattern converge onto the same thalamocortical neuron. As these
559 rebound spikes would only form a small subset of the total number of thalamic spikes, it might be

560 difficult to detect them in extracellular recordings (Schwab et al., 2020).

561 Our modelling results also indicated that rebound spiking depends on the baseline firing rate
562 of motor thalamus neurons. Notably, neurons with a low baseline firing rate may be more
563 likely to transmit motor signals via rebound spikes (Fig. 6A). From experimental studies we
564 know that neurons in the motor thalamus have diverse baseline firing rates (Guo et al., 2017;
565 Gaidica et al., 2018). Therefore, we would predict that the subset of neurons with low baseline
566 firing rates are more likely to exhibit rebound spikes, when receiving synchronous inputs. In
567 addition, the nigrothalamic connection strength was an important parameter in our simulations,
568 with stronger connections favouring rebound spike generation (Fig. 6A; see also Kuramoto et al.,
569 2011). Therefore, any change in nigrothalamic connection strength, e.g. during motor learning,
570 can also affect the propensity of the circuit to generate rebound spikes.

571 Experimental studies have shown that rebound activity often involves bursts consisting of several
572 spikes (Magnin et al., 2000; Bosch-Bouju et al., 2014; Edgerton and Jaeger, 2014). In contrast, our
573 model here usually responded only with a single rebound spike. In our model this had the advantage
574 to simplify our quantification of the transmission quality, which would require additional measures
575 to classify spikes within a rebound burst. Importantly, in a model variation with rebound bursts the
576 overall effect of correlations on the transmission quality would stay the same (Supplemental Figure
577 3). While rebound bursts in motor thalamus might play a role for transmitting motor signals further
578 downstream, this is beyond the scope of this paper.

579 *Functional role of active decorrelation in the basal ganglia*

580 One prominent feature of neural activity in the healthy basal ganglia is the absence of spike
581 correlations (Bar-Gad et al., 2003). This might be due to the autonomous pacemaking activity
582 of neurons in globus pallidus externa/interna (GPe/GPi), subthalamic nucleus (STN) and SNr,
583 as well as other properties of the network such as heterogeneity of firing rates and connectivity
584 that actively counteracts the synchronisation of activity (Wilson, 2013). While uncorrelated
585 basal ganglia activity may maximise information transmission (Wilson, 2015), our simulations
586 demonstrate that it further prevents the occurrence of random pauses in SNr/GPi activity that could
587 drive thalamic rebound spikes. Thereby, uncorrelated basal ganglia output activity may ensure
588 that rebound spikes in motor thalamus neurons do usually not occur in healthy animals, or only
589 occur upon appropriate signals such as the movement-related decreases in basal ganglia output
590 firing rate. In contrast, correlated basal ganglia output activity leads to rebound activity in motor
591 thalamus also at baseline SNr activity, i.e. in absence of any motor signal. This decrease in the
592 signal-to-noise ratio of motor signals may cause problems in motor control.

593 Evidence for the functional relevance of uncorrelated basal ganglia activity originates from the
594 prominent observation that basal ganglia activity becomes correlated in Parkinson's disease
595 (Bergman et al., 1998; Nevado-Holgado et al., 2014). Therefore, our simulations with correlated
596 basal ganglia output activity capture a key aspect of neural activity in Parkinson's disease.
597 Interestingly, our finding that basal ganglia correlations increase the rate of motor thalamus
598 rebound spikes is in line with recent experimental findings. In dopamine-depleted mice with

599 Parkinson-like motor symptoms, the rate of motor thalamus rebound spikes was also increased
600 compared to healthy controls (Kim et al., 2017). Furthermore, an increased trial-to-trial variability
601 of rebound spikes was found in dopamine-depleted mice, similar to our simulations (Figure 3).

602 Therefore, our results demonstrate the role of uncorrelated activity in the high-fidelity transmission
603 of motor signals with low trial-to-trial variability from the basal ganglia to motor thalamus.
604 Uncorrelated activity could be the result of active decorrelation in the basal ganglia (Wilson,
605 2013). In contrast, pathological correlations may lead to unreliable and noisy transmission of
606 motor signals with high trial-to-trial variability, potentially contributing to motor symptoms in
607 Parkinson's disease.

608 *Role of rebound spikes for motor output*

609 In our simulations we only examined the activity of a single thalamocortical neuron. However,
610 for motor signals propagating further downstream, the coordination of activity among different
611 thalamocortical neurons might be relevant. Due to the low trial-to-trial variability of the response
612 latency of rebound spikes (Fig. 6B), in the model pauses in population SNr activity would
613 lead to synchronous rebound spikes among thalamocortical neurons. In contrast, excitatory,
614 Poisson inputs from cortex enhanced trial-to-trial variability (Fig. 6B) and thus would not lead to
615 synchronous activity among thalamocortical neurons. Even though downstream regions cannot
616 directly distinguish thalamic rebound spikes from excitation-driven spikes, they might read out
617 synchronous activity that occurs primarily for rebound spikes. Thereby, only coordinated activity

618 in different thalamocortical neurons may lead to movement initiation (Gaidica et al., 2018) or
619 muscle contraction (Kim et al., 2017). This is in line with the experimental finding showing that,
620 despite no significant difference in the peak or average firing rates of single unit recordings from
621 intact and knockout neurons lacking T-type Ca^{2+} in the motor thalamus, multi-unit recordings
622 from intact neurons reached a stronger peak firing rate earlier than the knockout neurons (Kim
623 et al., 2017). This early activation of a greater proportion of intact neurons after the termination
624 of the inhibition, which indicates a coordinated activity across neurons, was accompanied by a
625 muscular response whereas no muscular response was observed in the knockout state (Kim et al.,
626 2017). Therefore, rebound activity in an individual motor thalamus neuron may not lead to muscle
627 contraction, but instead synchronous rebound spikes in several motor thalamus neurons may be
628 required.

629 *Impact of sensory responses on the transmission of motor signals*

630 SNr neurons that decrease their activity during movement also respond to salient sensory stimuli
631 such as auditory “Go” stimuli cueing movement (Pan et al., 2013; Schmidt et al., 2013). One
632 proposed functional role for this brief firing rate increase is to prevent impulsive or premature
633 responses during movement preparation in SNr neurons (Schmidt et al., 2013). In addition, in our
634 model we observed that, depending on the precise timing, inhibitory inputs mimicking sensory
635 responses may also promote thalamocortical rebound spikes. This effect was present in the model
636 when the sensory responses preceded the movement-related decrease by up to 40 ms (Figure 4).

637 In rats performing a stop-signal task the same SNr neurons that responded to the “Go” stimulus
638 also responded to an auditory “Stop” signal, which prompted the cancellation of the upcoming
639 movement (Schmidt et al., 2013). These responses were observed in trials, in which the rats
640 correctly cancelled the movement, but not in trials where they failed to cancel the movement.
641 These SNr responses to the “Stop” signal may delay movement initiation, allowing another
642 slower process to completely cancel the planned movement (Mallet et al., 2016). In line with
643 this “pause-then-cancel” model of stopping (Schmidt and Berke, 2017), we observed that the
644 SNr sensory responses can also prevent rebound spikes when they occur close to the time of the
645 motor signal. In our model this suppression effect was present up to 40 ms after the onset of the
646 movement-related decrease in SNr activity (Figure 4). Thereby, our model provides a prediction
647 for the temporal window of the functional contribution of sensory responses in SNr to behaviour.
648 Importantly, sensory responses could either promote or suppress movements, depending on their
649 relative timing to the motor signal, providing a highly flexible means to integrate sensory and
650 motor signals in nigrothalamic circuits. However, due to the restricted parameter range in which
651 our model generated rebound spikes, it is unclear whether the modulation of rebound spiking by
652 sensory responses could also occur in healthy animals.

653 *Effects of deep brain stimulation*

654 In our model correlated basal ganglia activity increased the number of rebound spikes in thalamocortical
655 neurons. In particular, higher-order correlations lead to pauses in the SNr population activity
656 promoting rebound spikes, while pairwise correlations alone did not affect the nigrothalamic

657 transmission of motor signals (Figure 2B). This suggests that in Parkinson's disease higher-order
658 correlations are relevant for motor symptoms, which offers some insight into the potential
659 mechanisms by which deep-brain stimulation (DBS) might alleviate some of the motor symptoms
660 such as rigidity and tremor. DBS in the STN and GPi has complex and diverse effects on the
661 firing rate of neurons in SNr/GPi (Bar-Gad et al., 2004; Zimnik et al., 2015) and thalamus
662 (Muralidharan et al., 2017). According to our model strong increases in SNr and GPi firing
663 rates observed after STN DBS (Hashimoto et al., 2003; Maurice et al., 2003), would decrease
664 the duration of the spontaneous pauses in the population activity (Figure 3C). Thereby, even
665 for correlated SNr activity, the duration of the pauses would not be long enough to allow the
666 generation of a rebound spike in the thalamocortical neuron. This conclusion also holds when a
667 subset of neurons in SNr and GPi decrease their firing rate during STN DBS (Hahn et al., 2008;
668 Humphries and Gurney, 2012). The decrease in the firing rate would decrease the degree of
669 correlation by eliminating or displacing the synchronous spike times and therefore weaken the
670 inhibition preceding the pauses that could have potentially evoked rebound spikes.

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679 **Competing Interests**

680 The authors declare no competing financial interests.

681 **Author Contributions**

682 Mohammadreza Mohagheghi Nejad and Robert Schmidt designed the research. Robert Schmidt
683 supervised the work. Mohammadreza Mohagheghi Nejad performed the simulations and analysed
684 the data. Mohammadreza Mohagheghi Nejad, Stefan Rotter and Robert Schmidt interpreted the
685 results and wrote the manuscript.

686 **Data Accessibility**

687 We provided our simulation scripts (in “BasicModelSimulations” directory) including the scripts
688 generating input spike trains (in “SpikeTrains” directory) accessible via a git repository `https :`
689 `//github.com/mmohaghegh/NigrothalamicTransmission.git`.

690 **Abbreviations**

691 BIN: Binomially distributed; CX: Cortex; DBS: Deep Brain Stimulation; DLM: Dorsolateral
692 nucleus of the anterior thalamus; EXP: Exponentially distributed; GABA: gamma-Aminobutyric
693 acid; GPe: Globus Pallidus externa; GPi: Globus Pallidus interna; SNr: Substantia Nigra pars

694 reticulata; STN: Subthalamic Nucleus; TC: Thalamocortical neuron; TQ: Transmission Quality;

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870 macaque globus pallidus during high-frequency stimulation of the subthalamic nucleus. Journal
871 of Neuroscience 35:3978–3989.

List of Tables

Table 1. Model parameters

Parameter type	Parameter, value and unit
Ionic channel conductance	$g_L = 0.05 \text{ nS}/\mu\text{m}^2$ $g_{Na} = 3 \text{ nS}/\mu\text{m}^2$ $g_T = 5 \text{ nS}/\mu\text{m}^2$ $g_K = 5 \text{ nS}/\mu\text{m}^2$
Ionic channel reversal potential	$E_L = -70 \text{ mV}$ $E_{Na} = 50 \text{ mV}$ $E_T = 0 \text{ mV}$ $E_K = -90 \text{ mV}$
Synaptic reversal potential	$v_{SNr \rightarrow TC} = -85 \text{ mV}$ $v_{CX \rightarrow TC} = 0 \text{ mV}$
Synaptic decay constant	$\beta_{SNr \rightarrow TC} = 0.08 \text{ ms}^{-1}$ $\beta_{CX \rightarrow TC} = 0.18 \text{ ms}^{-1}$

Parameters were taken from Rubin and Terman, 2004 and Ermentrout and Terman, 2010.

873 **Figure Legends**

874 **Figure 1** Generation of correlated Poisson spike trains used as input to the model neuron. (A,
875 top) The event amplitude distribution of the higher-order correlations was determined for spike
876 trains generated by a multiple interaction process with $\varepsilon = 0.3$ and $r = 50$ Hz. The bottom panel
877 shows the raster plot of 30 respective example spike trains. (B, top) Alternatively, the event
878 amplitude distribution of higher-order correlations followed an exponential amplitude distribution
879 with $\varepsilon = 0.3$ and $r = 50$ Hz, and corresponding example spike trains (bottom panel). (C) The
880 parameter τ of the exponential amplitude distributions determined the resulting average pairwise
881 correlation ε (red trace). Black dots represent the average pairwise correlations that we used to
882 generate input spike trains with an exponential amplitude distribution.

883

884 **Figure 2** Input spike correlations impair the transmission quality (TQ) of motor signals from
885 SNr to thalamus. (A) Top panels show the intracellular response of the thalamocortical model
886 neuron to the inhibitory input spike trains from SNr displayed in the bottom panels. Uncorrelated
887 Poisson spike trains ($\varepsilon = 0$) led to high-fidelity transmission (TQ = 1) via a single rebound spike
888 after the firing rate decrease in the input (leftmost panel). Correlated Poisson spike trains, however,
889 led to rebound spikes at random times, whenever there is a pause in the input spike trains (left
890 middle panel: $\varepsilon = 0.2$ leading to TQ = 0.5, right middle panel: $\varepsilon = 0.35$ leading to TQ = 0.33
891 and rightmost panel: $\varepsilon = 0.7$ leading to TQ = 0.25). (B) Impact of input correlations on TQ
892 depended on the correlation model (BIN, binomial; EXP, exponential; BIN&EXP, mixture of

893 both). Note that the exponential distribution of the event amplitudes had a maximum average
894 pairwise correlation of 0.65 (see Materials and Methods). The black dot marks the TQ for the
895 spike trains generated using the event amplitude distribution shown in (E). (C) For the binomial
896 correlation model, jittering the input spike times decreased the TQ only for long jitter time
897 windows (50ms), indicating that correlations on longer time scales are overall less detrimental. (D)
898 The threshold correlation at which the transmission quality deteriorated ($TQ < 0.95$) only weakly
899 depended on the inhibitory input strength (same legend as in B). (E) The simulation of Parkinson's
900 disease in a large-scale model of the basal ganglia yielded an event amplitude distribution of SNr
901 spike times that corresponded to a mixture of the exponential and binomial amplitude distributions.
902

903 **Figure 3** Correlated SNr spike trains decrease transmission speed and temporal precision of
904 rebound spikes. Systematic investigation of average transmission latency (A) and its standard
905 deviation (B) for different degrees of correlation and inhibitory strengths identified the range with
906 fastest transmission speed and highest transmission precision, respectively. (C) Left panel shows a
907 sample membrane potential ($g_{SNr \rightarrow TC} = 0.70 \text{ nS}/\mu\text{m}^2$, $\varepsilon = 0.7$; top) of the thalamocortical model
908 neuron and the corresponding inhibitory inputs (bottom). Note that rebound spikes were preceded
909 by pauses in the input raster plot (indicated by black horizontal bars). However, for very short
910 pauses (indicated by grey horizontal bars) no rebound spikes occurred. Averages triggered by
911 rebound spikes for weakly correlated inputs (C, middle panel) and strongly correlated inputs (C,
912 right panel) confirmed that pauses in the inhibitory input preceded rebound spikes. The duration
913 of the pause preceding the rebound spikes reflected the transmission latency. The inset symbols (#,

914 *) in (A) indicate the parameters used for the corresponding spike-triggered averages in (C).

915

916 **Figure 4** Sensory responses in SNr firing rate change the probability of rebound spikes in the
917 thalamocortical model neuron. (A) The simulations used an average firing rate as input, which
918 reflected the SNr firing rate with a movement-related decrease (black line). Sensory responses
919 (red lines) were then added to the input at different time points relative to the movement-related
920 decrease. Here two example timings are shown, before (solid) and after (dash-dot) the movement-related
921 decrease. (B) The timing of the sensory responses relative to the movement-related decrease was
922 varied systematically (x-axis). For a given relative timing, we determined whether rebound spikes
923 were suppressed (blue area) or facilitated (yellow area; here $g_{SNr \rightarrow TC} = 0.29 \text{ nS}/\mu\text{m}^2$). Note the
924 large impact of the timing of the sensory response on the probability of rebound spikes, even if it
925 occurred in only a small subset of neurons. (C) The input strength $g_{SNr \rightarrow TC}$ affects the suppression
926 and facilitation of rebound spikes. Here the change in rebound probability was averaged across the
927 number of inputs with sensory responses (across y-axis in B).

928

929 **Figure 5** Effect of precisely timed excitatory input spikes on rebound spiking. (A) The generation
930 of rebound spikes requires that a large fraction of the inhibitory input spike trains exhibit a
931 movement-related decrease in firing rate, largely independent of their input strength. (B) Adding
932 a single excitatory spike as input to the thalamocortical model neuron strongly increases the
933 probability of rebound spike generation compared to pure inhibitory inputs (letter “B” in panel A).
934 Note that this occurs in a regime, in which usually no rebound spike can be generated because not

935 enough (here 22 out of 30) neurons decrease their firing rate. (C) In a regime, in which usually
936 rebound spikes are generated (letter “C” in panel A), adding a single excitatory spike as input to
937 the thalamocortical neuron decreases the probability of rebound spike generation compared to pure
938 inhibitory inputs. (D) Systematic investigation of the parameter space indicates a narrow regime,
939 in which a single excitatory spike can decrease, and a larger regime, in which it can increase the
940 probability of a rebound spike. Here, the probability changes are averaged over excitatory input
941 strengths.

942

943 **Figure 6** Smooth transition from rebound to disinhibition transmission mode. (A) The probability
944 of rebound spikes only gradually decreased with stronger excitatory inputs, indicating a large
945 parameter regime in which the rebound and disinhibition transmission modes coexisted. The
946 yellow area marks the regime in which transmission was exclusively mediated by rebound spiking,
947 while in dark blue areas the basal ganglia output only disinhibited cortical excitation. The white
948 isolines illustrate the baseline firing rate of the model neuron (i.e. the firing rate before the
949 onset of the movement-related decrease in the input). In the small grey region (bottom left)
950 the model neuron did not fire. (B) The standard deviation of the latency (across trials) of the
951 first thalamocortical spike relative the movement-related decrease distinguished rebound from
952 disinhibition transmission modes. For the rebound mode (i.e. yellow area in A) the standard
953 deviation was almost always the lowest, and the regime in which rebound and disinhibition
954 coexisted the standard deviation was markedly higher. White contour line shows the boundaries
955 of the yellow area in panel (A), where the transmission was exclusively mediated by rebound

956 spiking. (C-E) Sample firing rate profiles and corresponding raster plots show the activity of the
957 thalamocortical neuron in different parts of the parameter regime (as indicated by the corresponding
958 letters in A) with rebound spiking only (C), coexistence of rebound and disinhibition (D-E) and
959 disinhibition only (F).

960

961

962 **Supplemental Figure 1** Robustness of the model response to inhibitory synapse parameters. (A)
963 Comparison of gating variable equilibrium values as a function of the membrane potential between
964 our model and the one from Destexhe et al. (1998). (B) Transmission quality of our model
965 measured in response to 30 synaptic inputs that decrease their activity from 50Hz to 0 at the
966 time of movement onset. Our model produces high transmission quality also beyond the default
967 parameter for reversal potential ($-85mV$). (C) Adapting the settings from Destexhe et al. (1998) for
968 gating variables equilibrium values for our model only slightly decreases the transmission quality
969 in comparison to the default parameter settings in panel (B). (D) Exposing the model neuron to
970 30 synchronous spikes arriving at the synapse (GABA maximum conductance = $1nS/\mu m^2$) at time
971 0ms hyperpolarises the membrane potential from $-64.7mV$ to $-81.7mV$. This hyperpolarisation
972 is strong enough to evoke a post-inhibitory rebound spike in our model, which is very close to the
973 hyperpolarisation ($18mV$) of thalamocortical neurons in motor thalamus in vitro (see Figure 5B in
974 Edgerton and Jaeger (2014)).

975 **Supplemental Figure 2** The effect of the number of inhibitory inputs on the probability of rebound

976 spike generation. Reducing the number of inputs from 30 (default value used throughout this study)
977 to 20 does not substantially change the probability of rebound spikes in the model. However, to
978 generate rebound spikes with high probability for less than 20 inputs, the model requires stronger
979 inhibition (higher $G_{SNr \rightarrow TC}$).

980 **Supplemental Figure 3** Modified model in which rebound spiking involves a burst of action
981 potentials (original model in blue, modified model in red). (A) The modified model had a higher
982 voltage-dependent time constant of the inactivation gating variable τ_r for most values for the
983 model membrane potential. (B) Simulation with correlated inhibitory inputs firing at $50Hz$ and
984 a movement-related decrease in the firing rates. The increase in the time constant in the modified
985 model leads to rebound bursts (red line), as can be seen by the additional spikes compared to
986 the original model (blue line). (C) The modified model preserved the overall decrease in the
987 transmission quality as a function of correlation, with a slightly lower transmission quality for
988 intermediate correlations in the modified model.

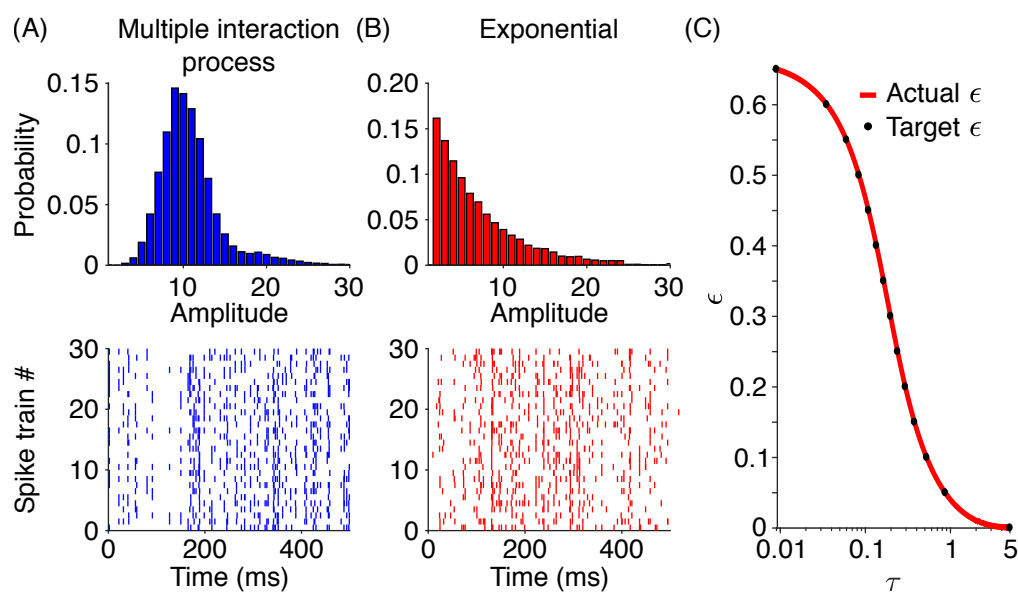


Figure 1

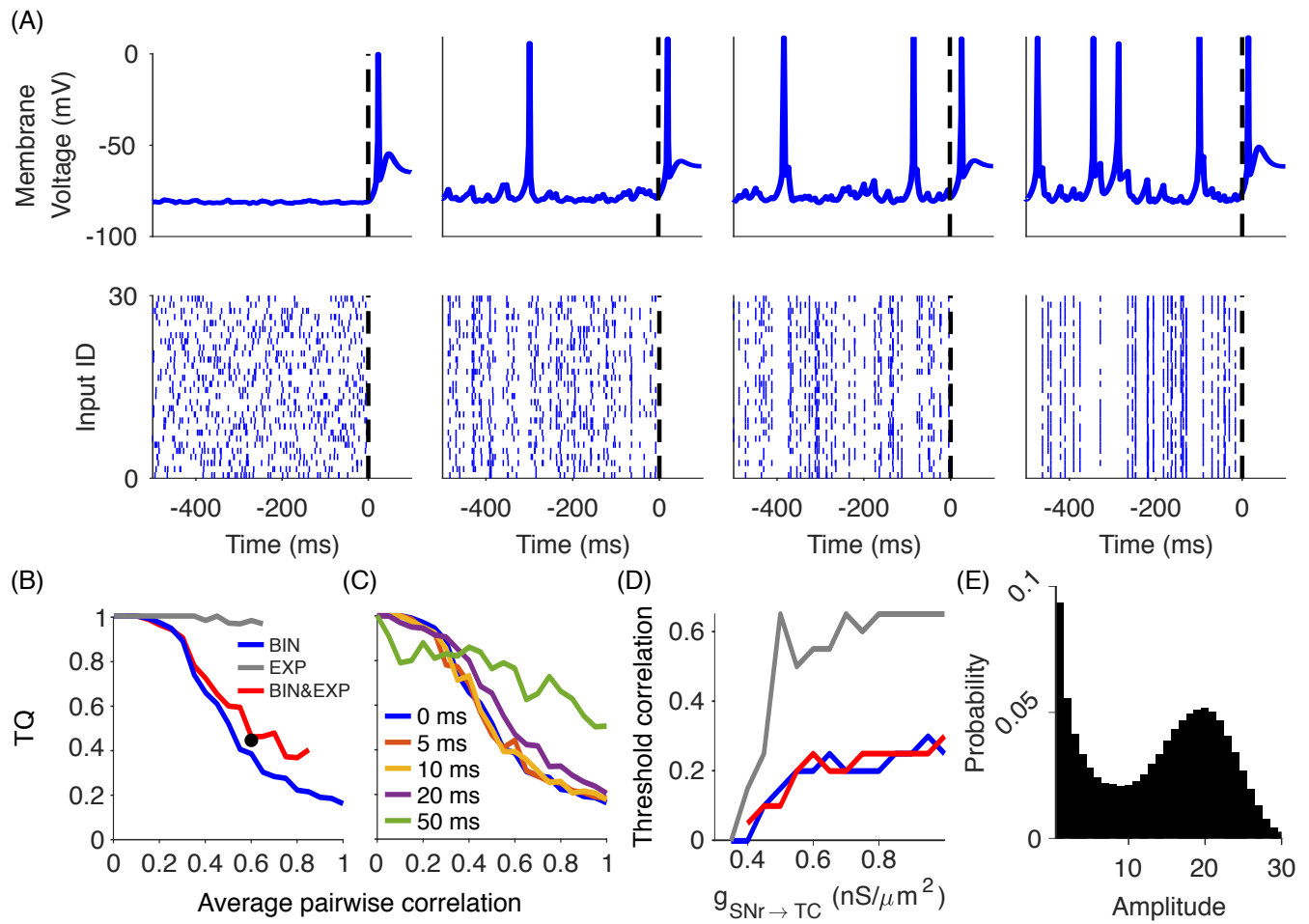


Figure 2

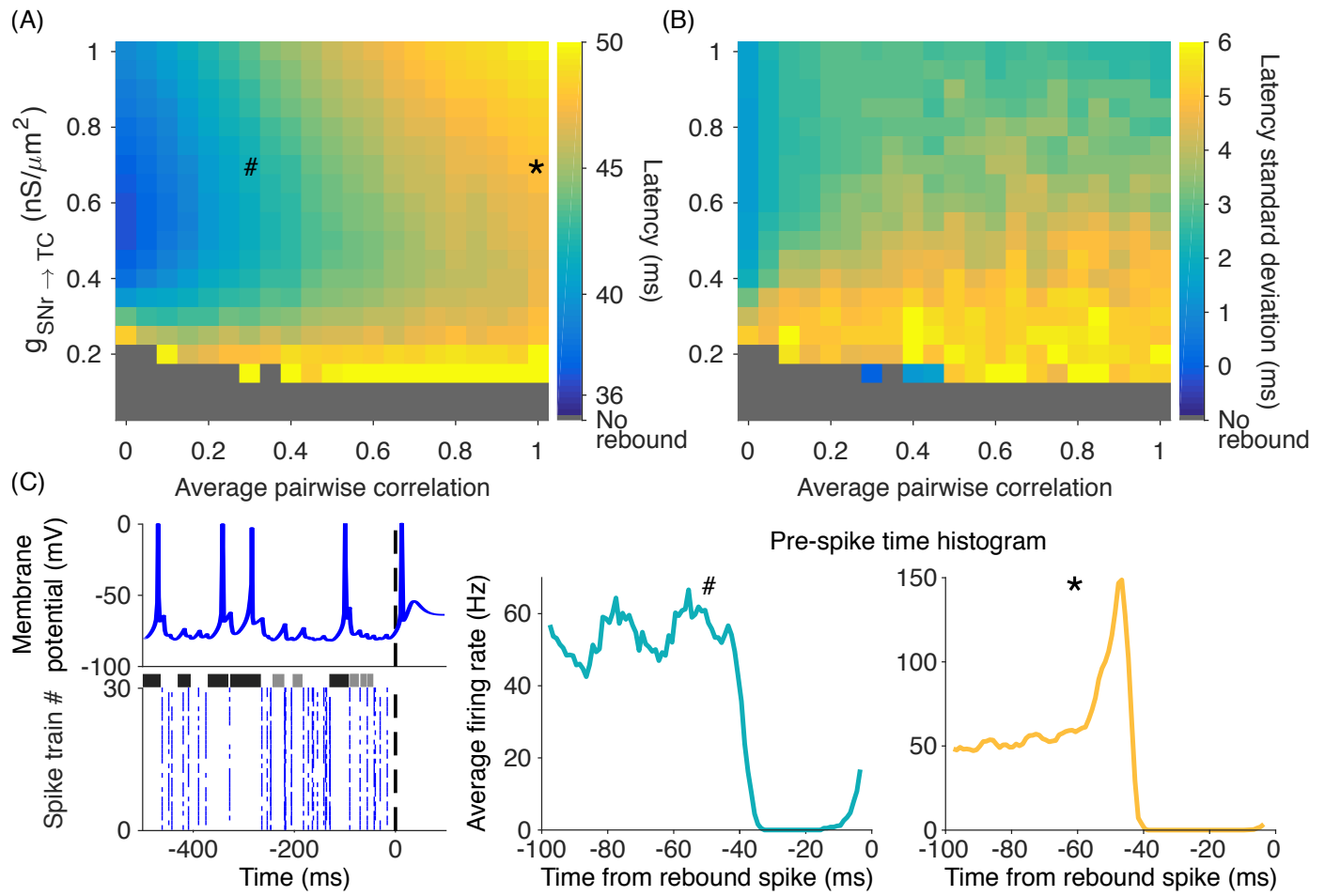


Figure 3

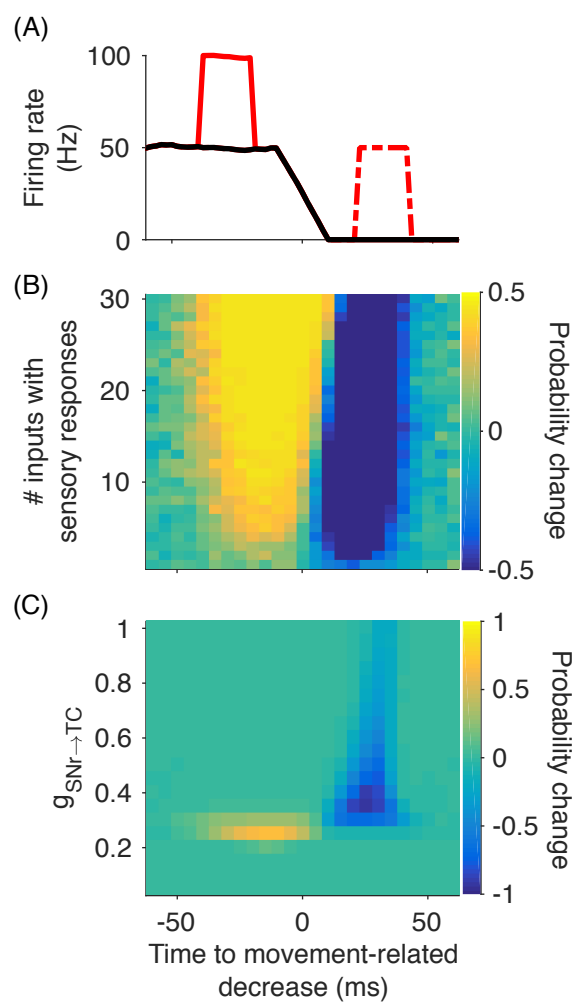


Figure 4

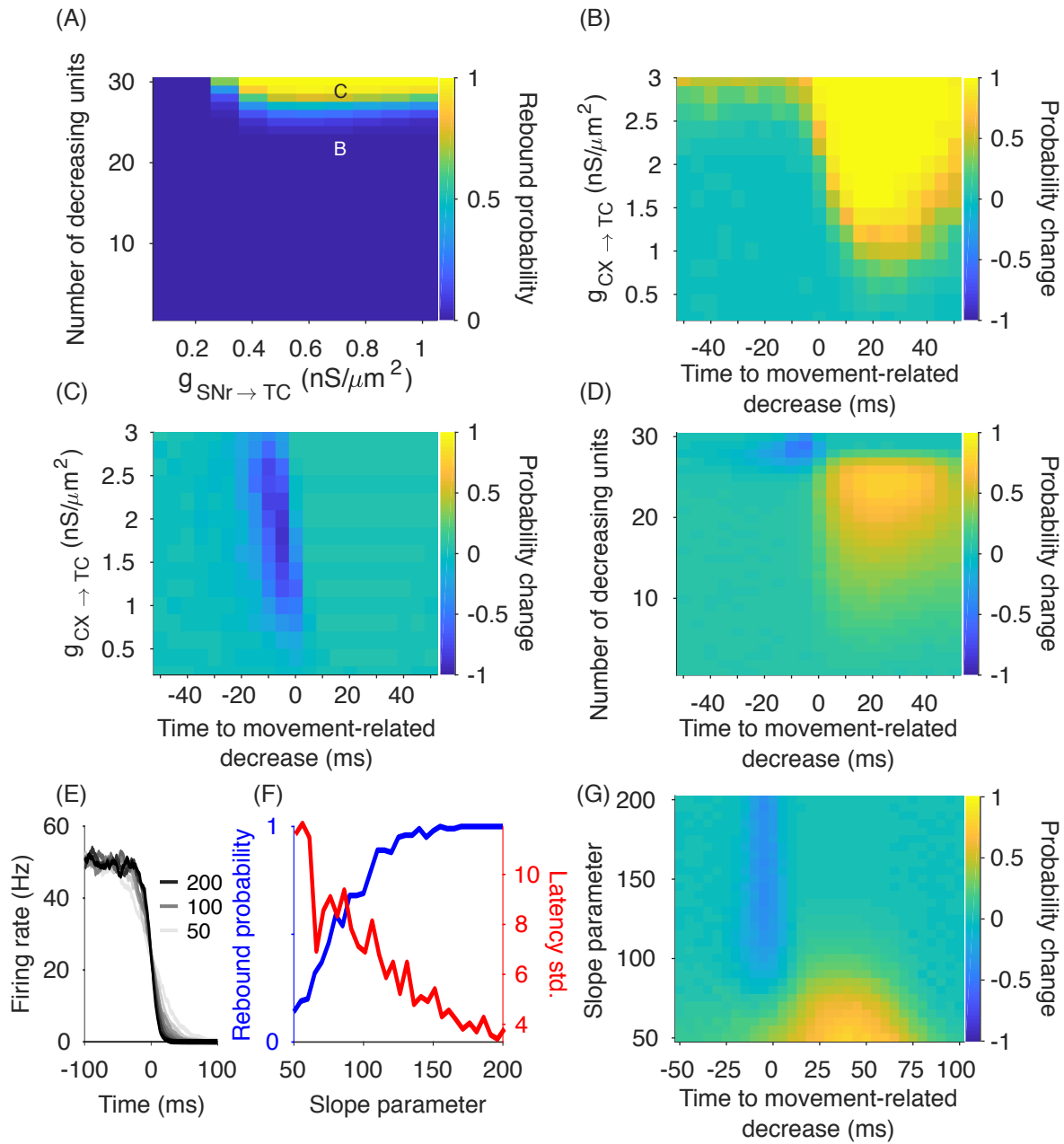


Figure 5

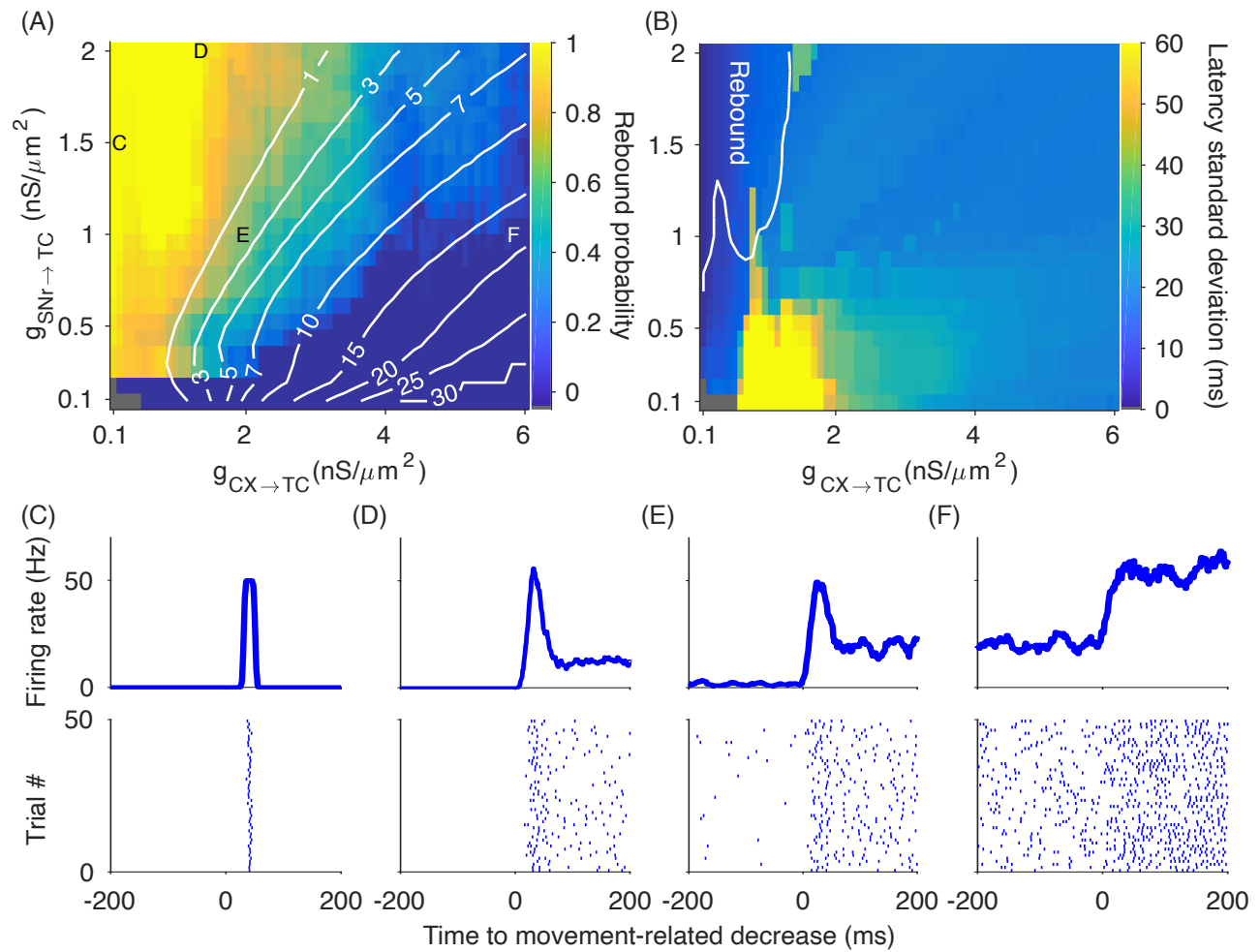
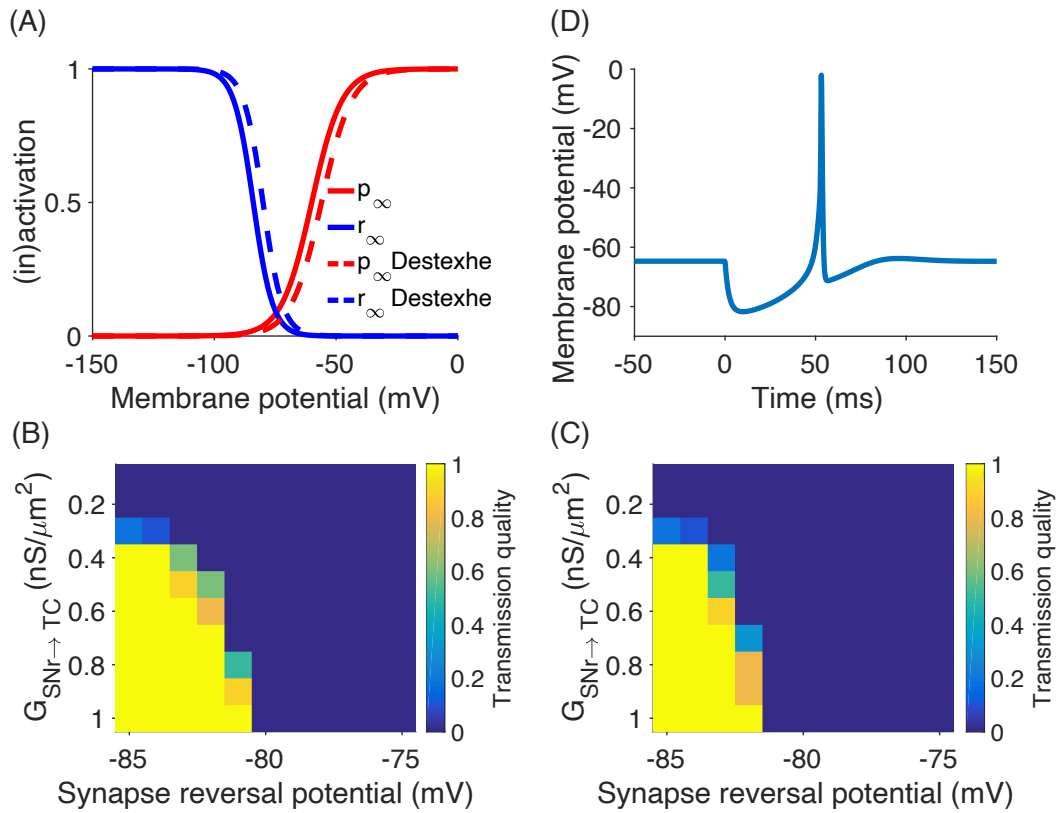
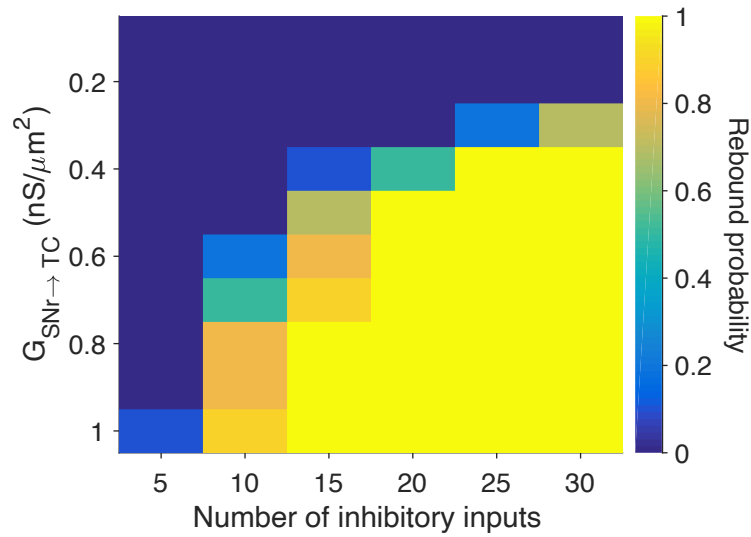


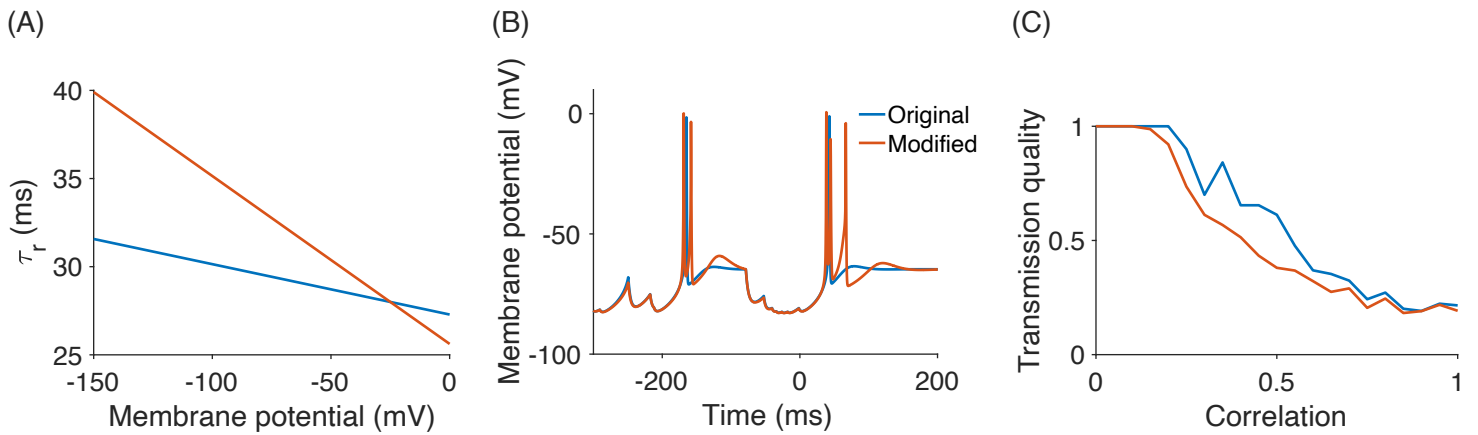
Figure 6



Supplemental Figure 1



Supplemental Figure 2



Supplemental Figure 3