

1 *New Results*

2

3 40 Hz acoustic stimulation decreases amyloid beta and modulates brain rhythms in a mouse  
4 model of Alzheimer's disease

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23 **Abstract**

24 **Introduction**

25 The accumulation of amyloid-beta ( $A\beta$ ) is one of the neuropathologic hallmarks of  
26 Alzheimer's disease (AD) and abnormal gamma band oscillations and brain connectivity  
27 have been observed. Recently, a therapeutic potential of gamma entrainment of the brain was  
28 reported by Iaccarino *et al.* However, the affected areas were limited to hippocampus and  
29 visual cortex. Therefore, we sought to test the effects of acoustic stimulation in a mouse  
30 model of AD.

31 **Methods**

32 Freely moving 6-month-old 5XFAD mice with electroencephalogram (EEG) electrodes were  
33 treated with daily two-hour acoustic stimulation at 40Hz for 2 weeks.  $A\beta$  and microglia were  
34 evaluated by immunohistochemistry and ELISA. Evoked and spontaneous gamma power  
35 were analyzed by wavelet analysis. Coherence, phase locking value (PLV), and cross-  
36 frequency coupling were analyzed.

37 **Results**

38 The number of  $A\beta$  plaques decreased in the pre- and infra- limbic (PIL) and hippocampus  
39 regions and soluble  $A\beta$ -40 and  $A\beta$ -42 peptides in PIL in the acoustic stimulation group. We  
40 also found that the number of microglia increased in PIL and hippocampus. In EEG analysis,  
41 evoked gamma power was decreased and spontaneous gamma power was increased. Gamma  
42 coherence and phase locking value did not show significant changes. Cross-frequency  
43 coupling was shifted from gamma-delta to gamma-theta rhythm.

44 **Conclusion**

45 In summary, we found that acoustic stimulation at 40Hz can reduce  $A\beta$  in the brain and  
46 restore the gamma band oscillations and the fronto-parietal connectivity. Our data suggest

47 that acoustic stimulation might alter the natural deterioration processes of AD and have a  
48 therapeutic potential in AD.

49 Key Words: Gamma band oscillations, acoustic stimulation, amyloid beta, microglia, brain  
50 connectivity, 5XFAD

## 51 **Introduction**

52 Alzheimer's disease (AD) is the most common cause of dementia<sup>1-3</sup> and understood  
53 as a complex disease that affects behavior and cognition through various pathophysiological  
54 mechanisms<sup>4</sup>. The deposition of amyloid-beta (A $\beta$ ) peptide is one of the major pathological  
55 findings associated with AD<sup>5</sup>. In AD, the balance between formation and clearance of A $\beta$  is  
56 impaired<sup>6</sup>. Under normal physiological conditions, glia cells, especially microglia and  
57 astrocytes, play crucial roles in maintaining the balance. The aggregated A $\beta$  is degraded by  
58 microglia and astrocyte<sup>7</sup> and the soluble A $\beta$  is eliminated through the perivascular pathway<sup>8-</sup>  
59<sup>11</sup>. In spite of recent failures of drug development targeting A $\beta$  and skepticism, the 'amyloid  
60 hypothesis' are still one of the leading theory of AD pathogenesis<sup>12</sup>.

61 Neuronal activity with gamma band (30-70 Hz) oscillations (GBO) in the human  
62 electroencephalogram (EEG) is known to be associated with human sensation, perception,  
63 cognitive process, such as information storage, retrieval, and integration<sup>13-16</sup>. Cortical GBO  
64 are produced by the interplay between inhibitory interneurons and excitatory pyramidal  
65 neurons cellular<sup>17</sup>. It is noteworthy that the patients with AD have shown abnormal GBO.  
66 Several studies have reported decreased activity of the spontaneous GBO in AD<sup>18,19</sup>. The  
67 synchronization in spontaneous GBO was also suppressed compared to healthy controls<sup>19,20</sup>.  
68 In contrast, evoked GBO as a steady-state response (SSR) to the repeated visual, auditory or  
69 somatosensory stimuli at a certain frequency increased in patients with AD<sup>21-23</sup>.

70 The deposition of A $\beta$  impairs synaptic plasticity at glutamatergic synapses, forms a  
71 synaptic depression with the morphological change of dendritic spine<sup>24,25</sup>, and the GBO<sup>26</sup>.  
72 Therefore, immunotherapy has been conducted aiming at the reduction of accumulated A $\beta$ .  
73 Intracranial or systemic administration of immunotherapeutic agents reduced A $\beta$  deposition  
74 by mechanisms both associated with and independent of microglial activation<sup>27,28</sup>. Following

75 studies have reported decreased A $\beta$  plaques, increased soluble A $\beta$  and altered shape of  
76 dystrophic neurite<sup>29-33</sup>. However, immunotherapy did not improve the overall survival in  
77 phase 1 clinical trials with side effects<sup>34</sup>.

78 The functional abnormalities of PV neuron and fast-spiking basket cells are origins  
79 of abnormal function of GBO<sup>35</sup>. In mice with the mutated APP, damaged PV cells produce  
80 network dysfunction. Restoration of the interneuron-specific and PV cell predominant  
81 voltage-gated sodium channel (VGSC) subunit improved recovery of gamma oscillation and  
82 memory impairment and also resulted in improved survival outcome<sup>36</sup>. However, this  
83 method is difficult to apply to clinical practice.

84 Recently, entrainment of the brain rhythm with GBO were used as a therapeutic  
85 method for AD<sup>26</sup>. Optogenetic stimulation of PV neuron in the hippocampus and visual  
86 stimulation at 40 Hz using noninvasive flickering light also decreased A $\beta$  peptides and  
87 increased activated microglia in the brain. However, their therapeutic effects were limited to  
88 hippocampus and visual cortex, respectively. To achieve a functional improvement, a broader  
89 area of the brain needs to be affected by the therapeutic effects. Given the necessity of other  
90 stimulation strategy, it is notable that rhythmic auditory stimulations can drive and entrain  
91 brain oscillations and this phenomenon is known as ‘auditory steady state response (ASSR)’  
92<sup>37</sup>. Depending on the specific frequency stimulus, the auditory beat can induce or inhibit  
93 particular frequencies of the brain rhythms<sup>38</sup>. In a clinical pilot study showed that rhythmic  
94 sensory stimulation at 40 Hz with sound stimuli improved cognition of AD patients<sup>39</sup>.  
95 Therefore, we hypothesized that evoked GBO using 40 Hz acoustic stimulation (AS) can  
96 decrease A $\beta$  and increase microglia and the neuropathological improvements may cause  
97 neurophysiological benefits, such as normalization of GBO and brain connectivity.

98

99 **Results**

100

101 **Evoked gamma oscillation facilitates degradation of A $\beta$  plaque with microglia activation.**

102 A $\beta$  plaques were diminished in Tg+/Stim+, but not in Tg+/Stim- (Figure 1a). The  
103 number of A $\beta$  plaque decreased in the pre- and infra- limbic(PIL) and hippocampus(HC)  
104 regions (46.8% and 60.0% respectively), and it was significantly different between Tg-/Stim-  
105 and Tg+/Stim-, Tg-/Stim- and Tg+/Stim+ (Figure 1b,  $p<0.01$ ,  $p<0.05$ ).

106 Microglia was aggregated in Tg+/Stim+ and Tg+/Stim-, but not in Tg-/Stim- (Figure 1a).  
107 There was a significant difference between microglia number per ROI in PIL and HC of  
108 Tg+/Stim+, Tg+/Stim- and Tg-/Stim- (Figure 1c,  $p<0.01$ ). Microglia number in PIL and HC  
109 increased in Tg+/Stim+ than Tg-/Stim- showed statistical difference (Figure 1c,  $p<0.05$ ,  
110  $p<0.01$ ). And, microglia number increased in PIL and HC (188.3% and 98.9%, respectively),  
111 showed no significant difference between Tg+/Stim- and Tg+/Stim- (Figure 1c,  $p=0.34$ ,  
112  $p=0.47$ ). Evoked gamma oscillation facilitates microglia aggregation in Tg+/Stim+ than Tg-  
113 /Stim-.

114

115 **Evoked gamma oscillation decreased A $\beta$ -40, 42 (soluble and insoluble) in PIL**

116 There was a significant difference A $\beta$  level of PIL between Tg+/Stim+, Tg+/Stim-  
117 and Tg-/Stim- (Figure 2,  $p<0.01$ ). Tg+/Stim+, Tg+/Stim- have significantly increased A $\beta$ -40,  
118 42 (soluble and insoluble) levels than Tg-/Stim-. ELISA results show significant reduction of  
119 soluble A $\beta$ -40 and -42 peptides (45.5% and 67.2%, respectively) in PIL in Tg+/Stim+  
120 compared to Tg+/Stim- (Figure 2,  $p<0.05$ ). On the other hand, there was no statistical  
121 difference in other levels of A $\beta$  between Tg+/Stim+ and Tg+/Stim-.

122

123 **Gamma power in electroencephalography changed after 2 weeks of acoustic stimulation**  
124 **at 40 Hz**

125 We measured the effect of 2 weeks of acoustic stimulation on local field potentials  
126 (LFP) to click-trains at gamma band frequency. Wavelet spectral analysis was performed on  
127 the averaged waveforms of evoked LFPs within the temporal window of stimulus. Figure 3  
128 show the wavelet power spectral density and scale is corrected for inter-group comparison.  
129 Figure 3a show more increased evoked gamma band power on Tg+/Stim+ in day 1 than Tg-  
130 /Stim-. Figure 3a also show the reduction of evoked gamma power in Tg+/Stim+ in day 14  
131 than Tg+/Stim-. The data were normalized by its pre-stimulus gamma band power and fold  
132 changes were analyzed. Exaggerated evoked gamma power was observed in Tg+/Stim+ in  
133 day 1 or Tg+/Stim- (Figure 2b, c). We performed repeated measure analysis of variance (RM  
134 ANOVA) for serial follow up with evoked or resting gamma power of Tg+/Stim+ at different  
135 time point. Tg+/Stim+ show statistically no significant reduction in evoked gamma power  
136 among day 1, 7 and 14 ( $p = 0.57$ ). Tg+/Stim+ significantly increased resting- spontaneous  
137 gamma power (RS-gamma power) in day 7 than day 1, day 14 than day 1 ( $p < 0.05$ ,  $p < 0.05$ ).

138

139 **Connectivity between frontal and parietal electroencephalography changed after 2**  
140 **weeks of acoustic stimulation at 40 Hz.**

141 We performed a two-way RM ANOVA for serial follow up with FP connectivity at  
142 different groups and time points. FP connectivity was calculated as coherence and phase  
143 locking value. Coherence is calculated at evoked gamma duration, and phase locking  
144 value(PLV) is calculated at RS gamma period. Figure 4a showed no statistically significant  
145 difference in coherence during gamma test of day 1, 7, and 14 ( $p = 0.108$ ). Tg+/Stim+  
146 showed slight decrement from day 1 to 7, and increment in day 14 ( $0.82 \pm 0.02$ ,  $0.73 \pm 0.03$ ,

147 0.76±0.03); on the other hand, Tg+/Stim- showed stable value on day 1, 7, and 14 (0.79±0.03,  
148 0.79±0.04, 0.78±0.03). Tg-/Stim- showed slight reduction in day 1, 7, or 14 (0.62±0.10,  
149 0.56±0.06, 0.57±0.09). Figure 4b showed no statistically significant difference of PLV.  
150 Tg+/Stim+ showed increment on day 1, 7, and 14 (0.5±0.1, 0.52±0.11, 0.59±0.07); on the  
151 other hand, Tg+/Stim- showed a serial reduction of resting spontaneous gamma phase locking  
152 value on day 1, 7, and 14 (0.63±0.03, 0.63±0.06, 0.43±0.15). Tg-/Stim- showed a slight  
153 change in phase locking value in day 1, 7, or 14 (0.65±0.05, 0.65±0.1, 0.68±0.08).

154 Phase-amplitude coupling (PAC) changes of the frontal and parietal region in Tg-  
155 /Stim-and Tg+/Stim+ in day 1, 14 are observed in Figure 4c, d. PAC patterns including high-  
156 gamma activity are analyzed. Top and bottom layer showed that grand-averaged cross-  
157 frequency PAC in Tg-/Stim- and Tg+/Stim+ in day 1, 14. PAC is performed as the analysis  
158 amplitude (0-160 Hz) and analysis phase (1-16 Hz) combination for F-phase / P-amplitude  
159 (top row) and P-phase / F-amplitude (bottom row). The emphasis is that there is low coupling  
160 between alpha-phase(F) and high gamma amplitude(P) in Tg+/Stim+ in day 1 (middle  
161 column) compared to the Tg-/Stim- (left column) and Tg+/Stim+ in day 14 (right column)  
162 (areas highlighted in red square). Delta-phase(P) and evoked gamma-amplitude(F) coupling  
163 is exaggerated (areas highlighted in red square) in Tg+/Stim+ in day 1 compared to the Tg-  
164 /Stim- and Tg+/Stim+ in day 14. Comparison of maximum PAC values which contain  
165 analysis amplitude (30-160 Hz) and alpha phase (8-13 Hz) combination for F-phase / P-  
166 amplitude is statistically significant, and comparison of maximum PAC values which contain  
167 analysis amplitude (30-160 Hz) and delta phase (1-4 Hz) combination for P-phase / F-  
168 amplitude is statistically significant between Tg+/Stim+ in day 1 and day 14 (Figure 4d,  $p <$   
169  $0.05$ ,  $p < 0.05$ ). Mainly coupled frequency of parietal phase with 40Hz frequency of frontal  
170 amplitude is high alpha(11-13 Hz) in Tg-/Stim-. That is delta (0-4 Hz) in Tg+/Stim+ in day 1,



171 and is shifted to theta (4-8 Hz).

172

173

174 **Discussion**

175           The AD is characterized by progressive deposition of A $\beta$  and tau protein, resulting in  
176 abnormalities of GBO and altered synchronization problems<sup>2,18,40</sup>. Our results showed that 2  
177 weeks of GBO entrainment by acoustic stimulation (AS) at 40Hz facilitated degradation of  
178 A $\beta$  plaque with microglia activation. The effects were shown in both PIL cortex and HC  
179 which are important areas of the brain for the cognitive function such as executive function  
180 and memory. We also found that the evoked GBO were decreased, whereas spontaneous  
181 GBO were increased through the stimulation period. Frontal gamma rhythms are coupled  
182 with parietal delta at baseline, but the coupling of frontal gamma shifted to parietal theta  
183 rhythms after acoustic stimulation.

184           The number of A $\beta$  plaques in PIL and HC reduced in Tg+/Stim+ than Tg+/Stim- with  
185 increased number of microglia. *Post hoc* pairwise comparisons of the number of microglia  
186 showed statistical significance only between acoustic stimulation group and wild type, but  
187 not between with and without acoustic stimulation. Tg+ mice without acoustic stimulation  
188 also showed slight increases of the microglia as a physiological response to increased A $\beta$ ,  
189 which might reduce the statistical significance. We also performed 2-minute ASSR tests at 40  
190 Hz in day 1, 7 and 14 to measure the evoked GBO responses, which may have caused partial  
191 acoustic stimulation effects.

192           AD has a strong genetic characteristics, and recent observation in genome technology  
193 report missense variants in triggering receptor expressed on myeloid cells-2 (TREM2) are  
194 correlated with a 2-4 fold increased risk of developing AD<sup>41,42</sup>. TREM2 is essential for A $\beta$ -  
195 related microglial responses in mouse models and human. Though the mechanistic basis for  
196 reduced microglia is unclear, TREM2 deficiency may cause reduction of microglial response  
197 with increased apoptosis, reduced proliferation, or infiltration of peripheral macrophages<sup>43</sup>.

198 TREM2 mediates early microglial response, and limits diffusion and toxicity of amyloid  
199 plaques<sup>44</sup>. Our results showed that acoustic stimulation at 40 Hz enhanced the microglial  
200 response and reduced A $\beta$  deposition. Further researches are warranted to determine whether  
201 the effects of acoustic stimulation is mediated by TREM2.

202 We compared our results with previous studies about the recovery of GBO<sup>26,39</sup>. In  
203 the study by Iaccarino *et al.* soluble and insoluble A $\beta$  decreased in the target region. Although  
204 the optogenetic stimulation and visual flickering methods differ from acoustic stimulation,  
205 the common denominator between the two stimuli are the evocation of GBO. In Tg+/Stim+  
206 and Tg+/Stim-, the level of soluble and insoluble A $\beta$  was higher than Tg-/Stim-. The level of  
207 soluble A $\beta$ -42 was significantly lower in Tg+/Stim+ than Tg+/Stim-, while the level of  
208 insoluble A $\beta$  was not statistically significant. The reason why the soluble A $\beta$  decrease  
209 significantly, and insoluble A $\beta$  decrease did not show the statistical difference in our results  
210 might be the cerebral amyloid angiopathy (CAA) involving capillaries in the progression of  
211 the AD<sup>8</sup>. Similarly, when insoluble A $\beta$  was degraded by immunotherapy via activated  
212 microglia, CAA might be aggravated. Consequently, inadequate drainage of soluble/insoluble  
213 A $\beta$  led to increased micro-hemorrhage and decreased survival outcome<sup>8,29-31</sup>.

214 Considering the characteristics of AD mice, in which A $\beta$  deposition is observed from  
215 the age of 2 months<sup>45</sup>, it is possible that drainage of insoluble A $\beta$  was not performed  
216 correctly with progressed CAA due to severe symptoms in 6-month-old mice<sup>46-49</sup> used in this  
217 experiment. Although insoluble A $\beta$  might have been degraded to soluble A $\beta$  by microglial  
218 activation, insoluble A $\beta$  may remain unaltered in case of progressed CAA<sup>8,29-31</sup>. On the other  
219 hand, because 3-month-old mice may not have progressed CAA, the drainage of A $\beta$  would  
220 have been intact and thus both soluble and insoluble A $\beta$  decreased in the study of Iaccarino *et*  
221 *al.*

222           These differences suggest that therapeutic methods through degradation of A $\beta$   
223   plaques in patients with progressed dementia should consider the timing of therapeutic point,  
224   and the drain pathway of soluble A $\beta$ . Aging is characterized by CSF compartment in brain  
225   parenchyma due to cerebral atrophy and decreased cerebrospinal fluid turnover<sup>50</sup>. Choroidal  
226   epithelium and ependyma degenerate in aging and also AD. 40% of patients with adult  
227   chronic hydrocephalus contain deposition of A $\beta$ <sup>51</sup>. With evidence of decreased CSF A $\beta$   
228   levels have been reported after an internal CSF shunt<sup>52</sup>, we suggest a novel combination  
229   method of creating CSF shunt for drainage pathway during 40 Hz AS to treat progressed AD  
230   patients.

231           Verrett *et al.* reported the restoration of the GBO after correcting the abnormalities of  
232   the VGSC of PV cell followed by the recovery of cognitive function and the reduction of  
233   mortality in the AD animal model<sup>36</sup>. In our study, we found that evoked GBO was reduced  
234   and the spontaneous GBO was increased, which might be neurophysiological evidence for  
235   the functional improvement after acoustic stimulation.

236           Altered N-methyl-D-aspartate receptors (NMDARs) leads to abnormal function of  
237   inhibitory GABAergic interneurons, especially those containing PV interneurons, and the  
238   ultimate result is disrupted inhibition of excitatory pyramidal neurons<sup>53,54</sup>. Considering  
239   excessive levels of glutamate result in neurotoxicity, NMDAR has been suggested to be a  
240   therapeutic target in neurodegenerative disease<sup>54</sup>. Because over-activation of NMDARs  
241   cause neurotoxicity, partial NMDAR antagonist (called memantine) blocks the NMDA  
242   glutamate receptors to normalize the glutamatergic system and improve cognitive and  
243   memory deficits<sup>55</sup>. NMDAR antagonist enhanced resting spontaneous broadband gamma  
244   activity<sup>56,57</sup>. We found that spontaneous GBO in Tg+/Stim+ mice which received daily  
245   acoustic stimulation for 2 weeks was increased at day 7 and 14. The increase in resting

246 spontaneous GBO is also reported in previous studies to show the therapeutic effectiveness.  
247 <sup>18,19,36</sup>.

248 We also found the overactivity of GBO evoked by acoustic stimulation in 5XFAD  
249 mice. These results are consistent with the previous studies. Measured activities of evoked  
250 gamma band power in AD patients was increased compared to the normal group <sup>23,40</sup>. These  
251 results are associated with studies that analyzed P50 using a double-click paradigm or  
252 auditory steady-state paradigm known as a measure of inhibition of the cortex <sup>21,22</sup>. Several  
253 studies using this paradigm in AD patients showed an increase in the amplitude of the second  
254 click sound <sup>21</sup>. Similarly, normal controls with a family history of the AD have similar results,  
255 suggesting that impaired cortical inhibition may occur very early in the course of AD disease  
256 <sup>58</sup>. It is notable that auditory stimulation and response play an essential role in the early  
257 diagnosis. A comparative study with patients with mild cognitive impairment (MCI), which is  
258 likely to progress AD, as well as patients with the AD, suggests that disorders with abnormal  
259 which GBO lead to cognitive impairment were reported <sup>40</sup>. Interestingly, after 14 days of  
260 acoustic stimulation, we found a reduction of evoked GBO in Tg+/Stim+ mice than the age-  
261 matched Tg+/Stim- mice.

262 Neural synchronization between the frontal and parietal electrodes in 5XFAD mice  
263 was analyzed. We only observed trends of increased coherence and decreased phase locking  
264 value in 5XFAD, but there was no statistical significance. Theoretically, there is a possibility  
265 that the coherence at the gamma frequency increase and the phase locking value decrease in  
266 the Tg+/Stim-. For the computation of coherence, both amplitude and frequency of the EEG  
267 are utilized. Thus, when the amplitude is large at a certain frequency, the weight becomes  
268 larger in the calculation process than other frequencies. Therefore, coherence increases when  
269 the acoustic stimulation-evoked gamma band power is increased. On the other hand, in the

270 case of the instantaneous PLV, it may be effective to observe the functional decrease of the  
271 connectivity because only the phase excluding amplitude and frequency is considered.  
272 Previous studies have been reported increased coherence in treated AD patients<sup>59,60</sup>, and to  
273 decrease PLV and global field synchronization in the progression of AD<sup>18-20</sup>. We showed  
274 time courses of the decreasing pattern of PLV in Tg+/Stim- mice in comparison with  
275 Tg+/Stim+ mice. Two weeks of acoustic stimulation might have contributed to a sustained  
276 pattern of PLV, whereas a progressive reduction of PLV was shown for the Tg+/Stim- mice.

277 Cross frequency phase amplitude coupling (CF-PAC) emphasized that there is lower  
278 coupling between alpha-phase(F, frontal) and high gamma amplitude(P, parietal) in  
279 Tg+/Stim+ in day 1 compared to wild type mice or Tg+/Stim+ in day 14. During cognitive  
280 processing, high gamma CF-PAC is important for effective inter-regional and local  
281 communication<sup>9,26</sup>. Alpha-phase high-gamma coupling in wild type mice was suggestive  
282 about effective bidirectional modulation from frontal to auditory areas. The reduction of PAC  
283 of Tg+ mice in day 1 implies that frontal top-down and parietal bottom-up control may be  
284 impaired. This result showed that attention resources could not be appropriately processed.  
285 The prefrontal associative cortex is responsible for what is called "top-down" attention, based  
286 on the relevance of current tasks to internal goals<sup>40,53,54</sup>. After 2 weeks of acoustic  
287 stimulation, PAC between alpha-gamma coupling is increased significantly to the level of  
288 wild type mice. Gamma amplitude (F) is coupled with alpha frequency (P) in wild type mice  
289 but Tg+ mice showed the strongest coupling between gamma (F) and delta (P). After 2 weeks  
290 of acoustic stimulation, the PAC is shifted from gamma (F) – delta (P) to gamma (F) – theta  
291 (P). Therefore, acoustic stimulation seemed to cause changes in cross-frequency coupling,  
292 which might reflect the improved information processing and cognitive function.

293 To our best knowledge, this is the first study to confirm the therapeutic effects of

294 acoustic stimulation for GBO entrainment in a mouse model of AD with pathological  
295 validation and serial changes of EEG characteristics including GBO, coherence, PLV and  
296 CF-PAC. We discovered that 2 weeks of acoustic stimulation at 40 Hz can modify the  
297 pathophysiology of A $\beta$  deposition in the cortex of 5XFAD mice, and restoration of GBO and  
298 cross-frequency coupling. Further research with acoustic intervention for AD is warranted.  
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301 **References**

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468 **Materials and methods**

469 **2.1 Animals**

470 Adult (5-month-old) male 5XFAD mice were prepared. The 5XFAD mouse model (Tg6799,  
471 Stock 6554) was purchased from Jackson Laboratory. This model is a double transgenic that  
472 overexpresses not only PS1 M146L and L286V but also APP Swedish, Florida and London  
473 mutations, which are under the control of the murine Thy-1-promoter. Swedish mutation  
474 produces higher levels of total A $\beta$ ; on the other hand, Florida, London, M146L, and L286V  
475 mutations characteristically increase the production of A $\beta_{x-42}$ . Mice of the B6SJLF1 / J  
476 background strain have high APP expression correlated with accelerated accumulation of  
477 A $\beta_{x-42}$  in comparison to A $\beta_{x-40}$ . 21 males were used in this experiment, and all mice were  
478 handled with Gwangju Institute Science and Technology (GIST) Laboratory Animal  
479 Resource Center (LARC) guidelines for animal care. Mice were bred and kept in a 12/12  
480 hour light-dark cycle (light on at 9 a.m.) a temperature and humidity controlled room at 20°C  
481  $\pm$  2°C, 55% $\pm$ 5%. Water and food were freely available *ad libitum*. All animal procedures  
482 have been approved by the ethics committee of GIST LARC which fulfilled with Association  
483 for Assessment and Accreditation of Laboratory Animal Care International guidelines. 15  
484 males were 5XFAD mice, and 6 males were their wild-type littermates. 3 of 15 5XFAD males  
485 underwent behavioral tests before sound stimulation and after 2 weeks of sound stimulation.  
486 12 of 15 5XFAD males were randomly separated into 2 groups. Group 1 [Tg(+)(ASSR(+))  
487 consisted of 7 mice stimulated for 2 hours per day for 2 weeks. Group 2 [Tg(+)(ASSR(-))  
488 consisted of 5 mice and group 3 [wild-type littermates] consisted of 6 mice that simply  
489 received gamma test at day 0, 7, and 14.

490

491 **2.2 Surgical preparation, electrode implantation**

492 The animal was anesthetized with 4% isoflurane and maintained with 0.5 to 1.5% isoflurane  
493 in a stereotaxic frame. 0.1mg/kg of ketofen was injected subcutaneously before surgery to  
494 control pain. The blanket was used to maintain body temperature. Mouse eye was covered  
495 with protecting ointment. After shaving incision line, incision of the midline using scalpel  
496 and the cranium was exposed, five holes of 0.8mm were drilled over the frontal (AP: 1.0 mm,  
497 ML: 1.0 mm), parietal (AP: -3.5 mm, ML: 1.0 mm), ground (AP: -2.0 mm, ML: 1.5 mm),  
498 anchor (AP: -2.0 mm, ML: -1.5 mm), and reference (AP: -5.3 mm, ML: 0.0 mm). EEG  
499 electrodes of screw type were inserted at a depth that would hardly touch or touch the brain in  
500 drilled holes, and EMG electrodes were inserted in neck muscles. 1x2mm screws were  
501 inserted as an anchor for a metal holder that was cemented to the skull with dental cement.  
502 The screws with wire were fixed with dental cement, and after the cement had completely  
503 hardened, the remnant parts of wires and the connector (PINNACLE Technology Inc, Oregon,  
504 US) were fixed on the vertex of the skull by soldering and dental cement. In the end, the  
505 simple suture was performed after wound irrigation. Animals were then housed in a cage for  
506 more than 1 week for postoperative recovery.

507

### 508 **2.3 In Vivo EEG/EMG Recordings**

509 Continuous EEG/EMG (8200-K1-SL amplifier; Pinnacle Technology) were recorded before,  
510 during, and after 40 Hz auditory stimulation. We were careful about circadian timing and  
511 performed 2-hour auditory stimulation and recorded EEG from 22:00 (ZT 13) to 00:00 (ZT  
512 15). This ensured that the animals were in their physiologic activity, and reduced the period  
513 of falling asleep during a stimulation session. Sleep was monitored with EEG/EMG signals.  
514 The EEG/EMG signal (2 KHz sampling, 100 Hz lowpass filtered; Pinnacle PAL8200/Sirenia  
515 software) response to auditory stimulation was recorded using WinWCP software



516 (Strathclyde Institute of Pharmacy and Biomedical Sciences).

517

#### 518 **2.4 Auditory stimulus**

519 Auditory clicks (20 rectangular pulses of 10ms duration per 500ms, 40Hz) per sec were  
520 generated by custom-built programs under WinWCP (Strathclyde Institute of Pharmacy and  
521 Biomedical Sciences) condition and delivered via a speaker, which created the sound pressure  
522 of 90dB in the soundproof room. There was a stimulation time of 500ms and a resting time of  
523 700ms with a cycle of 1.2 seconds. The resting time consisted of the system time for the next  
524 recording of 200ms and the recording time, which is consisted of 250ms before stimulation  
525 and 250ms after stimulation. Recording and click trains were 1 cycle/sec, and each train had  
526 200ms interval. In the end, the mice received 6000 cycles of the train for 2 hours every day.  
527 We performed gamma tests at 0, 7, 14 days of an experiment to check the gamma response  
528 between the groups. The gamma test is repeated 200 cycles of the above 1.2 seconds cycle,  
529 which takes a total of 4 minutes.

530

#### 531 **2.5 EEG Data Analysis**

532 Offline processing was performed in the customized code for Matlab. Wavelet transformation  
533 (WT; continuous wavelet from Matlab Wavelet Toolbox; frequencies represented from 1 to  
534 100 Hz) was performed. WT power was measured from 38 to 42 Hz gamma band.  
535 We calculated magnitude-squared coherence between frontal and parietal epidural electrode.  
536 Using mscohere function in MatLab, we obtained sequences frontal and parietal EEG before  
537 and after stimulation for inspecting connectivity changes between frontal and parietal region.  
538 We also calculated phase locking value (PLV). For calculation of PLVs, the length of the time  
539 window that is required to obtain instantaneous phase information should be determined. We

540 defined this window at resting spontaneous (pre-stimulation) period into 230ms lengths and  
541 stimulation period into 460ms lengths. Before the Hilbert transform, the frequency of interest  
542 should be determined. Local field potentials were filtered using a second order bandpass  
543 digital Butterworth filter with a higher and lower cut off adjusted to 2 Hz above and below  
544 the stimulus frequency. For example, lower and upper corner frequencies of 38 and 42 Hz  
545 respectively were used for the 40-Hz stimulus. PLV is defined as an average of the  
546 differences of instantaneous phase between the two signals. The PLVs have a range of values  
547 from 0 to 1, that indicates no phase locking to complete phase locking. Averaged evoked  
548 power, frontal-parietal coherence, phase-locking data were quantified. Gamma band wavelet  
549 power expressed evoked data relative to the resting spontaneous(pre-stimulus) data or resting  
550 spontaneous data itself.

551 Cross-frequency coupling (CFC) between frontal and parietal electrode was evaluated using  
552 the PAC values. We calculated the coherence between a low-frequency signal and the time-  
553 course of the power at the higher frequency. Signals containing phase was at 1 to 16 Hz, and  
554 signals containing amplitude was at 30 to 160 Hz. PAC values were calculated for each  
555 frequency pair for LFP recorded during auditory stimulation. The PAC analysis was  
556 conducted on the 60 Hz notch- filtered EEG data. To generate color-maps shown in the figure,  
557 we use grand averaged EEG in a particular group (Tg-/Stim-, Tg+/Stim- and Tg+/Stim+). To  
558 calculate spectrograms of EEG activity averaged at low-frequency phase troughs with the  
559 highest PAC, signals were first filtered in the delta band using a narrow band-pass filter (a  
560 symmetrical finite impulse response filter with a specific nesting frequency within the delta  
561 frequency range [1-4 Hz]), and then amplitude troughs were identified from the filtered  
562 signals. Using Brainstorm software, a time-frequency decomposition was performed to obtain  
563 the power of all averaged time-frequency plots. Alpha (8-13 Hz) phase and gamma (40-160

564 Hz) amplitude cross-frequency coupling were investigated based on papers that the phase of  
565 lower frequency oscillations modulate the amplitude of gamma oscillations<sup>61,62</sup>. The  
566 maximum PAC values of these frequency ranges were analyzed among Tg-/Stim-, Tg+/Stim+  
567 in day 1 and 14.

568

## 569 **2.6 Immunoassay and immunohistochemistry**

### 570 **Mouse brain homogenization**

571 Mice were deeply anesthetized by intraperitoneal injection of Zoletil (Virbac, SA, Carros,  
572 France), and then transcardiacally perfused with filtered PBS. Brains were removed,  
573 dissected, frozen in dry-ice cold isopentane (Sigma-Aldrich, St. Louis, MO, USA), and stored  
574 at -80 °C until use. Medial prefrontal cortex (mPFC) was extracted as 1:4 (4 µl/mg of brain)  
575 of ice-cold TBS extraction buffer (50 mM Tris-buffered saline, pH 7.6) containing complete  
576 protease inhibitor cocktail (Roche Diagnostics) using a tissue grinder with Teflon pestle on  
577 ice. The homogenates were centrifuged at a speed of 200,000 g for 20 min at 4°C (Beckman  
578 Optima TL Ultracentrifuge, TLA100.4 rotor). The supernatants (TBS-soluble fraction) were  
579 kept at -80 °C before the assay. After adding 4 volumes (based on initial hemisphere weight)  
580 of 6M GuHCl solution (6M GuHCl, 50 mM Tris-HCl, protease inhibitor cocktail, pH 7.6),  
581 samples were incubated in 6M GuHCl solution for 1 hr at 25°C and finally centrifuged at a  
582 speed 200,000 g for 20 min at 4°C to extract GuHCl-soluble fraction.

583

### 584 **Sandwich Enzyme linked immunesorbent assay (ELISA)**

585 Levels of amyloid beta 1-40 and 1-42 in the brain extracts were assayed with sandwich  
586 ELISA kit (#KHB3481 for amyloid beta 1-40, #KHB3441 for amyloid beta 1-42, Thermo  
587 Scientific) according to the manufacturer's guidance. Optical densities (at 450 nm) were

588 measured by Tecan Infinite F50 microplate reader (TECAN, Austria). Assay sensitivity was  
589 <6 pg/ml for amyloid beta 1-40 and <10 pg/ml for amyloid beta 1-42.

590

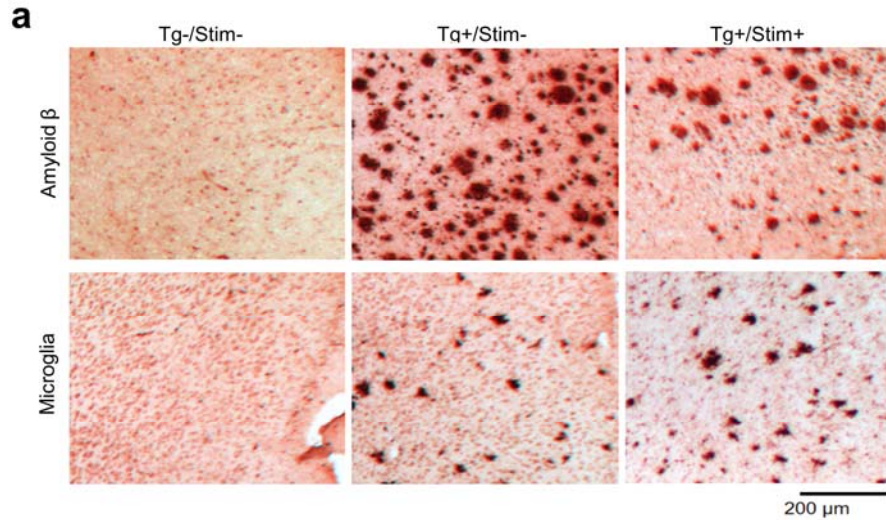
### 591 **Immunohistochemistry**

592 Brains were fixed in 4% paraformaldehyde solution for overnight, and then placed in 30%  
593 sucrose solution at 4 °C until they sink. Serial coronal sections (30- $\mu$ m thickness) of the  
594 whole brain were obtained and were stored in cryoprotectant solution (30% RNase free  
595 sucrose, 30% ethylene glycol, and 1% polyvinyl pyrrolidone in 100 mM, pH 7.4) at -20 °C.  
596 All immunohistochemical stainings were executed using the free-floating method as  
597 described below. Samples were incubated in 70% formic acid solution for 10 min, washed,  
598 and then incubated in 1% H<sub>2</sub>O<sub>2</sub> in 0.1 M PBS (pH 7.4) for 10 min to block endogenous  
599 peroxidase activity. Tissues were reacted in a buffer containing 0.2% Triton X-100 (PBS-T),  
600 10% normal goat serum (NGS) for 1 h. Sections were then incubated for 3 days at 4°C with a  
601 mouse anti-amyloid beta 1-42 (1:1,000; Biolegend) or rabbit anti-iba-1 antibody (1:1000;  
602 Wako Pure Chemical Industries, Osaka, Japan, #019-19741). After washing, they were  
603 incubated with biotinylated goat anti-mouse IgG (1:1,000; Vector Laboratories, Burlingame,  
604 CA, USA) or biotinylated goat anti-rabbit IgG (1:1,000; Vector Laboratories) for 12–24 h at  
605 4 °C. After several rinses with PBS-T, the sections were incubated in an avidin–biotin–  
606 peroxidase complex (1:250; Vector Laboratories) for 1 h. After rinsing, sections were  
607 incubated for 10 min in 0.05% (w/v) diaminobenzidine solution containing 0.3% H<sub>2</sub>O<sub>2</sub>.  
608 The sections were then washed  
609 with PBS, mounted on glass slides, dried overnight, dehydrated, and cover-slipped under  
610 Permount (Fisher Scientific, Fair Lawn, NJ, USA). Images showing immunoreactivities were  
611 captured using a ProGress C14 camera (Jenoptik, Jena, Germany) mounted on a Zeiss

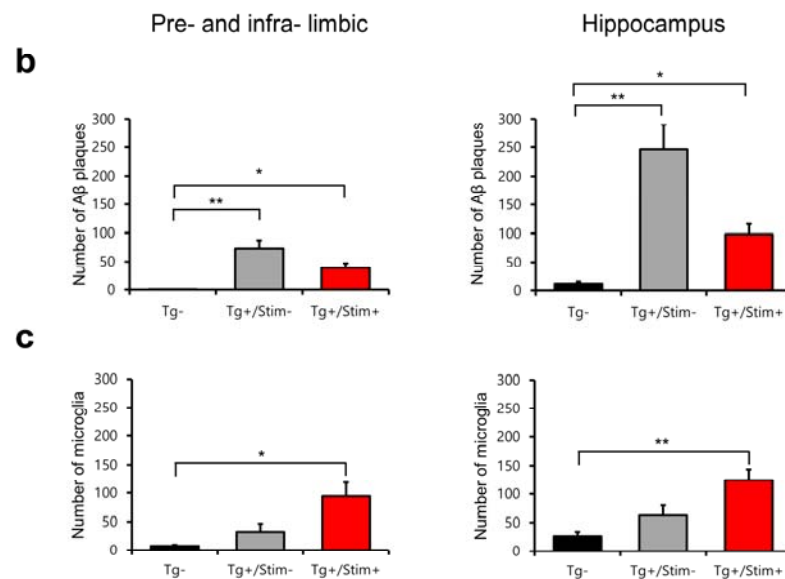
612 axioscope microscope (Zeiss, Germany).

## 613 **2.7 Statistics**

614 Normal distribution of data was validated with Kolmogorov-Smirnov test and Shapiro-Wilk  
615 test. For group comparison at a separate time point, we used the one-way ANOVA followed  
616 by the Bonferroni multiple comparisons for normally distributed data and Kruskal-Walis and  
617 Mann-Whitney Rank Sum Test for non-normally distributed data. Serial data was analyzed  
618 with repeated measure analysis of variance (RM ANOVA). The interaction between variables  
619 was also calculated. All data are shown as an average  $\pm$  standard error of the means (S.E.M).  
620 The statistical significance levels are indicated by one or two asterisks in figures (\* $p < 0.05$ ,  
621 \*\* $p < 0.01$ ).



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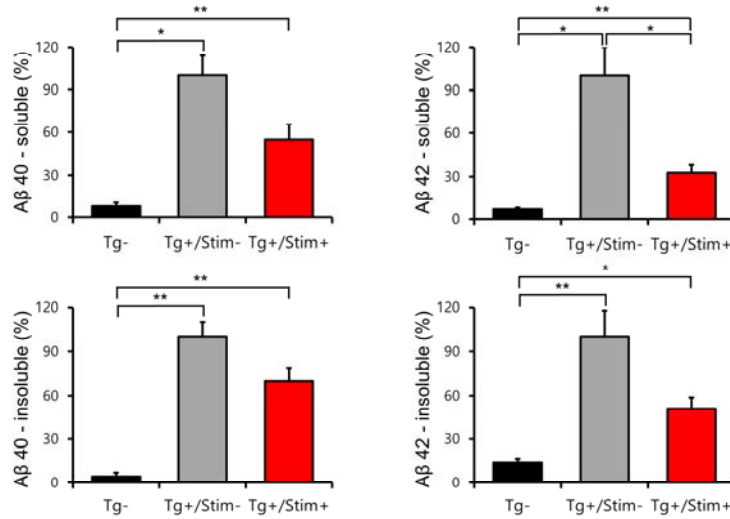


635

636 **Fig. 1 Reduced number of amyloid beta (Aβ) plaques in 5XFAD mice after acoustic stimulation at 40**  
637 **Hz. a** Representative histologic findings with the immunohistochemistry for Aβ (*upper row*) and  
638 *microglia (bottom row)* in the pre- and infra-limbic cortex. **b, c** Quantification of the number of Aβ  
639 plaques and microglia in the pre- and infra-limbic cortex and hippocampus, respectively. Black, red,  
640 and gray bars indicate Tg-, Tg+/Stim+, and Tg+/Stim-, respectively. \* $p < 0.05$ , \*\* $p < 0.01$  Mann-  
641 Whitney test following Kruskal-Wallis test or Dunnett T3, Bonferroni(equal variance assumed) *post-*  
642 *hoc* test following ANOVA test.

643

634



635

636 **Fig. 2 Reduced soluble Aβ40 and Aβ42 levels in pre- and infra-limbic after acoustic stimulation at 40**  
637 **Hz. Black, red, and gray bars indicate Tg-, Tg+/Stim+, and Tg+/Stim-, respectively. \*p < 0.05, \*\*p <**  
638 **0.01 Mann-Whitney test following Kruskal-Wallis test or *post-hoc* Dunnett T3 test following ANOVA**  
639 **test with welch correction.**

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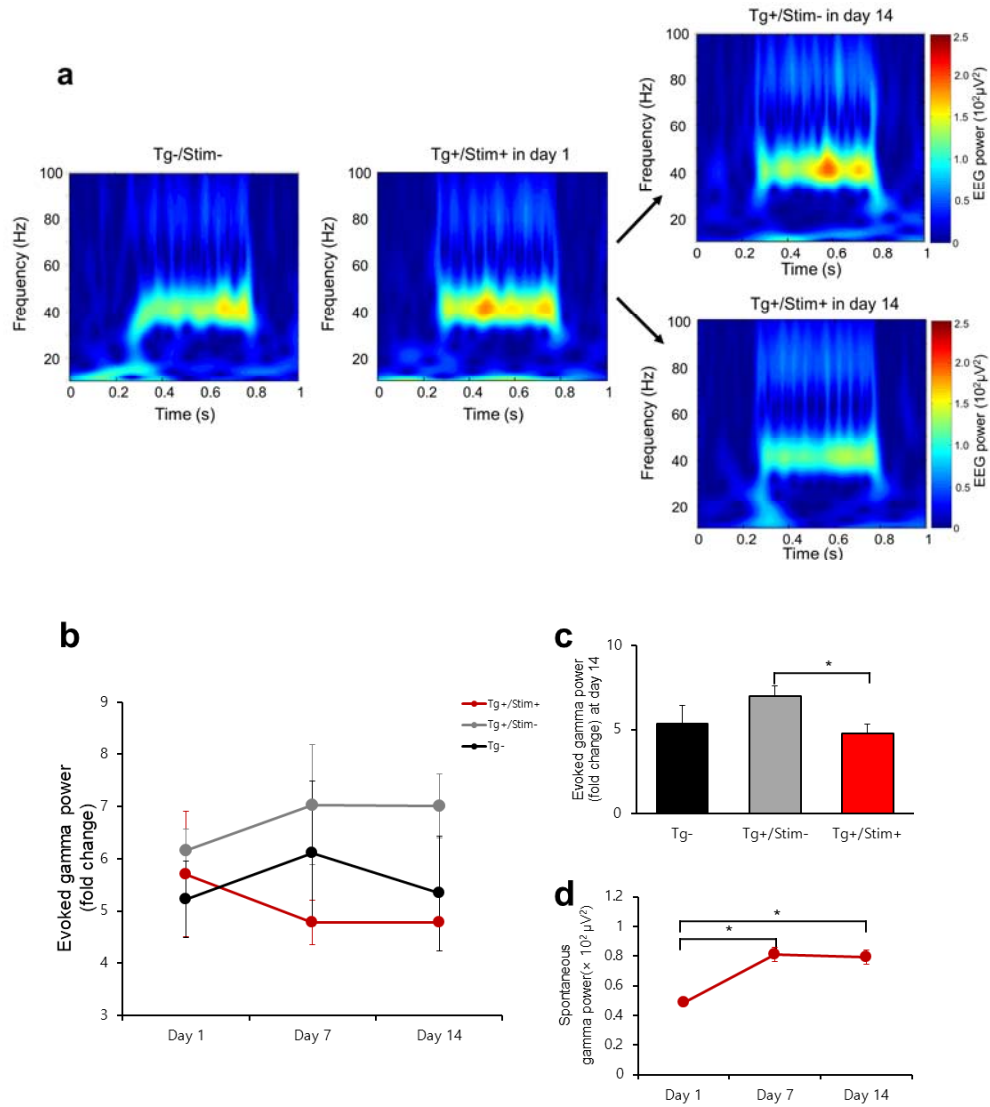
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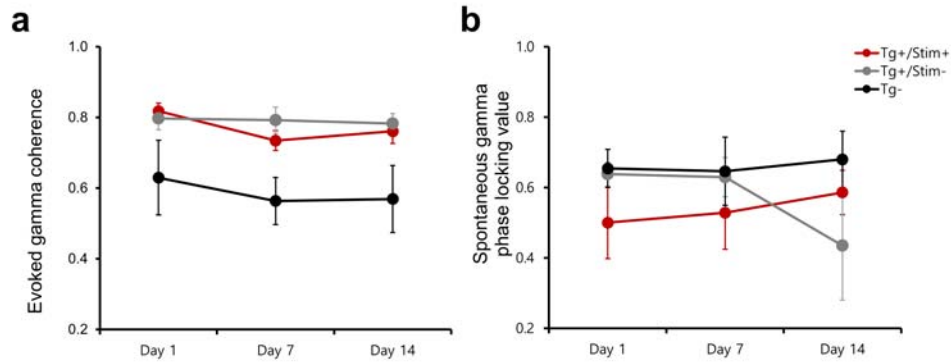
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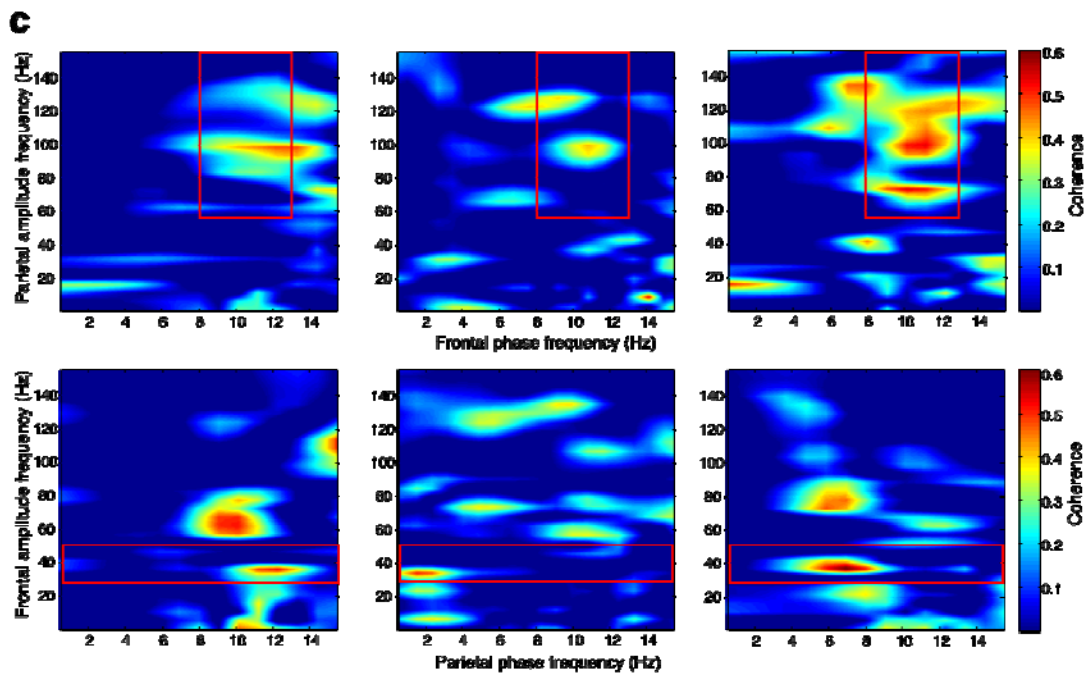
636 **Fig. 3 Evoked and spontaneous gamma power in electroencephalography changed after 2 weeks of**  
 637 **acoustic stimulation at 40 Hz. a** Time-frequency analysis of the grand averaged EEG data from the  
 638 auditory steady-state response (ASSR) at 40Hz using the wavelet transformation. **b** Time course of  
 639 the evoked gamma power in Tg-, Tg+/Stim-, and Tg+/Stim+ groups at the stimulation day 1, 7, and 14.  
 640 Tg+/Stim+ was not statistically significant by repeated measure ANOVA. **c** The evoked gamma power  
 641 at day 14 in Tg-, Tg+/Stim-, and Tg+/Stim+ groups, depicted as black, gray and red bars, respectively.  
 642 There is statistical difference between Tg+/Stim- and Tg+/Stim+. \* $P < 0.05$  by Mann-Whitney test. **d**  
 643 Time course of resting gamma power in Tg+/Stim+ group. \* $P < 0.05$  by Bonferroni correction following  
 644 repeated measure ANOVA.



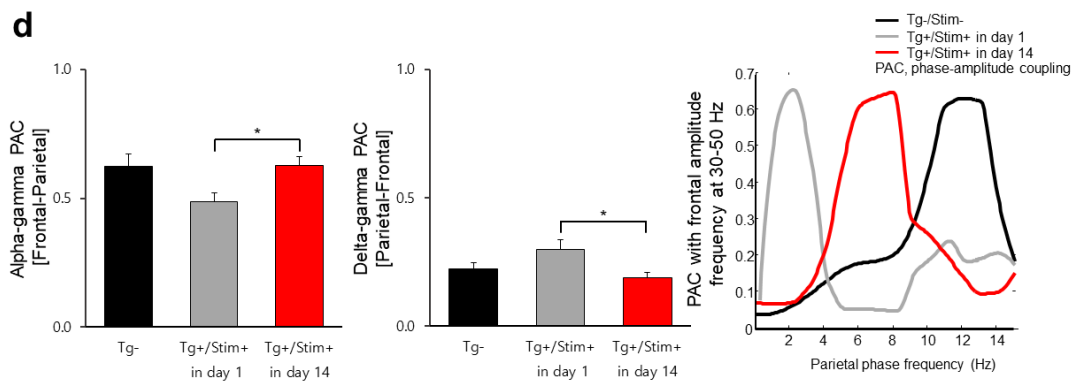
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634 **Fig. 4 Connectivity between frontal and parietal electroencephalography changed after 2 weeks of**  
635 **acoustic stimulation at 40 Hz.**

636 **a, b** Time course of the evoked gamma coherence and the resting gamma phase locking value. Tg-,  
637 Tg+/Stim-, and Tg+/Stim+ groups were depicted as black, gray and red circles and lines, respectively.  
638 Tg+/Stim+ was not statistically significant by repeated measure ANOVA. **c** Cross-frequency phase-  
639 amplitude coupling (PAC) of the frontal and parietal grand-averaged EEG during the auditory steady-  
640 state response tests. Parietal amplitude frequency and frontal phase frequency coupling (*upper row*)  
641 and frontal amplitude frequency and parietal phase frequency coupling (*bottom row*) were plotted. The  
642 color bar indicates coherence (ranging from 0 to 1), with no coherence (0) to perfect coherence (1).  
643 Red rectangles represent the area of interest (ROI). **d** Quantification of the maximal PAC values in the  
644 ROIs. Black, gray and red bars represent Tg-, Tg+/Stim+ at baseline, and Tg+/Stim+ at day 14,  
645 respectively. \* $P < 0.05$  by *post-hoc* Bonferroni test following ANOVA.

646