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# **FastqCleaner: an interactive Bioconductor application for quality-**

2 control, filtering and trimming of FASTO files

3

4 Leandro Gabriel Roser<sup>\*1</sup>, Fernán Agüero<sup>1</sup> and Daniel Oscar Sánchez<sup>\*1</sup>

### 5

# 6 Abstract

## 7 Background

8 Exploration and processing of FASTQ files are the first steps in state-of-the-art data analysis workflows

9 of Next Generation Sequencing (NGS) platforms. The large amount of data generated by these

10 technologies has put a challenge in terms of rapid analysis and visualization of sequencing information.

11 Recent integration of the R data analysis platform with web visual frameworks has stimulated the

12 development of user-friendly, powerful, and dynamic NGS data analysis applications.

### 13 Results

This paper presents *FastqCleaner*, a Bioconductor visual application for both quality-control (QC) and pre-processing of FASTQ files. The interface shows diagnostic information for the input and output data and allows to select a series of filtering and trimming operations in an interactive framework. *FastqCleaner* combines the technology of Bioconductor for NGS data analysis with the data visualization advantages of a web environment.

### 19 Conclusions

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*FastqCleaner* is an user-friendly, offline-capable tool that enables access to advanced Bioconductor infrastructure. The novel concept of a Bioconductor interactive application that can be used without the need for programming skills, makes *FastqCleaner* a valuable resource for NGS data analysis.

24 Keywords

Bioconductor, FASTQ, Next generation sequencing, R, Shiny, User-friendly tool, Visualization, Webapp

27

### 28 Background

The advent of Next Generation Sequencing (NGS) technologies has revolutionized genomics, transcriptomics and epigenomics research [1, 2]. The large amount of genetic information produced by these instruments requires suitable data handling and exploration methods. For most common platforms, FASTQ files are the raw starting material for subsequent analyses. A portion of the reads can include adapters or contaminants, the quality of the sequences becomes generally lower towards the end of the reads, and ambiguous base calls may be present. The correction of these and other artifacts are important steps that should be performed before using sequencing reads for mapping or assembly.

Bioconductor [3] is a widely used repository based on the R [4] programming language, containing tools devoted to the analysis of high-throughput genomic data. The massive use of these tools is, however, limited by the learning curve that users need to go through to work with customized code routines. Recently, R integration with web tools, in particular JavaScript APIs, has dramatically increased the potential of R to produce more interactive and dynamic experiences of data analysis. This integration is promissory to promote the adoption of R by many researchers for whom learning a programming language has proven to be a prohibitive investment of time and effort.

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43 Here we present *FastqCleaner*, an R package with an offline-capable web application for QC,

44 trimming and filtering of FASTQ files. The tool combines Bioconductor libraries for data analysis and

- 45 the dynamism of a web application for data visualization.
- 46

### 47 Implementation

### 48 Application overview

- 49 *FastqCleaner* offers the following features:
- 50 1) Implementation of a local, offline-capable and user-friendly web interface
- 51 2) Processing of Single-Read (SR) and Paired-End (PE) files
- 52 3) Dynamic analysis of the input and output files, for customizable sampling size of reads
- 53 4) Interactive, dynamical exploration and visualization of the data, using cutting-edge technology based
- 54 on JavaScript and CSS3
- 55 5) Cross-platform (running in Linux, Mac-OSX and Windows)
- 56 6) Open source, under GNU GPL ( $\geq 2$ ) license
- 57

### 58 **Program architecture**

59 FastqCleaner was developed in R and is distributed as an R package. Data processing is controlled via

60 R functions, that can be also accessed as normal functions from the R console (Additional file 1). These

61 programs make extensive use of the Bioconductor packages *IRanges* [5], *Biostrings* [6] and *ShortRead* 

62 [7]. For speed improvement of the routines, C++ code was implemented in R using the *Rcpp* API [8].

63 The web interface included in the package was developed with *Shiny* [9], using JavaScript code written

64 via the *jQuery* API, and CSS3.

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### 66 **Design**

FastaCleaner takes compressed or uncompressed SR or PE files as input (Fig. 1). It accepts files with 67 qualities in both Phred+33 and Phred+64 encoding, detecting Sanger, Solexa and Illumina 1.3+, 1.5+, 68 69 and > 1.8+ formats. Input files can be processed through a set of independent filters based on either one 70 of the following two principles: 1) Remotion of a subset of reads that do not meet a given criterion. This group of filters can remove: a) reads with unknown bases (Ns), b) low complexity sequences, c) 71 duplicated reads, d) reads with length below a threshold quality value, and e) reads with an average 72 73 quality below a threshold value. 2) Trimming of individual reads. This group of filters can trim: a) full 74 and partial adapters, b) 5' regions below a predefined quality threshold, and c) 3' or 5' regions for a 75 fixed nucleotide length. The adapter trimming algorithm extends the methodology of the trimLRPatterns function of Biostrings, designed to trim on the flanks of reads. For this purpose, 76 77 FastqCleaner includes the adapter filter function, a wrapper of Biostrings matching tools. The function is able to trim both adapters present on the flanks or within reads (Fig. 2). Several parameters 78 79 can be passed to modify the behavior of the tool. These parameters allow, for example, to select a 80 different threshold for the number of mismatches, to take into account the presence of indels, etc.

For SR files, *FastqCleaner* sequentially processes a block of reads and writes the resulting postprocessed block into the corresponding output file. For PE files, the program uses in each cycle a twostep procedure: first, a block of forward and another of reverse reads are separately processed as in the SR case, and then only those reads present in both post-processed blocks are written into the corresponding output files.

86

### 87 Availability

88 The application and a tutorial are available in Bioconductor at

89 http://bioconductor.org/packages/FastqCleaner/

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### 91 Installation

- 92 The application can be installed following the instructions detailed at http://bioconductor.org/packages/
- 93 FastqCleaner/
- 94

### 95 Launching the application

96 The application can be launched with the following commands in the R console:

```
97 > library("FastqCleaner")
```

 $98 > launch_fqc()$ 

99

100 Optionally, when the application is used in RStudio (versions 0.99.878 or higher), a button that allows

101 the direct launch of the application with a single click can be found in the addins menu (Fig. 3).

102

### 103 **Results and Discussion**

104 The web interface with its three main tabs is described in Fig. 4. The first tab (Fig. 4A) shows the fileselection menu, the available filters, and the run/reset buttons. The selection of files and filters 105 106 represents the starting point in the *FastaCleaner* workflow. The second tab (Fig. 4B) shows the 107 sequential operations performed on reads after processing. This information consists in the names of 108 the input and output files, and a summary of informative statistics of the reads that passed the filter. The 109 third tab (Figs. 4C, D) shows tables and interactive plots for data diagnostic. Plots can be constructed 110 for both input (original data) and output (post-processed) files. A table with the most frequent k-mers can also be visualized. 111

112 A comparison of the package with other applications is shown in Table 1. Benchmarking results 113 indicated an excellent performance of *FastqCleaner* in comparison with other pre-processing tools in 114 terms of elapsed time. Analysis of SR pre-processing (Fig. 5) showed that these tools can be divided 115 into three groups, in function of significant differences observed in processing speed for routine operations (Tukey HSD test, p < 0.001 for all the three pairwise comparisons). The slowest were 116 117 cutadapt and FASTX-Toolkit (group 1), while AdapterRemoval and Trimmomatic (group 2) were the fastest. FastqCleaner showed an intermediate performance, comparable to Skewer and FLEXBAR 118 (group 3). In PE mode, benchmarking of PE pre-processing operations (Fig. 6) showed that 119 FastaCleaner significantly outperforms all other tools for routine operations (Tukey HSD test, p < p120 121 0.001 for pairwise comparisons of *FastqCleaner* vs other individual applications).

122

### 123 Conclusions

124 FastqCleaner is a tool with a rich and interactive cutting-edge graphical interface for pre-processing 125 and exploration of SR and PE FASTO files. Comparison with other available programs in a typical preprocessing scenario of adapter trimming and length filtering, showed an excellent performance of the 126 127 application for both SR and PE real datasets. The application is made available as an open source license. Coding experience is not required for its use, and is therefore particularly useful for users who 128 are unfamiliar with R programming. Furthermore, all processing happens locally in the user's computer 129 (even if the computer is disconnected from the network), making *FastqCleaner* amenable to run in 130 131 environments where data confidentiality prevents uploading of files to the cloud.

In essence, *FastqCleaner*'s dual capability facilitates both access to the underlying state-of-art Bioconductor infrastructure and to dynamic graphical visualizations in a 100% client-side friendly web environment. This makes *FastqCleaner* a novel technological advance for the analysis of Next Generation Sequencing data.

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## 137 Methods

138 In order to assess the performance of *FastqCleaner*, we have compared the package with other available pre-processing tools in benchmark tests: AdapterRemoval 2.2.2 [10], cutadapt 1.14 [11], 139 FASTX-Toolkit 0.0.13 [12], FLEXBAR 3.0.3 [13], Skewer 0.2.2 [14] and Trimmomatic 0.36 [15]. The 140 141 tests (Additional file 2) were conducted for adapter removal and length filtering using SR and PE files, 142 with 22 replicates of each tests for statistical analysis of performance. Processing conditions were 143 standardized by disabling compression of output files and using a single thread. In addition, preprocessing in *FastqCleaner* was performed using a chunk size of 10,000 reads per cycle. For SR 144 145 processing, we downloaded from SRA the dataset SRR014966, with 14.3 M reads of 36 bp. For PE 146 processing, we downloaded the dataset SRR330569 with 27 M reads of 101 bp. Benchmark tests were conducted in R using a laptop with Linux, a 2.20GHz Intel Core i7 CPU and 16GB of 1600MHz RAM 147 (Additional file 2). 148

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### 150 Additional files

- 151 Additional file 1: PDF version of the online tutorial.
- 152 Additional file 2: R script used in this work for benchmark testing.
- 153 Additional file 3: Source code of *FastqCleaner* (zip file)

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### 155 List of abbreviations

- 156 NGS: Next Generation Sequencing
- 157 SR: Single-Reads
- 158 PE: Paired-End Reads

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### 159

## 160 Availability and requirements

- 161 **Project name:** FastqCleaner
- 162 Project home page: http://bioconductor.org/packages/FastqCleaner/
- 163 **Operating system(s):** Platform independent
- 164 Programming language: R, C++, HTML, JavaScript, CSS3
- 165 **License:** GNU GPL (>= 2)

166

- 167 **Declarations**
- 168 Ethics approval and consent to participate
- 169 Not applicable.
- 170
- 171 Consent for publication
- 172 Not applicable.
- 173

### 174 Availability of data and materials

- 175 FastqCleaner is freely available from its Bioconductor home page at http://bioconductor.org/packages/
- 176 FastqCleaner/ under a GNU GPL (>= 2) license. FastqCleaner can be launched on any system that has
- 177 R installed. An online tutorial is available at the package home page. A PDF version of this tutorial is
- 178 included as supplemental material (Additional file 1).

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180 Competing interests

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- 181 The authors declare that they have no competing interests.
- 182
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- 185 2014-0879 to DOS).
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### 187 Authors' contributions

- 188 LGR designed and developed the R package. FA contributed to the improvement of the original design.
- 189 LGR, FA and DOS wrote the manuscript and tested the package. DOS and FA supervised the project.
- 190 All authors read and approved the final manuscript.
- 191

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### 196 **Captions to Figures**

**Fig. 1** Graphical representation of a typical workflow with *FastqCleaner*, showing the initial selection of FASTQ file(s), processing, and generation of output(s). Diagnostic interactive plots can be constructed for both input and output files. Circular arrows indicate halfway points in the workflow, where different configurations can be selected to re-run the program from there.

201

Fig. 2 Examples for adapter trimming. Pictures show the relative position of an adapter and a read, and the expected result after processing with the *adapter\_filter* function of *FastqCleaner*. Dotted lines indicate the portion of the read that will be removed. Arrows show the direction along the read used for biolRxivopeepiintofoist ploste/dionting 'Aug106/320118;01/ohis tversion.cpoisted /Aug1081/28)320118. Theocopyyight Hould ter foort this spreepiint ((which weas not certified by peer reviewe is elviewe audit) of the levit word in a degrantise dhaid Raivaid alber used or display Bthe Diep fürit terpetpetality certificence available under a CC-BY-ND 4.0 International license.

205	the program to seek for matches. If one or more matches are found, the function trims the longest
206	subsequence, that contains the matching region plus the rest of the read, in the corresponding trimming
207	direction. A: partial adapter on the right + right-trimming of anchored adapter. B: partial adapter on the
208	left + left-trimming of anchored adapter. C: partial adapter within read + right-trimming. D,E: full
209	match between an adapter and a portion of the read + left- $(D)$ or right- $(E)$ trimming. F: multiple
210	matches for a same adapter + left-trimming.
211	
212	Fig. 3 RStudio addins menu, showing the button to launch the <i>FastqCleaner</i> application.
213	
214	Fig. 4 Web interface of the <i>FastqCleaner</i> application. A: first tab, showing an example where a file and
215	a filter are selected. B: second tab, showing the processes performed after running the program. C: third
216	tab, showing the analysis of the data, in this case for the input FASTQ file. The plot shows the base
217	composition of the sequences. D: fourth tab, showing a table with the frequency and the sequence of
218	each duplicated read.
219	
220	Fig. 5 Boxplots for elapsed time (in seconds) for SR adapter trimming and read length filtering.
221	
222	Fig. 6 Boxplots for elapsed time (in seconds) for PE adapter trimming and read length filtering.
223	FASTX-Toolkit is not capable to pre-process PE reads, and hence it is not shown in the plot.
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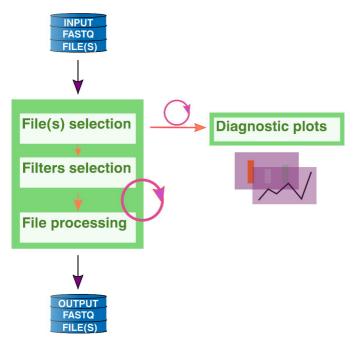
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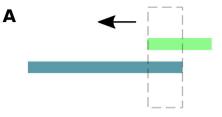
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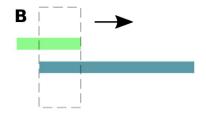
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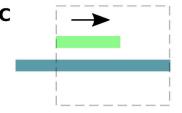
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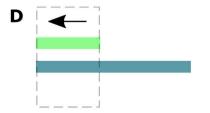
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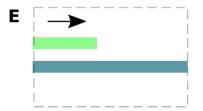


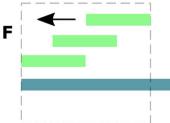












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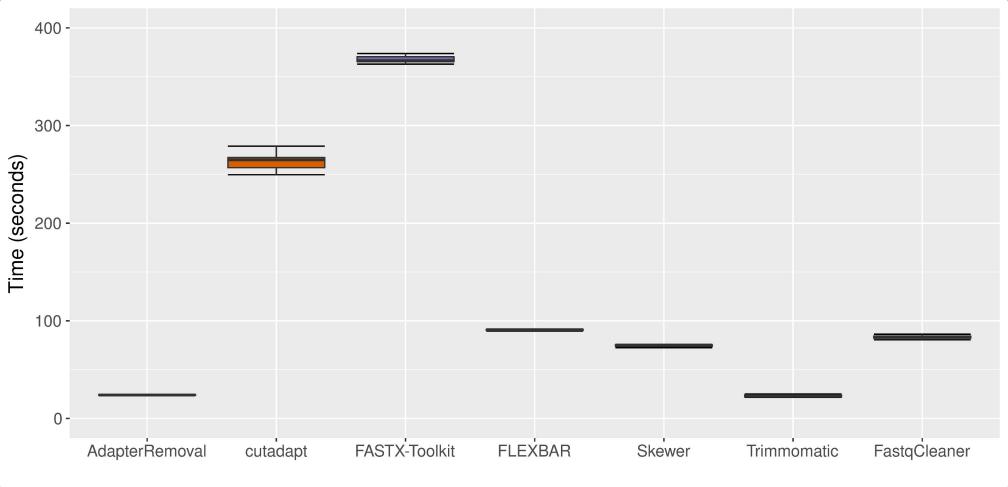
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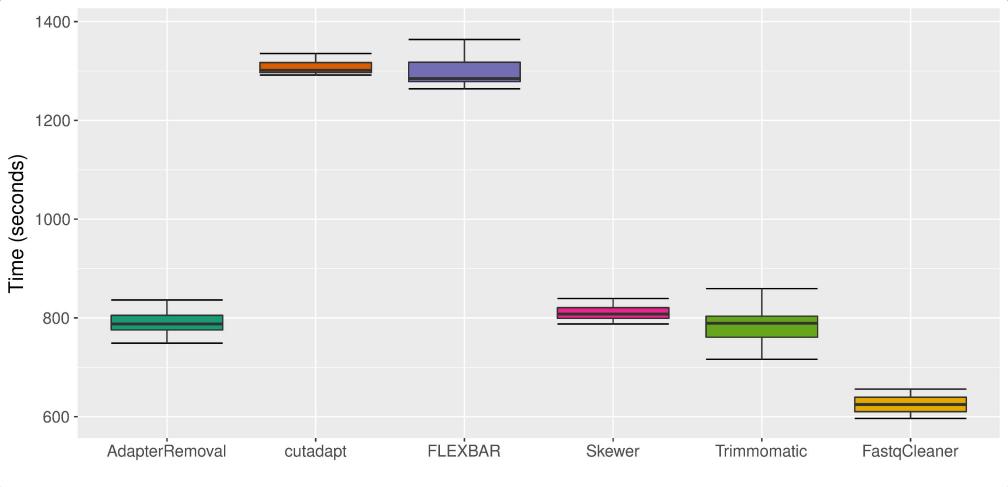


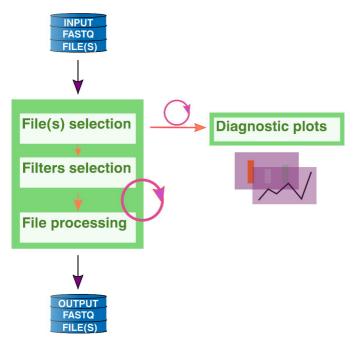
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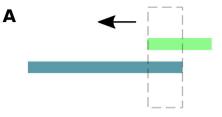
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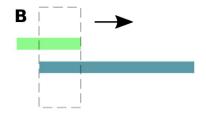


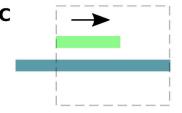


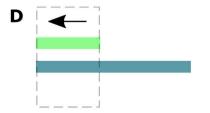


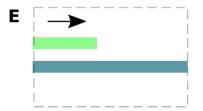


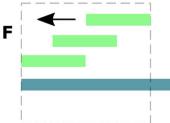












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