Confidence in predicted position error explains saccadic decisions during pursuit

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Abstract

The coordination between continuously-controlled and discretely-initiated orienting

movements is a fundamental problem in motor control. This coordination is vital for maintaining

high-acuity vision of moving objects, which requires foveating the object's image through

continuous smooth pursuit and discretely triggered saccadic eye movements. However, the

decision mechanism underlying this coordination remains inadequately characterized. During

these coordinated eye movements, pursuit is rapidly initiated by retinal image velocity while

intervening saccades are triggered with highly variable latency contingent on both retinal image

velocity and position. Here we propose a new computational strategy simulating the decision

mechanism triggering saccades during pursuit. In this model, future position error and its

associated uncertainty are predicted through Bayesian inference across noisy, delayed sensory

observations of retinal image motion. This probabilistic prediction of position error enables the

computation of the confidence that a saccade will be needed, triggering saccades upon

accumulating confidence to a threshold. Saccade confidence corresponds to the relative

certainty that the image is positioned outside the fovea (quantified through log probability ratio).

This model predicts the occurrence and latency distributions of saccades across a range of

step-ramp target trajectories and externally imposed sensory uncertainty levels (e.g. via

Gaussian blurring of the visual target). We suggest this stochastic, predictive decision making

process represents a fundamental principle for the neural coordination of movement.

Introduction

The coordination of continuous and discrete reorienting is a fundamental problem in neuroscience. This is further complicated by the inevitability of noise and delays in sensory observations (Faisal et al., 2008; van Beers et al., 2002). The oculomotor system has promising potential for investigating this motor coordination problem due to the relative simplicity in modelling the biomechanics of eye motion and the wealth of existing data concerning the underlying neurophysiology driving eye movements (Van Gompel et al., 2007). When viewing objects in motion, the oculomotor system coordinates two types of eye movements to reorient and actively stabilize a visual image onto the fovea (the region of the retina with the highest visual acuity). The first type of eye movement, saccades, are discretely triggered to rapidly reposition a visual image onto the fovea (Goffart, 2009). The second type, smooth pursuit, is continuously deployed to minimize the motion of a visual image on the retina (Keller and Heinen, 1991; Krauzlis, 2004). The oculomotor system judiciously coordinates if and when saccades are needed during pursuit, triggering spatially accurate saccades despite sensorimotor noise and delays (Orban de Xivry and Lefèvre, 2007; Quinet and Goffart, 2015). This decision process is also stochastic (Carpenter and Reddi, 2001; Ratcliff, 2001; Wolpert and Landy, 2012), resulting in trial-by-trial variability in the timing of saccades during pursuit (de Brouwer et al., 2002a). However, a quantitative explanation of the mechanism underlying this predictive, stochastic decision process remains poorly characterized. Studying oculomotor coordination of saccades during pursuit has the potential to provide general insights into decision making in motor coordination.

Previous studies have investigated how visual sensory signals correlate with saccade trigger during pursuit initiation and maintenance, finding that both visual position and velocity errors are important in this motor decision (Bieg et al., 2015; de Brouwer et al., 2002b, 2002a; Rashbass, 1961). To investigate the sensory basis of saccadic decision making during pursuit,

experimentalists commonly employ a paradigm involving an abrupt change in target position

and velocity (named step-ramp trajectories after their appearance on a position-time plot). It has

been well established that the probability of saccade occurrence is minimized during pursuit

initiation if the change in position and velocity are in opposite directions and balanced in

magnitude such that the target recrosses the initial fixation position in 200 ms (Rashbass,

1961). Target trajectories that recross the fixation position after a slightly longer or shorter

duration tend to evoke an intermediate probability of saccade occurrence with highly variable

latency (Bieg et al., 2015). Similarly during pursuit maintenance, it was observed that the ratio

between position and velocity error correlates well with the occurrence of saccades and roughly

correlates with saccade latency (de Brouwer et al., 2002b). This parameter was originally coined

as eye-crossing time, but henceforth referred to as time-to-foveation to parallel the time-to-

collision parameter in studies of steering control (Regan and Gray, 2000) and the time-to-

contact parameter in studies of limb control and interception (Chang and Jazayeri, 2018;

Tresilian, 1995). While time-to-foveation is a useful behavioural correlate, it remains unsuitable

as a decision variable due to its instability as velocity errors approach zero (time-to-foveation

goes to infinity) and its inability to address how sensory noise is accommodated. Furthermore,

the concept of time-to-foveation is based on the linear extrapolation and intersection of the eye

and target trajectory which do not necessarily intersect in two-dimensional tracking. Thus, while

existing datasets have catalogued saccadic decision making during pursuit, an underlying

decision mechanism has not yet been proposed.

Probabilistic inference through sequential sampling has provided a successful

framework for decision making under uncertainty, particularly in studies of perceptual decision

making (Gold and Shadlen, 2001; Hanks and Summerfield, 2017) but less often applied to

oculomotor control. In this framework, noisy evidence is sequentially sampled and linearly

integrated until a fixed threshold is reached, terminating the decision process and triggering a

response. Choices, response times, and neuronal responses in sensorimotor decision areas are

consistent with this framework (Gold and Shadlen, 2007; Schall, 2001, 2000). Recently, an

innovative stochastic model of pursuit was developed where noisy, delayed sensory signals are

probabilistically estimated through Kalman filtering (Orban de Xivry et al., 2013). Their model

allows for the closed-loop simulation of probabilistic visual motion estimation and pursuit

dynamics, which opens the possibility to model the probabilistic sensory basis of stochastic

saccadic decision making during pursuit.

To model the saccade trigger mechanism, we propose a decision process that

accumulates evidence that a saccade is needed (saccade confidence) to a threshold. We define

saccade confidence as the log probability ratio of the probability of the target being left vs right

of the foveal center. This definition has roots in the sequential probability ratio test (Forstmann

et al., 2016; Wald and Wolfowitz, 1948) and agrees with the proposition that the term

confidence should reflect the probability that a decision/response is correct/appropriate given

the observed evidence (Pouget et al., 2016). Modelling the decision variable triggering

saccades with this definition of confidence allows small, low-uncertainty position errors to trigger

saccades when sustained, while large, high-uncertainty position errors may fail to trigger

saccades when transient. The model can simulate saccade latency distributions evoked by a

range of step-ramp target trajectories matching human behavioural data (Bieg et al., 2015; de

Brouwer et al., 2002b) and illustrates how predictive mechanisms can flexibly coordinate

continuous and discrete reorienting movements. We suggest this stochastic, predictive decision

mechanism represents a fundamental principle in the neural control of motor coordination.

Methods

Global Model Overview

The purpose of this model is to explain how catch-up saccades are triggered during

smooth pursuit. We extend an existing Bayesian model of sensory motion estimation and

smooth pursuit dynamics (Orban de Xivry et al., 2013) to develop a model of the decision

mechanism triggering saccades. The model consists of three interconnected modules: a

sensory pathway employing Kalman filtering for state estimation, a decision pathway employing

bounded accumulation of saccade confidence and a motor pathway implementing the dynamics

of pursuit and (simplified) saccades (Figure 1). An important addition to the sensory pathway of

the model was the inclusion of linear motion extrapolation (Figure 1; Sensory Extrapolation) to

update retinal state estimates, compensating for sensorimotor delays. We assume that the goal

of a saccade is to reorient a visual image to a preferred retinal location, presumably the fovea

(which defines the zero-position in our retinotopic coordinate system). The saccade decision

mechanism relies on the probabilistic, predictive position error estimate to compute the log-

probability ratio that the image is outside the fovea (which we define as saccade confidence). A

saccade is triggered when saccade confidence is temporally accumulated to a threshold value.

We use this model to simulate the occurrence and latency distributions of saccades during

pursuit initiation and steady-state pursuit evoked by step-ramp target trajectories.

Sensory Pathway

The sensory pathway is an extension of the visual motion processing pathway previously

described (Orban de Xivry et al., 2013). We denote matrices in bolded uppercase, vectors in

bolded lowercase, and scalars in regular lowercase. The true retinal image state, $\boldsymbol{\delta}^{det}$, is

defined as the difference between the position, velocity, and acceleration of the target, $\boldsymbol{t}$, and

the eye, **e**:

126
$$\boldsymbol{\delta}_k^{det} = \boldsymbol{t}_k - \boldsymbol{e}_k \tag{1}$$

127
$$\delta_k^{det} = \begin{bmatrix} PE_k^{det} \\ RS_k^{det} \\ RA_k^{det} \end{bmatrix}$$
(2)

128   where PE is Position Error, RS is Retinal Slip, RA is Retinal Acceleration and k represents the

129   current discrete time step in the numerical simulation.

130   The observed retinal state is delayed by 70 ms (Krauzlis and Lisberger, 1994; Tavassoli

131   and Ringach, 2009) and corrupted by additive and signal-dependent noise:

132
$$\delta_k^{obs} = \delta_{k-70}^{det} + \phi_{mult}\delta_{k-70}^{det} + \varphi_{add}$$
(3)

where ϕ_{mult} and φ_{add} are uncorrelated noise covariance matrices with values drawn at each

time step, k, from $\phi_{mult} \sim N(0, \sigma_{mult}^2)$ and $\varphi_{add} \sim N(0, \sigma_{add}^2)$. These terms represent the signal-

dependent and baseline levels of noise corrupting visual sensory information. Both behavioural

analyses and electrophysiological recordings in the superior colliculus (encoding position error)

and the middle temporal area (encoding retinal slip and acceleration) suggest that the

information in visual signals driving saccades and pursuit are consistent with signal-dependent

noise constraints (*saccades:* Sparks, 1986; Harris and Wolpert, 1998, 2006; van Beers, 2007;

Goossens and van Opstal, 2012*; pursuit:* Maunsell and Van Essen, 1983; Lisberger and

Westbrook, 1985; Nover et al., 2005; Osborne et al., 2005, 2007; Stein et al., 2005; Osborne

and Lisberger, 2009).

Using the delayed, noisy observations of image motion, a Bayes-optimal probabilistic

estimate of image motion, $\hat{\delta}^{sens}$, is computed through Kalman filtering (Kalman and Bucy,

1961). This method of recursive Bayesian estimation combines noisy observations with priors of

the noise characteristics and dynamics of the world (ie: a generative model) to estimate retinal

state. The generative model contains information about the expected evolution of retinal motion,

as well as the noise characteristics that map the underlying state into a noisy observation. In

this generative model, the components of retinal state are assumed to be uncorrelated and thus

it is expected that the evolution of retinal state can be described by a random walk:

151
$$\delta_{k+1}^{sens} = \delta_k^{sens} + \lambda_k \tag{4}$$

152   where λ_k is an uncorrelated, additive process noise with $\lambda_k \sim N(0, Q^2)$ representing the state

153   variability, a prior belief about how retinal state changes over time. The generative model also

154   contains knowledge that the observed image motion at time k, δ_k^{obs}, represents a noisy version

155   of the retinal state, δ_k^{sens} (Equation 5).

156
$$\delta_k^{obs} = \delta_{k-70}^{sens} + \gamma_k \delta_{k-70}^{sens} + \upsilon_k \tag{5}$$

where γ_k and υ_k are the expected, uncorrelated signal-dependent and additive noises

covariance matrices with $\gamma_k \sim N(0, D^2)$ and $\upsilon_k \sim N(0, R^2)$. These terms represent the brain's

estimate of the signal-dependent and baseline levels of noise corrupting sensory observations.

For each component of δ, we set $D^2 = \sigma_{mult}^2$ and $R^2 = \sigma_{add}^2$. Thus, we set the internal

estimates of sensory noise parameters (D^2, R^2) to accurately reflect the actual sensory noise

$(\sigma_{mult}^2, \sigma_{add}^2)$, though an exact knowledge of these noise parameters is not crucial (Beck et al.,

2012; Orban de Xivry et al., 2013).

The operation of the Kalman filter combines the current estimate of retinal state, $\hat{\delta}_k^{sens}$,

the current noisy observation, δ_k^{obs}, and prior knowledge of the noise characteristics,

(Q, R, D, Ω), to optimally estimate image motion at the next time step, $\hat{\delta}_{k+1}^{sens}$ (Izawa et al., 2008;

Todorov, 2005) (Equations 6-8).

168
$$\hat{\delta}_{k+1}^{sens} = \hat{\delta}_k^{sens} + K_k(\delta_k^{obs} - \hat{\delta}_k^{sens}) + \eta_k \tag{6}$$

169
$$K_k = \Sigma_k^{sens} \left(\Sigma_k^{sens} + R^2 + D^2 \left(\Sigma_k^{sens} + \hat{\delta}_k^{sens} \hat{\delta}_k^{sens^T} \right) D^{2^T} \right)^{-1} \tag{7}$$

170
$$\Sigma_{k+1}^{sens} = Q^2 + \Omega^2 + (I - K_k)\Sigma_k^{sens} \tag{8}$$

where ε_k^{sens} is the estimated error covariance, I is the identity matrix, and η_k is the internal noise

of estimation with $\eta_k \sim N(0, \Omega^2)$, which represents noise in the computations estimating the

retinal state. The values of Kalman filter parameters are listed in Table 1.

In the final stage of the sensory pathway, extrapolation, the components of estimated

image motion driving the pursuit and saccade motor pathways are updated based on their

expected rate of change and knowledge of the delays inherent to each respective sensorimotor

loop. This allows for compensation of sensorimotor delays. It has been shown that catch-up

saccades can accurately foveate moving targets at saccade offset, effectively predicting

changes in position error due to retinal slip accruing during visuomotor delays and saccade

duration (de Brouwer et al., 2001; Guan et al., 2005; Price et al., 2005; Quinet and Goffart,

2015). Similarly it has been shown that both pursuit behaviour and motion-tuned neuronal

activity in the middle temporal area of macaques account for target acceleration (Bennett et al.,

2007; Krauzlis and Lisberger, 1994; Lisberger and Westbrook, 1985). It is not clear if target

acceleration is also accounted in saccadic motor system (Bennett et al., 2007), and although it

has been shown that position error can weakly drive smooth pursuit (Blohm et al., 2005), neither

of these interactions were modelled in this study. Thus, in our model, position error was

extrapolated $\left(\widehat{PE}^{pred}\right)$ based on retinal slip $\left(\widehat{RS}^{sens}\right)$, and retinal slip was extrapolated $\left(\widehat{RS}^{pred}\right)$

based on retinal acceleration $\left(\widehat{RA}^{sens}\right)$ to drive the saccadic and pursuit pathways respectively:

189
$$\widehat{PE}_k^{pred} = \widehat{PE}^{sens} + (T_{sacc})\widehat{RS}^{sens} \tag{9}$$

190
$$\Sigma_k^{PEpred} = \Sigma_k^{PEsens} + (T_{sacc})^2 \Sigma_k^{RSsens} \tag{10}$$

191
$$\widehat{RS}_k^{pred} = \widehat{RS}^{sens} + (T_{purs})\widehat{RA}^{sens} \tag{11}$$

192
$$\Sigma_k^{RSpred} = \Sigma_k^{RSsens} + (T_{purs})^2 \Sigma_k^{RAsens} \tag{12}$$

where $T_{sacc} = 130\ ms$ and $T_{purs} = 70\ ms$ represent the time duration of extrapolation for each

respective signal. The rationale for the longer extrapolation duration for saccades is to account

for the additional decision accumulation time, motor delay, and movement duration unique to

the saccadic system.

Saccade Decision Pathway

The saccade decision pathway is inspired by the sequential probability ratio test and

stochastic bounded accumulation models of decision making (Gold and Shadlen, 2007; Wald

and Wolfowitz, 1948). This pathway computes saccade confidence and triggers saccades upon

accumulating to a threshold. The first stage of this pathway reflects the probability of the image

being right vs left of the foveal center using the predictive probabilistic estimate of position error:

$$P_k^{Right} = 1 - P_k^{Left} = \int_0^{+\infty} \frac{1}{\sqrt{2\pi\sigma^2}} e^{-\frac{\left(x - \widehat{PE}_k^{pred}\right)^2}{2\varepsilon_k^{PEpred}}}\ dx \tag{13}$$

204   where *x* represents a variable of spatial integration. Recall that the estimated position error

205   value used in this computation is a predictive estimate accounting for retinal slip.

206   The next stage is the computation and leaky temporal accumulation of saccade

207   confidence $(\hat{C})$, defined as the logarithm of the ratio between the above probabilities:

$$\hat{C}_k^{Right} = -\hat{C}_k^{Left} = \hat{C}_{k-1}^{Right} + \left(\frac{dt}{\tau_s}\right)\left(\log\left(\frac{P_k^{Right}}{P_k^{Left}}\right) - \hat{C}_{k-1}^{Right}\right) + \omega_k \tag{14}$$

where τ_s is the leakiness time constant, with $\tau_s = 25$ ms and ω_k is an uncorrelated noise term in

the decision process with $\omega_k \sim N(0, 0.1^2)$. This estimate of saccade confidence acts as a decision

variable that upon threshold crossing triggers a saccade (with an additional motor delay of 40

ms). For example, a rightward saccade is executed after $\hat{C}_k^{Right} > \theta_{saccade}$ with a 40 ms motor

delay, where $\theta_{saccade} = 4.2$. While outside the focus of this investigation, a simple model of

saccade dynamics (described below) and saccade refractory period (200 ms) were

implemented to illustrate how this saccade decision model can be implemented within a global

framework for oculomotor control.

Pursuit Motor Pathway

The pursuit motor pathway transforms the predicted retinal slip, $\widehat{RS}_k^{pred}$, into an eye

velocity command that is sent to the premotor system and eye plant. It is adapted from the

image velocity motion pathway and positive efferent copy feedback loop used by previous

models (Krauzlis and Lisberger, 1994; Krauzlis and Miles, 1996; Orban de Xivry et al., 2013).

This pathway transforms predicted retinal slip into a command for eye motion, E. The pathway

(Figure 2, top left) contains a linear function (G) to modify the RS input into an eye acceleration

command, a second-order filter (H to adjust the time course of inputs, and a variable gain

element (A). The parameters were similar to Krauzlis and Lisberger, 1994; Orban de Xivry et al.,

2013.

227
$$G = 7 \tag{15}$$

228
$$H(s) = \frac{35^2}{s^2 + (2)(0.8)(35)s + 35^2} \tag{16}$$

229
$$A = 0.9 + \zeta_{trial} \tag{17}$$

where s represents the Laplace variable, and $\zeta_{trial} \sim N\left(0, \sigma_{purs}^2\right)$, with $\sigma_{purs}^2 = 0.05$. The purpose

of this noise term is to simulate the trial-by-trial variability in pursuit gain observed in human

behavioural responses and thus capture a realistic level of pursuit variability.

The output of this image velocity motion pathway was sent to a leaky integrator with

positive feedback. The leaky integrator is characterized by a single time constant, $\tau_p = 100\ ms$,

that maintains eye velocity when $\widehat{RS}_k^{pred} = 0$. The positive feedback loop contains a linear gain

element, $\frac{1}{\tau_p}$.

Saccade Motor Pathway

After saccade confidence reaches decision threshold, the saccade motor pathway

(Figure 2, Saccade Motor Pathway) transforms a desired saccade amplitude, $\widehat{PE}_k^{pred}$, into an

eye velocity command that is sent to the premotor system and eye plant. It should be noted that

the purpose of this saccade model was not to exactly reproduce all aspects saccade dynamics,

but rather to embed a model of saccade execution within our global framework. A motor delay of

40 ms was implemented between the time of saccade confidence threshold crossing and the

execution of the saccade. The model of saccade dynamics is adapted from the local feedback

model and bilateral burst neuron discharge rate pulse generator (Figure 2, PG) used by (Blohm

et al., 2006; Jürgens et al., 1981; Scudder, 1988). In this pathway, the desired saccade

amplitude is compared against an internal estimate of executed eye movement through

negative feedback from a resettable integrator (Figure 2, RI), providing an estimate of motor

error. This motor error is sent to a pulse generator, providing a saccadic motor command sent to

the premotor system and eye plant. The pulse generator was based on the bilateral burst

neuron discharge rate proposed by (Van Gisbergen et al., 1981):

252
$$y = \begin{cases} -b_m \left(1 - e^{\frac{x-e_0}{b_k}}\right) & if\ x < e_0 \\ b_m \left(e^{\frac{x-e_0}{b_k}} - e^{\frac{-x-e_0}{b_k}}\right) & if\ -e_0 < x < e_0 \\ b_m \left(1 - e^{\frac{-x-e_0}{b_k}}\right) & if\ x > e_0 \end{cases} \tag{18}$$

where the input, x, is motor error and the output, y, is a saccadic velocity command

approximating the main sequence relationship between saccade amplitude, peak velocity, and

duration (Bahill et al., 1975). The parameters used match Blohm et al., 2006 (ie: $e_0 = 1$ deg;

$b_m = 600$ deg/s; and $b_k = 3$ deg).

Final Common Motor Pathway

Eye velocity commands from the pursuit and saccade pathways are linearly added then

sent through the premotor system to the eye plant. The premotor system consists of the sum of

the motor command (with $gain = T_1 = 170\ ms$) and its integral; producing the pulse-step

innervation pattern required to displace the eye and maintain eccentricity (Robinson, 1973). The

eye plant is modelled as an overdamped, second-order system with time constants $T_1 = 170$ ms

and $T_2 = 13$ ms. No additional motor noise was added to the eye plant, as the moment-by-

moment variability in sensory observations and trial-by-trial variability in pursuit gain that we

implemented provides a sufficiently realistic distribution of motor variability (Hu et al., 2007;

Osborne, 2011; Osborne et al., 2005).

Simulations

The model was numerically simulated in MATLAB R2017a (Mathworks, Natick, MA,

USA) using 1 ms discrete time steps. We simulated eye movements in response to step-ramp

target motions selected to reproduce behavioural investigations of pursuit initiation (Bieg et al.,

2015) and pursuit maintenance (de Brouwer et al., 2002b). For pursuit initiation, we simulated

target steps between 1 to 12 degrees in one-degree increments, target velocities of ±10 deg/s

and ±20 deg/s, and 100 repetitions at each step-ramp condition. For pursuit maintenance, the

initial step-ramp was selected to recross the initial fixation position in 200 ms, thus minimizing

initial saccade occurrence. The step sizes used were 2, 4, and 6 degrees with velocity changes

of 10, 20, and 30 deg/s respectively in the opposite direction. The second step-ramp was

selected using steps ranging from -20 to 20 degrees in increments of 2 degrees and velocity

changes from -50 to 50 deg/s in increments of 10 deg/s, with 50 repetitions per double step-

ramp condition. Simulations of increased sensory noise were implemented using the same

double step-ramp conditions while modifying the variance of the additive and multiplicative noise

in position estimation to $2^2 \ deg^2$ and 1.5^2 respectively. We analyzed the latency of the first

occurring saccade after each step-ramp. Latency was determined using a 500 deg/s^2

acceleration criterion on the simulated eye trace.

Results

The model of oculomotor coordination presented here relies on Bayesian estimation of

stochastic sensory signals and bounded accumulation of saccade confidence to trigger

saccades during pursuit for foveal stabilization of a moving visual target. Since the framework

driving pursuit movements employed here has been previously described (Orban de Xivry et al.,

2013), we will focus our description on the saccade decision and trigger pathway. The notable

novelty in this model is the computation of saccade confidence from probabilistic estimates of

predicted retinal state. We define saccade confidence as the log-probability ratio that the image

position is outside the fovea. In this model, saccades are triggered when temporally

accumulated saccade confidence reaches a constant threshold.

Computation of Saccade Confidence

The computation of saccade confidence transforms a probabilistic prediction of position

error into the log-probability ratio of the target being right vs left of the foveal center, which is

then temporally leaky integrated. This process is schematically illustrated in Figure 3, where a

constant estimate of predicted PE is used to compute saccade confidence without decision

noise. Figure 3A illustrates the probability density function for an estimated PE of 2 degrees with

low uncertainty (blue) and high uncertainty (red). The probability of the target being right (left) of

the fovea is the area under the probability distribution to the right (left) of zero (denoted in black

dashed line). Saccade confidence is calculated by leaky integrating the ratio between these

probabilities. Figure 3C illustrates the temporal evolution of saccade confidence based on the

corresponding estimates of predicted PE. With low magnitude PE estimates, the saccade

decision process is highly sensitive to the estimated uncertainty. Figures 3B illustrates an

estimated position error of 5 degrees with low uncertainty (blue) and high uncertainty (red).

Figure 3D demonstrates that at large magnitude PE estimates, the temporal evolution of

saccade confidence is similar despite the difference in uncertainty. Thus, the estimation of

saccade confidence accounts for both predicted PE and its uncertainty, but this computation is

more sensitive to uncertainty for targets closer to the fovea.

Decision Process during Pursuit Initiation

To understand how sensory image motion drives saccadic decision making, Figure 4

illustrates examples of single trial simulations of oculomotor responses to two different

trajectories of step-ramp target motion known to evoke and minimize saccade occurrence

during pursuit initiation (red and blue, respectively) compared to a step trajectory (grey) (Figure

4A). These step-ramp target trajectories contain an abrupt, simultaneous position displacement

and constant velocity shift that can be independently controlled by the experimenter. This

paradigm is commonly used to investigate saccadic decision making during pursuit because it

allows the experimenter to precisely control the retinal motion subsequent to the step-ramp

onset (Bieg et al., 2015; de Brouwer et al., 2002a, 2002b; Rashbass, 1961).

In our model, noisy and delayed sensory observations are estimated through Kalman

filtering (Figure 4B, 4C). To compensate for sensory delays, predictive retinal image state

estimates are computed by extrapolating sensory estimates according to their first derivative

and estimated delay (e.g. PE is updated by RS, figure 4D). This predictive PE estimate is then

used to compute saccade confidence, which triggers saccades upon temporal leaky

accumulation to a threshold (Figure 4E). Due to Kalman filtering, noisier signals (e.g. RS

compared to PE) require more time for accurate estimation, which is comparable to behavioural

data suggesting an asynchronous influence of RS compared to PE in saccade programming

(Schreiber et al., 2006). Thus, the observation that saccade latency is longer than pursuit

latency is explained in the model by an additional temporal accumulator in the saccade decision

pathway, allowing time for accurate RS information to accrue and influence the saccadic

decision. Thus, estimated RS can either enhance or attenuate the evolution of saccade

confidence by updating the current PE estimate away from or towards the fovea, leading to a

reduction in saccade latency or a suppression of saccade execution respectively.

We simulated oculomotor responses across a range of step-ramp trajectories then

calculated the proportion of saccadic trials and mean saccade latency. This model captures the

major trends of behavioural data, predicting a minimization of saccade occurrence for targets

whose trajectories recross the initial fixation position in about 200ms (Rashbass, 1961), as well

as saccades with long latency for target trajectories slightly outside this recrossing time

(compare with Figure 2 from Bieg et al., 2015). However, the behavioural data from Bieg et al.,

2015 shows small differences in saccade latency for target motion away from the fovea vs

towards the fovea at large step sizes that was not captured in this model. Overall, the model

reproduces the major trends in saccade occurrence and latency variability responses during

visually guided pursuit initiation.

Decision Process during Steady State Pursuit

The model was used to simulate steady state pursuit using target trajectories with an

initial step-ramp designed to minimize saccades followed by a second step-ramp to test

saccadic decision making. An example of single trial simulations is illustrated in Figure 6, which

contrasts smooth and saccadic pursuit in response to two different double step-ramp target

trajectories. Consistent with saccadic decisions during pursuit initiation, RS estimates leading to

an updating of PE towards foveal positions minimizes saccade confidence and subsequent

saccade occurrence.

Across a range of double step-ramp target trajectories, we found that the sensory

conditions preceding saccade trigger in simulations were highly comparable to human

behavioural data. Figure 7 plots the values of RS and PE preceding each saccade trigger in

simulations (left) and behavioural data (right). The region of this plot with very few saccade

occurrences is described by de Brouwer and colleagues (2002b) as the smooth zone. The slope

of the lines delimiting the smooth zone correspond to time-to-foveation values, demonstrating

that the model emergently simulates similar correlations between time-to-foveation and saccade

occurrence as human behaviour. Furthermore, the model simulated saccades of unusually long

latency occurring when time-to-foveation was slightly outside this range (Figure 8). Thus, the

model also explains saccadic decisions following a step-ramp perturbation during maintained

pursuit.

New Model Predictions

A novel prediction from modelling results suggests that the absolute value of velocity

change also correlates with saccade occurrence and latency beyond the relationship described

by the time-to-foveation parameter. As the absolute value of the velocity change increases, the

range of time-to-foveation values resulting in smooth trials tends to decrease (Figure 8). Since

pursuit acceleration saturates at large values, larger velocity changes require longer

acceleration durations, allowing more position error to accrue and thus increases the probability

of saccade occurrence. Therefore, increasing the change in target velocity for a given time-to-

foveation value will promote saccade occurrence.

A second novel prediction from this model is that increasing position uncertainty will

impact saccade decision making during pursuit. Increasing position noise can be achieved

experimentally through Gaussian blurring of the pursuit target and implemented in the model

through an increase of the noise corrupting sensory observations. Specifically, we predict a

decrease in saccade occurrence and an increase in the variability of saccade latency in

response to step-ramp target trajectories with time-to-foveation near the smooth zone, but a

minimal impact on saccade latency distributions for negative time-to-foveation trajectories

(corresponding to a position step and velocity change in the same direction) (Figure 9, top row).

This is because the smooth zone corresponds to retinal motion where RS updates PE closer to

the fovea and the saccade confidence is highly sensitive to sensory uncertainty for foveal image

position estimates (Figure 3). Thus, increasing sensory uncertainty should lead to a larger range

of tolerable position error estimates that fail to trigger saccades.

Discussion

We have developed a model of the saccade decision mechanism during visually guided

pursuit in which saccade confidence (defined as the log-probability ratio of position error to the

right vs left of the fovea) is computed from predictive estimates of position error and temporally

accumulated to trigger saccades upon threshold crossing. This decision mechanism reproduces

the Rashbass paradigm, where saccades occurrence during pursuit initiation is minimized if the

target recrosses the initial fixation position in 200 ms (Bieg et al., 2015; Rashbass, 1961). The

model also reproduces the behavioural observation that saccade occurrence is minimized when

the time-to-foveation following a step-ramp during steady-state pursuit is between 40 and 180

ms and qualitatively reproduces the distribution of long-latency saccades evoked by step-ramps

in and around this time-to-foveation range (de Brouwer et al., 2002b). Additionally, the model

makes the novel prediction that for the same time-to-foveation value, saccades occur more

frequently and with shorter latency with increasing target velocity. The model also predicts that

increasing position uncertainty will increase the range of tolerable position errors that fail to

trigger saccades. Thus, the model provides a generalizable explanation for saccadic decisions

during pursuit while fitting harmoniously within existing oculomotor models.

Model Limitations

The scope of this model is limited by only considering visual sensory inputs and omitting

the influence of cognitive factors. For example, it has been shown that spatial attention is

preferentially allocated ahead of tracking during pursuit (Chen et al., 2017; Khan et al., 2010).

This could decrease the precision of sensory observations in unattended locations (Poletti et al.,

2017), leading to a slower rise in saccade confidence and longer saccade latencies. This effect

may underlie the differences in latency between forward and reverse saccades at large step

sizes observed by Bieg et al., 2015. The allocation of attention also has more direct influences

on saccade trigger (Kowler et al., 1995; Zhao et al., 2012), likely through influencing normative

decision thresholds (Lo and Wang, 2006). Thus, cognitive factors likely modulate model

parameters describing sensory noise and decision thresholds through mechanisms beyond the

scope of this study, but the model accounts for the basic principles underlying these visually

guided saccadic decisions.

Another important factor in human behavioural responses that was omitted in the model

was mechanisms of learning and adaptation. This could also play a role in the differences

between simulated results and Bieg et al., 2015. Since their paradigm only tested a single target

speed for each individual it is conceivable that subjects improved their ability to appropriately

accelerate their eyes at pursuit initiation (Dash and Thier, 2013) or more rapidly estimated RS

by shifting their priors towards that target speed (Darlington et al., 2017) resulting in a different

retinal image state evolution compared to simulations. In contrast, de Brouwer et al. (2002b)

randomized the trial-by-trial target motion using a wide range of possible position steps and

velocity shifts. This experimental paradigm was believed to minimize the influence of learning

and anticipation and emphasize the visually driven aspects of saccadic decision making,

providing a more ideal dataset to evaluate the model against, which qualitatively matches their

behavioural data. Future studies may adapt/extend a similar memory pathway as developed by

Orban de Xivry et al. (2013) to properly investigate saccadic decision making during memory-

guided pursuit behaviours.

The model also omits the weak influence of PE on pursuit performance. For a given

target velocity, initial pursuit acceleration also depends on its initial position error (Lisberger and

Westbrook, 1985). Similarly, position error also influences pursuit deceleration and termination

at motion offset (Pola and Wyatt, 2001). More recently, it has been shown that position error

during steady-state pursuit can have a direct influence on the pursuit trajectory (Blohm et al.,

2005). However, these influences only slightly contribute to the variability in pursuit response,

whereas the sources of variability accounted in this model, sensory noise and trial-by-trial

variability in pursuit gain, seem to have a more dominating influence (Lisberger and Medina,

2015; Osborne et al., 2005). Thus, we believe our simulations accurately reflect the magnitude

of visually guided pursuit variability and the omission of a position error input to the pursuit

system is minor.

Finally, our model makes no attempt to characterize the evolution of sensory and

decision signals during saccade execution. It has been shown that visual acuity is reduced

around the time of a saccade, a phenomenon known as saccade suppression (Krekelberg,

2010). The behavioural effect correlates with a suppression of neural activity in visual cortical

areas (Bremmer et al., 2009). Saccade suppression has been successfully modelled as a

consequence of optimal sensorimotor estimation in the face of signal-dependent noise and

sensorimotor delay (Crevecoeur and Kording, 2017). However, we consider this outside the

scope of our study since we emphasize investigating the decision mechanism leading to a

saccade, not the subsequent sensory consequences of saccade execution.

Generalizability of Model

A major feature of this decision mechanism is the generalizability of saccade confidence

as a decision variable compared to the previously hypothesized time-to-foveation parameter (de

Brouwer et al., 2002b). Recall that time-to-foveation is defined as $-\frac{PE(t)}{RS(t)}$, which grows to infinity

in magnitude as RS approaches zero. Thus, although the parameter correlates with saccade

behaviour after an abrupt change in target motion such as in step-ramp trajectories, it is

unsuitable as an actual decision variable implemented in the brain. In contrast, the saccade

decision mechanism proposed here can explain saccade trigger to both moving and stationary

targets.

Another feature of this model is the plausible generalizations that can be made to

describe two-dimensional visual tracking compared to the time-to-foveation parameter. The

concept behind time-to-foveation is based on the linear extrapolation and intersection of the eye

and target trajectories in time, which does not necessarily have an intersection point in two-

dimensional tracking. In our framework, a similar saccade confidence estimator that computes

the log-probability ratio that the target is above vs below the fovea could be employed to

account for vertical and oblique saccade trigger. While conceptually simple, this extension is

suboptimal since it implies a loss of covariance information when considering the uncertainty in

horizontal and vertical position error independently. A more sophisticated extension speculates

that the probability ratio computations underlying the estimation of saccade confidence are

implemented through distance dependent inhibition in topographic representations of position

error (which would account covariance), similar to proposed dynamic neural field models of

saccade generation (Quinton and Goffart, 2018; Trappenberg et al., 2001). Thus, while our

current model is constrained to horizontal tracking, there are plausible methods of generalizing

the model to account for two-dimensional tracking that merit further investigation.

Neurophysiological Implementation

Our model normatively outlines the computational steps underlying saccadic decisions, which has implications on the signals and connectivity of its neural mechanism. Firstly, the model suggests that motion and position information must converge in a predictive position error estimate which informs the saccade decision process. In macaques, this motion information is likely supplied by middle temporal area (MT) since electrical stimulation shifts saccade amplitudes according to the preferred motion direction of the stimulation site and influences saccade latency in a manner dependent on the trajectory of target motion (Groh et al., 1997). Position information is likely supplied by the superior colliculus (SC), as the spatial locus of activity in the SC correlates with position error during pursuit (Krauzlis et al., 2000) and inactivation of the rostral SC (corresponding to foveal position errors) results in stable eye position offsets during pursuit (Hafed et al., 2008). The frontal eye fields (FEF), characterized as a prediction map for oculomotor control (Crapse and Sommer, 2008), receives motion information from area MT (Ungerleider and Desimone, 1986) and position information from the superior colliculus (Lynch et al., 1994). Various neuronal subpopulations in the pursuit region of FEF have been shown to carry the necessary position and velocity signals for predictive saccadic decision making (Bakst et al., 2017). The lateral intraparietal area (LIP) is also implicated in position prediction, as repetitive transcranial magnetic stimulation suppresses the shifted position percept reported in the flash grab illusion (Edwards et al., 2017). Similarly, predictive eye position signals have been decoded from the ventral intraparietal area during pursuit (Dowiasch et al., 2016), which may also inform predicted position error. Thus, the computation of predicted position error is likely implemented through a distributed network of oculomotor regions.

Secondly, our model suggests neural correlates that integrate predicted PE over time that can trigger saccades upon threshold crossing. Neuronal activity in LIP during perceptual

decision making tasks is consistent with temporal accumulation of evidence supporting a

saccade to its movement field (Huk and Shadlen, 2005). In a motion discrimination task, LIP

activity predicted monkey's subsequent choice, and the rate of rise in activity correlates with the

relative strength of the motion evidence (Shadlen and Newsome, 2001). Additionally, the time

that LIP neurons reach a threshold firing rate predicts decision time (Roitman and Shadlen,

2002). Furthermore, during decision tasks based on probabilistic expectations of reward, LIP

activity was consistent with temporal accumulation of expected reward (Platt and Glimcher,

1999). Similarly, neuronal activity in the saccade region of FEF is predictive of choice and

latency consistent with the decision models of evidence accumulation to a fixed threshold

(Hanes and Schall, 1996). Furthermore, the saccade and pursuit subregions of FEF have

overlapping projections to the caudate nucleus (Cui et al., 2003) and models suggest this

corticostriatal pathway terminating in the superior colliculus is capable of reading out threshold

crossing to trigger saccades (Lo and Wang, 2006). Although further investigation targeting

saccade decision signals during pursuit are needed, similar oculomotor networks may be

responsible for both prediction and confidence accumulation in this normative decision

mechanism.

Conclusion

Our model of saccade decision making during pursuit elegantly handles the constraints

of delay and signal-dependent noise in the oculomotor system while reproducing known trends

in saccade occurrence and latency across a range of target motion trajectories. The model

illustrates how continuously controlled orienting movements like pursuit can be programmed

directly from sensory estimates, while discretely triggered reorienting movements like saccades

employ a second stage of evidence accumulation to determine if/when they should be executed.

We suggest that this framework of prediction and confidence estimation represents a

fundamental principle in stochastic decision making and sensorimotor coordination.

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Kalman Filter Parameters	Value
Additive noise, R	$\begin{bmatrix} 0.1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 50 \end{bmatrix}$
Multiplicative noise, D	$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$
Expected variability, Q	$\begin{bmatrix} 0.1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 30 \end{bmatrix}$
Internal process noise, Ω	$\begin{bmatrix} 0.01 & 0 & 0 \\ 0 & 0.3 & 0 \\ 0 & 0 & 10 \end{bmatrix}$

743   **Table 1:** Parameters used for Kalman filtering in sensory pathway.

Figure Captions

Figure 1: Global overview of model. Sensory Pathway (blue): Visual sensory signals (position error, retinal slip, retinal acceleration) are delayed by 70 ms, corrupted by signal-dependent noise, estimated through Kalman filtering (Equations 1-8), then linearly extrapolated to compensate for delay (Equations 9-12). Decision Pathway (purple): Predicted position error is used to compute the probability ratio of the target left & right of the fovea (Equation 13), which is temporally (leaky) integrated (Equation 14), and triggers saccades after threshold crossing. Motor pathway (red): Described further in Figure 2.

Figure 2: Motor Processing Pathways. Pursuit (blue): The predictive estimate of retinal slip is subject to a linear gain function (G), a second-order filter (H), a variable gain element (A), then leaky integration with a 100ms time constant (Equations 15-17). Saccade (green): The input to the saccade pathway is the predictive estimate of position error. The saccade velocity command produced by a pulse generator (PG, Equation 18), which is integrated (resettable integrator, RI) to provide negative feedback ensuring the appropriate saccade amplitude. Final common motor pathway (red): The sum of the saccade and pursuit velocity commands are sent to the eye plant and a parallel integration pathway whose output is also sent to the eye plant enabling stable eccentric eye positions. The eye plant is modelled as a second-order overdamped linear system.

Figure 3: Schematic of saccade confidence computation. (A) Probabilistic representation of predicted position error with a mean of 2 deg and uncertainty of 0.75^2 (blue) and 2^2 (red) deg^2. (C) Temporal evolution of saccade confidence without noise based on predicted position error estimates from A. (B&D) Same format for a predicted position error of 4 deg with an uncertainty of 0.75^2 (blue) and 2^2 (red) deg^2.

Figure 4: Single trial simulations comparing oculomotor responses to a target that steps 4

degrees then moves at 20 deg/s (red), -20 deg/s (blue) or zero deg/s (grey). (A) Target and

simulated eye trajectory over time. When the target recrosses the initial fixation position in 200

ms (blue), a saccade was not triggered, while the gray and red traces led to saccade trigger. (B)

The sensory estimate of position error over time in response to each target trajectory. (C) The

sensory estimate of retinal slip over time. (D) The predictive estimate of position error over time.

This predictive estimate is used to compute saccade confidence (E) which triggers saccades

upon threshold crossing.

Figure 5: Model simulations illustrating saccade proportion (± standard deviation) and mean

saccade latency (± standard deviation) as a function of position step size for the two target

speeds (|VS|) tested by Bieg et al., 2015. Foveopetal target motion (red) describes trajectories

where the velocity shift (VS) and position step are in opposite directions. Foveofugal target

motion (green) describes trajectories with velocity shift and position step in the same direction.

Figure 6: Single trial simulations comparing oculomotor responses to step-ramp perturbations

during pursuit maintenance at 10 deg/s. The step-ramp consists of a 4 deg step followed by a

change in speed of 20 deg/s (red) or -20 deg/s (blue). (A) Target and simulated eye trajectory

over time. (B) The sensory estimate of position error over time in response to each target

trajectory. (C) The sensory estimate of retinal slip over time. (D) The predictive estimate of

position error over time. This predictive estimate is used to compute saccade confidence, (E),

which triggers saccades upon threshold crossing.

Figure 7: Sensory conditions (RS and PE) preceding saccade execution in simulations (left)

and human behavioural data (de Brouwer et al., 2002, right). Model simulations result in similar

sensory conditions prior to the time of saccade execution as observed in behavioural data.

Figure 8: Model simulations (left) reproduce trends in saccade occurrence (above) and latency

(below) during steady-state pursuit, as described in behavioural studies (de Brouwer et al.,

2002; right). Both simulations and behavioural results show increased saccade latency and

decreased saccade occurrence in and around the "smooth zone", marked in dashed lines (left)

and arrows (right).

Figure 9: Influence of increasing position error uncertainty on saccade latency distributions. For

negative time-to-foveation (Txt) target trajectories, there is a negligible influence of increased

position error uncertainty on saccade latency. When time-to-foveation is between 0 and 400 ms,

increasing position error uncertainty leads to an overall reduction in saccade occurrence and

bimodal distribution in saccade latency. When time-to-foveation is above 400 ms, an

intermediate increase in the variability of saccade latency is predicted.

Figure 1

Figure 2

Smooth Pursuit Motor Pathway

$\widehat{RS}_{pred}$ → G → H → A → (+) → $\dfrac{\tau_p}{\tau_p s + 1}$

$\dfrac{1}{\tau_p}$

Saccade Motor Pathway

$\widehat{PE}_{pred}$ → (+/-) → PG → (+)

RI

Final Common Motor Pathway

T_1 → (+) → $\dfrac{1}{(T_1 s + 1)(T_2 s + 1)}$ → Eye Position

$\dfrac{1}{s}$

Figure 3

Figure 4

Figure 5

Figure 6

Figure 7

Simulations

Human Data (de Brouwer et al., 2002b)

Figure 8

Simulations

Human Data (de Brouwer et al., 2002b)

Figure 9