

1 **Hemagglutinin stalk-reactive antibodies interfere with influenza virus**
2 **neuraminidase activity by steric hindrance**

3

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10 **Summary:**

11 This study reports a new mechanism of protection that is mediated by influenza hemagglutinin-
12 stalk reactive antibodies: inhibition of neuraminidase activity by steric hindrance, blocking access
13 of neuraminidase to sialic acids when it is abutted next to hemagglutinin on whole virions.

14 **Abstract:**

15 Hemagglutinin (HA) stalk-reactive antibodies are the basis of several current “one-shot” universal
16 influenza vaccine efforts because they protect against a wide spectrum of influenza virus strains.
17 The appreciated mechanism of protection by HA-stalk antibodies is to inhibit HA stalk
18 reconfiguration, blocking viral fusion and entry. This study shows that HA stalk-reactive
19 antibodies also inhibit neuraminidase (NA) enzymatic activity, prohibiting viral egress. NA
20 inhibition (NI) is evident for an attached substrate but not for unattached small molecule cleavage
21 of sialic acid. This suggests that the antibodies inhibit NA enzymatic activity through steric
22 hindrance, thus limiting NA access to sialic acids when adjacent to HA on whole virions.
23 Consistently, F(ab')₂ fragments that occupy reduced area without loss of avidity or disrupted
24 HA/NA interactions show significantly reduced NI activity. Notably, HA stalk binding antibodies
25 lacking NI activity were unable to neutralize viral infection via microneutralization assays. This
26 work suggests that NI activity is an important component of HA-stalk antibody mediated
27 protection.

28

29 **Introduction:**

30 Influenza is an acute respiratory illness that causes epidemics and pandemics in the human
31 population, causing up to 640,000 deaths annually worldwide (Iuliano et al., 2017). The influenza
32 virus particle contains two major surface glycoproteins, hemagglutinin (HA) and neuraminidase

33 (NA). HA is a tetramer protein that contains a globular head and a stalk domain. The head domain
34 mediates binding to host cellular receptor sialic acids, while the stalk domain fuses the virus and
35 host cell membranes to allow the introduction of the influenza virus genes. The NA protein is
36 essential for cleaving terminal sialic acid residues present on host glycoproteins (Figure 1A),
37 allowing the ready dispersal of the newly generated virus (Matrosovich et al., 2004; Palese and
38 Compans, 1976).

39 Antibodies are a major means of protection from influenza virus infections. Antibody-mediated
40 immunity is the basis of current vaccines and most efforts for improving immunity to influenza.
41 Influenza virus vaccinations induce antibodies that predominantly target the immunodominant
42 globular head of influenza HA that blocks viral attachment to prevent infection (Corti et al., 2010;
43 Wrammert et al., 2011). However, immunity to the HA-head domain is highly susceptible to
44 influenza antigenic drift, or viral mutation, introducing novel amino acids and glycosylation sites
45 that allow the virus to evade existing immunity. The stalk is a more conserved domain, allowing
46 antibodies that target this region to neutralize a wide spectrum of influenza virus subtypes (Corti
47 et al., 2011; Ekiert et al., 2009; Neu et al., 2016; Okuno et al., 1993). The current appreciated
48 mechanism of protection by HA stalk-reactive antibodies (Abs) is to lock the hemagglutinin trimer
49 in a pre-fusion conformation, preventing pH triggered conformational changes upon viral uptake
50 into endocytic compartments. This conformational change exposes the fusion peptide that
51 mediates fusion of the viral membrane to the host cell membrane and subsequent introduction of
52 the viral genome (Wu and Wilson, 2018). Because of the highly conserved epitopes within the
53 HA-stalk domain, induction of antibodies targeting this domain are the basis of several universal
54 influenza vaccine concepts and ongoing clinical trials. Herein, we describe an additional
55 mechanism of protection that is mediated by HA-stalk binding antibodies: the inhibition of NA
56 activity through steric hindrance blocking access to HA-bound sialic acid.

57 We used a panel of well-characterized HA stalk-reactive mAbs to explore how the mAbs interfere
58 with NA enzymatic activity as measured by enzyme linked lectin assay (ELLA) or the NA-STAR
59 assay. ELLA uses sialated glycoprotein fetuin that is immobilized as a substrate to measure NI.
60 This immobilized substrate will identify antibodies that inhibit either directly by binding close to
61 the enzymatic active site or that sterically inhibits NA from abutting close enough to HA to cleave
62 the sialic acid (Couzens et al., 2014). Conversely, the NA-STAR assay uses a small, soluble
63 chemiluminescent substrate, and so more explicitly distinguishes antibodies that directly inhibit

64 the enzymatic activity of NA by binding close to the enzymatic site (Chen et al., 2018; Nguyen et
65 al., 2010). Herein, we show that HA stalk-reactive mAbs inhibit the enzymatic activation of
66 influenza A viruses by ELLA but not in NA-STAR assays, supporting a steric-inhibition model.
67 Moreover, we detected reduced NI activity for the F(ab')₂ fragments from the stalk-reactive mAbs
68 compared to the same whole antibodies, providing direct evidence that anti-HA stalk antibodies
69 are sterically limiting NA access to sialic acids. The NI activity of HA-stalk binding but not NA-
70 binding antibodies is disrupted by dissociating HA and NA from virions, further supporting steric-
71 hindrance based mechanism of NI. Notably, only antibodies against the HA stalk with NI activity,
72 including 2 with high affinity binding, were able to mediate microneutralization. These findings
73 suggest that an important component of protection by anti-HA stalk reactive antibodies is via
74 steric-inhibition of NI activity.

75

76 **Results:**

77 **Stalk-reactive mAbs interfere with influenza A virus NA activity**

78 It has been shown that a subset of NA-reactive antibodies bind NA outside of the enzymatic site
79 and inhibit sialic acid cleavage only in ELLA but not NA-STAR assays (Chen et al., 2018). Two
80 examples of these antibodies are indicated in Figures 1B (1000-3B06 against H1N1 influenza, and
81 229-2C06 against H3N2 influenza). The mechanism of action of these antibodies is likely through
82 steric inhibition of NA from accessing sialic acid molecules bound by HA (Figure 1C, left).
83 Similarly, antibodies to epitopes on the outside periphery of the globular head of HA that do not
84 inhibit hemagglutination, and so do not bind the HA receptor-binding domain, can nonetheless
85 mediate protection by inhibiting viral egress similar to NI (Dreyfus et al., 2012). Thus, we
86 hypothesized that antibodies to the stalk region of HA, which will occupy space on the side of the
87 molecule, could similarly mediate NI activity, compounding the mechanism of protection
88 mediated to include both inhibition of fusion and inhibition of egress. As expected (Kosik and
89 Yewdell, 2017), antibodies binding the HA-head region of H1N1 and H3N2 influenza virus strains
90 robustly inhibit NA activity by ELLA (Figure 1B, EM-4C04 against H1N1 influenza and 3-50008-
91 2A06 against H3N2 influenza) because HA cannot bind the sialic acid on fetuin, and so NA is not
92 in enough proximity for enzymatic activity (Figure 1C, right). To determine if HA-stalk antibodies
93 elicit NI activity, we tested the well-characterized mAb 045-2B06 (Henry Dunand et al., 2015)
94 that binds the HA-stalk regions of group 1 and 2 influenza A HA molecules and mAb CR9114 that

95 binds the HA-stalk of both A and B influenza strain HAs (Dreyfus et al., 2012). Both of these
96 antibodies neutralize influenza *in vitro* and protect mice *in vivo* upon lethal challenge with
97 influenza and can competitively inhibit the binding of the other (Henry Dunand et al., 2015),
98 demonstrating that they bind similar protective HA-stalk epitopes. We find that both 045-2B06
99 and CR9114 provide NI activity against both A/California/7/2009 (H1N1) and
100 A/Switzerland/9715293/2013 (H3N2) by ELLA assay (Figure 1D). The likely mechanism for this
101 inhibition is steric hindrance in which the antibodies block access of NA to the sialic acids mound
102 by HA (Figure 1E). Conversely, neither 045-2B06 nor CR9114 had NI-activity to cleave the small,
103 unattached substrate in the NA-STAR assay (Figure 1F), suggesting a mechanism of steric
104 hindrance (compare Figures 1E versus 1G). Our results demonstrate that human antibodies reactive
105 to the HA-stalk inhibit the enzymatic activity of NA protein on H1N1 and H3N2 influenza virus
106 particles.

107

108 **Stalk-reactive mAbs interfere with NA activity by steric hindrance**

109 Because the antibodies inhibited NI activity on the attached substrate of the ELLA assay but not
110 on the free substrate of the NA-STAR assay, as depicted in Figures 1D and 1F, it appears the
111 mechanism of inhibition is likely steric hindrance of NA access to the sialic acid when bound by
112 HA. To investigate this possibility directly, we generated F(ab')₂ molecules from the 045-2B06
113 and CR9114 mAbs to reduce the size of the molecules, and thus the degree of steric hindrance,
114 without affecting the avidity of binding. The F(ab')₂ concentration of protein were also increased
115 to ensure equivalent binding of the F(ab')₂ molecules with that of the mAbs (Figure 2A and 2B).
116 Comparison via the ELLA assay showed that indeed the F(ab')₂ molecules had significantly
117 reduced NI activity in comparison to the whole mAbs against both H1N1 and H3N2 influenza
118 viruses (Figure 2C). Thus, while not completely allowing access of NA to the sialic acid, the
119 smaller antibody fragments allowed increased NA access to the substrate (Figure 2D), supporting
120 a steric hindrance model of NI by HA-stalk binding antibodies. As a final verification that
121 antibodies to the HA-stalk were inhibiting NA through steric hindrance, we dissociated the HA
122 and NA molecules from the virions, thus allowing NA to access the sialic acid substrates
123 completely independently of HA, regardless of the presence of antibody. For this analysis we
124 performed the ELLA assay in the presence of 1% of Triton X-100 to disrupt the viral envelope

125 lipid bilayer, releasing HA and NA similarly to seasonal split influenza virus vaccine production
126 (Gross et al., 1981). The detergent treatment completely abrogated NI activity of all the HA-stalk-
127 reactive and HA-head-reactive mAbs against both H1N1 and H3N2 virus strains, further
128 supporting the steric hindrance model for NI activity (Figure 3A and 3B). However, the NA-
129 reactive antibodies were able to inhibit NI activity, demonstrating integrity of the assay after
130 detergent treatment. In total, these various results demonstrate that in addition to the well-
131 appreciated mechanism of action disrupting viral entry, antibodies to the HA-stalk region also
132 inhibit the access of NA to sialic acid by steric hindrance and thus reduce viral egress.

133

134 **Stalk-reactive mAbs have varying capacities for NA enzymatic inhibition activity that**
135 **appears important for potency**

136 Various HA-stalk reactive antibodies have been shown to have distinct amino acids involved in
137 binding, various angles of interaction, and differences in specificity as indicated by the spectrum
138 of influenza strains bound (Wu and Wilson, 2018). Thus it is predicted that not all HA-stalk
139 binding antibodies will elicit NI activity to the same degree. Therefore we tested a panel of 13
140 human mAbs that were verified to bind HA-stalk epitopes (Andrews et al., 2015) for NI activity
141 by ELLA. Criteria for being classified as HA-stalk reactive included competitive binding with
142 structure-verified HA-stalk reactive antibodies including CR9114 (Dreyfus et al., 2012) and SC70-
143 F02 (Nachbagauer et al., 2018), as well as binding in a pH dependent fashion as the HA-stalk
144 epitope is disrupted at low pH (Andrews et al., 2015). The majority, but not all, of the anti-HA
145 stalk antibodies tested could inhibit NA activity, including: 69% (9 of 13) that are reactive with an
146 H1N1 influenza virus (Figure 4A and 4C) and 3 of 4 on hand that bind an H3N2 influenza virus
147 (Figure 4B and 4D). Notably, none of these antibodies had NI activity using the NA-STAR assay
148 (Figure 4E-H), suggesting that as with CR9114 and 045-2B06, they all inhibit NI via steric
149 interference of NA to sialic acid. Importantly, after testing the panel of mAbs by
150 microneutralization (MN) assay, we noted that only those antibodies that have NI activity were
151 able neutralize viral infection *in vitro* (Figure 5A and 5B). While two of the antibodies that lack
152 MN capacity were low avidity, possibly explaining the lack of both MN and NI activities, the other
153 two were of equal avidity to the set of HA stalk binding antibodies that did inhibit NI and neutralize
154 (Figure 5C). The lack of NI and MN activity of two of the HA-stalk binding antibodies that have

155 high avidity suggests that the epitope is bound in an atypical fashion. Thus we considered if these
156 antibodies were of the stereo-typical variety with restricted variable gene repertoires, biased for
157 VH1-69 and VH1-18 immunoglobulin heavy chain gene usage. Notably, while most of the NI+
158 antibodies appear to be stereotypical (Figure 6A), none of the NI-negative anti-HA stalk antibodies
159 used the most typical anti-HA stalk VH gene, VH1-69 (Figure 6B). Also, one of the high avidity
160 but NI-negative antibodies used VH3-23, which is indeed atypical, while the other high avidity
161 NI-negative antibody was encoded by VH1-18, which is a common stalk-related gene that is highly
162 similar to VH1-69. There were no other features of the immunoglobulin gene repertoire that were
163 distinct between NI-positive and NI-negative antibodies (Figure 6C-6F). These studies
164 demonstrate that while the majority of HA-stalk reactive antibodies inhibit NA activity a subset
165 do not and the capacity for NI appears to be important for potency.

166

167 **Discussion:**

168 The development of a “one-shot” universal influenza vaccine that will provide long-term or
169 improved immunity to influenza epidemics and pandemics is currently a major focus of the
170 biomedical community (Paules et al., 2017a; Paules et al., 2017b). The HA stalk is an important
171 target for the ongoing design of universal influenza vaccines and for recent and ongoing clinical
172 trials because the protective epitopes in this portion of HA are highly conserved across many
173 influenza subtypes (Henry et al., 2018; Krammer et al., 2018; Wu and Wilson, 2018). While the
174 appreciated mechanism of action of HA-stalk binding antibodies is to inhibit viral entry via
175 disrupting the HA conformational change required for viral-envelope to host cell membrane fusion,
176 there was one recent report using a microscopy-based assay suggesting the anti-HA stalk
177 antibodies also block influenza virus particle release (Yamayoshi et al., 2017). Herein, we show
178 that antibodies to the HA-stalk region inhibit the activity of NA through steric hindrance on whole
179 virions, adding to the mechanisms of protection mediated by this broadly-reactive class of
180 antibodies, and providing a mechanism for the previously observed inhibition of viral release.

181 It is notable that not all antibodies binding the HA-stalk region can inhibit NI activity, although
182 for the collection of anti-stalk antibodies tested for this study, NI activity correlated perfectly with
183 MN activity. Thus, NI activity is in the least an important correlate of neutralization-capacity, or
184 at most a critical component of the activity of anti-HA stalk binding antibodies. In future studies,

185 it will be important to distinguish the relative contributions of inhibiting viral entry versus viral
186 egress for HA-stalk reactive antibodies in protection.

187 A second interesting observation that should be evaluated is that there is a potential correlation
188 between the capacity for NI activity and the type of HA-stalk antibody elicited in that none of the
189 NI-negative antibodies binding the HA stalk were encoded by the stereotypical VH1-69 gene. A
190 more substantial evaluation of many anti-stalk antibodies for various properties and structural
191 studies to evaluate the particular contact residues and angle of binding for stalk-binding antibodies
192 that are NI will provide insight into this issue. Identifying stereotypical features for anti-HA stalk
193 antibodies with NI activity could inform on universal influenza vaccine design by, for example,
194 providing an impetus to develop germline boosting prime-boost strategies to elicit a particular
195 class of anti-HA stalk antibodies preferentially.

196 In conclusion, this study identifies NI inhibition as a new and additional mechanism of action for
197 the important class of anti-influenza antibodies that bind highly-conserved epitopes on the HA
198 stalk.

199

200 **Materials and Methods**

201 **Cells and viruses**

202 Both the Human Embryonic Kidney (HEK) 293T cells and Madin-Darby Canine Kidney
203 (MDCK) cells were obtained from ATCC. The 293T cells were maintained at 37°C with 5%
204 CO₂ in Advanced DMEM cell medium with 2% Ultra-Low IgG FBS (Gibco), 2 mM GlutaMAX
205 (Gibco), plus penicillin and streptomycin (100 mg/ml; Gibco). The MDCK cells were maintained
206 at 37°C with 5% CO₂ in DMEM cell medium with 10% FBS (Gibco), 1% L-Glutamine (Gibco)
207 and 1% Antibiotic-Antimycotic (Gibco) with All influenza virus stocks (A/California/7/2009
208 H1N1 and A/Switzerland/9715293/2013 H3N2) used for the assays were freshly grown in
209 specific pathogen free (SPF) eggs, harvested, purified, titered and stored at -80°C.

210

211 **Recombinant monoclonal antibody expression and purification**

212 Antibodies were generated as previously described (Andrews et al., 2015; Henry Dunand et al.,
213 2016; Smith et al., 2009; Wrammert et al., 2008). Briefly, the VH, Vk or Vλ genes amplified

214 from each single cell were cloned into IgG1, Igk or Igl expression vectors as previously
215 mentioned. 9 µg of each paired heavy and light chain plasmid DNA were transfected into the 293
216 cells using PEI (name of the brand) and incubated overnight. The next day, the transfection
217 media was aspirated from each plate and replaced by 25 ml of PFHM-II (Gibco). Secreted mAbs
218 were purified from the supernatant using protein A beads 4-5 days later. The mAbs was further
219 concentrated and buffer exchanged with PBS (pH 7.4) using Amicon Ultra centrifugal filter units
220 (30 kDa cutoff; Millipore). The final protein concentration was determined using a NanoDrop
221 device (Thermo Scientific).

222

223 Preparation of F(ab')₂ monoclonal antibody fragments

224 The whole antibodies CR9114 and 045-2B06 were reduced to a F(ab')₂ fragment using the
225 Pierce™ F(ab')₂ digestion kit (Cat#: 44988, Thermo Fisher) according to the manufactures
226 instructions.

227

228 Enzyme linked immunosorbent assay (ELISA)

229 High-protein binding microtiter plates (Costar) were coated with recombinant HAs or NAs at
230 1 µg/ml in phosphate buffered saline (PBS) overnight at 4°C. After blocking, serially diluted (3
231 fold) antibodies starting at 10 µg/ml were incubated for 1 h at 37°C. Horse radish peroxidase
232 (HRP)-conjugated goat anti-human IgG antibody diluted 1:1000 (Jackson Immuno Research)
233 was used to detect binding of mAbs, and was developed with Super Aquablue ELISA substrate
234 (eBiosciences). Absorbance was measured at 405nm on a microplate spectrophotometer
235 (BioRad). To standardize the assays, antibodies with known binding characteristics were
236 included on each plate and the plates were developed when the absorbance of the control reached
237 3.0 OD units (Denise Lau et al., 2017). To determine the binding of F(ab')₂ fragment by ELISA,
238 an HRP-conjugated goat anti-kappa antibody (SouthernBiotech) was used as a secondary
239 antibody.

240

241 NA enzyme linked lectin assay (ELLA)

242 ELLAs were performed as previously described (Westgeest et al., 2015). Flat-bottom 96-well
243 plates (Thermo Scientific) were coated with 100 μ l of fetuin (Sigma) at 25 μ g/ml overnight at 4°C.
244 Antibodies were serially diluted (twofold) in Dulbecco's phosphate-buffered saline (DPBS) with
245 0.05% Tween 20 and 1% BSA (DPBSTBSA), then incubated in duplicate fetuin-coated plates with
246 an equal volume of the selected virus particles dilution in DPBSTBSA. These plates were
247 incubated for 18 h at 37°C and washed six times with PBS with 0.05% Tween 20, and 100 μ l/well
248 of HRP-conjugated peanut agglutinin lectin (PNA-HRPO, Sigma–Aldrich) in DPBSTBSA was
249 added for 2h at RT in the dark. The plates were washed six times and were developed with Super
250 Aquablue ELISA substrate (eBiosciences). Absorbance was read at 405nm on a microplate
251 spectrophotometer (BioRad). Data points were analyzed using Prism software and the 50%
252 inhibition concentration (IC₅₀) was defined as concentration at which 50% of the NA activity was
253 inhibited compared to the negative control.

254

255 NA-STAR assay

256 The NA-STAR assay was performed according to the Resistance Detection Kit manufacturer's
257 instructions (Applied Biosystems, Darmstadt, Germany) (Nguyen et al., 2010). In brief, 25 μ l test
258 mAbs in serial twofold dilutions in NA-STAR assay buffer (26 mM 2-(N-morpholino)
259 ethanesulfonic acid ; 4 mM calcium chloride; pH 6.0) were mixed with 25 μ l of 4 X IC₅₀ of virus
260 and incubated at 37°C for 30 min. After adding 10 μ l of 1000 \times diluted NA-STAR substrate, the
261 plates were incubated at room temperature for another 30 minutes. The reaction was stopped by
262 adding 60 μ l of NA STAR accelerator. The chemiluminescent was determined by using the DTX
263 880 plate reader (Beckman Coulter). Data points were analyzed using Prism software and the 50%
264 inhibition concentration (IC₅₀) was defined as concentration at which 50% of the NA activity was
265 inhibited compared to the negative control. The final concentration of antibody (IC₅₀) was
266 determined using Prism software (GraphPad).

267

268 NI assay in the presence of detergent

269 To perform NI assay in the presence of detergent, all steps remained identical to those listed
270 above, except the following: firstly, a final concentration of 1% of Triton X-100 (Fisher

271 Bioreagents) was added directly to the virus particles, shaken gently at 37 °C for 1 hour. During
272 this time, antibodies were diluted using PBS with 1% Triton X-100. Prior to incubation with
273 mAbs, the virus preparation was diluted in PBS containing 1% BSA and 1% Triton X-100. The
274 NI assay was then performed as detailed above.

275

276 Microneutralization assay (MN)

277 MN assay for antibody characterization was carried out as previously described (Henry Dunand
278 et al., 2015). Briefly, MDCK cells were maintained in Dulbecco's modified Eagle's medium
279 (DMEM) supplemented with 10% fetal bovine serum (FBS) at 37°C with 5% CO₂. On the day
280 before the experiment, confluent MDCK cells in a 96-well format were washed twice with PBS
281 and incubated in minimal essential medium (MEM) supplemented with 1 µg/ml trypsin-TPCK.
282 Serial 2-fold dilutions (starting concentration 64 µg/ml) of mAb were mixed with an equal
283 volume of 100 50% tissue culture infectious doses (TCID₅₀) virus and incubated for 1 h at 37°C.
284 The mixture was removed and cells were cultured for 20 h at 37°C with 1X MEM supplemented
285 with 1 µg/ml trypsin-TPCK and appropriate mAb concentration. Cells were washed twice with
286 PBS, fixed with 80% acetone at -20°C for 1 h or overnight, washed 3 times with PBST, blocked
287 for 30 min with 10% FBS and then treated for 30 min with 2% H₂O₂ at room temperature (RT).
288 An anti-NP-biotinylated antibody (1:3000) in 3% BSA-PBS was incubated for 1h at RT. The
289 plates were developed with Super Aquablue ELISA substrate and read at 405 nm. The signal
290 from uninfected wells was averaged to represent 100% inhibition. Virus infected wells without
291 mAb were averaged to represent 0% inhibition. Duplication wells were used to calculate the
292 mean and SD of neutralization, and inhibitory concentration 50 (IC₅₀) was determined by a
293 sigmoidal dose response curve. The inhibition ratio (%) was calculated as below: $(OD(\text{Pos. Control}) - OD(\text{Sample})) / (OD(\text{Pos. Control}) - OD(\text{Neg. Control})) \times 100\%$. The final
294 concentration of antibody that reduced infection to 50% (IC₅₀) was determined using Prism
295 software (GraphPad).

297

298 Data analysis and statistics

299 GraphPad Prism 7 was used to perform all statistical analyses. Statistical significance is indicated
300 as follows: n.s. (not significant), $P > 0.05$; *, $P \leq 0.05$; **, $P \leq 0.01$; ***. Data was
301 presented as mean + standard deviation (SD) of triplicates.

302

303 **Author contributions:**

304 Y.-Q.C. designed and performed experiments, analyzed data, and wrote the manuscript. L.Y.-
305 L.L., M.H. and C.H. performed experiments and revised the manuscript. P.C.W. designed and
306 directed the project, analyzed data and wrote the manuscript.

307

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314 The authors declare no financial or commercial conflicts of interest.

315

316 **Figure legends:**

317 **Figure 1. HA stalk-reactive mAbs inhibit influenza virus NA activity.** (A) Model of NA
318 activity to cleave bound sialic acids to release newly-made virus particles. Note that the cleaved
319 sialic acid substrates provide the change in signal (reduced) for the ELLA and NA-STAR assay
320 readouts. (B) Examples of HA head-reactive mAbs and NA-binding mAbs with NI activity are
321 provided to illustrate inhibition of NA enzymatic activity via ELLA assays against
322 A/California/7/2009 (H1N1) virus and A/Switzerland/9715293/2013 (H3N2) virus. (C) Model
323 for how HA head-reactive mAb and NA-reactive mAb inhibit NA from cleaving off the sialic acid
324 from the host cell receptors. (D) HA stalk-reactive mAbs were tested for inhibiting NA enzymatic
325 activity via ELLA assays against A/California/7/2009 (H1N1) virus and
326 A/Switzerland/9715293/2013 (H3N2) virus. (E) Model for how HA stalk-reactive mAbs inhibit
327 NA from cleaving off the sialic acid from the host cell receptors via steric hindrance. (F) HA stalk-
328 reactive mAbs were tested for inhibiting NA enzymatic activity via NA-STAR assays against

329 A/California/7/2009 (H1N1) virus and A/Switzerland/9715293/2013 (H3N2) virus. **(G)** Models
330 for why NA-reactive but not HA stalk-reactive mAbs can inhibit NA from cleaving the sialic acid
331 in the NA-STAR assays. Oseltamivir was used as a positive control. Results are shown as mean \pm
332 s.d. of three independent experiments.

333

334 **Figure 2. F(ab')₂ molecules from stalk-reactive mAbs have reduced interference of virus NA**
335 **activity by steric hindrance (A)** Binding avidity of 045-2B06 and its F(ab')₂ fragment against
336 A/California/7/2009 rHA. **(B)** Binding avidity of CR9114 and its F(ab')₂ fragments against
337 A/California/7/2009 rHA. **(C)** HA stalk-reactive mAbs and their F(ab')₂ fragments were tested for
338 inhibiting NA enzymatic activity via ELLA assays against A/California/7/2009 (H1N1) virus and
339 A/Switzerland/9715293/2013 (H3N2) virus. **(D)** Model for why F(ab')₂ fragments of HA-stalk
340 reactive mAbs have reduced inhibition of NI in ELLA assays. Results are shown as mean \pm s.d. of
341 three independent experiments.

342

343 **Figure 3. HA-stalk reactive mAbs cannot interfere with influenza virus NA activity if HA**
344 **and NA are dissociated from the viral envelope.** A/California/7/2009 (H1N1) virus and
345 A/Switzerland/9715293/2013 (H3N2) virus were treated with 1% TritonX-100 for 1h at 37C **(A)**
346 HA-stalk and -head reactive mAbs as well as NA-reactive mAbs were tested for NI activity via
347 ELLA assays against TritonX-100 treated A/California/7/2009 (H1N1) virus and
348 A/Switzerland/9715293/2013 (H3N2) virus. **(B)** Model for why HA-reactive mAbs cannot disrupt
349 NA activity whereas NA-reactive mAbs can when the HA and NA glycoproteins are dissociated
350 from the viral envelope. Results are shown as mean \pm s.d. of two independent experiments.

351

352 **Figure 4. Stalk-reactive mAbs affect virus NA activity differently (A and C)** A set of HA stalk-
353 reactive mAbs were tested for NI activity via ELLA assays against A/California/7/2009 (H1N1)
354 virus. Oseltamivir was used as a positive control. **(B and D)** A set of HA stalk-reactive mAbs were
355 tested for NI activity via ELLA assays against A/Switzerland/9715293/2013 (H3N2) virus. are
356 represented as half-maximum inhibitory concentration IC₅₀ (nM). **(E and G)** A set of HA stalk-
357 reactive mAbs were tested for NI activity via NA-STAR assays against A/California/7/2009
358 (H1N1) virus. **(F and H)** A set of HA stalk-reactive mAbs were tested for NI activity via NA-
359 STAR assays against A/Switzerland/9715293/2013 (H3N2) virus. Data in panels C, D, G, and H

360 represent half-maximum inhibitory concentrations or IC₅₀ (nM). Oseltamivir was used as a
361 positive control for all assays. Data are representative of two independent experiments.

362

~~363~~

368 **Figure 5. NI activity of influenza HA-stalk reactive antibodies correlates with**
369 **microneutralization capacity (A and B)** HA stalk-reactive mAbs with or without NI activity
370 were tested for neutralization in vitro microneutralization (MN) assays using (A)
371 A/California/7/2009 (H1N1) virus and (B) A/Switzerland/9715293/2013 (H3N2) virus. Data are
372 represented as half-maximum inhibitory concentrations or IC₅₀ (µg /ml). Positive control HA-
373 reactive mAbs EM-4C04 (anti-H1N1) and 229-1C01 (anti-H3N2) bind HA and neutralize these
374 influenza virus strains. Influenza-non-reactive mAb 003-15D3 was used as a negative control in
375 the assays. (C) HA stalk-reactive mAbs were tested for binding affinity against HA recombinant
376 protein. Binding avidities (K_D) were estimated by Scatchard plot analyses of ELISA data. Bars
377 indicate median values. Data are representative of two independent experiments. n.s., not
378 significant.

379

380

381 **Figure 6. Heavy chain gene features of HA stalk-reactive mAbs with or without NI activity**
382 **(A and B)** Usage of VH immunoglobulin genes by (A) HA stalk-reactive B cells with NI activity
383 and (B) HA stalk-reactive B cells without NI activity. **(C and D)** Usage of JH immunoglobulin
384 genes by (C) HA stalk-reactive B cells with NI activity and (D) HA stalk-reactive B cells without
385 NI activity. **(E)** CDR3 length of HA stalk-reactive mAbs with and without NI activity, data are
386 represented as mean ± SD. **(F)** Total heavy chain amino acid mutations for HA stalk-reactive mAbs
387 with and without NI activity based on analysis using the NCBI IgBlast tool
388 (<https://www.ncbi.nlm.nih.gov/igblast/>), data are represented as mean ± SD.

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Figure 1

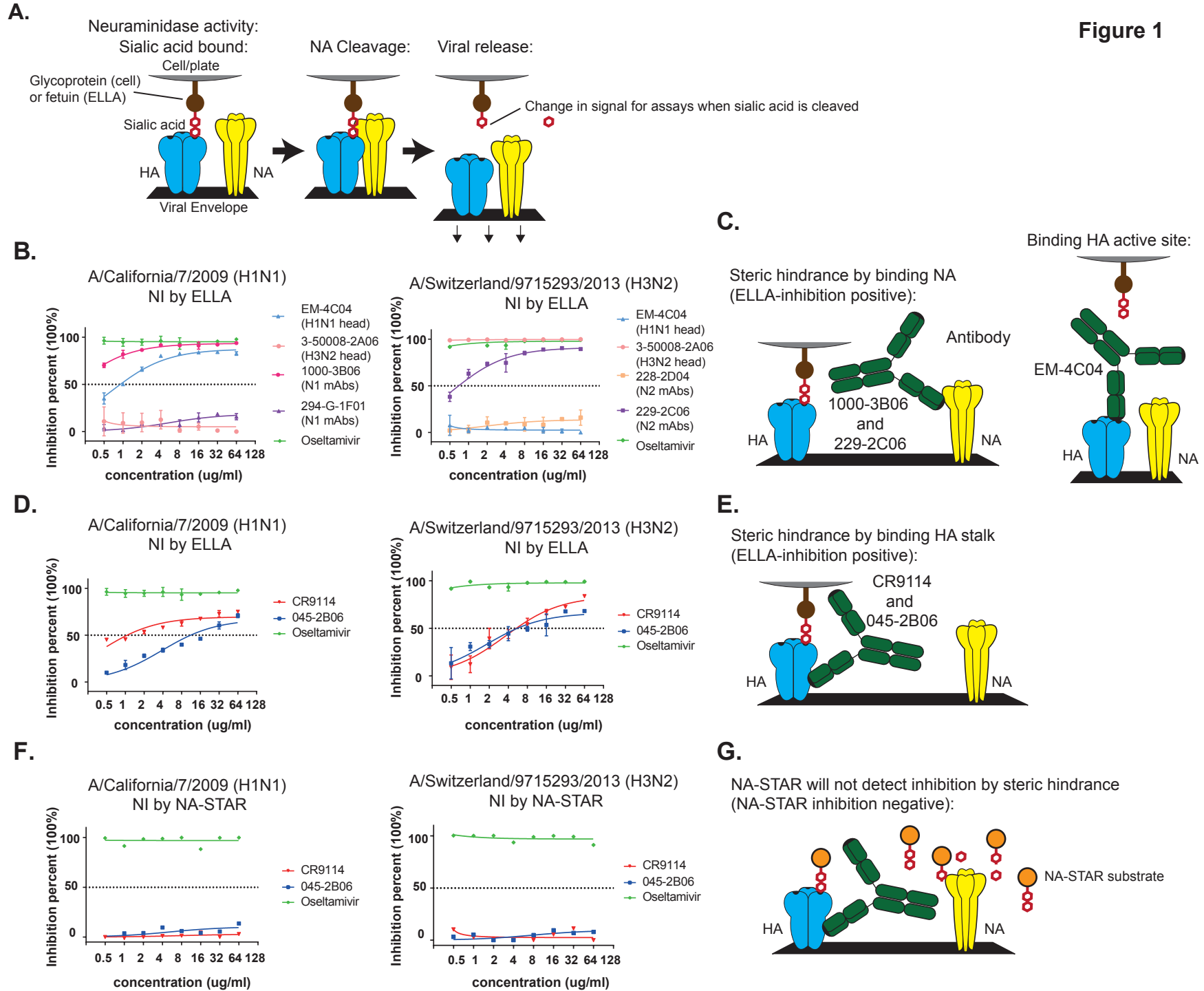


Figure 2

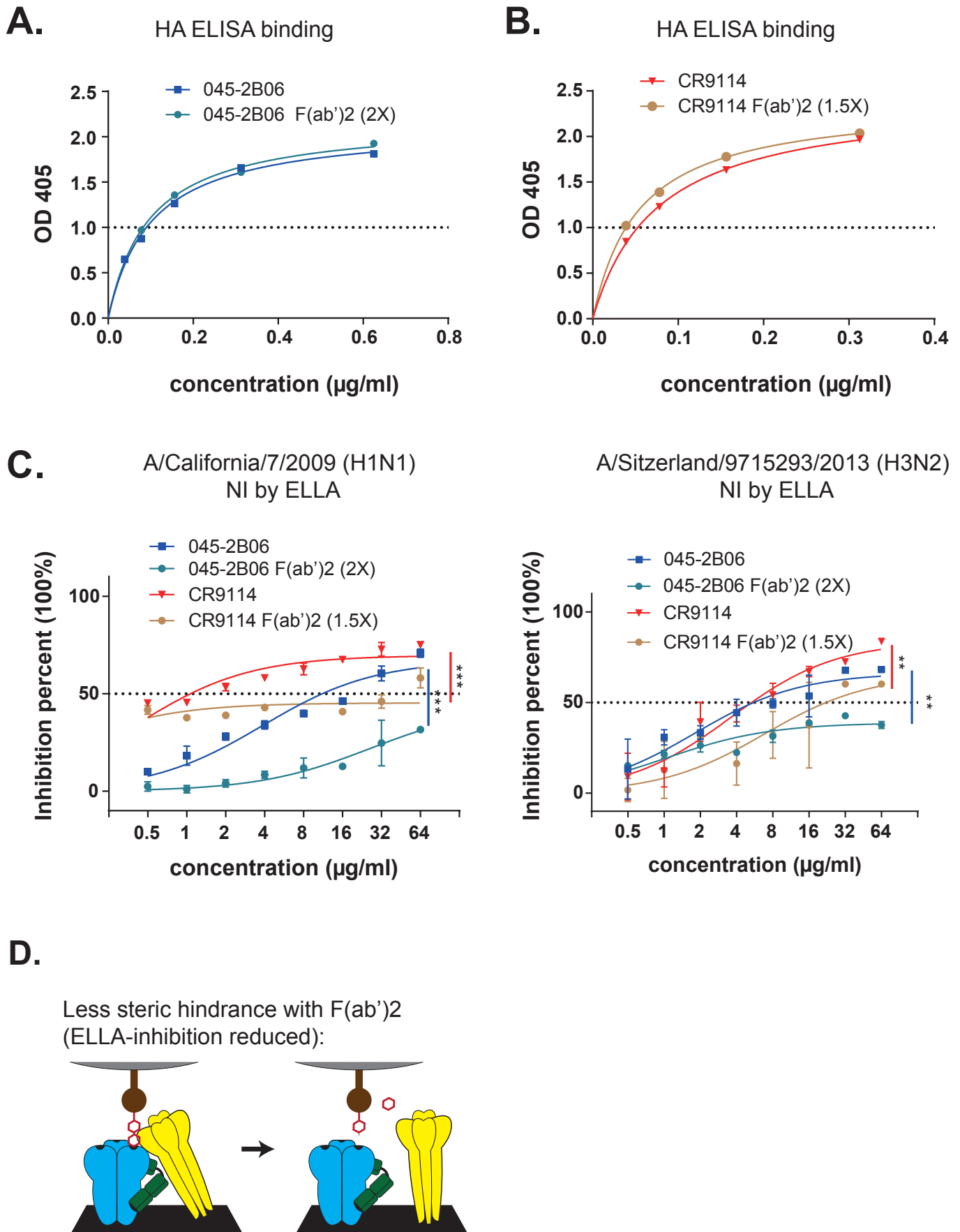
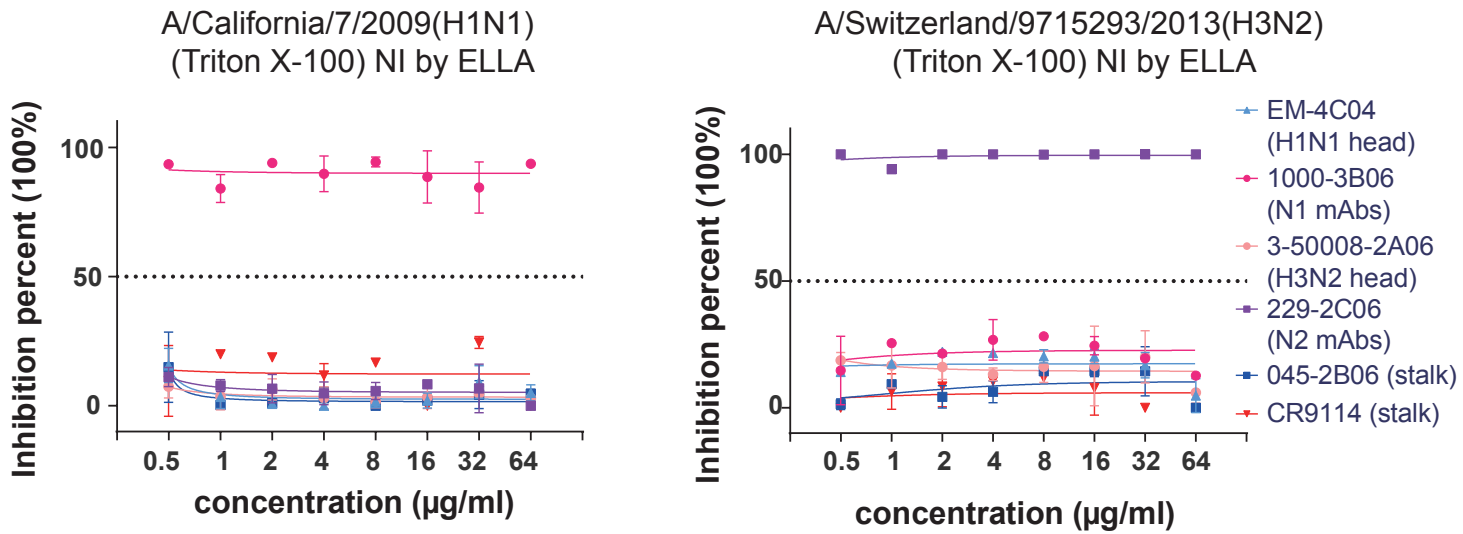


Figure 3

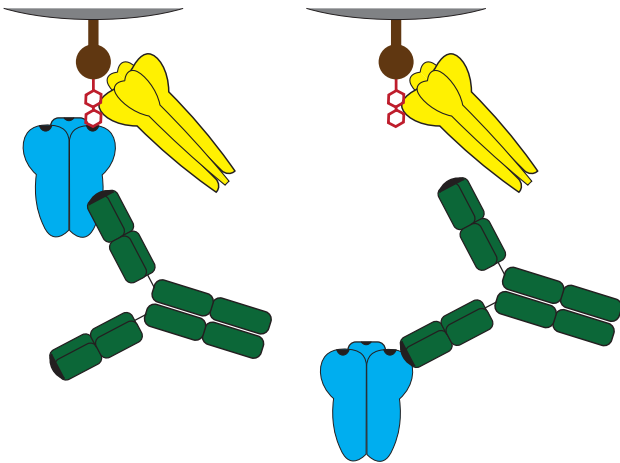
A.



B.

With HA and NA released from cell membrane by Triton X-100:

No NA inhibition with anti-HA mAb:



NA inhibited with anti-NA mAb:

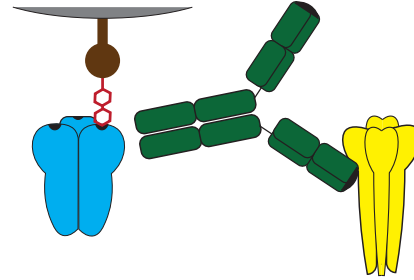


Figure 4

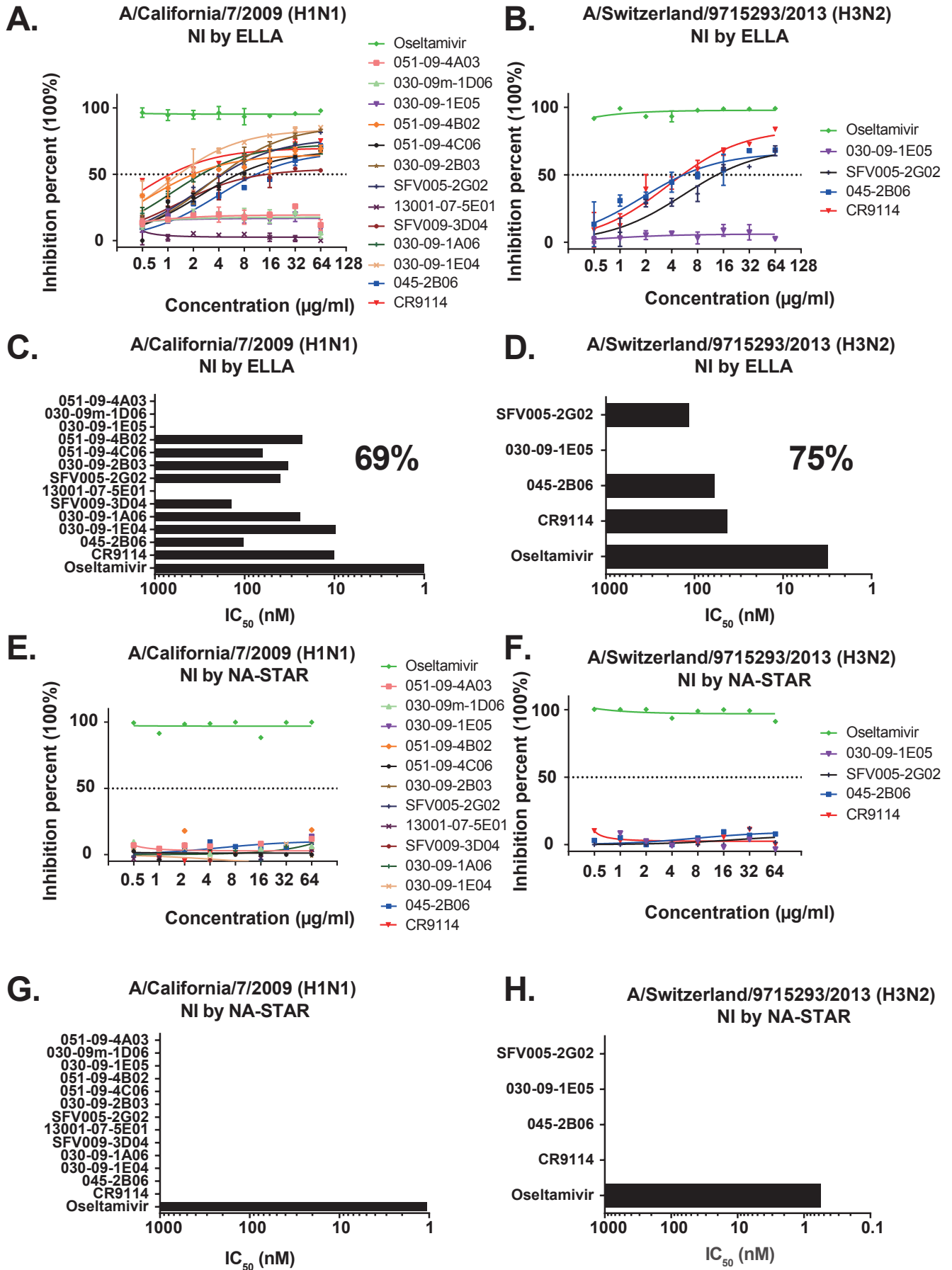


Figure 5

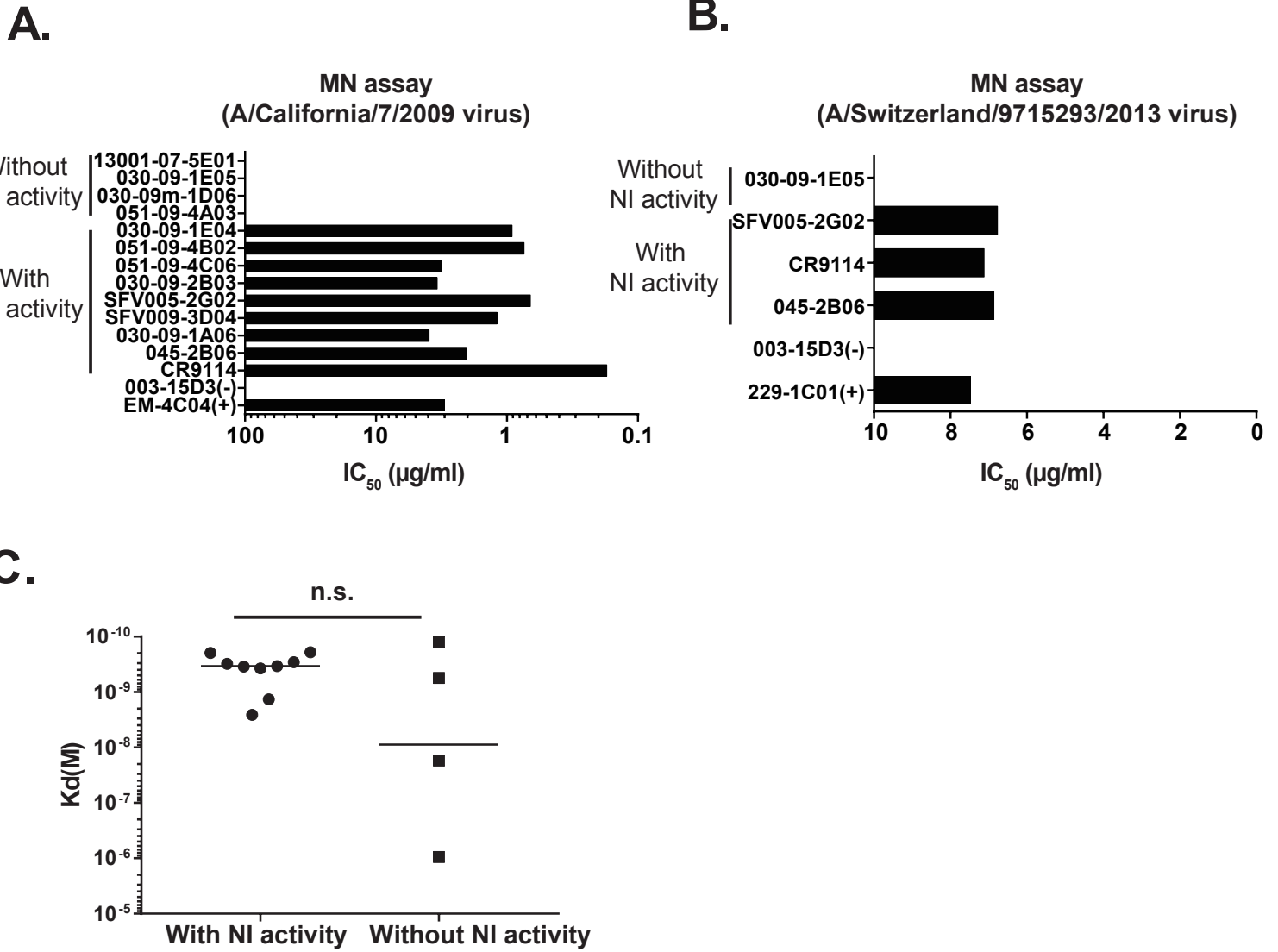
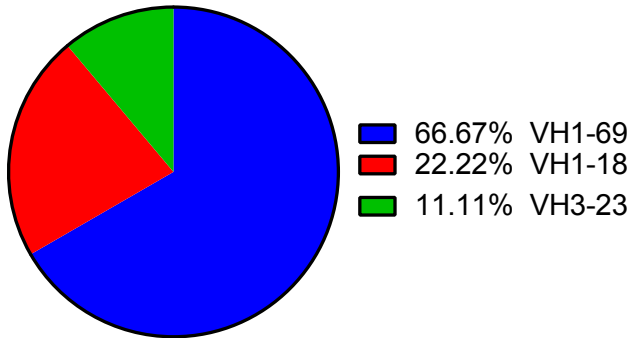
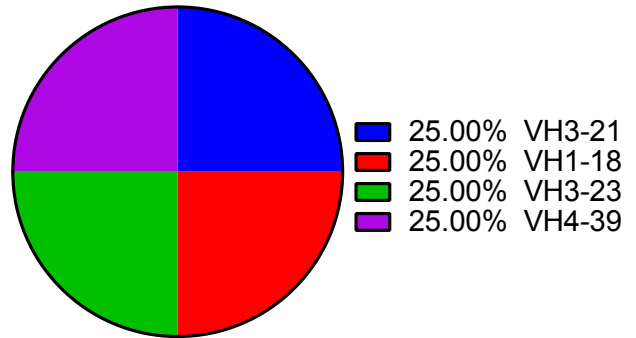


Figure 6

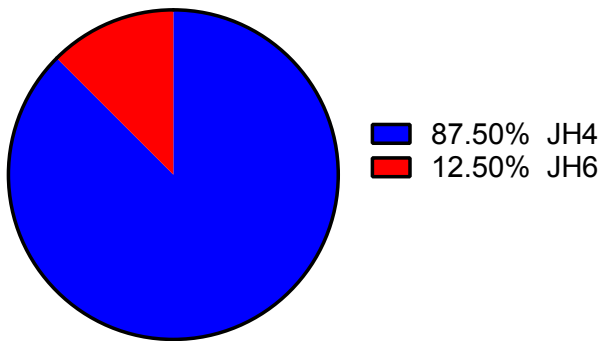
A. HA stalk mAbs with NI activity
VH usage



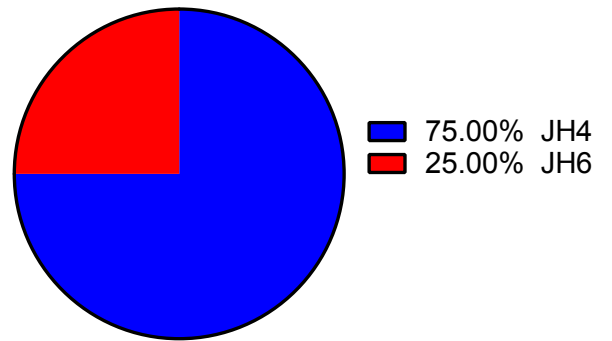
B. HA stalk mAbs without NI activity
VH usage



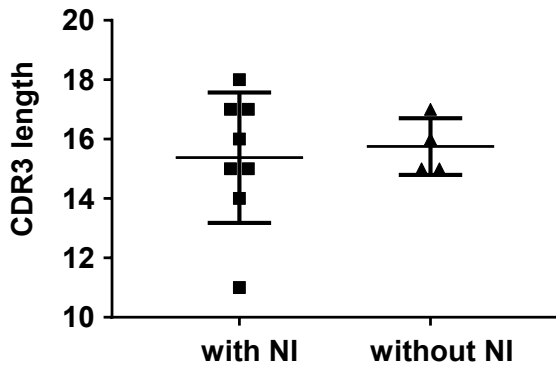
C. HA stalk mAbs with NI activity
JH usage



D. HA stalk mAbs without NI activity
JH usage



E.



F.

