

1 An homeotic post-transcriptional network controlled 2 by the RNA-binding protein RBMX

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4 Paola Zuccotti, Daniele Peroni, Valentina Potrich, Alessandro Quattrone, and Erik

5 Dassi*

6

7 Centre for Integrative Biology (CIBIO), University of Trento, via Sommarive 9, 38123

8 Trento, TN, Italy.

9

10 *Correspondence to Erik Dassi: erik.dassi@unitn.it

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14 **Running head:** RBMX controls homeotic genes expression

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16 **Keywords:** *post-transcriptional regulation, 5'UTR, phylogenetic conservation, RNA-*

17 *binding proteins, homeobox, RBMX*

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23 **Abstract**

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25 Post-transcriptional regulation (PTR) of gene expression is a powerful determinant of protein
26 levels and cellular phenotypes. The 5' and 3' untranslated regions of the mRNA (UTRs) mediate
27 this role through sequence and secondary structure elements bound by RNA-binding proteins
28 (RBPs) and noncoding RNAs. While functional regions in the 3'UTRs have been extensively
29 studied, the 5'UTRs are still relatively uncharacterized. To fill this gap, here we used a
30 computational approach based on phylogenetic conservation to identify hyper-conserved
31 elements in human 5'UTRs (5'HCEs). Our assumption, supported by the recovery of functionally
32 characterized elements, was that 5'HCEs would represent evolutionarily stable and hence
33 important PTR sites.

34 We identified over 5000 short, clustered 5'HCEs occurring in approximately 10% of human
35 protein-coding genes. Among these, homeotic genes were highly enriched. Indeed, 52 of the
36 258 characterized homeotic genes contained at least one 5'HCE, including members of all four
37 Hox clusters and several other families. Homeotic genes are essential transcriptional regulators.
38 They drive body plan and neuromuscular development, and the role of PTR in their expression
39 is mostly unknown. By integrating computational and experimental approaches we then
40 identified the RBMX RNA-binding protein as the initiator of a post-transcriptional cascade
41 regulating many such homeotic genes. RBMX is known to control its targets by modulating
42 transcript abundance and alternative splicing. Adding to that, we observed translational control
43 as a novel mode of regulation by this RBP.

44 This work thus establishes RBMX as a versatile master controller of homeotic genes and of the
45 developmental processes they drive.

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48 **Introduction**

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50 Post-transcriptional control of gene expression (PTR) has recently emerged as a key
51 determinant of protein levels and the consequent cell phenotypes (Schwanhäusser et al. 2011;
52 Vogel et al. 2010). The two untranslated regions of the mRNA, the 5' and 3'UTR, mediate this
53 role through sequence and secondary structure elements. These are bound by trans-factors
54 such as RNA-binding proteins (RBPs) and noncoding RNAs (ncRNAs). These factors ultimately
55 control the fate of a transcript by regulating its stability, localization, translation and influencing
56 several other aspects of PTR (Glisovic et al. 2008; Noh et al. 2018; Bartel 2018). RBPs, in
57 particular, are a major player in PTR, counting over 1500 human genes (Gerstberger et al.
58 2014). Their combined action forms a complex regulatory network of cooperative and
59 competitive interactions (Dassi 2017).

60 Many works have focused on characterizing regulatory elements in 3'UTRs, mainly concerning
61 mRNA stability and localization. However, less is known about functional regions in 5'UTRs.
62 Which are the factors binding them, and which is their impact on the fate of the transcripts
63 bearing them? A comprehensive catalog of functional regions in the 5'UTRs is still missing, thus
64 hampering our ability to understand the mechanisms exploiting this regulatory hotspot. We and
65 others have successfully compiled such a catalog in 3'UTRs, by exploiting the intuitive concept
66 that evolutionarily conserved regions in mRNAs may be functional (Dassi et al. 2013; Bejerano
67 2004; McCormack et al. 2012; Reneker et al. 2012; Sathirapongsasuti et al. 2011). The 5' and
68 3'UTRs appear to have different functions (Mayr 2017; Hinnebusch et al. 2016), and are thus
69 likely endowed with distinct profiles of cis-elements and targeting trans-factors. However, this
70 phylogenetic approach is general.

71 Homeotic genes are a key class of developmental regulators (Philippidou and Dasen 2013;
72 McGinnis and Krumlauf 1992; Olson and Rosenthal 1994). They are extremely conserved

73 throughout evolution and found in a range of species going from fungi to mammals (Holland
74 2013). These proteins, acting as transcription factors, are responsible for defining embryonic
75 regions identity along the anteroposterior and limb axes of vertebrates (Mallo et al. 2010;
76 Gehring 2012). Furthermore, homeotic genes have also been implicated in organ, neural and
77 muscular development (Philippidou and Dasen 2013; Zagozewski et al. 2014; Cambier et al.
78 2014). Much is known about how their expression is regulated at the transcriptional level (Mallo
79 and Alonso 2013). A few works have also focused on finding regulatory elements in homeotic
80 3'UTRs, uncovering several mechanisms mediated by RBPs (Nie et al. 2015; Fritz and
81 Stefanovic 2007; Pereira et al. 2013; Rogulja-Ortmann et al. 2014) and miRNAs (Yekta et al.
82 2004; Li et al. 2014; Wang et al. 2014). Concerning their 5'UTR, however, there is limited
83 evidence about the use of alternative transcription initiation sites (Regadas et al. 2013) and
84 regulation by RNA-binding proteins (Nie et al. 2015). Additionally, IRES-like elements found in
85 the 5'UTR of some Hox genes were observed to control ribosome specificity by recruiting
86 *RPL38* (Xue et al. 2015). Globally, we still know little about the homeotic genes 5'UTRs and the
87 post-transcriptional mechanisms acting on them.

88 Among the potential post-transcriptional regulators of these genes, *RBMX* is a scarcely studied
89 RBP whose inactivation has been previously associated with neuromuscular developmental
90 defects in *X. laevis* (Dichmann et al. 2008) and *D.rerio* (Tsend-Ayush et al. 2005). This suggests
91 it as a promising candidate regulator of homeotic genes. *RBMX*, also known as *hnRNP G*,
92 belongs to the *RBMX* gene family, of which it is an X-chromosome homolog. It contains a single
93 RRM domain and a C-terminal low-complexity region, by which it binds RNA. It was observed to
94 regulate splice site selection (Heinrich et al. 2009; Wang et al. 2011) and upregulate the
95 expression of the tumor suppressor *TXNIP* by an unspecified mechanism (Shin et al. 2008).
96 Further work found *RBMX* to be involved with the DNA-damage response (Adamson et al.
97 2012) and regulate centromere biogenesis (Cho et al. 2018; Matsunaga et al. 2012). Recently,
98 N6-methyladenosine marks were found to increase the accessibility of *RBMX* binding sites, thus

99 mediating its effect on its targets expression and splicing (Liu et al. 2017). Eventually, RBMX
100 mutations were associated with the insurgence of an X-linked intellectual disability (XLID)
101 syndrome associated with craniofacial dysmorphisms (Shashi et al. 2015).
102 We present here the analysis of hyper-conserved regions (HCEs) in 5'UTRs, based on a broad
103 set of 44 vertebrates. Among the 5248 identified HCEs are several known and highly conserved
104 regulatory sites, such as the iron response element (IRE), thus confirming the reliability of our
105 approach. Through this potentially functional catalog of 5'UTR regions, we identified a group of
106 homeotic genes controlled by the *RBMX* RNA-binding protein. We thus describe a novel
107 regulatory network controlling homeotic genes at the post-transcriptional level, and a novel role
108 for *RBMX* as a master translational controller of development.

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111 **Results**

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113 **5'UTR HCEs are short and clustered phylogenetic footprints**

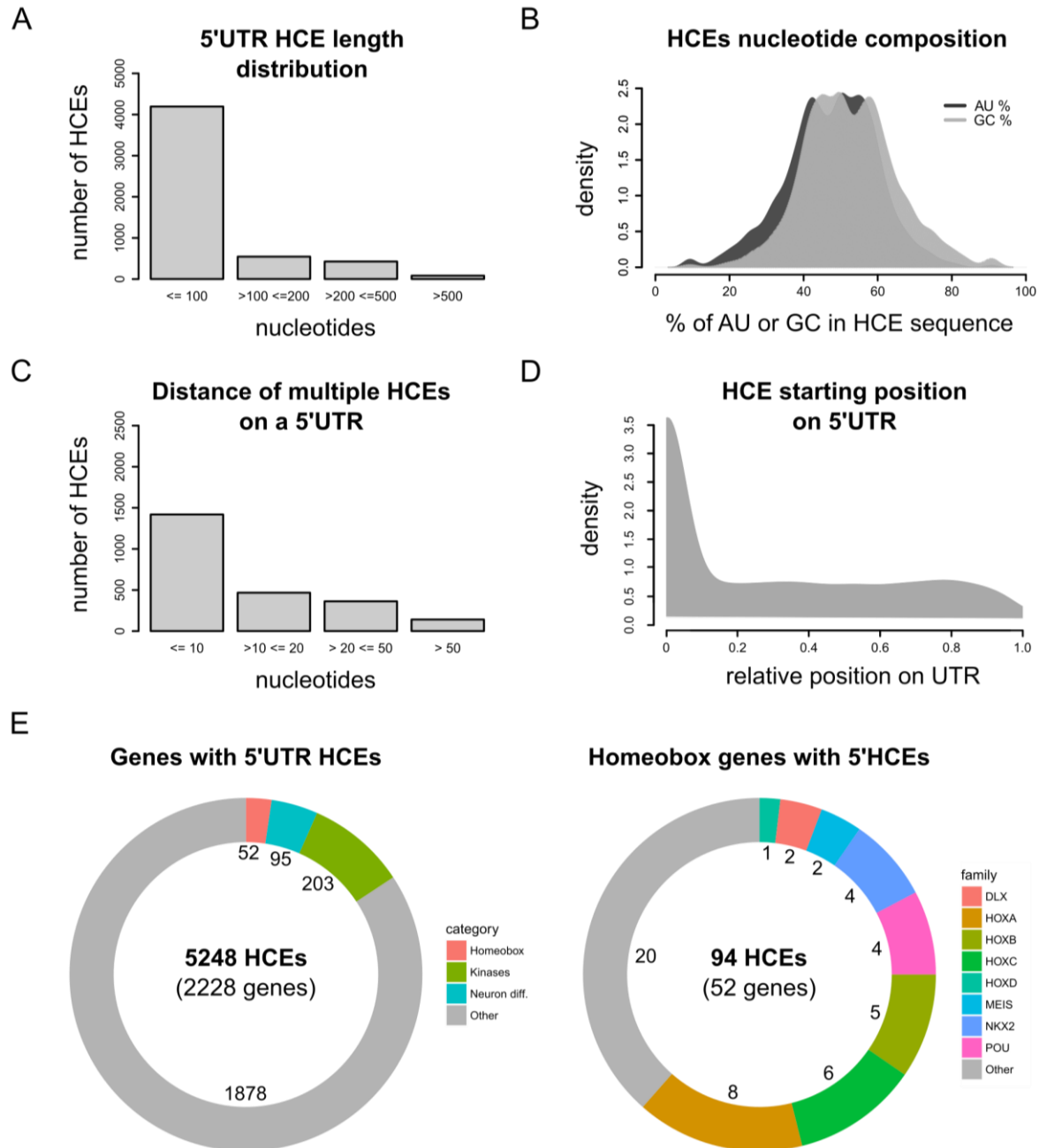
114 To extract phylogenetically conserved regions from the 5'UTR of human mRNAs, we applied to
115 the 5'UTRs the pipeline we previously described for 3'UTRs (Dassi et al. 2013). Briefly, we
116 computed a per-nucleotide hyper-conservation score (HCS), ranging from 0 to 1. The HCS is
117 the average of sequence conservation and the fraction of the phylogenetic tree covered by that
118 UTR alignment (branch length score). A sliding-window approach was then used to find 5-
119 nucleotides seeds with maximum conservation. Seeds were eventually extended upstream and
120 downstream along the UTR while HCS held above a threshold of 0.85, thus extracting only
121 highly conserved regions (see Methods). We term these regions 5' hyper-conserved elements
122 (5'HCEs).

123 This approach led to the identification of 5248 HCEs (**Supplementary Table 1**), contained in

124 the 5'UTRs of 2737 transcripts coding for 2228 distinct genes. As shown in **Figure 1A**, these
125 HCEs are mostly short, with an average length of 72 nucleotides and a median of 24 (minimum
126 length 5 nucleotides, maximum 1542). 5'HCEs are thus 28% shorter on average than 3'UTR
127 HCEs (Dassi et al. 2013). However, one should consider that 5'UTRs are also on average
128 almost three times shorter than 3'UTRs (mean length of 455 nts for 5'UTR and 1282 nts for
129 3'UTRs). We then analyzed the nucleotide composition of 5'HCEs. **Figure 1B** shows the
130 absence of imbalance in the frequencies of AU (typically enriched in 3'UTRs) and GC
131 nucleotides. To further characterize the properties of 5'HCEs, we also observed their relative
132 positional distribution. As can be seen in **Figure 1C**, almost a third of all 5'HCEs (1463/5248)
133 are within 10 bases of another 5'HCE. This figure increases to almost 50% if we exclude 2028
134 isolated 5'HCEs (i.e., only 5'HCE found on that given 5'UTR), and only a few (155) are at least
135 50 nucleotides away from another 5'HCE. Globally, if considering a maximum distance of 20
136 nucleotides between 5'HCEs, 2672 out of 3220 non-isolated 5'HCEs (82%) are found in
137 clusters. This suggests the prevalence of a clustered 5'HCE organization, a pattern also
138 observed for 3'UTR HCEs (Dassi et al. 2013). Eventually, we analyzed the position of 5'HCEs in
139 the containing UTRs. We observed them to be spread along the 5'UTR with a preference for its
140 initial 10% (**Figure 1D**, 48% of HCEs). However, 27% of the 5'HCEs cover 95% or more of their
141 5'UTR, and thus start around its first bases. When excluding these, this positional preference
142 decreases to 18% of the 5'HCEs only.

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146 **Figure 1: Homeotic genes are enriched in 5'UTR HCEs. A)** shows the distribution of 5' hyper-

147 conserved elements (5'HCEs) lengths, highlighting a striking majority of these to be shorter than

148 100 nucleotides. **B)** displays the density of AU and GC nucleotides frequencies in 5'HCEs,

149 indicating that no significant bias in the homeoposition can be observed. **C)** shows the distance

150 between HCEs on 5'UTRs carrying more than one of these elements. There is a tendency for

151 HCEs to be "clustered", i.e. in proximity to one another. **D)** displays the density of relative HCE

152 start positions on 5'UTRs (0 = UTR start, 1 = UTR end). HCEs are evenly distributed with the
153 exception of an increased density in the first 10% of the UTR (representing less than 25% of all
154 HCEs). **E**) shows the distribution of 5'HCEs by functional gene categories (left) and the
155 abundance of homeotic families in HCE-containing homeobox genes (right). Numbers next to
156 each category/family show the related number of genes.

157

158 We then sought to understand whether the identified 5'HCEs are representative of functional
159 regions in the 5'UTRs. We thus searched in the AURA2 database (Dassi et al. 2014) for several
160 5'UTR cis-elements and RBP binding sites that were previously characterized and are known to
161 be highly conserved. In particular, as shown in **Supplementary Figure 1**, we first considered
162 two conserved iron response elements (IREs) (Gray et al. 1996; Hentze et al. 1987) in *ferritin*
163 (*FTL*, **S1A**) and *aconitase 2* (*ACO2*, **S1B**) mRNAs. In both cases, an HCE is identified in that
164 5'UTR and contains the IRE. We then considered two conserved binding sites for the *LARP6*
165 RBP, on *collagen alpha type 1* (*COL1A1*, **S1C**) (Cai et al. 2010) and *ornithine decarboxylase 1*
166 (*ODC1*, **S1D**) (Manzella and Blackshear 1992) mRNA. While for *COL1A1* the identified HCE
167 completely contains the *LARP6* binding site, in the case of *ODC1* the overlap is only partial but
168 still present. Furthermore, 5'HCEs do not overlap with uORFs (Wethmar 2014) or IRESs
169 (Yamamoto et al. 2017). Globally, these observations show that our HCE detection algorithm
170 can identify functionally relevant phylogenetic footprints in 5'UTRs. Furthermore, it suggests
171 5'HCEs to be potential binding sites for RBPs.

172

173 **Homeotic genes are enriched in 5'HCEs**

174 We then annotated groups of functionally related genes among the 2228 containing one or more
175 5'HCEs. To do so, we performed a functional enrichment of genes, pathways and protein
176 domain ontologies using DAVID (Huang et al. 2007). The results we obtained, as shown in
177 **Figure 1E** and **Supplementary Table 2**, revealed the presence of three functional themes

178 endowed with high significance. The first theme, the homeobox, involves 52 genes representing
179 several families of these essential transcription factors (Ladam and Sagerström 2014).
180 Homeobox genes are responsible for developmental patterns (Gehring 2012; Philippidou and
181 Dasen 2013; Zagozewski et al. 2014) and are highly conserved throughout vertebrates, from the
182 fruit fly to human (Holland 2013). A second, functionally broader theme, regroups 95 genes
183 implicated in neuronal differentiation, some of which are also part of the previous theme. The
184 last theme is made up of 203 protein kinases. These include various kinase types (Ser/Thr, Tyr,
185 and others) affecting several signaling pathways (such as MAPK, NF κ B, and others, as shown
186 in **Figure 1E**). Given the importance of homeotic genes in development and their high functional
187 coherence, we decided to focus our attention on this theme. We reasoned that these features
188 could allow us to trace a meaningful homeobox PTR network and investigate the related
189 regulatory mechanisms.

190 Among these 52 genes, whose 5'UTRs contain 94 HCEs, we can find members of all four Hox
191 clusters (eight *HOXA*, five *HOXB*, six *HOXC* and one *HOXD* genes). Other families are also
192 included, such as *NKX* and *POU* (four genes each), *MEIS* and *DLX* (two genes each). All these
193 proteins contain a homeobox domain and control developmental processes. Nevertheless,
194 specific functions such as pattern specification (25 genes), cell motion (10 genes) and neuronal
195 differentiation (20 genes) involve only a subset of these 52 genes. Homeobox 5'HCEs have a
196 median length of 28 nucleotides (ranging from 5 to 423 nucleotides). They are often clustered,
197 as 48/63 non-isolated HCEs are within 20 nucleotides of one another. **Supplementary Table 3**
198 and **4** present the complete list of genes composing this theme and their functional annotation.

199

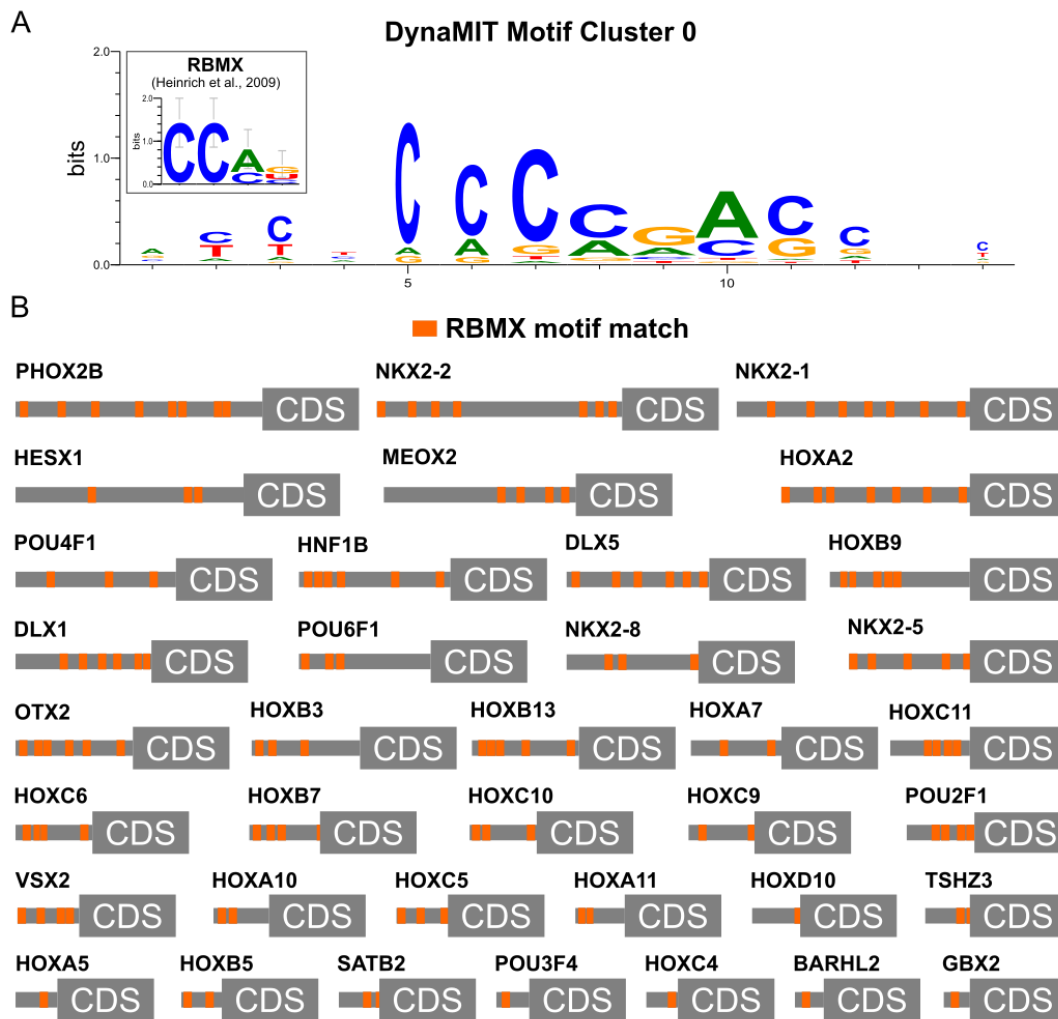
200 **Homeotic 5'HCEs contain an RBMX-binding signature**

201 We thus asked ourselves whether this set of homeotic genes could be controlled by a common
202 regulatory mechanism through binding sites within their 5'HCEs. To identify such mechanism
203 we first performed an integrative motif search with DynaMIT (Dassi and Quattrone 2016),

204 combining a sequence search (using Weeder (Pavesi et al. 2004)) with an RNA secondary
205 structure search (using RNAforester (Höchsmann et al. 2003)). Integration of the motifs
206 identified by the two tools was done by clustering motifs co-occurring on the same sequences.
207 Among the results, the best cluster included both sequence and secondary structure motifs
208 shared by most homeotic 5'HCEs. The resulting motif, as shown in **Figure 2A**, is short,
209 unstructured, and C-rich. Breaking down the consensus by its composing motifs reveals CGAC
210 as shared by sequence search motifs of all length and CCAG as secondary structure search
211 consensus.

212 Given this motif indication, we then proceeded by trying to understand which trans-factor may
213 be binding it in order to exert a regulatory function on these homeotic genes. To this goal, we
214 performed a search on known RBP binding motifs using the CISBP-RNA database (Ray et al.
215 2013). The results highlighted a protein, RBMX, having a binding consensus strikingly similar to
216 the motifs found in these 5'HCEs (similarity score 93.5% and Pearson correlation 0.73). Its
217 known consensus, derived by a SELEX experiment (Heinrich et al. 2009) is shown as a
218 weblogo in the inset of **Figure 2A**.

219 To systematically map potential RBMX binding sites on homeotic genes 5'HCEs, we thus
220 performed a pattern match analysis with the RBMX binding motif. The results, displayed in
221 **Figure 2B**, show potential RBMX binding sites distributed throughout the homeotic genes
222 5'UTRs. These sites appear to be preferentially located in the proximity of each other (median
223 17 nts, average 41). Such sites distribution hints to a potential for homomultimeric RNA binding
224 as previously observed for RBMX (Heinrich et al. 2009).



225

226 **Figure 2: Homeotic genes 5'HCEs contain an RBMX binding signature. A)** presents the
227 best motif cluster identified by DynaMIT in homeotic 5'HCEs by integrating sequence and
228 secondary structure motif searches. The leftmost inset displays the currently known binding
229 motif of RBMX as a WebLogo for comparison. **B)** displays matches for the RBMX binding motif
230 on the portion of homeotic genes 5'UTRs contained into an HCE. Matches, represented by
231 orange boxes, are clustered in 15 nucleotides windows (i.e. a single orange box may include
232 multiple matches within 15 nucleotides) for visualization purposes.

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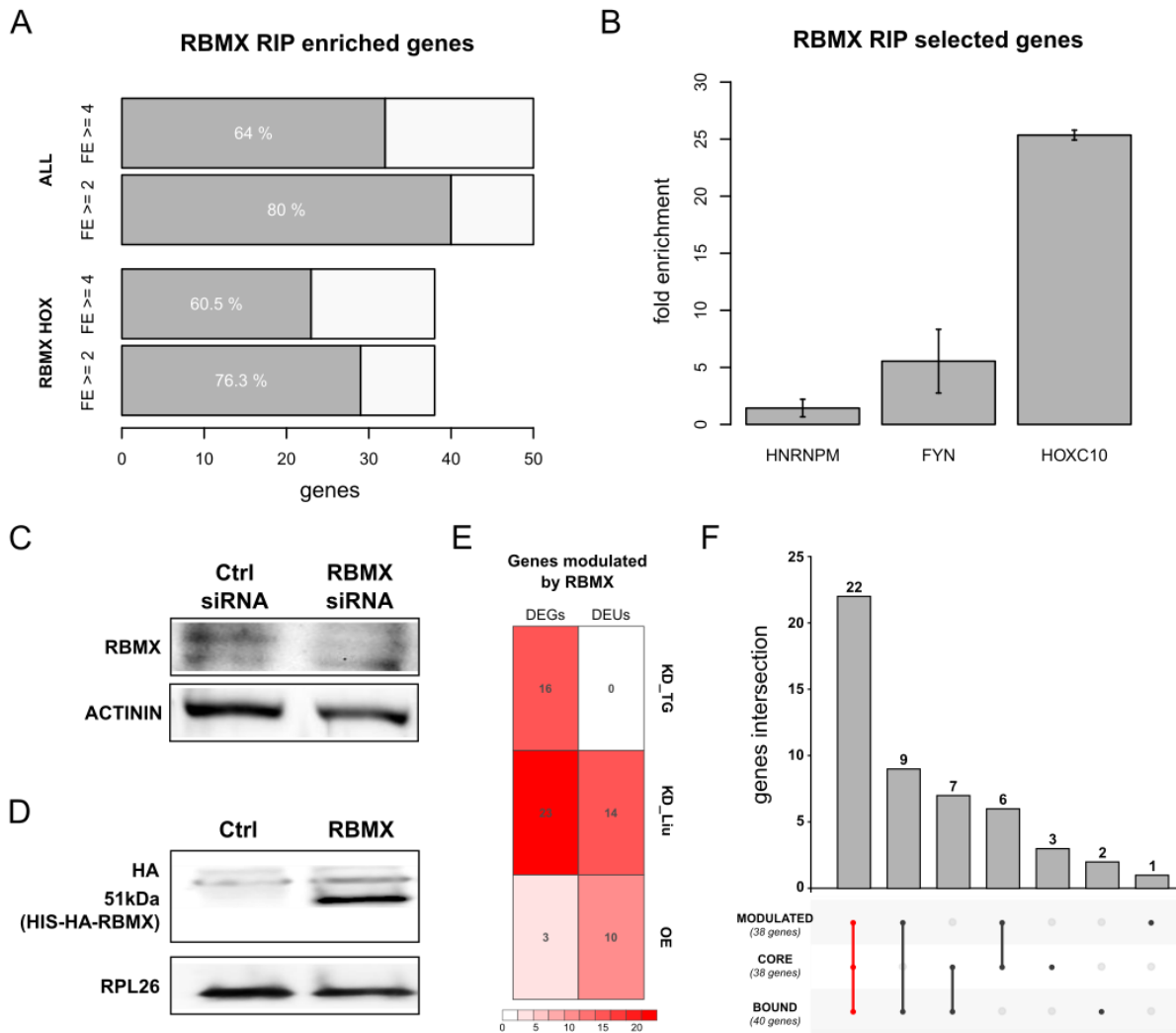
234 **RBMX binds to homeotic genes mRNAs**

235 The motif signature we detected in 5'HCEs of homeotic genes suggests that RBMX may
236 contribute to the post-transcriptional regulation of their mRNAs. *RBMX* (also known as
237 *HNRNPG*) is an RBP of the *RBMX* family, associated with neuromuscular developmental
238 defects in *X. laevis* (Dichmann et al. 2008) and *D. rerio* (Tsend-Ayush et al. 2005), thus making
239 it a promising candidate for post-transcriptional control of homeotic genes. To investigate this
240 assumption, we first sought to confirm that RBMX is binding to homeotic mRNAs. We performed
241 an RNA immunoprecipitation (RIP) assay followed by targeted RNA-sequencing in HEK293
242 cells, thus probing RBMX binding strength on the mRNAs of 50 genes. These included our 38
243 "core" homeotic genes, RBMX, two controls and additional members of families represented in
244 the core genes. We computed the fold enrichment for each gene as the ratio between the
245 normalized abundance in the RIP and the corresponding input samples. As shown in **Figure**
246 **3A**, we found 29/38 (76%) core homeotic genes to be enriched at least twofold in at least two of
247 the three replicates (23/38 if using a fold enrichment threshold of four). Members of all four HOX
248 clusters and other families such as *NKX* and *POU* were enriched. If considering all the 50 tested
249 genes, 40 (80%) are enriched at least twofold in at least two replicates (32/50 if using a fold
250 enrichment threshold of four). Among these, we find a known target of RBMX (Heinrich et al.
251 2009), *FYN*, which we used as positive control (2/3 replicates, average fold enrichment of 5.45).
252 Our negative control, *HNRNPM*, is instead not enriched in any replicate (average fold
253 enrichment of 1.43), as shown in **Figure 3B**. Remarkably, RBMX also binds to its cognate
254 mRNA (all replicates, median fold enrichment 8.11), a frequent feature of RBPs (Dassi 2017).
255 The list of bound genes can be found in **Supplementary Table 5**. Globally, this assay suggests
256 that RBMX is indeed binding to a wide set of homeotic genes mRNAs. Thus, this RBP likely
257 contributes to the post-transcriptional regulation of their expression.

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265 **Figure 3. RBMX binds to homeotic genes mRNAs and controls their expression and**

266 **splicing. A)** shows the number of genes enriched in the RBMX RIP assay, at fold enrichment

267 greater or equal than 2 (FE \geq 2) or 4 (FE \geq 4) in at least 2/3 replicates. Two sets of genes are

268 considered, namely the core HOX genes set (RBMX HOX, 38 genes) and all tested genes (ALL,

269 50 genes). **B)** displays the fold enrichment in the RIP assay for the negative control (HNRNPM),

270 the positive control (FYN), and one representative of the HOX core genes set (HOXC10). **C)**

271 RBMX western blot in HEK293 cells treated with control and RBMX siRNA, with Actinin used as
272 reference protein. **D)** HA-tag western blot in control and RBMX-overexpressing HEK293 cells,
273 with RPL26 used as reference protein. **E)** shows the number of differentially expressed (DEGs)
274 and differential exon usage (DEUs) genes in our RBMX knock-down targeted RNA-seq
275 (KD_TG), the Liu RBMX knock-down dataset (KD_Liu, (Liu et al. 2017)) and our RBMX
276 overexpression dataset (OE). **F)** displays all the intersections for the set of genes modulated by
277 RBMX (MODULATED, defined as the union of DEGs and DEUs), the core set of homeotic
278 genes (CORE), and genes bound by RBMX as per the RIP assay (BOUND). The intersection of
279 all three is highlighted in red.

280

281 **RBMX controls homeotic genes mRNAs by post-transcriptional mechanisms**

282 Given that RBMX binds to the mRNA of most homeotic genes containing a 5'HCE, we
283 eventually sought to understand the impact this RBP has on their expression. To do so, we first
284 used siRNAs to knock-down RBMX in HEK293 cells, reducing its protein level by 78% (**Figure**
285 **3C**, t-test p-value=0.00214). We thus performed a transcriptome profiling followed by targeted
286 RNA-sequencing of total and polysomal fractions for the 50 genes which we previously tested
287 by RNA immunoprecipitation. By this preliminary analysis, we identified 16 genes which were
288 significantly upregulated at the polysomal level when silencing RBMX (log2 fold change ≥ 1 and
289 adjusted p-value ≤ 0.1). Of these, 12 were part of the core homeotic genes and included
290 members of all four HOX clusters, the DLX and POU families. However, replicates of samples at
291 the total level were quite variable (average Spearman correlation=0.83). Furthermore, this
292 assay does not allow to detect alternative splicing events, which can also be modulated by
293 RBMX (Heinrich et al. 2009; Wang et al. 2011). So, to expand this analysis, we reanalyzed a
294 recently published whole-transcriptome RNA-sequencing of HEK293 cells at the total level after
295 RBMX knock-down (Liu et al. 2017). Eventually, we completed this dataset by overexpressing
296 RBMX via a HIS-HA-tagged construct (**Figure 3D**) in the same cell type. These cells were then

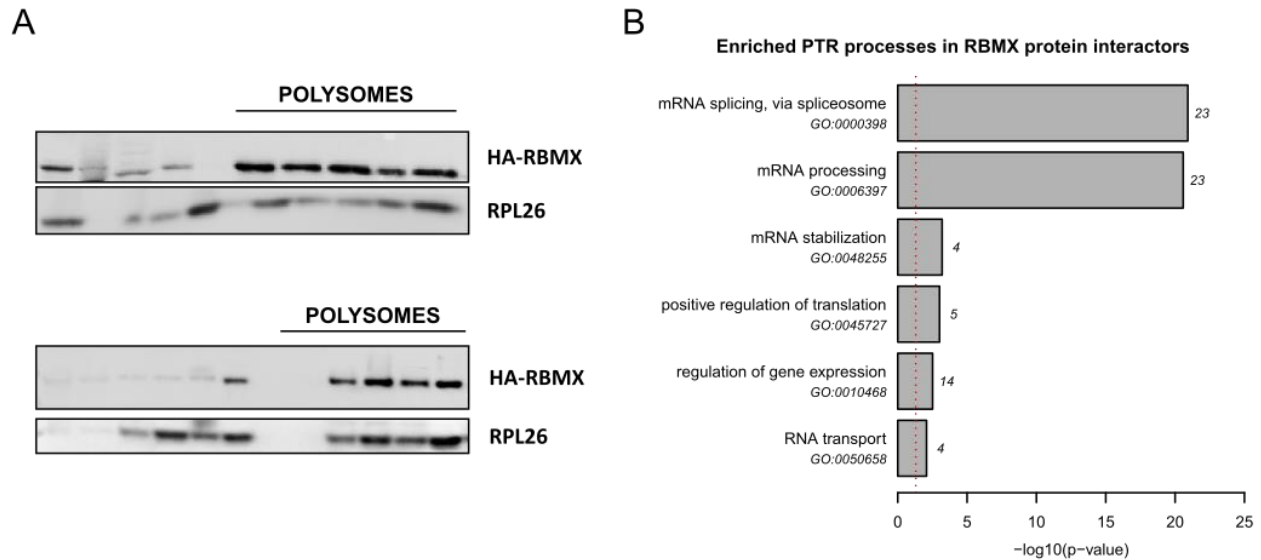
297 subjected to translome profiling followed by whole-transcriptome RNA-sequencing of the total
298 and polysomal fractions. Both datasets were analyzed to find differentially expressed genes
299 (DEGs) and differential exon usage (DEU) events (**Figure 3E**). DEGs were mostly in the knock-
300 down (23/50 genes, 6 up- and 7 down-regulated), with only 3/50 genes in overexpressed
301 samples (two up- and one down-regulated, 2 DEGs at both the total and polysomal level,
302 adjusted p-value ≤ 0.05). DEU events were more evenly distributed, with 10/50 genes affected
303 in the overexpressed (all upregulated exons, 6 at both the total and polysomal level) and 14/50
304 in the knock-down (4 up-, 3 down-regulated and 7 with both up- and down-regulated exons,
305 adjusted p-value ≤ 0.05). Eventually, we intersected all the datasets, also including the RIP
306 data to identify a consistently controlled set of homeotic genes. As shown in **Figure 3F**, 38/50
307 genes (76%) are controlled by RBMX, via alternative splicing or differential expression at the
308 total and polysomal level. Of these, 31 (62%) were also identified as bound by RBMX in the RIP
309 assay. Of the 38 core homeotic genes, 28 are modulated (73%), 22 of which (58%) were also
310 identified as bound by RBMX. Modulated genes in the core set include genes from all four HOX
311 clusters, the DLX, NKX2 and POU family. Lists of modulated genes for each dataset can be
312 found in **Supplementary Table 6** and **7**. Globally, this data indicates that RBMX extensively
313 controls the fate of homeotic genes mRNAs through complementary regulatory mechanisms.

314

315 **RBMX is a translational regulator**

316 RBMX controls its targets both by modulating their alternative splicing (Heinrich et al. 2009;
317 Wang et al. 2011; Liu et al. 2017) and transcript abundance (Liu et al. 2017; Shin et al. 2008).
318 The data we presented further confirm these findings. Furthermore, a few events (1 DEG and 4
319 DEUs) were observed at the polysomal level only, suggesting the possibility that RBMX may
320 also act as a translational regulator. To verify this possibility, we thus checked whether RBMX is
321 located in polysomes. We performed a polysomal profiling assay, followed by a fraction-by-
322 fraction western blot against RBMX and RPL26, a component of the ribosome. As shown in

323 **Figure 4A**, cytoplasmic RBMX is predominantly found in the polysomal fractions, with no
324 apparent increase in abundance in heavy polysomes with respect to lighter ones.
325 Eventually, we reasoned that observing RBMX associated with translation factors and ribosomal
326 proteins would further suggest it to be a translational regulator. To verify this possibility, we thus
327 analyzed known protein-protein interactions (PPIs) of RBMX. We collected experimentally
328 determined PPIs from the STRING (Szklarczyk et al. 2017) and IntAct (Orchard et al. 2014)
329 databases and performed a functional enrichment analysis of the resulting 83 RBMX interactors
330 (full list of genes and enriched terms in **Supplementary Table 8 and 9**). As shown in **Figure**
331 **4B**, mRNA splicing and processing are enriched (23 genes, adjusted Fisher test $p=1.21E-21$
332 and $2.6E-21$), along with mRNA stabilization (4 genes, adjusted Fisher test $p=6.3E-04$). RBMX
333 interactors also include several translational regulators, represented by the “positive regulation
334 of translation” process (5 genes, adjusted Fisher test $p=9.7E-04$). In particular, RBM3 interacts
335 with RPL4 and is associated with polysomes through the 60S ribosomal subunit (Dresios et al.
336 2005), as is FXR2 (Siomi et al. 1996; Corbin et al. 1997). Eventually, CIRBP interacts with the
337 EIF4G1 translation initiation factor (Yang et al. 2006), and KHDRBS1 associates with
338 polysomes (Paronetto et al. 2006). Globally, these results show that RBMX can also act as a
339 translational regulator.
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341
342 **Figure 4. RBMX is a translational regulator.** **A)** shows the distribution of RBMX on polysomes
343 through a western blot of the fractions derived by polysomal profiling. The RPL26 ribosomal
344 protein is used as positive control. **B)** displays post-transcriptional regulatory processes
345 enriched in the set of RBMX protein-protein interactors. The enrichment p-value is shown on the
346 x-axis as $-\log_{10}(\text{p-value})$. The number of RBMX interactors annotated to each process is
347 instead shown next to the corresponding bar.

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350 **RBMX controls genes associated with XLID phenotypes**

351 A frameshift deletion in the RBMX gene has been previously connected to the insurgence of an
352 XLID syndrome associated with craniofacial dysmorphisms and intellectual disability, the Shashi
353 syndrome (Shashi et al. 2015). We thus sought to check whether the homeotic genes we
354 identified as modulated by RBMX could be involved with such phenotypes. To this end, we
355 annotated the 22 homeotic genes in the core set which are bound and regulated by RBMX with
356 three disease ontologies (see Methods). The results highlight several genes (HOXA2, NKX2-1,
357 NKX2-5, POU2F1, and SATB2) associated with phenotypes compatible with this syndrome.
358 These include mental retardation (SATB2), intellectual disability (NKX2-5), coarse facial

359 features (NKX2-1 and NKX2-5), bulbous nose (SATB2), underdeveloped supraorbital ridges
360 (NKX2-5), orofacial cleft (POU2F1, SATB2, HOXA2) and mixed hearing impairment (HOXA2). If
361 considering all 31 modulated and bound genes, HOXB3, HOXB5, and SIX6, associated with
362 obesity and microphthalmia, are also included. Eventually, we expanded this analysis to
363 phenotypes commonly associated with XLID syndromes in general (Stevenson et al. 2013).
364 Further genes (DLX5, HOXC4, HOXC5) are associated with compatible phenotypes such as
365 cardiac malformations, synostosis, hypospadias, and renal anomalies. The list of all annotations
366 can be found in **Supplementary Table 10**. Globally, the regulatory activity of RBMX could thus
367 be strongly associated with this group of diseases.

368

369

370 **Discussion**

371

372 In this work, we used a computational approach to extract phylogenetically hyper-conserved
373 elements from the 5'UTR of human messenger RNAs (5'HCEs). We thus expanded the known
374 catalog of regulatory mechanisms mediating the role of these regions in determining cell
375 phenotypes. While much attention has been devoted to mapping functional elements in the
376 3'UTR, its 5' counterpart is still relatively uncharacterized. Our approach focused on extracting
377 the most highly conserved regions, under the assumption that these would be evolutionary
378 stable PTR sites of utmost importance.

379 The 5248 5'HCEs we identified are short regions occurring in around 10% of protein-coding
380 genes, most often localized in proximity to one another. Given their prevalently clustered nature,
381 5'HCEs could represent loci of cooperation and competition between post-transcriptional
382 regulatory factors. Through their interplay, these factors would ultimately determine the
383 translation of the containing mRNAs. As 5'HCEs do not systematically overlap with uORFs or

384 IRESes, binding sites for the about 1500 human RNA-binding protein (RBP) genes are the likely
385 orchestrators of such behaviors. Understanding how these mechanisms work and impact cell
386 physiology and pathology will thus require further efforts towards the systematic mapping of
387 RBP binding sites.

388 Among genes whose mRNA contain a 5'HCE, we identified a broad set of homeotic genes
389 including members of all HOX clusters and several other related families. Homeotic genes are
390 the prototypical class of conserved genes in metazoa, responsible for the development of the
391 body plan, organs, and the nervous system (Holland 2013; Philippidou and Dasen 2013; Mallo
392 et al. 2010). In that respect, they represent the ideal result of our algorithm, benchmarking its
393 ability to identify truly conserved regions. While their transcriptional regulation is well
394 characterized, we still lack a clear picture of how homeotic genes are controlled at the post-
395 transcriptional level. In particular, only a few studies have explored the role of 5'UTRs in their
396 regulation (Regadas et al. 2013; Nie et al. 2015; Xue et al. 2015). Identifying this set of 5'HCEs
397 thus allowed us to improve our understanding of how PTR controls development through the
398 5'UTRs.

399 By applying de novo motif search combined with known RBP motifs matching we identified
400 RBMX as a candidate post-transcriptional regulator of homeotic genes. Phenotypic studies have
401 shown this protein, which is itself highly conserved, to affect neuromuscular development in *X.*
402 *laevis* (Dichmann et al. 2008) and *D. rerio* (Tsend-Ayush et al. 2005). This evidence pointed to a
403 possible role for RBMX as a controller of mRNAs of homeotic genes. We confirmed this
404 hypothesis by a combination of RNA immunoprecipitation, knock-down, overexpression
405 experiments, and sucrose-based cytoplasmic fractionation. This assays allowed us to probe
406 multiple aspects of post-transcriptional regulation, including alternative splicing, mRNA
407 stabilization, and translation. From this data, we found a possible new role for RBMX as a
408 translational regulator. This finding establishes RBMX as a versatile controller of the mRNA,
409 able to impact its lifecycle from alternative splicing to protein production. This flexibility may

410 have evolved to allow better control of processes requiring particularly fine tuning such as body
411 plan establishment and neural development.

412 We have shown that RBMX regulates the mRNAs of many homeotic genes. However, other
413 RBPs may also contribute to the post-transcriptional regulation of homeotic genes, possibly by
414 cooperating or competing with RBMX. Further studies will thus be needed to complete this
415 regulatory network.

416 Eventually, we explored the functional implications stemming from the role of RBMX. Which
417 phenotypes does it drive? How would these be affected if its action was perturbed by genetic
418 alterations typical of many diseases? An example is the Shashi syndrome (Shashi et al. 2015):
419 a frameshift deletion in RBMX is associated with the onset of this XLID disease. Indeed, several
420 of the homeotic targets of RBMX we identified are responsible for compatible phenotypes, such
421 as mental retardation and cardiac malformations. Abnormal RBMX expression and function
422 could thus lead to the insurgence of such syndromes through downstream altered expression of
423 homeotic genes. Tuning the post-transcriptional networks RBMX controls could offer an
424 important therapeutic opportunity for this class of diseases and, possibly, other developmental
425 pathologies. Further studies are thus warranted to complete the characterization of this RBP as
426 a master regulator of development.

427

428

429 **Materials and Methods**

430

431 **5'HCE identification and characterization**

432 Human 5'UTRs, the related 44-vertebrates alignment and sequence conservation scores (SCS)
433 were downloaded from UCSC for the hg18 genome assembly (Tyner et al. 2017). Branch length
434 score (BLS) was computed for each UTR as described in (Dassi et al. 2013), and composed at

435 equal weight with SCS to derive the hyper-conservation score (HCS). Then, a sliding-window
436 algorithm, starting with fully conserved 5-nucleotides seeds (HCS = 1.0) and expanding them
437 upstream and downstream until a minimum score threshold of 0.85 is reached, was applied to
438 the 5'UTRs, as described in (Dassi et al. 2013), to derive hyper-conserved elements (HCE).
439 HCE properties were then computed by custom Python scripts and plotted with R. Functional
440 enrichment in the set of genes containing HCEs in their 5'UTR was computed by DAVID (Huang
441 et al. 2007) using Gene Ontology (BP, MF, and CC parts) and protein domain ontologies
442 (INTERPRO, PFAM, and SMART).

443

444 **Motif analysis**

445 De novo motif search was performed on the sequences of 5'HCEs from homeotic genes using
446 DynaMIT (Dassi and Quattrone 2016), configured to use two search tools: Weeder (Pavesi et al.
447 2004) for sequence motifs (lengths 6, 8, 10 and 12 nts with 1, 2, 3 and 4 mismatches allowed
448 resp.; at least 25% of the sequences containing the motif) and RNAforester (Höchsmann et al.
449 2003) for secondary structure motifs (multiple alignment and local search modes). The selected
450 motif integration strategy was “co-occurrence”, which computes the co-occurrence score
451 between motifs pairs as the Jaccard similarity of the mutual presence of both motifs on each
452 sequence. This measure thus allows finding motifs which co-regulate the same sequences set.
453 The best motif from DynaMIT result was selected, and only positions with at least ten supporting
454 sequences were kept. This resulted in trimming the 5' and 3' ends of the integrated motif. These
455 trimmed ends represented lowly-supported, more “peripheral” individual motifs with respect to
456 the core part consistently shared by multiple motifs.

457 The PWMs for a set of 193 RBPs were obtained from the CISBP-RNA database (Ray et al.
458 2013). Pearson correlation between the CISBP-RNA PWMs and the motif identified by DynaMIT
459 were computed by the TFBSTools R package (Tan and Lenhard 2016). The RBMX PWM
460 obtained from CISBP-RNA was matched against the sequences of 5'HCEs from homeotic

461 genes using a custom Python script and the Biopython library (Cock et al. 2009). Only PWMs at
462 least four nucleotides long were used, and only matches with a score greater than 70% were
463 considered.

464

465 **Cell culture and transfection**

466 HEK293 cells were cultured in DMEM with 10% FBS, 100 U/ml penicillin-streptomycin and 0.01
467 mM l-glutamine (Gibco, Waltham, MA). Cultures were maintained at 37°C in a 5% CO₂
468 incubator.

469

470 **RBMX knock-down and overexpression**

471 We performed RBMX knock-down as described in (Matsunaga et al. 2012). Briefly, we used
472 RBMX siRNA-1 (5'-UCAAGAGGAUUAUAGCGAUATT-3') and RBMX siRNA-2 (5'-
473 CGGAUAUGGUGGAAGUCGAUU-3') for RBMX knock-down, and negative control siRNA S5C-
474 060 (Cosmo Bio, Tokyo). 1.5×10⁶ HEK293 cells were seeded into two 10-cm Petri dishes and
475 transfected with a mixture of both siRNA at 25nM using Lipofectamine 2000.

476 Full-length RBMX was amplified by PCR using HeLa cells cDNA and the following primers: Fw:

477 5' GAGGCGATCGCCGTTGAAGCAGATCGCCCAGGAA 3' and Rv: 5'

478 GCGACGCGTCTAGTATCTGCTTCTGCCTCCC 3'. The amplified fragment was digested with

479 the Sgfl and Mlul restriction enzymes and cloned into the pCMV6-AN-His-HA plasmid

480 (PS100017, OriGene, Rockville, MD) to obtain the pCMV6-HIS-HA-RBMX vector, expressing

481 the gene fused with an amino-terminal polyhistidine (His) tag and a hemagglutinin (HA) epitope.

482 The construct was confirmed by sequencing. 1.5×10⁶ HEK293 cells were seeded into two 10-

483 cm Petri dishes and transiently transfected using Lipofectamine 2000 (Invitrogen, Waltham, MA)

484 with 2µg of pCMV6-HIS-HA-RBMX or the mock empty vector as control. Total and polysomal

485 RNA extractions were performed 48h post-transfection. All the experiments were run at least in

486 biological triplicate.

487

488 **RNA immunoprecipitation**

489 Ribonucleoprotein immunoprecipitation (RIP) was performed in three biological replicates using
490 lysates of human HEK293 cells transfected with pCMV6-HIS-HA-RBMX or with the mock empty
491 vector. Cell extracts were resuspended in NT2 buffer (50 mM Tris–HCl pH 7.4, 150 mM NaCl, 1
492 mM MgCl₂, 0.05% NP-40 supplemented with fresh 200 U RNase Out, 20 mM EDTA and a
493 protease inhibitor cocktail), chilled at 4°C. Anti-HA magnetic beads (Pierce, Waltham, MA, USA)
494 were saturated in the NT2 buffer (adding 5% BSA for 1h at 4°C), then added to lysates. The
495 immunoprecipitation was performed overnight at 4°C in gentle rotation condition. Eventually, the
496 immunoprecipitate was washed four times with NT2 and resuspended in the same buffer. RNA
497 extraction was performed from 10% of the volume of both the input and the immunoprecipitate
498 samples, using TRIzol (Invitrogen, Carlsbad, CA, USA). Sequencing libraries were then
499 prepared following the manufacturer's instructions as described below. FYN mRNA was used as
500 the positive control and HNRNPM as the negative control (Heinrich et al. 2009).

501

502 **Polysomal fractionation and RNA extraction**

503 Cells were incubated for 4min with 10 µg/ml cycloheximide at 37°C to block translational
504 elongation. Cells were washed with PBS + 10 µg/ml cycloheximide, scraped on the plate with
505 300 µl lysis buffer (10 mM NaCl, 10 mM MgCl₂, 10 mM Tris-HCl, pH 7.5, 1% Triton X-100, 1%
506 sodium deoxycholate, 0.2 U/µl RNase inhibitor [Fermentas Burlington, CA], 10 µg/ml
507 cycloheximide, 5 U/mL DNase I [New England Biolabs, Hitchin, UK] and 1 mM DTT) and
508 transferred to a tube. Nuclei and cellular debris were removed by centrifugation for 5min at
509 13,000g at 4°C. The lysate was layered on a linear sucrose gradient (15-50% sucrose (w/v), in
510 30 mM Tris–HCl at pH 7.5, 100 mM NaCl, 10 mM MgCl₂) and centrifuged in an SW41Ti rotor
511 (Beckman Coulter, Indianapolis, IN) at 4°C for 100min at 180,000g. Ultracentrifugation
512 separates polysomes by the sedimentation coefficient of macromolecules: gradients are then

513 fractionated and mRNAs in active translation, corresponding to polysome-containing fractions,
514 separated from untranslated mRNAs. Fractions of 1 mL volume were collected with continuous
515 monitoring absorbance at 254 nm. Total RNA was obtained by pooling together 20% of each
516 fraction. To extract RNA, polysomal and total fractions were treated with 0.1 mg/ml proteinase K
517 (Euroclone, Italy) for 2h at 37°C. After phenol-chloroform extraction and isopropanol
518 precipitation, RNA was resuspended in 30 µl of RNase-free water. RNA integrity was assessed
519 by an Agilent Bioanalyzer and RNA quantified by a Qubit (Life Technologies, Waltham, MA).

520

521 **Protein extraction and Western blots**

522 10% of each fraction collected from sucrose gradient fractionation was pooled (for knock-down
523 and overexpression validation) or processed separately (for the RBMX polysomes distribution
524 assay) to extract proteins using TCA/acetone precipitation. Proteins were resolved on 15%
525 SDS-PAGE, transferred to nitrocellulose membrane and immunoblotted with RBMX (Abcam,
526 Cambridge, UK), HA (Bethyl Laboratories, Montgomery, TX) and RPL26 antibodies (Abcam,
527 Cambridge, UK). Blots were processed by an ECL Prime detection kit (Amersham Biosciences).

528

529 **RNA-seq**

530 For the RNA immunoprecipitation and the RBMX knock-down samples, after total and
531 polysomal RNA extraction, 500ng RNA of each sample were used to prepare libraries according
532 to the manufacturer's protocol, using a TruSeq Targeted RNA Custom Panel Kit (Illumina, San
533 Diego, CA). Sequencing was performed with a 50-cycle MiSeq Reagent Kit v2 (Illumina, San
534 Diego, CA) on a MiSeq machine. For the overexpression samples, after total and polysomal
535 RNA extraction, 500ng RNA of each sample were used to prepare libraries according to the
536 manufacturer's protocol, using the TruSeq RNA Sample Prep Kit (Illumina, San Diego, CA).
537 Sequencing was performed on six lanes at 2x100bp on a HiSeq 2500 machine. Sequencing
538 was performed at least in triplicate for each sample.

539

540 **RNA-seq data analysis**

541 Reads were pre-processed with trimmomatic (Bolger et al.), trimming bases having quality lower
542 than Q30 and removing sequencing adapters. Remaining reads were aligned to the human
543 genome hg38 assembly with bowtie2 (Langmead and Salzberg 2012) for the targeted
544 sequencing, and with STAR for the other datasets (Dobin et al. 2013). Read counts for each
545 gene determined using the Gencode v27 annotation (Harrow et al. 2012), and normalized by the
546 sequencing depth of the libraries. Fold enrichment for RNA immunoprecipitation were computed
547 as the ratio of enrichment of (RIP vs. input samples for the RBMX IP) over (RIP vs. input
548 samples for the HA IP), for each replicate. For the targeted RNA-seq of RBMX knock-down
549 samples, samples with less than 500 mapped reads (less than 10 mapped reads per targeted
550 gene) were discarded, and differences in expression were computed by a Wilcoxon test, as this
551 type of library (probing only 50 genes) does not fit the assumptions of commonly used
552 differential expression determination methods. For overexpression and the published RBMX
553 knock-down we obtained from (Liu et al. 2017), differential expression was computed with
554 DESeq2 (Love et al. 2014), while differential exon usage was obtained by DEXseq (Anders et
555 al. 2012) (adjusted p-value ≤ 0.05 for both analyses). Functional annotation was performed by
556 Enrichr (Chen et al.) on Gene Ontology or disease ontologies (OMIM disease, Jensen disease,
557 and Human Phenotype Ontology) annotations.

558

559 **Data availability**

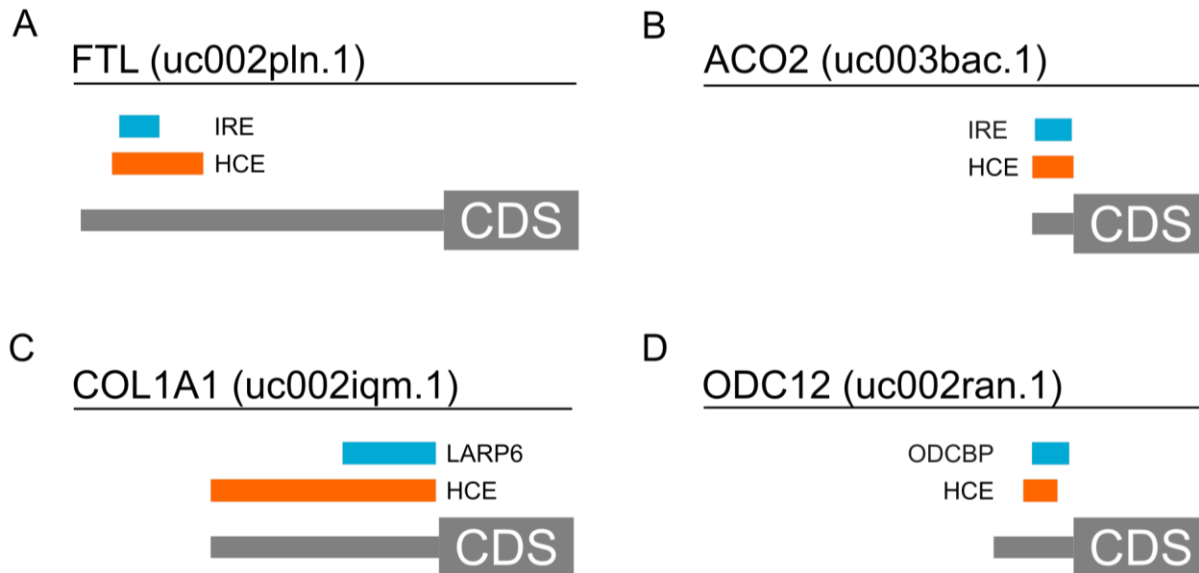
560 Datasets can be found in GEO with ID GSE118383 (RBMX RIP targeted RNA-seq and RBMX
561 knock-down targeted RNA-seq) and GSE68990 (RBMX overexpression RNA-seq).

562

563

564 **Supplemental figures**

565



566

567 **Supplementary Figure 1: 5'HCEs contain conserved binding sites and cis-elements.** The
568 figure displays four example of functional regions retrieved by the HCE identification algorithm in
569 5'UTRs. **A)** a known, conserved iron response element (IRE) in the 5'UTR of ferritin (FTL) is
570 fully contained in an HCE. **B)** a known, conserved iron response element (IRE) in the 5'UTR of
571 aconitase 2 (ACO2) is fully contained in an HCE. **C)** a conserved binding site for LARP6 in the
572 5'UTR of collagen alpha type 1 (COL1A1) is fully contained into an HCE. **D)** a conserved
573 binding site for ODCBP in the 5'UTR of ornithine decarboxylase 1 (ODC1) is partially
574 overlapping with an HCE.

575

576

577

578

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580

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583

584

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