A new phylogenetic protocol: Dealing with model misspecification and confirmation bias in molecular phylogenetics

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Dedication: To the memory of Rossiter H. Crozier (1943-2009), an evolutionary biologist, who with his generosity and inquisitiveness inspired many students and scientists, in Australia and abroad.

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Molecular phylogenetics plays a key role in comparative genomics and has an increasingly-significant impacts on science, industry, government, public health, and society. We posit that the current phylogenetic protocol is missing two critical steps, and that their absence allows model misspecification and confirmation bias to unduly influence our phylogenetic estimates. Based on the potential offered by well-established but under-used procedures (e.g., assessment of phylogenetic assumptions and tests of goodness-of-fit), we introduce a new phylogenetic protocol that will reduce confirmation bias and increase the accuracy of phylogenetic estimates.

Introduction

Molecular phylogenetics plays a pivotal role in the analysis of genomic data and has already had a significant, wide-reaching impact in science, industry, government, public health, and society (Table 1 (1-41)). Although the science and methodology behind applied phylogenetics is increasingly well understood within parts of the scientific community (42), there is still a worryingly large body of research where the phylogenetic analysis was done with little attention to the consequences of a statistical misfit between the phylogenetic data and the assumptions that underpin the phylogenetic methods.

One reason for this is that phylogenetics relies extensively on statistics, mathematics, and computer science, and many users of phylogenetic methods find the relevant sections of these disciplines challenging to comprehend. Another reason is that methods and software often are chosen because they already are popular or easy to use, rather than because they are the most appropriate for the scientific questions and phylogenetic data at hand. A third reason is that much of the phylogenetic research done so far has relied on phylogenetic protocols (43-48), which have evolved to become a standard to which it seems sensible to adhere. Although these protocols vary, they have, at their core, a common set of sensible features that are linked in a seemingly logical manner (see below).

Here we posit that, although the current phylogenetic protocol has many useful features, it is missing two crucial components whereby the quality of fit between the data and models applied is assessed. This means that using the phylogenetic protocol in its current form may lead to biased conclusions. We suggest a modification to the protocol that will make it more robust and reliable.

The current phylogenetic protocol

Phylogenetic analysis of alignments of nucleotides or amino acids usually follows a protocol like that in Figure 1. Initially, the phylogenetic data are chosen on the assumption that they will allow the researchers to solve a particular scientific problem. This choice of sequences

data is often based on prior knowledge, developed locally or extracted from the literature. Then, a multiple sequence alignment (MSA) method is chosen, often on the basis of prior experience with a specific method. The sequences are then aligned, the aim being to obtain an MSA, wherein homologous characters (i.e., nucleotides or amino acids) are identified and aligned. In practice, it is often necessary to insert gaps between some of the characters of these sequences to obtain an optimal MSA—in some cases, there may be sections of the MSA that cannot be aligned reliably.

Then follows the task of selecting sites that will be used to infer the phylogenetic tree. The rationale behind doing so is to maximize the signal-to-noise ratio in the MSA. By omitting poorly-aligned and highly-variable sections of the MSA, which are thought to create noise due to the difficulty of establishing true homology for each site (defined as similarity due to historical relationships by descent (49)), it is hoped that the resulting sub-MSA will retain a strong historical signal (defined as the order and timing of divergence events (50)) that will allow users to obtain an accurate phylogeny. The choice of sites to retain is made by visual inspection of the MSA or by using purpose-built software (51-61). The automated ways of filtering MSAs have been questioned (62).

Having obtained a sub-MSA, the next step in the protocol is to select a phylogenetic method. The choice of phylogenetic method implies accepting the assumptions on which the method rests. For example, it is often assumed that the sequences evolved along a single bifurcating tree and that the evolutionary processes operating at the variable sites in the sequences are independent and identically-distributed processes. If model-based molecular phylogenetic methods are chosen, the underlying assumption usually is that the evolutionary processes operating at the variable sites can be approximated accurately by using Markov models that are stationary, reversible, and homogeneous (63-65) over time (the assumption of evolution under SRH conditions). In practice, the choice is one between methods assuming that the underlying evolutionary processes can be modelled using a Markov model of nucleotide or amino-acid substitutions (i.e., distance methods (66-71), likelihood methods (66,68,70-76),

Bayesian methods (77-82)), or using non-parametric phylogenetic methods (i.e., parsimony methods (66,68,70,71,83-85)). In reality, many researchers analyze their data using a range of model-based phylogenetic methods, and reports that only use parsimony methods are increasingly rare. Depending on the chosen phylogenetic method, researchers may have to select a suitable model of sequence evolution (i.e., a model that combines the substitution model and the rates-across-sites model) to apply to the sub-MSA. This choice is often made by using model-selection methods (6,86-97).

Having chosen a phylogenetic method and, in relevant cases, a suitable model of sequence evolution, the next step involves obtaining accurate estimates of the tree and evolutionary processes that led to the data. Phylogenetic methods are implemented in many programs (66-85) and, depending on the methods chosen, users often also obtain the nonparametric bootstrap probability (98) or clade credibility (99) to measure support for divergence events in the phylogeny.

Having inferred the phylogeny, the final step in the protocol is to interpret the result. Under some conditions—most commonly, the inclusion of out-group sequences—the tree may be drawn and interpreted as a rooted phylogeny, in which case the order of divergence events and the lengths of the individual edges may be used to infer, for example, tempo and mode of evolution of the data. Often, the inferred phylogeny confirms an earlier-reported or assumed evolutionary relationship. Often, too, there are surprises, which are difficult to understand and explain. If the phylogenetic estimate is convincing and newsworthy, the discoveries may be reported, for example, through papers in peer-reviewed journals.

On the other hand, if the surprises are too numerous or unbelievable, the researchers may begin the task of finding out what may have 'gone wrong' during the phylogenetic analysis. This process is depicted as dashed feedback loops in Figure 1. The researchers may analyze the data differently (e.g., use other Markov models, use other phylogenetic methods, use a different sub-MSA, align the sequences differently, use a different alignment method, or use

another data set). Given enough patience, the researchers may reach a conclusion about the data, and they may decide to publish their results.

Problems with the current phylogenetic protocol

Although the current phylogenetic protocol has led to many important discoveries, it also has left many scientists with strong doubts about or, alternatively, undue confidence in the estimates. The literature is rife with examples where analyses of the same data have led to disagreements among experts about what is the 'right' phylogeny (cf. e.g., (100-102)). Such disagreements are confusing, especially for non-experts and the public. To understand why these disagreements might arise, it is necessary to understand the challenges that applied phylogenetic research still faces.

While it is clear that the right data are needed to answer the scientific question at hand, making that choice is not always as trivial as it might seem. In some cases, the sequences may have evolved too slowly and/or be too short, in which case there may not be enough information in the data, or they have evolved so fast that the historical signal has largely been lost (103). In rarely-reported cases, the data are not what they purport to be (104).

Next, there is no consensus on what constitutes an optimal MSA. In simple terms, what is required is an accurate MSA where every site is a correct homology statement. Currently, there is no automatic procedure for assessment of homology (49). Frequently, different MSA methods return different MSAs, implying different homology statements, but they cannot all be right. Averaging MSAs has been proposed as a solution (105). Producing an MSA will often involve manual modifications following visual inspection, which introduces subjectivity and a lack of reproducibility. One way to mitigate this problem is to rely on reviews and simulation-based comparisons of MSA methods (49,106-113), but these reports seem to have had less impact than deserved. It is clear that poor MSAs can cause problems for downstream analyses, for example, inferences of positive selection can be biased (114), ancestral state reconstruction can be affected (115), and phylogenetic estimates can be

compromised (116).

Having identified an MSA, the choice of poorly-aligned and/or highly-variable sites to omit (in a process commonly referred to as masking (61)) depends not only on the MSA method used but also on how difficult it is to detect these sites—it is impractical to visually inspect MSAs with more than ~50 sequences and ~300 sites. In the past, expert knowledge about the data was often used (e.g., structural information about the gene or gene product), but automated methods (51-61) are now frequently used. However, these methods often yield different sub-MSAs from the same MSA, leaving confusion and doubt.

The choice of what phylogenetic method to use for the data is rated (by many) as the most challenging one to make (e.g., because the assumptions underpinning each phylogenetic method often are poorly understood), and it is often solved by using several phylogenetic methods. If these methods return the same phylogenetic tree, many authors feel confident that they have inferred the 'true' phylogeny and they would go on to publish their results. However, while this approach may have led to correct trees, it is perhaps more due to luck than to scientific rigor that the right tree was identified. This is because every phylogenetic method is based on assumptions (see above), and if these assumptions are not violated too strongly (by the data), and the number of variable sites is sufficient, then the true tree has a high probability of being identified. On the other hand, if these violations are strong enough, there is currently no way of knowing whether the correct tree has been identified. Indeed, strong violation of phylogenetic assumptions could lead to similar, but nevertheless, wrong trees being inferred using different phylogenetic methods (117,118).

Over the last two decades, the choice of a suitable model of sequence evolution has often been made by using purpose-built model-selection methods (6,86-97). Assuming a tree, these methods step through a list of predefined models, evaluating each of them, one by one, until the list is exhausted. This approach is sensible if the true or most appropriate model is included in the list of predefined models. On the other hand, if this model is not

included in this list, then the popular model-selection methods may never be able to return an accurate estimate. They will return an optimal estimate, but it will be conditional on the models considered. Unfortunately, most popular model-selection methods only consider time-reversible Markov models. If the data have evolved on a single tree but under more complex Markovian conditions (e.g., non-SRH conditions), then there is no way that a simple, time-reversible Markov model is sufficient to approximate the evolutionary processes across all edges of the tree (119). Consequently, it is worrying that researchers still ignore or dismiss the implication of compositional heterogeneity across sequences (118). This type of heterogeneity indicates that the evolutionary processes has changed across the lineages. This implication must be taken seriously when data are analyzed phylogenetically; typically, it is not.

The choice of phylogenetic program is often driven by prior experiences and transaction costs (i.e., the time it takes to become a confident and competent user of the software) rather than by a profound understanding of the strengths, limitations, and weaknesses of the available software. However, this may not substantially minimize the accuracy of the phylogenetic estimate, as long as the data do not violate the assumptions on which the phylogenetic methods are based, and the phylogenetic methods search tree space and model space thoroughly.

The bootstrap probability (98) and clade credibility (99) are often thought of as metrics of the accuracy of the phylogenetic estimate or the confidence we might have in the inferred divergence events. Unfortunately, doing so is unwise because they measure consistency (120) (e.g., a phylogenetic estimate may consistently point to an incorrect tree).

Finally, once well-supported phylogenetic estimates have been inferred, prior expectations are likely to influence whether the estimates are considered both reliable and newsworthy. In some cases, where information on the phylogeny is known (e.g., serially-sampled viral genomes), not meeting the prior expectations may signal a problem with the phylogenetic

analysis. However, if a researcher's expectations are met by the phylogenetic results, it is more likely that a report will be written without a further assessment of what might have gone wrong during the analysis. This tendency—allow prior expectations to influence the interpretation of phylogenetic estimates—is called confirmation bias. Confirmation bias is not discussed in phylogenetics, even though it is well recognized as a critical factor in other disciplines (e.g., psychology and social science (121)), so it is timely that the phylogenetic community takes onboard the serious implications of this.

The new phylogenetic protocol

Although the current phylogenetic protocol has many shortcomings, it also has many good attributes, including that it is easy to apply and implement as a pipeline. But to mitigate its limitations, it will be necessary to redesign the protocol to accommodate well-established, but largely-ignored, procedures as well as new feedback loops.

Figure 2 shows a proposal for new phylogenetic protocol. It shares many features found in the current protocol (e.g., the first four steps). However, the fifth step (assess phylogenetic assumptions) will be novel to many researchers. As all phylogenetic methods are based on assumptions, it is sensible to validate these assumptions at this point in the protocol. Since many phylogenetic methods assume that the data (e.g., different genes) have evolved over the same tree, and that the chosen data partitions have evolved independently under the same time-reversible Markovian conditions, it is wise to survey the sub-MSA for evidence that the sequences actually have evolved under these conditions. If the data violate these phylogenetic assumptions, then it will be wise to avoid these phylogenetic methods and to employ other such methods. Alternatively, it may be worth following the relevant feedback loops in Figure 2—perhaps something led to a biased sub-MSA? The relevance and benefits of this step are illustrated using a case study (Box 1), which focuses on determining whether a data set is consistent with the phylogenetic assumption of evolution under time-reversible conditions. Assessments of other phylogenetic assumptions require other types of tests and surveys. Some of these relevant questions and methods are listed in Table 2 (50,122-145).

Next follows the choice of phylogenetic method, but now this choice is made on the basis of the previous step, rather than on cultural or computational reasons. If the sequences have evolved on a single tree under time-reversible Markovian conditions, there is a large set of phylogenetic methods to choose from (66-85). On the other hand, if these data have evolved under more complex Markovian conditions, the number of suitable phylogenetic methods is, frustratingly, rather limited (5,64,146-171), and most of these methods are aimed at finding the optimal model of sequence evolution for a given tree rather than finding the optimal set of trees. Users of phylogenetic methods therefore are sometimes confronted by a dilemma: Do they abandon their data set because it has evolved under non-time-reversible conditions and because there are no appropriate phylogenetic methods for such data, or do they take the risk and employ the phylogenetic methods that assume evolution under time-reversible conditions? Fortunately, there may be a way around this dilemma.

Having inferred the phylogeny using model-based phylogenetic methods, it is possible to test the fit between tree, model and data (step 10 of the new protocol). A suitable test of goodness-of fit was proposed in 1993 (172) (Fig. 4). In brief, using the inferred optimal tree, including the edge lengths, it is possible to simulate data sets under the null model (i.e., the inferred optimal model of sequence evolution with its parameter values included). This is called a parametric bootstrap. Given this tree and this model of sequence evolution, several sequence-generating programs (5,160,173-175) facilitate procurement of pseudo-data. Having generated, say, $n = 1{,}000$ pseudo-data, the next step involves finding the difference (δ) between the unconstrained (i.e., without assuming a tree and a model) and constrained (i.e., assuming a tree and a model) log-likelihoods (i.e., $\delta = lnL(\mathbf{D}) - lnL(\mathbf{D}|T, M)$, where $\mathbf{D}$ is the data, T is the tree, and M is the model of sequence evolution). If the estimate of δ is greater for the real data than for the pseudo-data, then that result reveals a poor fit between tree, model, and data (136). The approach described here works well for likelihood-based phylogenetic analysis and a similar approach is available for Bayesian-based phylogenetic analysis (176). Parametric bootstrapping is computationally expensive and

time-consuming, so it should only be done if the data appears to meet the assumptions of phylogenetic method. The advantages of using such a goodness-of-fit test is that it allows users to determine if the lack of fit is large enough to not be due to chance. It does not say anything about whether or not the lack of fit matters. If the fit is poor, then the relevant feedback loops should be followed (Fig. 2)—perhaps a biasing factor was missed? If the phylogenetic tree and model of sequence evolution are found to fit the data, then that implies that these estimates represent a plausible explanation of the data. It is these estimates that should be reported, but only as one plausible explanation, not as the only possible explanation. This is because there may be other plausible explanations of the data that never were considered during the analysis.

The future: Areas in most need of methodological research

Adherence to the new phylogenetic protocol would undoubtedly lead to improved accuracy of phylogenetic estimates and a reduction of confirmation bias. The advantage of the fifth step in the new phylogenetic protocol (i.e., assess phylogenetic assumptions) is that users are able to decide how to do the most computationally-intensive parts of the phylogenetic study without wasting valuable time on, for example, a high-performance computer centre. Model selection, phylogenetic analysis, and parametric bootstrapping are computationally-intensive and time-consuming, and there is a need for new, computationally efficient strategies that can be used to analyse sequences that have evolved under complex phylogenetic conditions.

The advantage of the tenth step in the new phylogenetic protocol (i.e., test goodness-of-fit) is its ability to answer whether an inferred phylogeny explains the data well, or not. In so doing, this step tackles the issue of confirmation bias front on. Clearly, without information gleaned from the fifth step, the parametric bootstrap might return an unwanted answer (i.e., the inferred tree and model of sequence evolution does not fit the data well), so to avoid such disappointments it is better to embrace the new phylogenetic protocol in full.

Results emerging from studies that rely on the new phylogenetic protocol might well call into question published phylogenetic research, but there is also a chance that research might gain stronger support. This is good for everyone concerned, especially since it will become easier to defend the notion that the research was done without prejudice or preference for a particular result. Objectivity should be restored in phylogenetics—it is no longer reasonable to defend phylogenetic results on the basis that they were obtained using the best available tools; if these tools do not model the evolutionary processes accurately, then that should be reported rather than be hidden away. This is critical as it increases transparency and aids other researchers to understand the nature of the challenges encountered.

Notwithstanding the likely benefits offered by the new phylogenetic protocol and the methods supporting it, it would be unwise to assume that further development of phylogenetic methods will no longer be needed. On the contrary, there is a lot of evidence that method development will be needed in different areas:

- *MSA Methods* — There is a dire need for MSA methods that yield accurate homology statements. Likewise, there is a need for methods that allow users to: (*i*) determine how accurate different MSA methods are, and (*ii*) select MSA methods that are most suitable for the data at hand. Moreover, there is a dire need for better transparency in the way MSAs are reported in the literature (61).
- *Methods for Masking MSAs* — Assuming an accurate MSA has been inferred, there is a need for strategies that can be applied to identify and distinguish between poorly-aligned and highly-variable regions of MSA. Well aligned but highly-variable regions of MSAs may be more informative than poorly-aligned regions of such MSAs, so to delete them may be unwise.
- *Model-selection Methods* — Model selection is a pivotal prerequisite if parametric phylogenetic methods are used. However, the model-selection methods currently employed may not be accurate (177), especially for sequences that have evolved under complex conditions (e.g., heterotachous, covarion, or non-time-reversible

conditions). For example, the evolutionary process may have to be considered an evolving entity in its own right. Further, a better understanding of the information criteria used is necessary (178).

- *Phylogenetic Methods* — While there are many accurate phylogenetic methods for analysis of data that have evolved under time-homogeneous, reversible Markovian conditions, there is a dearth of accurate phylogenetic methods suitable for analysis of data that have evolved under more complex conditions. Added to this challenge are methods that accurately consider incomplete lineage sorting of genetic markers and the special conditions associated with the analysis of SNP data.
- *Goodness-of-fit Tests* — Suitable goodness-of-fit tests are available, but there is not only a need for a wider understanding of the merits of these tests, but also of how they can be tailored to suit different requirements. In particular, there is a need for programs that can generate simulated data under extremely complex evolutionary conditions. Some programs are available (5,160,175,179), but they only cater for a very limited set of conditions.
- *Analysis of Residuals* — Although goodness-of-fit tests can tell you whether or not the lack of fit observed is potentially due to chance, they do not answer the more useful question of whether or not that lack of fit matters or how the lack of fit arises (180,181). For this reason, residual diagnostic tools that can inform the user about the way in which their model fails to fit the data would be very useful.

In summary, while calls for better phylogenetic methods and more careful considerations of the data have occurred (119), we posit there is a need for a comprehensive overhaul of the current phylogenetic protocol. The proposed new phylogenetic protocol is unlikely to be a final product; rather, it is probably a first, but important step towards a more scientifically sound phylogenetic protocol, which not only should result in more accurate phylogenetic estimates and but also to a reduction in the likelihood of confirmation bias.

Conclusions

The Holy Grail in molecular phylogenetics is being able to obtain accurate, reproducible, transparent, and trustworthy phylogenetic estimates from the phylogenetic data. We are not there yet, but encouraging progress is being made in not only in the design of the phylogenetic protocol but also in phylogenetic methodology based on the likelihood and Bayesian optimality criteria.

Notwithstanding this progress, a quantum shift in attitudes and habits is needed within the phylogenetic community—it is no longer enough to infer an optimal phylogenetic estimate. The fit between trees, models, and data must be evaluated before phylogenetic estimates can be considered newsworthy. We owe it to the scientific community and wider public to be as rigorous as we can—the attitude "She'll be alright, mate" is no longer appropriate in this discipline.

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AUTHOR CONTRIBUTIONS

L.S.J. conceived the new phylogenetic protocol. R.A.C., B.R.H., and L.S.J. wrote the paper.

COMPETING FINANCIAL INTERESTS

The authors declare no competing financial interests.

BOX 1 — CASE STUDY

To illustrate the relevance and benefits of the fifth step in the new phylogenetic protocol, we examined the phylogenetic data used to infer the evolution of insects(3). The tetrahedral plots in Figure 3a-3c reveal that the nucleotide composition at the three codon positions is heterogeneous, implying that the evolutionary processes that operated at these positions are unlikely to have been time-reversible. However, the plots are deceptive because the presence of constant sites (i.e., sites with the same nucleotide or amino acid) in the data can mask how compositionally dissimilar the sequences actually are. To learn how to resolve this issue, it is necessary to focus on the evolution of two sequences on a tree (Fig. 3d) and the corresponding divergence matrix at time 0 (Fig. 3e) and at time t (Fig. 3f). At time 0, the two sequences are beginning to diverge from one another, so the off-diagonal elements of the divergence matrix are all zero. Later, the divergence matrix may look like that in Figure 3f. All the off-diagonal elements are now greater than zero, and the so-called matching off-diagonal elements of the divergence matrix might differ (i.e., $x_{ij} \neq x_{ji}$). The degree of divergence between the two sequences can be inferred by comparing the off-diagonal elements to the diagonal elements, while the degree of difference between the two evolutionary processes can be inferred by comparing the above-diagonal elements to the below-diagonal elements. If the two evolutionary processes were the same, the matching off-diagonal elements in Figure 3f would be similar. A lack of symmetry (i.e., $x_{ij} \neq x_{ji}$) implies that the evolutionary processes along the two descendant lineages may be different. A matched-pairs test of symmetry (133) can be used to determine whether this observed deviation from symmetry is statistically significant. Figures 3g-3i show the distributions of the observed and expected p values from these tests for the data assessed in Figures 3a-3c. Because the dots in these plots do not fall along the diagonal line in the plots (showing that a lack of symmetry is not statistically significant), there is an overwhelming evidence that the evolutionary processes at these positions do not match a time-reversible Markovian process. The same is the case for the corresponding amino acid alignment (not shown). Therefore, it would be unwise to assume that the data evolved under time-reversible conditions. A more complex evolutionary process is likely to explain these data, so the time-reversible

phylogenetic methods used by Misof et al. (3) were clearly not suitable for analysis of these data. However, such methods were not available at the time, and that is still the case!

Table 1.

Examples of phylogenetic research, divided into areas, based on impact and/or relevance.

Area	Examples
Science	Provide accurate estimates of the evolution of, for example, species (1-4)
	Provide accurate estimates of evolutionary processes at the molecular level (5,6)
	Addressing macroevolutionary questions pertaining to birds and mammals (7,8)
	Understand biogeographic patterns and diversity (9,10)
	Reconstruction of ancestral states (11,12)
Industry	Facilitate the design and engineering of novel enzymes (13) and drugs (14-16)
Government	Reveal likely sources and dispersal routes of agricultural pests and pathogens (17-21)
	Assign conservation priorities to species or biogeographic regions based on estimates of genetic diversity (22-24)
Public health	Reveal the origin and spread of human pathogens (25-28)
	Predict the evolution of human influenza A (29)
	Reveal the origin and evolution of cancers (30,31)
Society	Reveal the evolution of human language (32,33)
	Map the relationship among ancient texts (34), tales (35), and music (36)
	Reveal evolution of humans since their divergence from other primates (37-41)

Table 2.

Questions and methods pertaining to pre-phylogenetic surveys of phylogenetic data
Are evolutionary processes Markovian (122-124)?
Are evolutionary processes independent and identically distributed (125-128)?
Are evolutionary processes stationary, reversible, and homogeneous (50,129-138)?
Are evolutionary processes heterotachous (139)?
Is the phylogenetic signal in phylogenetic data tree-like (140,141)?
Is the historical signal in phylogenetic data decayed (142)?
Is the compositional signal in phylogenetic data stronger than expected (142)?
How phylogenetically informative are phylogenetic data (143-145)?

Figure 1. The current phylogenetic protocol. Solid arrows show the order of actions normally taken during a phylogenetic analysis. Dashed arrows show feedback loops often employed in phylogenetic research. For details, see the main text.

Figure 2. A new phylogenetic protocol. Solid arrows show the order of actions normally taken during a phylogenetic analysis. Dashed arrows show feedback loops often employed in phylogenetic research. For details, see the main text.

Figure 3. Illustrating the merits of the fifth step in the new phylogenetic protocol. Panels **a** - **c** show the average nucleotide composition at first, second, and third codon position of the sequences (144 sequences, 413,459 codons) originally examined by Misof et al. (3). Each dot represents the nucleotide composition of a single sequence. The spread of dots in panels **a** - **c** reveals compositional heterogeneity at first, second, and third codon position, indicating these data violate important assumptions underlying most phylogenetic methods. The tetrahedral plots were generated using SeqVis (134). Panel **d** shows a two-tipped tree with an ancestral sequence and two diverged sequences. Panels **e** and **f** show the divergence matrix for these sequences at time 0 and time t. Each number in a cell of a divergence matrix corresponds to the number of sites with nucleotide i in one sequence, and nucleotide j in the other. Panels **h** - **i** shows the PP plots for the data already analysed in panels **a** - **c**. A total of 10,296 tests were done for each of the three codon positions using Homo 1.3 (http://www.csiro.au/homo/ — a new version of Homo is now available (50)).

Figure 4. Diagram showing the parametric bootstrap procedure that may be used to conduct a suitable goodness-of-fit test. The procedure includes three steps. For details, see the main text.

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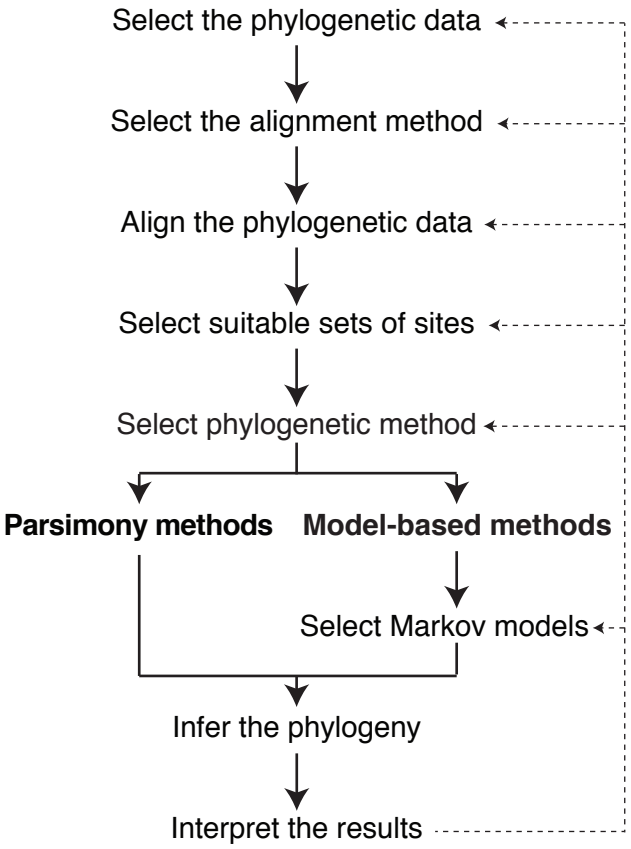
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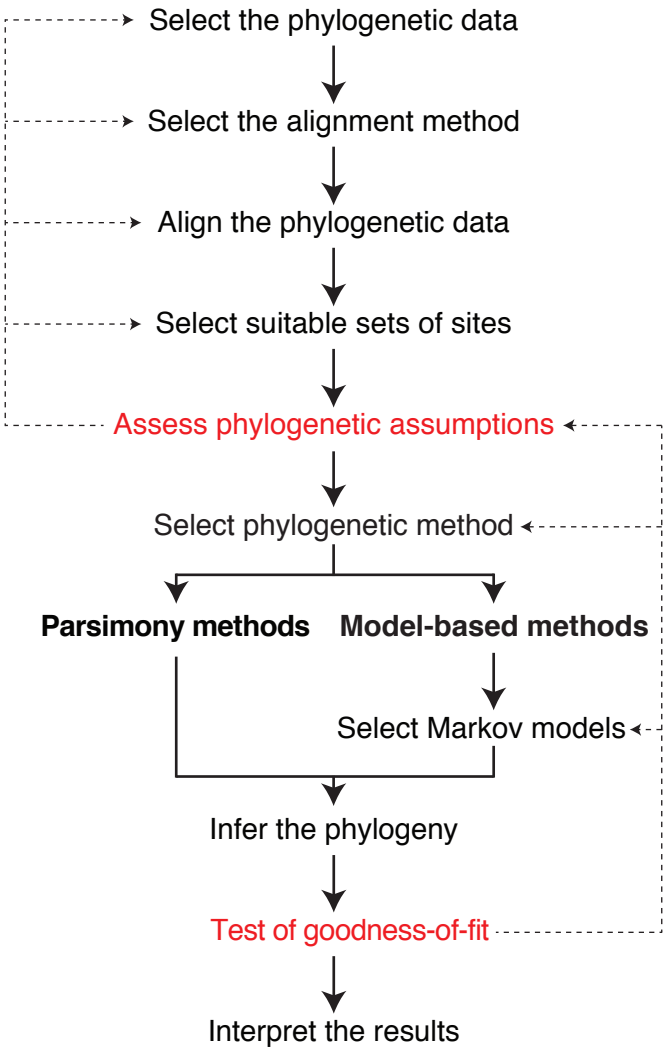
Select suitable sets of sites
Select phylogenetic method
Parsimony methods
Model-based methods
Select Markov models
Infer the phylogeny
Interpret the results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Model-based methods
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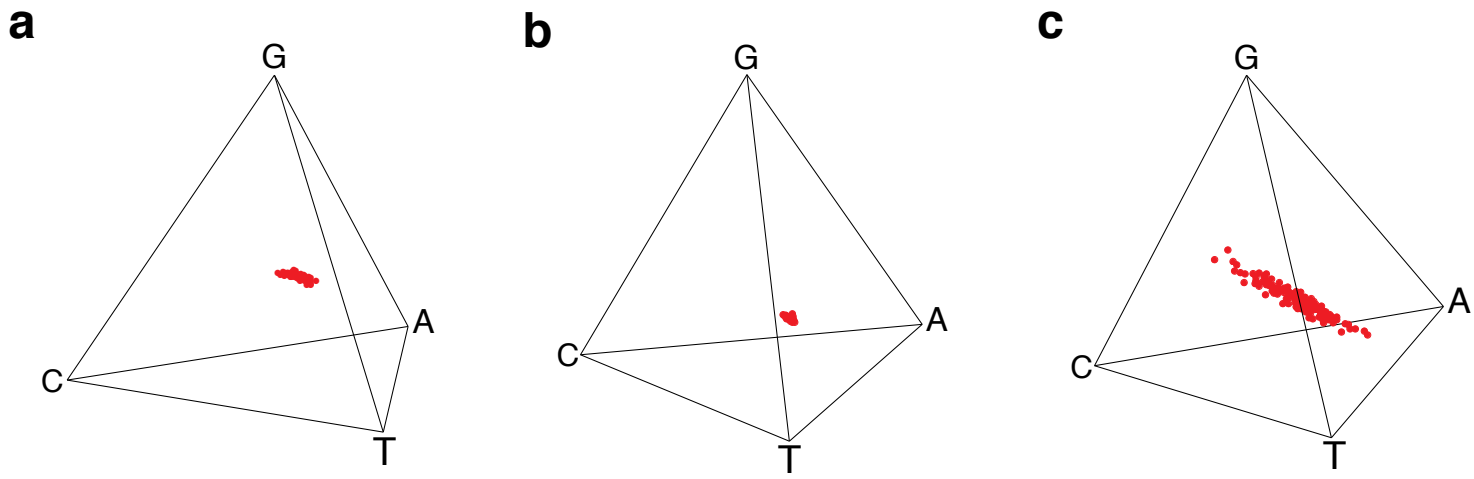
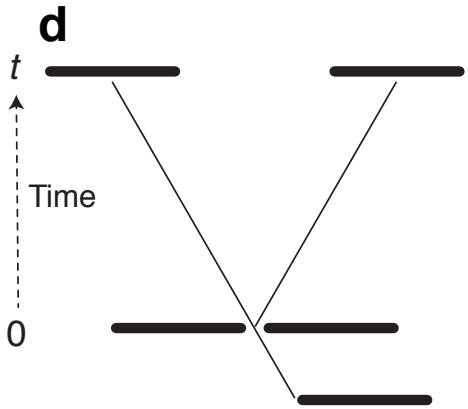
Infer the phylogeny
Test of goodness-of-fit
Interpret the results



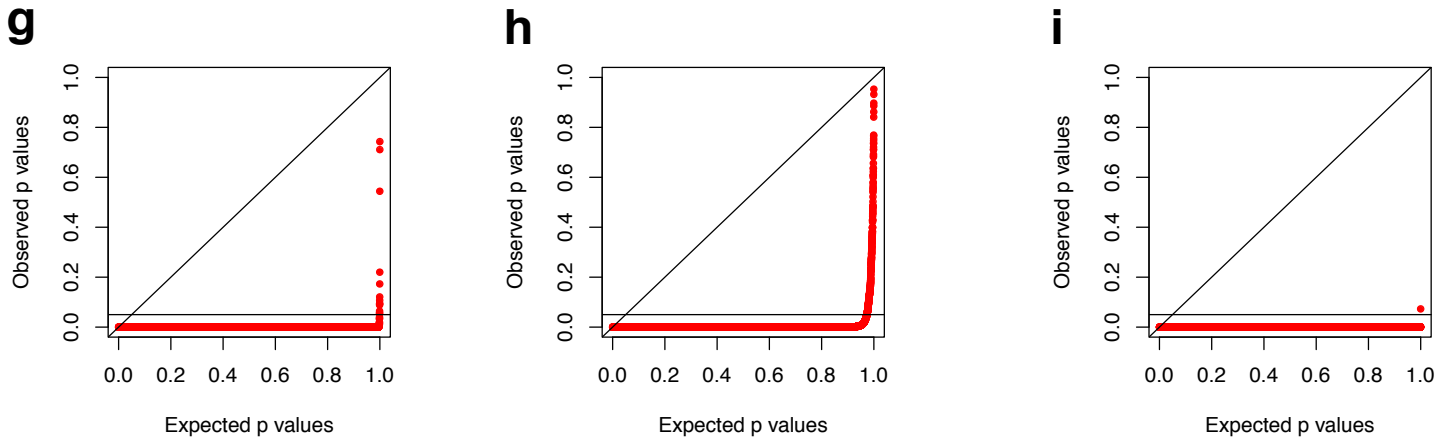


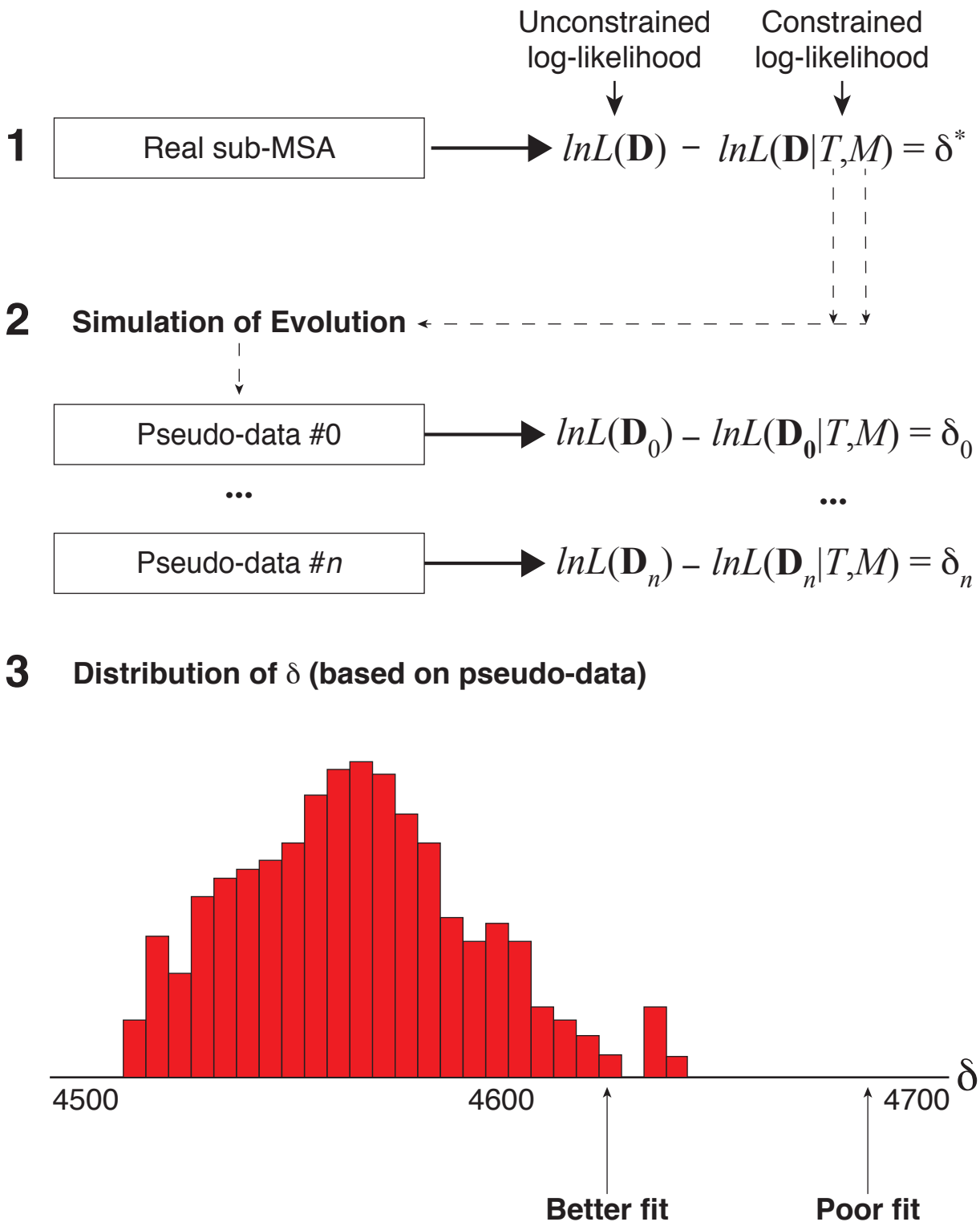
e

Time = 0	A	C	G	T
A	294	0	0	0
C	0	374	0	0
G	0	0	829	0
T	0	0	0	655

f

Time = *t*	A	C	G	T
A	139	68	68	19
C	13	152	23	12
G	10	31	134	9
T	39	59	57	167




Unconstrained log-likelihood
Constrained log-likelihood
1
Real sub-MSA
$lnL(\mathbf{D}) - lnL(\mathbf{D}|T,M) = \delta^*$
2
Simulation of Evolution
Pseudo-data #0
$lnL(\mathbf{D}_0) - lnL(\mathbf{D}_0|T,M) = \delta_0$
...
...
Pseudo-data #n
$lnL(\mathbf{D}_n) - lnL(\mathbf{D}_n|T,M) = \delta_n$
3
Distribution of δ (based on pseudo-data)
δ
4500
4600
4700
Better fit
Poor fit