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5 **Oxytocin promotes coordinated out-group attack during intergroup conflict in**
6 **humans**
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**Abstract**

Intergroup conflict contributes to human discrimination and violence, but persists because

individuals make costly contributions to their group's fighting capacity. Yet how group members

effectively coordinate their contributions during intergroup conflict remains poorly understood.

Here we examine the role of oxytocin for (the coordination of) contributions to group attack or

defense in multi-round, real-time feedback intergroup contests. In a double-blind

placebo-controlled study with $N$=480 males in Intergroup Attacker-Defender Contests, we found

that oxytocin reduced contributions to attack and over time increased attacker's within-group

coordination of contributions. However, rather than becoming peaceful, attackers given oxytocin

better tracked their rival's historical defense and coordinated their contributions into well-timed

and hence more profitable attacks. Our results reveal coordination of contributions as a critical

component of successful attacks and subscribe to the possibility that oxytocin enables individuals

to contribute to in-group efficiency and prosperity even when doing so implies outsiders are

excluded or harmed.

**Key words:** Oxytocin; Intergroup conflict; Group coordination; Out-group attack; Collective

contribution

**Introduction**

Conflict between groups of people can profoundly change their demographic, economic and cultural outlook. Across human history, intergroup conflict functioned as a critical change agent and selection pressure that may have shaped the biological preparedness for in-group oriented cooperation and self-sacrifice on the one hand, and out-group hostility and aggression on the other hand (Bar-Tal et al., 2007; Boyd and Richerson, 1982; Choi and Bowles, 2007; De Dreu et al., 2010; Macfarlan et al., 2014). Out-group hostility and aggression serves to subordinate and exploit rivaling out-groups (henceforth out-group attack), and/or to defend the in-group against such hostility from neighboring groups (henceforth in-group defense) (De Dreu et al., 2016a; Halevy et al., 2008; Rusch, 2014a, b; Lopez, 2017; Wrangham, 2018). Recent work has showed that individuals are more strongly motivated to contribute to defend one's own group than to attack out-groups and, importantly, that out-group attacks frequently fail because individual contributions to out-group attacks are poorly coordinated (De Dreu et al., 2016a). Indeed, attacking rivals who are expected to have strong defenses more likely results in failure and waste, than attacking groups expected to be weak and defenseless (De Dreu et al., 2016b; Grossman and Kim, 2002; Goeree et al., 2003). Thus, to succeed in intergroup competition and conflict, group members not only need to contribute to their group's competitive strength, but they also need to coordinate within their group the intensity and timing of attacks.

How group members coordinate behavior into effective joint actions during intergroup competition and conflict remains poorly understood (De Dreu et al., 2016a) and we lack insight into the underlying neurobiological mechanism. Here we target the neuro-hormone oxytocin as a neurobiological mechanism underlying within-group coordination of out-group attack in dynamic intergroup contests. Studies in ethnography and anthropology have shown that small

groups preparing for intergroup conflict build cohesion and commitment through social bonding

routines and rituals. Groups selectively invite friends to join a raid (Glowacki et al., 2016), bond

like family (Macfarlan et al., 2014), and engage in cultural rituals that simulate self-sacrifice,

cooperation and coordination (Xygalatas et al., 2013). Neuroendocrine studies (Burkett et al.,

2016; Carter, 2014; Ma et al., 2016b; Samuni et al., 2017) have linked these and related practices

to the release of oxytocin, a nine-amino acid neuropeptide. In turn, intranasal administration of

oxytocin has been shown to modulate neural responses in brain regions involved in threat

detection and reward processing (Ma et al., 2016b; Paloyelis et al., 2016; Wang et al., 2017; Liu

et al., 2019). Moreover, in both non-human and human primates, elevated levels of oxytocin via

intranasal administration have been linked to a range of cognitive and behavioral effects

including within-group conformity, trust, affiliation, and cooperation (Arueti et al., 2013;

Aydogan et al., 2017; De Dreu et al., 2010; Madden and Clutton-Brock, 2011; Rilling et al., 2012;

Samuni et al., 2017; Stallen et al., 2012; Yan et al., 2018).

Whereas these work together point to oxytocin as a possible neurobiological mechanism

underlying group coordination, three issues remain unclear. First, we lack empirical evidence for

the possibility that oxytocin promotes group-level coordination of collective action in general,

and during intergroup competition and conflict in particular. Second, we poorly understand how

group members coordinate collective action during intergroup competition and conflict, and

whether oxytocin can directly influence coordination and/or the strategy group members use to

coordinate. Third, we do not know whether oxytocin differentially modulates tacit coordination

within groups when collective contributions are focused on attacking one's rival, versus

defending the in-group against possible attacks by one's rival.

To address these issues, we examined the role of oxytocin in eighty interactive, multi-round

contests between three-person attacker and three-person defender groups. Group members on

each side made individual contributions to their group pool (aimed at attacking the other side, or

at defending against such possible attacks). We provided individuals with real-time feedback on

group investments and success after each contest round, which allowed group members to learn

and adapt to their rival's past investments, and use the history of play as a focal point to

coordinate their future attacks and defenses. Indeed, when lacking explicit coordination

mechanisms such as a leader or decision-making protocols (De Dreu et al., 2016a; Gavrilets et al.,

2014; Hermalin, 1998), groups use social norms and focal points to tacitly coordinate collective

action (Halevy and Chou, 2014; Schelling, 1960). In multi-round intergroup contests, the rival's

history of play can serve as a focal point for groups to coordinate their contributions to attack

and/or defend (De Dreu et al., 2016b). Thus, when attacking out-groups, group members may

coordinate their contributions on their rival's historical level of defense, and attack when

historical defense is low and the target appears vulnerable; and not attack when historical defense

is high and the target appears strong and difficult to beat.

We expected effects of oxytocin to be stronger during out-group attack than during in-group

defense. In-group defense is first and foremost an adaptation to the attackers' (past) aggression

and is typically well-coordinated—individuals fight towards the same goal of self-preservation

and group survival. Although there is some evidence that oxytocin may increase protective

aggression (for a review see De Dreu and Kret, 2016), administering oxytocin may contribute

little to the relatively high baseline levels of contribution and coordination during in-group

defense. In contrast, out-group attacks are typically less strong, more variable, and less

well-coordinated (De Dreu et al., 2016a), leaving more room for oxytocin administration to

influence behavior. We anticipated two possibilities for the effect of oxytocin. On the one hand,

oxytocin has been linked to prosociality (Madden and Clutton-Brock, 2011; Rilling et al., 2012;

Liu et al., under review), empathy (Hurlemann et al., 2010; Bartz et al., 2010) and consolation

(Burkett et al., 2016). This line of research would lead us to anticipate that oxytocin may enable

individuals in attacker groups to coordinate on a peaceful no-attack strategy that is independent

of the rivals' history of defense. On the other hand, oxytocin has been shown to enhance in-group

conformity (Aydogan et al., 2017; Stallen et al., 2012; De Dreu and Kret, 2016), increase

behavioral coordination and neural synchronization within pairs of individuals performing a joint

task (Arueti et al., 2013; Mu et al., 2016), enhance facial mimicry, motor imitation, and neural

responses linked to action-intention mirroring (Korb et al., 2016; Coster et al., 2014; Kret and De

Dreu, 2017; Levy et al., 2016), and improve memory and learning from feedback (Striepens et al.,

2012; Guastella et al., 2008; Ma et al., 2016a). These functionalities alone and in combination

may facilitate coordination on social norms and shared focal points that emerge during group

interaction, suggesting that oxytocin may facilitate the use of rival's history, enable individuals in

attacker groups to coordinate better on the level and timing of their attacks, and to efficiently

appropriate resources from their out-group. Evidence for this possibility would be consistent

with earlier findings that oxytocin shifts the focus from self-interest to in-group interests (De

Dreu and Kret, 2016), limits trust and cooperation to in-group members (De Dreu et al., 2010;

Ma et al., 2015; Ten Velden et al., 2017), and promotes aggression toward threatening rivals

(Madden and Clutton-Brock, 2011; Samuni et al., 2017; Striepens et al., 2012; Ne'eman et al.,

2016).

 **Results**

We examined these possibilities using a dynamic, fully incentivized Intergroup

Attacker-Defender Contest game (IADC, De Dreu et al., 2016a). The IADC is an all-pay contest

(Abbink et al., 2010; Dechenaux et al., 2015) involving 6 individuals randomly assigned to a

3-person attacker and a 3-person defender group. We created 80 IADC sessions (with $N = 480$

healthy males); in 40 (40) sessions, participants received intranasal oxytocin (matching placebo)

(Figure 1). Each IADC session involved two blocks of 15 investment rounds with real-time

feedback in between rounds. For each investment round (Table 1), each individual received an

initial endowment of 20 MU (Monetary Units). Each individual decided the amount ($I_i$, $0 \leq I_i \leq$

20) to the group's pool $G$ ($0 \leq G \leq 60$, $G_{Attacker} = I_{Attacker-1} + I_{Attacker-2} + I_{Attacker-3}$, $G_{Defender} =$

$I_{Defender-1} + I_{Defender-2} + I_{Defender-3}$). Contributions were wasted, but when $G_{Attacker} \leq G_{Defender}$,

attackers failed and defenders survived and all 6 individuals kept their remaining endowment

(leftovers, $20 - I_i$). However, when $G_{Attacker} > G_{Defender}$, defenders failed and left with 0. The

attacker group won and took away defender group's remaining MU (spoils from winning, $60 -$

$G_{Defender}$), which were divided equally among attacker group members (each attacker member

received: ($60 - G_{Defender}$)/3) and added to their remaining endowments ($20 - I_{Attacker-i}$). Thus,

contributions in the attacker group (i.e., $G_{Attacker}$) and in the defender group ($G_{Defender}$) reflect the

contribution level to out-group attack and to in-group defense, respectively. In one 15-round

block, individuals made their decisions individually and simultaneously without communication

(i.e., Simultaneous decision-making block). In the other 15-round block (order counterbalanced),

individuals within groups made their decisions in sequence: one randomly drawn member made

his decision first, followed by the second randomly selected member who was informed about

the first member's contribution, and then the third and final member made their decision (i.e.,

Sequential decision-making block, De Dreu et al., 2016a; Gavrilets et al., 2014; Figure 2).

The sequential decision-making protocol acts as a 'coordination device' that facilitates

behavioral coordination within groups (De Dreu et al., 2016a; Gavrilets et al., 2014; Hermalin,

1998). This treatment thus provides a benchmark to compare groups in the simultaneous

decision-protocol who lack an explicit coordination mechanism and have to find other

means—such as a shared social norm or the rival's past defense — to coordinate individual

contributions into effective joint action (De Dreu et al., 2016a). We operationalized within-group

coordination as behavioral alignment of contribution to the group, indicated by the variance in

contributions to group fighting, with lower group-level variance reflecting better coordination.

We expected that effects of oxytocin on group coordination would emerge especially under

simultaneous rather than sequential decision-making.

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Before examining within-group coordination, we examined treatment effects on contributions to

group pool. Individual contributions were averaged across the three members within each

3-person group, and submitted to a 2 (Treatment: Oxytocin vs. Placebo) $\times$ 2 (Role: Attack vs.

Defense) $\times$ 2 (Procedure: Simultaneous vs. Sequential) $\times$ 15 (Rounds) mixed-model Analysis

of Variance (ANOVA) with Treatment between-sessions. Individuals contributed more under

sequential than simultaneous decision-making ($M \pm SE = 6.89 \pm 0.20$ *vs.* $6.47 \pm 0.24$; $F(1, 78) =$

$5.109, p = 0.027, \eta^2 = 0.061$), and somewhat less when given oxytocin rather than placebo ($M \pm$

$SE = 6.28 \pm 0.27$ *vs.* $7.08 \pm 0.30$; $F(1, 78) = 3.719, p = 0.057, \eta^2 = 0.046$; marginal significance).

Figure 3A shows higher contributions to in-group defense than to out-group attack, especially in

earlier rounds (Role, $F(1, 78) = 287.903, p < 0.001; \eta^2 = 0.787$; Role $\times$ Round, $F(14, 1092) =$

$4.299, p < 0.001, \eta^2 = 0.054$).

Treatment effects also emerged when we examined the number of non-contributors. There were

more non-contributors in attacker compared to defender groups ($M \pm SE$ = 20.23 ± 0.90 *vs.* 4.25

± 0.44; $F(1, 78)$ = 408.489, $p < 0.001$; $\eta^2$ = 0.840), and more non-contributors in groups given

oxytocin than placebo ($M \pm SE$ = 13.46 ± 0.90 *vs.* 11.01 ± 0.75; $F(1,78)$ = 4.345, $p = 0.040$; $\eta^2$ =

0.053). Crucially, oxytocin increased the number of non-contributors in attacker groups but not

in defender groups (Role × Treatment, $F(1, 78)$ = 5.043, $p = 0.028$, $\eta^2$ = 0.061, Figure 3B). This

Role × Treatment effect is especially true when decisions were made simultaneously ($F(1, 78)$

= 5.712, $p = 0.019$, $\eta^2$ = 0.068) but less so when decisions were made sequentially ($F(1, 78)$ =

2.143, $p = 0.147$; $\eta^2$ = 0.027). We then examined participants' decision time when deciding to

(not) contribute, we showed that individuals in attacker groups made their decisions to not

contribute faster than to contribute ($F(1, 78)$ =137.679, $p < 0.001$, $\eta^2$ = 0.641). Oxytocin increased

the speed with which individuals in attacker groups decided to not contribute (Treatment ×

Contribute: $F(1, 77)$ = 4.857, $p = 0.031$; $\eta^2$ = 0.059, Figure 4).

Next we analyzed group-level coordination operationalized as the within-group variance in

contributions, with lower variance indicating stronger coordination (De Dreu et al., 2016a). First,

there was a Procedure × Role interaction (F(1, 78) = 101.978, $p < 0.001$, $\eta^2$ = 0.567) showing

that sequential decision-protocol facilitated coordination for attack (F(1, 78) = 77.852, $p < 0.001$,

$\eta^2$ = 0.500) and, unexpectedly, reduced coordination for defense (F(1, 78) = 39.268, $p < 0.001$, $\eta^2$

= 0.335). Importantly, as predicted, oxytocin facilitated group-level coordination (i.e., reduced

within-group variance in contributions) of out-group attack but not of in-group defense,

especially in earlier rounds (Role × Treatment × Round, $F(14, 1092)$ = 1.753, $p = 0.041$, $\eta^2$ =

0.022; Figure 5A; Figure 5—figure supplement 1 for similar results of another index of

coordination).

To examine what strategy attackers given oxytocin coordinated on, we first examined the contest

outcomes. As noted, oxytocin may enable groups to coordinate on a peaceful "no-attack

strategy", in which case we should find (1) lower victories in attacker groups given oxytocin

rather than placebo, (2) the oxytocin effect on the number of non-contributing attackers should

not differ when attacks succeed or fail, and (3) no effect of oxytocin on tracking the rival's

defense history. This was not the case. First, the number of victories was similar in attacker

groups given oxytocin (M ± SE: 24.8% ± 1.8%) and placebo (M ± SE: 26.6% ± 1.6%), ($F(1, 78)$

$= 0.572$, $p = 0.452$; $\eta^2 = 0.007$). Thus, rather than making groups coordinate on a peaceful

no-attack strategy, oxytocin may enable groups to coordinate on attacking at the right moment

with the right force. Indeed, analyses of the spoils and leftovers showed that groups given

oxytocin rather than placebo had higher leftovers when attacks failed ($t(78) = 2.609$, $p = 0.011$,

Cohen's d $= 0.581$, 95% *Confidence Interval* (CI), 0.232 to 1.76, Figure 5B) and somewhat

higher spoils when attacks were successful ($t(74) = 1.819$, $p = 0.073$, Cohen's d $= 0.419$, 95% CI,

-0.086 to 1.888, marginal significance, Figure 5C). To illustrate the increased efficiency of attack

under oxytocin, attackers' contribution and payment under the 4 conditions (i.e.,

Simultaneous/Sequential x Oxytocin/Placebo) were plotted in Figure 5D using a bootstrapping

technique (Davison and Hinkley, 1997). Specifically, a bootstrapped dataset with sample size $N =$

40 was resampled with replacement separately for each of the 4 conditions. The mean

contribution and payment of the bootstrapped sample was then calculated and saved as a new

data. For each condition, this procedure was repeated for 1,000 times to represent the population

information. As illustrated in Figure 5D, oxytocin decreased contributions to attack (a shift

towards less contribution) but increased payment (a shift towards more payment). The

distribution of attacker groups under oxytocin and placebo in a space defined by the two vectors

(contribution and payment) indicates a clear separation of oxytocin and placebo treatments

(especially when decisions were made simultaneously, i.e., black dots vs. grey dots).

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Second, we conducted ANOVA on the average number of non-contributing attackers, with

Treatment (Oxytocin vs. Placebo) as between-subjects factor, Procedure (Simultaneous vs.

Sequential) and Success (Success vs. Failure) as within-subjects factors. There were more

non-contributing attackers when attack failed than succeed (Failure vs. Success: $M \pm SE = 1.68 \pm$

$0.60$ vs. $0.37 \pm 0.05$, $F(1, 74) = 636.941$, $p < 0.001$; $\eta^2 = 0.891$). Interestingly, we found a

significant Treatment $\times$ Success interaction on the number of non-contributing attackers ($F(1,$

$74) = 6.345$, $p = 0.014$; $\eta^2 = 0.075$, Figure 5E): Oxytocin increased the number of

non-contributing attackers only in failed rounds (Oxytocin vs. Placebo: $M \pm SE = 1.84 \pm 0.09$ vs.

$1.52 \pm 0.09$; $F(1, 74) = 7.036$; $p = 0.010$; $\eta^2 = 0.083$) but not in successful attacks (Oxytocin vs.

Placebo: $M \pm SE = 0.34 \pm 0.06$ vs. $0.40 \pm 0.06$; $F(1, 74) = 0.448$; $p = 0.505$; $\eta^2 = 0.006$).

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Third, we examined how attacker groups collectively identified when to attack by creating a past

defense parameter $\alpha$ (average defender group's investment in the last two rounds, i.e.,

$(D_{j-1}+D_{j-2})/2$ on round j) and regressed attacker group's investments onto $\alpha$ (attack increased

when defender groups were vulnerable rather than strong, as indicated by $\alpha$ approaching -1). It

showed that attacker groups given oxytocin tracked their rival's past defense and attacked

especially when defenders appeared more rather than less vulnerable (i.e., attack regressed

*negatively* on rival's historical defense). Specifically, when decisions were made simultaneously,

attack regressed more strongly on $\alpha$ when groups received oxytocin ($M \pm SE$: -0.30 $\pm$ 0.05)

rather than placebo ($M \pm SE$: -0.042 $\pm$ 0.098; $t(78) = -2.334$; $p = 0.022$, Cohen's d = -0.522, 95%

CI, -0.482 to -0.038), and under oxytocin but not placebo, the regression on $\alpha$ was also stronger

in simultaneous rather than sequential decision-making (Treatment × Procedure interaction, $F(1,$

$78) = 8.312$, $p = 0.005$, $\eta^2 = 0.097$, Figure 6A).

Combined, results suggest that groups given oxytocin created more spoils from winning and had

higher leftovers when attacks failed because they better coordinated attack at the right time and

with the proper force. Indeed, when decisions were made simultaneously, the more strongly

attacker groups relied on tracking parameter α, the lower their within-group variance when

contributing ($r = 0.281$, $p = 0.012$, Figure 6B), and the lower within-group variance when

contributing, the higher the attacker's spoils when winning the conflict ($r = -0.328$, $p = 0.004$,

Figure 6C). Indirect mediation analyses confirmed that the attacker's higher spoils under

oxytocin was mediated by (i) increased tracking of defender group's past investments and (ii)

concomitant increased within-group coordination (indirect effect $= 0.147$, SE $= 0.103$; 95% CI,

0.019 to 0.511, Figure 6D).

282                                        **Discussion**

To be victorious in intergroup conflict, group members not only need to contribute to their

group's fighting capacity. They also need to coordinate collective action so that they attack when

their rival is expected to be weak, and avoid wasting resources on attacking tough defenders.

Here we found, using a dynamic intergroup contest between attackers and defenders, that those

groups who tracked their defender's history of play and coordinated their attacks of weak rather

than strong defenders wasted less resources on failed attacks and enjoyed greater spoils when

winning. In addition, we uncovered that oxytocin serves as a neurobiological mechanism

underlying such well-timed and coordinated attacks. Specifically, we found that oxytocin

enabled individuals within attacker groups to converge their individual contributions on each

other more, to collectively refrain from attacking apparently strong defenders, and effectively

attacking weak defenders. These findings emerged when groups lack an explicit coordination

device; providing attacker groups with a sequential decision-making protocol as an explicit

coordination device substituted oxytocin-induced tacit coordination.

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Our finding that oxytocin enables within-group coordination of contributions to out-group

attacks resonates with two heretofore disconnected sets of findings—that small groups of

warriors engage in social bonding and cultural rites (Glowacki et al., 2016; Macfarlan et al.,

2014; Schelling, 1960; Wilson and Wilson, 2007; Xygalatas et al., 2013), and that social bonding

and synchronized action can trigger the release of oxytocin (Burkett et al., 2016; Carter, 2014;

Samuni et al., 2017). Combined with the current results, it suggests that oxytocin might be a

potential neurobiological mechanism through which social bonding and performing coordinated

rituals can help groups to better coordinate their attacks. Our findings furthermore suggest that

such oxytocin-mediated within-group coordination can be substituted by institutional

arrangements, such as a sequential decision-making protocol. We speculate that related

institutional arrangements, like appointing a leader or having open communication channels can

similarly obviate the need for bonding rituals and/or oxytocin to make out-group attack

well-coordinated and successful.

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Neither oxytocin nor the sequential decision-protocol contributed to within-group coordination in

defender groups. One possibility is that in-group defense is tacitly well-coordinated because of

the stronger alignment of individual interests within defender groups. After all, when defender

groups survive an enemy attack, those who did not contribute to in-group defense come out

relatively wealthy. But when in-group defense fails, all group members lose regardless of

whether they contributed or not. As a result of this stronger common fate in defender groups,

individuals contribute spontaneously and tacitly coordinate well on avoiding collective defeat.

Exogenous enhancers, whether at the neurobiological or institutional level, appear not necessary.

Previous studies provided evidence for the role of oxytocin in intergroup interaction: It can

increase the positive view and benign approach of the in-group and has been linked to subtle

forms of intergroup discrimination (De Dreu et al., 2010; De Dreu and Kret, 2016; Ma et al.,

2015; Stallen et al., 2012; Ten Velden et al., 2017). Without exception, this early evidence was

limited to individual-level decision-making, and did not clearly distinguish the distinct motives

for contributing to in-group efficiency and out-group hostility. It remained unknown whether and

how oxytocin differentially affects attack and defense behavior during intergroup conflict. Using

a dynamic attack-defense contest we revealed selective effects of oxytocin on group attacking.

As such, the present study provides a new perspective on oxytocin in intergroup conflict by

highlighting its functionality for strategic attack (rather than defense). In doing so, we also

obtained first-time evidence that in-group coordination for collective action can be tracked to

evolutionary preserved neurobiological factors.

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Our study has two potential limitations. First, it involved only Chinese males as study

participants. Whereas we cannot exclude specific cultural effects, findings for the comparison

between out-group attack and in-group defense and between sequential and simultaneous

decision-making protocols resonate with findings obtained in Western culture, with both male

and female participants (De Dreu et al., 2016a). This generates confidence in the generality of

the behavioral effects for attack/defense and decision protocols. Furthermore, recent work on the

role of oxytocin in in-group cooperation suggests similar effects for both male and female

participants (Ten Velden et al., 2017). Thus, and given the absence of strong counter-evidence,

we cautiously conclude that current findings may generalize across cultural contexts and apply to

male as well as female participants. Second, it is unclear how exogenous administration of

oxytocin operates at the neurophysiological level. Whereas some have questioned whether

intranasal administration of oxytocin can have a direct impact on brain and behavior (Leng and

Ludwig, 2016), recent studies in rodents (Neumann et al., 2013; Tanaka et al., 2018), rhesus

macaques (Lee et al., 2018; Modi et al., 2014) and in humans (Paloyelis et al., 2016) collectively

suggest that intranasal oxytocin elevates brain-level presence of oxytocin, and impacts

behavioral decisions through neural networks involved in threat detection and reward processing

(Carter, 2014; Hurlemann et al., 2010; Ma et al., 2016b; Rilling et al., 2012; Stallen et al., 2018;

Wang et al., 2017; Liu et al., 2019). In addition, there is some evidence that higher levels of

oxytocin in saliva, blood, or urine relate to in-group affiliation and cooperation (Madden and

Clutton-Brock, 2011; Samuni et al., 2017) and intergroup discrimination (Levy et al., 2016).

Nevertheless, new research is needed to uncover the neurophysiological pathways through which

intranasal oxytocin impact human cognition and behavior, and how findings on intranasal

oxytocin relate to endogenous oxytocin measured from saliva, urine, or blood samples.

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Intergroup competition and conflict shapes the economic and cultural outlook of groups and

societies, and interferes with individual life-trajectories. Success and survival in times of

intergroup competition and conflict depend on the extent to which individuals make personally

costly contributions and, as shown here, on their ability to coordinate their contributions when

attacking out-groups, or defending against threatening out-groups. Both institutional

arrangements, such as leading-by-example, and neurobiological mechanisms, such as oxytocin,

facilitate behavioral coordination and the effective exploitation of out-group rivals. Indeed, as

shown here, providing group members with oxytocin or an explicit coordination device enables

them to waste less on failed attacks, and to earn more from their victories.

**Materials and methods**
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*Participants.* We recruited 486 healthy males, mostly science and engineering students, as paid

volunteers. One IADC session ($N = 6$) was dropped from data analysis because of technical

failure. Data from 480 participants (80 IADC sessions, age 18-29 years; $M \pm SE = 20.28 \pm 0.09$

years) were included in the final data analysis. The data analysis on the current study was

conducted on a 6-person-group level, thus we conducted sample size estimation by G*Power to

determine the number of groups sufficient to detect a reliable effect. Based on an estimated

average small-to-medium effect size of oxytocin effect on social behaviors (d = 0.28, Walum et al.,

2016), 80 6-person groups were needed to detect a significant effect ($\alpha = 0.05$, $\beta = 0.85$, ANOVA:

repeated measures, within-between interaction, G-Power, Faul et al., 2009). All participants were

healthy and had normal or corrected-to-normal vision and no history of neurological or psychiatric

disorders. Those who majored in psychology or economics or participated in any other drug study

in recent weeks were excluded from participation. Participants were instructed to refrain from

smoking or drinking (except water) for 2h before the experiment. The experiment involved no

deception, and participants were paid for their presence for the experiment (i.e., $10 show-up

fee) plus their average earnings in two randomly selected IADC rounds.

*Ethics Approval.* All participants provided written informed consent to participate after the

experimental procedures had been fully explained, and were acknowledged their right to withdraw

at any time during the study. All experimental procedures adhered to the standards set by the

Declaration of Helsinki and were approved by the Institutional Review Board at the State Key

Laboratory of Cognitive Neuroscience and Learning, Beijing Normal University, Beijing, China

(protocol number: ICBIR_A_0107_001).

*Procedure.* Participants were randomly assigned to the intranasal administration of oxytocin or

placebo in a double-blind placebo-controlled between-subjects design (Figure1). For each IADC

session, 6 strangers were invited to the lab at the same time and randomly assigned to 6 individual

cubicles within the same room. Upon arrival, participants first completed questionnaires that

measured current mood, empathic capacity, prosocial personality, impulsiveness, subjective

socio-economic status and cooperative personality. Participants then self-administered oxytocin

or placebo. After 35 min, participants were given instructions for the IADC game and completed

two practice rounds. When they also passed a comprehension check, they played 15

simultaneous rounds and 15 sequential rounds of IADC investments (order of simultaneous and

sequential blocks was counterbalanced across sessions, Figure 2). All the experimental

instructions used neutral language (e.g., contribution was labeled investment; defense and attack

were avoided, and groups were labeled as group A or B). Finally, participants filled out a

post-survey for mood measurement and manipulation check. The attacker and defender groups

under oxytocin or placebo did not differ in demographic information, mood change, and

prosocial-related traits (Supplementary file 1, Table 1A, 1B).

*Oxytocin administration.* The procedure of oxytocin and placebo administration was similar to

previous work that showed oxytocin effects on decision-making behaviors or in-group favoritism

(De Dreu et al., 2010; Ma et al., 2015; Rilling et al., 2012; Yan et al., 2018). A single intranasal

dose of 24 IU oxytocin or placebo (containing the active ingredients except for the neuropeptide)

was self-administered by nasal spray about 35 min before the experimental task under

experimenter supervision. A 24 IU dosage is the most commonly used dosage in oxytocin

literature (Wang et al., 2017) and recently shown as having more pronounced effects (compared

with 12 or 48 IU dose of oxytocin) on behavioral and neural responses (Spengler et al., 2017).

The spray was administered to participants three times, and each administration consisted of one

inhalation of 4 IU into each nostril. Six participants in the same IADC session were assigned to the

same treatment (oxytocin or placebo), so as to avoid potential influence of oxytocin to placebo

between individuals.

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***Data analysis.*** Data were aggregated to the group level, with Role (Attacker vs. Defender),

Procedure (Simultaneous vs. Sequential) and Round as within group variables, and Treatment

(Oxytocin vs. Placebo) as between-group factor. Analyses were performed on (i) contribution (the

averaged investment of each round, range: 0-20), (ii) the number of non-contributors (the number

of group members making a 0 contribution across a 15-round block, range: 0-45), (iii) variance

(within-group variance of each round), (iv) success rate for attack (range 0-100%), (v) the

attackers' leftovers when losing a conflict, (vi) the attackers' spoils when winning a conflict and,

finally, (vii) inter-group tracking. The within-group variance is calculated for each decision round,

thus reveals the group coordination of contribution at each round, with lower variance indicating

stronger coordination. In addition, to complement and validate these analyses, we analyzed (viii)

decision time for investment decisions (log 10-transformed decision time), and (ix) within-group

coordination as reflected in the Intra-class correlation coefficient.

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*Game-theoretic Analysis.* The IADC is an all-pay contest with a single mixed-strategy Nash

equilibrium (Abbink et al., 2010; Dechenaux et al., 2015). With two three-person groups, each

member assumed to have risk-neutral preferences and having a discretionary resource of $e = 20$

MU to invest from, the IADC game has unique mixed-strategy Nash equilibrium with out-group

attack (in-group defense) expected to average 10.15 (9.77), and attackers (defenders) should win

(survive) 32.45% (67.55%) of the contest rounds. Across the 15 rounds of simultaneous

decision-making under placebo, both out-group attack ($M \pm SE = 5.58 \pm 0.40$) and in-group

defense ($M \pm SE = 8.27 \pm 0.36$) fell below the Nash-equilibrium ($t(39) = -11.30$, $p < 0.001$ and

$t(39) = -4.18$, $p < 0.001$). Attackers defeated defenders in 22.08% (SE = 2.2%) of their attacks,

which is below the Nash success-rate ($t(39) = -4.734$, $p < 0.001$). Oxytocin did not influence

deviations from rationality (attack: $t(39) = -15.78$, $p < 0.001$; defense: $t(39) = -6.022$, $p < 0.001$;

success-rate: $t(39) = -6.615$, $p < 0.001$).

*Response Time for Decisions to (Not) Contribute.* We showed that oxytocin increases the number

of non-contributors in attacker groups. To further reveal how oxytocin influenced the

non-contributing decisions, we examined participants' decision time by calculating the response

time separately for the round that participants decided to or not to contribute. This analysis was

conducted only on the attacker group because 1) there were very few rounds (9%) in which

defenders decided not to contribute; 2) oxytocin selectively influenced the number of

non-contributors in attacker groups. Since the distribution of decision times is heavily right

skewed, linear regression is not appropriate. Similar to our previous study (Ma et al., 2015), we

first log 10-transformed decision times in all the analyses that involved decision times. The log

10-transformed decision time was submitted into a 2 (Contribute: Yes vs. No) $\times$ 2 (Treatment:

Oxytocin vs. Placebo) ANOVA for the attacker group. The decisions of which the response time

exceeded 180 seconds were excluded in final data analysis (0.51% of the decisions, due to

network problem during the experiment).

*Within-Group Coordination.* To complement and cross-validate the results for within-group

variance as an indicator of within-group coordination, we computed another related index—the

intra-class correlation. The intraclass correlation (ICC, De Dreu et al., 2016a; LeBreton and

Senter, 2008) operates on a data structured as groups, rather than data structured as paired

observations. ICC describes the amount of statistical interdependence within a group (group

cohesion), reflects how strongly individuals' contributions in all rounds in the same group

resemble each other, i.e., how similar group members are in their contributions to the group pool

across rounds. Higher ICC values in essence mean group members are more similar to each other

in the contributions made to their group pool. A Treatment $\times$ Role $\times$ Procedure ANOVA

showed effects for Role ($F(1,78) = 43.090$, p < 0.001, $\eta^2 = 0.356$), Procedure ($F(1,78) = 166.199$,

$p < 0.001$, $\eta^2 = 0.681$) and for the Role x Procedure interaction (F(1,78) = 147.586, $p < 0.001$, $\eta^2$

= 0.654). Fitting the results for within-group variance reported in the Main Text (Figure 5A),

results further showed that oxytocin increased attacker groups' ICC under simultaneous

decision-making (t(78) = 2.057, p = 0.043, Cohen's d = 0.460, Figure 5—figure supplement 1;

not under sequential block: t(78) = -0.179, p = 0.859, Cohen's d = 0.040), but did not influence

defender groups' ICC (simultaneous: t(78) = 0.485, p = 0.629, Cohen's d = 0.108; sequential:

t(78) = 0.389, p = 0.698, Cohen's d = 0.087).

*Tracking on historical contribution.* To test whether attacker groups made their contributions

based on tracking of their rival's historical level of defense, we built a multiple linear regression

of attacker groups' average contribution on round j (referred as $A_j$, with j range from 3 to 15) as a

function of average level of defense of last rounds (calculated as $(D_{j-1} + D_{j-2})/2$, regression weight

referred as α). The regression weight α was Fisher's z transformed for statistical analysis. Similar

to a previous study (De Dreu et al., 2016b), we also included another parameter: defense change

of the last and before-last rounds, calculated as ($D_{j-1}$ - $D_{j-2}$), regression weight referred as β.

However, the analysis on β failed to show significant main effects of Treatment/Procedure or

their interaction ($ps > 0.1$). To complement the analysis of attacker groups, we also examined

whether and how defender groups tracked the historical level of attack in their rivals. This

showed that defender groups relied more on α to track attacker groups under simultaneous

(relative to sequential) decision-making. The main effect of Treatment and its interaction with

Procedure were not significant ($ps > 0.05$).

*Mediation analysis.* We performed formal mediation analyses to examine through which route

oxytocin increased attacker group's spoils from winning a conflict. Two potential mediators were

included in the mediation model: one is tracking coefficient (α) the other is within-group

variance. Four different regression models were constructed, as shown below:

$Y = \beta_{11}X + \beta_{10}$              (1)

$M1 = \beta_{21}X + \beta_{20}$             (2)

$M2 = \beta_{31}X + \beta_{32}M1 + B_{30}$     (3)

$Y = \beta_{41}X + \beta_{42}M1 + B_{43}M2 + B_{40}$     (4)

In these models, X is the independent variable (Treatment, dummy-coded, 0 for placebo and 1

for oxytocin), M1 is the first mediator (the weight for attacker group's tracking of the historical

defense, the tracking coefficient, α), M2 is a second mediator (attacker group's within-group

variance), and Y is the dependent variable (attacker groups' spoils from winning a conflict,

reported in the Main Text, and DV with the sum of attacker groups' spoils from winning a

conflict and leftovers from losing a conflict reported in the *SI*). A resampling method known as

bootstrapping was used to test the direct and indirect path. Bootstrapping is a nonparametric

approach to effect-size estimation and hypothesis testing that is increasingly recommended for

many types of analyses, including mediation (Mackinnon et al., 2004; Shrout and Bolger, 2002).

Rather than imposing questionable distributional assumptions, bootstrapping generates an

empirical approximation of the sampling distribution of a statistic by repeated random

resampling from the available data, and uses this distribution to calculate p-values and construct

confidence intervals (5,000 resamples were taken for these analyses). Moreover, this procedure

supplies superior CIs that are bias-corrected and accelerated (Preacher et al., 2007). Results are

summarized in Figure 6D, Figure 6—figure supplement 1, Supplementary file 1, Table 1C, 1D.

As can be seen, multistep mediational analysis showed that the oxytocin effect on increasing

attacker group's spoils from winning the conflict *plus* leftovers from losing the conflict was

mediated by its effect on increasing tracking of defenders' history so as to increase within-group

coordination.

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 **Figure Legend**

 **Figure 1.** General experimental procedure.

 **Figure 2.** Illustration of one round of the IADC game in the simultaneous and sequential

 decision-making blocks, respectively.

 **Figure 3.** *Oxytocin modulates contributions to group fighting.* **(A)** Attackers contribute less than

 defenders, especially in early rounds (range 0 – 20). Curves were smoothed with a moving

 average window of three investment rounds. **(B)** Giving individuals oxytocin rather than placebo

 increases the number of non-contributing members in attacker groups especially under

 simultaneous decision-making (with 0-3 members per round across 15 rounds; range 0 – 45;

 displayed M ± 1SE). Connectors indicate significant difference, with * $p < 0.05$.

 **Figure 4.** *Response Time for Decisions to (Not) Contribute.* Oxytocin increased the speed with

 which individuals in attacker groups made their decisions to not contribute.

 **Figure 5.** *Oxytocin modulates within-group coordination.* **(A)** Giving individuals oxytocin rather

 than placebo enables better coordination (lower within-group variance) in attacker groups,

 especially in early rounds. Curves were smoothed with a moving average window of three

 investment rounds. **(B/C)** Giving attackers oxytocin rather than placebo increases their leftovers

 when not winning the conflict (B) and spoils from winning conflicts (C) (*N*=76 because 4

 attacker groups never won). **(D)** Bootstrapping illustration of the oxytocin shifts on the

 contribution and payment. Bivariate distributions of 1,000 bootstrapped sample means for each

 condition (Treatment x Procedure) plotted against the contribution and payment. **(E)** Oxytocin

 increased non-contributing attackers only in failed attacks but not in successful attacks. † $p <$

 $0.10$; * $p < 0.05$; ** $p < 0.01$.

 **Figure 6.** *Oxytocin enables a track-and-attack strategy (strength of attack increases when*

 *defender groups are vulnerable rather than strong, as indicated by α → -1).* **(A)** When attacker

 groups are given oxytocin investments regress negatively on α (the rival's historical investments

 to defense), especially during simultaneous than sequential decision-making. **(B)** Stronger

 negative regression of attack on rival's defense history (α → -1) among attacker groups

 associates with better coordination (i.e., lower within-group variance). **(C)** Better coordination

(i.e., lower within-group variance) associates with higher spoils when winning the conflict. (**D**)

Oxytocin's effect on spoils from successful attacks is mediated by treatment effects on tracking α

(more strategic when α → -1) and better within-group coordination. † $p < 0.10$; * $p < 0.05$; ** $p$

$< 0.010$).

**Figure 5-figure supplement 1**. Oxytocin increases attacker group's within-group coordination

especially in the simultaneous decision-making block.

**Figure 6-figure supplement 1.** (A) Better coordination (i.e., lower within-group variance)

associates with higher spoils and leftovers. (B) Oxytocin's effect on attacker groups' spoils from

successful attacks and leftovers from attack failure is mediated by treatment effects on tracking α

and better within-group coordination. Significant pathways were highlighted in bold. (* $p < 0.05$;

** $p < 0.01$).

**Table 1. Payoff matrix of one-round IADC.**

| Role | Participant | Initial endowment (MU) | Individual contribution ($I_i$) | Group pool (G) | Payment | | |
|------|-------------|------------------------|--------------------------------|----------------|---------|---|---|
| | | | | | **Attackers lose** $G_{Attacker} \leq G_{Defender}$ | **Attackers win** $G_{Attacker} > G_{Defender}$ | |
| | | | | | Leftover | Leftover | Spoil |
| Attack | Attacker*1* | 20 | $I_{Attacker1}$ | $G_{Attacker}$ | $20 - I_{Attacker-1}$ | $20 - I_{Attacker-1}$ | $(60 - G_{Defender})/3$ |
| | Attacker*2* | 20 | $I_{Attacker2}$ | | $20 - I_{Attacker-2}$ | $20 - I_{Attacker-2}$ | $(60 - G_{Defender})/3$ |
| | Attacker*3* | 20 | $I_{Attacker3}$ | | $20 - I_{Attacker-3}$ | $20 - I_{Attacker-3}$ | $(60 - G_{Defender})/3$ |
| Defend | Defender*1* | 20 | $I_{Defender1}$ | $G_{Defender}$ | $20 - I_{Defender-1}$ | 0 | 0 |
| | Defender*2* | 20 | $I_{Defender2}$ | | $20 - I_{Defender-2}$ | 0 | 0 |
| | Defender*3* | 20 | $I_{Defender3}$ | | $20 - I_{Defender-3}$ | 0 | 0 |

Table note: For each round, each individual received an initial endowment of 20 MU (Monetary
Units). Each individual decided the amount ($I$, $0 \leq I_i \leq 20$) to the group's pool $G$ ($0 \leq G \leq 60$,
$G_{Attacker} = I_{Attacker-1} + I_{Attacker-2} + I_{Attacker-3}$, $G_{Defender} = I_{Defender-1} + I_{Defender-2} + I_{Defender-3}$). When
$G_{Attacker} \leq G_{Defender}$, attackers failed and defenders survived and all 6 individuals kept their
remaining endowment (leftovers, $20 - I_i$). When $G_{Attacker} > G_{Defender}$, defenders failed and left with
0. The attackers won and took away defenders' remaining MU (spoils from winning, $60 -$
$G_{Defender}$), which were divided equally among attacker group members (each attacker: $(60 -$
$G_{Defender})/3$) and added to their remaining endowments ($20 - I_{Attacker-i}$).

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**Additional files**

**Supplementary files**
**Supplementary file 1. Supplementary Tables 1A through 1D.** (A) Table 1A. Match
demographic information and prosocial-related traits. (B) Table 1B. Mood changes from
pre-experiment to post-experiment. (C) Table 1C. Point estimates for indirect effects and
bootstrapped 95% bias-corrected confidence intervals for multiple mediational analysis in which

attacker group's tracking (*strategic tracking when α → -1*) and within-group variance (*variance*)

were represented as mediators in the association between *Treatment* and *spoils from winning* a

conflict during simultaneous decision-making. (D) Table 1D. Point estimates for indirect effects

and bootstrapped 95% bias-corrected confidence intervals for multiple mediational analysis in

which attacker group's tracking (*strategic tracking when α → -1)* and within-group variance

(*variance*) were represented as mediators in the association between *Treatment* and *spoils and*

*leftovers* during simultaneous decision-making.

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## General Procedure

| Participant | Questionnaire | Spray administration | Waiting | IADC game | Post survey |
|---|---|---|---|---|---|

**Data from 480 participants (80 sessions) were included in formal data analysis.**

**Mood measurement**
- Positive and Negative Affect Scale

**Personality traits**
- Empathic capacity
- Cooperative personality
- Social value orientation
- Prosocial personality
- Impulsiveness
- Socio-economic status

Double-blind placebo-controlled, between-subjects

24 IU Oxytocin 40 sessions, 240 participants

24 IU Placebo 40 sessions, 240 participants

Rest and read the introduction of the game

35 minutes

15 rounds of **simultaneous** protocol

The order of two protocols was counter balanced between 80 sessions.

15 rounds of **sequential** protocol

**Mood measurement**
- Positive and Negative Affect Scale

**Manipulation check**

## Simultaneous Block

An example round of one attacker where his attack group failed

**Investment**

You receive an endowment of 20 MUs. You can invest 0-20 MUs in your group's project.

Please indicate how many MUs you want to invest in your groups project.

[ ]

**Accept and proceed**

**Waiting**

Waiting for other group members and other group.

**Outcome**

Investment of your group and the other group and outcome of this round.

**You** have invested **3 MUs**.
**One group member** has invested **1 MUs**.
**Another group member** has invested **2 MUs**.

**your group** has invested a total of **6 MUs**.

**Group B** has invested a total of **7 MUs**.

**Therefore, this round you have earned 17 MUs.**

Six individuals make decisions simultaneously

Any individual who has made their decision must wait until all others have made their decision also.

The outcome of each round is presented at the end once all individuals have completed their investment decision.

## Sequential Block

An example round for one defender group where they won

**Investment**

This round you decide **1st** in your group.

Indicate your investment

[ ]

**Accept and proceed**

**Waiting**

Waiting for other group members and other group.

**Waiting**

Waiting for other group members and other group.

**Outcome**

**You** have invested **4 MUs**.
**One group member** has invested **4 MUs**.
**Another group member** has invested **2 MUs**.

**your group** has invested a total of **10 MUs**.

**Group B** has invested a total of **7 MUs**.

**Therefore, this round you have earned 16MUs.**

**Waiting**

This round you decide 2nd in your group

**Investment**

**The 1st one invested 4 MUs**

Indicate your investment

[ ]

**Accept and proceed**

**Waiting**

Waiting for other group members and other group.

**Outcome**

**You** have invested **4 MUs**.
**One group member** has invested **4 MUs**.
**Another group member** has invested **2 MUs**.

**your group** has invested a total of **10 MUs**.

**Group B** has invested a total of **7 MUs**.

**Therefore, this round you have earned 16MUs.**

**Waiting**

This round you decide 3rd in your group

**The 1st one invested 4 MUs**

This round you decide 3rd in your group

**Investment**

**The 1st one invested 4 MUs**
**The 2nd one invested 4 MUs**

Indicate your investment

[ ]

**Accept and proceed**

**Outcome**

**You** have invested **2 MUs**.
**One group member** has invested **4 MUs**.
**Another group member** has invested **4 MUs**.

**your group** has invested a total of **10 MUs**.

**Group B** has invested a total of **7 MUs**.

**Therefore, this round you have earned 18MUs.**

Three individuals in each group make their decisions insequence. Any individual made his decison needs to wait till individuals have made the decisions.

The outcome of each round is presented at the end once all individuals have completed their investment decision.