Several phased siRNA annotation methods can produce frequent false-positives for 24

nucleotide RNA-dominated loci in plants

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Abstract

Small RNAs regulate key physiological functions in land plants. Small RNAs can be

divided into two categories: microRNAs (miRNAs) and short interfering RNAs (siRNAs);

siRNAs are further sub-divided into the imprecisely processed heterochromatic siRNAs and

more precisely processed phased siRNAs (phasiRNAs). 21-22 nucleotide (nt)-dominated

phasiRNA-producing loci (*PHAS*) are well represented in all land plants to date, but male

reproductive tissue-associated 24 nt-dominated *PHAS* loci are known only in monocots.

Currently, only one miRNA (miR2275) is known to trigger the production of these 24 nt-

dominated *PHAS* loci. In this study, we use stringent methodologies in order to examine

whether or not 24 nt-dominated *PHAS* loci also exist in *Arabidopsis thaliana*. We find that

highly expressed 24 nt heterochromatic siRNA loci can consistently be mis-identified as *PHAS*

loci in different libraries and using different *PHAS*-detecting algorithms. We also find that

MIR2275 is not found in *A. thaliana*, and it seems to have been lost in the last common ancestor

of Brassicales. Altogether, our research highlights the potential issues with widely used siRNA

phasing-detecting algorithms which may lead to false positives when trying to annotate new

PHAS loci, especially 24 nt-dominated loci.

Introduction

Small RNAs regulate key physiological functions in land plants, ranging from

organogenesis (Boualem et al., 2008, 2008; Kutter et al., 2007; Laufs et al., 2004; Williams et

al., 2005) to gametogenesis (Grant-Downton et al., 2009). Three major protein families are

involved in the biogenesis of small RNAs. The first family is the DICER-LIKE (DCL) protein

family. Consisting of four paralogs in the *Arabidopsis thaliana* genome (Baulcombe, 2004;

Chapman & Carrington, 2007), DCL proteins hydrolyze RNA precursors into 20-24 nt double-

stranded RNA fragments (Millar & Waterhouse, 2005). These double-stranded RNA fragments

are then loaded into ARGONAUTE (AGO) proteins, the second protein family, and one strand

of the RNA is discarded (Ender & Meister, 2010). Upon Watson-Crick binding to other RNA

transcripts in the cell, the AGO/single-stranded RNA complex represses other RNA transcripts

(Baumberger & Baulcombe, 2005; Qi et al., 2005). Overall, 10 AGOs are encoded in the *A.*

thaliana genome (Tolia & Joshua-Tor, 2007). RNA DEPENDENT RNA POLYMERASES

(RDRs) are the third family of proteins involved in the biogenesis of many small RNAs. RDRs

convert single-stranded RNAs into double-stranded RNAs by synthesizing the complementary

strand of the RNA molecule (Willmann, et al., 2011). Six *RDRs* are encoded in the *A. thaliana*

genome (Willmann, et al., 2011).

Small RNAs can be divided into two major categories: microRNAs (miRNAs) which are

precisely processed from single-stranded, stem-and-loop RNA precursors (Millar & Waterhouse,

2005), and short interfering RNAs (siRNAs), which are derived from double-stranded RNA

precursors (Axtell, 2013). siRNAs are further divided into several different groups, including

phased siRNAs (phasiRNAs) and heterochromatic siRNAs (hc-siRNAs). Predominantly 24 nts

in length, hc-siRNAs function to repress transcription of deleterious genomic elements by

encouraging the formation of heterochromatin in targeted areas (Baulcombe, 2004; Sugiyama et

al., 2005). Biogenesis of hc-siRNAs begins transcription of transposable elements and repeat-

rich regions via the plant-specific holoenzyme, DNA DEPENDENT RNA POLYMERASE IV

(Pol IV) (Onodera et al., 2005). The resulting transcript is then converted into double-stranded

RNA by RDR2 and this double-stranded transcript is hydrolyzed by DCL3 (Matzke et al., 2009).

phasiRNAs are derived from DNA DEPENDENT RNA POLYMERASE II (Pol II) transcripts

that have been targeted by miRNAs (Fei et al., 2013). Upon miRNA-mediated hydrolysis, the

RNA transcript is converted into double-stranded RNA by RDR6 (Cuperus et al., 2010). The

resulting double-stranded RNA is then cleaved into 21nt double-stranded RNA fragments by

DCL4 (and less frequently DCL2) (Axtell et al., 2006).

21-22 nt phasiRNA-producing loci (*PHAS*) are clearly represented in all land plants that

have been sequenced thus far. However, 24 nt dominated *PHAS* loci are only currently

described in rice (Song et al., 2011), maize (Zhai et al., 2015), and other non-grass monocots

(Kakrana et al., 2018). Much like 21 nt-dominated *PHAS*, the biogenesis of these 24 nt-

dominated *PHAS* loci begins with the Pol II-dependent transcription of a single-stranded RNA

precursor which is then targeted by miR2275 and hydrolyzed. To date, miR2275 is the only

miRNA known to trigger the production of 24 nt-dominated phasiRNAs (Fei et al., 2013). The

resulting RNA transcript is then converted into a double-stranded RNA molecule by RDR6 (Zhai

et al., 2015). However, these phasiRNA precursors are then hydrolyzed by DCL5 (a DCL3

homolog and is thus sometimes called DCL3b) to produce 24 nt phasiRNAs (Fei et al., 2013).

Aside from the combination of their size and biogenesis patterns, 24 nt-dominated *PHAS*

loci are distinct in various ways. These loci as well as their triggering miRNA, miR2275, are

very specifically expressed in the tapetum during early meiosis and quickly recede in expression

in other stages of male gametogenesis in rice and maize (Tamim et al., 2018). The AGO protein

that loads these phasiRNAs is unknown; however, in maize, *AGO18b*'s expression levels match

those of the 24 nt-dominated *PHAS* loci quite closely and is therefore the most likely candidate

to load 24 nt phasiRNAs (Komiya et al., 2014; Zhang et al., 2015). The targets of these 24 nt

phasiRNAs are unknown, but they are apparently necessary for proper male gametogenesis (Ono

et al., 2018). 24 nt-dominated *PHAS* loci were also described in the non-grass monocots

asparagus, lily, and daylily (Kakrana et al., 2018). These phasiRNAs are produced from

processing of inverted repeat (IR) RNAs, instead of the double-stranded RNA precursors

observed in rice and maize (Kakrana et al., 2018). Although the 24 nt-dominated *PHAS* loci

from non-grass monocots are still expressed most greatly in reproductive tissue, in asparagus

they are also expressed in female reproductive tissue (Kakrana et al., 2018).

We set out to search for evidence of 24 nt-*PHAS* loci in plants besides monocots. We

searched for 24 nt *PHAS* loci in the *A. thaliana* genome using small RNA-seq data. Currently,

several distinct algorithms are available to calculate the "phasing" of a sRNA-producing locus

(Dotto et al., 2014; Guo et al., 2015; Zheng et al., 2014). In general, these algorithms calculate

the number of reads that are "in-phase" against those that are "out-of-phase" in order to

determine the likelihood that a particular locus truly produces phasiRNAs (Axtell, 2010).

However, 24 nt-dominated siRNA loci are very numerous in angiosperms, and therefore are a

potential source of false-positives during searches for *PHAS* loci. We therefore carefully

examined *A. thaliana* 24 nt-dominated loci that consistently passed *PHAS*-locus detecting

algorithms using multiple methods and found that they are likely just heterochromatic siRNAs

(hc-siRNAs). We also use two other methods to examine the presence of 24 nt-dominated *PHAS*

loci in the *A. thaliana* genome. We searched for *rdr6*-dependent, 24 nt-dominated loci and found

18 such loci, but none had detectable phasing. We also examined miR2275, which triggers 24 nt

phasiRNA biogenesis in rice and maize, but found that the Brassicales clade contains no

potential homologs for this miRNA. Overall, our results suggest that there are no true 24 nt

PHAS loci in *A. thaliana*. Furthermore, our analysis shows that existing phasing score

algorithms to detect novel *PHAS* loci can lead to false positives.

Results

miR2275 is not found in the *Brassicales* clade

Currently known 24 nt dominated phasiRNA precursors are known to be targeted only by

a single miRNA family, miR2275 (Song et al., 2008; Zhai et al., 2015). We examined all

available angiosperm genomes on Phytozome (ver 12.1) for potential homologs of *MIR2275*. In

monocots, all but *Spirodela polyrhiza* and *Zostera marina* had potential miR2275 homologs

(Figure 1; Figure S1). Ten eudicots had potential miR2275 homologs based on sequence

similarity (Figure 1). We therefore interrogated small RNA libraries for these species to see if a

mature miR2275 homolog was expressed. Five eudicots had evidence of mature miR2275

accumulation (Figure 1). All of the monocots for which we obtained small RNA-seq data

expressed mature miR2275, except *Musa acuminata* (Figure 1). Importantly, because of the high

specificity of miR2275 expression in developing anthers (Tamim et al., 2018), it's possible that

our analysis includes false negatives, especially in situations where no reproductive tissue small

RNA libraries were available. Altogether, our data suggest that miR2275 is not found in *A.*

thaliana and that this loss apparently occurred before the last common ancestor for Brassicales.

Figure 1. Conservation of *MIR2275* homologs in Phytozome v. 21-curated species.
Green cells represent species that have *MIR2275* homologs based on sequence homology.
Phylogenetic tree branches are not to scale and are adapted from NCBI's species tree
representation. "-" indicates that small RNA-seq data for a given species were not available.

Three *A. thaliana* hc-siRNA loci consistently pass *PHAS*-detecting algorithms

Although *A. thaliana* lacks miR2275, it's possible that 24 nt *PHAS* loci exist in *A.*

thaliana and are triggered by a different small RNA. We reasoned that true 24 nt *PHAS* loci

would be dependent on one or more of the well-described *A. thaliana RDR* genes: *RDR1, RDR2,*

or *RDR6*. We thus examined a previously described differential expression analysis that

identified *A. thaliana* small RNA loci that were down-regulated in an *rdr1/rdr2/rdr6* triple

mutant (Polydore & Axtell, 2018). The phase scores of *rdr1/rdr2/rdr6*-dependent, 24 nt-

dominated loci were calculated in eight independent small RNA libraries using three different

algorithms (Figure 2a). Reasonable cut-offs for *PHAS* loci detection were determined by

examining the phase score distributions in the three merged wild-type libraries when well-known

21 nt *PHAS* loci were analyzed (Figure S2). Of the 31,750 loci examined, only three (Figure S3;

Dataset S1) passed the *PHAS* loci algorithms consistently in all 8 libraries examined (Figure 2a).

We were interested in determining why these three 24 nt dominated loci consistently pass

the *PHAS*-detection algorithms. As phasiRNA precursors are known to be targeted by miRNAs,

we predicted whether or not any known *A. thaliana* miRNAs could target these three loci. We

were unable to find any obvious miRNA target sites at these loci. Although miRNA target sites

were not apparent at these loci, it's possible that other siRNAs might target them and initiate

siRNA phasing. We therefore attempted to determine the most common phase register at each of

these loci in the 8 different wild-type libraries (Figure S4). If these loci are truly phased, then we

would expect the same phase register to predominate in each library examined. While this was

the case for *TAS2* (a positive control), none of the three 24 nt clusters passing the *PHAS*-

detection algorithms had consistent phase registers (Figure S4). We also note that these three

clusters didn't have the majority of their mapped reads falling into a single phase register in any

of the libraries examined, as one would expect for a true *PHAS* locus (Figure S4).

Figure 2. Properties of three *A. thaliana* 24 nt-dominated small RNA clusters that were called 'phased' by three different methods.

(a) Venn diagram shows numbers of 24 nt-dominated loci that were called 'phased' by the indicated algorithms.

(b) Percentage of the three *PHAS*-test passing clusters overlapping either genes or transposable elements. The percentage is calculated as: (number of clusters intersecting a feature/total number of clusters in category)*100.

(c) Accumulation of the three *PHAS*-test passing clusters in different genetic backgrounds.
Numbers represent the ratio of small RNA accumulation in the indicated genotypes over that in
corresponding wild-type library as computed by DESeq2. The differential expression status was
determined via DESeq2 at an FDR of 0.1.

(d) Percentage of short RNAs from *dcl2/dcl3/dcl4* triple mutant libraries by read length for the
three *PHAS*-test passing loci.

(e) Same as in Panel d, except for five known *A. thaliana TAS* loci.

We then determined where in the genome these loci were encoded. Similar to hc-siRNA

loci, these three loci primarily overlap with repeat- and transposable-elements (Figure 2b). In

comparison, many known *A. thaliana PHAS* loci are primarily derived from genes (Figure 2b).

Rice and maize annotated 24 nt *PHAS* loci are derived from long non-coding RNAs that are

encoded in regions of the genome that are devoid of protein-coding genes, transposable

elements, or repeats (Song et al., 2008; Zhai et al., 2015). We also note that sRNA accumulation

from these three loci is down-regulated in *nrpd1-3* (NRPD is the largest sub-unit of Pol IV), *nrpe*

(NRPE is the largest subunit of Pol V), and *rdr2* (Figure 2c). hc-siRNAs have the same genetic

requirements (Matzke et al., 2009), which strongly suggests that these three clusters produce hc-

siRNAs.

The Pol IV transcripts from which hc-siRNAs are derived are around 26-50 nts in length,

and accumulate to detectable levels in the *dcl2-1/3-1/4-2t* (*dcl234*) triple mutant (Ye et al., 2016;

Zhai et al., 2015). We therefore analyzed the lengths of reads mapping to our three putative 24

nt *PHAS* loci in *dcl234* triple mutants. We found most reads were less than 40 nts long,

indicating that these putative 24 nt *PHAS* loci are associated with short precursors similar to hc-

siRNA loci (Figure 2d). In comparison, reads mapping to the known *TAS* loci had a wider range

of sizes, ranging from 24-80 nts (Figure 2e). Overall, the size profile of the precursor RNAs

further delineate these three loci from known *PHAS* loci.

Finally, we examined the AGO-enrichments of small RNAs from these loci. Canonical

hc-siRNAs are loaded into AGO4 in order to repress other loci transcriptionally (Mi et al., 2008).

While sRNAs from our three putative 24 nt *PHAS* loci are not particularly enriched in AGO4-

immunoprecipitation libraries, known 21 nt *PHAS* loci are quite depleted in the same dataset

(Figure S5). We also note that sRNAs from the three putative 24 nt *PHAS* loci are depleted in

AGO1 immunoprecipitation libraries (Figure S5), probably owing to the lack of 21 nt sRNAs,

which AGO1 primarily loads, produced at these loci (Mi et al., 2008).

The three *PHAS*-Test passing loci have distinct characteristics

hc-siRNAs are known to produce small RNAs in a very imprecise manner, unlike the

largely precise processing of phasiRNAs (Axtell, 2013). We were interested in how three hc-

siRNAs could consistently pass three different *PHAS*-detecting algorithms. One simple

explanation is possible erroneous placement of ambiguously mapped reads. These multi-

mapping reads could cause the *PHAS*-detecting algorithms to overestimate the number of "in

phase" reads. We therefore determined the proportion of multi-mapping reads in each locus, but

these loci have a low proportion of multi-mapping reads compared to other 24 nt-dominated

clusters (Figure 3a). We note that these three loci are more highly expressed than most other 24

nt-dominated clusters and even known *PHAS* loci in *A. thaliana* (Figure 3b). These three

clusters accumulate to nearly 1,000 RPM, while most other 24 nt-dominated clusters and known

PHAS loci only produce around 1 RPM (Figure 3b). Another interesting feature of these loci is

their length. All three of these loci are around 10,000 nts in length, far greater than the 200-800

nt length of canonical *PHAS* loci and most other 24 nt-dominated loci (Figure 3c). These results

indicate that these loci are simply highly expressed and particularly long hc-siRNA producing

clusters (Figure S3).

Figure 3. The three *PHAS*-Test passing small RNA clusters have distinct properties
compared to other 24 nt-dominated Clusters.

(a) The proportion of ambiguously mapped reads produced by the three *PHAS*-passing clusters
are compared to other 24 nt-dominated clusters and clusters intersecting known *A. thaliana* 21
nt-dominated *PHAS* loci. The width of the density plot shows the frequency. The inset boxes
show medians (horizontal lines), the 1st-3rd quartile range (boxes), the 95% confidence of
medians (notches), other data out to 1.5 times the interquartile range (whiskers) and outliers
(dots).

(b) Same as panel a except showing small RNA accumulation.

(c) Same as panel a except showing small RNA cluster length.

218 A small number of *A. thaliana rdr6*-dependent, 24 nt-dominated siRNA loci exist, but are

219 not phased

Canonical monocot 24 nt-dominated *PHAS* loci are produced in part through the

biochemical activity of RDR6 (Zhai et al., 2015). We therefore used an alternative strategy to

identify possible 24 nt *PHAS* loci by first identifying *rdr6*-dependent, 24 nt-dominated siRNA

loci. We found 18 such loci (Figure S6; Dataset S1). None of these loci were consistently

phased in the eight wild-type *A. thaliana* libraries (Table S2) tested according to any of the three

algorithms we used (Dataset S3). We determined the genetic dependencies of these loci and

found that these loci are down-regulated in *nrpd, nrpe,* and *rdr2* backgrounds (Figure 4).

Furthermore, these loci either overlap transposable elements or are mostly found in otherwise

intergenic regions (Figure S7a). As these are typical features of hc-siRNAs, it's possible that hc-

siRNAs were erroneously placed at these loci. Again, we examined the proportion of

ambiguously mapped reads in these *rdr6*-dependent, 24 nt-dominated clusters compared to other

24 nt-dominated clusters and found that these loci had low proportions of multi-mapping reads

(Figure S7b). Our result argues against this hypothesis.

rdr6-dependent, 24 nt-dominated clusters are strongly expressed relative to other 24 nt-

dominated clusters (Figure S7c). These *rdr6*-dependent clusters have median expression level of

nearly 100 RPM compared to the nearly 1 RPM median expression level of the other 24 nt-

dominated clusters (Figure S7c). This result is similar to the three *A. thaliana* clusters that

passed our *PHAS*-detection algorithms (Figure 3b). However, the lengths of the *rdr6*-dependent,

24 nt-dominated clusters are similar to other 24 nt-dominated clusters (Figure S7d). Overall

while we do find evidence for a small number of *rdr6*-dependent, 24 nt dominated siRNAs in *A.*

thaliana, they are not phased, nor do they appear readily discernable from more typical hc-

siRNA loci. Thus overall we have found no evidence of 24 nt dominated *PHAS* loci in *A.*

thaliana.

Figure 4. Accumulation of 24 nt-dominated, *rdr6*-dependent small RNA clusters in different mutant backgrounds.

Numbers represent the log2-transformed ratios of small RNA accumulation in the indicated genotypes over that in the corresponding wild-type libraries as computed by DESeq2. The differential expression status was determined via DESeq2 at an FDR of 0.1.

Erroneous detection of 24nt-dominated *PHAS* loci occurs in other land plant species

We were interested in determining if erroneous annotation of *PHAS* loci was unique to *A.*

thaliana small RNAs or if these results could be replicated in other species. We therefore

interrogated publicly available *Brassica rapa*, *Cucumis sativus*, *Phaseolus vulgaris*, and *Solanum*

tuberosum small RNA libraries (all eudicots) using the three algorithms, searching for putative

24 nt-dominated *PHAS* loci. We specifically chose these four species because they all lacked a

potential *MIR2275* homolog (Figure 1) and thus any 24 nt-dominated *PHAS* cluster is likely a

false positive. Each species had 24 nt-dominated small RNAs that were misannotated as *PHAS*

loci (Figure S8). We first determined where in the genome the 24 nt-dominated loci are

encoded. Like in *A. thaliana,* 24 nt-dominated loci that passed the *PHAS*-detection algorithm

seem to come predominantly from transposable elements. This was true in all the species

examined except for *P. vulgaris* (Figure 5a). Curiously, the *PHAS*-test passing clusters had a

slightly higher proportion of ambiguously mapped reads compared to other 24 nt-dominated loci

in *B. rapa*, *P. vulgaris*, and *S, tuberosum* (Figure 5b). For *C. sativus*, the proportion of multi-

mapping reads in *PHAS*-test passing 24 nt-dominated clusters was substantially higher than other

24 nt-dominated clusters (Figure 5b). It's still unlikely that multi-mapping reads contribute

significantly to phasing at these loci because their proportions are similar in three of the four

species tested (Figure 5b).

In the four species tested, the *PHAS*-test passing 24 nt-dominated loci had both

significantly greater lengths (Figure 5c) and expression on average (Figure 5d). We observed

this same trend in *A. thaliana* (Figure 3b-c), which suggests that long lengths and high

expression levels of 24 nt-dominated loci are conducive to *PHAS* loci mis-annotations.

Figure 5. Four divergent species also contain 24 nt-dominated clusters that passed the three *PHAS*-detecting algorithms.

(a) Percentage of 24 nt-dominated Clusters overlapping genes, transposable elements, or neither. The species name is shown in the top grey boxes. P: *PHAS*-test passing locus; NP: Not *PHAS*-test passing locus.

(b) Same as panel a except showing the proportion of multi-mapping reads.

(c) Same as panel a except showing length of the small RNA cluster.

(d) Same as panel a except showing small RNA accumulation.

Discussion

rdr6-dependent, 24 nt-dominated clusters in the *A. thaliana* genome

We found a handful of *rdr6*-dependent, 24 nt-dominated clusters to be encoded in the *A.*

thaliana (TAIR 10) genome. However, these clusters have the same genetic dependencies as hc-

siRNAs (Figure 4), are not phased, and are derived from transposable elements (Figure S7a).

The only other known *rdr6*-dependent, transposon-overlapping small RNA clusters encoded in

A. thaliana are Epigenetically Activated Short Interfering RNAs (easiRNAs) (Creasey et al.,

2014). easiRNAs are derived from transcriptionally active transposable elements that are

targeted and cleaved by miRNAs (Creasey et al., 2014). Through the biochemical actions of

RDR6 and DCL4, the miRNA cleavage product is converted into 21-22 nt double-stranded RNA

molecules (Creasey et al., 2014). Furthermore, these easiRNAs are thought to direct initial

repression of transposons (He et al., 2015). It's possible that these *rdr6*-dependent, 24 nt-

dominated clusters could represent a transitory stage of hc-siRNA targeting, in which the genetic

machinery of hc-siRNAs is used but with a remaining initial dependency on RDR6.

Possible losses of the *MIR2275*-generated phasing in eudicots

We examined all Phytozome (ver 12.1)-curated angiosperm genomes (Goodstein et al.,

2012) for the presence of *MIR2275* homologs. *MIR2275* shows remarkable conservation in

monocots (Figure 1), showing loss only in *Spirodela polyrhiza* (Wang et al., 2014) and *Zostera*

marina (Olsen et al., 2016). This could be because these are aquatic plants with reduced

morphology, and thus there is relaxed selective pressure for *MIR2275*. Another explanation is

that the genome assemblies for these species could be incomplete. The lack of *MIR2275* in

eudicots was more extensive (Figure 1), with no plants in the Brassicales clade containing a

verified *MIR2275* homolog (Figure 1). Interestingly, *Aquilegia coerulea*, a basal eudicot

(Sharma & Kramer, 2014), contained a *MIR2275* homolog (Figure 1; Figure S1) suggesting that

the last common ancestor for eudicots may have contained *MIR2275*, and that the lack of

detected putative *MIR2275* homologs in many eudicot plant species could be due to a derived

loss of *MIR2275*.

We only examined whether or not a possible *MIR2275* homolog could be detected

through sequence homology, if its predicted secondary structure is conducive to *MIRNA*

processing (Figure S1), and in some species whether or not the putative *MIR2275* homolog was

expressed in available small RNA libraries (Figure 1). We did not, however, determine if these

species produce true 24 nt-dominated phasiRNAs. It's possible that the potential *MIR2275*

homolog in these species is an orphaned *MIRNA* or has taken on a new function. Furthermore,

although some putative *MIR2275* homologs could be found, we note that they contain

mismatches in the stem of the secondary structure which may hinder miRNA biogenesis (Figure

S1). Further research is necessary to determine if these species truly encode 24 nt-dominated

PHAS loci.

The difficulties of annotating 24 nt-dominated *PHAS* loci

The discovery of 24 nt-dominated *PHAS* loci in maize (Zhai et al., 2015), rice (Song et

al., 2008), asparagus, daylily, and lily (Kakrana et al., 2018) opened up the possibility that these

loci exist in other species, even distantly-related eudicots. However, 24 nt-dominated hc-siRNA

clusters are widespread in land plant genomes (Ghildiyal & Zamore, 2009). This is true even in

A. thaliana, although 24 nt sRNAs are thought to repress transposable elements (Matzke et al.,

2009) and only about 20-30% of the *A. thaliana* genome consists of transposon/repetitive

elements (Barakat et al., 1998). In contrast, other plant genomes consist of as much as 80%

transposons/repetitive elements (Springer et al., 2009). The sheer number of 24 nt-dominated

sRNA loci could mean that several of them could meet various annotation criteria simply by

chance; this is something we have previously noted to occur in natural antisense siRNA

annotation (Polydore & Axtell, 2018).

The supposed 24 nt-dominated *PHAS* loci examined in this study consistently showed

higher levels of expression than other 24 nt-dominated sRNA loci (Figure 3b). This isn't

particularly surprising because the accumulation of reads (in- and out-of-phase) is a factor in

most *PHAS*-detection algorithms (Figure S2a-c). However, it seems that particularly highly

expressed 24 nt-dominated small RNAs are able to consistently pass *PHAS*-detection algorithms

because of this. It's possible that the sheer number of reads produced at these loci means that

these loci produce enough "in-phase" reads by chance to score highly in *PHAS*-detecting

algorithms.

Annotating 24 nt-dominated *PHAS* loci in the future

Our study demonstrates that when annotating novel 24 nt-dominated *PHAS*, more than

utilizing *PHAS*-detecting algorithms is necessary for robust annotation. First, examining

different available mutants, especially of genes involved in small RNA biogenesis, can be critical

in determining the type of small RNA in question (Figure 2c). All types of phasiRNAs are

known to be reliant on the biochemical activity of RDR6 and Pol II (Cuperus et al., 2010; Song

et al., 2008; Zhai et al., 2015). While it's entirely possible that non-canonical phasiRNAs that

are reliant on RDR2 and Pol IV may be described eventually, such a study must verify with

robust methodologies that the *PHAS* loci aren't false positives due to the sheer number of Pol

IV/RDR2 –dependent reads produced in the land plant genome.

We searched for *MIR2275* homologs because miR2275 is the only miRNA known to

trigger 24 nt phasiRNAs. If a species lacks a *MIR2275* homolog, then one should be skeptical of

any 24 nt-dominated small RNA cluster that is annotated as *PHAS* locus in that species. An

organism producing a mature miR2275 small RNA homolog is not sufficient to show that 24 nt-

dominated *PHAS* loci are produced in that species. One should also take care to ascertain if any

24 nt-dominated small RNA cluster called as a *PHAS* locus also contains a miR2275 target site

that is "in phase" with the phasiRNAs produced from the transcript. miR2275 is also very

specifically expressed in the tapetum of male floral tissue. In asparagus, 24 nt-dominated

phasiRNAS have also been shown to be expressed in female floral tissue. However, 24 nt-

dominated phasiRNAs have not been demonstrated to be expressed outside of reproductive tissue

as of yet. Therefore, any 24 nt-dominated *PHAS* loci annotated in libraries not produced from

reproductive tissues are more likely to be mis-annotations.

Despite the fact that several small RNA clusters in *A. thaliana* are able to consistently

pass the *PHAS*-detecting algorithms in different libraries, reproducibility among distinct small

RNA libraries is of utmost importance. It could also be useful to employ several different

PHAS-test algorithms as well. In *A. thaliana*, three loci were able to consistently pass our

PHAS-detecting algorithms (Figure S3), but the number of clusters that each algorithm detected

on its own was generally much higher (Figure 2a). Had we not used stringent *PHAS*-detecting

methods, it would've been plausible to assume we had found 24 nt-dominated *PHAS* loci in *A.*

thaliana based on the number of clusters that consistently pass alone. Utilizing multiple small

RNA libraries should become easier to accomplish as more and more libraries in different

treatments/genotypes become available for diverse species. Certain *PHAS*-detecting programs,

such as *PHASIS* (Kakrana et al., 2017), automatically evaluate potential small RNA clusters in

different libraries individually before merging the results of each library.

It's not always possible to determine the small RNA that targets the phasiRNA precursor

transcript. Indeed, several reads may be predicted to target a certain transcript by chance due to

the sheer number of unique reads in a small RNA library. However, due to hydrolysis of the

precursor transcript following small RNA-mediated targeting, phasiRNAs have well-defined

termini from which they are produced (Axtell et al., 2006; Cuperus et al., 2010). Therefore, a

true *PHAS* loci should have a large proportion of its reads reproducibly falling into a particular

phase register. This is what we observed with well-characterized *PHAS* locus, *TAS2*, but not

with the three loci that pass our rigorous *PHAS*-annotation regime in *A. thaliana* (Figure S4).

As 21-22 nt-dominated *PHAS* loci are far more common in land plants, especially outside

of the monocots, one can conservatively limit their discovery of new *PHAS* loci to 21-22 nt-

dominated small RNAs and not employ as rigorous methodology as the ones used in this study.

24 nt-dominated *PHAS* loci on the other hand seem to be have undergone loss in many

angiosperms. However, even in the species in which they are conserved, they seem to play very

specific, reproductive-associated roles as evidenced by their expression patterns. Great caution

should be used for annotating 24 nt-dominated *PHAS* loci in the future.

Materials and Methods

Finding potential *MIR2275* homologs in angiosperms

The Phytozome (ver 12.1)-curated angiosperm genomes sequences were downloaded.

The mature *O. sativa* miR2275a sequence was downloaded from miRBase (ver 21.) (Griffiths-

Jones et al., 2008) and searched against all the other genomes using Basic Local Alignment

Search Tool (BLAST ver. 2.7.1) with options –penalty -3, -gapopen 5, -gapextend 2, and –evalue

10 (Altschul et al., 1990). Only results with at least 85 % sequence identity covering at least 18

nucleotides of miR2275a were kept for further analysis.

In order to determine the predicted secondary structures of the BLAST results of interest,

the sequences corresponding to the BLAST result, plus 200 nucleotides upstream and

downstream, was extracted from the *A. thaliana* (TAIR10) genome. The secondary structures of

the sequences were predicted using the mFOLD web server (Zuker, 2003) and visually inspected

to determine if the sequence formed a hairpin structure consistent with accepted norms for

miRNA biogenesis (Axtell & Meyers, 2018).

We downloaded publicly available small RNA libraries (Dataset S2) for *Brassica rapa*,

Cucumis sativus, *Phaseolus vulgaris*, and *Solanum tuberosum* and merged and aligned them

against their respective genomes using ShortStack (ver 3.8.1) with default options. The genomes

used were ver 1.0 for *B. rapa* (Wang et al., 2011), ver 1.0 for *P. vulgaris* (Schmutz et al., 2014),

ver. 2 for *C. sativus* (Huang et al., 2009), and dm_v404 for *S. tuberosum* (Hardigan et al., 2016).

The merged libraries were collapsed to non-redundant reads and investigated using CD-HIT (ver

4.6.8) using the options -n 4, -d 0, and -g 1. The *O. sativa* miR2275a sequence was used as a

query.

Determination of potential 24 nt-dominated *PHAS* loci in different wild-type, inflorescence

libraries

Wild-type biological triplicate small RNA libraries (GSE105262) (Polydore & Axtell,

2018) were merged and aligned against the *A. thaliana* (TAIR 10) genome using ShortStack (ver

3.8) (Johnson et al., 2016) using 25 known *A. thaliana PHAS* loci as a query file (Table S1).

Three distinct *PHAS* –detecting algorithms (Dotto et al., 2014; Johnson et al., 2016; Zheng et al.,

2014) were used to determine phase scores in the merged run. These scores were used as the

basis to determine cutoffs for calling significantly phased loci (Figure S2).

Wild-type and *rdr1-1/2-1/6-15* (*rdr1/2/6*) triple mutant small RNA libraries

(GSE105262) (Polydore & Axtell, 2018) were aligned against the *A. thaliana* (TAIR 10) genome

using ShortStack (ver 3.2) with option –pad 75 (Table S2). Differential expression to determine

down-regulated clusters was performed as previously described (Polydore & Axtell, 2018).

Down-regulated, 24 nt-dominated small RNAs clusters were catalogued into a list. Eight wild-

type, inflorescence small RNA libraries (Table S2) were run individually against the *A. thaliana*

(TAIR 10) genome utilizing ShortStack (ver 3.8.1) using the results of our wild-type and

rdr1/2/6 libraries run as a query file. The phase scores of loci corresponding to the down-

regulated, 24 nt-dominated small RNAs clusters were evaluated using the binary sequence

alignment (BAM)-formatted alignments from each run. ShortStack and an in-house Python

script were used to perform the three phase score calculations. For *B. rapa, C. sativus, P.*

vulgaris, and *S. tuberosum,* the previous Shortstack merged small RNA alignments were

analyzed in the same way.

AGO Immunoprecipitation, genetic dependency, and properties of loci analyses

Calculating the lengths, proportion of multi-mapping reads, small RNA expression levels

(in Reads Per Million (RPM)), determining the genetic dependencies, and the AGO enrichments

of various loci were performed as previously described (Polydore & Axtell, 2018).

Examining *PHAS*-test passing loci for potential miRNA targeting

Sequences corresponding to the *A. thaliana* loci that consistently passed the *PHAS*-

detection algorithms plus 200 base pairs up-stream and down-stream were extracted from the *A.*

thaliana genome. Mature miRNA sequences were downloaded from miRBase (ver 21) and

aligned against these sequences using the Generic Small RNA-Transcriptome Aligner. A

miRNA was considered to be potentially targeting a sequence if it aligned with an Allen et. al.

(2015) score of 3 or less.

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704   **Supplementary Figures**

Eudicots

Citrus sinensis (Sweet Orange)

Scaffold02893:5,816-6,237

Citrus clementina (Clementine)

Scaffold_7:11,677,716-11,678,137

Populus trichocarpa (Black Cottonwood)

Chr18:16,602,794-16,603,213

Fragaria vesca (Stawberry)

LG6:33,999,807-34,000,228

Prunus persica (Peach)

Pp03:25,088,045-25,088,466

Daucus carota (Carrot)

DCARv2_Chr3:50,126,000-50,126,421

Linum usitatissimum (Flax)

Scaffold917:325,461-325,882

Salix purpurea

chr18:8,411,240-8,411,659

Manihot esculenta (Cassava)

Chromosome09:25,243,068-25,243,487

Vitis vinifera (Grapevine)

chr18_random:2,094,218-2,094,599

Aquilegia coerulea

Chr5:8,028,124-8,028,545

Monocots

Panicum hallii

chr18_random:2,094,218-2,094,599

Ananas comosus (Pineapple)

LG24:194,094-194,515

Musa acuminata (Banana)

chr3:26,582,014-26,582,394

Brachypodium stacei

Chr03:1,620,495-1,620,875

Zea mays (Maize)

Chr04:30,230,951-30,231,372

Sorghum bicolor

Chr07:2,205,924-2,206,344

Panicum virgatum (Switchgrass)

Chr06N:2,434,933-2,435,354

Oropetium thomaeum

Oropetium_genomic_20141112_019:926,647-927,067

Setaria italica

Scaffold_6:3,520,704-3,521,124

Setaria viridis

Chr_06:3,634,546-3,634,966

Figure S1. The RNA secondary structure of BLAST-validated *MIR2275* homologs.

Secondary structures of loci were predicted via mFOLD. If the potential *MIR2275* homolog formed a stem-and-loop structure, it is shown above. The red nucleotides denote the mature *MIR2275* homolog in the secondary structure.

Figure S2. Determination of phase score cutoffs using three different algorithms

(a) Small RNA accumulation at 25 known 21 nt *PHAS* loci (Table S1) was analyzed using the *PHAS*-Test algorithm from Guo et al. (2015), which is used by ShortStack. Variables and formula are shown. Red line represents the cut-off used in this study to determine if a locus was phased or not; scores above the red line were considered 'phased'.

(b) Same as in panel a except for the *PHAS*-Test algorithm from Zheng et al. (2014).

(c) Same as in panel a except for the *PHAS*-Test algorithm from Dotto et al. (2014); scores below the red-line were considered 'phased'.

Figure S3. Genome browser snapshots of the three *Arabidopsis thaliana PHAS*-Test passing clusters.

(a) Alignments and read size distribution of Cluster_13139. Red reads represent positive-strand mapped genes, while blue reads are those that mapped to the negative strand. Numbers in the brackets are the range of coverage shown in Reads per Million.

(b) Same as a, except for Cluster_17614.

(c) Same as a, except for Cluster_32524.

Cluster_13139

Cluster_17614

Phase Register Frequencies

Cluster_32524

Phase Register Frequencies

TAS2

Figure S4. The three *PHAS*-Test passing loci have inconsistent phase register frequencies.

Frequencies of phase registers where calculated in eight publicly available wild-type inflorescence libraries from *A. thaliana* (the accession numbers are listed in the grey boxes). *TAS2* is shown for comparison. Phase register frequencies are calculated via the following formula: (number of reads "in phase"/ total number of reads at locus)*100.

Figure S5. The three putative 24 nt *PHAS* loci show AGO-loading profiles that are distinct from *TAS* loci.

Small RNAs from immunoprecipitation protein were aligned to the *A. thaliana* (TAIR10) genome. Numbers indicate the ratio of sRNA accumulation between immunoprecipitated and total libraries (in RPMs).

Cluster_54003

Cluster_59879

769 **Figure S6. Small RNA coverage at *rdr6*-dependent, 24 nt-dominated small RNA clusters.**

770 Charts represent small RNA accumulation in RPMs at a locus (regardless of the strandedness of
771 a read). Top chart (blue) represents accumulation in wild-type libraries, and the bottom chart
772 (red) represents the same in *rdr1/2/6* libraries. Arrows on the gene names represent the
773 strandedness of that gene. None had significant phasing.

Figure S7. *rdr6*-**dependent, 24 nt-dominated clusters' characteristics compared to other 24nt-dominated clusters.**

(a) The overlap between *rdr6*-dependent, 24 nt-dominated clusters with genes and transposons was calculated as in Figure 3a. Numbers at the top indicate the count in each category.

(b) The proportion of multi-mapping reads produced at *rdr6*-dependent, 24 nt-dominated clusters compared to other 24 nt-dominated clusters. Numbers at the top indicate the count in each category.

(c) Same as panel b except showing small RNA accumulation (in RPM). Amount in each category is the same as panel b.

(d) Same as panel b except showing length (in nts). Amount in each category is the same as panel b.

Brassica rapa

Phaseolus vulgaris

Cucumis sativus

Solanum tuberosum

Dotto et al. 2014   Zheng et al. 2014

ShortStack: Johnson et al. 2016

Figure S8. Several 24 nt-dominated small RNA loci pass *PHAS*-detection algorithms in four other eudicots.

Venn diagram shows numbers of 24 nt-dominated loci that were called 'phased' by the indicated algorithms. Species examined is shown above the graphs.

792 **Table S1. List of 25 known 21 nt *PHAS* loci in *A. thaliana*.**

Chr.	Start	Stop	Locus Name	Source
Chr2	11721539	11722468	*TAS1a*	(Vazquez et al., 2004)
Chr1	18549204	18550042	*TAS1b*	(Allen et al., 2005)
Chr2	16537288	16538277	*TAS1c*	(Allen et al., 2005)
Chr2	16539384	16540417	*TAS2*	(Allen et al., 2005)
Chr3	5861491	5862437	*TAS3a*	(Montgomery et al., 2008)
Chr3	9415004	9422587	*TAS4*	(Rajagopalan et al., 2006)
Chr1	23299057	23300958	*PPR-At1g62910*	(Ronemus et al., 2006)
Chr1	23412730	23415149	*PPR-At1g63130*	(Ronemus et al., 2006)
Chr1	23306534	23308683	*PPR-At1g62930*	(Ronemus et al., 2006)
Chr1	23387631	23390816	*PPR-At1g63080*	(Ronemus et al., 2006)
Chr1	23507320	23509053	*PPR-At1g63400*	(Ronemus et al., 2006)
Chr1	23419396	23421579	*PPR-At1g63150*	(Ronemus et al., 2006)
Chr1	23385324	23387167	*PPR-At1g63070*	(Ronemus et al., 2006)
Chr1	23489840	23491519	*PPR-At1g63330*	(Ronemus et al., 2006)
Chr1	23176930	23179248	*PPR-At1g62590*	(Ronemus et al., 2006)
Chr5	15555156	15558732	*TIR-NBS-LRR-At5g38850*	(Howell et al., 2007)
Chr1	4368760	4371293	*AFB3*	(Si-Ammour et al., 2011)
Chr5	16638370	16641728	*ATCHX18*	(Howell et al., 2007)
Chr1	17886098	17892586	*AGO1*	(Axtell et al., 2006)
Chr3	23273116	23276375	*TIR1*	(Si-Ammour et al., 2011)
Chr4	1404887	1407139	*AFB1*	(Si-Ammour et al., 2011)
Chr3	9867845	9870640	*AFB2*	(Si-Ammour et al., 2011)
Chr5	15757717	15758109	*SLG*	(Chen et al., 2007)
Chr4	8380848	8383496	*CC-NBS-LRR-At4g14610*	(Zhai et al., 2011)
Chr4	8146345	8152131	*MET2*	(Chen et al., 2010)

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 Table S2. *A. thaliana* **small RNA libraries used in this study**

Accession Number	Genotype	3' Adapter (First 8 nts)	Source
GSM2825283	Wild-type Replicate 1	TGGAATTC	(Polydore & Axtell, 2018)
GSM2825284	Wild-type Replicate 2	TGGAATTC	(Polydore & Axtell, 2018)
GSM2825285	Wild-type Replicate 3	TGGAATTC	(Polydore & Axtell, 2018)
GSM2825286	*rdr1-1/2-1/6-15* Replicate 1	TGGAATTC	(Polydore & Axtell, 2018)
GSM2825287	*rdr1-1/2-1/6-15* Replicate 2	TGGAATTC	(Polydore & Axtell, 2018)
GSM2825288	*rdr1-1/2-1/6-15* Replicate 3	TGGAATTC	(Polydore & Axtell, 2018)
GSM1533527	Wild-type Replicate 1	TGGAATTC	(Groth et al., 2014)
GSM1533528	Wild-type Replicate 2	TGGAATTC	(Groth et al., 2014)
GSM1533529	Wild-type Replicate 3	TGGAATTC	(Groth et al., 2014)
GSM1533542	*dcl3* Replicate 1	TGGAATTC	(Groth et al., 2014)
GSM1533543	*dcl3* Replicate 2	TGGAATTC	(Groth et al., 2014)
GSM1533544	*dcl3* Replicate 3	TGGAATTC	(Groth et al., 2014)
GSM1845210	Wild-type Replicate 1	AGATCGGA	(Elvira-Matelot et al., 2016)
GSM1845211	Wild-type Replicate 2	AGATCGGA	(Elvira-Matelot et al., 2016)
GSM1845212	Wild-type Replicate 3	AGATCGGA	(Elvira-Matelot et al., 2016)
GSM1845222	*dcl2-1/3-1/4-2t* Replicate 1	AGATCGGA	(Elvira-Matelot et al., 2016)
GSM1845223	*dcl2-1/3-1/4-2t* Replicate 2	AGATCGGA	(Elvira-Matelot et al., 2016)
GSM1845224	*dcl2-1/3-1/4-2t* Replicate 3	AGATCGGA	(Elvira-Matelot et al., 2016)
GSM1087973	Wild-type Replicate 1	TCGTATGC	(Jeong et al., 2013)
GSM1087974	Wild-type Replicate 2	TCGTATGC	(Jeong et al., 2013)
GSM1087975	*dcl1-7* Replicate 1	TCGTATGC	(Jeong et al., 2013)
GSM1087976	*dcl1-7* Replicate 2	TCGTATGC	(Jeong et al., 2013)
GSM1377370	Wild-type Replicate 1	TGGAATTC	(Li et al., 2014)
GSM1377371	Wild-type Replicate 2	TGGAATTC	(Li et al., 2014)
GSM1377372	*nrpd1-3* Replicate 1	TGGAATTC	(Li et al., 2014)
GSM1377373	*nrpd1-3* Replicate 2	TGGAATTC	(Li et al., 2014)
GSM1377376	*rdr2-1* Replicate 1	TGGAATTC	(Li et al., 2014)

GSM1377377	*rdr2-1* Replicate 2	TGGAATTC	(Li et al., 2014)
GSM2102962	Wild-type Replicate 1	TGGAATTC	(Panda et al., 2016)
GSM2102963	Wild-type Replicate 2	TGGAATTC	(Panda et al., 2016)
GSM2102965	*rdr6-15* Replicate 1	TGGAATTC	(Panda et al., 2016)
GSM2102462	*rdr6-15* Replicate 2	TGGAATTC	(Panda et al., 2016)
GSM893112	Wild-type Replicate 1	CACTCGGG	(Lee et al., 2012)
GSM893113	Wild-type Replicate 2	CACTCGGG	(Lee et al., 2012)
GSM893114	Wild-type Replicate 3	CACTCGGG	(Lee et al., 2012)
GSM893115	*nrpb1-1 (nrpe)* Replicate 1	CACTCGGG	(Lee et al., 2012)
GSM893116	*nrpb1-1 (nrpe)* Replicate 2	CACTCGGG	(Lee et al., 2012)
GSM893117	*nrpb1-1 (nrpe)* Replicate 3	CACTCGGG	(Lee et al., 2012)
GSM1668899	Wild-type Replicate 1	TGGAATTC	(Zhai et al., 2015)
GSM1668905	Wild-type Replicate 2	TGGAATTC	(Zhai et al., 2015)

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