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LinkedSV for detection of mosaic structural variants from linked read exome and genome sequencing data

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17 Abstract

18 Linked-read sequencing provides long-range information on short-read sequencing data by 19 barcoding reads originating from the same DNA molecule, and can improve the detection and 20 breakpoint identification for structural variants (SVs). We present LinkedSV for SV detection on 21 linked-read sequencing data. LinkedSV considers barcode overlapping and enriched fragment 22 endpoints as signals to detect large SVs, while it leverages read depth, paired-end signals and 23 local assembly to detect small SVs. Benchmarking studies demonstrates that LinkedSV 24 outperforms existing tools, especially on exome data and on somatic SVs with low variant allele 25 frequencies. We demonstrate clinical cases where LinkedSV identifies disease causal SVs from 26 linked-read exome sequencing data missed by conventional exome sequencing, and show 27 examples where LinkedSV identifies SVs missed by high-coverage long-read sequencing. In summary, LinkedSV can detect SVs missed by conventional short-read and long-read 28 29 sequencing approaches, and may resolve negative cases from clinical genome/exome sequencing 30 studies.

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33 Introduction

34 Genomic structural variants (SVs) have been implicated in a variety of phenotypic diversity and human diseases¹. Several approaches such as split-reads 2, 3, discordant read-pairs 3, 4, and 35 assembly-based methods ^{5, 6} have been developed for SV discovery from short reads. However, 36 37 reliable detection of SVs from these approaches remains challenging. The split-reads and 38 discordant read-pairs approaches require that the breakpoint-spanning reads/read-pairs are 39 sequenced and confidently mapped. Genomic rearrangements are often mediated by repeats and thus breakpoint junctions of SVs are very likely to reside in repetitive regions ^{7, 8, 9}. Therefore, 40 41 the breakpoint-spanning reads/read-pairs may be multi-mapped and have low mapping qualities. It is also difficult to perform assembly at repeat regions. Long-read sequencing such as SMRT 42 sequencing and Nanopore sequencing are better for SV detection ^{10, 11}, but their application is 43 44 limited by the higher cost and per-base error rate.

Linked-read sequencing technology developed by 10X Genomics combines the throughput and accuracy of short-read sequencing with the long-range information. In this approach, nanogram amounts of high-molecular weight (HMW) DNA molecules are dispersed into more than 1 million droplet partitions with different barcodes by a microfluidic system ¹². Thus, only a small number of HMW DNA molecules (~10) are loaded per partition ¹³. The HMW DNA molecules can be up to several hundred kilobases in size and have a length-weighted mean DNA molecule length of about 50 kb. Within an individual droplet partition, HMW DNA molecules are primed and amplified by primers with a partition-specific barcode. The barcoded DNA molecules are released from the droplets and sequenced by standard Illumina paired-end sequencing ¹². The sequenced short reads derived from the same HMW DNA molecule can be linked together, providing long-range information for mapping, phasing and SV calling. In addition, linked-read whole exome sequencing (WES) has also been developed ¹², which provides an attractive and efficient option for clinical genetic testing.

58 In linked-read sequencing data, barcode similarities between any two nearby genome locations 59 are very high, because the reads tend to originate from the same sets of HMW DNA molecules. 60 In contrast, barcode similarities between any two distant genome locations are very low, because 61 the reads of the two genome locations originate from two different sets of HMW DNA molecules 62 and it is highly unlikely that two different sets of HMW DNA molecules share multiple barcodes. Thus, the presence of multiple shared barcodes between two distant locations indicates that the 63 two distant locations are close to each other in the alternative genome ¹⁴. A few pipelines and 64 65 software tools have adopted this principle to call SVs from linked-read sequencing data, such as Longranger ¹², GROC-SVs ¹⁴, NAIBR ¹⁵. Longranger is the official pipeline developed by 10X 66 67 Genomics. Longranger bins the genome into 10 kb windows and finds the barcodes of high 68 mapping quality reads within each window. A binomial test is used to find all pairs of regions that are distant and share more barcodes than what would be expected by chance. A sophisticated 69 probabilistic model is used to assign a likelihood and remove low quality events¹². GROC-SVs 70

uses a similar method to find candidate SV loci but performed assembly to identify precise
breakpoint locations. GROC-SVs also provides functionality to interpret complex SVs ¹⁴.
NAIBR detects structural variants using a probabilistic model that incorporates signals from both
linked-reads and paired-end reads and into a unified model ¹⁵.

75 However, SV detection from linked-read datasets is still in the early stage. The available SV 76 callers face challenges if we want to detect: i) SVs from targeted region sequencing (e.g. WES); ii) 77 somatic SVs in cancer or somatic mosaic SVs that have low variant allele frequencies (VAFs, 78 also known as variant allele fractions); iii) SVs of which the exact breakpoints have no coverage 79 or are located in repeat regions. In this study, we introduce LinkedSV, a novel computational 80 method and software tool for linked-read sequencing, which aims to address all the above 81 challenges. LinkedSV detects large SVs using two types of evidence and quantifies the evidence 82 using a novel probabilistic model. It also leverages read depth, paired-end signals and local 83 assembly to detect small deletions. We evaluated the performance of LinkedSV on both whole-84 genome and whole-exome sequencing data sets. In each case, LinkedSV outperformed other 85 existing tools, including Longranger, GROC-SVs and NAIBR, especially on exome data and on somatic SVs with low variant allele frequencies. We additionally demonstrated clinical cases 86 87 where LinkedSV identified disease causal SVs from linked-read exome sequencing data missed 88 by conventional exome sequencing, and showed examples where LinkedSV identifies SVs 89 missed by high-coverage long-read sequencing.

90

91 **Results**

92 Illustration of two types of evidence near SV breakpoints

Two types of evidence may be introduced while a genomic rearrangement happens: 1) reads
from one HMW DNA molecule which spans the breakpoint being mapped to two genomic
locations and 2) reads from two distant genome locations that get mapped to adjacent positions.
Both types of evidence can be used for SV detection.

97 First, we describe the signals of type 1 evidence. After reads mapping, the original HMW DNA 98 molecules can be computationally reconstructed from the sequenced short reads using their 99 barcodes and mapping positions. In order to distinguish them from the physical DNA molecules, 100 we use fragments to refer to the computationally reconstructed DNA molecules. A fragment has 101 a left-most mapping position, which we call L-endpoint, and a right-most mapping position, 102 which we call R-endpoint. As a result of genomic rearrangement, reads from one breakpoint-103 spanning HMW DNA molecule would be mapped to two different genome loci on the reference 104 genome. This split-molecule event has two consequences: 1) observing two separate fragments 105 sharing the same barcode; 2) each of the two fragment has one endpoint close to the true 106 breakpoints. Therefore, in a typical linked-read WGS data set, multiple split-molecule events 107 could be captured and we would usually observe multiple shared barcodes between two distant108 genome loci and multiple fragment endpoints near the breakpoints.

109 To illustrate this, Figure 1a shows the split-molecule events of a deletion, where breakpoints 1 110 and 2 are marked by red arrows. Multiple fragment endpoints are enriched near the two 111 breakpoints of a large deletion. This can be observed in deletions with minimal size of about 5-112 10 kb. Figure 1b and Supplementary Figures 1-3 show the patterns of enriched fragment endpoints that are introduced by different types of SVs. As an example, Figure 1c shows the 113 114 number of fragment endpoints in a 5-kb sliding window near two deletion breakpoints, based on 115 a 35X coverage linked-read WGS data generated from the NA12878 genome (genome of a 116 female individual extensively sequenced by multiple platforms). At the breakpoints, the number 117 of fragment endpoints in the 5-kb sliding window is more than 100 and is five times more than 118 normal regions, forming peaks in the figure.

Since the fragments can be paired according to their barcodes, we can also observe fragment endpoints of this deletion in a two-dimensional view. As shown in Figure 1d, each dot indicates two endpoints from a pair of fragments which share the same barcode. The x-value of the dot is the position of the first fragment's R-endpoint and the y-value of the dot is the position of the second fragment's L-endpoint. The bottom panel and right panel in Figure 1d shows number of dots that are projected to the x-axis and y-axis. Similar with the one-dimensional plot (Figure 1c), a peak is formed near each breakpoint, which is marked by the red arrow. The background noise of the two-dimensional plot is cleaner than the one-dimensional plot since the fragments that do not share barcodes are excluded. Therefore, the two-dimensional plot is more useful when the variant allele frequency (VAF) is very low and there are only a few supporting fragments.

129 Next, we describe the signals of type 2 evidence. The barcodes between two nearby genome 130 locations is highly similar because the two locations are spanned by almost the same set of input 131 HMW DNA molecules. However, due to the genome rearrangement, the reads mapped to the left 132 side and right side of a breakpoint may originate from different locations of the alternative 133 genome and thus have different barcodes (Figure 1e). Dropped barcode similarity between two 134 nearby loci therefore indicates an SV breakpoint. LinkedSV detects this type of evidence by a 135 twin-window method, which uses two adjacent sliding windows to scan the genome and find 136 regions where the barcode similarity between the two nearby window regions is significantly 137 decreased. Figure 1f illustrates an inversion breakpoint detected by LinkedSV from the 138 NA12878 genome. The change of barcode similarity was plotted and a peak was formed at the 139 breakpoint. After searching for the two types of evidence, LinkedSV combines the candidate SV 140 regions and quantifies the evidence using a novel probabilistic model. The breakpoints are 141 further refined using short-read information, including discordant read pairs and split-reads.

142

143 Performance evaluation on simulated WGS data

144 To assess LinkedSV's performance, we simulated a 35X linked-read WGS data set with 1,175 SVs inserted using LRSIM¹³ (see Methods for details). The breakpoints of the simulated SVs 145 146 were designed to be located in repeat regions, since we found that LinkedSV and other available 147 SV callers performed very well when the breakpoints were located in non-repeat regions, and 148 thus we set to test the performances of all the SV callers under more challenging situations. This makes sense because SV breakpoints are more likely to be in repeat regions ^{7, 8, 9}, and because 149 150 these situations represent those that are difficult to be addressed by conventional short-read 151 sequencing approaches.

The simulated reads were aligned to the reference genome using the Longranger ¹² package provided by 10X Genomics. The Longranger pipeline internally uses the Lariat aligner ¹⁶, which was designed for the alignment of linked reads. SV calling was performed using LinkedSV as well as three other available SV callers designed for linked-read sequencing: Longranger, GROC-SVs ¹⁴ and NAIBR ¹⁵. Two widely used short-read SV callers (Delly³ and Lumpy¹⁷) were also used.

We used recalls, precisions and F1 scores to evaluate the performance of the six SV callers on this data set. As shown in Figure 2a, the four linked-read SV callers showed higher F1 scores than the two short-read SV callers. LinkedSV achieved the highest recall and F1 score among all methods. GROC-SVs had a good precision but its recall was lower than LinkedSV, so we further analyzed the false negative calls of GROC-SVs to understand the underlying reason. A major

163 portion of the false negative calls by GROC-SVs represents duplications that are smaller than 164 twice the fragment length. For large duplications, the reads of the alternative allele are separated 165 by a large gap so that we can observe two sets of fragments with the same set of barcodes, which 166 indicate an SV (Supplementary Figure 4a). If the duplication is not large enough, the reads will 167 be probably clustered into one fragment (Supplementary Figure 4b). Even in this case, we can 168 observe enriched fragment endpoints near the duplication breakpoints in LinkedSV. As an 169 example, Figure 2b shows the endpoint signal of a missed duplication call by GROC-SVs. The 170 supporting fragments of this duplication is shown in Figure 2c. A detailed explanation of the 171 pattern of duplication can be found in Supplementary Figure 1 and Supplementary Movie 1. Figure 2d showed the extra read depth in this region. We also evaluated the breakpoint precision 172 173 of LinkedSV. Most of breakpoints predicted by fragment endpoints are within 20 bp (Figure 2e) 174 and refined breakpoints using discordant read-pairs and split-reads have base-pair resolution 175 (Figure 2f).

176

177 Benchmarking on WGS data with somatic SVs of low VAF

Somatic SVs are commonly found in cancer genomes ^{18, 19, 20}. However, due to the high heterogeneity of genomic alteration in cancer genomes, somatic SVs often have low (as opposed to ~50% in a germline genome) VAF and thus are more difficult to detect by SV callers designed for germline SVs. We simulated two WGS data sets with VAF of 10% and 20%, respectively.

| 182 | Recalls, precisions and F1 values of the six SV callers were evaluated on both data sets (Figure |
|-----|--|
| 183 | 3a, Figure 3b). When the VAF was 20%, the recall of LinkedSV (0.803) was much higher than |
| 184 | that of Longranger (0.306), GROC-SVs (0.324) and NAIBR (0.679) The F1 score of LinkedSV |
| 185 | (0.855) was also the highest among all the SV callers. When the VAF was 10%, LinkedSV still |
| 186 | had a recall of 0.761, which was 72% higher than the second best SV caller NAIBR. Longranger |
| 187 | detected 17% of the SVs while GROC-SVs almost completely failed to detect the SVs. The |
| 188 | recall rates of Delly and Lumpy were 0.28 and 0.72, respectively, indicating that some of the |
| 189 | SVs can be detected even without barcode information. These observations confirmed that other |
| 190 | SV callers were mainly designed for germline genomes and had substantial difficulty in |
| 191 | detecting SVs with somatic mosaicism. However, due to the combination of barcode overlapping |
| 192 | and enriched fragment endpoints in our statistical model (see Methods for details), LinkedSV |
| 193 | was able to achieve a good performance even when VAF was very low. We manually checked |
| 194 | the barcode overlapping evidence of some SV calls using the Loupe software developed by 10X |
| 195 | Genomics. Figure 3c shows an inversion that was missed by Longranger, and NAIBR but |
| 196 | detected by LinkedSV (at VAF of 10%). Although the variant frequency is low, the overlapped |
| 197 | barcodes between the two inversion breakpoints can be clearly visualized (in the black circles) in |
| 198 | the figure. Figure 3d shows the supporting fragments of the inversion detected by LinkedSV. |
| 199 | Each horizontal line represent two fragments that share the same barcode and support the SV. |
| 200 | These results suggest that the manufacturer-provided software tool has limitations for SV |
| 201 | detection, despite its strong functionality in visualization. |

| 202 | To test the performance of LinkedSV on the detection of disease casual SVs, we simulated one |
|-----|---|
| 203 | germline and two somatic (VAF = 10% and 20%) linked-read WGS data sets with 51 |
| 204 | deletions/duplications that were known to cause CNV (copy number variation) syndromes |
| 205 | involved in developmental disorders (see Method for details). The size distribution of the events |
| 206 | was shown in Supplementary Figure 5. The performances of LinkedSV as well as 5 other SV |
| 207 | callers were shown in Supplementary Figure 6. The results were similar to those of the above |
| 208 | simulations. LinkedSV had the highest F1 score on both germline and mosaic data sets, followed |
| 209 | by NAIBR. |

210

211 Benchmarking of deletion detection on the HG002 genome

Recently, the Genome in a Bottle (GIAB) Consortium released a benchmark call set for the evaluation of germline SV detection²¹. The benchmark set was based on the HG002 genome and was generated from integrating multiple SV calling methods from multiple sequencing platforms including 10X Genomics sequencing and PacBio long-read sequencing. The current GIAB call set only contains insertions and deletions. Since LinkedSV and the other three linked-read SV callers cannot detect insertions, we only benchmarked the performance to detect deletions using this benchmarking data set. LinkedSV uses different strategies to detect deletions of different sizes. For deletions that are more than 10 kb, LinkedSV uses the two types of evidence from barcode signals as described above; for deletions that are within 1 - 10 kb, LinkedSV uses a combination of read depth and paired-end signals, with additional consideration of local haplotypes; for detection of SVs that are less than 1kb, LinkedSV uses a local assembly-based method. Specifically, we modified the FermiKit²² *de novo* assembly pipeline to be a local assembler to improve speed and reduce the complexity of the assembly graph (see Method for details).

226 Supplementary Figure 7a showed the performance on detection of deletions that were more than 227 10 kb. The recall and F1 score of LinkedSV was the highest among the seven methods. The four linked-read SV callers performed better than the three short-read SV callers in terms of F1 score. 228 229 The performance on detection of deletions that within 1 - 10 kb were shown in Supplementary 230 Figure 7b. The performance of LinkedSV was similar to Longranger, which also provided an algorithm to detect small deletions. NAIBR and GROC-SVs did not perform well because they 231 232 were not designed to detect small events including small deletions. For deletions that were less 233 than 1 kb, LinkedSV (with modified FermiKit) performed best (recall = 0.48, F1 score = 0.64), it 234 detected more calls than the original *de novo* assembly version (recall = 0.43, F1 score = 0.60), 235 indicating that local assembly reduced the complexity of the assembly graph and improved the performance. NAIBR, GROC-SVs and Lumpy did not perform well on deletions of this scale. 236

| 237 | (Supplementary Figure 7c). Size distribution of SV events (including deletions, duplications and |
|-----|--|
| 238 | inversions) detected by LinkedSV was shown in Supplementary Figure 8. |

239

240 Performance evaluation on simulated WES data

241 Compared with WGS, WES is currently widely used in clinical settings to identify disease causal 242 variants on patients with suspected genetic diseases, partly due to the lower cost of WES. Since 243 WES only covers a small portion of regions in the whole genome, it is far more challenging to 244 detect SVs from WES data, especially when the SV breakpoints are not in the capture regions. 245 However, by combining linked-read sequencing with WES capture platforms, it is possible to 246 alleviate this problem, and significantly improve the sensitivity of SV detection using WES. 247 To evaluate SV detection on linked-read WES data, we simulated a 40X coverage linked-read 248 WES data set with 1,160 heterozygous SVs (see Methods for details). 44.3% of the breakpoints 249 were not in exon regions. SV calling was performed using the six SV callers. As shown in Figure 250 4a, LinkedSV had the highest recall (0.79) and highest F1 score (0.86). In terms of the balanced 251 accuracy (F1 score), NAIBR was the second best caller, followed by GROC-SVs. 252 We analyzed false negative calls of the second best SV caller NAIBR. NAIBR tends to miss 253 some SV events that have shared barcodes but lack short-read support. For example, Figure 4b 254 showed a deletion between chr1:172545561-173504265. Both breakpoints were located outside

255 of capture regions. Breakpoint 1 (chr1:172545561) was 768 bp away from the nearest capture 256 region and breakpoint 2 (chr1: 173504265) was 392 bp away from the nearest capture region. 257 Unfortunately, no discordant read pairs that support the deletion could be found. However, 258 shared barcodes between the two breakpoints were clearly indicated by the Loupe software 259 (Figure 4b). In addition, LinkedSV also detected 28 pairs of fragments that share the same 260 barcodes and support the SV. These fragments were plotted in Figure 4c. Although no short-read 261 support was found, the SV type could be determined using the pattern of enriched fragment 262 endpoints shown in Figure 1b. In this SV event, R-endpoints were highly enriched for the first 263 set of fragments and L-endpoints were highly enriched for second set of fragments. Thus, the SV 264 type was predicted as deletion.

265

266 Detection of F8 inversion from clinical WES data

We also tested the performance of LinkedSV on several clinical samples with linked-read WES data. First, we applied LinkedSV on a WES sample of a male individual with Hemophilia A. Previous experiments had shown that the patient had type I inversion of the *F8* gene, where the two breakpoints resided in intronic/intergenic regions, thus the inversion and its breakpoints cannot be inferred from conventional WES. The *F8* gene is located in Xq28. The intron 22 of *F8* gene contains a GC-rich sequence (named int22h-1) that is duplicated at two positions towards the Xq-telomere (int22h-2 and int22h-3). Int22h-2 has the same direction with int22h-1 while

| 274 | int22h-3 has the inverted direction. The type I inversion is induced by the recombination |
|-----|--|
| 275 | between int22h-1 and int22h-3 ^{23, 24} (Figure 5a). BLAST alignment of int22h-1 and int22h-3 |
| 276 | showed that the two sequences had 99.88% identity. Since the breakpoints were located in two |
| 277 | segmental duplications with nearly identical sequences, the inversion is undetectable by |
| 278 | conventional short-read sequencing. Delly ³ and Lumpy ¹⁷ failed to detect the inversion from the |
| 279 | linked-read WES data (results were shown in Supplementary Tables 1-2). |

280 Longranger, GROC-SVs, NAIBR and LinkedSV were also used to detect SVs from this sample. 281 None of the first three methods detected this inversion (results were shown in Supplementary 282 Tables 3-5), although the overlapping barcodes can be visualized using the Loupe software 283 (Figure 5b). However, LinkedSV successfully detected this inversion by combining two types of 284 evidence. As described above, barcode similarity between two nearby regions are very high but 285 drops suddenly at the breakpoints. Figure 5c shows the suddenly drop of barcode similarity at the 286 two breakpoints. Each dot in the figure represents the reciprocal of the barcode similarity 287 between its left 40 kb window and right 40 kb window thus the Y value of the dots are reversely 288 related to the barcode similarity and positively related to the probability of being a breakpoint. 289 The barcode similarities are lowest at the two breakpoints and thus form two peaks in the figure 290 (marked by red arrow). In addition, LinkedSV also identified the supporting fragments of the SV using type 1 evidence (Figure 5d). The predicted breakpoint positions are consistent with the 291 292 genomic positions of int22h-1 and int22h-3.

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293

294 Detection of mosaic *NF1* deletion from clinical WES data

295 Another linked-read WES sample was from an individual who was clinically diagnosed with 296 Neurofibromatosis type 1. Neurofibromatosis type 1 is caused by mutations in the NF1 gene on 297 chromosome 17q11.2, which encodes neurofibromin, a GTPase activating protein that has a role 298 in the regulation of RAS signaling. Since standard genetic testing techniques including cDNA 299 sequencing and multiplex ligation-dependent probe amplification (MLPA) revealed no 300 constitutional or mosaic pathogenic mutation in this patient, we hypothesized that this patient 301 may carry an SV affecting the NF1 gene that escapes the detection by the applied standard 302 techniques. To evaluate LinkedSV, we utilized the 10X Genomics Chromium platform to 303 generate linked-read WES data to confirm and resolve the mutation. SV detection was conducted 304 using the four linked-read SV callers as well as Delly and Lumpy. Longranger detected 305 overlapped barcodes between exon 54 of the NF1 gene and intron 3 of RAB11FIP4. However, 306 the SV type was unknown and no supporting read pairs or split-reads were found. GROC-SVs, 307 NAIBR, Delly and Lumpy failed to detect this SV (Supplementary Tables 6-9). As shown in 308 Figure 6a, LinkedSV detected 16 fragment pairs that may support a deletion spanning the region 309 of chr17:29684175-29822527. In addition, a discordant read pair spanning the two breakpoints 310 were found (Figure 6b), which gave further evidence supporting the deletion. The breakpoints 311 were estimated from this discordant read pair and thus the resolution is a few hundred base pairs.

In Figure 6, each colored line represent a reconstructed fragment, and $\sim 13\%$ of the fragments belong to the variant allele, indicating the somatic mosaicism of this deletion. The right breakpoint was within an AluJr sequence masked by repeat masker, which may explain why the deletion was difficult to be detected by conventional methods.

316 In comparison, the clinical lab used massive parallel sequencing (TruSightCancer panel on a 317 MiSeq platform (Illumina)) and successfully revealed in exon 54 a transition of *NF1* sequences 318 into a non-NF1 derived sequence. This sequence transition at NF1 position c.7886 7887 was 319 present in 8% of the reads covering this site in germline DNA of the patient. Analysis of the 320 reads displaying the aberrant sequence in exon 54 showed that the non-NF1 derived sequence 321 was part of an Alu element that matched best a sequence in intron 3 of the RAB11FIP4 gene 322 located 138 kb downstream of NF1 exon 54. These results suggested a low-level (~8%) 323 mosaicism of a deletion encompassing the region intervening between NF1:c.7886 and 324 RAB11FIP4:c.337-22216, so that the true deletion spans chr17:29684367-29822453, which is 325 very similar to our estimated breakpoints from LinkedSV above. In summary, our analysis on 326 two clinical samples with F8 inversion and NF1 deletion demonstrated the unique advantage of 327 linked-read sequencing in confirming and resolving structural variants in repetitive regions and 328 challenging situations.

329

330 Comparison with SVs detected from long-read sequencing

| 331 | We previously reported the <i>de novo</i> genome assembly of a Chinese individual (HX1) ²⁵ . This |
|-----|--|
| 332 | genome was sequenced deeply at 103X coverage using PacBio long-read sequencing. Recently, |
| 333 | the developers of SMRT-SV ^{10, 26} reported the SV calls of HX1 detected from the PacBio data. |
| 334 | Additionally, we have also generated a 37X linked-read WGS dataset on HX1. Therefore, in the |
| 335 | current study, we detected SVs from the linked-read data using LinkedSV and compared the SV |
| 336 | calls detected by LinkedSV and SMRT-SV. The SMRT-SV call set has 17 large deletions |
| 337 | (≥10kb), all of which were detected by LinkedSV. In addition, LinkedSV detected another 46 |
| 338 | large deletions, which were missed by SMRT-SV. To validate these deletion calls, we mapped |
| 339 | the PacBio reads of HX1 to GRCh38 reference genome using minimap227, and manually |
| 340 | examined all the SV affected regions in both PacBio data and linked-read data, using the |
| 341 | Integrative Genomics Viewer (IGV) ²⁸ and the Loupe software tool. We classify a deletion as a |
| 342 | true deletion if there are decreased read depth in the deletion region and clear boundaries at the |
| 343 | breakpoints. After the manual inspection, we found that among the 46 deletions that are only |
| 344 | detected by LinkedSV, 34 of them have clear evidence of deletion in the WGS data; 10 of them |
| 345 | are complex SV events that need to be fully resolved; and 2 of them are false positive events. |
| 346 | Figure 7a-c showed an example of a deletion that were detected by LinkedSV but missed by |
| 347 | SMRT-SV. This is a 45 kb deletion located in chr2:110395971-110441346. A deletion pattern |
| 348 | was clearly indicated by the Loupe software tool (Figure 7a). After examine the PacBio reads, |
| 349 | we were able to found clipped reads at the breakpoint positions (Figure 7b, 7c). However, for |
| 350 | most of the clipped reads, the clipped sequences were aligned to the hs38d1 decoy sequence, |

351 except for 5 reads with clipped sequence > 7 kb. Analysis of the 5 reads revealed that the two 352 breakpoints in chr2 were not directly joined. There was a 6 kb insertion in between. The inserted 353 sequence was from hs38d1 (coordinates: 1381394-1387327). The proposed variant allele was 354 shown in Supplementary Figure 9a. To validate this deletion/insertion event, we aligned all the 355 PacBio reads to a new reference genome with all sequences of GRCh38 plus hs38d1 and the 356 sequence of the proposed variant allele. The reads aligned to the proposed variant allele were 357 shown in Supplementary Figure 9b. There were 33 reads spanning the chr2-hs38d1 junction, 48 358 reads spanning the hs38d1-chr2 junction and 13 reads spanning both junctions. De novo 359 assembly of all the reads aligned to the proposed variant allele generated a single contig of 42.7 360 kb, which also spanned both junctions (Supplementary Figure 9b, bottom track). These analysis showed that the large deletion event detected by LinkedSV is true and with PacBio long reads 361 362 the details of complex SV events could be resolved.

We also compared the duplication calls of LinkedSV and SMRT-SV and manually examined discordant SV calls. LinkedSV reported 6 large duplications (\geq 10kb), 5 of which were not reported by SMRT-SV. Figure 7d-f showed the evidence of a 61 kb duplication call (chr19:27338390-27399298), which was only reported by LinkedSV. A two-fold increase of read depth could be observed in the duplication region (Figure 7d), and the breakpoints were also clearly indicated in the alignments of PacBio long reads, as shown in IGV (Figure 7e,f). The read depths of PacBio raw reads and error-corrected reads were shown in Supplementary Figure 10.

370 The increase of read depth in the duplication region can also be observed. After the manual 371 inspection of the left duplication breakpoint, a small duplication event was found next to the 372 main event. The boundaries of the small duplication can be observed in the alignments of linked 373 reads and error-corrected PacBio reads, but not in the alignments of PacBio raw reads, 374 potentially because of mapping errors (Supplementary Figure 11). SMRT-SV reported 194 large 375 duplications (\geq 10 kb). Unexpectedly, 193 of the duplication calls were not detected by LinkedSV. In addition, none of these duplications could be detected by Sniffles¹¹, another widely 376 377 used long-read SV caller. After comparing with the segmental duplication database²⁹, we found 378 that 182 of the 193 duplication calls (94.3%) were located in large segmental duplication regions. 379 Both long reads and linked reads could not be reliably mapped in these regions. As an example, 380 we plotted the read depth distribution in the region around a 25 kb duplication call of SMRT-SV. 381 Neither long reads (Supplementary Figure 12a) nor linked reads (Supplementary Figure 12b) had mapping quality >20 in the duplication region. Therefore, SV detection in the super-large 382 383 segmental duplication regions is still very challenging. In summary, our comparative analysis 384 demonstrated unique advantages of linked-read WGS in resolving large SVs that may be failed 385 by even long-read sequencing platform with very deep coverage.

386

387 **Discussion**

In this study, we present LinkedSV, a novel open source algorithm for structural variant detection from linked-read sequencing data. We assessed the performance of LinkedSV on three simulated data sets and two real data sets. By incorporating the two types of evidence as outlined below, LinkedSV outperforms all existing linked-read SV callers including Longranger, GROC-SVs and NAIBR on both WGS and WES data sets.

Type 1 evidence gives information about which two genomic positions are connected in the alternative genome. It has two observations: 1) fragments with shared barcodes between two genomic locations and 2) enriched fragment endpoints near breakpoints. Current existing linkedread SV callers only use the first observation to detect SVs while LinkedSV incorporates both observations in the statistical model and is therefore more sensitive and can detect SVs with lower allele frequencies, such as somatic SVs in cancer genomes and mosaic structural variations.

399 Type 2 evidence gives information about which genomic position is interrupted with the 400 observation that the reads on the left side and right side of a genomic position have different 401 barcodes and should be derived from different HMW DNA molecules. LinkedSV is the only SV 402 caller that use type 2 evidence to detect breakpoints. Type 2 evidence is independent to type 1 403 evidence, and gives additional confidence to identify the breakpoints. In addition, type 2 404 evidence can be detected locally, which means we can detect a weird genomic location without 405 looking at the barcodes of the other genomic locations. This is particularly useful in two 406 situations: 1) novel sequence insertions where there is only one breakpoint; 2) only one

407 breakpoint is detectable and the other breakpoint located in a region where there is little coverage 408 within 50 kb, which is often the case in target region sequencing. As LinkedSV incorporates two 409 types of evidence from barcodes, and performs local assembly to detect small deletions, the 410 computation time of LinkedSV is longer than NAIBR, but shorter than GROC-SVs and 411 Longranger (Supplementary Figure 13).

412 In recent years, WES has been widely used to identify disease causal variants for patients with 413 suspected genetic diseases in clinical settings. Identification of SVs from WES data sets are more 414 challenging because the SV breakpoints may not be in the capture regions and thus there would 415 be little coverage at the breakpoints. Linked-read sequencing increases the chance of resolving 416 such type of SVs by providing long-range information. As well as there are a few capture regions 417 nearby, the fragments can still be reconstructed and type 1 and type 2 evidence can still be 418 observed. Our statistical models for both type 1 evidence and type 2 evidence were designed to 419 handle both WGS and WES data sets. GROC-SVs uses a local-assembly method to verify the SV 420 call, which requires sufficient coverage at the breakpoints. By using these two types of evidence, 421 LinkedSV can be less relied on short-read information (e.g. pair-end reads and split-reads). We 422 demonstrated that LinkedSV has better recall and balanced accuracy (F1 score) on the simulated 423 WES data set and can detect SVs even when the breakpoints were not located in capture regions 424 and have no short-read support. In addition, LinkedSV is also the only SV caller that clearly 425 detected the F8 intron 22 inversion and NF1 deletion from the clinical WES data sets.

426 Linked-read sequencing has several advantages over traditional short-read sequencing on the 427 purpose of SV detection. First, the human genome is highly repetitive. Previous studies have 428 shown that SVs are closely related to repeats and many SVs are directly mediated by homologous recombination between repeats ³⁰. In traditional short-read sequencing, if the 429 430 breakpoint falls in a repeat region, the supporting reads would be multi-mapped and thus the SV 431 cannot be confidently identified. However, this type of SVs are detectable by linked-read 432 sequencing when the HMW DNA molecules span the repeat region. We can observe type 1 and 433 type 2 evidence in the non-repeat region nearby. In our benchmarking, LinkedSV detected more 434 SVs than Delly and Lumpy, especially when the VAF is low. Secondly, SVs are undetectable 435 from traditional short-read sequencing if there is little coverage at the breakpoints, which is often 436 the case in WES data sets. As described above, this type of SV can also be resolved by linked-437 read sequencing and LinkedSV. Third, linked-read sequencing requires less coverage for 438 detection of SVs with low variant allele frequencies. In linked-read sequencing data, short read 439 pairs are sparsely and randomly distributed along the HMW DNA molecule. In a typical linked-440 read WGS data set, the average distance between two read pairs derived from the same HMW 441 DNA molecule is about 1000 bp and each HMW DNA molecule only has a short-read coverage 442 of about 0.2X. Therefore, there are about 150 HMW DNA molecules (reconstructed fragments) 443 covering a genomic location of 30X depth. An SV of 10% VAF will has15 supporting fragment 444 pairs in a 30X depth location in linked-read WGS data set, which is sufficient to be detected by

| 445 | LinkedSV. However, an SV of 10% VAF will only has 3 supporting read pairs in a 30X depth |
|-----|--|
| 446 | location in traditional short read WGS, which makes the detection more challenging. |

447 Linked-read sequencing also has several advantages over long-read sequencing in terms of SV 448 detection. The fragment length of linked-reads (typically 50-100 kb) is longer than the read 449 length of regular long-read sequencing (typically 20-30 kb). Therefore, linked-read sequencing 450 has unique advantages for detection of large SVs. In our study, LinkedSV detected several large 451 SVs that were missed in the long-read SV call set. We also showed that the sequencing error (13-452 15%) of long-read sequencing technologies potentially had a negative effect on reads mapping 453 and subsequent SV calling (Supplementary Figure 11). In terms of library preparation, Linked-454 read sequencing only requires 1 ng input DNA, which is two orders of magnitude smaller than 455 what is needed by long-read sequencing. Therefore, disease samples of very low DNA amount 456 can be easily sequenced by linked-read sequencing. In addition, SNPs, indels and SVs can be 457 detected from linked-read sequencing simultaneously.

LinkedSV may have limitations on detection of SVs in large segmental duplication regions, where the linked reads have low mapping qualities. SMRT-SV was able to find 194 large duplications in the HX1 genome, which were not detected by LinkedSV and Sniffles, two alignment-based SV callers. SMRT-SV detects SVs using an assembly-based approach. During the assembly process, the assembly contigs were error corrected and polished by the PacBio reads. Therefore, the assembly contigs are potentially more accurate and longer than each of the raw reads. Thus, it is possible for SMRT-SV to detect SVs in these large segmental duplicationregions.

466 The linked-read technology provides strong evidence to detect large SVs, but it provides little 467 additional evidence to detect small SVs. Therefore, LinkedSV has limited power to detect small 468 SVs such as small duplications and inversions. However, based on our analysis of SV size 469 distribution, large SVs are associated with diseases such as cancers and CNV syndromes 470 (Supplementary Note 2). Therefore, we expect that linked-read technology can help resolve 471 disease associated SVs. Similar to the existing linked-read SV callers, LinkedSV currently does 472 not handle insertions and repeat expansions. As a future direction, we plan to detect novel 473 sequence insertions using type 2 evidence, since this type of SV also cause a decrease of barcode 474 similarity between nearby regions and can be detected by the twin-window method. The exact 475 insertion sequence may then be inferred from the assembly of all the reads that share barcodes 476 with the candidate breakpoint. LinkedSV currently already supports local assembly to detect 477 deletions, but it has not been parameterized and optimized to be combined with type 2 evidence for detection of insertions. 478

In summary, we present LinkedSV, a novel SV caller for linked-read sequencing. LinkedSV
outperformed current existing SV callers, especially for identifying SVs with low allele
frequency or identifying SVs from target region sequencing such as linked-read WES. We expect

482 that LinkedSV will facilitate the detection of SVs from linked-read sequencing data and help483 solve negative cases from conventional short-read sequencing.

484 Methods

485 **Breakpoint detection from type 1 evidence**

486 First, LinkedSV reconstructs the original long DNA fragments from the reads using mapping 487 positions and barcode information. All mapped reads are partitioned according to the barcode 488 and sorted by mapping position. We define gap distance as the distance between two nearest 489 reads with the same barcode. Two nearby reads are considered from the same long DNA 490 fragment if they have the same barcode and their gap distance is less than a certain distance G. G. is determined using two steps. First, we use G = 50 kb (the same as Zheng et.al¹²) to group the 491 492 reads into fragments. This value is suitable for detection of large SVs. However, it may be too 493 large for detection of SVs that are smaller than 50 kb. Therefore, we calculate the empirical 494 distribution of intra-fragment gap distance, which is the distance of two nearby reads that are 495 grouped in one fragment. The empirical distribution of intra-fragment gap distance is calculated from all the fragments, and we assign G as the 99th percentile of this distribution. G is a fixed 496 497 number for all fragments and is usually between 5-15 kb, depending on the data set. Fragments 498 with a gap distance larger than G potentially span a breakpoint and will be separated to two 499 fragments.

500

501 In non-SV regions, all the reads from the same HMW DNA molecule would be reconstructed 502 into a single DNA fragment. The reads from the breakpoint-spanning HMW DNA molecule will 503 be mapped to two different positions in the genome. As illustrated in the Result section, this 504 split-molecule event has two consequences: 1) observing two fragments sharing the same 505 barcode; 2) each of the two fragment has one endpoint close to the breakpoints. Therefore, we 506 could observe enriched fragment endpoints near the breakpoints, in both one-dimensional view 507 (Figure 1c) and two-dimensional view (Figure 1d). The type of the endpoints (L-endpoint or R-508 endpoint) that enriched near the breakpoints depends on the type of SV (Figure 1b). The two-509 dimensional view has less background noise because the fragments that do not share barcodes 510 and thus do not support the SVs are excluded. Therefore, we detect the enriched endpoints in the 511 two-dimensional view.

We now describe how we detect the type 1 evidence of deletion calls, but the method can be applied to other types of SVs. We define fragment pair to be two fragments sharing the same barcode. Let b_1 , b_2 be the positions of the two breakpoint candidates (assuming $b_1 < b_2$). Let *n* be the number of fragment pairs that may support the SV between b_1 and b_2 . Let F_{i1} , F_{i2} denote the *i*th fragment pair that support the SV. Let B(F) denote the barcode of fragment *F*. Therefore, we have:

518
$$B(F_{il}) = B(F_{i2}), i = 1, 2, 3, ..., n.$$
 (1)

Let L(F) denote the L-endpoint position (i.e., left-most position) of fragment *F*, R(F) denote the R-endpoint position (i.e., right-most position) of fragment *F*. Since this is a deletion and $b_1 < b_2$, $R(F_{il})$ is the position on F_{il} that is closest to b_1 and $L(F_{i2})$ is the position on F_{i2} that is closest to b_2 (Supplementary Figure 14a). The distance between the fragment endpoint and its corresponding breakpoint should be within gap distance distribution (explained in Supplementary Figure 15). Therefore, for almost all (99% × 99%) of the fragment pairs, we have:

525
$$b_1 - G \le R(F_{i1}) \le b_1; b_2 \le L(F_{i2}) \le b_2 + G.$$
 (2)

526 As described above, G is the 99th percentile of the empirical distribution of intra-fragment gap 527 distance.

528 If we regard $(R(F_{i1}), L(F_{i2}))$ as a point in a two-dimensional plane, according to equation (2), for 529 almost all (98.01%) of the fragment pairs (F_{i1}, F_{i2}) , $((R(F_{i1}), L(F_{i2}))$ is restricted in a $G \times G$ 530 square region with the point (b_1, b_2) being a vertex (Supplementary Figure 14b).

We used a graph-based method to fast group the points into clusters and find square regions where the numbers of points were more than expected. First, every possible pair of endpoints $(R(F_1), L(F_2))$ meeting $B(F_1) = B(F_2)$ formed a point in the two-dimensional plane. Each point indicated a pair of fragments that share the same barcode. For example, if 10 fragments share the same barcode, C_{10}^2 pairs of endpoints will be generated. A point/pair of endpoints may or may not support an SV because there are two possible reasons for observing two fragments sharing 537 the same barcode: 1) the two fragments originated from two different HMW DNA molecules but 538 were dispersed into the same droplet partition and received the same barcode; 2) the two 539 fragments originated from the same HMW DNA molecule but the reads were reconstructed into 540 two fragments due to an SV. The points are sparsely distributed in the two-dimensional plane and it is highly unlikely to observe multiple points in a specific region. Next, a k-d tree (k = 2) 541 542 was constructed, of which each node stores the (X, Y) coordinates of one point. A k-d tree is a 543 binary tree that enable fast query of nearby nodes. Therefore, we could quickly find all pairs of 544 points within a certain distance. Any two points (x_1, y_1) and (x_2, y_2) were grouped into one cluster 545 if $|x_1 - x_2| < G$ and $|y_1 - y_2| < G$. For each cluster, if the number of points in the cluster was more than a user-defined threshold (default: 5), it was considered as a potential region of enriched 546 547 fragment endpoints. If the points in the cluster were not within a $G \times G$ square region, we used a 548 $G \times G$ moving square to find a square region where the points are best enriched. Theoretically, 549 the best enriched square region should contain 98.01% (0.99×0.99) of the points, according to 550 equation (2). The predicted breakpoints were the X and Y coordinates of the right-bottom vertex 551 of the square. The points in the square region were subjected to a statistical test describe below.

552

553 **Quantification of type 1 evidence**

Let *n* be the number of points in the square region. Each point corresponds to a pair of fragment F_{i1} , F_{i2} , (i = 1, 2, 3, ..., n) that may support the SV. Let b_1 and b_2 be the coordinates of the predicted breakpoint. Equation (1) and (2) hold for all the fragment pairs F_{i1} , F_{i2} ($i = 1, 2, 3, ..., b_1$). We then test the null hypothesis that there is no SV between b_1 and b_2 .

558 First, we test the hypothesis that the *n* fragment pairs F_{il} , F_{i2} have originated from different DNA molecules, but coincidently received the same barcode. Here we define two fragments F_{a} and F_{b} 559 as an independent fragment pair if F_{a} and F_{b} share the same barcode but have originated from 560 561 different DNA molecules. Thus, $R(F_a)$ and $L(F_b)$ are independent variables. All the fragment 562 pairs that do not support SVs are independent fragment pairs. It is reasonable to assume the 563 generation of HMW DNA molecules from chromosomal DNA is a random process thus both 564 $R(F_{a})$ and $L(F_{b})$ are uniformly distributed across the chromosome. Therefore, the point ($(R(F_{a}),$ $L(F_{\rm b})$) is equal likely to be in any place in the two-dimensional plane. Technically, we connect 565 566 all the chromosomes in a head-to-tail order so that both intra-chromosomal events and inter-567 chromosomal can be analyzed at the same time. Observing at least *n* independent fragment pairs 568 meeting equation (2) is equivalent to the event that observing at least n points ($(R(F_{il}), L(F_{i2}))$) located in a squared region with an area of G^2 on the two-dimensional plane. The probability of 569 570 this event is:

571
$$p_1 = \sum_{j=n}^{N} \text{Binomial_pmf}(n, N_{\text{ifp}}, \frac{G^2}{L^2}), (3)$$

where Binomial_pmf is the probability mass function of binomial distribution; *L* is the total length of the genome (also the side length of the two-dimensional plane); N_{ifp} is the total number of independent fragment pairs. 575 Since we are doing multiple hypothesis testing in the data set, the probability need to be adjusted.

576
$$p_{adjusted1} = p_1 \frac{G^2}{L^2}$$
 (4)

577 We reject the hypothesis if $p_{adjusted1} < p_{threshold}$. $p_{threshold}$ is 10⁻⁵ by default.

578 Next, we test the hypothesis that fragment pairs F_{il} , F_{i2} (i = 1, 2, 3, ..., n) have originated from

579 the same DNA molecule, but no reads were sequenced in the gap between $R(F_{il})$ and $L(F_{i2})$. Let

580 g_i denote the length of the gap between F_{i1} and F_{i2} , \overline{g} denote the mean of g_i , and we have:

581
$$g_i = L(F_{i2}) - R(F_{i1}), (5)$$

582
$$\bar{g} = \frac{1}{n} \sum_{i=0}^{n} g_{i}$$
 (6)

583 If \bar{g} is too large such that the probability of no reads being generated is smaller than a threshold, 584 we can reject this hypothesis.

Similar to the model described by 10X Genomics¹², we assume the read generation on a DNA molecule is a Poisson process with constant rate λ across the genome. Let *r* be the number of reads generated in a region of length *g*, then *r* ~ Pois (λg). Let $P_{gap}(g)$ denote the probability of no read being generated in length *g*, we have:

589
$$P_{gap}(g) = P(r = 0 \mid \lambda g) = \frac{e^{-\lambda g} (\lambda g)^0}{0!} = e^{-\lambda g} \quad (7)$$

590 Therefore, the gap length g_i follows Exponential distribution: $g_i \sim \text{Exp}(\lambda)$. Recalling that 1) the 591 Exponential distribution with rate parameter λ is a Gamma distribution with shape parameter 1 and rate parameter λ ; 2) the sum of *n* independent random variables from Gamma (1, λ) is a

593 Gamma random variable from Gamma (n, λ), we have:

594
$$\sum_{i=0}^{n} g_i \sim \text{Gamma}(n, \lambda), (8)$$

595
$$\overline{g} = \frac{\sum_{i=0}^{n} g_i}{n} \sim \text{Gamma}(n, n\lambda), (9)$$

596 Therefore, the probability that observing *n* gap regions with mean length equal to or larger than \overline{g}

597 is:

598
$$p_2 = 1 - \text{Gamma_cdf}(n, n\lambda), (10)$$

599 where Gamma_cdf is the cumulative distribution function of Gamma distribution.

600 Since we are doing multiple hypothesis testing in the data set, the probability need to be adjusted.

601
$$p_{\text{adjusted2}} = p_2 \frac{N_{\text{rp}}}{n}, (11)$$

602 where $N_{\rm rp}$ is the total number of read pairs.

We reject the hypothesis if $p_{adjusted2} < p_{threshold}$. $p_{threshold}$ is set as 10⁻⁵ by default. If both $p_{adjusted1}$ and $p_{adjusted2}$ are less than $p_{threshold}$, we accept the hypothesis that the SV is true. For each candidate SV, we report a confidence score for type 1 evidence as:

606 Confidence score
$$1 = -\log_{10} (\max (p_{adjusted1}, p_{adjusted2})).$$
 (12)

607

608 Breakpoint detection from type 2 evidence

609 Barcode similarity between two nearby regions is very high because the reads originate from 610 almost the same set of HMW DNA molecules. However, at the SV breakpoint, the aligned reads 611 from the left side and right side may have originated from different locations in the alternative 612 genome. Thus, the barcode similarity between the left side and right side of the breakpoint are 613 dramatically reduced (as described in the Result section and shown in Figure 1e-f). To detect this, 614 LinkedSV uses two adjacent sliding windows (twin windows, moving 100 bp) to scan the 615 genome and calculate the barcode similarity between the twin windows. The window length can 616 be specified by user. By default, it is G for WGS data sets and 40 kb for WES data sets.

The barcode similarity can be simply calculated as the fraction of shared barcodes. This method is suitable for WGS, where the coverage is continuous and uniform. But it does not perform well for WES, where the numbers of reads in the sliding windows vary a lot due to capture bias and the length of capture regions. Therefore, we use a model that considering the variation of sequencing depth and capture region positions. The barcode similarity is calculated as:

$$S = \frac{x}{m_1^a m_2^b} n e^{-ad}$$
(13)

623 where:

624 m_1 is the number of barcodes in window 1,

625 m_2 is the number of barcodes in window 2,

- 626 x is the number of barcodes in both windows,
- 627 *d* is the weight distance between reads of the left window and the right window,
- 628 *n* is a constant representing the characteristic of the library,
- 629 α is a parameter of fragment length distribution,
- a and b are two parameters between 0 and 1,
- 631 n, α, a and b are estimated from the data using regression. Detailed explanation of this model is
- 632 in Supplementary Note 1.
- 633

Next, we calculate the empirical distribution of barcode similarity. Regions where the barcode similarity less than a threshold (5th percentile of the empirical distribution by default) were regarded as breakpoint candidates. If a set of consecutive regions have barcode similarity lower than the threshold, we only retain the region that has the lowest barcode similarity. If the barcode similarity of a breakpoint candidate is S_{0} , the empirical *p*-value is calculated as:

639
$$p_{\text{empirical}} = \frac{\text{number of twin windows with } S \leq S_0}{\text{total number of twin windows}}. (14)$$

640 The confidence score of type 2 evidence is:

641 Confidence score
$$2 = -\log_{10}(p_{\text{empirical}}).$$
 (15)

642

643 Combination of both types of evidence

Type 1 evidence gives pairs of endpoints that indicate two genomic positions are joined in the alternative genome. Type 2 evidence gives genomic positions where the barcodes suddenly changed, regardless of which genomic position can be joined. Therefore, type 1 and type 2 evidence are independent. The candidate breakpoints detected from type 2 evidence were searched against the candidate breakpoint pairs detected from type 1 evidence so that the calls were merged. The combined confidence score is:

650 Combined score = Confidence score 1 +Confidence score 2a +Confidence score 2b, (16)

where Confidence score 1 is the confidence score calculated from type 1 evidence (equation 12);
Confidence score 2a and Confidence score 2b are the confidence scores of the two breakpoints
calculated from type 2 evidence (equation 14).

654

655 **Refining breakpoints using short-read information**

For large SV events, we search for discordant read-pairs and clipped reads that are within 10 kb to the predicted breakpoint pairs by the above approach. We use a graph-based approach that is similar to DELLY³ to cluster the discordant read-pairs. We define the supporting split-reads as 659 the clipped reads that can be mapped to the both breakpoints, and the map direction matches the 660 SV type. If both discordant read-pairs and split-reads are found to support the SV, we use the 661 breakpoints inferred by split-reads as the final breakpoint position.

662

663 Detection of small deletions that are within 50 bp -10 kb

664 We use a 1Mb moving window (with 0.1 Mb overlapping) to scan the genome. For each window, 665 all the aligned reads (including phased and un-phased reads) were extracted and were assembled 666 by the FermiKit pipeline. Regions with extreme high coverage (more than 20-fold of average coverage) were skipped. The resulting contigs were mapped back to the 1 Mb reference sequence 667 668 of the moving window using bwa-mem and deletions were called from the aligned contigs if the 669 alignments were unique within the 1 Mb moving window. The local assembly based process mainly contribute to the detection of deletions within 50-1000 bp. To detect deletions that are 670 671 larger than 1 kb and might be missed by the assembly-based process, we use a 500 bp moving 672 window (with no overlapping) to find candidate regions where the read depth of either haplotype is less than 10% of the average depth of the haplotype. Next we extract all the read pairs of this 673 674 haplotype and test if the mean insert size of these read pairs is significantly larger than the mean 675 value of the whole genome, assuming the average insert size of n read pairs follows normal distribution: N(μ , σ^2/n), where μ and σ are the mean and standard deviation of the insert size of 676 677 the whole genome.

We use a read depth based method to detect deletions that are larger than 1 kb and lack read pair support. If there are *m* consecutive windows where the read depths are less than 10% of the average depth, we assume the read depth of each window is independent, and calculate the *p* value using the simple equation: $p = (a/b)^m$, where *b* is the total number moving windows and *a* is the total number of moving windows where the read depths are less than 10% of the average depth. A deletion is called if $p < 10^{-10}$.

684

685 Generation of simulated linked-read WGS data set

686 The linked reads were simulated by LRSIM, which can generate linked-reads from a given 687 FASTA file containing the genome sequences. We generated a diploid FASTA file based on 688 hg19 reference genome with SNPs and SVs inserted. The purpose of inserting SNPs was to 689 mimic real data. The generation of the diploid FASTA file is described below. First, we inserted SNPs to hg19 using vcf2diploid ³¹. The inserted SNPs were from the gold standard SNP call set 690 (v.3.3.2) of NA12878 genome ³². The vcf2diploid software generated two FASTA files, each of 691 692 which was a pseudohaplotype (paternal or maternal) with the phased SNPs inserted. Next, we 693 insert SVs into the paternal FASTA file using our custom script. The breakpoints were located in the repetitive regions in hg19 and the distance between the two breakpoints were in the range of 694 695 50 kb to 10 Mb. In total, we simulated 351 deletions, 386 duplications, 353 inversions and 85 696 translocations, all of which were in the paternal copy and were heterozygous SVs. We then

| 697 | concatenate the paternal and maternal FAST file into a single FASTA file and simulated linked- |
|-----|--|
| 698 | reads using LRSIM. To mimic real data, the barcode sequences and molecule length distribution |
| 699 | used for simulation were from the NA12878 whole-genome data set released by 10X Genomics. |
| 700 | The number of read pairs was set to 360 million so that a 35X coverage data set was generated. |
| 701 | The genome coordinates of simulated SVs was shown in Supplementary Data 1. The size |
| 702 | distribution of the simulated SVs was shown in Supplementary Figure 16a. |
| 703 | |

704 Generation of WGS data set with low VAF

705 In cancer samples or mosaic samples, the total DNA is a mix of a small portion of variant alleles 706 and a large portion of normal alleles. To simulate the WGS data sets with low variant 707 frequencies, we used the same paternal and maternal FASTA file described above but the 708 combined FASTA file contained multiple copies of the normal allele (the maternal FASTA) and 709 only one copy of the variant allele (the paternal FASTA). For example, to simulate a WGS data 710 set with VAF of 20%, four copies of the maternal FASTA and one copy of the paternal FASTA 711 were combined. The linked reads were simulated using LRSIM with the same parameters and a 712 35X coverage data set was generated.

713

714 Simulation of deletions and duplications that cause diseases

| 715 | To test the performance of LinkedSV on the detection of disease casual SVs, we downloaded a |
|-----|---|
| 716 | list of expert-curated deletions and duplications that were known to cause CNV syndromes |
| 717 | involved in developmental disorders. This list was downloaded from the DECIPHER database, |
| 718 | and contained 67 CNV syndromes. Some syndromes were affected by CNV events in the same |
| 719 | region. After removing redundant syndromes, we got 51 CNV events (Supplementary Table 10). |
| 720 | Based on the 51 CNV events we simulated a germline WGS data set and two mosaic WGS data |
| 721 | sets (VAF = 10% and 20%) using the same method described above. |
| 722 | |

723 Generation of simulated linked-read WES data set

724 To generate the linked-read WES data set, we first generate a 100X linked-read WGS data set 725 and then down-sample it to be a WES data set. Generation of the simulated linked-read WGS 726 data set with SNPs and SVs inserted was similar to the method described above. In total, we 727 inserted 1160 heterozygous SVs. The SV breakpoints were randomly selected from regions that 728 were within 2000 bp of an exon. Among the 2320 breakpoints (two breakpoints per each SV), 729 1028 breakpoints (44.3%) were in intronic or intergenic regions. The SV sizes are in the range of 730 50 kb to 10 Mb (Supplementary Figure 16b). Supplementary Data 2 showed the list of simulated 731 SVs. The number of inserted SVs in the simulated WES data set was slightly smaller than that in 732 the simulated WGS data set because the SV breakpoints were designed to reside within 2000 bp 733 of an exon. The simulated reads were generated using LRSIM and were mapped to hg19

| 734 | reference genome using the Longranger pipeline (default settings). The phased bam generated by |
|-----|--|
| 735 | Longranger was down-sampled to be a simulated WES data set. To mimic real WES data set, we |
| 736 | used the coverage distribution of the linked-read WES data set of NA12878 genome (released by |
| 737 | 10X Genomics) to guide the down-sampling process. We bin the genome into 10 bp windows |
| 738 | and calculate number of reads mapped to each window (left mapping positions were used) in |
| 739 | NA12878 linked-read WES data. The simulated WES data set was generated by sampling reads |
| 740 | from the 100X WGS data according to number of reads mapped to the same 10 bp window in the |
| 741 | NA12878 WES. The down sampling was at read pair level, if the one read is retained, the paired |
| 742 | read would also be retained. |
| | |

743

744 **Benchmarking of deletion detection on the HG002 genome**

The HG002 benchmark set (version 0.6) was downloaded from the FTP site: <u>ftp://ftp-</u> <u>trace.ncbi.nlm.nih.gov/giab/ftp/data/AshkenazimTrio/analysis/NIST_SVs_Integration_v0.6/</u>. The benchmarking process was performed according to the authors' suggestions²¹. The benchmark set contains a Tier 1 benchmark regions, where all the insertions/deletions are resolved and any extra calls were putative false positives. This region covers 2.66 Gbp of the human genome. A deletion call was considered to be a true positive call if it had at least 50% reciprocal overlap (the overlapped region was more than 50% of both calls) with a deletion call with filter = PASS in the 752 Tier 1 vcf file. Otherwise, it was considered to be a false positive call. This 50% reciprocal

753 overlapping criterion was chosen to follow what was done by a previous study ³³.

754 Recall, precision and F1 score were calculated as follows.

755 Recall=
$$\frac{\text{Number of true positive calls}}{\text{Total number of deletion calls with filter=PASS in the Tier 1 vcf file}}; (17)$$

756 Precision = $\frac{\text{Number of true positive calls}}{\text{Total number of deletion calls of the query set}}; (18)$

757 F1 score =
$$\frac{2^{\text{*Recall*Precision}}}{\text{Recall+Precision}}$$
. (19)

758

759 Competing Interests

| 760 | The authors declare no | o competing interests. |
|-----|------------------------|------------------------|
|-----|------------------------|------------------------|

761

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767 Data Availability

- The 10X Genomics sequencing data of the HX1 genome was generated in this study and can be
- 769 obtained from the NCBI SRA database with the accession code SRX5781869
- 770 [https://www.ncbi.nlm.nih.gov/sra/?term=SRX5781869].
- 771 The PacBio sequencing data of the HX1 genome was previously published and can be obtained 772 NCBI SRA database from the with the accession code SRX1424851 773 [https://www.ncbi.nlm.nih.gov/sra?term=SRX1424851]. The 10X Genomics sequencing data of 774 the HG002 genome was released by 10X Genomics and can be downloaded from 775 https://support.10xgenomics.com/de-novo-assembly/datasets/2.1.0/ash.
- Due to potential compromise of individual privacy, full datasets of the clinical samples (*F8* and *NF1*) are available from the authors on reasonable request and institutional data use agreement.
 All other relevant data is available upon request.

779 Code Availability

The source code of LinkedSV is publicly available on GitHub
(https://github.com/WGLab/LinkedSV). A detailed description of how to use LinkedSV is also
provided in the GitHub repository.

783

784 Author contributions

- 785 L.F. and K.W. (Kai Wang) designed the study. L.F. implemented the tool and performed the
- analysis. F.A.M. and R.P.S. generated the 10X Genomics sequencing data of the F8 inversion
- sample and the *NF1* deletion sample and C. K. and M.V.G analyzed the data. S.W. and K.W.
- 788 (Katharina Wimmer) performed targeted Illumina MiSeq sequencing of the NF1 deletion sample
- and analyzed the data. M. L. and H.H. guided on method development and data analysis. L.F.
- 790 drafted the manuscript. All authors read, revised, and approved the manuscript.

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| 901 | | |

902 Figure Legends

903 Figure 1

904 Two types of evidence near SV breakpoints. a) Type 1 evidence. Reads from HMW DNA 905 molecules that span the breakpoints of a deletion are mapped to two genomic locations, resulting 906 in two sets of observed fragments and two sets of newly introduced fragment endpoints (large 907 dots). b) The patterns of enriched fragment endpoints indicate the SV types. Please refer to 908 Supplementary Figures 1-3 and Supplementary Movie 1 for detailed explanations of how the 909 patterns are formed. c) Enriched fragment endpoints detected near two breakpoints of a deletion 910 on NA12878 genome. L-endpoints and R-endpoints are plotted separately. The breakpoint 911 positions are marked by red arrows. d) Two-dimensional view of enriched endpoints near the 912 two breakpoints of the deletion. Each dot indicates a pair of fragments which share the same 913 barcode and thus may support the SV. The x-value of the dot is the position of the first 914 fragment's R-endpoint and the y-value of the dot is the position of the second fragment's L-915 endpoint. The background of the 2D plot is cleaner than the 1D plot (panel c) since the fragments 916 that do not share barcodes are excluded. e) Type 2 evidence. Reads from two breakpoints of an 917 inversion being mapped to nearby positions (in the grey rectangles), resulting in decreased 918 barcode similarity between the two nearby positions. f) Decreased barcode similarity near the 919 breakpoints of an inversion on NA12878 genome. The reciprocal of barcode similarity is shown 920 in the figure. The peaks indicate the positions of the breakpoint.

922 Performance of LinkedSV on the simulated WGS data set. a) Recalls, precisions and F1 923 scores of six SV callers on the simulated WGS data set. b) Fragment endpoint signals of a small 924 duplication that was missed by GROC-SVs. The peaks indicate the approximate breakpoint 925 positions. c) Supporting fragments of the tandem duplication. These are fragments span the 926 junction of the first copy and the second copy. Please refer to Supplementary Figure 1 and 927 Supplementary Movie 1 for detailed explanations of how the patterns are formed. Horizontal 928 lines represent linked reads with the same barcode; dots represent reads; colors indicate barcodes; 929 dashed vertical grey lines represent breakpoint positions. d) Read depth distribution near the 930 duplication region. The black lines showed the depth of reads with mapping quality ≥ 20 while 931 the grey lines showed the depth of reads with mapping quality ≥ 0 (e.g. all reads). Red lines 932 indicate breakpoints predicted by LinkedSV and the blue line indicate the average depth of the 933 whole genome. e) Precision of breakpoints predicted by LinkedSV without checking short-read 934 information. f) Precision of LinkedSV refined breakpoints using discordant read-pairs and split-935 reads. Source data is provided as a Source Data file.

936

Figure 3

Performance of LinkedSV on the simulated WGS data with low variant allele frequencies.
a, b) Recalls, precisions and F1 scores of six SV callers on the simulated WGS data set with
VAF of 10% and 20%. c) Heap map of overlapping barcodes in chr1:193412560-194518464

| 941 | (hg19 coordinates) showing an inversion that was missed by Longranger, and NAIBR (VAF = |
|--|---|
| 942 | 10%). The overlapping barcodes between the two inversion breakpoints can be clearly visualized |
| 943 | (in the black circles). The heat map was plotted by the Loupe software (10X Genomics). Dots |
| 944 | represent overlapping barcodes. d) Supporting fragments of the inversion detected by LinkedSV. |
| 945 | Horizontal lines represent linked reads with the same barcode; dots represent reads; colors |
| 946 | indicate barcodes. Predicted breakpoint positions are marked by red arrows. Source data is |
| 947 | provided as a Source Data file. |
| 948 | |
| 949 | Figure 4 |
| | |
| 950 | Performance of LinkedSV on the simulated WES data set. a) Recalls, precisions and F1 |
| 950 951 | Performance of LinkedSV on the simulated WES data set. a) Recalls, precisions and F1 scores of six linked-read SV callers on the simulated WES data set. b) Heat map showing a |
| | |
| 951 | scores of six linked-read SV callers on the simulated WES data set. b) Heat map showing a |
| 951 952 | scores of six linked-read SV callers on the simulated WES data set. b) Heat map showing a deletion that was missed by NAIBR. The overlapping barcodes between the two breakpoints can |
| 951 952 953 | scores of six linked-read SV callers on the simulated WES data set. b) Heat map showing a deletion that was missed by NAIBR. The overlapping barcodes between the two breakpoints can be clearly visualized (in the black circles). The heat map was plotted by the Loupe software. |
| 951 952 953 954 | scores of six linked-read SV callers on the simulated WES data set. b) Heat map showing a deletion that was missed by NAIBR. The overlapping barcodes between the two breakpoints can be clearly visualized (in the black circles). The heat map was plotted by the Loupe software. Dots represent overlapping barcodes. c) Supporting fragments of the deletion detected by |
| 951 952 953 954 955 | scores of six linked-read SV callers on the simulated WES data set. b) Heat map showing a deletion that was missed by NAIBR. The overlapping barcodes between the two breakpoints can be clearly visualized (in the black circles). The heat map was plotted by the Loupe software. Dots represent overlapping barcodes. c) Supporting fragments of the deletion detected by LinkedSV. Horizontal lines represent linked reads with the same barcode; dots represent reads; |
| 951 952 953 954 955 956 | scores of six linked-read SV callers on the simulated WES data set. b) Heat map showing a deletion that was missed by NAIBR. The overlapping barcodes between the two breakpoints can be clearly visualized (in the black circles). The heat map was plotted by the Loupe software. Dots represent overlapping barcodes. c) Supporting fragments of the deletion detected by LinkedSV. Horizontal lines represent linked reads with the same barcode; dots represent reads; colors indicate barcodes. Predicted breakpoint positions are marked by vertical grey lines. |

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| 961 | Detection of F8 inversion from clinical exome sequencing data. (a) Illustration of type I |
|-----|--|
| 962 | inversion of F8 gene. A portion of intron 22 has three copies in chrX (int22h-1, int22h-2, int22h- |
| 963 | 3). The inversion is induced by the homologous recombination between two inverted copies |
| 964 | int22h-1 and int22h-3. Int22h-1 is located in intron 22 of F8 gene and int22h-3 is located in the |
| 965 | intergenic regions. (b) Heat map of overlapping barcodes in chrX:153916335-154862316 (hg19 |
| 966 | coordinates), plotted by the Loupe software tool. Black circles indicate overlapping barcodes |
| 967 | near the inversion breakpoints. Dots represent overlapping barcodes. (c) Decreased barcode |
| 968 | similarity at breakpoints detected by the twin window method of LinkedSV. Window size = 40 |
| 969 | kb (d) Supporting fragments detected by LinkedSV. Horizontal lines represent linked reads with |
| 970 | the same barcode; dots represent reads; colors indicate barcodes. Dashed vertical grey lines |
| 971 | represent breakpoints. Capture regions were shown as vertical bars in the bottom. |

972

973 **Figure 6**

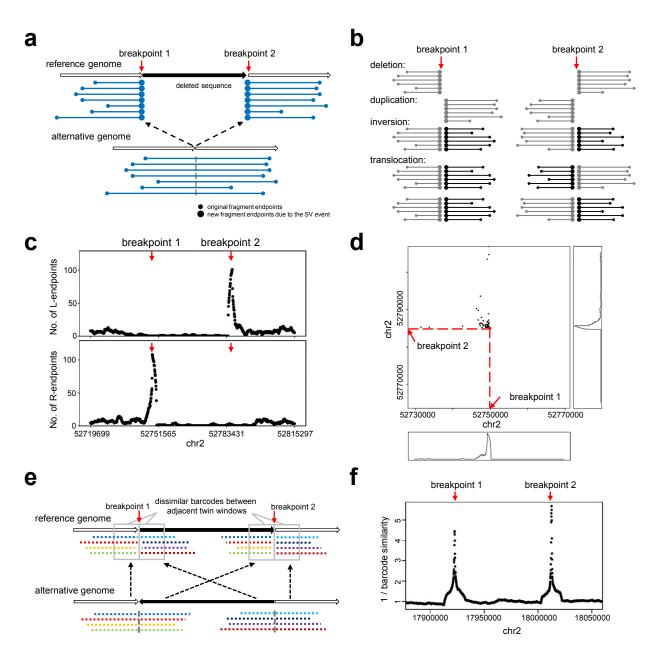
974 Detection of *NF1* deletion from clinical exome sequencing data. (a) Plot of linked-reads for
975 *NF1* WES sample spanning chr17:29645000-29855000. In the normal allele (top), there are 71
976 fragments crossing over the left breakpoint and 38 fragments crossing over the right breakpoint.
977 In the variant allele (bottom), the linked reads are separated by a large gap. Horizontal lines
978 represent linked reads with the same barcode; dots represent reads; colors indicate barcodes.

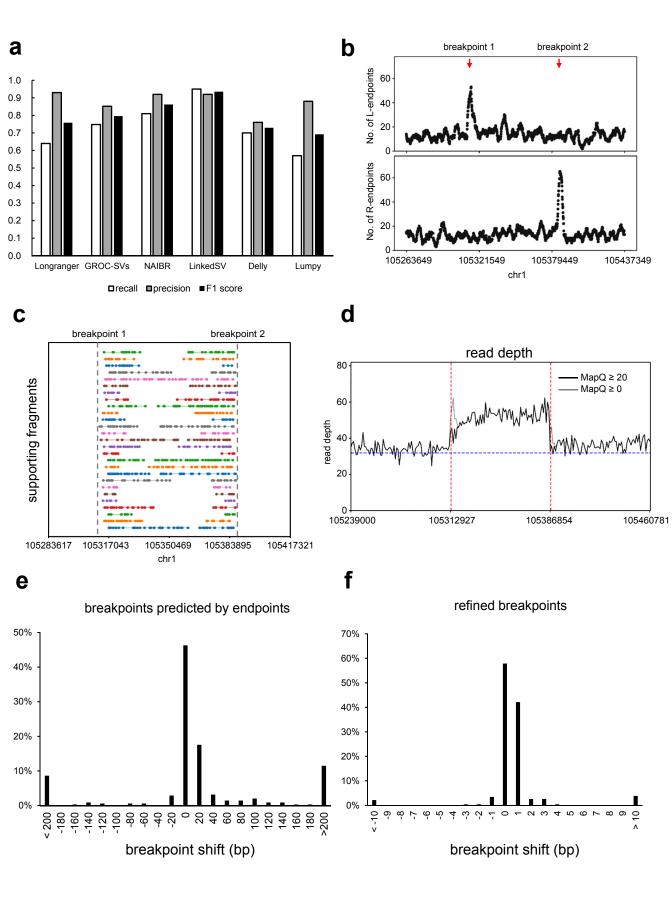
Dashed vertical red lines represent breakpoints. (b) Zoom-in plot of supporting fragments for thedeletion. One read pair was found to support the deletion.

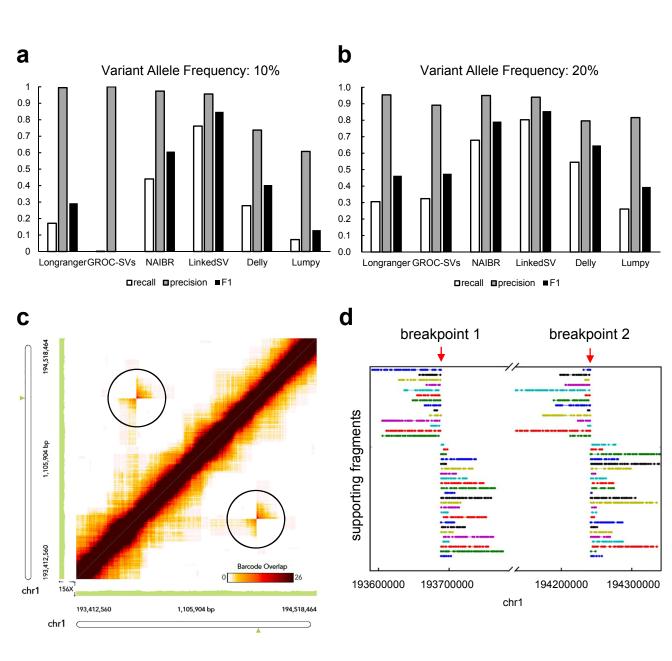
981

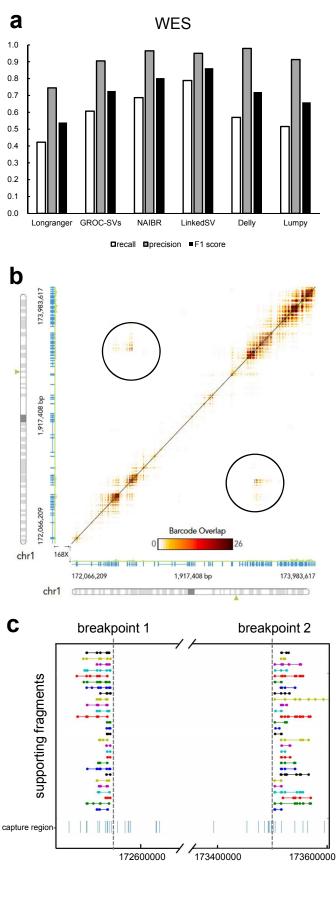
982 **Figure 7**

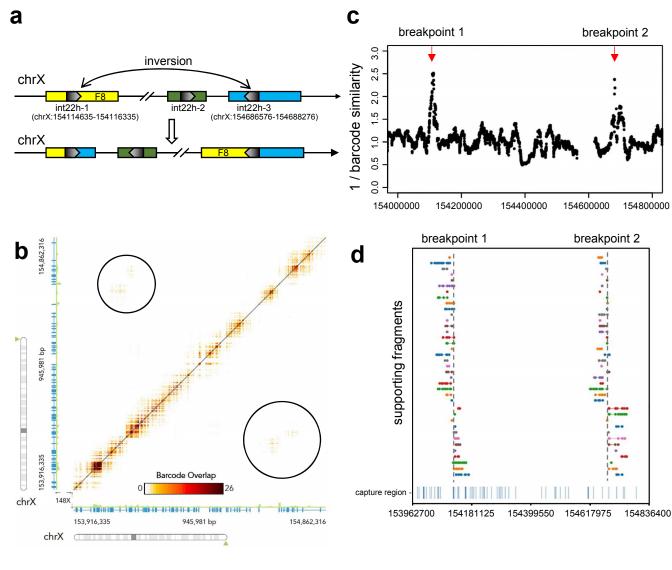
983 Structural variants detected from linked-read WGS data of the HX1 genome. a) Heatmap of 984 overlapping barcodes for a 45 kb deletion on chromosome 2 (chr2:110395971-110441346, hg38 985 coordinates), plotted by the Loupe software tool. Black circles indicate overlapping barcodes 986 near the breakpoints. The deletion was not detected by SMRT-SV from PacBio long reads; b) 987 and c) Alignments of PacBio reads near the breakpoints of the 45 kb deletion in chr2 in the HX1 988 genome. Clipped reads were marked by vertical pink lines (5'-clipping) or pink arrows (3'-989 clipping). The figures were generated by IGV. Reads with mapping qualities equal to 0 were in 990 white color. d) Read depth distribution near a 61 kb duplication region on chromosome 19 991 (chr19:27338390-27399298, hg38 coordinates). The calculation was based on the bam file of 992 linked-reads. Only reads with mapping quality ≥ 20 were counted. The dotted blue line showed 993 the average depth across the whole genome. The predicted breakpoints were indicated by vertical 994 red lines. The duplication was not detected by SMRT-SV using PacBio long reads; e, f) Aligned 995 PacBio raw reads near the two breakpoints of the duplication, as shown in IGV. Increased 996 alignment mismatches due to the SV were observed in e) (black rectangles). A clear duplication 997 breakpoint was observed in **f**).











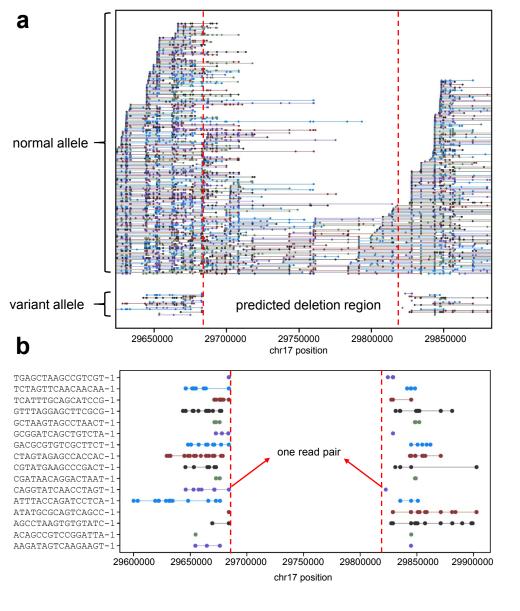


Figure 6

