The costs of misdiagnosed asthma in a longitudinal study of the general population

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ABBREVIATIONS:

BC: British Columbia

CI: Confidence Interval

FEV_1: Forced Expiratory Volume in 1 second

MPR: Medication Possession Ratio

SD: Standard Deviation

ABSTRACT

Background: An estimated one third of asthma patients are misdiagnosed. Evaluating the

difference in healthcare resource use and costs between misdiagnosed and confirmed cases of

asthma can inform assessments of the burden of asthma overdiagnosis.

Methods: Participants with a self-reported physician diagnosis of asthma were recruited through

random-digit dialing and followed for 1-year. The diagnosis of asthma was reassessed using

bronchodilator reversibility and methacholine challenge tests. We assessed self-reported asthma-

related direct healthcare costs (2017 Canadian dollars), outpatient physician visits, and

medication use. We used separate regression models to measure the association between each

outcome and verified asthma status, adjusting for potential confounders.

Results: The final sample included 345 individuals (61.4% female, mean age 48.9). Asthma was

ruled out in 86 (24.9%) participants. Average annual direct healthcare costs for participants with

confirmed asthma were $497.9 (SD $677.9), and $307.7 (SD $424.1) for participants who had

asthma ruled out. In the adjusted analyses, a confirmed diagnosis was associated with higher

direct healthcare costs (Relative Ratio [RR]=1.60, 95%CI 1.14-2.22), rate of specialist visits

(RR=2.42, 95%CI 1.06-5.42), and reliever medication use (RR=1.62, 95%CI 1.09-2.34), but not

with primary care physician visits (p=0.08), and controller medication use (p=0.12).

Conclusions: A quarter of individuals did not have asthma after objective re-evaluation.

Although direct costs were higher in participants with a confirmed diagnosis of asthma, the costs

of misdiagnosed asthma remained substantial. Given the high prevalence of asthma and high rate

of overdiagnosis, the economic burden of overdiagnosed asthma at the population level is

substantial.

Keywords: Asthma, Costs, Misdiagnosis, Observational Studies, Overdiagnosis

1.0 INTRODUCTION

Over 300 million people worldwide have been diagnosed with asthma[1]. Patients with asthma experience symptoms of wheezing, shortness of breath, chest tightness, and cough[2]. Due to these symptoms, asthma imposes a significant burden on patients, including increased morbidity, mortality, and reduced quality of life[3]. Patients with asthma use more medications, and have higher rates of hospitalization and physician visits than subjects without asthma[4]. The excess direct medical costs of asthma are estimated to be $1,058 (2013 Canadian dollars) per person-year in British Columbia (BC)[5]. Thirteen percent of these costs were directly attributable to asthma, and the majority (74%) of attributable costs were due to asthma-related medication use[5].

Multiple evidence-based guidelines, including from the Global Initiative for Asthma, recommend the diagnosis of asthma be confirmed by objective tests demonstrating either reversible airflow limitation or increased airway hyperresponsiveness[2,6]. Despite these recommendations, studies suggest that asthma is diagnosed solely based on symptom history in over half of physician-diagnosed cases[7,8]. A recent population-based study in Canada found that one in three patients with physician-diagnosed asthma did not meet the guideline-recommended criteria for asthma diagnosis and could have their medications safely stopped[9]. These 'misdiagnosed' patients are likely imposing costs to the healthcare system due to treatment for a condition that does not exist, and due to untreated underlying illnesses, which in some cases are very serious[9]. By some estimates, there are over 785,000 misdiagnosed patients in Canada alone[10].

In response to these findings, some authors have called for population-based screening to re-evaluate previous diagnoses of asthma[9,10]. Assessing the value of these programs requires precise estimates of the burden of misdiagnosed asthma on the healthcare system. However,

estimates of the cost differences between misdiagnosed and confirmed cases of asthma currently

do not exist. Characterizing the patterns of healthcare resource use among patients with

misdiagnosed asthma can help identify opportunities for re-evaluation and develop initiatives to

improve asthma diagnosis in the community.

We used a longitudinal population-based cohort of individuals with physician-diagnosed

asthma to compare total direct asthma-related healthcare costs in patients with a confirmed

diagnosis of asthma versus patients in whom a diagnosis of asthma was ruled out using objective

tests. Secondary objectives were to characterize differences in healthcare resource use in terms of

the 1) number of outpatient physician visits, and 2) type and amount of asthma medication use.

2.0 METHODS

Study Design and Sample

We used longitudinal data from the Economic Burden of Asthma study (University of British

Columbia Human Ethics #H10-01542), which has been previously described[11,12]. In summary,

individuals with a self-reported physician diagnosis of asthma and at least one asthma-related

healthcare encounter in the past 5 years were eligible for study inclusion. Participants were

recruited through random-digit dialing of both landlines and mobile phones in the census

subdivisions of Vancouver and Central Okanagan (populations of 603,502 and 179,839 in 2011,

respectively) of BC, Canada[13]. 618 participants were recruited, evaluated at baseline, and

followed for 12 months with visits at 3-month intervals. We included 345 participants ≥12 years

of age who successfully completed a bronchodilator reversibility or methacholine challenge test

at the end of follow-up.

Outcomes

Participants reported their asthma-related primary care and specialist physician visits,

hospitalizations, emergency department visits, and current medications at each study visit with a

recall period of 3 months. The primary outcome was total direct asthma-related healthcare costs

over the one-year follow-up period. Total direct healthcare costs were comprised of all outpatient

and inpatient encounters, as well as medication costs. The methods used for calculating costs are

detailed elsewhere[14,15]. Briefly, costs for each patient were determined by multiplying self-

reported resource use quantities by unit cost of each resource. We determined the average cost of

an asthma-related physician visit (specialist vs. primary care) and hospitalization for the years

2008-2012 using International Classification of Diseases codes from provincial healthcare

administrative data[15]. Medication costs were determined by linking Drug Identification Numbers

of participant-reported asthma medications to the Provincial Drug Master Plan database[16]. Cost

per dose was estimated using the lowest price equivalent of the medication. All costs were

adjusted to 2017 Canadian Dollars[17].

Secondary outcomes were the number of asthma-related outpatient physician visits and

use of asthma medications. We did not evaluate asthma-related emergency department visits and

hospitalizations as a separate outcome due to the low frequency of these events (N=14). The

number of outpatient physician visits over one-year of follow-up was assessed separately by

physician type (primary care or specialist). Medication use was captured using the questionnaire

shown in *e-Appendix 1*, and medications were classified into controller or reliever using a

reference list (*e-Table 1*). Medication use was determined by calculating the Medication

Possession Ratio (MPR) separately for controller and reliever medications. MPR represents the

proportion of days in which medications were available to the participant over the follow-up

period[18].

Exposure

Participants underwent an objective assessment of asthma at the final visit. The diagnostic

algorithm for asthma is shown in *Figure 1* and consisted of both bronchodilator reversibility and

methacholine challenge tests implemented in a stepwise fashion. Spirometry was performed by a

trained technician using a regularly calibrated spirometer. Reversible airflow obstruction was

defined as a $\geq$12% increase in Forced Expiratory Volume in 1 second (FEV_1) 15 minutes after

administration of 200mcg of salbutamol via pressurized metered dose inhaler and a spacer

device[19]. Participants who did not meet the criteria for reversible airflow obstruction returned

within a week to undergo a methacholine challenge test. Participants who did not meet the

criteria for asthma diagnosis at the first methacholine challenge test had their controller

medications tapered and discontinued by a respirologist before returning for a second

methacholine challenge test. A diagnosis of asthma was ruled out if FEV_1 decreased by <20%

following administration of 16mg/mL of methacholine[20] in both methacholine challenge tests.

Participants had a 'confirmed asthma diagnosis' if they met the criteria for asthma at the

bronchodilator reversibility test or either methacholine challenge test.

118   <<Figure 1>>

Statistical Analysis

All statistical analysis were performed in R version 3.5.0[21]. We considered a two-tailed p-value

of <0.05 as statistically significant.

We constructed separate generalized linear regression models (negative binomial

distribution, log link) for the primary and secondary outcomes. This resulted in five separate

models for the following outcomes: annual (1) total direct healthcare costs, (2) number of

primary care physician visits, (3) number of specialist physician visits, (4) controller MPR, and

(5) reliever MPR. All models included the objective diagnosis of asthma (confirmed vs. ruled

out) as the exposure. Costs and percent MPR values were rounded to the nearest integer value.

Models were adjusted for participant age, sex, ethnicity (Caucasian vs. non-Caucasian),

education (postsecondary vs. no), income (annual household income ≥$70,000 vs. less), and

third-party insurance coverage for medications (coverage vs. no), all self-reported at baseline

with a 12-month recall period. The resulting regression coefficients were exponentiated to create

effect estimates on the relative scale (rate ratio [RR]).

3.0 RESULTS

Sample Characteristics

The cohort selection procedure is illustrated in *Figure 2*. From the Economic Burden of Asthma

cohort (618 participants), we excluded 86 who were <12 years of age, 153 in whom a

methacholine challenge was contraindicated (N=29), refused (N=112), or could not be completed

for other reasons (N=14), and 34 participants who were lost to follow-up. The final study cohort

included 345 adults who underwent an objective diagnostic test for asthma at their final visit.

Their characteristics are shown in *Table 1*. 212 (61.4%) participants were female, and the mean

age at baseline was 48.9 (standard deviation [SD] 17.8) years. A diagnosis of asthma was

confirmed in 259 (75.1%) participants: 138 (53.3%) by bronchodilator reversibility test, 98

(37.8%) following the first methacholine challenge test, and 23 (8.9%) following the second

methacholine challenge test. Asthma was ruled out in 86 (24.9%) participants following negative

results on all bronchodilator reversibility and methacholine challenge tests.

<<Figure 2>>

<<Table 1>>

Total Direct Healthcare Costs

Average annual direct healthcare costs over one year was $497.9 (SD $677.9) in participants

with confirmed asthma and $307.7 (SD $424.1) in those with asthma ruled out. The difference in

average annual direct healthcare costs ($190.2) between exposure groups was significant in the

unadjusted analysis (p<0.01, *Table 1*). Average annual direct healthcare costs were 1.6 times

(95%CI 1.14–2.22, p=0.01) higher in participants with confirmed asthma after adjustment for

participant sex, age, ethnicity, income, insurance coverage, and education (*Figure 3*).

<<Figure 3>>

Outpatient Physician Visits

Total number of primary care physician visits over one year was similar between participants

with confirmed asthma and those with asthma ruled out (2.1 vs. 2.7 visits, p=0.85, *Table 1*).

However, participants with confirmed asthma visited specialist physicians more often than those

who had asthma ruled out (0.6 vs 0.2 visits per year, p=0.02). The adjusted analysis also

indicated no significant difference in the number of primary care physician visits between

participants with confirmed asthma and those with asthma ruled out (p=0.08, *Figure 3*).

Confirmed asthma was associated with 2.42 times (95%CI 1.06–5.42, p=0.03) more specialist

visits than when asthma diagnosis was ruled out.

Medication Usage

Participants with a confirmed diagnosis of asthma used controller medications for a greater

proportion of follow-up time than those with asthma ruled out (MPR of 74.9% vs. 54.0%,

p=0.02), and similarly for reliever medications (31.3% vs. 19.5%, p<0.01, *Table 1*). This

difference persisted in the adjusted analysis for reliever medications but not for controller

medications (p=0.12, *Figure 3*). MPR for reliever medications was 1.62 times higher (95%CI

1.09–2.34, p=0.01) among participants with confirmed asthma than those with asthma ruled out.

4.0 DISCUSSION

In this study, we used objective tests to confirm the diagnosis of asthma in a random sample of

the general population with a self-reported physician diagnosis of asthma. We found that asthma

could be ruled out in 25% of cases. This proportion is in line with previous studies, which

estimated a 28-33% rate of overdiagnosis in the general Canadian population[9,10]. We compared

direct asthma-related healthcare costs between participants with confirmed asthma versus those

with asthma ruled out. Although total direct costs were higher in participants with a confirmed

diagnosis of asthma, the costs of misdiagnosed asthma remained substantial. The average direct

healthcare costs for a participant with misdiagnosed asthma was $308 over 12 months; $190

lower than for participants with a confirmed diagnosis.

Participants with misdiagnosed asthma visited specialists less frequently than those with

confirmed asthma, and primary care physicians as frequently. These misdiagnosed participants

may have scheduled a similar number of annual primary care visits for routine monitoring of

'asthma' activity or to fill new prescriptions. Alternatively, they may have had underlying

diseases that caused respiratory symptoms and required management by their primary care

physician. However, participants with confirmed asthma tended to visit respiratory specialist

physicians more frequently. These participants may have had exacerbations of their asthma

symptoms requiring referral to a specialist physician to improve asthma control[22]. Across both

groups, participants visited primary care physicians more frequently than specialist physicians;

79% of our sample visited a primary care physician at least once during follow-up. Due to this

high frequency, re-evaluation of a previous asthma diagnosis during primary care physician

visits may be an effective method for detecting misdiagnosed asthma.

On average, misdiagnosed participants possessed controller medications for over half of

follow-up time, and reliever medications for 20 percent of follow-up time. In comparison to

participants with confirmed asthma, and after adjusting for potential confounders, participants

with asthma ruled out tended to use similar levels of controller medications and less reliever

medications. This difference may be due to a lower symptom burden in misdiagnosed

participants, which led to self-adjustment of reliever medication use[24]. In contrast to reliever

medications, which are typically used as needed, controller medications are used daily[2,6]. Under

the assumption of treating asthma, misdiagnosed individuals may have used controller

medications as prescribed. Although inhaled medications for asthma are generally safe,

misdiagnosed asthma patients remain at risk of net harm due to medication side effects[23], and

may be incurring healthcare expenditure without therapeutic benefit. Further, asthma

medications may have masked symptoms of a serious underlying illness and resulted in a delay

in diagnosis and treatment of the correct disease. We did not evaluate the true underlying

condition in this sample, but as an example, two patients in a study by Aaron et al.[9] had

subglottic stenosis, which was treated as asthma for a number of years before the correct

diagnosis was identified during the study.

To our knowledge, this is the first study examining the costs associated with

misdiagnosed asthma. Our findings have important population-level consequences. Given an

estimate of approximately 785,000 individuals with asthma misdiagnosed in Canada[10], and direct

healthcare costs of $308 per patient-year, the estimated cost of misdiagnosed asthma in Canada

is $242 million per year (2017 Canadian dollars). A previous study reported average costs of

$263 per patient (2009 Canadian dollars) for additional physician visits to reassess an asthma

diagnosis[10]. This suggests that additional assessment to correct a misdiagnosis of asthma would

be cost saving in the first year, with asthma-related healthcare savings compounding in

subsequent years. Savings could be reallocated to the management of individuals with a true

diagnosis of asthma, especially those with severe asthma who could pursue novel but expensive

treatments, or to treat the underlying diseases of misdiagnosed patients[25]. Given the prevalence

and associated costs of misdiagnosis, our findings highlight the need for objective testing to

accompany all new diagnoses of asthma[2,6].

Strengths of this study include a random sample of the general population, which means

our results are likely to be representative of routine healthcare use. We evaluated airway

reversibility using objective tests recommended by international guidelines[2,6], which allowed us

to evaluate the costs of evidence-based practices. Limitations of our study should be recognized.

First, our algorithm for ruling out asthma was less rigorous than some previous studies[9,10,26]

because it involved one fewer methacholine challenge test. It is possible that this led to a higher

rate of misdiagnosis in our study. Second, we excluded participants in whom an objective

diagnostic test was contraindicated due to asthma-related reasons, which may have resulted in

lower representation of participants with high resource utilization due to more severe disease.

Finally, we did not assess other health conditions that might have been responsible for the

misdiagnosis and therefore could not evaluate their cost implications. If ruling out an asthma

diagnosis results in the correct alternative diagnosis, the proper management of the underlying

condition could confer further benefit; this aspect was not evaluated in our study.

5.0 CONCLUSIONS

In this population-based sample, one in four participants with a physician diagnosis of asthma

had their diagnosis ruled out upon objective airflow reversibility testing. Patients with

misdiagnosed asthma consume a substantial amount of asthma-related healthcare resources,

albeit less resources than those with confirmed asthma. Given the extent of misdiagnosed asthma

in Canada and its associated healthcare resource burden, our results suggest that a nationwide

screening or case detection initiative for re-evaluating previous diagnoses of asthma may be an

efficient use of resources. Formal evaluation of the cost-effectiveness of such a program is

needed.

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formulated the current study idea and MS, KMJ and BN designed the study. BN performed all

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statistical analysis. All authors critically commented on the manuscript and approved the final

version. KMJ is the guarantor of the manuscript.

BN, AS, JMF, and KMJ have no conflicts to declare. MS has received honorarium for unrelated

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Table 1. Sample characteristics

Characteristic	Total (n = 345)	Asthma confirmed (n = 259)	Asthma ruled out (n = 86)	p-value[e]
Female, n (%)	212 (61.4)	156 (60.2)	56 (65.1)	0.50
Age[b], mean (SD)	48.9 (17.8)	49.3 (18.1)	47.7 (17.2)	0.46
Caucasian (vs. non-Caucasian), n (%)	267 (77.4)	206 (79.5)	61 (70.9)	0.13
High income (vs. low income)[bc], n (%)	183 (53.0)	137 (52.9)	46 (53.5)	>0.99
Health insurance (full coverage vs. not full coverage)[bd], n (%)	69 (20.0)	52 (20.1)	17 (19.8)	>0.99
Higher education (postsecondary vs. no postsecondary)[b], n (%)	242 (70.1)	178 (68.7)	64 (74.4)	0.39
Variables evaluated during follow-up				
Total direct healthcare costs, mean (SD)	$450.5 ($629.2)	$497.9 ($677.9)	$307.7 ($424.1)	<0.01[a]
Primary care physician visits, mean (SD)	2.2 (4.1)	2.1 (2.8)	2.7 (6.6)	0.85
Specialist physician visits, mean (SD)	0.5 (1.9)	0.6 (2.2)	0.2 (0.8)	0.02[a]
MPR of controller medications, mean (SD)	69.7% (74.4)	74.9% (76.4)	54.0% (65.8)	0.02[a]
MPR of reliever medications, mean (SD)	28.4% (33.2)	31.3% (34.3)	19.5% (28.0)	<0.01[a]

MPR: Medication Possession Ratio

[a] Significant at 0.05 level

[b] Measured at the baseline visit

[c] High income defined as household income $\geq$ $70,000

[d] Full coverage defined as all drug and physician services paid for through third-party coverage

[e] p-values for the absolute difference between the 'asthma confirmed' and 'asthma ruled out' groups were determined using a Student t-test for continuous variables and Chi-squared test for categorical variables

FIGURE LEGENDS

Figure 1. Algorithm for confirming or ruling out a diagnosis of asthma.

Figure 2. Sample selection procedure.

Figure 3. Relative Ratios for the adjusted differences in asthma-related a) total direct healthcare costs, b) primary care physician visits, c) specialist physician visits, d) controller medication use, and e) reliever medication use over one year of follow-up between patients with confirmed asthma and those with asthma ruled out.

a) Total Direct Healthcare Costs

	Relative Ratio	95% CI
Asthma Confirmed (vs. ruled out)*	1.6	1.14 - 2.22
Caucasian (vs. non-Caucasian)	0.78	0.54 - 1.10
Age (10-year increase)	1.03	0.94 - 1.12
Female (vs. male)	1.18	0.87 - 1.60
Higher Education (postsecondary vs. no postsecondary)	1.06	0.74 - 1.51
High Income (vs. low income)	0.89	0.65 - 1.21
High Insurance (full coverage vs. no)	0.81	0.57 - 1.18

Higher Cost --->

b) Primary Care Physician Visits

	Relative Ratio	95% CI
Asthma Confirmed (vs ruled out)	0.78	0.59 - 1.03
Caucasian (vs non-Caucasian)	0.97	0.73 - 1.30
Age (10-year increase)*	1.13	1.05 - 1.21
Female (vs. male)*	1.33	1.03 - 1.71
Higher Education (postsecondary vs. no postsecondary)	0.92	0.69 - 1.23
High Income (vs. low income)	1.06	0.82 - 1.38
High Insurance (full coverage vs. no)	1.05	0.78 - 1.43

More Visits --->

c) Specialist Physician Visits

	Relative Ratio	95% CI
Asthma Confirmed (vs ruled out)*	2.42	1.06 - 5.42
Caucasian (vs non-Caucasian)	0.5	0.22 - 1.06
Age (10-year increase)	0.9	0.74 - 1.10
Female (vs. male)	1.81	0.87 - 3.76
Higher Education (postsecondary vs. no postsecondary)*	2.91	1.28 - 6.62
High Income (vs. low income)	0.8	0.38 - 1.71
High Insurance (full coverage vs. no)*	0.37	0.15 - 0.94

More Visits --->

d) Controller Medication Use

	Relative Ratio	95% CI
Asthma Confirmed (vs ruled out)	1.35	0.91 - 1.96
Caucasian (vs non-Caucasian)	1.14	0.75 - 1.68
Age (10-year increase)*	1.16	1.05 - 1.27
Female (vs. male)	1.19	0.84 - 1.68
Higher Education (postsecondary vs. no postsecondary)	0.88	0.58 - 1.32
High Income (vs. low income)	0.99	0.69 - 1.41
High Insurance (full coverage vs. no)	1.15	0.76 - 1.77

Higher MPR --->

e) Reliever Medication Use

	Relative Ratio	95% CI
Asthma Confirmed (vs ruled out)*	1.62	1.09 - 2.34
Caucasian (vs non-Caucasian)	1.29	0.85 - 1.90
Age (10-year increase)	0.99	0.89 - 1.09
Female (vs. male)*	1.47	1.03 - 2.08
Higher Education (postsecondary vs. no postsecondary)	1.02	0.67 - 1.54
High Income (vs. low income)	0.9	0.62 - 1.31
High Insurance (full coverage vs. no)	0.89	0.59 - 1.39

Higher MPR --->