Implicit temporal predictability enhances pitch discrimination sensitivity and biases the phase of delta oscillations in auditory cortex

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Abstract

Can human listeners use implicit temporal contingencies in auditory input to form temporal predictions, and if so, how are these predictions represented endogenously? To assess this question, we manipulated foreperiods in an auditory pitch discrimination task: unbeknownst to participants, the pitch of the standard tone could either be deterministically predictive of the temporal onset of the target tone, or convey no predictive information. Predictive and non-predictive conditions were presented interleaved in one stream, and separated by variable inter-stimulus intervals such that there was no dominant stimulus rhythm throughout. Even though participants were unaware of the implicit temporal contingencies, pitch discrimination sensitivity (the slope of the psychometric function) increased when the onset of the target tone was predictable in time (N = 49, 28 female, 21 male). Concurrently recorded EEG data (N = 24) revealed that standard tones that conveyed temporal predictions evoked a more negative N1 component than non-predictive standards. We observed no significant differences in oscillatory power or phase coherence between conditions during the foreperiod. Importantly, the phase angle of delta oscillations (1–3 Hz) in auditory areas in the post-standard and pre-target time window predicted behavioral pitch discrimination sensitivity. This suggests that temporal predictions can be initiated by an optimized delta phase reset and are encoded in delta oscillatory phase during the foreperiod interval. In sum, we show that auditory perception benefits from implicit temporal contingencies, and provide evidence for a role of slow neural phase in the endogenous representation of temporal predictions, in absence of exogenously driven entrainment to rhythmic input.

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Author summary

Auditory environments come with an inherent temporal structure, which human listeners can use to predict the timing of future inputs. Yet, how these regularities in sensory inputs are transformed into temporal predictions is not known. Here, we implicitly induced temporal predictability in the absence of a rhythmic input structure, to avoid exogenously driven entrainment of neural oscillations. Our results show that even implicit and non-rhythmic temporal predictions are extracted and used by human listeners, underlining the role of timing for auditory processing. Furthermore, our EEG results point towards an instrumental role of delta oscillations in initiating temporal predictions, possibly by an optimized phase reset in response to a temporally predictive cue.

Introduction

The human brain is constantly predicting its environment, and these predictions not 2 only concern the *where* and *what*, but also the *when* of future events. Temporal 3 statistics of visual and auditory input are extracted by the human cognitive system, 4 and benefit perception and action [1, 2, 3, 4]. This process does not seem to require 5 conscious awareness of the underlying temporal structure, meaning it occurs im-6 plicitly [5, 6]. Yet little is known about how temporal predictions are extracted from 7 temporal regularities in sensory input, and how they are internalized in human brain 8 dynamics. 9

Temporal predictions are often enabled by periodic structure in sensory input, 10 especially in audition. Accordingly, rhythmic input structure has been shown to im-11 prove detection performance and speed [7, 8, 9, 10, 11]. Fewer studies have shown 12 that rhythmic temporal regularities can also improve perceptual sensitivity (i.e. dis-13 crimination performance) in the auditory [12, 13, 14; but see 15], as well as the visual 14 domain [16, 17]. It is, however, not trivial to disentangle mechanistic input-driven 15 alignment of neural activity to rhythmic input from an internalized and endogenously 16 activated representation of temporal predictions [4, 18, 37]. 17

To disentangle exogenous temporal predictions inferred from sensory inputs 18 from their endogenous representation, we here induced temporal predictability by ma-19 nipulating the temporal statistics in a so-called foreperiod paradigm [19, 20]. This type 20 of manipulation has been shown to increase visual perceptual sensitivity [5, 21, 22, 23]. 21 In audition, predictable foreperiods have been found to speed up stimulus process-22 ing [24] and improve short-term memory performance [25, 26]. To our knowledge, 23 no study has shown an effect of implicit non-rhythmic temporal predictability on 24 perceptual sensitivity in the auditory domain. 25

To assess an endogenous representation of temporal predictions, we investigated 26 the hypothesis that slow neural oscillations (in the delta/1–3 Hz and theta/4–7 Hz 27 frequency bands) implement temporal predictions via endogenous phase-resetting and 28 -shifting mechanisms. This hypothesis can be drawn back to the influential proposal of 29 Dynamic Attending in Time [DAT; 27, 28], suggesting that (auditory) attention fluctuates 30 in phase with rhythmic input. A neural implementation of dynamic attending has 31 been postulated through phase-locking of neural delta oscillations to rhythmic inputs, 32 also termed entrainment. Entrainment reflects an internalization of the exogenous 33 temporal structure, to align the most efficient brain states for sensory processing to the 34

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most likely time points for stimulus occurrence [32, 33]. Behaviourally, this results in fluctuations of performance in phase with the oscillation [11, 16, 29, 30, 31, 32, 33, 34].

It is currently an open question to what extend entrained neural delta oscillations are a signature of processing rhythmic input, or whether they pose a more parsimonious mechanism of temporal prediction. Important evidence for an endogenous role of delta oscillations in auditory temporal attention comes from two studies showing that auditory processing fluctuates with the phase of spontaneously present delta activity in auditory cortex, in absence of rhythmic stimulation [38, 39].

Furthermore, previous studies have shown that entrainment is subject to topdown modulation, shown by enhanced phase coherence of slow oscillations in anticipation of temporally predictive input [11, 16, 35].

Recently, studies have started to test whether the beneficial phase of an ongoing 46 neural oscillation can be aligned in a top-down manner to an expected point in time, as 47 an endogenously initiated temporal prediction, without an entraining stimulus struc-48 ture [5, 6, 40]. To our knowledge, only one study in the visual domain reported a role 49 of slow oscillations in single trial temporal predictions [5, theta band]. Furthermore, 50 a recent study [41] showed that delta phase in the target-onset time window reflects 51 adjustments to previously encountered violations of temporal predictions in an explicit 52 timing task. To date, to the best of our knowledge, no study has assessed whether 53 oscillations implement implicit temporal predictions for audition. 54

Here, to investigate the role of neural oscillatory dynamics for an endogenous 55 representation of temporal predictions in auditory inputs, in absence of rhythmic 56 structures, we implicitly associated temporal predictability to a sensory feature of the 57 standard tone in an auditory pitch discrimination task: the standard's pitch could be 58 deterministically predictive of the onset time (but not the pitch) of the target tone, or 59 convey no predictive information. Temporally predictive and non-predictive conditions 60 were presented interleaved in one stream, and separated by variable inter-stimulus 61 intervals such that there was no dominant stimulus rhythm throughout. 62

We show that, behaviourally, temporal predictability increases pitch discrimina-63 tion sensitivity, assessed via the slope of the psychometric function. Concurrently 64 recorded EEG data provide indices of temporally predictive processing in auditory 65 cortex evoked by both the standard and target tone. Furthermore, we show enhanced 66 delta power in the predictive compared to the non-predictive condition, and (by apply-67 ing an auditory spatial filter) a predictive relationship between delta phase angle in 68 auditory areas during the foreperiod and pitch discrimination performance. Together, 69 these results suggest an instrumental role of delta oscillations in forming temporal 70 predictions. 71

Methods

Participants

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In total, 51 participants were tested (23.6 years on average (SD = 3.5), 28 female, 6 left handed), 26 of which also underwent electroencephalography (EEG). All participants signed informed consent and received either course credit or payment for their participation ($8 \in$ per hour). The study was approved by the local ethics committee at the University of Lübeck. We excluded two of the participants who only underwent the behavioral testing, because of ceiling effects (their slopes for the psychometric 79 function in one of the two conditions exceeded the mean of the slope distributions of all participants by more than 2.5 standard deviations). Furthermore, we excluded the EEG data from two participants who had blinked in synchrony with the auditory stimulation and for whom we were not able to separate blinks from the auditory evoked potentials during EEG preprocessing. The behavioural data of these two participants were kept in the analyses.

Stimuli and Procedure

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The experiment was conducted in an electrically shielded sound-attenuated EEG booth. Stimulus presentation and collection of behavioural responses was achieved using the Psychophysics Toolbox [42, 43] under Windows 7. Responses were collected on a standard keyboard. All participants were instructed to use the index and middle fingers of the right hand.

Participants performed a pitch discrimination task, comparing tone pairs embedded in noise, as illustrated in Figure 1A. They were instructed to indicate after each tone pair whether the second tone was lower or higher than the first.

A black fixation cross was displayed on gray background throughout the whole block. Auditory stimuli were delivered via headphones (Sennheiser HD 25-SP II). Lowpass (5kHz) filtered white noise was presented constantly throughout each block, at 50 dB above the individual sensation level, which was determined for the noise alone at the beginning of the experiment using the method of limits. Pure tones of varying frequencies (duration 50 ms with a 10 ms on- and offset ramp), were presented with a tone-to-noise ratio fixed at -18 dB relative to the noise level.

The first tone, to which we will refer as the *standard* in the following was always 102 at one of two frequencies: 550 or 950 Hz. The second tone, the *target*, was varied 103 in individually predetermined steps around its respective standard. The same step 104 size was used for both standards, but logarithmically transformed and multiplied 105 with the standard frequency, to obtain a log-spaced frequency scale around each 106 standard. To predetermine the step size, each participant was first presented with one 107 experimental block to familiarize themselves with the task. Then, a second block was 108 performed, and if pitch discrimination performance was below 65%, the tone-steps 109 were increased, which was repeated up to three times. All participants reached the 110 minimum performance level after minimally two and maximally four rounds of training. 111 As a result of this procedure, the average lowest target tone presented with the 550 Hz 112 standard was 508.3 Hz (range 490.0–519.1 Hz), and the highest target tone 595.3 Hz 113 (range 582.7–617.4 Hz); the lowest target tone presented with the 950 Hz standard was 114 878.0 Hz (range 846.4–896.7 Hz), and the highest target tone 1028.3 Hz (range 1006.5– 115 1066.3 Hz). The high and low tones never overlapped. In the behavioural experiment, 116 eleven tone frequencies were used from the lowest to highest tone, including the 117 standard; in the EEG experiment we used 7 discrete frequencies. 118

Critically, and unbeknownst to participants, we manipulated the interval between 119 standard and target tones, the *foreperiod*, by either pseudo-randomly drawing forepe-120 riods from a discretized uniform foreperiod duration (11 foreperiods in the behavioral 121 experiment and 7 in the EEG experiment, all ranging from 0.5–3 s, blue distribution in 122 Figure 1 A), or used the same foreperiod duration (1.75 s, green distribution in Figure 123 1 A). This resulted in one condition in which the target onset was perfectly predictable 124 in time, the *predictive condition*, and one condition in which the target onset was 125 maximally jittered, the *non-predictive condition*. To allow participants to implicitly 126

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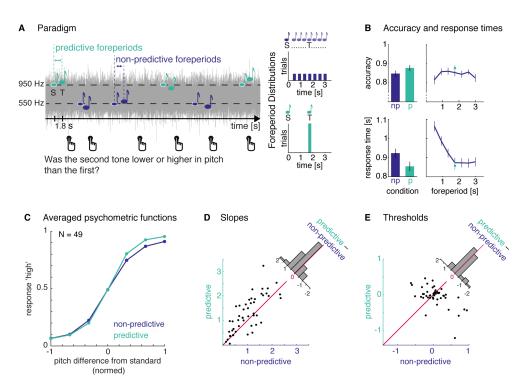


Fig 1. Paradigm and Behavioural Results. A. Paradigm: Tone-pairs were presented embedded in low-pass filtered white noise. Participants' task was to judge whether the target tone (T) was lower or higher in pitch than the preceding standard (S). Unbeknownst to participants, the pitch of the standard tone was associated with predictive (green) or non-predictive foreperiod intervals (blue). For the non-predictive condition, foreperiods were drawn from a uniform distribution (upper right panel), while for the predictive condition, foreperiods were fixed at 1.75 s (lower right panel). B. Accuracy and response times: Top: Accuracy improved significantly in the predictive condition (left panel), which was nominally also true at the intermediate foreperiod only (right panel). Bottom: Response times were faster in the predictive condition (left panel). The difference was driven by slower response times at short foreperiods on the non-predictive condition (right panel) C. Averaged psychometric functions: The slope of the psychometric function was steeper in the predictive compared to the nonpredictive condition. There were no differences in threshold, guess rate or lapse rate. **D. Slopes for single participants:** for the non-predictive (x-axis) versus predictive (y-axis) conditions. E. Thresholds for single participants: for the non-predictive (x-axis) versus predictive (y-axis) conditions.

dissociate the conditions, the foreperiod distributions were associated with one of the standard pitches, for example for one participant the 550 Hz standard was always followed by a predictive foreperiod and the 950 Hz standard was always followed by a non-predictive foreperiod. The assignment was counterbalanced over participants. The two conditions were presented interleaved, such that participants had to encode the standard pitch on each trial. Importantly, the manipulation of foreperiod intervals was strictly implicit, and participants were not informed about it.

To avoid build-up of a rhythm over trials, the inter-stimulus interval between a target tone and the standard tone of the next trial was drawn from a truncated exponential distribution (mean 1.5 s, truncated at 3 s) added to a minimum interval of 136 3 s (resulting in values between 3–6 s). After the target tone, participants had 2 s to respond. The stimulation continued automatically, even if no response was given.

One block consisted of 22 trials in the behavioural (one repetition per tone step and condition), and 56 trials in the EEG experiment (4 repetitions per tone step and condition). In the behavioural experiment participants performed 20 blocks (440 trials), and in the EEG experiment minimally 12 and maximally 15 blocks (672–840 trials). Between blocks, participants could take breaks of self-determined length. Feedback was given per trial during the training, and at the end of each block (as proportion of correctly answered trials) during the main experiment.

After the experiment, all participants were asked the same four questions by the 146 experimenter. First, the experimenter asked whether participants had noticed that 147 the interval between the first and second tone of a pair was variable. Second, they 148 were asked to describe whether they noticed any systematic variation therein. Third, 149 they were told that either the low or high tones were always presented with the same 150 separating interval and asked whether they noticed this. Fourth, they were asked 151 to guess whether in their case the low or high pitch tones were the ones presented 152 with the constant interval. Finally, they filled in a musicality survey [44]. The full 153 experimental session lasted about 2.5 h. 154

EEG recording and preprocessing

EEG was recorded with 64 electrodes Acticap (Easy Cap) connected to an ActiChamp (Brain Products) amplifier. EEG signals were recorded with the software Brain Recorder (Brain Products) at a sampling rate of 1 kHz, using no online high-pass filter and a 200 Hz low-pass filter. Impedances were kept below 10 kΩ. Electrode TP9 (left mastoid) served as reference during recording. Electrode positions were digitized.

EEG data were analysed using the Fieldtrip software package for Matlab (MATLAB 161 2016a, MATLAB 2017a), and the lme4 package in R [45, 46]. First, we re-referenced the 162 data to linked mastoids. Then we applied a low-pass filter to the continuous data [firws 163 filter from the firfilt plugin, 47, cut-off 45 Hz, two-pass, transition bandwidth 3 Hz]. No 164 high-pass filter was applied. For the time-frequency analysis, we produced a parallel 165 version of the data, that was not filtered during pre-processing. Filtering two-pass as 166 done for the analyses of event-related potentials might smear data back in time, which 167 would be problematic for analyses in the pre-target time window [48, 49]. Filtering 168 the data only in the forward direction, however, leads to phase shifts [47] which we 169 wanted to avoid for the phase angle analyses. 170

Next, we epoched the data around the standard tone onset (-3-6 s), and down-171 sampled to 100 Hz. All data were visually inspected to mark bad channels that were 172 interpolated (1.2 channels per participant on average). Then ICA were computed using 173 the 'runica' algorithm, with the number of output components adjusted by subtracting 174 the number of bad channels. Blinks, muscular artefacts, and unspecific noise occurring 175 temporarily in a channel or trial were excluded, using the semi-automatic inspection 176 of ICA components provided by the SASICA toolbox for fieldtrip [50] and removal of 177 these (on average 33.7 components per participant). 178

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Analyses

Analyses of the behavioural data

To obtain a measure of pitch discrimination sensitivity, we fitted psychometric 188 functions to model participants' responses in the pitch discrimination task, using 189 bayesian inference, implemented in the *Psignifit toolbox* for Matlab [Version 4, 51]. 190 The psychometric function describes the relationship between the stimulus level (on 191 the abscissa, here: the difference in pitch between the target and the respective 192 standard tone) and the participant's answer (on the ordinate, here: proportion of 193 trials on which the target pitch was judged as higher). To accommodate the different 194 standard tones per condition, and the individual pitch steps obtained during the 195 training, we normed the discrete pitch differences per participant and condition to 196 range between -1 and 1, with 0 being the pitch of the standard tone. 197

To select the options for the psychometric function (logistic versus cumulative 198 normal function, number of free parameters), we assessed deviance pooled for both 199 conditions. Deviance reflects a monotonic transformation of the log-likelihood-ratio 200 between the fitted model and the saturated model (a model with no residual error), 201 allowing for an absolute interpretation, or a comparison between different models 202 [52]. The best fits (i.e. lowest deviance, 3.80 for the best model) were obtained by 203 fitting a cumulative normal function with four free parameters: threshold, slope, guess 204 rate, lapse rate. 205

For a yes-no-task as the one used here, threshold indicates the stimulus level 206 at which a participant is as likely to judge the stimulus as 'low' or 'high'. Divergence 207 from the actual midpoint of all stimulus levels (here: 0) can be thus be interpreted 208 as a response bias. Slope reflects the amount of stimulus change needed to increase 209 the proportion of responding 'high', and can be interpreted as the sensitivity of the 210 listener. The guess rate indicates the proportion of answering 'high' for the lowest 211 pitches in the tested range, and the lapse rate the proportion of answering 'low' for the 212 highest pitches, that is they reflect the errors made by the listener at different target 213 tone frequencies. 214

We used Psignifit's default priors for the threshold, slope, guess, and lapse-rates, based on the given stimulus range [51, p.109]. Psignifit's version 4 fits a beta-binomial model (instead of a binomial model), which assumes that the probability for a given proportion of answers is itself a random variable, drawn from a beta distribution. This has been shown to provide better fits for overdispersed data, that is data in which answer probabilities over blocks and trials are not independent as assumed by the conventional model.

We fitted psychometric functions to each individual's data separately per condition222and compared the resulting parameters between conditions (threshold, slope, guess-223and lapse rates) using two-sided t-tests. Additionally, we calculated Bayes Factors for224all statistical tests, using the Bayes Factors package for Matlab [53].225

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> Additionally, we computed a logistic regression on the single-trial responses of the pitch-discrimination task, to parallel the analysis of delta phase angles performed for the EEG (see below). Pitch difference and condition were used as interacting fixed effects (with random intercepts and random slopes for both predictors and their interaction), using the lme4 package in R [function *glmer*, 45] with a binomial link function.

Event-related potentials

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We examined the time-domain data with respect to responses evoked by standard 233 and target tones, contrasting the predictive and non-predictive condition. For the 234 standard-evoked response, we detrended the data based on the whole epoch and 235 applied baseline correction from -0.1-0 s pre-standard. We only examined the time-236 window between standard onset and 0.5 s after, because this was the maximal interval 237 in which no target events occurred (earliest target onset was 0.5 s in the non-predictive 238 condition). For the target-evoked response, we first applied detrending and the same 239 pre-standard baseline to standard-locked epochs, and then re-epoched to the target 240 event. We examined the time interval from -0.5-0.5 s around the target event. We 241 averaged over trials within participants and condition, and then over participants, to 242 obtain the average event-related potential (ERP, depicted in Figure 2). 243

To test for statistically significant differences in the time-domain data, we applied 244 cluster permutation tests on two levels. First, we contrasted trials from the non-245 predictive and predictive condition within each participant using independent samples 246 regression implemented in FieldTrip (ft_timelockstatistics). This resulted in regression 247 coefficients (betas) for each time-electrode data point for the ERPs. Next, the group-248 level analysis was performed with a dependent samples t-test to contrast the betas 249 from the subject-level analysis against zero. A permutation test (5000 Monte Carlo 250 random iterations) was performed with cluster-based control of type I error at a level 251 of α =0.05 as implemented in FieldTrip. The condition assignment (i.e. whether the 252 predictive condition was presented at the low or high pitch tones) was added as a 253 control variable. This analysis resulted in time-electrode clusters exhibiting significant 254 condition differences in the ERPs. 255

Time-frequency representations

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Time-frequency representations were computed for epochs time-locked to the stan-257 dard tones, separately for the predictive and non-predictive condition. We performed 258 this analysis on trials with foreperiods equal or longer then 1.75 s only to avoid evoked 259 activity from target onsets occurring early in the non-predictive condition. We matched 260 the smaller number of trials available from the non-predictive condition, by randomly 261 sampling the same number of trials from the predictive condition. To obtain stable 262 results, we repeated the random sampling 50 times and averaged over the resulting 263 time-frequency representations. Additionally, we ruled out potential back-smearing 264 of evoked activity related to target-onset by replacing all data points after 1.75 s by 265 the value at this time point for the respective trial and channel before performing the 266 time-frequency transformation. 267

Data were transformed to time-frequency representations for frequencies ranging $_{268}$ from 0.5 to 34.5 Hz (linear steps, 1 Hz) and time points between -0.5-2.5 s, using $_{269}$ convolution with a single adaptive Hanning taper with frequency-dependent time $_{270}$

windows (increasing linearly from 2 to 4 cycles per frequency). To provide sufficiently long data epochs for the lowest frequencies, we appended the epochs (-3–6 s, time locked to the standard tone) with their inverted and right-left flipped version to the left and right before applying the time-frequency transform.

Delta phase angle analyses

A timing mechanism that predicts the onset of the target tone would have to start tim-288 ing at the standard tone which serves as a temporal cue. Therefore, we examined the 289 data for any signatures of such a mechanism in the phase of the delta band (see Figure 290 4B for a schematic depiction). To not confound target evoked activity with pre-target 291 activity, we used the same version of the data as for the time-frequency transforma-292 tions described above, to which no filters had been applied during preprocessing. 293 Target-onset ERPs were muted (as described above) from the time point of target 294 onset on each trial (1.75 s in the predictive condition and 0.5-3 s in the non-predictive 295 condition). To reduce the dimensionality of the data, and to focus our analysis on 296 auditory activity, we computed a weighted average of single electrodes at each time 297 point. The weights reflected each participant's N1-peak topography, computed as the 298 average absolute value per channel in the time interval from 0.14–0.18 s following the 299 standard (see topography shown in Figure 4B). We then multiplied the time-domain 300 data at all latencies and channels with these weights and averaged over channels, 301 resulting in one virtual channel. 302

We applied a band-pass filter to the data (3rd order Butterworth, two-pass), with 303 cut-off frequencies of 1 and 3 Hz for the delta band. After filtering, we applied the 304 Hilbert transform and extracted phase angles as the imaginary value of the complex 305 fourier spectrum averaged over latencies from 0.14–0.18 s, the peak latency of the 306 N1. We chose the peak of the N1 as the window of interest, as the time point at which 307 we measure the first reaction to the standard tone, possibly reflecting a phase reset 308 of ongoing oscillations. Note that we did not choose the later time window in which 309 the difference in the standard-evoked ERP significantly differed between conditions to 310 avoid biasing our analysis for a between-condition effect. 311

1, range -1-1, a.u.), and (2) the standard-evoked phase angle extracted as described above (φ), plus their interaction.

The predictors of the logistic regression can be interpreted following the logic of 319 the psychometric function [54], which models a behavioural measure (on the ordinate) 320 based on variations of a stimulus feature (on the abscissa), and is described by two 321 main parameters: threshold and slope. A threshold effect, that is a horizontal shift 322 of the psychometric function, would be reflected by a main effect of the predictor 323 φ . A slope effect, reflecting a shift in the steepness of the psychometric function, 324 would result in an interaction between the predictors Δ pitch and φ . Here, we were 325 particularly interested in a slope effect, that is an interaction between the predictors 326 pitch and phase angle. Due to computational constraints, we only specified a random 327 intercept, but no random slopes for the predictors. 328

To account for the circularity of the phase angles, we followed an approach previously described by Wyart et al. [55] (see also [16, 41]) of using the sine and cosine of the phase angles jointly as linear predictors in a regression. For both, the $sin(\varphi)$ and $cos(\varphi)$, we specified an interaction with Δ pitch: 332

$$y = \beta_0 + \beta_1 \cdot (\Delta pitch \cdot sin(\varphi)) + \beta_2 \cdot (\Delta pitch \cdot cos(\varphi))$$
(1)

Then, we recombined the regression weights obtained for the interactions of $sin(\varphi)$ and $cos(\varphi)$ with Δ pitch: ³³³

$$\beta_{combined} = \sqrt{\beta_1^2 + \beta_2^2} \tag{2}$$

The resulting $\beta_{combined}$ is always positive and can thus not be tested against zero. We computed a reference distribution of $\beta_{combined}$ based on 1000 permutations, by permuting, per participant, the response values over trials, recomputed the model and retained the $\beta_{combined}$. To assess significance of the interaction between pitch and phase angle, we assessed 99% one-sided confidence intervals, and computed p-values from the permutation distribution [following Ref. 56]: 335

$$p_{perm} = \frac{N(\beta perm_{combined} > \beta_{combined}) + 1}{N(perm) + 1}$$
(3)

To visualize the modulation of pitch discrimination sensitivity over phase angles, we predicted responses from the logistic regression model [using the R package *emmeans*, 57], for a range of $\Delta pitch$, $sin(\varphi)$, and $cos(\varphi)$ values, and plotted the resulting values for the recombined and binned φ (shown in Figure 4C). 341 342 343 344

We additionally computed the phase analysis on data filtered for the low delta (0.5–2 Hz), theta (4–7 Hz), alpha (8–12 Hz), and beta (15–30 Hz) frequency bands and tested the resulting $\beta_{combined}$ for significance using the permutation approach (Figure 4D). P-values were Bonferroni-corrected (accounting for five tests with a p-value threshold of 0.05, one for each frequency band), resulting in an adjusted alpha level of 0.01.

Furthermore, we assessed the time-course of the regression weights per condition by independently computing the model (eq. 1) for each time point from -0.1 to 2 s bioRxiv preprint doi: https://doi.org/10.1101/410274; this version posted May 13, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY-ND 4.0 International license.

> and for each of the two conditions separately (Figure 4F). Here, we did not mute the time-domain data at target onset, since the model was computed separately per condition. To test for significance, we applied the permutation approach described above, using 200 permutations only (due to the time-consuming procedure). Finally, to test for condition differences, we computed the time-resolved logistic regression for both conditions jointly and added the factor condition to the above-described model to test for a three-way interaction.

Distinguishing oscillatory from aperiodic activity

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To assess whether the activity observed in the delta band is truly oscillatory, rather 361 than reflecting aperiodic 1/f activity we applied irregular resampling [IRASA; 58; see 362 also 39, 59]. This technique consists in downsampling the data at pairwise non-363 integer values and computing the geometric mean of the resulting power spectra. The 364 resampling leaves the 1/f activity intact but removes narrow-band oscillatory activity. 365 We applied IRASA to the trial-wise data time-locked to the standard tone (-3 to 6 s), to 366 the trial-averaged data per participant (ERP), and to 9 s of simulated data with a brown 367 noise spectrum (see Figure 5A), as well as to single trial data from a 3 s snippet during 368 the inter-trial interval (see Figure 5B). Power spectral density (PSD) was computed in 369 sliding windows of 3 s in 0.25 s steps, using fast a Fourier transform tapered with a 370 Hanning window for a frequency range of 0.33 – 25 Hz, without detrending, and the 371 default resampling parameter (1.1 to 1.9, 0.05 increment). The PSD was normalized by 372 dividing all values by the maximum value of the respective total PSD (trial data, ERP, 373 and simulated data). 374

Results

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Temporal predictability improves pitch discrimination

On average, participants' responses were correct in 86% percent of trials. Using the 377 full sample of 49 participants, we found that accuracy was significantly higher in the 378 predictive compared to the non-predictive condition (T(48)=3.77, p<0.001, BF = 89.6); 379 Figure 1B). We found a marginally significant increase in accuracy at the intermediate 380 foreperiod for the predictive compared to the non-predictive condition (T(48)=1.8, p =381 0.07, BF = 0.93); Figure 1B), suggesting that the performance improvement occurred 382 not only at unexpectedly early or late foreperiods, but reflects a difference between 383 conditions. 384

We furthermore analysed response times between conditions and over foreperiods. Response times were faster in the predictive (average 0.85 s), compared to the non-predictive condition (0.92 s), by about 70 ms (T(48)=8.3, p < 0.001, BF = 1¹⁰). As shown in Figure 1B, the difference is strongly driven by slower responses at early foreperiods in the non-predictive condition, but there was still a significant difference between the response times at the intermediate foreperiod only (T(48)=2.10, p = 0.04, BF = 1.47).

For the psychometric functions (depicted in Figure 1C), we observed a steeper slope in the predictive compared to the non-predictive condition (T(48)=3.85, p<0.001, Bayes Factor (BF)=114.3); Figure 1D), but no threshold effect (T(48)=1.05, p = 0.30, BF = 394)

0.35); Figure 1E), nor effects on the guess rate (p = 0.48, BF = 0.27) or lapse rate (p = $_{395}$ 0.44, BF = 0.28).

To test whether the slope effect might be driven by shorter or longer foreperiods only, we computed psychometric functions on the trials with intermediate foreperiods (1.25–1.5 s in the behavioral sample, 1.33 – 2.17 s in the EEG sample; see Figure S1). We found a smaller but significant slope effect between conditions (T(48)= 2.73; p<0.01; 400 BF = 5.46) showing that the slope difference was not solely driven by the shortest or longest foreperiods. 402

All of the above results held, albeit somewhat weaker, when analysing only data from participants for whom we had recorded EEG: Predictability resulted in marginally higher accuracy, (T(25)=1.82, p = 0.08, BF = 1.07), significantly larger PMF slopes (T(25)=2.60, p = 0.02, BF = 4.04), and no effects for the threshold, guess, and lapse rate (all p > 0.18, BF: 0.43, 0.61, 0.29, respectively).

To parallel the analysis of delta phase angles reported below, we also computed a logistic regression for the behavioural data, for the participants from the EEG sample only, with the predictors pitch difference (Δ pitch), condition, and their interaction (plus random effects for all three). The analysis confirms the results described above, namely a significant main effect for Δ pitch (p < 0.001), no main effect for condition (p = 0.9), but an interaction between Δ pitch and condition (p < 0.01), that is, a slope effect (see Figure 4A).

Finally, we assessed to what extend the predictability manipulation had been 415 noticed by participants. During debriefing, no participant spontaneously reported 416 to have noticed the manipulation of temporal predictability. Four participants from 417 the behavioral and eight participants from the EEG sample said they had noticed the 418 manipulation *after* the experimenter explained the it. 16 (70%) of the behavioral and 419 17 (65%) of the EEG participants guessed correctly whether the high or low tones 420 were temporally predictive in their case. Neither the participants who recognized 421 the manipulation once it was explained, nor the ones who guessed correctly which 422 tones were temporally predictive in their case showed a larger behavioral slope dif-423 ference than the other ones (one-tailed Wilcoxon signed rank test, p = 0.88, p = 0.94, 474 respectively). This suggests that the fact that participants were able to recognize the 425 manipulation once it was explained did not reflect active engagement in timing during 426 the experiment. 427

Temporal predictability affects both, standard- and target-evoked 428 event-related potentials: 429

Standard-evoked activity: Event-related potentials were examined time-locked to 430 the standard-tone (Figure 2A). Both conditions showed a negative deflection between 431 0.1–0.2 s after the standard onset, with a peak at 0.16 s and a fronto-central topography. 432 We refer to this component as the standard-evoked N1. We observed a significant 433 difference between conditions in the time window of the late N1/ early P2 component, 434 where amplitude was more negative for standards that were temporally predictive 435 of the onset of the target (predictive condition; 0.21-0.26 s, p = 0.02). This difference 436 is important in that it shows that standard tones were processed differently if they 437 served as a temporal cue for the target onset versus did not serve as a temporal cue. 438 The latency and topography of the standard-evoked N1 (not the time-range in which 439 the difference was found which was slightly later) was used for the analysis of phase 440 angles described below. When directly comparing the ERPs evoked by the 550 versus 441

950 Hz standards (randomly assigned to the predictive and non-predictive condition over participants), there was no statistically significant difference in the early time window following the standard tone.

p = 0.02| N=24 Difference Δ -NP 3 non-predictive 4 predictive standard standard -1 -0.2 0 0.5 -0.2 0 0.5 time [s] time [s] B ERP (Fz), time-locked to target tone p = 0.02p < 0.01 p = 0.026 Z non-predictive Difference predictive P-NP -1 -6 target target hort FP intermediate long FP -0.5 0 0.2 -0.5 0 0.5 time [s] time [s]

A ERP (Fz), time-locked to standard tone

Fig 2. Event-related potentials. A. ERPs time-locked to the standard tone: Left: The predictive condition (green line) evoked a more negative N1 than the nonpredictive condition (blue line). The fine blue and green lines depict single participants' ERPs. The inset shows the topographies in the time windows of 0.1–0.2 s and 0.2–0.3 s for both conditions separately. Right: condition difference. The grey shades indicates the two-sided 95% confidence interval, estimated from the t-distribution. The cyan shade marks the time points at which a significant condition difference occurred, and the topography shows the scalp distribution of the activity during these time windows. Channels at which the difference was significant are marked in black. B. ERPs time-locked to the target tone: Left: The predictive condition (green line) evoked an earlier N1 than the non-predictive condition (blue line). The upper inset shows the topographies in the time windows of 0.1–0.2 s and 0.2–0.3 s for both conditions separately. The lower inset exemplary depicts the target-evoked ERP for the 20% longest, intermediate, and 20% shortest foreperiods. Right: condition difference. The cyan and pink shades mark the time points at which a significant condition difference occurred, and the topographies show the scalp distributions of the activity during these time windows.

Target-evoked activity: Event-related potentials time-locked to the target-tone (Figure 2B) also showed a negative deflection between 0.1–0.2 s after the target onset, 446

with a fronto-central topography. We refer to this component as the target-evoked N1. For targets in the predictive condition, the N1 was larger (0.09–0.14 s, p = 0.02). Importantly, the difference is not solely due to the onset time of the target (see inset in Figure 3B), which would be reflected by a difference only for long or short foreperiods in the non-predictive condition.

To test for an apparent latency shift in the N1 between the non-predictive and predictive conditions, we computed the half-area measurement [60], which indexes the time-point at which half the area of a deflection has been reached. Compared to peak-latencies, this measure accounts better for asymmetric deflections. We found a significantly earlier N1-latency for the predictive, compared to the non-predictive condition (Cz, 0.13 s versus 0.15 s; T(23)=3.03, p < 0.01). 452

Furthermore, there was an amplitude difference at a later positive prolonged component, which was positive at posterior and negative at frontal electrodes (0.20– 0.45 s, p<0.01; 0.28–0.36 s, p = 0.02). For reasons of visualization, the inset in Figure 2B (manuscript, p.13) depicts only the 20% longest and 20% shortest foreperiods, while when depicting all five bins (see Figure S2), the picture looks more gradual in that the second-longest bin has a somewhat larger P2 component then the intermediate foreperiod bin. 460 461 462 463

When computing the analysis using only trials with foreperiods \geq 1.75 s (and 465 equating the number of trials in the predictive condition for a fair comparison), the 466 early cluster and the later frontal clusters remained (0.09-0.14 s, p = 0.04; 0.25-0.37 s,467 p = 0.008, marked in light blue in Figure 2B, right panel). When running the same 468 analysis on the trials \leq 1.75 s, we again found the early cluster (0.08–0.14 s, p = 0.01), 469 and the later posterior cluster (0.16–0.49 s, p<0.001, marked in pink in Figure 2B). 470 These findings show that the early difference was not driven by the shorter or longer 471 foreperiods separately, but resulted from temporal predictability per se. The positive 472 difference at posterior channels (cluster marked in pink in Figure 2B), however, was 473 driven by the short foreperiod trials, and the negative difference at frontal channels 474 (cluster marked in light blue in Figure 2B) was driven by the long foreperiod trials. 475

No condition differences in delta (1–3 Hz) power or ITC during the foreperiod 477

We assessed power in a frequency range between 0.5–34.5 Hz for the predictive and non-predictive conditions (see Figure 3A), time-locked to standard onset. Both conditions showed an increase in power in the delta-range (1–3 Hz, Figure 3B) after standard onset, and a prolonged increase in the alpha-range (8–12 Hz) relative to baseline. We found no statistically significant power differences between conditions differences at the cluster level (see Figure 3C).

ITC across the 1–10 Hz range did show the expected increase following the 181 standard tone, ranging from 1–10 Hz, and a prolonged increase in the delta band in 485 both conditions (Figure 3C). However, when comparing inter-trial phase coherence 486 (ITC) for all frequencies between conditions, no significant differences were observed. 487 A hypothesis-driven cluster test restricted to the delta frequency band (1–3 Hz) revealed 488 a non-significant cluster of enhanced delta ITC (Figure S3; 0.85–1.1 s, 1.5–2.5 Hz, p = 489 0.19). This shows that there delta ITC increased nominally, albeit not significantly in the 490 predictive condition, but likely the effect is too weak either because of signal processing 491 constraints (muting of target-evoked activity), or the absence of an entraining rhythm. 492 bioRxiv preprint doi: https://doi.org/10.1101/410274; this version posted May 13, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY-ND 4.0 International license.

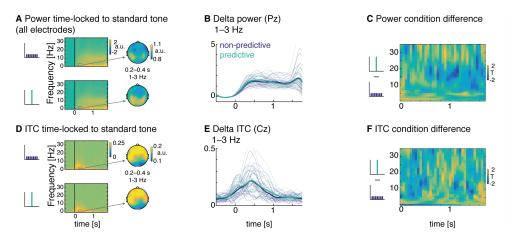


Fig 3. Time-frequency representations. A. Power, time-locked to standardonset. Power estimates were baseline-corrected to the pre-standard interval and display relative change. Top panel: non-predictive condition, bottom panel: predictive condition. The topographies show the power scalp distributions in the interval from 0.2–0.4 s for frequencies from 1–3 Hz. **B. Delta power (1–3 Hz) over time** for the non-predictive (blue) and predictive conditions (green). Fine lines depict single participants' power values. **C. Power-difference between conditions (T-values).** No significant condition differences were found. **D. Inter-trial phase coherence (ITC), time-locked to standard-onset.** Top panel: non-predictive condition, bottom panel: predictive condition. The topographies show the ITC scalp distributions in the interval from 0.2–0.4 s for frequencies from 1–3 Hz. **E. Delta ITC (1–3 Hz) over time** for the non-predictive (blue) and predictive conditions (green). Fine lines depict single participants' ITC values. **F. ITC-difference between conditions (T-values).** No significant condition differences were found.

Standard-evoked delta phase angle predicts pitch discrimination 493 sensitivity 494

To test whether delta oscillations play a role in temporally predictive processing in this study, we tested for a relation between delta phase angles and pitch discrimination performance using a logistic regression approach (see Figure 4B for a schematic depiction). A timing mechanism that predicts the onset of the target tone would have to start timing at the standard tone, which acts as a temporal cue, which is why we were particularly interested in this time window. Such a mechanism could possibly be implemented via a phase reset of an ongoing delta oscillation. 501

Phase angles in the post-standard time window (0.14–0.18 s) were extracted by 502 applying the Hilbert transform to band-pass filtered (1–3 Hz) single trial data with one 503 virtual channel (see Methods for details) representing the sum of all channels weighted 504 by the N1-topography. We subjected the phase angles (as their sine and cosine) to 505 a logistic regression with two numerical predictors, the normalized pitch difference 506 between standard and target tone, and the standard-evoked phase angle, plus their 507 interaction. To assess significance of the interaction effect, we used a permutation 508 approach. We found a significant interaction between pitch and phase angle, which 509 indicates that the slope of the psychometric function varied depending on the delta 510 phase angle evoked by the standard tone (Figure 4 C). The interaction effect was 511 significant only for the delta band (1–3 Hz), but not for other frequency bands tested 512 (0.5–2 Hz; 4–7 Hz; 8–12 Hz; 15–30 Hz; Figure 4 D). Note that this procedure was performed on all trials, without separation into conditions, and thus is generally valid, both for trials on which the standard served as a temporal cue and trials for which it did not. 516

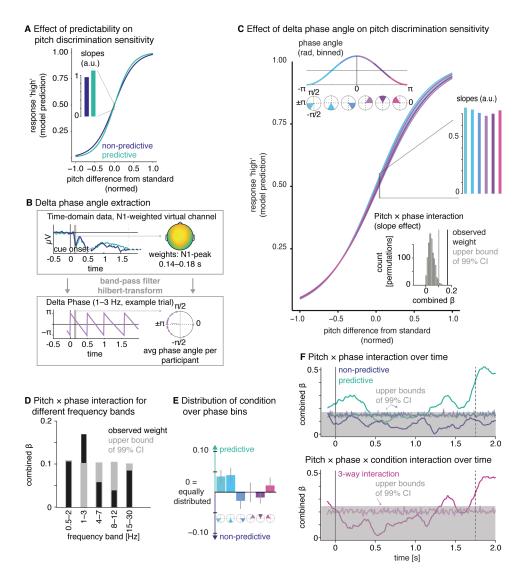


Fig 4. Delta phase angle predicts pitch discrimination sensitivity. A. Replication of the behavioural effect (s. Figure 1) with a logistic regression approach. Model predictions from the logistic regression with the predictors pitch (abscissa) and condition (colors). As illustrated by the bar-plot, there was a slope difference between conditions (i.e. an interaction between pitch and condition), with steeper slopes for the predictive condition. **B. Schematic depiction of the delta phase angle analysis.** We extracted the time domain data from single trials, from one virtual channel that reflects the weighted sum of the standard-evoked N1 topography (computed in the interval from 0.14–0.18 s), band-pass filtered (1–3 Hz) and applied the Hilbert transform, to extract the instantaneous phase angles in the time-window of 0.14–0.18 s (the N1-peak).

Fig 4. (Continued from previous page.) C. Effect of delta phase angle on pitch **discrimination sensitivity:** Model predictions from the logistic regression model with the predictors pitch (abscissa) and phase angle (colors, binned only for visual display). There was a significant interaction between pitch and phase, that is the slopes of the psychometric functions differed depending on delta phase angle (depicted in the bar plot). Note that this analysis was performed on all trials, without separation into conditions. The inset on the bottom right side shows the observed interaction weight (in black) compared to a permutation distribution and its 99% confidence interval (in grey). D. Pitch \times phase interaction and confidence intervals for different frequency bands. The grey bar shows the 99% confidence interval, the black bar the observed weight. Only for the delta band (1-3 Hz) the observed weight significantly exceeded the permuted weights. E. Distribution of conditions over phase angles. Conditions were coded as -1 for the non-predictive and 1 for the predictive condition, therefore an equal distribution of conditions over phase angle bins should result in an average condition (colored bars) of 0, which was not the case. Instead, more trials from the predictive condition occurred at the phase angles that were related to a steeper slope of the psychometric function (panel C). **F. Upper panel: Pitch** \times phase interaction over time, separated by condition. The thick lines indicate the regression weights for the interaction over time for the predictive (green) and nonpredictive condition (blue), the thin lines and grey shade indicate the 99% confidence interval computed with the permutation approach. Lower panel: Condition \times pitch imes **phase interaction over time.** The three-way interaction was significant only in the pre-target time window, indicating that only in the predictive condition delta phase angles predicted pitch discrimination performance during this time.

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Next, we tested whether the interaction between delta phase angle and pitch discrimi-519 nation sensitivity was specifically driven by our manipulation of temporal predictability. 520 We examined the regression weight for the interaction at different time points over the 521 trial, and independently for the predictive and non-predictive conditions. This analysis 522 (Figure 4F, upper panel) showed that the interaction effect between delta phase angle 523 and the slope of the psychometric function was was significant (i.e. exceeded the 99% 524 confidence interval of the permutation distribution) only for the predictive condition, 525 and occurred at two time points: after the standard tone (around 0–0.4 s), and prior to 526 target onset (around 1.1–1.4 s). We therefore conclude that the interaction effect was 527 mainly driven by the predictive condition. 528

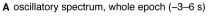
The three-way interaction between condition, delta phase angle, and pitch discrimination sensitivity was significant only in the later time window (Figure 4F, lower panel). A supplementary analysis testing the effect of different foreperiods (target onset times) on delta phase angles in the non-predictive condition (Figure S4), confirmed that phase angles in the time ranges in which we observed the above-described effects were not affected by the different target offsets in the non-predictive condition. 534

We also assessed the relationship between phase angle (binned into 6 bins for this purpose) and condition (indexed as -1 for the non-predictive and 1 for the predictive condition; Figure 4 E). If the trials would be equally distributed over conditions per phase angle bin, this should result in an average condition of 0 at all phase angles, which was not the case. Instead we found more trials of the predictive condition to occur at the phase angles at which we had found the higher slopes (Figure 4 C), which suggests that phase angles varied between the two conditions. A post-hoc test for a quadratic effect of phase bin on condition (computing a generalized linear model predicting condition from phase bins) yielded only a marginally significant weight for this contrast (p = 0.09). We thus conclude that there is no meaningful phase angle difference between conditions at the population level. 543

546

Additional analyses

Oscillatory versus 1/f activity. To test for the presence of oscillatory activity in 547 the delta band, we subtracted fractal power spectra (obtained using the irregular 548 resampling method [IRASA; 58] from the total power spectra. The results (depicted in 549 Figure 5, see also S5) show that power spectral density (PSD) computed from single 550 trial data was higher in the 1–3 Hz range compared PSD computed on the ERP and 551 simulated data, albeit no clear peaks can be found in the delta range (Figure 5A). If 552 anything, the PSD computed on single trial data has a small peak around 1 Hz, while 553 the PSD of the ERP has two smaller peaks at 3 and 4 Hz. When computing the same 554 analysis on pre-stimulus data (from the ISI, 3 s signals), we observe residual oscillatory 555 activity in the 1–3 Hz range (Figure 5B). While it is difficult to completely separate 556 oscillatory from 1/f activity at slow frequencies – and to our knowledge, no previous 557 study showed a clear oscillatory peak in the PSD in the delta range – our analyses 558 suggest some oscillatory activity in the delta band. 559



B oscillatory spectrum, inter-trial interval (–3–0 s)



Fig 5. Testing for oscillatory activity in the 1–3 Hz range using the irregular resampling method. A: from single trial data (red), trial-averaged data (blue) and simulated brown noise (thick lines: average, fine lines: single participants). The left panel shows the oscillatory activity, obtained by subtracting the fractal PSD from the total PSD. The inset magnifies the delta frequency range from 1–3 Hz, and the shaded areas show 99% confidence intervals computed from a t-distribution. The difference between the red and blue lines shows that single trials contain additional, non-phase locked oscillatory activity in the 1–3 Hz band as compared to the ERP (trial average). **B:** Oscillatory spectrum obtained from resampling the pre-stimulus time window (3 s, taken from the ISI). Note the residual oscillatory activity in the 1–3 Hz range.

Mediation analysis. We also considered mathematically the possibility that delta 560 phase angle in the post-cue time window would mediate the effect of temporal pre-561 dictability on pitch discrimination sensitivity, by comparing the regression weight of 562 the interaction between pitch and temporal predictability estimated from a model 563 with no other predictors (as depicted in 4A), and from a model that additionally con-564 tained an interaction term for pitch and phase angle [61, 62]. The negligible change in 565 weight between both models (0.307 to 0.304) indicates that there is no evidence for a 566 mediation effect. 567

Delta phase versus ERP effect. To distinguish between the ERP effect (found on the 568 N1) and the delta phase effect, we tested whether the N1 amplitude could explain the 569 findings. Computing the same logistic regression model with the N1 amplitude instead 570 of the phase angles as above revealed no significant interaction effect (p = 0.15), i.e. 571 the N1 amplitude does not predict pitch discrimination performance on single trials 572 and can thus not simply replace the delta phase angle. However, the N1 amplitude 573 correlated significantly with the standard-evoked phase-angle at all frequency bands, 574 as assessed by a circular-linear correlation [from the Directional package in R 63]; R²: 575 0.5-2Hz: 0.21, 1-3Hz: 0.27, 4-7Hz: 0.06, 8-12Hz: 0.056, 15-30Hz: 0.004 (all p-values 576 <0.001). 577

Discussion

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In this study, we asked whether human listeners use strictly implicit temporal contin-579 gencies in auditory input to form temporal predictions. If so, how are these predictions 580 represented endogenously? We implicitly manipulated temporal predictability by vary-581 ing the foreperiod (i.e., the interval between standard and target tone) in a pitch 582 discrimination task. Unbeknownst to participants, one of two possible pitches used as 583 the standard tone was indicative to one of two foreperiod distributions, respectively: 584 drawn either from a uniform distribution, under which the onset of the target tone is 585 unpredictable, or from a single-valued distribution under which the onset of the target 586 tone is fully predictable. 587

The data contain several indices that participants did form temporal predictions: 588 an increase in pitch discrimination sensitivity in the predictive condition and condition 589 differences in the evoked response to standard- and target tones. However, contrary 590 to our initial hypothesis, classical time-frequency analyses revealed no differences 591 in power or inter-trial phase coherence in slow oscillatory frequencies. Yet, a direct 592 analysis of delta phase angles shows that the phase of delta oscillations in response to 593 the standard tone and in the pre-target time window is indicative of pitch discrimina-594 tion performance. This is evidence that delta-oscillatory neural phase does encode 595 endogenous temporal predictions. 596

Implicit temporal predictability improves pitch discrimination 597 sensitivity 598

Behaviourally, we observed an increase in pitch discrimination sensitivity in the temporally predictive condition, reflected in a steeper slope of the psychometric function (Figure 1). Even though the absolute difference in behaviour is not large, we observed a robust set of converging effects of temporal predictability on response times, accuracy and slopes (49 participants). These suggests that listeners can implicitly learn to associate interval-based temporal predictions with sensory stimulus features like pitch, underlining the relevance of timing to human cognitive processing.

Importantly, participants were not made aware of the predictability manipulation, and no participant was able to correctly describe it during debriefing. About 25% of participants were able to recognize the manipulation after it was described by the experimenter, but did not show a larger behavioural effect, suggesting they had not actively engaged in timing.

To our knowledge, this is the first study to show that pitch discrimination sensi-611 tivity is improved by implicit but non-rhythmic temporal predictions. In the auditory 612 domain, detection speed and performance are facilitated by rhythmic temporal pre-613 dictability [7, 8, 9, 9, 64], but the use of detection tasks might underline the timing 614 aspects of the task. One previous study ([24]) showed that shorter presentation times 615 (difference of about 6 ms) are needed for to achieve correct pitch discrimination 616 performance, when the target tone occurs with a block of constantly short foreperiods. 617 Complementing these previous findings, we show that implicit temporal predictability 618 improves auditory perceptual processing in absence of an embedding rhythm, or any 619 explicit incentive to engage in timing. 620

Temporal predictions affect neural processing of predictive and predicted tones

Predictive tones (standards).An important indicator for the successful extraction623of temporal predictability is the difference in event-related potentials evoked by
predictive and non-predictive standard tones (Figure 2A). It suggests that participants624learned to associate the pitch of the standard tone to temporal predictability, and
flexibly used the standard as a temporal cue on a trial-by-trial basis.625

Few studies have investigated effects of predictability on the early sensory processing of a cue stimulus itself. In spatial cueing, there is evidence for an effect of predictions on early positive and negative cue-evoked components [100–200 ms post cue; 65, 66, 67]. In the temporal domain, there is, to our knowledge, only one study that showed an N1-effect directly at the cue [in 8–12 years old children; 68]. Our results are in line with this finding and reveal that the cue-evoked N1 in adults is affected even by implicit temporal predictability.

Predicted tones (targets). In response to target tones, we found a larger and faster 635 N1 in the predictive compared to the non-predictive condition, suggesting a facili-636 tation of sensory processing of temporally predict*ed* targets (Figure 2B). This result 637 corroborates a large base of studies reporting mainly amplitude effects of temporal 638 predictability in sensory evoked potentials [69, 70, 71, 72, 73, 74, 75, 76, 77]. The direc-639 tion of amplitude effects varies with the paradigm used [for an extensive discussion 640 see 78] – for probabilistic foreperiod variations as used here, both, reduced [79, 80] 641 and enhanced N1 amplitudes [81] have been reported. 642

The observed latency-shift of the N1 by temporal predictions is in line with one 643 previous study using a manipulation of foreperiods [82], and one study on rhythmic 644 temporal predictability [10]. Further evidence comes from experiments reporting a 645 faster N1 for auditory speech and non-speech events combined with visual events [83, 646 84, 85, 86]. Note that in our study, the predictive information conveyed by the cue 647 was purely temporal, since the pitch of the target tones was unpredictable. In sum, 648 the facilitation of the N1 suggests that temporal predictions alone can enhance early 649 auditory processing. 650

Implementation of temporal prediction through slow neural oscil-651 lations

652

A central aim of this study was to assess the role of slow neural oscillations for an 653 endogenous representation of temporal predictions. Previous studies convincingly 654 established a mechanism of facilitation of sensory processing via phase alignment 655 of delta oscillations for stimuli that occur during the preferred phase, i.e. in syn-656 chrony with the preceding rhythm [16, 32, 33, 64, 87]. An open question is however, 657 whether the alignment of slow neural oscillations towards predicted stimulus onsets 658 is contingent on rhythmic entrainment to the exogenous stimulation, or whether 659 slow oscillations also implement endogenous temporal predictions, for example via 660 single-trial phase-resets. 661

We found no robust condition differences in oscillatory power or phase using 662 classical time-frequency analyses (see Figure 3). The absence of condition differences 663 in phase coherence during the foreperiod (Figure 3F) replicates our previous results [6] 664 and suggests that enhanced phase coherence [5, 35] depends on dedicated or resid-665 ual periodicity in the stimulation [89], and/or overt engagement in timing [11]. As 666 a side note, it is important to emphasize the methodological challenge of analysing 667 low frequency oscillations in the pre-target window. The probabilistic manipulation 668 of foreperiods as applied here results in differential time-locking of target activity 669 between conditions, and our conservative approach of removing this activity might 670 have weakened existing pre-target differences through back-smearing of the muted 671 activity. Here, a nominal increase in delta phase coherence was found in the predic-672 tive condition (Figure S3), but failed to pass the threshold for statistical significance, 673 suggesting that a phase coherence effect is not fully absent in non-rhythmic temporal 674 predictions, but not strong enough to be measured with the available techniques. Thus, 675 the representation of temporal predictions by enhanced phase coherence – or at least 676 our ability to measure it in human EEG – is likely contingent on rhythmic stimulation. 677

Crucially, we found that the absolute phase angle of the delta oscillation in 678 auditory areas shortly after the temporal cue predicted behavioural sensitivity in 679 response to the later-occurring target tone (see Figure 4C). The effect was observed 680 for data spatially filtered with a topography relevant for auditory stimulus processing 681 (from the N1), suggesting auditory cortex as the most likely generator. Furthermore, 682 the effect was specific for the delta band (1–3 Hz) with the highest sensitivity occurring 683 at phase angles closest to the trough of the delta oscillation $(\pm \pi)$ at the cue and about 684 1.4 s post-cue (average period of 0.5 s). Albeit interpreting the absolute phase angle 685 from EEG data demands caution, this corroborates the idea that the trough of the 686 delta oscillation is a particularly beneficial state for auditory perception [39, 90]. 687

This relationship between delta phase and behavioural sensitivity held across 688 all trials, regardless of their experimental condition. However, a follow-up analyses 689 per condition found this relationship between delta phase angle in the post-cue time 690 window and behavioural sensitivity to occur only in the predictive condition (Figure 4F, 691 upper panel). 692

To test whether the relationship between delta phase and behavioural sensitivity 693 differed statistically between conditions, we computed the three-way interaction 694 between pitch, delta phase angle, and condition (4F, lower panel), which proved 695 significant only during the pre-target time window. Presumably, low statistical power 696 for this particular analysis prevented us from confirming the condition difference in the 697 post-cue time window, which is apparent when analysing both conditions separately 698 (4F, upper panel). Tentatively interpreted, this finding suggests that delta phase in 699 the post-cue time window affects behavioural sensitivity in both conditions, while the effect found in the pre-target time window is specific to the predictive condition only. 701

An important question is to what respect the observed phase effect reflects truly oscillatory activity, rather than a modulation of the evoked response to the standard or target tones. Admittedly, temporal smearing occurs due to the long analysis windows needed to capture slow oscillations. Importantly, the contingency between delta phase angle and auditory sensitivity re-occurs in the pre-target time window at around 1.4 s and does not rise monotonically into the post-target window. Therefore, it is highly unlikely the effect resulted from back-smearing of target-evoked activity. 702 703 704 705 706 707 708

The observed phase effect is specific to the frequency range identified by the 709 above-cited studies, rather than resulting from broad-band activity – as one would 710 have expected from a purely evoked effect. The effect is strongest in the 1–3 Hz 711 range, and not at the frequencies that would reflect the stimulation (0.57 Hz for the 712 intermediate foreperiod of 1.75 s), which is in line with a study that showed selective 713 entrainment at 1.33 Hz despite stimulation at 0.67 Hz [91]. These findings align with 714 the assumption that auditory processing fluctuates with the phase of delta oscillations 715 in the absence of evoked activity [11, 38, 39]. 716

Not least, additional spectral analyses suggest some oscillatory activity in the delta band after subtracting the 1/f spectrum, which is not explained by the ERP (see Figure 5 and S5 for comparison of the spectra). We further showed that the N1 amplitude itself does not show the critical relationship with behavioural sensitivity, although the two measures correlate, arguing for a more specific role of delta oscillations in temporal prediction. In fact, the ERP might at least partially result from a reset of ongoing neural dynamics by the onset of a stimulus [92].

Taken together, these findings speak towards a dedicated mechanism that724exploits temporal predictability in the auditory domain via a phase shift of auditory-725cortical delta oscillations.726

Clearly, this per se is not proof of a *causal* chain from temporal predictability 727 *via* optimized phase angle of delta oscillations to increased auditory sensitivity. While 728 not state of the art in neuroscience, our analysis did fail to establish hard statistical evi-729 dence for such a mediation effect. Possibly, different steps necessary to accommodate 730 the complexity of our data in the model (dealing with the circular measure of phase 731 angle and assessing an interaction effect as a measure of behavioural sensitivity), 732 and the small proportion of variance explained by the experimental manipulation (a 733 common problem in cognitive neuroscience) might have prevented us from observing 734 a mediation effect [but see 93, for a successful example]. 735

As an alternative explanation, it is conceivable that the activity we observe reflects the extraction of temporal predictions from the temporal cue, but that another process is responsible for maintaining this prediction throughout the foreperiod interval to alert the system when it it is time to expect the target stimulus. For instance, this could be achieved via top-down projections from auditory areas towards thalamic and thalamostriatal pathways described as crucial for auditory timing [29, 94], converging with an instrumental role of the striatum in explicit timing [95].

In sum, our findings underline the relevance and specificity of delta oscillations for an endogenous representation of temporal predictions. The adjustment of phase angles at the cue can be seen as the initiation of a timing process, which prepares the system to be in a beneficial state at an anticipated time point, resulting in an optimized delta phase angle prior to target onset. 747

Conclusions

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Human listeners do use strictly implicit temporal contingencies to better perform in 749 a sensory task for which timing is not an explicit requirement. Here, we assessed 750 how temporal predictions are implemented in neural dynamics by combining psy-751 chophysics and EEG data. We found endogenous temporal predictions for audition 752 to be reflected in the phase of delta oscillations, likely via an optimized phase reset 753 of delta oscillations in auditory areas evoked by a temporal cue. These results point 754 towards an instrumental role of delta oscillations in initiating temporal predictions, 755 even in the absence of an entraining rhythm. 756 bioRxiv preprint doi: https://doi.org/10.1101/410274; this version posted May 13, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY-ND 4.0 International license.

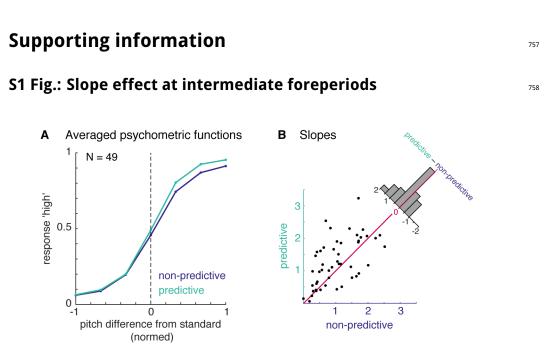


Fig. S 1. Slope effect at intermediate foreperiods. A. Psychometric curves, fitted only at a small range of intermediate foreperiods. **B**. Slopes for the predictive and non-predictive conditions at intermediate foreperiods only. This additional analysis was performed to rule out the possibility that the slope effect was solely driven by the shortest and longest foreperiods in the non-predictive condition.

S2 Fig.: Target-evoked ERP by foreperiod

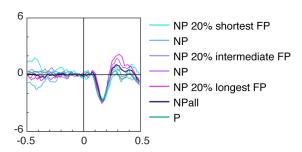


Fig. S 2. Target-evoked ERP by foreperiod. Target-evoked ERPs for the predictive (green) and non-predictive (dark blue) condition. The trials for the non-predictive condition were split into five foreperiod bins from the 20% shortest to the 20% longest foreperiods (cyan to pink).

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S3 Fig.: Hypotheses-driven test for condition-differences in delta 760 ITC 761

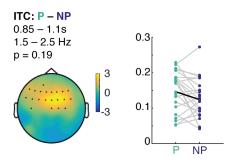


Fig. S 3. Hypothesis-driven cluster-test for a condition difference in delta ITC We did not observe any statistically significant differences in delta ITC during the foreperiod, but a hypothesis-driven test restricted to the delta band showed a cluster that failed to pass the threshold for significance. This shows that there was nominally, albeit not significantly increased delta ITC in the predictive condition, but likely the effect is too weak either because of signal processing constraints, or its contingency on an entraining rhythm.

S4 Fig.: Predicting the foreperiod from phase angles in the nonpredictive condition 762

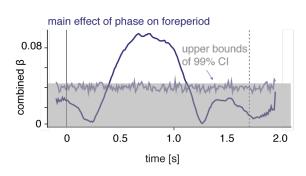
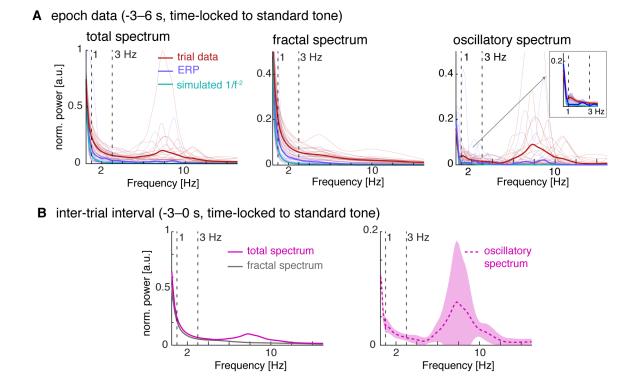
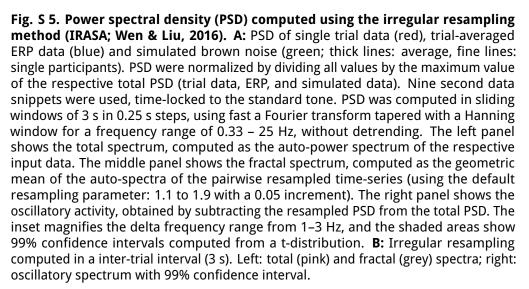


Fig. S 4. Predicting the foreperiod from phase angles. We tested whether delta phase angle time series in the non-predictive condition are affected by the different target onset times (i.e. foreperiods). To this end, we computed a linear mixed effect model, prediction foreperiod by phase angles, separately at each time point. Phase angles were separated into their sine and cosine and the $\beta_{combined}$ was tested against a permutation distribution (200 samples) for which the assignment between foreperiod and phase angles was randomized. The result shows a relation between phase angles and foreperiods in the time window between 0.5–1 s, but not in the time windows in which the critical effects depicted in Figure 4F were found.









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