

1 **WFD ecological status indicator shows poor**
2 **correlation with flow parameters in a large**
3 **Alpine catchment**

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36 **Summary**

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38 Since the implementation of the Water Framework Directive, the ecological status of
39 European running waters has been evaluated using a set of harmonised ecological
40 indicators that should guide conservation and restoration actions. Among these, the
41 restoration of the natural flow regime (ecological flows) is considered indispensable
42 for the achievement of the good ecological status, and yet the sensitivity of the
43 current biological indicators to hydrologic parameters remains understudied. The
44 Italian Star_ICMi well represents other similar WFD indicators; it is a
45 macroinvertebrate-based multimetric index officially adopted to assess the ecological
46 status of running waters at the national level. Recent legislation has also included the
47 Star_ICMi as one of the indicators used to assess and prescribe ecological flows in
48 river reaches regulated by water abstraction. However, the relationship between river
49 hydrology and the Star_ICMi index is so far virtually unknown. Using data from the
50 Trentino - Alto Adige Alpine region, we first assessed the relationship between the
51 Star_ICMi and synthetic descriptors of the physico-chemical (LIMeco) and
52 morphological (MQI) status of respectively 280 and 184 river reaches. Then, we
53 examined the relation between the Star_ICMi and a set of ecologically-relevant
54 hydrologic parameters derived from discharge time-series measured at 21
55 hydrometric stations, representing both natural and regulated river reaches. Although
56 the Star_ICMi showed significant and linear relationships with the physico-chemical
57 character and, slightly, with the morphological quality of the reaches, its response to
58 flow parameters appeared weak or non-existent when examined with linear models.
59 Mixed quantile regressions allowed the identification of flow parameters that
60 represented limiting factors for macroinvertebrate communities and the associated
61 Star_ICMi scores. In particular, the index showed 'negative floors' where lower
62 values were observed in reaches with large temporal variation in flow magnitude as
63 well as frequent low and high flow events. The modelled quantiles also tracked the
64 transition of the index from acceptable to unacceptable conditions.
65 The results suggest that while the central tendency of the Star_ICMi index is not
66 strongly influenced by river flow character, some key flow parameters represent
67 limiting factors that allow the index to reach its lowest values, eventually 'pushing' the
68 site towards unacceptable ecological conditions. The identification of limiting flow
69 parameters can aid the setting of hydrologic thresholds over which ecological
70 impairment is likely to occur. Overall, however, results imply caution is needed in
71 using biological indicator like the Star_ICMi for the quantitative assessment and
72 design of ecological flows.

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74 **Keywords:** Bioindicators; STAR_ICMi; Water Framework Directive; Ecological flows;
75 Quantile regression

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78 **1. Introduction**

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80 The Water Framework Directive (WFD; European Commission, 2000) is the
81 principal legislative framework concerning the management and protection of
82 European waters. Through the definition of common approaches, the WFD
83 requires Member States to achieve ‘good ecological status’ objectives for
84 water bodies. Among the quality elements guiding the status classification of
85 streams and rivers, the hydrologic regime (the quantity and dynamics of river
86 flow, sensu Poff et al., 1997) is considered central in supporting the biological
87 elements and thus the achievement of good ecological status. Although not
88 explicitly mentioned in the WFD, the concept of ‘ecological flow’ (E-flow) is
89 increasingly considered and implemented in many river basin management
90 plans. Within the EU context, E-flows are defined as “an hydrologic regime
91 consistent with the achievement of the environmental objectives of the WFD
92 in natural surface water bodies”, and specific recommendations on the
93 definition of E-flows and their use in status assessment were also recently
94 provided (Guidance 31 by the European Commission; WFD CIS, 2015). In
95 particular, the Guidance 31 states that the “Ecological impacts of hydrological
96 alterations and their significance should be ultimately assessed with biological
97 indicators built on monitoring data that are specifically sensitive to
98 hydrological alterations”.

99 The use of biological indicators has a long tradition in freshwater ecology
100 where fish and macroinvertebrate based indices are widely used to define the
101 ecological integrity of waterbodies (e.g. De Pauw et al., 2006; Rosenberg and
102 Resh, 1993). In Europe, after the implementation of the WFD, there has been
103 substantial effort to harmonise the different eco-bio-indicators across EU
104 Countries (e.g for macroinvertebrates: Hering et al., 2004; Verdonshot and
105 Moog, 2006). These indicators are used to define the ecological status of
106 running waters and guide conservation and restoration effort.

107 However, although river organisms are clearly influenced by the hydrology,
108 most present bioindicators were developed to emphasise organisms
109 sensitivity to organic pollution and habitat degradation, and hence appear
110 rather insensitive to hydrological alterations (Friberg, 2014; Poff and
111 Zimmerman, 2010). Although some countries developed hydrologically-
112 sensitive indicators based on flow preference of benthic invertebrates (UK:
113 Extence et al., 1999; NZ: Greenwood et al., 2016), these are not yet
114 implemented in the WFD. The implementation of evidence-based E-flows
115 should be based on a sound understanding of the relation between river
116 ecology (e.g. biodiversity) and flow characteristics (flow-ecology relationship:
117 Rosenfeld, 2017; Stewart-Koster et al., 2014), ultimately requiring a
118 fundamental association between water quantity and ecological quality. Yet,
119 more effort has been dedicated internationally towards the definition and
120 modelling of E-flows and water allocation for regulated rivers (e.g. residual
121 flow) compared to the quantification of flow-ecology relationships (Davies et
122 al., 2014; Tonkin et al., 2014). The natural flow paradigm is at the heart of the
123 E-flow concept in that modified flow regimes should incorporate the natural
124 variability in terms of flow magnitude, frequency, duration, timing and rate of
125 change (Poff et al., 1997). Since the publication of the Nature Conservancy's
126 Indicator of Hydrologic Alteration (IHA; Richter et al., 1997), parameters
127 quantifying the different components of the flow regime have been widely
128 used to characterise natural flow regimes and its alterations as well as to
129 identify 'ecologically relevant' hydrological drivers (e.g. Worrall et al., 2014).
130 Similarly, flow-ecology studies quantifying the influence of individual flow
131 parameters on in-stream communities have been flourishing steadily in recent
132 times (Tonkin et al., 2014); however, those that specifically assessed the
133 response of multi-metric indicators such as those adopted by the WFD are
134 scarce (Belmar et al., 2018; Monk et al., 2006; Nebra et al., 2014). This is
135 surprising considering the emphasis given by the WFD on water abstraction,
136 ranked as the second most common pressure on EU water bodies (WFD CIS,
137 2015). Therefore, assessing how current WFD biological indicators respond to
138 the different components of the flow regime is a prerequisite for managing E-
139 flows and developing more specific indicators.

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141 Here we used a framework based on flow-ecology relationship to investigate
142 the performance of a WFD bio-indicator to characterise hydrologic regimes
143 and their alterations. As a case study, we used the macroinvertebrate-based
144 Star_ICMi (Buffagni and Erba, 2007) officially adopted by the Italian legislation
145 as the Biological Quality Element to guide the classification of running waters
146 according to the WFD. The index is based on six normalized and weighted
147 metrics also adopted by other EU Countries (Buffagni et al., 2006), and
148 includes taxonomic richness and diversity, as well as taxa sensitivity to
149 organic pollution. Alongside other purely hydrological and habitat-based eco-
150 hydraulic indicators, the Star_ICMi also represents one of the methods
151 adopted by the Italian law for the determination of E-flows in regulated rivers.
152 However, since its official introduction in Italy, the few available studies have
153 indicated a rather low sensitivity of the Star_ICMi to discharge alterations,
154 especially where these are not coupled with a deterioration of water-quality,
155 as it often occurs in Alpine and perialpine streams affected by hydropower
156 regulation (Laini et al., 2018; Quadroni et al., 2017; Salmaso et al., 2018).
157 Recently, some critical issues in using the STAR_ICMi to determine E-flows
158 have been raised based mainly on the apparent lack of a direct relationship
159 between the index and river discharge (Spitale and Bruno, 2018).
160 Surprisingly, despite the specific requirements of the WFD, so far the
161 relationship between the Star_ICMi and different flow parameters describing
162 river discharge has been virtually unexplored in Italy (but see Laini et al.,
163 2018). However, investigating how this ecological quality indicator responds to
164 flow characteristics is indispensable to evaluate its use within the context of E-
165 flows.

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167 As a representative case study for the Italian Alpine area we analysed data
168 from the Trentino-Alto Adige region where the main alterations of the natural
169 flow regime are essentially related to hydropower schemes (Zolezzi et al.,
170 2009). The study has two main objectives: first, we used the region-wide
171 dataset to investigate the responses of the STAR_ICMi to the physico-
172 chemical and morphological character of river reaches, as described by
173 synthetic WFD quality elements. Second, by identifying a set of monitoring
174 stations for which river discharge time-series were available, we quantified the

175 relationship between the Star_ICMi and a set of ecologically-relevant flow
176 parameters, using both linear and quantile regressions. Because the latter
177 analysis was based on limited data points, we did not attempt to disentangle
178 and rank the individual effect of multiple environmental factors besides
179 hydrologic regime (e.g. as in Booker et al., 2015). Instead, we appraised the
180 extent to which other environmental covariates (i.e., anthropogenic stressors)
181 might have influenced the observed flow-ecology relationship using the
182 Procrustes analysis. Specifically, we tested if the correlation between
183 hydrological parameters and macroinvertebrate communities increased with
184 altitude where the influence of other anthropogenic stressors (e.g. nutrients,
185 local land use) appeared to be weaker.

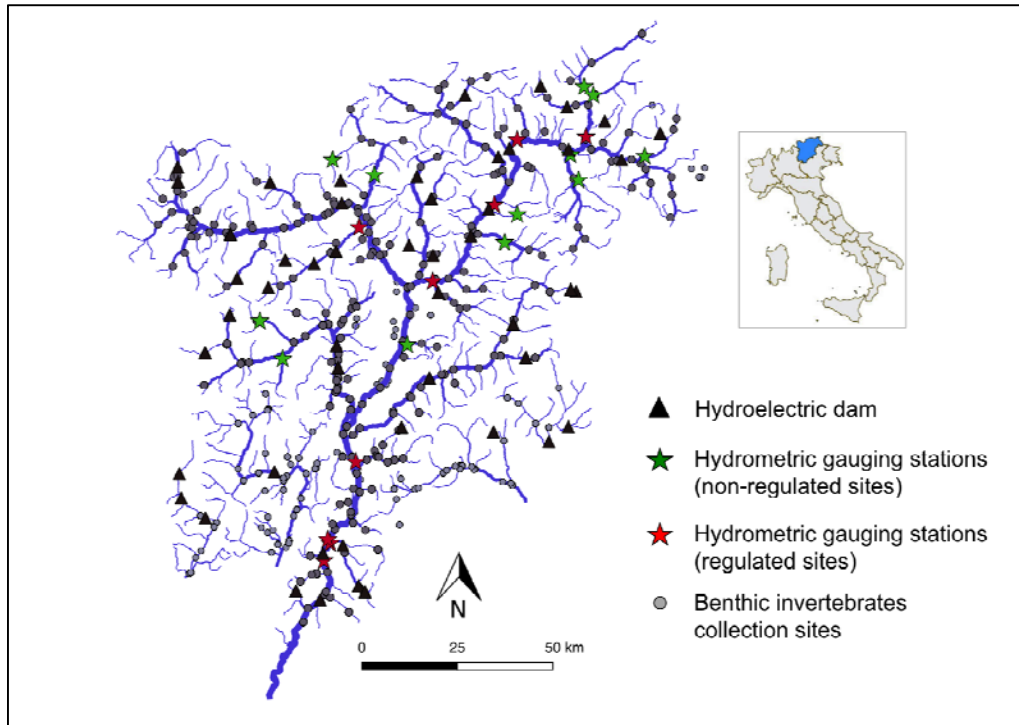
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187 **2. Methods**

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189 *2.1 Study area*

190 The Trentino-Alto Adige is a region in Northeast Italy with a surface of c.
191 13.000 Km² and a population of c. 900.000 inhabitants. The region mostly lays
192 within the Alps with more than 75% of its territory above 1000 m of altitude.
193 The Adige River and its tributaries form the largest river basin occupying
194 about 80% of its territory. Minor river basins in the region included in the study
195 were the Sarca, Brenta, Chiese and Vanoi. A total of 280 study reaches were
196 included, which form the monitoring network of the Environmental Protection
197 Agencies of the Provinces of Trento and Bolzano, across an altitudinal range
198 of 175 - 1800 m a.s.l (Fig. 1).



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200 **Figure 1.** Map of the main river networks in the Trentino-Alto Adige region in NE Italy
201 and the analysed gauging stations (stars) and biological sampling stations (dots).
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203 *2.2. Data collection and computation of the WFD indicators*

204 Data used in the present study come from the institutional monitoring
205 programme of the Environmental Protection Agencies of the Provinces of
206 Trento and Bolzano. Benthic macroinvertebrates were collected in 280 stream
207 reaches between 2009 and 2014 (Fig. 1). Sampling followed the multi-habitat
208 proportional technique according to the AQEM protocol (Hering et al., 2004),
209 in which 10 replicate Suber samples (0.1m²) were distributed along the reach
210 proportionally to the different micro-habitat types present. Specimens were
211 identified to genus and family levels as required for the calculation of the
212 Star_ICMi. The index is computed combining sub-metrics related to the
213 tolerance, richness and diversity of the different macroinvertebrate taxa
214 observed (Appendix A in Supplementary Material).
215 In most of these biological sampling sites, data for the formulation of two
216 additional WFD indicators were also gathered. To assess the physico-
217 chemical quality element, we used the LIMeco index (“Livello di Inquinamento

218 dai Macrodescrittori per lo stato ecologico”), which scores river water quality
219 in terms of dissolved oxygen and nutrient concentration (Azzellino et al.,
220 2015), with data for 280 reaches. The morphological quality was assessed
221 with the Morphological Quality Index (MQI; Rinaldi et al., 2013), with data
222 available for a subset of 184 reaches. The MQI provides a score to the
223 morphological quality of a river reach based on three main elements:
224 geomorphological functionality (accounting for longitudinal and lateral
225 continuity of river processes, channel patterns, river bed structure and
226 substratum, riparian vegetation), degree of artificiality (e.g. presence of local
227 and remote sources of hydro-morphological alterations, such as sediment
228 mining, levees and embankments, artificial reservoirs), and observed recent
229 channel adjustments.

230 Hydrological information was available from gauging stations located along
231 the Adige River network (managed by the Ufficio Dighe for the Autonomous
232 Province of Trento, and by the Ufficio Idrografico for the Autonomous
233 Province of Bolzano), and we selected 21 gauged stations (Table 1) in
234 proximity to biological sampling site (<5km stream distance, no influence of
235 major tributaries) so as to pair hydrological and ecological data (Fig. 1).

236 Overall discharge time-series differed in length among stations, but
237 continuous flow records were available for all stations from 2007. This allowed
238 us to associate 1-year antecedent flow series with each biological sample.

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Station code	River	Lat	Long	Flow regulation	Elevation (m a.s.l.)	Stream order	LIMeco	MQI	Mean annual discharge (m ³ /s)	Flow regime
N_Groe	Rio Gardena	5161409.89	702603.22	No	1190	3	0.96	NA	3.42	Pluvio-nival
N_Isa13	Isarco	5171220.03	699902.87	Reg	763	4	0.86	NA	63.36	Nivo-glacial
N_Vil15	Rio Funes	5168758.95	705884.66	No	1235	1	0.91	NA	0.90	Nivo-pluvial
O_Ahr2	Aurino	5202120.25	723398.50	No	1223	2	0.92	0.59	6.26	Nivo-glacial
O_Gad22	Gadera	5184328.69	719813.69	No	830	3	0.88	NA	8.95	Pluvio-nival
O_Gsi15	Rio Casies	5183969.79	739258.79	No	1191	2	NA	NA	2.55	Nivo-pluvial
O_Rei13	Rio Riva	5199898.44	725812.58	No	853	2	0.91	0.66	4.81	Nivo-pluvial
O_Svg9	San Vigilio	5177748.72	721932.96	No	1148	2	0.92	NA	2.00	Nivo-pluvial
PR000017	Leno	5082814.51	656927.22	Reg	175	2	0.88	0.24	4.86	Pluvio-nival
R14_Pas	Passirio	5179047.63	668502.74	No	490	3	0.79	0.56	11.76	Nivo-pluvial
R5_Pfe	Rio Plan	5183012.70	657564.60	No	1798	1	0.9	0.85	2.14	Nivo-pluvial
S_Egg11	Rio Ega	5151257.93	683769.13	Reg	305	3	0.82	NA	2.46	Pluvio-nival
S_Zwa15	Rio Nero	5134679.82	677086.61	No	280	2	0.74	NA	0.25	Pluvio-nival
SD000147	Adige	5083835.68	656296.52	Reg	228	5	0.74	0.55	217.18	Nivo-glacial
SD000149	Adige	5078284.74	655273.32	Reg	183	5	NA	NA	81.74	Nivo-glacial
SG000002	Adige	5104054.75	663619.22	Reg	289	5	0.76	0.52	201.23	Nivo-glacial
VP000004	Rabies	5140884.30	638493.02	No	1375	2	0.93	0.63	2.24	Nivo-pluvial
VP000026	Meledrio	5131002.37	644473.83	No	1022	2	0.92	0.74	1.58	Nivo-nival
W_Fal22	Valsura	5165374.96	664469.30	Reg	299	2	0.89	NA	5.51	Pluvio-nival
Z_Ahr10	Aurino	5189045.77	723881.31	Reg	823	3	NA	NA	21.08	Nivo-glacial
Z_Rie8	Rienza	5188208.31	705884.66	Reg	730	4	NA	NA	46.96	Pluvio-nival

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244 **Table 1.** Main characteristics of the hydrometric gauging stations used to derive flow
245 parameters from discharge time-series.

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248 2.3 Data analyses

249 Across the 280 monitoring sites, macroinvertebrate sampling occurred
250 multiple times between 2009 and 2014 (3-10 times per site). We therefore
251 calculated the mean Star_ICMi value to characterise the biological quality of
252 each site. Similarly, multiple values of the LIMeco and MQI indices were
253 averaged per site. For the first goal (relation among WFD quality indicators),
254 we used ordinary least square regressions to relate the Star_ICMi index with
255 the LIMeco and MQI indices.

256

257 For our second goal (flow-ecology relationship), we used the available
258 discharge time-series to derive 21 flow parameters (Table 2) based on daily
259 flow values (normalised relative to annual means). Following previous studies
260 (Belmar et al., 2018; Worrall et al., 2014) two temporal scales were
261 considered: 1-year and 60-days preceding the collection of benthic
262 macroinvertebrates, thus representing the influence of both long and short-
263 term antecedent hydrologic conditions. Flow parameters were derived
264 following Indicator of Hydrologic Alteration approach (IHA; Richter et al.,
265 1997) using the 'IHA' implementation in R (R Core Team, 2017). The flow

266 parameters represented ecologically relevant hydrologic characteristics
267 regarding magnitude (e.g. 1-7-90 days maximum and minimum flow),
268 frequency and duration (e.g. number and duration of low and high pulses),
269 rate of change and variation (e.g. rise and fall rates, CV). No automatic
270 selection of flow parameters or synthesis was performed (e.g. PCA reduction),
271 so as to avoid the exclusion of relevant parameters, and to facilitate the
272 interpretation of results (e.g. Schneider and Petrin, 2017). In addition, the
273 overall number of parameters included was small (compared to most
274 publication where >100 metrics are used) and represented arguably the
275 minimum set of ecologically relevant flow characteristics. Parameters related
276 to the timing of flow events were not calculated, because the computation
277 would require longer (multiple-years) flow time-series, and because
278 macroinvertebrate collection was conducted over different months of the year.
279 Star_ICMi values from repeated observations in time (multiple biological
280 samples per site) were not averaged in this case, but were all included for a
281 total of 80 samples, each paired with 1-year hydrological information. This
282 allowed us to increase statistical power and aid the visual interpretation of
283 complex relationships. The longitudinal structure of the data was accounted
284 for by including 'site' as random factor in linear mixed-models relating the
285 Star_ICMi to flow parameters, using the nlme package in R (Pinheiro et al.,
286 2018). The proportion of variance explained by the fixed factors (i.e. flow
287 parameters) was expressed as marginal R^2 using the r.squaredGLMM
288 function in the MuMin package (Bartoń, 2018).
289

Flow class	Flow parameter	Description
Magnitude	d1_Day_Min	Minimum flow, 1 day mean
	d3_Day_Min	Minimum flow, 3 days mean
	d7_Day_Min	Minimum flow, 7 days mean
	d30_Day_Min	Minimum flow, 30 days mean
	d90_Day_Min	Minimum flow, 90 days mean
	d1_Day_Max	Maximum flow, 1 day mean
	d3_Day_Max	Maximum flow, 3 days mean
	d7_Day_Max	Maximum flow, 7 days mean
	d30_Day_Max	Maximum flow, 30 days mean
	d90_Day_Max	Maximum flow, 90 days mean
	Base_index	7 days minimum / mean flow
Frequency and duration	Low_pulse_number	Number of flow events below 25th percentile
	High_pulse_number	Number of flow events above 75th percentile
	Low_pulse_length	Number of days below 25th percentile
	High_pulse_length	Number of days above 75th percentile
Rate of change and variation	Rise_rate	Median of all positive differences between consecutive values
	Fall_rate	Median of all negative differences between consecutive values
	Reversals	Number of times flow period switches from rising to falling and vice-versa
	max_min	Maximum flow - minimum flow
	CV	Coefficient of variation (SD/mean)
	CVmean30d	CV, mean over 30 days period

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291 **Table 2** - Flow parameters derived from daily flow-series included in the analyses

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293 The 21 gauged reaches represented rivers with natural flow regime as well as
 294 reaches regulated by upstream hydropower schemes. These were all
 295 included in the analyses, because i) we were interested in assessing the
 296 relation between the Star_ICMi and specific flow parameters rather than
 297 quantifying differences among river reaches and ii) we wanted to include the
 298 full range of flow parameters expected in the region. Nonetheless, for aiding
 299 visual interpretation, regulated and non-regulated reaches were differently
 300 identified in the plots.

301 In our analytical approach, we recognise that streamflow conditions are
 302 among the many factors that influence macroinvertebrate assemblages
 303 across the study sites. These include, for instance, water quality parameters
 304 and temperature, resource availability and riverbed morphology among others
 305 (Allan, 1995). However, streamflow characteristics in some reaches can
 306 represent a limiting factor for macroinvertebrates, where other stream features
 307 would allow different density or diversity to be observed. These limits can be
 308 considered as either 'ceilings' or 'floors' when the biological metric shows
 309 upper or lower limits as a function of a flow parameter, respectively. In these
 310 cases, the biological metric is unlikely to display a central response to flow
 311 parameters and ordinary regressions are not suited to quantify the limits
 312 (Konrad et al., 2008; Lancaster and Belyea, 2006). Conversely, quantile

313 regressions allow modelling the effect of a predictor variable over different
314 quantiles of the dependent variable. In other words, the model fits the 'limiting
315 response' of the y variable by identifying its conditional quantiles with respect
316 to the predictor variable x . When quantifying the 80th percentile, for example,
317 80% of the values of y are equal or less than the modelled function of x (Cade
318 and Noon, 2003). In the present study, we assessed both the central and
319 limiting response of the Star_ICMi index to the different flow parameters. We
320 used linear mixed-models to account for repeated sampling within site using
321 the 'nlme' package in R. For the quantile approach we employed a recently
322 developed algorithm for linear quantile mixed-models implemented in the
323 'lqmm' package in R (Geraci, 2014).

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325 Lastly, we used Procrustes analysis to appraise the extent to which other
326 confounding factors may influence the flow-ecology relationship in the study
327 area. Similarly to Mantel test, Procrustes analysis quantifies the association
328 between multivariate data matrices, but it also provides a vector of residuals
329 that represent the differences between homologous observations (i.e. sites,
330 samples) across the two matrices (Lisboa et al., 2014). The residuals vector is
331 a measure of the fit between the two matrices and can be used to further
332 understand how the matrices are related. For example, the Procrustes
333 residuals can be used to appraise whether another factor influenced the
334 degree of matching between observations. Here we used Procrustes analysis
335 to quantify the match between the matrix of macroinvertebrate communities
336 (*samples x taxa*) and the matrix of flow parameters (*samples x parameters*).
337 Then, we extracted the residuals vector and used it to investigate the
338 influence of other environmental covariates. Specifically we used altitude
339 (ranging 175 - 1800 m a.s.l.) as a proxy for many correlated factors and
340 stressors such as temperature, land-use and water quality, and a linear
341 mixed-models was used to relate the Procrustes residuals vector with altitude.
342 Procrustes analysis requires the same dimensionality between multivariate
343 matrices. Therefore, we first harmonised the dimensionality of each matrix
344 using Principal Component Analysis (PCA) by keeping the first five
345 components for each matrix. Macroinvertebrate densities were Hellinger-
346 transformed prior to PCA (Lisboa et al., 2014).

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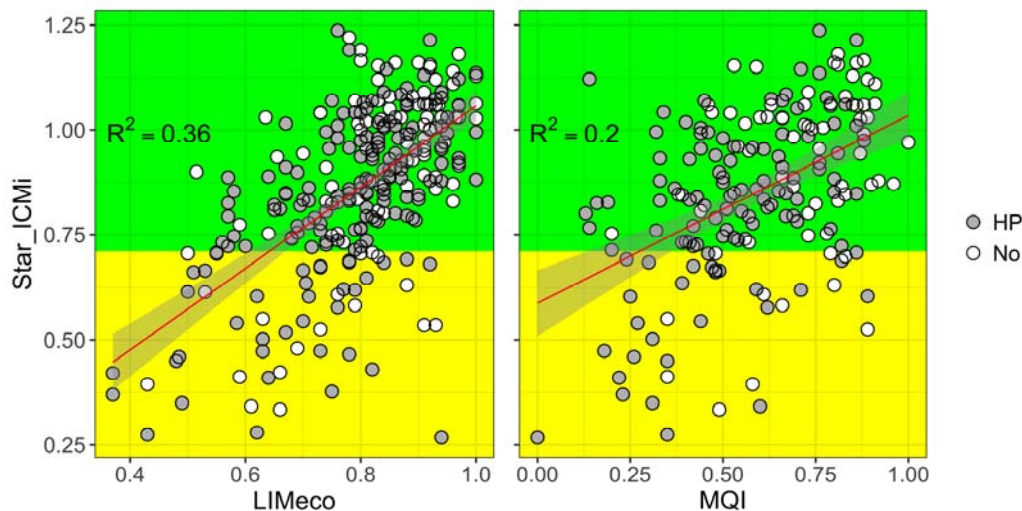
348 **3. Results**

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350 The Star_ICMi index showed linear and relatively strong ($R^2=0.36$, $P < 0.0001$;
351 $n=280$) correlations with the LIMeco index and, to a lesser extent, with the
352 MQI ($R^2=0.2$, $P < 0.0001$; $n=184$) (Fig. 2).

353 Only three of the flow parameters calculated from 1-year flow series were
354 significantly (at $P < 0.05$) and negatively correlated with the Star_ICMi
355 according to linear mixed models, namely CV_mean30d (marginal $R^2=0.3$),
356 d1_Day_Max (marginal $R^2=0.1$) and max-min (marginal $R^2=0.1$) (Fig. S1 in
357 SM; see description of the parameters in Table 1). No significant correlations
358 were observed when flow parameters were derived from 60-days flow series
359 preceding the macroinvertebrates collection (Fig.S2 in SM).

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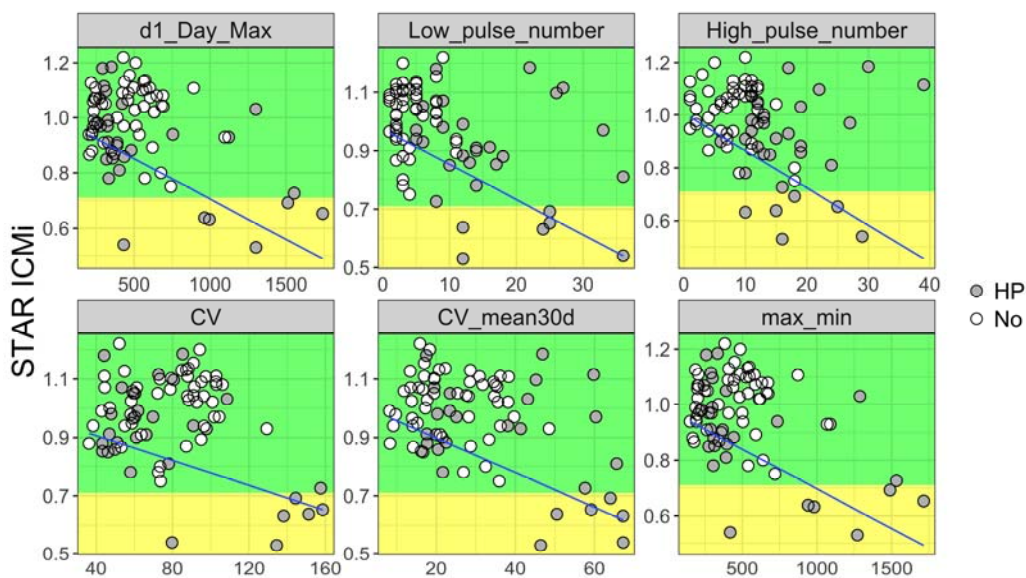
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363 **Figure 2-** Regression of the Star_ICMi with LIMeco and MQI. Red lines = linear fit;
364 grey areas = 95% CI. Background colours denote threshold between acceptable
365 (green) and unacceptable (yellow) conditions (sensu WFD). Grey circles = reaches
366 affected by hydropower upstream (HP); white circles = reaches not affected by
367 hydropower (No)

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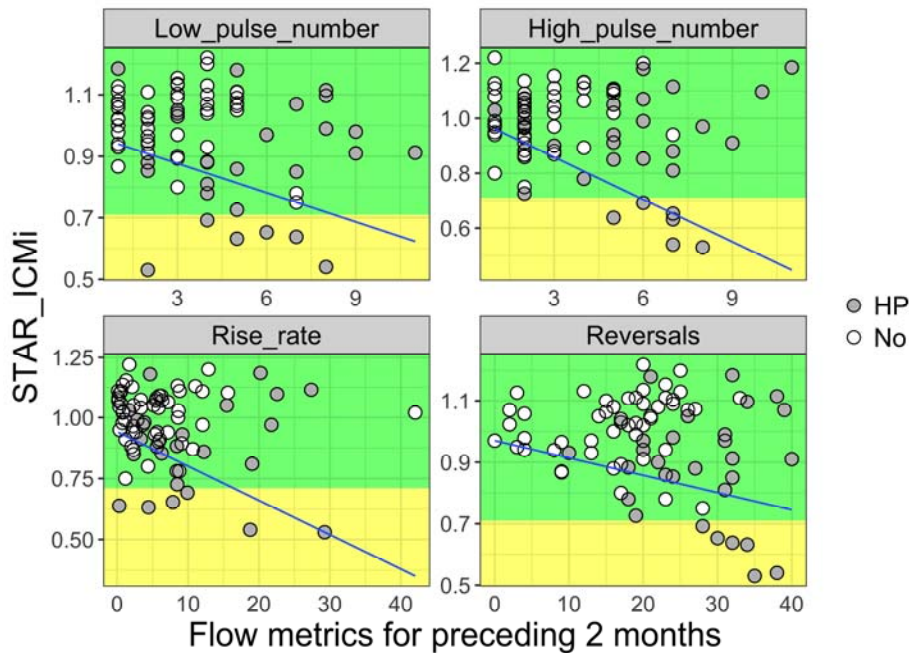
369 Conversely, the use of quantile regressions allowed the identification of
370 additional flow parameters that appeared to limit the scores of the Star_ICMi
371 (Fig. 3). In particular, peak flows (d1_Day_Max), the number of low and high

372 pulses as well as parameters related to flow variation (CV, max_min)
373 represented 'negative floors', which led the Star_ICMi below unacceptable
374 conditions. Put in other words, lower values of the aforementioned flow
375 parameters limited the minimum scores of the Star_ICMi.
376 When flow parameters were derived from 60-days flow time-series, the
377 influence of low and high flow pulse number remained significant, while the
378 effect of hydrologic reversals became apparent, also in the form of a 'negative
379 floor' (Fig. 4). That is, the minimum scores of the Star_ICMi were observed in
380 reaches characterised by frequent hydrologic reversals. At this shorter time-
381 scale, the effect of daily rate of change in flow also became apparent, with a
382 'negative floor' observed with Rise_rate (Fig.4).
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387 **Figure 3-** The Star_ICMi vs IHA metrics based on flow time-series from 1-year
388 preceding the biological sampling. Blue line indicates significant mixed quantile
389 regression at $q=0.2$. Significance levels are at $P<0.01$ for all parameters except for
390 CV at $P=0.04$. Background colours denote threshold between acceptable (green) and
391 unacceptable (yellow) conditions (sensu WFD). Grey circles = reaches affected by
392 hydropower upstream (HP); white circles = reaches not affected by hydropower (No)
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399 **Figure 4-** Star_ICMi vs IHA metrics based on flow series from 2 months preceding
400 the biological sampling. Blue line indicates significant quantile regression at $q=0.2$.
401 Significance levels are at $P<0.05$. Background color denotes threshold between
402 acceptable (green) and unacceptable (yellow) conditions (sensu WFD). Grey circles
403 = reaches affected by hydropower upstream (HP); white circles = reaches not
404 affected by hydropower (No)

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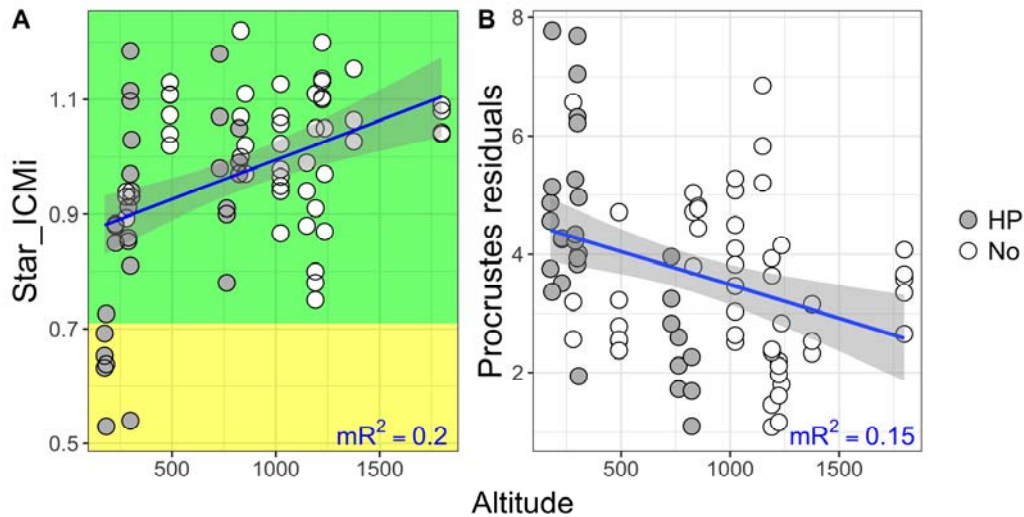
407 Our study reaches encompassed a wide altitudinal range, which potentially
408 acted as a confounding factor in the analysis of flow-ecology relationships as
409 altitude was related to changes in the main physico-chemical parameters and
410 local land use across the study reaches (Fig. S3 in SM). In fact, the Star_ICMi
411 index increased linearly with altitude (Fig 5A; mixed-model marginal $R^2=0.2$,
412 $P=0.01$), and most of the regulated river reaches occurred at lower elevations.
413 The residuals vector from the Procrustes analysis associating the matrices of
414 flow parameters and macroinvertebrate communities declined with altitude
415 (Fig. 5B; mixed-model marginal $R^2=0.15$, $P=0.04$), indicating a significant and
416 negative effect of altitude. These results indicate that the match between the
417 biota (taxonomic matrix) and the hydrological parameters was stronger in

418 higher-altitude locations, likely because the influence of confounding stressors
419 was weaker.

420

421

422



423

424 **Fig. 5** - Relation between the Star_ICMi (A) and Procrustes residual (B) with altitude.

425 Legend as in Fig. 3 and 4.

426

427

428

429 **4. Discussion**

430 We used monitoring data from a large Alpine river network to assess the
431 relationship between WFD quality elements, and the response of the official
432 Italian macroinvertebrate-based indicator to measured hydrological
433 parameters.

434 The Star_ICMi showed a relatively strong relation with the physico-chemical
435 character of the river reaches, as expressed by the LIMeco index. This was
436 expected, as stream macroinvertebrates are known to be particularly sensitive
437 to water quality parameters (e.g. Friberg et al., 2010; Guilpart et al., 2012).
438 Moreover, the LIMeco index specifically reflects the concentration of organic
439 pollutants (nitrates and phosphates), to which the Star_ICMi is designed to
440 respond (Quadroni et al., 2017). Parallel findings were recently reported by
441 Azzellino et al. (2015) for the nearby Lombardy region (northern Italy), where

442 water quality, as expressed by the LIMeco scores, explained c. 50% of the
443 variation in the Star_ICMi across a range of river reaches with similar
444 environmental settings as those studied here.

445 The influence of rivers' morphological features on benthic invertebrates, as
446 expressed by the MQI, was also significant, but apparently weaker. The MQI
447 reflects the integrity of both channel and riparian habitat that can influence in-
448 stream organisms in multiple ways, for instance by providing refugia and
449 resources (Matthaei et al., 2000; Naiman and Décamps, 1997). Hence, our
450 results suggest that across the Trentino-Alto Adige region, physico-chemical
451 water quality was the main determinant of macroinvertebrate community
452 integrity as measured by the STAR_ICMi, which was only secondarily affected
453 by riparian and in-stream morphological features. Other studies in Italy and
454 elsewhere indicated that substratum and riparian characteristics mostly
455 influenced the functional composition (e.g. feeding habits) of benthic
456 invertebrates, rather than their taxonomic identity and diversity (e.g. Larsen
457 and Ormerod, 2010; Manfrin et al., 2016). This could in part explain the lower
458 sensitivity of the taxonomic-based Star_ICMi to the MQI.

459 Results from our second objective also indicated a rather poor sensitivity of
460 the Star_ICMi index to hydrological parameters. Analyses of flow-ecology
461 relationship were based on a reduced sample size, because we selected
462 those reaches for which daily discharge time-series were available from
463 adjacent gauging stations. Nonetheless, the reaches were distributed across
464 the whole extent of the study area and included both natural and regulated
465 rivers and likely represented the entire range of flow parameters observed in
466 the region. These parameters were derived at two temporal scales (1-year
467 and 60-days preceding biological sampling) and provided similar but not
468 identical results. When assessing the central response (using linear mixed-
469 models), only parameters derived at 1-year time scale appeared to
470 significantly and negatively affect the biological indicator, and included the
471 monthly coefficient of variation in flow and the overall range and maximum
472 daily flow. These all indicate a generally negative effect of large daily flow
473 variation on aquatic communities, as also reported elsewhere (e.g. Bruno et
474 al., 2010; Konrad et al., 2008; McGarvey, 2014).

475

476 It is important to highlight how focusing on the central response of
477 macroinvertebrate metrics can provide only limited insight into the effects of
478 streamflow. Lotic invertebrates are influenced by a wide range of abiotic and
479 biotic factors and are unlikely to display a central or linear response to
480 streamflow parameters (Konrad et al., 2008; Rosenfeld, 2017). In these
481 cases, the use of quantile regressions allow the identification of those factors
482 that appear to locally limit the maximum or minimum values of the response
483 variable. Previous studies with benthic invertebrates have shown the validity
484 of this approach for the identification of the environmental constraints on local
485 community density and richness (Fornaroli et al., 2015; Lancaster and Belyea,
486 2006). Here, we used a novel approach based on mixed quantile models and
487 were able to identify some key flow parameters that appeared to determine
488 the lower limits of the Star_ICMi. Interestingly, the significant quantiles all took
489 the form of 'negative floors', whereas no significant 'ceilings' were observed.
490 Negative floors imply that the lower limits of the biological indicator declined
491 with increasing values of the flow parameters. This resulted in the modelled
492 quantiles to apparently 'track' the transition of the ecosystem into
493 unacceptable conditions. Viewed in terms of ecological constraints, these
494 negative floors suggest that the biological integrity was maintained within
495 acceptable conditions (sensu WFD) by lower values of the flow parameters,
496 which evidently represented favourable hydrologic conditions. As the value of
497 the flow parameters increased, the hydrologic conditions deteriorated thus
498 leading some sites to drop to a lower quality status. The identified limiting
499 parameters were mostly related to flow variation and the frequency of flow
500 events. Specifically, we found that high peak flows, frequent low and high
501 pulses and large variations in daily flow (as CV) apparently acted as stressors
502 for macroinvertebrates leading to a marked decline in the Star_ICMi at some
503 sites. Similar patterns were observed by Konrad et al. (2008) in 111 stream
504 sites in the western U.S.A., where invertebrate abundance and the proportion
505 of intolerant taxa showed quantile relations in the form of negative floors with
506 parameters describing discharge variation.

507 Our results also parallel those Worrall et al. (2014) in showing that not only
508 the long-term flow regime, but also short-term antecedent flow conditions can
509 influence benthic communities. Our modelling procedure identified additional

510 flow parameters as limiting factors when derived from 60-days preceding
511 macroinvertebrate collection. In this case, the lower values of the Star_ICMi
512 were limited by large daily rise rates in flow and the number of hydrologic
513 reversals, which are also parameters quantifying hydrologic variations.
514 It should be noted that most reaches characterised by higher values of the
515 limiting flow parameters (e.g. yearly CV, frequent high and low pulses,
516 reversals) were located downstream of hydropower plants, albeit at different
517 distances. Hydropower operations in the region are known to affect the
518 natural flow variability by often increasing the frequency and amplitude of flow
519 oscillations and sharp transitions (Zolezzi et al., 2009). Therefore, the
520 identified limiting flow parameters were likely outside their natural range of
521 variability, and thus represented stressing factors for the communities.

522

523 The large scatter or variance in the relation between the Star_ICMi and flow
524 parameters clearly indicates the influence of additional limiting factors (e.g.
525 water quality and altitude, as seen here). Disentangling the different source of
526 variation in these cases can be challenging as these can include both natural
527 and anthropogenic factors as well as biotic and abiotic processes. In these
528 cases, the use of quantile modelling has offered clear advantages (Fornaroli
529 et al., 2015; Konrad et al., 2008), as also observed in the present study. We
530 specifically attempted to quantify the influence of other covariates on the
531 observed flow-ecology relationship using the multivariate Procrustes analysis
532 and the associated residuals vector. We used Procrustes to first associate the
533 matrices of flow parameters and macroinvertebrate communities (sites x taxa
534 densities). Then, we derived the vector of residuals that quantified the
535 mismatch between homologous observations (sites) in the multivariate space
536 defined by the two matrices (Lisboa et al., 2014). This residuals vector
537 showed a significant and negative correlation with altitude. This means not
538 only that altitude acted as an important covariate, but also that the match
539 between the biota and the hydrology was stronger in upland reaches
540 compared to lowlands. Upland reaches were likely less influenced by potential
541 confounding factors related to human activity, including nutrient inputs and
542 land use conversion, and the influence of streamflow characteristics on local
543 communities was evidently stronger.

544 This contingency has wider implications for the development of general flow-
545 ecology relationships in the area and potentially across Europe, where
546 analogous biological indicators are adopted in line with the WFD requirements
547 (Buffagni et al., 2006), and further emphasises the need for research and
548 management that acknowledges the complexity of multiple stressors acting on
549 river ecosystems (Ormerod et al., 2010).

550

551 **5. Conclusions**

552 In this study, we used data from a large Alpine river network to assess the
553 relation among different WFD quality elements and to contribute to the
554 development of evidence-based ecological flows. This was also motivated by
555 the warning from the European commission (WFD CIS, 2015) stating that “in
556 cases where hydrological alterations are likely to prevent the achievement of
557 environmental objectives, the assessment of the gap between the current flow
558 regime and the ecological flow is a critical step to inform the design of the
559 programme of measures”.

560 Our results suggest that existing macroinvertebrate-based biological
561 indicators, like the Star_ICMi used as a case study and prescribed by the
562 Italian national legislation, may mostly reflect local physico-chemical water
563 quality and to a lesser extent the morphological integrity of the reaches, as
564 expressed here by the LIMeco and MQI descriptors, respectively. This result
565 was expected given previous observations and the known sensitivity of the
566 index to organic pollution (Azzellino et al., 2015; Quadroni et al., 2017). As
567 such, the Star_ICMi showed rather poor correlations with flow parameters
568 when examined in its central response. Nonetheless, quantile modelling
569 allowed the identification of key flow parameters that limited the minimum
570 scores of the index (i.e. ‘negative floors’), and apparently tracked the
571 transition of the ecosystem into unacceptable conditions. The identification of
572 these flow limits can aid the implementation of E-flows by allowing managers
573 to compare local conditions with the given limits and set hydrologic thresholds
574 over which ecological impairment is likely to occur. In the study area, most of
575 the negative limits identified were related to the magnitude and frequency of
576 flow variations, which were likely altered by upstream hydropower operations.
577 However, as also emphasised by Konrad et al. (2008), these limits show that

578 the biological response to local hydrologic characteristics is contingent upon a
579 range of local and regional factors including both natural (e.g. altitude) and
580 anthropogenic ones (water quality), as demonstrated here by the Procrustes
581 analysis. This has clear implications for both fundamental flow-ecology
582 research and for water management, because the response of biological
583 communities and associated indicators to flow regulation cannot be predicted
584 without detailed information on the wider environmental setting of a river
585 reach.

586 Although some important limiting hydrologic parameters were identified,
587 results from the present study imply caution is needed in using the current
588 WFD biological indicators based on analogous principles to the one adopted
589 in Italy, especially to guide the management of ecological flows. Further
590 research is needed to better quantify flow-ecology relationships and develop
591 hydrology-sensitive indicators. Similar efforts were pursued by other countries
592 where empirical waterflow preferences of benthic invertebrates were
593 synthesised into a river flow index (e.g. LIFE index; Extence et al., 1999).
594 However, the validity of the LIFE index in other environmental settings needs
595 to be tested (Dunbar et al., 2010) and, more generally, the index is designed
596 to reflect changes in flow velocity and might correlate poorly with other flow
597 parameters likely affected by river regulation (i.e. frequency and magnitude of
598 variation). Ideally, effort and resources should be directed to the development
599 of ecological indicators targeting specific flow characteristics that are most
600 likely altered by river regulation and water uses.

601

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611

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804 **SUPPLEMENTARY MATERIAL**

805

806 **Appendix A**

807 Description of the STAR_ICMi index (adapted from [http://www.life-inhabit.it/cnr-](http://www.life-inhabit.it/cnr-irsa-activities/en/cnr-irsa-activities-related-inhabit/ecological-status/staricmi)

808 [irsa-activities/en/cnr-irsa-activities-related-inhabit/ecological-status/staricmi](http://www.life-inhabit.it/cnr-irsa-activities-related-inhabit/ecological-status/staricmi),

809 Buffagni et al., 2005, 2007, 2008).

810

811 The STAR_ICMi is a multimetric index which includes six metrics:

- 812 1. Average Score Per Taxon (Armitage et al., 1983);
- 813 2. Log10(sel_EPTD+1): Log10 (sum of Heptageniidae, Ephemeridae,
814 Leptophlebiidae, Brachycentridae, Goeridae, Polycentropodidae,
815 Limnephilidae, Odontoceridae, Dolichopodidae, Stratyomidae, Dixidae,
816 Empididae, Athericidae & Nemouridae;
- 817 3. 1-GOLD 1:1 - (relative abundance of Gastropoda, Oligochaeta and Diptera);
- 818 4. Number of EPT families;
- 819 5. Total number of families
- 820 6. Shannon-Weiner diversity index.

821 The calculation of the STAR_ICMi is performed in 4 steps:

- 822 1. calculation of the raw value for each of the 6 metrics;
- 823 2. calculation of the Ecological Quality Ratio for each of the 6 metrics by
824 dividing the observed value (i.e. obtained for the considered samples) by the
825 median value of the metric calculated from the reference river type;
- 826 3. calculation of the weighted average of the EQR using a specifically assigned
827 weight for each metric (0.333, 0.266, 0.067, 0.167, 0.083, 0.083, respectively
828 for metrics 1-6);
- 829 4. normalization of the obtained value by dividing the value of the considered
830 sample by the STAR_ICMi expected in reference samples.

831 Values of STAR_ICMi vary between 0 and +1, and different intervals correspond to
832 the five quality classes defined by the Water Framework Directive: high, good,
833 moderate, bad, poor.

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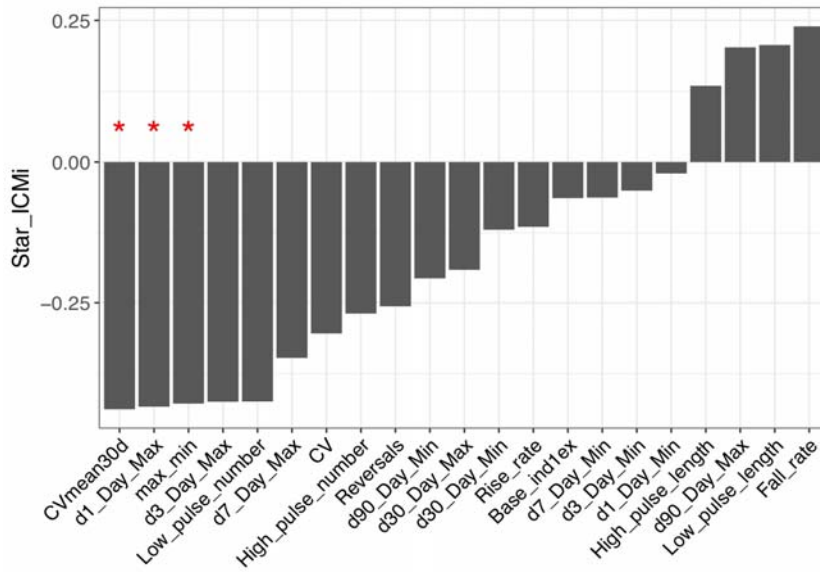
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839 SUPPLEMENTARY FIGURES

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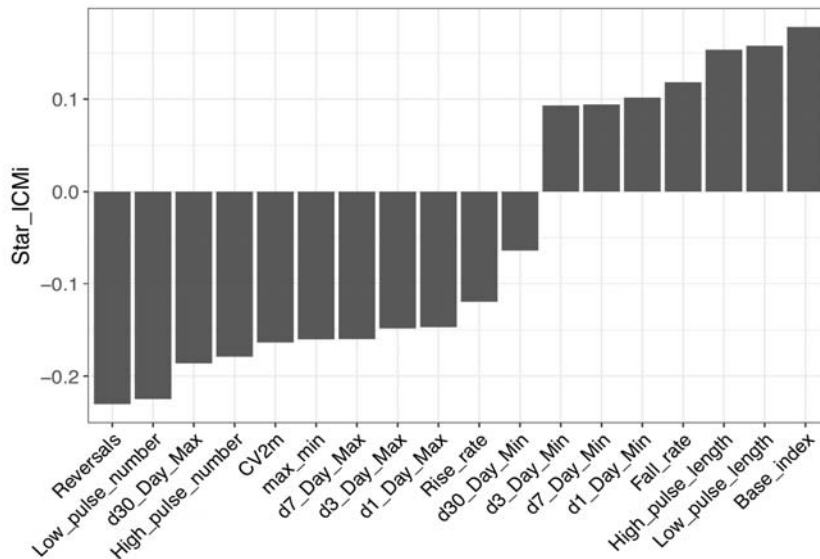
843 **Supplementary Figure S1** - Correlation coefficients between the Star_ICMi and flow

844 parameters calculated from 1-year flow-series preceding the biological sampling.

845 Only three flow parameters showed significant correlation (red stars) based on linear

846 mixed models.

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848

849 **Supplementary Figure S2** - Correlation coefficients between the Star_ICMi and flow

850 parameters calculated from 60-days flow-series preceding the biological sampling.

851 No significant correlation was observed based on mixed models.

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